## Distance－regular graphs and the q－tetrahedron algebra

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# Distance-regular graphs and the $q$-tetrahedron algebra 

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In honor of Eiichi Bannai on his 60th Birthday


#### Abstract

Let $\Gamma$ denote a distance-regular graph with classical parameters $(D, b, \alpha, \beta)$ and $b \neq 1, \alpha=b-1$. The condition on $\alpha$ implies that $\Gamma$ is formally self-dual. For $b=q^{2}$ we use the adjacency matrix and dual adjacency matrix to obtain an action of the $q$-tetrahedron algebra $\boxtimes_{q}$ on the standard module of $\Gamma$. We describe four algebra homomorphisms into $\boxtimes_{q}$ from the quantum affine algebra $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right)$; using these we pull back the above $\boxtimes_{q}$-action to obtain four actions of $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right)$ on the standard module of $\Gamma$.


Keywords. Tetrahedron algebra, distance-regular graph, quantum affine algebra, tridiagonal pair.
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## 1 Introduction

In [25] B. Hartwig and the second author gave a presentation of the three-point $\mathfrak{s l}_{2}$ loop algebra via generators and relations. To obtain this presentation they defined a Lie algebra $\boxtimes$ by generators and relations, and displayed an isomorphism from $\boxtimes$ to the three-point $\mathfrak{s l}_{2}$ loop algebra. The algebra $\boxtimes$ has essentially six generators, and it is natural to identify these with the six edges of a tetrahedron. For each face of the tetrahedron the three surrounding edges form a basis for a subalgebra of $\boxtimes$ that is isomorphic to $\mathfrak{s l}_{2}$ [25, Corollary 12.4]. Any five of the six edges of the tetrahedron generate a subalgebra of $\boxtimes$ that is isomorphic to the $\mathfrak{s l}_{2}$ loop algebra [25, Corollary 12.6]. Each pair of opposite edges of the tetrahedron generate a subalgebra of $\boxtimes$ that is isomorphic to the Onsager algebra [25, Corollary 12.5]. Let us call these Onsager subalgebras. Then $\boxtimes$ is the direct sum of its three Onsager subalgebras [25, Theorem 11.6]. In [20] Elduque found an attractive decomposition of $\boxtimes$ into a direct sum of three abelian subalgebras, and he showed how these subalgebras are related to the Onsager

[^0]subalgebras. In [35] Pascasio and the second author give an action of $\boxtimes$ on the standard module of a Hamming graph. In [4] Bremner obtained the universal central extension of the three-point $\mathfrak{s l}_{2}$ loop algebra. By modifying the defining relations for $\boxtimes$, Benkart and the second author obtained a presentation for this extension by generators and relations [2]. In [24] Hartwig obtained the irreducible finite-dimensional $\boxtimes$-modules over an algebraically closed field with characteristic 0 .

In [30] we introduced a quantum analog of $\boxtimes$ which we call $\boxtimes_{q}$. We defined $\boxtimes_{q}$ using generators and relations. We showed how $\boxtimes_{q}$ is related to the quantum group $U_{q}\left(\mathfrak{s l}_{2}\right)$ in roughly the same way that $\boxtimes$ is related to $\mathfrak{s l}_{2}$ [30, Proposition 7.4]. We showed how $\boxtimes_{q}$ is related to the $U_{q}\left(\mathfrak{s l}_{2}\right)$ loop algebra in roughly the same way that $\boxtimes$ is related to the $\mathfrak{s l}_{2}$ loop algebra [30, Proposition 8.3]. In [28] we considered an algebra $\mathcal{A}_{q}$ on two generators subject to the cubic $q$-Serre relations. $\mathcal{A}_{q}$ is often called the positive part of $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right)$. We showed how $\boxtimes_{q}$ is related to $\mathcal{A}_{q}$ in roughly the same way that $\boxtimes$ is related to the Onsager algebra [30, Proposition 9.4]. In [30] and [31] we described the finite-dimensional irreducible $\boxtimes_{q}$-modules under the assumption that $q$ is not a root of 1 , and the underlying field is algebraically closed.

