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## Distance-regular graphs and the *q*-tetrahedron algebra

Tatsuro Ito<sup>\*†</sup> and Paul Terwilliger<sup>‡</sup>

#### In honor of Eiichi Bannai on his 60th Birthday

#### Abstract

Let  $\Gamma$  denote a distance-regular graph with classical parameters  $(D, b, \alpha, \beta)$  and  $b \neq 1$ ,  $\alpha = b - 1$ . The condition on  $\alpha$  implies that  $\Gamma$  is formally self-dual. For  $b = q^2$  we use the adjacency matrix and dual adjacency matrix to obtain an action of the *q*-tetrahedron algebra  $\boxtimes_q$  on the standard module of  $\Gamma$ . We describe four algebra homomorphisms into  $\boxtimes_q$  from the quantum affine algebra  $U_q(\widehat{\mathfrak{sl}}_2)$ ; using these we pull back the above  $\boxtimes_q$ -action to obtain four actions of  $U_q(\widehat{\mathfrak{sl}}_2)$  on the standard module of  $\Gamma$ .

**Keywords**. Tetrahedron algebra, distance-regular graph, quantum affine algebra, tridiagonal pair.

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#### 1 Introduction

In [25] B. Hartwig and the second author gave a presentation of the three-point  $\mathfrak{sl}_2$  loop algebra via generators and relations. To obtain this presentation they defined a Lie algebra  $\boxtimes$  by generators and relations, and displayed an isomorphism from  $\boxtimes$  to the three-point  $\mathfrak{sl}_2$ loop algebra. The algebra  $\boxtimes$  has essentially six generators, and it is natural to identify these with the six edges of a tetrahedron. For each face of the tetrahedron the three surrounding edges form a basis for a subalgebra of  $\boxtimes$  that is isomorphic to  $\mathfrak{sl}_2$  [25, Corollary 12.4]. Any five of the six edges of the tetrahedron generate a subalgebra of  $\boxtimes$  that is isomorphic to the  $\mathfrak{sl}_2$  loop algebra [25, Corollary 12.6]. Each pair of opposite edges of the tetrahedron generate a subalgebra of  $\boxtimes$  that is isomorphic to the Onsager algebra [25, Corollary 12.5]. Let us call these Onsager subalgebras. Then  $\boxtimes$  is the direct sum of its three Onsager subalgebras [25, Theorem 11.6]. In [20] Elduque found an attractive decomposition of  $\boxtimes$  into a direct sum of three abelian subalgebras, and he showed how these subalgebras are related to the Onsager

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subalgebras. In [35] Pascasio and the second author give an action of  $\boxtimes$  on the standard module of a Hamming graph. In [4] Bremner obtained the universal central extension of the three-point  $\mathfrak{sl}_2$  loop algebra. By modifying the defining relations for  $\boxtimes$ , Benkart and the second author obtained a presentation for this extension by generators and relations [2]. In [24] Hartwig obtained the irreducible finite-dimensional  $\boxtimes$ -modules over an algebraically closed field with characteristic 0.

In [30] we introduced a quantum analog of  $\boxtimes$  which we call  $\boxtimes_q$ . We defined  $\boxtimes_q$  using generators and relations. We showed how  $\boxtimes_q$  is related to the quantum group  $U_q(\mathfrak{sl}_2)$  in roughly the same way that  $\boxtimes$  is related to  $\mathfrak{sl}_2$  [30, Proposition 7.4]. We showed how  $\boxtimes_q$  is related to the  $U_q(\mathfrak{sl}_2)$  loop algebra in roughly the same way that  $\boxtimes$  is related to the  $\mathfrak{sl}_2$  loop algebra [30, Proposition 8.3]. In [28] we considered an algebra  $\mathcal{A}_q$  on two generators subject to the cubic q-Serre relations.  $\mathcal{A}_q$  is often called the *positive part of*  $U_q(\widehat{\mathfrak{sl}}_2)$ . We showed how  $\boxtimes_q$  is related to  $\mathcal{A}_q$  in roughly the same way that  $\boxtimes$  is related to the Onsager algebra [30, Proposition 9.4]. In [30] and [31] we described the finite-dimensional irreducible  $\boxtimes_q$ -modules under the assumption that q is not a root of 1, and the underlying field is algebraically closed.

In the present paper we consider a distance-regular graph  $\Gamma$  that has classical parameters  $(D, b, \alpha, \beta)$  and  $b \neq 1$ ,  $\alpha = b - 1$ . The condition on  $\alpha$  implies that  $\Gamma$  is formally self-dual [5, p. 71]. For  $b = q^2$  we use the adjacency matrix and dual adjacency matrix to construct an action of  $\boxtimes_q$  on the standard module of  $\Gamma$ . We describe four algebra homomorphisms from  $U_q(\widehat{\mathfrak{sl}}_2)$  to  $\boxtimes_q$ ; using these homomorphisms we pull back the above  $\boxtimes_q$ -action to obtain four actions of  $U_q(\widehat{\mathfrak{sl}}_2)$  on the standard module of  $\Gamma$ . Several well-known families of distance-regular graphs satisfy the above parameter restriction; for instance the bilinear forms graph [5, p. 280], the alternating forms graph [5, p. 282], the Hermitean forms graph [5, p. 285], the quadratic forms graph [5, p. 290], the affine  $E_6$  graph [5, p. 340], and the extended ternary Golay code graph [5, p. 359].

All of the original results in this paper are about distance-regular graphs. However, in order to motivate things and develop some machinery, we will initially discuss  $\boxtimes_q$  and its relationship to certain quantum groups. The paper is organized as follows. In Section 2 we define  $\boxtimes_q$  and mention a few of its properties. In Section 3 we recall how  $\boxtimes_q$  is related to  $U_q(\mathfrak{sl}_2)$ . In Section 4 we discuss how  $\boxtimes_q$  is related to  $U_q(\widehat{\mathfrak{sl}}_2)$ . In Section 5 we recall how  $\boxtimes_q$ is related to  $\mathcal{A}_q$ . In Section 6 we discuss the finite-dimensional irreducible  $\boxtimes_q$ -modules. In Section 7 we consider a distance-regular graph  $\Gamma$  and discuss its basic properties. In Section 8 we impose a parameter restriction on  $\Gamma$  needed to construct our  $\boxtimes_q$ -module. In Section 11 we display an action of  $\boxtimes_q$  on the standard module of  $\Gamma$ ; Theorem 11.1 is the main result of the paper. In Section 12 we discuss how the above  $\boxtimes_q$ -action is related to the subconstituent algebra of  $\Gamma$ . In Section 13 we give some suggestions for further research.

Throughout the paper  $\mathbb{C}$  denotes the field of complex numbers.

### **2** The q-tetrahedron algebra $\boxtimes_q$

In this section we recall the q-tetrahedron algebra. We fix a nonzero scalar  $q\in\mathbb{C}$  such that  $q^2\neq 1$  and define

$$[n]_q = \frac{q^n - q^{-n}}{q - q^{-1}}, \qquad n = 0, 1, 2, \dots$$

We let  $\mathbb{Z}_4 = \mathbb{Z}/4\mathbb{Z}$  denote the cyclic group of order 4.

**Definition 2.1** [30, Definition 6.1] Let  $\boxtimes_q$  denote the unital associative  $\mathbb{C}$ -algebra that has generators

$$\{x_{ij} \mid i, j \in \mathbb{Z}_4, j-i=1 \text{ or } j-i=2\}$$

and the following relations:

(i) For  $i, j \in \mathbb{Z}_4$  such that j - i = 2,

$$x_{ij}x_{ji} = 1.$$

(ii) For  $h, i, j \in \mathbb{Z}_4$  such that the pair (i - h, j - i) is one of (1, 1), (1, 2), (2, 1), (1, 2), (2, 1), (2, 1)

$$\frac{qx_{hi}x_{ij} - q^{-1}x_{ij}x_{hi}}{q - q^{-1}} = 1.$$

(iii) For  $h, i, j, k \in \mathbb{Z}_4$  such that i - h = j - i = k - j = 1,

$$x_{hi}^3 x_{jk} - [3]_q x_{hi}^2 x_{jk} x_{hi} + [3]_q x_{hi} x_{jk} x_{hi}^2 - x_{jk} x_{hi}^3 = 0.$$
(1)

We call  $\boxtimes_q$  the *q*-tetrahedron algebra or "*q*-tet" for short.