In the present paper we consider a distance-regular graph $\Gamma$ that has classical parameters $(D, b, \alpha, \beta)$ and $b \neq 1, \alpha=b-1$. The condition on $\alpha$ implies that $\Gamma$ is formally self-dual [5, p. 71]. For $b=q^{2}$ we use the adjacency matrix and dual adjacency matrix to construct an action of $\boxtimes_{q}$ on the standard module of $\Gamma$. We describe four algebra homomorphisms from $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right)$ to $\boxtimes_{q}$; using these homomorphisms we pull back the above $\boxtimes_{q}$-action to obtain four actions of $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right)$ on the standard module of $\Gamma$. Several well-known families of distanceregular graphs satisfy the above parameter restriction; for instance the bilinear forms graph [5, p. 280], the alternating forms graph [5, p. 282], the Hermitean forms graph [5, p. 285], the quadratic forms graph [5, p. 290], the affine $E_{6}$ graph [5, p. 340], and the extended ternary Golay code graph [5, p. 359].
All of the original results in this paper are about distance-regular graphs. However, in order to motivate things and develop some machinery, we will initially discuss $\boxtimes_{q}$ and its relationship to certain quantum groups. The paper is organized as follows. In Section 2 we define $\boxtimes_{q}$ and mention a few of its properties. In Section 3 we recall how $\boxtimes_{q}$ is related to $U_{q}\left(\mathfrak{s l}_{2}\right)$. In Section 4 we discuss how $\boxtimes_{q}$ is related to $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right)$. In Section 5 we recall how $\boxtimes_{q}$ is related to $\mathcal{A}_{q}$. In Section 6 we discuss the finite-dimensional irreducible $\boxtimes_{q}$-modules. In Section 7 we consider a distance-regular graph $\Gamma$ and discuss its basic properties. In Section 8 we impose a parameter restriction on $\Gamma$ needed to construct our $\boxtimes_{q}$-module. In Sections 9 , 10 we define some matrices that will be used to construct our $\boxtimes_{q}$-module. In Section 11 we display an action of $\boxtimes_{q}$ on the standard module of $\Gamma$; Theorem 11.1 is the main result of the paper. In Section 12 we discuss how the above $\boxtimes_{q}$-action is related to the subconstituent algebra of $\Gamma$. In Section 13 we give some suggestions for further research.

Throughout the paper $\mathbb{C}$ denotes the field of complex numbers.

## 2 The $q$-tetrahedron algebra $\boxtimes_{q}$

In this section we recall the $q$-tetrahedron algebra. We fix a nonzero scalar $q \in \mathbb{C}$ such that $q^{2} \neq 1$ and define

$$
[n]_{q}=\frac{q^{n}-q^{-n}}{q-q^{-1}}, \quad n=0,1,2, \ldots
$$

We let $\mathbb{Z}_{4}=\mathbb{Z} / 4 \mathbb{Z}$ denote the cyclic group of order 4 .
Definition 2.1 [30, Definition 6.1] Let $\boxtimes_{q}$ denote the unital associative $\mathbb{C}$-algebra that has generators

$$
\left\{x_{i j} \mid i, j \in \mathbb{Z}_{4}, j-i=1 \text { or } j-i=2\right\}
$$

and the following relations:
(i) For $i, j \in \mathbb{Z}_{4}$ such that $j-i=2$,

$$
x_{i j} x_{j i}=1 .
$$

(ii) For $h, i, j \in \mathbb{Z}_{4}$ such that the pair $(i-h, j-i)$ is one of $(1,1),(1,2),(2,1)$,

$$
\frac{q x_{h i} x_{i j}-q^{-1} x_{i j} x_{h i}}{q-q^{-1}}=1
$$

(iii) For $h, i, j, k \in \mathbb{Z}_{4}$ such that $i-h=j-i=k-j=1$,

$$
\begin{equation*}
x_{h i}^{3} x_{j k}-[3]_{q} x_{h i}^{2} x_{j k} x_{h i}+[3]_{q} x_{h i} x_{j k} x_{h i}^{2}-x_{j k} x_{h i}^{3}=0 . \tag{1}
\end{equation*}
$$

We call $\boxtimes_{q}$ the $q$-tetrahedron algebra or " $q$-tet" for short.
Note 2.2 The equations (1) are the cubic $q$-Serre relations [33, p. 10].
We make some observations.
Lemma 2.3 [30, Lemma 6.3] There exists a $\mathbb{C}$-algebra automorphism $\varrho$ of $\boxtimes_{q}$ that sends each generator $x_{i j}$ to $x_{i+1, j+1}$. Moreover $\varrho^{4}=1$.