Note 2.2 The equations (1) are the cubic q-Serre relations [33, p. 10].

We make some observations.

**Lemma 2.3** [30, Lemma 6.3] There exists a  $\mathbb{C}$ -algebra automorphism  $\rho$  of  $\boxtimes_q$  that sends each generator  $x_{ij}$  to  $x_{i+1,j+1}$ . Moreover  $\rho^4 = 1$ .

**Lemma 2.4** [30, Lemma 6.5] There exists a  $\mathbb{C}$ -algebra automorphism of  $\boxtimes_q$  that sends each generator  $x_{ij}$  to  $-x_{ij}$ .

## **3** The algebra $U_q(\mathfrak{sl}_2)$

In this section we recall how the algebra  $\boxtimes_q$  is related to  $U_q(\mathfrak{sl}_2)$ . We start with a definition.

**Definition 3.1** [32, p. 122] Let  $U_q(\mathfrak{sl}_2)$  denote the unital associative  $\mathbb{C}$ -algebra with generators  $K^{\pm 1}$ ,  $e^{\pm}$  and the following relations:

$$\begin{array}{rcl} KK^{-1} &=& K^{-1}K = 1\\ Ke^{\pm}K^{-1} &=& q^{\pm 2}e^{\pm},\\ & & [e^+,e^-] &=& \frac{K-K^{-1}}{q-q^{-1}}. \end{array}$$

The following presentation of  $U_q(\mathfrak{sl}_2)$  will be useful.

**Lemma 3.2** [29, Theorem 2.1] The algebra  $U_q(\mathfrak{sl}_2)$  is isomorphic to the unital associative  $\mathbb{C}$ -algebra with generators  $x^{\pm 1}$ , y, z and the following relations:

$$\begin{aligned} xx^{-1} &= x^{-1}x &= 1, \\ \frac{qxy - q^{-1}yx}{q - q^{-1}} &= 1, \\ \frac{qyz - q^{-1}zy}{q - q^{-1}} &= 1, \\ \frac{qzx - q^{-1}xz}{q - q^{-1}} &= 1. \end{aligned}$$

An isomorphism with the presentation in Definition 3.1 is given by:

$$\begin{array}{rcccc} x^{\pm 1} & \mapsto & K^{\pm 1}, \\ y & \mapsto & K^{-1} + e^{-}, \\ z & \mapsto & K^{-1} - K^{-1} e^{+} q (q - q^{-1})^{2}. \end{array}$$

The inverse of this isomorphism is given by:

**Proposition 3.3** [30, Proposition 7.4] For  $i \in \mathbb{Z}_4$  there exists a  $\mathbb{C}$ -algebra homomorphism from  $U_q(\mathfrak{sl}_2)$  to  $\boxtimes_q$  that sends

$$x \mapsto x_{i,i+2}, \quad x^{-1} \mapsto x_{i+2,i}, \quad y \mapsto x_{i+2,i+3}, \quad z \mapsto x_{i+3,i}.$$

# 4 The quantum affine algebra $U_q(\widehat{\mathfrak{sl}}_2)$

In this section we consider how  $\boxtimes_q$  is related to the quantum affine algebra  $U_q(\widehat{\mathfrak{sl}}_2)$ . We start with a definition.

**Definition 4.1** [9, p. 262] The quantum affine algebra  $U_q(\widehat{\mathfrak{sl}}_2)$  is the unital associative  $\mathbb{C}$ -algebra with generators  $K_i^{\pm 1}$ ,  $e_i^{\pm}$ ,  $i \in \{0, 1\}$  and the following relations:

$$\begin{split} K_{i}K_{i}^{-1} &= K_{i}^{-1}K_{i} = 1, \\ K_{0}K_{1} &= K_{1}K_{0}, \\ K_{i}e_{i}^{\pm}K_{i}^{-1} &= q^{\pm 2}e_{i}^{\pm}, \\ K_{i}e_{j}^{\pm}K_{i}^{-1} &= q^{\mp 2}e_{j}^{\pm}, \quad i \neq j, \\ \begin{bmatrix} e_{i}^{+}, e_{i}^{-} \end{bmatrix} &= \frac{K_{i} - K_{i}^{-1}}{q - q^{-1}}, \\ \begin{bmatrix} e_{0}^{\pm}, e_{1}^{\mp} \end{bmatrix} &= 0, \end{split}$$

$$(e_i^{\pm})^3 e_j^{\pm} - [3]_q (e_i^{\pm})^2 e_j^{\pm} e_i^{\pm} + [3]_q e_i^{\pm} e_j^{\pm} (e_i^{\pm})^2 - e_j^{\pm} (e_i^{\pm})^3 = 0, \qquad i \neq j.$$

The following presentation of  $U_q(\widehat{\mathfrak{sl}}_2)$  will be useful.

**Theorem 4.2** ([27, Theorem 2.1], [42]) The quantum affine algebra  $U_q(\widehat{\mathfrak{sl}}_2)$  is isomorphic to the unital associative  $\mathbb{C}$ -algebra with generators  $x_i^{\pm 1}$ ,  $y_i$ ,  $z_i$ ,  $i \in \{0, 1\}$  and the following relations:

$$\begin{aligned} x_i x_i^{-1} &= x_i^{-1} x_i &= 1, \\ x_0 x_1 \quad is \ central, \\ \frac{q x_i y_i - q^{-1} y_i x_i}{q - q^{-1}} &= 1, \\ \frac{q y_i z_i - q^{-1} z_i y_i}{q - q^{-1}} &= 1, \\ \frac{q z_i x_i - q^{-1} x_i z_i}{q - q^{-1}} &= 1, \\ \frac{q z_i y_j - q^{-1} y_j z_i}{q - q^{-1}} &= x_0^{-1} x_1^{-1}, \qquad i \neq j, \end{aligned}$$

$$y_i^3 y_j - [3]_q y_i^2 y_j y_i + [3]_q y_i y_j y_i^2 - y_j y_i^3 = 0, \qquad i \neq j,$$
  
$$z_i^3 z_j - [3]_q z_i^2 z_j z_i + [3]_q z_i z_j z_i^2 - z_j z_i^3 = 0, \qquad i \neq j.$$

An isomorphism with the presentation in Definition 4.1 is given by:

$$\begin{array}{rcccc} x_i^{\pm 1} & \mapsto & K_i^{\pm 1}, \\ y_i & \mapsto & K_i^{-1} + e_i^{-}, \\ z_i & \mapsto & K_i^{-1} - K_i^{-1} e_i^+ q (q - q^{-1})^2. \end{array}$$

The inverse of this isomorphism is given by:

$$\begin{array}{rcccc} K_i^{\pm 1} & \mapsto & x_i^{\pm 1}, \\ e_i^- & \mapsto & y_i - x_i^{-1}, \\ e_i^+ & \mapsto & (1 - x_i z_i) q^{-1} (q - q^{-1})^{-2}. \end{array}$$

**Proposition 4.3** For  $i \in \mathbb{Z}_4$  there exists a  $\mathbb{C}$ -algebra homomorphism from  $U_q(\mathfrak{sl}_2)$  to  $\boxtimes_q$  that sends

$$\begin{array}{ll} x_1 \mapsto x_{i,i+2}, & x_1^{-1} \mapsto x_{i+2,i}, & y_1 \mapsto x_{i+2,i+3}, & z_1 \mapsto x_{i+3,i}, \\ x_0 \mapsto x_{i+2,i}, & x_0^{-1} \mapsto x_{i,i+2}, & y_0 \mapsto x_{i,i+1}, & z_0 \mapsto x_{i+1,i+2}. \end{array}$$

*Proof:* Compare the defining relations for  $U_q(\widehat{\mathfrak{sl}}_2)$  given in Theorem 4.2 with the relations in Definition 2.1.

#### 5 The algebra $\mathcal{A}_q$

In this section we recall how  $\boxtimes_q$  is related to the algebra  $\mathcal{A}_q$ . We start with a definition.