Lemma 2.4 [30, Lemma 6.5] There exists a $\mathbb{C}$-algebra automorphism of $\boxtimes_{q}$ that sends each generator $x_{i j}$ to $-x_{i j}$.

## 3 The algebra $U_{q}\left(\mathfrak{s l}_{2}\right)$

In this section we recall how the algebra $\boxtimes_{q}$ is related to $U_{q}\left(\mathfrak{s l}_{2}\right)$. We start with a definition.
Definition 3.1 [32, p. 122] Let $U_{q}\left(\mathfrak{s l}_{2}\right)$ denote the unital associative $\mathbb{C}$-algebra with generators $K^{ \pm 1}, e^{ \pm}$and the following relations:

$$
\begin{aligned}
K K^{-1} & =K^{-1} K=1 \\
K e^{ \pm} K^{-1} & =q^{ \pm 2} e^{ \pm} \\
{\left[e^{+}, e^{-}\right] } & =\frac{K-K^{-1}}{q-q^{-1}}
\end{aligned}
$$

The following presentation of $U_{q}\left(\mathfrak{s l}_{2}\right)$ will be useful.
Lemma 3.2 [29, Theorem 2.1] The algebra $U_{q}\left(\mathfrak{s l}_{2}\right)$ is isomorphic to the unital associative $\mathbb{C}$-algebra with generators $x^{ \pm 1}, y, z$ and the following relations:

$$
\begin{aligned}
& x x^{-1}=x^{-1} x=1, \\
& \frac{q x y-q^{-1} y x}{q-q^{-1}}=1, \\
& \frac{q y z-q^{-1} z y}{q-q^{-1}}=1, \\
& \frac{q z x-q^{-1} x z}{q-q^{-1}}=1 .
\end{aligned}
$$

An isomorphism with the presentation in Definition 3.1 is given by:

$$
\begin{aligned}
x^{ \pm 1} & \mapsto K^{ \pm 1} \\
y & \mapsto K^{-1}+e^{-}, \\
z & \mapsto K^{-1}-K^{-1} e^{+} q\left(q-q^{-1}\right)^{2} .
\end{aligned}
$$

The inverse of this isomorphism is given by:

$$
\begin{aligned}
K^{ \pm 1} & \mapsto x^{ \pm 1}, \\
e^{-} & \mapsto y-x^{-1}, \\
e^{+} & \mapsto(1-x z) q^{-1}\left(q-q^{-1}\right)^{-2} .
\end{aligned}
$$

Proposition 3.3 [30, Proposition 7.4] For $i \in \mathbb{Z}_{4}$ there exists a $\mathbb{C}$-algebra homomorphism from $U_{q}\left(\mathfrak{s l}_{2}\right)$ to $\boxtimes_{q}$ that sends

$$
x \mapsto x_{i, i+2}, \quad x^{-1} \mapsto x_{i+2, i}, \quad y \mapsto x_{i+2, i+3}, \quad z \mapsto x_{i+3, i} .
$$

## 4 The quantum affine algebra $U_{q}\left(\widehat{\mathfrak{s}}_{2}\right)$

In this section we consider how $\boxtimes_{q}$ is related to the quantum affine algebra $U_{q}\left(\widehat{\mathfrak{s}}_{2}\right)$. We start with a definition.

Definition 4.1 [9, p. 262] The quantum affine algebra $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right)$ is the unital associative $\mathbb{C}$ algebra with generators $K_{i}^{ \pm 1}, e_{i}^{ \pm}, i \in\{0,1\}$ and the following relations:

$$
\begin{aligned}
& K_{i} K_{i}^{-1}=K_{i}^{-1} K_{i}=1, \\
& K_{0} K_{1}=K_{1} K_{0}, \\
& K_{i} e_{i}^{ \pm} K_{i}^{-1}=q^{ \pm 2} e_{i}^{ \pm}, \\
& K_{i} e_{j}^{ \pm} K_{i}^{-1}=q^{\mp 2} e_{j}^{ \pm}, \quad i \neq j, \\
& {\left[e_{i}^{+}, e_{i}^{-}\right] }=\frac{K_{i}-K_{i}^{-1}}{q-q^{-1}}, \\
& {\left[e_{0}^{ \pm}, e_{1}^{\mp}\right] }=0, \\
&\left(e_{i}^{ \pm}\right)^{3} e_{j}^{ \pm}-[3]_{q}\left(e_{i}^{ \pm}\right)^{2} e_{j}^{ \pm} e_{i}^{ \pm}+[3]_{q} e_{i}^{ \pm} e_{j}^{ \pm}\left(e_{i}^{ \pm}\right)^{2}-e_{j}^{ \pm}\left(e_{i}^{ \pm}\right)^{3}=0, \quad i \neq j
\end{aligned}
$$