**Definition 5.1** Let  $\mathcal{A}_q$  denote the unital associative  $\mathbb{C}$ -algebra defined by generators x, y and relations

$$x^{3}y - [3]_{q}x^{2}yx + [3]_{q}xyx^{2} - yx^{3} = 0,$$
  

$$y^{3}x - [3]_{q}y^{2}xy + [3]_{q}yxy^{2} - xy^{3} = 0.$$

**Definition 5.2** Referring to Definition 5.1, we call x, y the standard generators for  $\mathcal{A}_q$ .

Note 5.3 [33, Corollary 3.2.6] The algebra  $\mathcal{A}_q$  is often called the *positive part of*  $U_q(\widehat{\mathfrak{sl}}_2)$ .

**Proposition 5.4** [30, Proposition 9.4] For  $i \in \mathbb{Z}_4$  there exists a homomorphism of  $\mathbb{C}$ algebras from  $\mathcal{A}_q$  to  $\boxtimes_q$  that sends the standard generators x, y to  $x_{i,i+1}, x_{i+2,i+3}$  respectively.

#### 6 The finite-dimensional irreducible $\boxtimes_q$ -modules

In this section we recall how the finite-dimensional irreducible modules for  $\boxtimes_q$  and  $\mathcal{A}_q$  are related. We start with some comments. Let V denote a finite-dimensional vector space over  $\mathbb{C}$ . A linear transformation  $A: V \to V$  is said to be *nilpotent* whenever there exists a positive integer n such that  $A^n = 0$ . Let V denote a finite-dimensional irreducible  $\mathcal{A}_q$ -module. This module is called *NonNil* whenever the standard generators x, y are not nilpotent on V [28, Definition 1.3]. Assume V is NonNil. Then by [28, Corollary 2.8] the standard generators x, y are semisimple on V. Moreover there exist an integer  $d \geq 0$  and nonzero scalars  $\alpha, \alpha^* \in \mathbb{C}$  such that the set of distinct eigenvalues of x (resp. y) on V is  $\{\alpha q^d, \alpha q^{d-2}, \ldots, \alpha q^{-d}\}$  (resp.  $\{\alpha^* q^d, \alpha^* q^{d-2}, \ldots, \alpha^* q^{-d}\}$ ). We call the ordered pair  $(\alpha, \alpha^*)$  the *type* of V. Replacing x, y by  $x/\alpha, y/\alpha^*$  the type becomes (1, 1). Now let V denote a finite-dimensional irreducible

 $\boxtimes_q$ -module. By [30, Theorem 12.3] each generator  $x_{ij}$  is semisimple on V. Moreover there exist an integer  $d \geq 0$  and a scalar  $\varepsilon \in \{1, -1\}$  such that for each generator  $x_{ij}$  the set of distinct eigenvalues on V is  $\{\varepsilon q^d, \varepsilon q^{d-2}, \ldots, \varepsilon q^{-d}\}$ . We call  $\varepsilon$  the type of V. Replacing each generator  $x_{ij}$  by  $\varepsilon x_{ij}$  the type becomes 1. The finite-dimensional irreducible modules for  $\boxtimes_q$  and  $\mathcal{A}_q$  are related according to the following two theorems and subsequent remark.

**Theorem 6.1** [30, Theorem 10.3] Let V denote a finite-dimensional irreducible  $\boxtimes_q$ -module of type 1. Then there exists a unique  $\mathcal{A}_q$ -module structure on V such that the standard generators x and y act as  $x_{01}$  and  $x_{23}$  respectively. This  $\mathcal{A}_q$ -module is irreducible, NonNil, and type (1, 1).

**Theorem 6.2** [30, Theorem 10.4] Let V denote a NonNil finite-dimensional irreducible  $\mathcal{A}_q$ -module of type (1,1). Then there exists a unique  $\boxtimes_q$ -module structure on V such that the standard generators x and y act as  $x_{01}$  and  $x_{23}$  respectively. This  $\boxtimes_q$ -module structure is irreducible and type 1.

**Remark 6.3** [30, Remark 10.5] Combining Theorem 6.1 and Theorem 6.2 we obtain a bijection between the following two sets:

- (i) the isomorphism classes of finite-dimensional irreducible  $\boxtimes_q$ -modules of type 1;
- (ii) the isomorphism classes of NonNil finite-dimensional irreducible  $\mathcal{A}_q$ -modules of type (1, 1).

#### 7 Distance-regular graphs; preliminaries

We now turn our attention to distance-regular graphs. After a brief review of their basic properties we consider a special type said to be formally self-dual with classical parameters. From such a distance-regular graph we will obtain a  $\boxtimes_q$ -module.

We now review some definitions and basic concepts concerning distance-regular graphs. For more information we refer the reader to [1, 5, 23, 38].

Let X denote a nonempty finite set. Let  $\operatorname{Mat}_X(\mathbb{C})$  denote the  $\mathbb{C}$ -algebra consisting of all matrices whose rows and columns are indexed by X and whose entries are in  $\mathbb{C}$ . Let  $V = \mathbb{C}^X$  denote the vector space over  $\mathbb{C}$  consisting of column vectors whose coordinates are indexed by X and whose entries are in  $\mathbb{C}$ . We observe  $\operatorname{Mat}_X(\mathbb{C})$  acts on V by left multiplication. We call V the standard module. We endow V with the Hermitean inner product  $\langle , \rangle$  that satisfies  $\langle u, v \rangle = u^t \overline{v}$  for  $u, v \in V$ , where t denotes transpose and - denotes complex conjugation. For all  $y \in X$ , let  $\hat{y}$  denote the element of V with a 1 in the y coordinate and 0 in all other coordinates. We observe  $\{\hat{y} \mid y \in X\}$  is an orthonormal basis for V.

Let  $\Gamma = (X, R)$  denote a finite, undirected, connected graph, without loops or multiple edges, with vertex set X and edge set R. Let  $\partial$  denote the path-length distance function for  $\Gamma$ , and set  $D := \max\{\partial(x, y) \mid x, y \in X\}$ . We call D the *diameter* of  $\Gamma$ . For an integer  $k \geq 0$  we say that  $\Gamma$  is *regular with valency* k whenever each vertex of  $\Gamma$  is adjacent to exactly k distinct vertices of  $\Gamma$ . We say that  $\Gamma$  is distance-regular whenever for all integers  $h, i, j \ (0 \le h, i, j \le D)$  and for all vertices  $x, y \in X$  with  $\partial(x, y) = h$ , the number

$$p_{ij}^h = |\{z \in X \mid \partial(x, z) = i, \partial(z, y) = j\}|$$

is independent of x and y. The  $p_{ij}^h$  are called the *intersection numbers* of  $\Gamma$ . We abbreviate  $c_i = p_{1,i-1}^i \ (1 \le i \le D), \ b_i = p_{1,i+1}^i \ (0 \le i \le D-1), \ a_i = p_{1i}^i \ (0 \le i \le D).$ 

For the rest of this paper we assume  $\Gamma$  is distance-regular; to avoid trivialities we always assume  $D \ge 3$ . Note that  $\Gamma$  is regular with valency  $k = b_0$ . Moreover  $k = c_i + a_i + b_i$  for  $0 \le i \le D$ , where  $c_0 = 0$  and  $b_D = 0$ .

We mention a fact for later use. By the triangle inequality, for  $0 \le h, i, j \le D$  we have  $p_{ij}^h = 0$  (resp.  $p_{ij}^h \ne 0$ ) whenever one of h, i, j is greater than (resp. equal to) the sum of the other two.

We recall the Bose-Mesner algebra of  $\Gamma$ . For  $0 \leq i \leq D$  let  $A_i$  denote the matrix in  $\operatorname{Mat}_X(\mathbb{C})$  with (x, y)-entry

$$(A_i)_{xy} = \begin{cases} 1, & \text{if } \partial(x, y) = i \\ 0, & \text{if } \partial(x, y) \neq i \end{cases} \quad (x, y \in X).$$

We call  $A_i$  the *i*th distance matrix of  $\Gamma$ . The matrix  $A_1$  is often called the adjacency matrix of  $\Gamma$ . We observe (i)  $A_0 = I$ ; (ii)  $\sum_{i=0}^{D} A_i = J$ ; (iii)  $\overline{A_i} = A_i$  ( $0 \le i \le D$ ); (iv)  $A_i^t = A_i$  ( $0 \le i \le D$ ); (v)  $A_i A_j = \sum_{h=0}^{D} p_{ij}^h A_h$  ( $0 \le i, j \le D$ ), where I (resp. J) denotes the identity matrix (resp. all 1's matrix) in  $\operatorname{Mat}_X(\mathbb{C})$ . Using these facts we find  $A_0, A_1, \ldots, A_D$  is a basis for a commutative subalgebra M of  $\operatorname{Mat}_X(\mathbb{C})$ , called the Bose-Mesner algebra of  $\Gamma$ . It turns out that  $A_1$  generates M [1, p. 190]. By [5, p. 45], M has a second basis  $E_0, E_1, \ldots, E_D$  such that (i)  $E_0 = |X|^{-1}J$ ; (ii)  $\sum_{i=0}^{D} E_i = I$ ; (iii)  $\overline{E_i} = E_i$  ( $0 \le i \le D$ ); (iv)  $E_i^t = E_i$  ( $0 \le i \le D$ ); (v)  $E_i E_j = \delta_{ij} E_i$  ( $0 \le i, j \le D$ ). We call  $E_0, E_1, \ldots, E_D$  the primitive idempotents of  $\Gamma$ .