The following presentation of $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right)$ will be useful.
Theorem 4.2 ([27, Theorem 2.1], [42]) The quantum affine algebra $U_{q}\left(\widehat{\mathfrak{s}}_{2}\right)$ is isomorphic to the unital associative $\mathbb{C}$-algebra with generators $x_{i}^{ \pm 1}, y_{i}, z_{i}, i \in\{0,1\}$ and the following relations:

$$
\begin{aligned}
& x_{i} x_{i}^{-1}=x_{i}^{-1} x_{i}=1, \\
& x_{0} x_{1} \text { is central, } \\
& \frac{q x_{i} y_{i}-q^{-1} y_{i} x_{i}}{q-q^{-1}}=1, \\
& \frac{q y_{i} z_{i}-q^{-1} z_{i} y_{i}}{q-q^{-1}}=1, \\
& \frac{q z_{i} x_{i}-q^{-1} x_{i} z_{i}}{q-q^{-1}}=1, \\
& \frac{q z_{i} y_{j}-q^{-1} y_{j} z_{i}}{q-q^{-1}}=x_{0}^{-1} x_{1}^{-1}, \quad i \neq j, \\
& y_{i}^{3} y_{j}-[3]_{q} y_{i}^{2} y_{j} y_{i}+[3]_{q} y_{i} y_{j} y_{i}^{2}-y_{j} y_{i}^{3}=0, \quad i \neq j, \\
& z_{i}^{3} z_{j}-[3]_{q} z_{i}^{2} z_{j} z_{i}+[3]_{q} z_{i} z_{j} z_{i}^{2}-z_{j} z_{i}^{3}=0, \quad i \neq j
\end{aligned}
$$

An isomorphism with the presentation in Definition 4.1 is given by:

$$
\begin{aligned}
x_{i}^{ \pm 1} & \mapsto K_{i}^{ \pm 1} \\
y_{i} & \mapsto K_{i}^{-1}+e_{i}^{-} \\
z_{i} & \mapsto K_{i}^{-1}-K_{i}^{-1} e_{i}^{+} q\left(q-q^{-1}\right)^{2} .
\end{aligned}
$$

The inverse of this isomorphism is given by:

$$
\begin{aligned}
K_{i}^{ \pm 1} & \mapsto x_{i}^{ \pm 1} \\
e_{i}^{-} & \mapsto y_{i}-x_{i}^{-1}, \\
e_{i}^{+} & \mapsto\left(1-x_{i} z_{i}\right) q^{-1}\left(q-q^{-1}\right)^{-2} .
\end{aligned}
$$

Proposition 4.3 For $i \in \mathbb{Z}_{4}$ there exists a $\mathbb{C}$-algebra homomorphism from $U_{q}\left(\widehat{\mathfrak{s l}}{ }_{2}\right)$ to $\boxtimes_{q}$ that sends

$$
\begin{array}{lll}
x_{1} \mapsto x_{i, i+2}, & x_{1}^{-1} \mapsto x_{i+2, i}, & y_{1} \mapsto x_{i+2, i+3},
\end{array} \quad z_{1} \mapsto x_{i+3, i},
$$

Proof: Compare the defining relations for $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right)$ given in Theorem 4.2 with the relations in Definition 2.1.

## 5 The algebra $\mathcal{A}_{q}$

In this section we recall how $\boxtimes_{q}$ is related to the algebra $\mathcal{A}_{q}$. We start with a definition.
Definition 5.1 Let $\mathcal{A}_{q}$ denote the unital associative $\mathbb{C}$-algebra defined by generators $x, y$ and relations

$$
\begin{aligned}
x^{3} y-[3]_{q} x^{2} y x+[3]_{q} x y x^{2}-y x^{3} & =0 \\
y^{3} x-[3]_{q} y^{2} x y+[3]_{q} y x y^{2}-x y^{3} & =0
\end{aligned}
$$

Definition 5.2 Referring to Definition 5.1, we call $x, y$ the standard generators for $\mathcal{A}_{q}$.
Note 5.3 [33, Corollary 3.2.6] The algebra $\mathcal{A}_{q}$ is often called the positive part of $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right)$.
Proposition 5.4 [30, Proposition 9.4] For $i \in \mathbb{Z}_{4}$ there exists a homomorphism of $\mathbb{C}$ algebras from $\mathcal{A}_{q}$ to $\boxtimes_{q}$ that sends the standard generators $x, y$ to $x_{i, i+1}, x_{i+2, i+3}$ respectively.

## 6 The finite-dimensional irreducible $\boxtimes_{q}$-modules

In this section we recall how the finite-dimensional irreducible modules for $\boxtimes_{q}$ and $\mathcal{A}_{q}$ are related. We start with some comments. Let $V$ denote a finite-dimensional vector space over $\mathbb{C}$. A linear transformation $A: V \rightarrow V$ is said to be nilpotent whenever there exists a positive integer $n$ such that $A^{n}=0$. Let $V$ denote a finite-dimensional irreducible $\mathcal{A}_{q}$-module. This module is called NonNil whenever the standard generators $x, y$ are not nilpotent on $V$ [28, Definition 1.3]. Assume $V$ is NonNil. Then by [28, Corollary 2.8] the standard generators $x, y$ are semisimple on $V$. Moreover there exist an integer $d \geq 0$ and nonzero scalars $\alpha, \alpha^{*} \in \mathbb{C}$ such that the set of distinct eigenvalues of $x$ (resp. $y$ ) on $V$ is $\left\{\alpha q^{d}, \alpha q^{d-2}, \ldots, \alpha q^{-d}\right\}$ (resp. $\left.\left\{\alpha^{*} q^{d}, \alpha^{*} q^{d-2}, \ldots, \alpha^{*} q^{-d}\right\}\right)$. We call the ordered pair ( $\alpha, \alpha^{*}$ ) the type of $V$. Replacing $x, y$ by $x / \alpha, y / \alpha^{*}$ the type becomes $(1,1)$. Now let $V$ denote a finite-dimensional irreducible
$\boxtimes_{q}$-module. By [30, Theorem 12.3] each generator $x_{i j}$ is semisimple on $V$. Moreover there exist an integer $d \geq 0$ and a scalar $\varepsilon \in\{1,-1\}$ such that for each generator $x_{i j}$ the set of distinct eigenvalues on $V$ is $\left\{\varepsilon q^{d}, \varepsilon q^{d-2}, \ldots, \varepsilon q^{-d}\right\}$. We call $\varepsilon$ the type of $V$. Replacing each generator $x_{i j}$ by $\varepsilon x_{i j}$ the type becomes 1 . The finite-dimensional irreducible modules for $\boxtimes_{q}$ and $\mathcal{A}_{q}$ are related according to the following two theorems and subsequent remark.

Theorem 6.1 [30, Theorem 10.3] Let $V$ denote a finite-dimensional irreducible $\boxtimes_{q}$-module of type 1. Then there exists a unique $\mathcal{A}_{q}$-module structure on $V$ such that the standard generators $x$ and $y$ act as $x_{01}$ and $x_{23}$ respectively. This $\mathcal{A}_{q}$-module is irreducible, NonNil, and type $(1,1)$.

Theorem 6.2 [30, Theorem 10.4] Let $V$ denote a NonNil finite-dimensional irreducible $\mathcal{A}_{q^{-}}$ module of type $(1,1)$. Then there exists a unique $\boxtimes_{q}$-module structure on $V$ such that the standard generators $x$ and $y$ act as $x_{01}$ and $x_{23}$ respectively. This $\boxtimes_{q}$-module structure is irreducible and type 1.

Remark 6.3 [30, Remark 10.5] Combining Theorem 6.1 and Theorem 6.2 we obtain a bijection between the following two sets:
(i) the isomorphism classes of finite-dimensional irreducible $\boxtimes_{q}$-modules of type 1 ;
(ii) the isomorphism classes of NonNil finite-dimensional irreducible $\mathcal{A}_{q}$-modules of type $(1,1)$.