We recall the eigenvalues of  $\Gamma$ . Since  $E_0, E_1, \ldots, E_D$  form a basis for M there exist complex scalars  $\theta_0, \theta_1, \ldots, \theta_D$  such that  $A_1 = \sum_{i=0}^{D} \theta_i E_i$ . Observe  $A_1 E_i = E_i A_1 = \theta_i E_i$  for  $0 \le i \le D$ . By [1, p. 197] the scalars  $\theta_0, \theta_1, \ldots, \theta_D$  are in  $\mathbb{R}$ . Observe  $\theta_0, \theta_1, \ldots, \theta_D$  are mutually distinct since  $A_1$  generates M. We call  $\theta_i$  the *eigenvalue* of  $\Gamma$  associated with  $E_i$  ( $0 \le i \le D$ ). Observe

$$V = E_0 V + E_1 V + \dots + E_D V \qquad \text{(orthogonal direct sum)}.$$

For  $0 \leq i \leq D$  the space  $E_i V$  is the eigenspace of  $A_1$  associated with  $\theta_i$ .

We now recall the Krein parameters. Let  $\circ$  denote the entrywise product in  $\operatorname{Mat}_X(\mathbb{C})$ . Observe  $A_i \circ A_j = \delta_{ij}A_i$  for  $0 \le i, j \le D$ , so M is closed under  $\circ$ . Thus there exist complex scalars  $q_{ij}^h$   $(0 \le h, i, j \le D)$  such that

$$E_i \circ E_j = |X|^{-1} \sum_{h=0}^{D} q_{ij}^h E_h \qquad (0 \le i, j \le D).$$

By [3, p. 170],  $q_{ij}^h$  is real and nonnegative for  $0 \leq h, i, j \leq D$ . The  $q_{ij}^h$  are called the *Krein parameters* of  $\Gamma$ . The graph  $\Gamma$  is said to be *Q*-polynomial (with respect to the given

ordering  $E_0, E_1, \ldots, E_D$  of the primitive idempotents) whenever for  $0 \le h, i, j \le D$ ,  $q_{ij}^h = 0$ (resp.  $q_{ij}^h \ne 0$ ) whenever one of h, i, j is greater than (resp. equal to) the sum of the other two [5, p. 235]. See [6, 7, 8, 12, 13, 16, 17, 34] for background information on the Qpolynomial property. For the rest of this section we assume  $\Gamma$  is Q-polynomial with respect to  $E_0, E_1, \ldots, E_D$ .

We recall the dual Bose-Mesner algebra of  $\Gamma$ . For the rest of this paper we fix a vertex  $x \in X$ . We view x as a "base vertex." For  $0 \leq i \leq D$  let  $E_i^* = E_i^*(x)$  denote the diagonal matrix in  $\operatorname{Mat}_X(\mathbb{C})$  with (y, y)-entry

$$(E_i^*)_{yy} = \begin{cases} 1, & \text{if } \partial(x, y) = i \\ 0, & \text{if } \partial(x, y) \neq i \end{cases} \quad (y \in X).$$

$$(2)$$

We call  $E_i^*$  the *i*th dual idempotent of  $\Gamma$  with respect to x [38, p. 378]. We observe (i)  $\sum_{i=0}^{D} E_i^* = I$ ; (ii)  $\overline{E_i^*} = E_i^*$  ( $0 \le i \le D$ ); (iii)  $E_i^{*t} = E_i^*$  ( $0 \le i \le D$ ); (iv)  $E_i^* E_j^* = \delta_{ij} E_i^*$ ( $0 \le i, j \le D$ ). By these facts  $E_0^*, E_1^*, \ldots, E_D^*$  form a basis for a commutative subalgebra  $M^* = M^*(x)$  of  $\operatorname{Mat}_X(\mathbb{C})$ . We call  $M^*$  the dual Bose-Mesner algebra of  $\Gamma$  with respect to x [38, p. 378]. For  $0 \le i \le D$  let  $A_i^* = A_i^*(x)$  denote the diagonal matrix in  $\operatorname{Mat}_X(\mathbb{C})$ with (y, y)-entry  $(A_i^*)_{yy} = |X|(E_i)_{xy}$  for  $y \in X$ . Then  $A_0^*, A_1^*, \ldots, A_D^*$  is a basis for  $M^*$  [38, p. 379]. Moreover (i)  $A_0^* = I$ ; (ii)  $\overline{A_i^*} = A_i^*$  ( $0 \le i \le D$ ); (iii)  $A_i^{*t} = A_i^*$  ( $0 \le i \le D$ ); (iv)  $A_i^*A_j^* = \sum_{h=0}^{D} q_{ij}^h A_h^*$  ( $0 \le i, j \le D$ ) [38, p. 379]. We call  $A_0^*, A_1^*, \ldots, A_D^*$  the dual distance matrices of  $\Gamma$  with respect to x. The matrix  $A_1^*$  is often called the dual adjacency matrix of  $\Gamma$  with respect to x. The matrix  $A_1^*$  generates  $M^*$  [38, Lemma 3.11].

We recall the dual eigenvalues of  $\Gamma$ . Since  $E_0^*, E_1^*, \ldots, E_D^*$  form a basis for  $M^*$  there exist complex scalars  $\theta_0^*, \theta_1^*, \ldots, \theta_D^*$  such that  $A_1^* = \sum_{i=0}^{D} \theta_i^* E_i^*$ . Observe  $A_1^* E_i^* = E_i^* A_1^* = \theta_i^* E_i^*$  for  $0 \le i \le D$ . By [38, Lemma 3.11] the scalars  $\theta_0^*, \theta_1^*, \ldots, \theta_D^*$  are in  $\mathbb{R}$ . The scalars  $\theta_0^*, \theta_1^*, \ldots, \theta_D^*$ are mutually distinct since  $A_1^*$  generates  $M^*$ . We call  $\theta_i^*$  the dual eigenvalue of  $\Gamma$  associated with  $E_i^*$  ( $0 \le i \le D$ ).

We recall the subconstituents of  $\Gamma$ . From (2) we find

$$E_i^* V = \operatorname{span}\{\hat{y} \mid y \in X, \quad \partial(x, y) = i\} \qquad (0 \le i \le D).$$
(3)

By (3) and since  $\{\hat{y} \mid y \in X\}$  is an orthonormal basis for V we find

$$V = E_0^* V + E_1^* V + \dots + E_D^* V \qquad \text{(orthogonal direct sum)}.$$

For  $0 \leq i \leq D$  the space  $E_i^* V$  is the eigenspace of  $A_1^*$  associated with  $\theta_i^*$ . We call  $E_i^* V$  the *i*th *subconstituent* of  $\Gamma$  with respect to x.

We recall the subconstituent algebra of  $\Gamma$ . Let T = T(x) denote the subalgebra of  $Mat_X(\mathbb{C})$ generated by M and  $M^*$ . We call T the subconstituent algebra (or Terwilliger algebra) of  $\Gamma$  with respect to x [38, Definition 3.3]. Observe that T has finite dimension. Moreover Tis semisimple since it is closed under the conjugate transponse map [15, p. 157]. By [38, Lemma 3.2] the following are relations in T:

$$E_h^* A_i E_j^* = 0 \quad \text{iff} \quad p_{ij}^h = 0, \qquad (0 \le h, i, j \le D), \tag{4}$$

$$E_h A_i^* E_j = 0 \quad \text{iff} \quad q_{ij}^h = 0, \qquad (0 \le h, i, j \le D).$$
 (5)

See [10, 11, 14, 19, 21, 22, 26, 36, 38, 39, 40] for more information on the subconstituent algebra.