## 7 Distance-regular graphs; preliminaries

We now turn our attention to distance-regular graphs. After a brief review of their basic properties we consider a special type said to be formally self-dual with classical parameters. From such a distance-regular graph we will obtain a $\boxtimes_{q}$-module.

We now review some definitions and basic concepts concerning distance-regular graphs. For more information we refer the reader to [1, 5, 23, 38].
Let $X$ denote a nonempty finite set. Let $\operatorname{Mat}_{X}(\mathbb{C})$ denote the $\mathbb{C}$-algebra consisting of all matrices whose rows and columns are indexed by $X$ and whose entries are in $\mathbb{C}$. Let $V=\mathbb{C}^{X}$ denote the vector space over $\mathbb{C}$ consisting of column vectors whose coordinates are indexed by $X$ and whose entries are in $\mathbb{C}$. We observe $\operatorname{Mat}_{X}(\mathbb{C})$ acts on $V$ by left multiplication. We call $V$ the standard module. We endow $V$ with the Hermitean inner product $\langle$,$\rangle that satisfies$ $\langle u, v\rangle=u^{t} \bar{v}$ for $u, v \in V$, where $t$ denotes transpose and ${ }^{-}$denotes complex conjugation. For all $y \in X$, let $\hat{y}$ denote the element of $V$ with a 1 in the $y$ coordinate and 0 in all other coordinates. We observe $\{\hat{y} \mid y \in X\}$ is an orthonormal basis for $V$.
Let $\Gamma=(X, R)$ denote a finite, undirected, connected graph, without loops or multiple edges, with vertex set $X$ and edge set $R$. Let $\partial$ denote the path-length distance function for $\Gamma$, and set $D:=\max \{\partial(x, y) \mid x, y \in X\}$. We call $D$ the diameter of $\Gamma$. For an integer $k \geq 0$ we say that $\Gamma$ is regular with valency $k$ whenever each vertex of $\Gamma$ is adjacent to
exactly $k$ distinct vertices of $\Gamma$. We say that $\Gamma$ is distance-regular whenever for all integers $h, i, j(0 \leq h, i, j \leq D)$ and for all vertices $x, y \in X$ with $\partial(x, y)=h$, the number

$$
p_{i j}^{h}=|\{z \in X \mid \partial(x, z)=i, \partial(z, y)=j\}|
$$

is independent of $x$ and $y$. The $p_{i j}^{h}$ are called the intersection numbers of $\Gamma$. We abbreviate $c_{i}=p_{1, i-1}^{i}(1 \leq i \leq D), b_{i}=p_{1, i+1}^{i}(0 \leq i \leq D-1), a_{i}=p_{1 i}^{i}(0 \leq i \leq D)$.
For the rest of this paper we assume $\Gamma$ is distance-regular; to avoid trivialities we always assume $D \geq 3$. Note that $\Gamma$ is regular with valency $k=b_{0}$. Moreover $k=c_{i}+a_{i}+b_{i}$ for $0 \leq i \leq D$, where $c_{0}=0$ and $b_{D}=0$.
We mention a fact for later use. By the triangle inequality, for $0 \leq h, i, j \leq D$ we have $p_{i j}^{h}=0\left(\right.$ resp. $\left.p_{i j}^{h} \neq 0\right)$ whenever one of $h, i, j$ is greater than (resp. equal to) the sum of the other two.
We recall the Bose-Mesner algebra of $\Gamma$. For $0 \leq i \leq D$ let $A_{i}$ denote the matrix in $\operatorname{Mat}_{X}(\mathbb{C})$ with $(x, y)$-entry

$$
\left(A_{i}\right)_{x y}=\left\{\begin{array}{ll}
1, & \text { if } \partial(x, y)=i \\
0, & \text { if } \partial(x, y) \neq i
\end{array} \quad(x, y \in X)\right.
$$