We recall the *T*-modules. By a *T*-module we mean a subspace  $W \subseteq V$  such that  $BW \subseteq W$  for all  $B \in T$ . Let W denote a *T*-module and let W' denote a *T*-module contained in W. Then the orthogonal complement of W' in W is a *T*-module [22, p. 802]. It follows that each *T*-module is an orthogonal direct sum of irreducible *T*-modules. In particular V is an orthogonal direct sum of irreducible *T*-modules.

Let W denote an irreducible T-module. Observe that W is the direct sum of the nonzero spaces among  $E_0^*W, \ldots, E_D^*W$ . Similarly W is the direct sum of the nonzero spaces among  $E_0W, \ldots, E_DW$ . By the *endpoint* of W we mean  $\min\{i|0 \le i \le D, E_i^*W \ne 0\}$ . By the *diameter* of W we mean  $|\{i|0 \le i \le D, E_i^*W \ne 0\}| - 1$ . By the *dual endpoint* of W we mean  $\min\{i|0 \le i \le D, E_i^*W \ne 0\}| - 1$ . By the *dual endpoint* of W we mean  $\min\{i|0 \le i \le D, E_i^*W \ne 0\}| - 1$ . It turns out that the diameter of W is equal to the dual diameter of W [34, Corollary 3.3]. We finish this section with a comment.

**Lemma 7.1** [38, Lemma 3.4, Lemma 3.9, Lemma 3.12] Let W denote an irreducible Tmodule with endpoint  $\rho$ , dual endpoint  $\tau$ , and diameter d. Then  $\rho, \tau, d$  are nonnegative integers such that  $\rho + d \leq D$  and  $\tau + d \leq D$ . Moreover the following (i)–(iv) hold.

- (i)  $E_i^*W \neq 0$  if and only if  $\rho \leq i \leq \rho + d$ ,  $(0 \leq i \leq D)$ .
- (ii)  $W = \sum_{h=0}^{d} E_{\rho+h}^* W$  (orthogonal direct sum).
- (iii)  $E_i W \neq 0$  if and only if  $\tau \leq i \leq \tau + d$ ,  $(0 \leq i \leq D)$ .
- (iv)  $W = \sum_{h=0}^{d} E_{\tau+h} W$  (orthogonal direct sum).

#### 8 A restriction on the intersection numbers

From now on we impose the following restriction on the intersection numbers of  $\Gamma$ .

**Assumption 8.1** We fix  $b, \beta \in \mathbb{C}$  such that  $b \neq 1$ , and assume  $\Gamma$  has classical parameters  $(D, b, \alpha, \beta)$  with  $\alpha = b - 1$ . This means that the intersection numbers of  $\Gamma$  satisfy

$$c_{i} = b^{i-1} \frac{b^{i} - 1}{b - 1},$$
  

$$b_{i} = (\beta + 1 - b^{i}) \frac{b^{D} - b^{i}}{b - 1}$$

for  $0 \le i \le D$  [5, p. 193]. We remark that b is an integer and  $b \ne 0$ ,  $b \ne -1$  [5, Proposition 6.2.1]. For notational convenience we fix  $q \in \mathbb{C}$  such that

$$b = q^2$$
.

We note that q is nonzero and not a root of unity.

**Remark 8.2** Referring to Assumption 8.1, the restriction  $\alpha = b-1$  implies that  $\Gamma$  is formally self-dual [5, Corollary 8.4.4]. Consequently there exists an ordering  $E_0, E_1, \ldots, E_D$  of the primitive idempotents of  $\Gamma$ , with respect to which the Krein parameter  $q_{ij}^h$  is equal to the intersection number  $p_{ij}^h$  for  $0 \le h, i, j \le D$ . In particular  $\Gamma$  is *Q*-polynomial with respect to  $E_0, E_1, \ldots, E_D$ . We fix this ordering of the primitive idempotents for the rest of the paper.

**Remark 8.3** In the notation of Bannai and Ito [1, p. 263], the *Q*-polynomial structure from Remark 8.2 is type I with  $s = 0, s^* = 0$ .

**Example 8.4** The following distance-regular graphs satisfy Assumption 8.1: the bilinear forms graph [5, p. 280], the alternating forms graph [5, p. 282], the Hermitean forms graph [5, p. 285], the quadratic forms graph [5, p. 290], the affine  $E_6$  graph [5, p. 340], and the extended ternary Golay code graph [5, p. 359].

With reference to Assumption 8.1 we will display an action of  $\boxtimes_q$  on the standard module of  $\Gamma$ . To describe this action we define eight matrices in  $Mat_X(\mathbb{C})$ , called

 $A, A^*, B, B^*, K, K^*, \Phi, \Psi.$  (6)

These matrices will be defined in the next two sections.

#### 9 The matrices A and $A^*$

In this section we define the matrices  $A, A^*$  and discuss their properties. We start with a comment.

**Lemma 9.1** [5, Corollary 8.4.4] With reference to Assumption 8.1, there exist  $\alpha_0, \alpha_1 \in \mathbb{C}$  such that each of  $\theta_i, \theta_i^*$  is  $\alpha_0 + \alpha_1 q^{D-2i}$  for  $0 \le i \le D$ . Moreover  $\alpha_1 \ne 0$ .

**Definition 9.2** With reference to Assumption 8.1 we define  $A, A^* \in Mat_X(\mathbb{C})$  so that

$$A_1 = \alpha_0 I + \alpha_1 A,$$
  

$$A_1^* = \alpha_0 I + \alpha_1 A^*,$$

where  $\alpha_0, \alpha_1$  are from Lemma 9.1. Thus for  $0 \leq i \leq D$  the space  $E_i V$  (resp.  $E_i^* V$ ) is an eigenspace of A (resp.  $A^*$ ) with eigenvalue  $q^{D-2i}$ .

**Lemma 9.3** With reference to Assumption 8.1 and Definition 9.2, the following (i), (ii) hold for all  $0 \le i, j \le D$  such that |i - j| > 1:

- (i)  $E_i^* A E_i^* = 0$ ,
- (*ii*)  $E_i A^* E_j = 0$ .

*Proof:* (i) We have  $p_{1j}^i = 0$  since |i - j| > 1, so  $E_i^* A_1 E_j^* = 0$  in view of (4). The result now follows using the first equation of Definition 9.2. (ii) Similar to the proof of (i) above.

The following is essentially a special case of [40, Lemma 5.4].

**Lemma 9.4** [40, Lemma 5.4] With reference to Assumption 8.1 and Definition 9.2 the matrices  $A, A^*$  satisfy the q-Serre relations

$$A^{3}A^{*} - [3]_{q}A^{2}A^{*}A + [3]_{q}AA^{*}A^{2} - A^{*}A^{3} = 0, (7)$$

$$A^{*3}A - [3]_q A^{*2}A A^* + [3]_q A^* A A^{*2} - A A^{*3} = 0.$$
(8)

*Proof:* We first show (7). By the last sentence in Definition 9.2, for  $0 \le i \le D$  we have  $AE_i = E_iA = \sigma_iE_i$  where  $\sigma_i = q^{D-2i}$ . Let C denote the expression on the left in (7). We show C = 0. Since  $I = E_0 + \cdots + E_D$  it suffices to show  $E_iCE_j = 0$  for  $0 \le i, j \le D$ . Let i, j be given. By our preliminary comment and the definition of C we find  $E_iCE_j = E_iA^*E_j\alpha_{ij}$  where

$$\alpha_{ij} = \sigma_i^3 - [3]_q \sigma_i^2 \sigma_j + [3]_q \sigma_i \sigma_j^2 - \sigma_j^3$$
  
=  $(\sigma_i - \sigma_j q^2)(\sigma_i - \sigma_j)(\sigma_i - \sigma_j q^{-2}).$  (9)

If |i - j| > 1 then  $E_i A^* E_j = 0$  by Lemma 9.3(ii). If  $|i - j| \le 1$  then  $\alpha_{ij} = 0$  by (9) and the definition of  $\sigma_0, \ldots, \sigma_D$ . In either case  $E_i C E_j = 0$  as desired. It follows that C = 0 and line (7) is proved. The proof of (8) is similar to the proof of (7).

We finish this section with a comment.

**Lemma 9.5** With reference to Assumption 8.1 and Definition 9.2 the matrices  $A, A^*$  together generate T.

*Proof:* By definition T is generated by M and  $M^*$ . The algebra M (resp.  $M^*$ ) is generated by  $A_1$  (resp.  $A_1^*$ ) and hence by A (resp.  $A^*$ ) in view of Definition 9.2. The result follows.  $\Box$ 

#### 10 The matrices $B, B^*, K, K^*, \Phi, \Psi$

In the previous section we defined the matrices  $A, A^*$ . In this section we define the remaining matrices from the list (6).

**Definition 10.1** With reference to Assumption 8.1, for  $-1 \le i, j \le D$  we define

$$V_{i,j}^{\downarrow\downarrow} = (E_0^*V + \dots + E_i^*V) \cap (E_0V + \dots + E_jV),$$
  

$$V_{i,j}^{\uparrow\downarrow} = (E_D^*V + \dots + E_{D-i}^*V) \cap (E_0V + \dots + E_jV),$$
  

$$V_{i,j}^{\downarrow\uparrow} = (E_0^*V + \dots + E_i^*V) \cap (E_DV + \dots + E_{D-j}V),$$
  

$$V_{i,j}^{\uparrow\uparrow} = (E_D^*V + \dots + E_{D-i}^*V) \cap (E_DV + \dots + E_{D-j}V).$$

In each of the above four equations we interpret the right-hand side to be 0 if i = -1 or j = -1.

**Definition 10.2** With reference to Assumption 8.1 and Definition 10.1, for  $\eta, \mu \in \{\downarrow, \uparrow\}$ and  $0 \leq i, j \leq D$  we have  $V_{i-1,j}^{\eta\mu} \subseteq V_{i,j}^{\eta\mu}$  and  $V_{i,j-1}^{\eta\mu} \subseteq V_{i,j}^{\eta\mu}$ . Therefore

$$V_{i-1,j}^{\eta\mu} + V_{i,j-1}^{\eta\mu} \subseteq V_{i,j}^{\eta\mu}.$$

Referring to the above inclusion, we define  $\tilde{V}_{i,j}^{\eta\mu}$  to be the orthogonal complement of the left-hand side in the right-hand side; that is

$$\tilde{V}_{i,j}^{\eta\mu} = (V_{i-1,j}^{\eta\mu} + V_{i,j-1}^{\eta\mu})^{\perp} \cap V_{i,j}^{\eta\mu}.$$

The following result is a mild generalization of [41, Corollary 5.8].

**Lemma 10.3** With reference to Assumption 8.1 and Definition 10.2 the following holds for  $\eta, \mu \in \{\downarrow, \uparrow\}$ :

$$V = \sum_{i=0}^{D} \sum_{j=0}^{D} \tilde{V}_{i,j}^{\eta\mu} \qquad (direct \ sum).$$

*Proof:* For  $\eta = \downarrow, \mu = \downarrow$  this is just [41, Corollary 5.8]. For general values of  $\eta, \mu$ , in the proof of [41, Corollary 5.8] replace the sequence  $E_0^*, \ldots, E_D^*$  (resp.  $E_0, \ldots, E_D$ ) by  $E_D^*, \ldots, E_0^*$  (resp.  $E_D, \ldots, E_D$ ) if  $\eta = \uparrow$  (resp.  $\mu = \uparrow$ ).

**Definition 10.4** With reference to Assumption 8.1 and Definition 10.2, we define B,  $B^*$ , K,  $K^*$ ,  $\Phi$ ,  $\Psi$  to be the unique matrices in  $Mat_X(\mathbb{C})$  that satisfy the requirements of the following table for  $0 \leq i, j \leq D$ .

The matrix	is 0 on
$B - q^{i-j}I$	$ ilde{V}_{i,j}^{\downarrow\uparrow}$
$B^* - q^{j-i}I$	$\tilde{V}_{i,j}^{\uparrow\downarrow}$
$K - q^{i-j}I$	$ ilde{V}_{i,j}^{\downarrow\downarrow}$
$K^* - q^{i-j}I$	$ ilde{V}_{i,j}^{\uparrow\uparrow}$
$\Phi - q^{i+j-D}I$	$ ilde{V}_{i,j}^{\downarrow\downarrow}$
$\Psi - q^{i+j-D}I$	$ ilde{V}_{i,j}^{\downarrow\uparrow}$

### **11** An action of $\boxtimes_q$ on the standard module of $\Gamma$

We now state our main result, in which we display an action of  $\boxtimes_q$  on the standard module V of  $\Gamma$ .

**Theorem 11.1** With reference to Assumption 8.1, there exists a  $\boxtimes_q$ -module structure on V such that the generators  $x_{ij}$  act as follows:

The proof of Theorem 11.1 is given at the end of this section. First we need some lemmas.

**Lemma 11.2** With reference to Assumption 8.1, let W denote an irreducible T-module with endpoint  $\rho$ , dual endpoint  $\tau$ , and diameter d. Then there exists a unique  $\boxtimes_q$ -module structure on W such that the generators  $x_{01}$ ,  $x_{23}$  act as  $Aq^{d-D+2\tau}$ ,  $A^*q^{d-D+2\rho}$  respectively. This  $\boxtimes_q$ module structure is irreducible and type 1.

Proof: The matrices  $A, A^*$  satisfy the q-Serre relations (7), (8). These relations are homogeneous so they still hold if  $A, A^*$  are replaced by  $Aq^{d-D+2\tau}, A^*q^{d-D+2\rho}$  respectively. Therefore there exists an  $\mathcal{A}_q$ -module structure on W such that the standard generators act as  $Aq^{d-D+2\tau}$  and  $A^*q^{d-D+2\rho}$ . The  $\mathcal{A}_q$ -module W is irreducible since  $A, A^*$  generate T and since the T-module W is irreducible. By Lemma 7.1(ii),(iv) the action of A on W is semisimple with eigenvalues  $q^{D-2\tau-2i}$  (0 ≤ i ≤ d). Therefore the action of  $A^*q^{d-D+2\tau}$  on W is semisimple with eigenvalues  $q^{d-2i}$  (0 ≤ i ≤ d). By Lemma 7.1(i),(ii) the action of  $A^*$  on W is semisimple with eigenvalues  $q^{d-2i}$  (0 ≤ i ≤ d). Therefore the action of  $A^*q^{d-D+2\rho}$  on W is semisimple with eigenvalues  $q^{d-2i}$  (0 ≤ i ≤ d). By Lemma 7.1(i),(ii) the action of  $A^*q^{d-D+2\rho}$  on W is semisimple with eigenvalues  $q^{d-2i}$  (0 ≤ i ≤ d). By Lemma 7.1(i),(ii) the action of  $A^*q^{d-D+2\rho}$  on W is semisimple with eigenvalues  $q^{d-2i}$  (0 ≤ i ≤ d). By these comments and the first paragraph of Section 6 the  $\mathcal{A}_q$ -module W is NonNil and type (1, 1). So far we have shown that the  $\mathcal{A}_q$ -module W is irreducible, NonNil, and type (1, 1). Combining this with Theorem 6.2 we obtain the result. □

**Lemma 11.3** With reference to Assumption 8.1, let W denote an irreducible T-module with endpoint  $\rho$ , dual endpoint  $\tau$ , and diameter d. Consider the  $\boxtimes_q$ -module structure on W from Lemma 11.2. For each generator  $x_{rs}$  of  $\boxtimes_q$  and for  $0 \leq i \leq d$ , the eigenspace of  $x_{rs}$  on W associated with the eigenvalue  $q^{d-2i}$  is given in the following table.