We call $A_{i}$ the $i$ th distance matrix of $\Gamma$. The matrix $A_{1}$ is often called the adjacency matrix of $\Gamma$. We observe (i) $A_{0}=I$; (ii) $\sum_{i=0}^{D} A_{i}=J$; (iii) $\overline{A_{i}}=A_{i}(0 \leq i \leq D)$; (iv) $A_{i}^{t}=A_{i}(0 \leq i \leq$ $D)$; (v) $A_{i} A_{j}=\sum_{h=0}^{D} p_{i j}^{h} A_{h}(0 \leq i, j \leq D)$, where $I$ (resp. $J$ ) denotes the identity matrix (resp. all 1's matrix) in $\operatorname{Mat}_{X}(\mathbb{C})$. Using these facts we find $A_{0}, A_{1}, \ldots, A_{D}$ is a basis for a commutative subalgebra $M$ of $\operatorname{Mat}_{X}(\mathbb{C})$, called the Bose-Mesner algebra of $\Gamma$. It turns out that $A_{1}$ generates $M$ [1, p. 190]. By [5, p. 45], $M$ has a second basis $E_{0}, E_{1}, \ldots, E_{D}$ such that (i) $E_{0}=|X|^{-1} J$; (ii) $\sum_{i=0}^{D} E_{i}=I$; (iii) $\overline{E_{i}}=E_{i}(0 \leq i \leq D)$; (iv) $E_{i}^{t}=E_{i}(0 \leq i \leq D)$; (v) $E_{i} E_{j}=\delta_{i j} E_{i}(0 \leq i, j \leq D)$. We call $E_{0}, E_{1}, \ldots, E_{D}$ the primitive idempotents of $\Gamma$.

We recall the eigenvalues of $\Gamma$. Since $E_{0}, E_{1}, \ldots, E_{D}$ form a basis for $M$ there exist complex scalars $\theta_{0}, \theta_{1}, \ldots, \theta_{D}$ such that $A_{1}=\sum_{i=0}^{D} \theta_{i} E_{i}$. Observe $A_{1} E_{i}=E_{i} A_{1}=\theta_{i} E_{i}$ for $0 \leq i \leq D$. By [1, p. 197] the scalars $\theta_{0}, \theta_{1}, \ldots, \theta_{D}$ are in $\mathbb{R}$. Observe $\theta_{0}, \theta_{1}, \ldots, \theta_{D}$ are mutually distinct since $A_{1}$ generates $M$. We call $\theta_{i}$ the eigenvalue of $\Gamma$ associated with $E_{i}(0 \leq i \leq D)$. Observe

$$
V=E_{0} V+E_{1} V+\cdots+E_{D} V \quad \text { (orthogonal direct sum). }
$$

For $0 \leq i \leq D$ the space $E_{i} V$ is the eigenspace of $A_{1}$ associated with $\theta_{i}$.
We now recall the Krein parameters. Let $\circ$ denote the entrywise product in $\operatorname{Mat}_{X}(\mathbb{C})$. Observe $A_{i} \circ A_{j}=\delta_{i j} A_{i}$ for $0 \leq i, j \leq D$, so $M$ is closed under $\circ$. Thus there exist complex scalars $q_{i j}^{h}(0 \leq h, i, j \leq D)$ such that

$$
E_{i} \circ E_{j}=|X|^{-1} \sum_{h=0}^{D} q_{i j}^{h} E_{h} \quad(0 \leq i, j \leq D) .
$$

By [3, p. 170], $q_{i j}^{h}$ is real and nonnegative for $0 \leq h, i, j \leq D$. The $q_{i j}^{h}$ are called the Krein parameters of $\Gamma$. The graph $\Gamma$ is said to be $Q$-polynomial (with respect to the given
ordering $E_{0}, E_{1}, \ldots, E_{D}$ of the primitive idempotents) whenever for $0 \leq h, i, j \leq D, q_{i j}^{h}=0$ (resp. $q_{i j}^{h} \neq 0$ ) whenever one of $h, i, j$ is greater than (resp. equal to) the sum of the other two [5, p. 235]. See $[6,7,8,12,13,16,17,34]$ for background information on the $Q$ polynomial property. For the rest of this section we assume $\Gamma$ is $Q$-polynomial with respect to $E_{0}, E_{1}, \ldots, E_{D}$.