*Proof:* Referring to the table, we first verify row (r, s) = (0, 1). By Lemma 11.2 the generator  $x_{01}$  acts on W as  $Aq^{d-D+2\tau}$ . By Lemma 7.1(iii),(iv) the space  $E_{\tau+i}W$  is the eigenspace of A on W for the eigenvalue  $q^{D-2\tau-2i}$ . By these comments  $E_{\tau+i}W$  is the eigenspace of  $x_{01}$  on W for the eigenvalue  $q^{d-2i}$ . We have now verified row (r, s) = (0, 1). Next we verify row (r, s) = (2, 3). By Lemma 11.2 the generator  $x_{23}$  acts on W as  $A^*q^{d-D+2\rho}$ . By Lemma 7.1(i),(ii) the space  $E^*_{\rho+i}W$  is the eigenspace of  $A^*$  on W for the eigenvalue  $q^{D-2\rho-2i}$ . By these comments  $E^*_{\rho+i}W$  is the eigenspace of  $x_{23}$  on W for the eigenvalue  $q^{D-2\rho-2i}$ . By these comments  $E^*_{\rho+i}W$  is the eigenspace of  $x_{23}$  on W for the eigenvalue  $q^{d-2i}$ . We have now verified row (r, s) = (2, 3). The remaining rows are valid by [30, Theorem 16.4]. □

The following result is a mild generalization of [41, Lemma 6.1].

**Lemma 11.4** With reference to Assumption 8.1, let W denote an irreducible T-module with endpoint  $\rho$ , dual endpoint  $\tau$ , and diameter d. Then the following (i)–(iv) hold for  $0 \leq i \leq d$ .

(i) The space

$$(E_{\rho}^*W + \dots + E_{\rho+d-i}^*W) \cap (E_{\tau+d-i}W + \dots + E_{\tau+d}W)$$

is contained in  $\tilde{V}_{\rho+d-i,D-d-\tau+i}^{\downarrow\uparrow}$ .

(ii) The space

$$(E_{\rho+d-i}^*W + \dots + E_{\rho+d}^*W) \cap (E_{\tau}W + \dots + E_{\tau+d-i}W)$$

is contained in  $\tilde{V}_{D-d-\rho+i,\tau+d-i}^{\uparrow\downarrow}$ .

(iii) The space

$$(E^*_{\rho}W + \dots + E^*_{\rho+d-i}W) \cap (E_{\tau}W + \dots + E_{\tau+i}W)$$

is contained in  $\tilde{V}_{\rho+d-i,\tau+i}^{\downarrow\downarrow}$ .

(iv) The space

$$(E_{\rho+i}^*W + \dots + E_{\rho+d}^*W) \cap (E_{\tau+d-i}W + \dots + E_{\tau+d}W)$$

is contained in  $\tilde{V}_{D-\rho-i,D-d-\tau+i}^{\uparrow\uparrow}$ .

*Proof:* Assertion (iii) is just [41, Lemma 6.1]. To get (i), in the proof of [41, Lemma 6.1] replace the sequence  $E_0, \ldots, E_D$  by  $E_D, \ldots, E_0$ . To get (ii), in the proof of [41, Lemma 6.1] replace  $E_0^*, \ldots, E_D^*$  by  $E_D^*, \ldots, E_0^*$ . To get (iv), in the proof of [41, Lemma 6.1] replace  $E_0^*, \ldots, E_D^*$  (resp.  $E_0, \ldots, E_D$ ) by  $E_D^*, \ldots, E_0^*$  (resp.  $E_D, \ldots, E_0$ ).

**Lemma 11.5** With reference to Assumption 8.1, let W denote an irreducible T-module with endpoint  $\rho$ , dual endpoint  $\tau$ , and diameter d. Consider the  $\boxtimes_q$ -module structure on W from Lemma 11.2. In the table below, each row contains a matrix in  $Mat_X(\mathbb{C})$  and an element of  $\boxtimes_q$ . The action of these two objects on W coincide.

$\operatorname{matrix}$	element of $\boxtimes_q$
A	$q^{D-d-2\tau}x_{01}$
B	$q^{d-D+\rho+\tau}x_{12}$
$A^*$	$q^{D-d-2\rho}x_{23}$
$B^*$	$q^{d-D+\rho+\tau}x_{30}$
K	$q^{\rho-\tau}x_{02}$
$K^*$	$q^{\tau- ho}x_{13}$
$\Phi$	$q^{d-D+\rho+\tau}1$
$\Psi$	$q^{\rho-\tau}1$

*Proof:* By Lemma 11.2 the expressions  $A - q^{D-d-2\tau}x_{01}$  and  $A^* - q^{D-d-2\rho}x_{23}$  are each 0 on W. Next we show that  $B - q^{d-D+\rho+\tau}x_{12}$  is 0 on W. To this end we pick  $w \in W$  and show  $Bw = q^{d-D+\rho+\tau}x_{12}w$ . Recall that  $x_{12}$  is semisimple on W with eigenvalues  $q^{d-2i}$  ( $0 \le i \le d$ ). Therefore without loss of generality we may assume that there exists an integer i ( $0 \le i \le d$ ) such that  $x_{12}w = q^{d-2i}w$ . By row (r, s) = (1, 2) in the table of Lemma 11.3 and by Lemma 11.4(i), we find  $w \in \tilde{V}_{\rho+d-i,D-d-\tau+i}^{+1}$ . By this and the first row in the table of Definition 10.4 we find  $Bw = q^{2d-D+\rho+\tau}x_{01}w$ . From these comments we find  $Bw = q^{d-D+\rho+\tau}x_{12}w$  as desired. We have now shown that  $B - q^{d-D+\rho+\tau}x_{12}$  is 0 on W. Similarly one shows that each of  $B^* - q^{d-D+\rho+\tau}x_{30}$ ,  $K - q^{\rho-\tau}x_{02}$ ,  $K^* - q^{\tau-\rho}x_{13}$  is 0 on W. We now show that  $\Phi - q^{d-D+\rho+\tau}I$  is 0 on W. To this end we pick  $v \in W$  and show  $\Phi v = q^{d-D+\rho+\tau}v$ . Recall that  $x_{02}$  is semisimple on W with eigenvalues  $q^{d-2i}$  ( $0 \le i \le d$ ). Therefore without loss of generality we may assume that there exists an integer i ( $0 \le i \le d$ ) such that  $x_{02}v = q^{d-2i}v$ . By row (r, s) = (0, 2) in the table of Lemma 11.3 and by Lemma 11.4(ii), we find  $v \in \tilde{V}_{\rho+d-i,\tau+i}^{\perp}$ . By this and the second to the last row in the table of Definition 10.4 we find  $\Phi v = q^{d-D+\rho+\tau}v$  as desired. We have now shown that  $\Phi - q^{d-D+\rho+\tau}I$  is 0 on W. Similarly one shows that  $\Phi - q^{d-D+\rho+\tau}I$  is 0 on W. Similarly one shows that  $\Phi - q^{d-D+\rho+\tau}I$  is 0 on W. To the exists an integer i ( $0 \le i \le d$ ) such that  $x_{02}v = q^{d-2i}v$ . By row (r, s) = (0, 2) in the table of Lemma 11.3 and by Lemma 11.4(iii), we find  $v \in \tilde{V}_{\rho+d-i,\tau+i}^{\perp}$ . By this and the second to the last row in the table of Definition 10.4 we find  $\Phi v = q^{d-D+\rho+\tau}v$  as desired. We have now shown that  $\Phi - q^{d-D+\rho+\tau}I$  is 0 on W. Similarly one shows that  $\Psi - q^{\rho-\tau}I$  is 0 on W.

**Corollary 11.6** With reference to Assumption 8.1, let W denote an irreducible T-module and consider the  $\boxtimes_q$ -action on W from Lemma 11.2. In the table below, each column contains a generator for  $\boxtimes_q$  and a matrix in  $Mat_X(\mathbb{C})$ . The action of these two objects on W coincide.

generator	$x_{01}$	$x_{12}$	$x_{23}$	$x_{30}$	$x_{02}$	$x_{13}$
matrix	$A\Phi\Psi^{-1}$	$B\Phi^{-1}$	$A^{*}\Phi\Psi$	$B^*\Phi^{-1}$	$K\Psi^{-1}$	$K^*\Psi$

*Proof:* Immediate from Lemma 11.5.

It is now a simple matter to prove Theorem 11.1.

Proof of Theorem 11.1: The standard module V decomposes into a direct sum of irreducible T-modules. Each irreducible T-module in this decomposition supports a  $\boxtimes_q$ -module structure from Lemma 11.2. Combining these  $\boxtimes_q$ -modules we get a  $\boxtimes_q$ -module structure on V. It remains to show that this  $\boxtimes_q$ -module satisfies the requirements of Theorem 11.1. This is the case since by Corollary 11.6, for each column in the table of Theorem 11.1 the given  $\boxtimes_q$  generator and the matrix beneath it coincide on each of the irreducible T-modules in the above decomposition and hence on V.

**Remark 11.7** In Theorem 11.1 we displayed an action of  $\boxtimes_q$  on the standard module V of  $\Gamma$ . In Proposition 4.3 we displayed four  $\mathbb{C}$ -algebra homomorphisms from  $U_q(\widehat{\mathfrak{sl}}_2)$  to  $\boxtimes_q$ . Using these homomorphisms to pull back the  $\boxtimes_q$ -action we obtain four  $U_q(\widehat{\mathfrak{sl}}_2)$ -module structures on V.

#### **12** How $\boxtimes_q$ is related to T

In Theorem 11.1 we displayed an action of  $\boxtimes_q$  on the standard module of  $\Gamma$ ; observe that this action induces a  $\mathbb{C}$ -algebra homomorphism  $\boxtimes_q \to \operatorname{Mat}_X(\mathbb{C})$  which we will denote by  $\vartheta$ . In this section we clarify how the image  $\vartheta(\boxtimes_q)$  is related to the subconstituent algebra T.

Lemma 12.1 With reference to Assumption 8.1, the following (i), (ii) hold.

- (i) Each of the matrices from the list (6) is contained in T.
- (ii) Each of  $\Phi, \Psi$  is contained in the center Z(T).

Proof: (i) By Lemma 11.5 each matrix in the list (6) leaves invariant every irreducible T-module. Let T' denote the set of matrices in  $\operatorname{Mat}_X(\mathbb{C})$  that leave invariant every irreducible T-module. We observe that T' is a subalgebra of  $\operatorname{Mat}_X(\mathbb{C})$  that contains T as well as each matrix in the list (6). We show that T = T'. To this end we first show that T' is semisimple. By the construction each irreducible T-module is an irreducible T'-module. We mentioned in Section 7 that the standard module V is a direct sum of irreducible T-modules. Therefore V is a direct sum of irreducible T'-modules, so T' is semisimple. Next, let  $W_1, W_2$  denote irreducible T-modules. We claim that any isomorphism of T-modules  $\gamma : W_1 \to W_2$  is an isomorphism of T'-module and therefore invariant under T'. By our above comments the vector spaces T and T' have the same dimension; this dimension is  $\sum_{\lambda} d_{\lambda}^2$  where the sum is over all isomorphism classes  $\lambda$  of irreducible T-modules and  $d_{\lambda}$  denotes the dimension of an irreducible T-module in the isomorphism class  $\lambda$ . Since T' contains T and they have the same dimension we find T = T'. The result follows.

(ii) By Lemma 11.5 each of  $\Phi, \Psi$  acts as a scalar multiple of the identity on every irreducible T-module.

**Theorem 12.2** With reference to Assumption 8.1 the following (i), (ii) hold.

- (i) The image  $\vartheta(\boxtimes_q)$  is contained in T.
- (ii) T is generated by  $\vartheta(\boxtimes_q)$  together with  $\Phi, \Psi$ .

*Proof:* Combine Lemma 9.5, Theorem 11.1, and Lemma 12.1.

#### 13 Directions for further research

In this section we give some suggestions for further research.

**Problem 13.1** For the spaces in Definition 10.1, find a combinatorial interpretation and an attractive basis.

**Problem 13.2** With reference to Assumption 8.1, the matrices  $\Phi, \Psi$  commute by Lemma 12.1(ii) and they are semisimple by Definition 10.4. Therefore the standard module of  $\Gamma$  decomposes into a direct sum of their common eigenspaces. For these common eigenspaces find a combinatorial interpretation and an attractive basis.

**Problem 13.3** With reference to Assumption 8.1, for  $y, z \in X$  and for each of B,  $B^*$ , K,  $K^*$ ,  $\Phi$ ,  $\Psi$  find the (y, z)-entry in terms of the distances  $\partial(x, y)$ ,  $\partial(y, z)$ ,  $\partial(z, x)$  (x = base vertex from Section 7) and other combinatorial parameters as needed. When is this entry 0?

**Problem 13.4** Find all the distance-regular graphs that have classical parameters  $(D, b, \alpha, \beta)$  and  $b \neq 1$ ,  $\alpha = b - 1$ . Some examples are given in Example 8.4.

**Problem 13.5** The finite-dimensional irreducible  $U_q(\mathfrak{sl}_2)$ -modules are classified by V. Chari and A. Pressley [9]; see also [18], [37]. Use this and Remark 11.7 to describe the irreducible *T*-modules for each of the graphs in Example 8.4.

**Conjecture 13.6** With reference to Assumption 8.1, for  $0 \le i, j \le D$  the spaces  $\tilde{V}_{ij}^{\downarrow\downarrow}$  and  $\tilde{V}_{rs}^{\uparrow\uparrow}$  are orthogonal unless i + r = D and j + s = D. Moreover  $\tilde{V}_{ij}^{\downarrow\uparrow}$  and  $\tilde{V}_{rs}^{\uparrow\downarrow}$  are orthogonal unless i + r = D and j + s = D.

**Problem 13.7** With reference to Assumption 8.1, note by Lemma 11.5 that the following are equivalent: (i) for each irreducible *T*-module the endpoint and dual endpoint coincide; (ii)  $\Psi = I$ . For which of the graphs in Example 8.4 do these equivalent conditions hold?

**Conjecture 13.8** With reference to Assumption 8.1, each of  $\Phi, \Psi$  is symmetric and

$$B^t = B^*, \qquad K^t = K^{*-1}.$$

Under Assumption 8.1 we displayed an action of  $\boxtimes_q$  on the standard module of  $\Gamma$ . For the moment replace Assumption 8.1 by the weaker assumption that  $\Gamma$  is *Q*-polynomial. We suspect that there is still a natural action of  $\boxtimes_q$  (or  $U_q(\widehat{\mathfrak{sl}}_2), U_q(\mathfrak{sl}_2), \widehat{\mathfrak{sl}}_2, \mathfrak{sl}_2, \ldots$  in degenerate cases) on the standard module of  $\Gamma$ . It is premature for us to guess how this action behaves in every case, but the general idea is conveyed in the following two conjectures.

**Conjecture 13.9** Assume  $\Gamma$  has classical parameters  $(D, b, \alpha, \beta)$  with  $b \neq 1$ . In order to avoid degenerate situations, assume that  $\Gamma$  is not a dual polar graph [5, p. 274]. Then for  $b = q^2$  there exists a  $\boxtimes_q$ -action on the standard module of  $\Gamma$  for which the adjacency matrix acts as a Z(T)-linear combination of  $1, x_{01}, x_{12}$  and the dual adjacency matrix acts as a Z(T)-linear combination of  $1, x_{23}$ . We recall that Z(T) denotes the center of T.

**Conjecture 13.10** Assume  $\Gamma$  is *Q*-polynomial, with eigenvalues  $\theta_i$  and dual eigenvalues  $\theta_i^*$ . Recall that the expressions

$$\frac{\theta_{i-2} - \theta_{i+1}}{\theta_{i-1} - \theta_i}, \qquad \qquad \frac{\theta_{i-2}^* - \theta_{i+1}^*}{\theta_{i-1}^* - \theta_i^*}$$

are equal and independent of i for  $2 \le i \le D - 1$  [1, p. 263]. Denote this common value by  $b+b^{-1}+1$  and assume that b is not a root of unity. Further assume that, in the notation of Bannai and Ito [1, p. 263], the given Q-polynomial structure is type I with  $s \ne 0$  and  $s^* \ne 0$ . Then for  $b = q^2$  there exists a  $\boxtimes_q$ -action on the standard module of  $\Gamma$  for which the adjacency matrix acts as a Z(T)-linear combination of  $1, x_{01}, x_{12}$  and the dual adjacency matrix acts as a Z(T)-linear combination of  $1, x_{23}, x_{30}$ .

**Problem 13.11** A uniform poset [43] is ranked and has an algebraic structure similar to that of a Q-polynomial distance-regular graph. In [43, p. 200] 11 infinite families of uniform posets are given. For some uniform posets P it might be possible to adapt the method of the present paper to get an action of  $\boxtimes_q$  on the standard module of P.

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