We recall the dual Bose-Mesner algebra of $\Gamma$. For the rest of this paper we fix a vertex $x \in X$. We view $x$ as a "base vertex." For $0 \leq i \leq D$ let $E_{i}^{*}=E_{i}^{*}(x)$ denote the diagonal matrix in $\operatorname{Mat}_{X}(\mathbb{C})$ with $(y, y)$-entry

$$
\left(E_{i}^{*}\right)_{y y}=\left\{\begin{array}{ll}
1, & \text { if } \partial(x, y)=i  \tag{2}\\
0, & \text { if } \partial(x, y) \neq i
\end{array} \quad(y \in X)\right.
$$

We call $E_{i}^{*}$ the $i$ th dual idempotent of $\Gamma$ with respect to $x[38, \mathrm{p} .378]$. We observe (i) $\sum_{i=0}^{D} E_{i}^{*}=I$; (ii) $\overline{E_{i}^{*}}=E_{i}^{*}(0 \leq i \leq D)$; (iii) $E_{i}^{* t}=E_{i}^{*}(0 \leq i \leq D)$; (iv) $E_{i}^{*} E_{j}^{*}=\delta_{i j} E_{i}^{*}$ $(0 \leq i, j \leq D)$. By these facts $E_{0}^{*}, E_{1}^{*}, \ldots, E_{D}^{*}$ form a basis for a commutative subalgebra $M^{*}=M^{*}(x)$ of $\operatorname{Mat}_{X}(\mathbb{C})$. We call $M^{*}$ the dual Bose-Mesner algebra of $\Gamma$ with respect to $x$ [38, p. 378]. For $0 \leq i \leq D$ let $A_{i}^{*}=A_{i}^{*}(x)$ denote the diagonal matrix in $\operatorname{Mat}_{X}(\mathbb{C})$ with $(y, y)$-entry $\left(A_{i}^{*}\right)_{y y}=|X|\left(E_{i}\right)_{x y}$ for $y \in X$. Then $A_{0}^{*}, A_{1}^{*}, \ldots, A_{D}^{*}$ is a basis for $M^{*}[38$, p. 379]. Moreover (i) $A_{0}^{*}=I$; (ii) $\overline{A_{i}^{*}}=A_{i}^{*}(0 \leq i \leq D)$; (iii) $A_{i}^{* t}=A_{i}^{*}(0 \leq i \leq D)$; (iv) $A_{i}^{*} A_{j}^{*}=\sum_{h=0}^{D} q_{i j}^{h} A_{h}^{*}(0 \leq i, j \leq D)\left[38\right.$, p. 379]. We call $A_{0}^{*}, A_{1}^{*}, \ldots, A_{D}^{*}$ the dual distance matrices of $\Gamma$ with respect to $x$. The matrix $A_{1}^{*}$ is often called the dual adjacency matrix of $\Gamma$ with respect to $x$. The matrix $A_{1}^{*}$ generates $M^{*}[38$, Lemma 3.11].
We recall the dual eigenvalues of $\Gamma$. Since $E_{0}^{*}, E_{1}^{*}, \ldots, E_{D}^{*}$ form a basis for $M^{*}$ there exist complex scalars $\theta_{0}^{*}, \theta_{1}^{*}, \ldots, \theta_{D}^{*}$ such that $A_{1}^{*}=\sum_{i=0}^{D} \theta_{i}^{*} E_{i}^{*}$. Observe $A_{1}^{*} E_{i}^{*}=E_{i}^{*} A_{1}^{*}=\theta_{i}^{*} E_{i}^{*}$ for $0 \leq i \leq D$. By [38, Lemma 3.11] the scalars $\theta_{0}^{*}, \theta_{1}^{*}, \ldots, \theta_{D}^{*}$ are in $\mathbb{R}$. The scalars $\theta_{0}^{*}, \theta_{1}^{*}, \ldots, \theta_{D}^{*}$ are mutually distinct since $A_{1}^{*}$ generates $M^{*}$. We call $\theta_{i}^{*}$ the dual eigenvalue of $\Gamma$ associated with $E_{i}^{*}(0 \leq i \leq D)$.
We recall the subconstituents of $\Gamma$. From (2) we find

$$
\begin{equation*}
E_{i}^{*} V=\operatorname{span}\{\hat{y} \mid y \in X, \quad \partial(x, y)=i\} \quad(0 \leq i \leq D) \tag{3}
\end{equation*}
$$

By (3) and since $\{\hat{y} \mid y \in X\}$ is an orthonormal basis for $V$ we find

$$
V=E_{0}^{*} V+E_{1}^{*} V+\cdots+E_{D}^{*} V \quad \text { (orthogonal direct sum). }
$$

For $0 \leq i \leq D$ the space $E_{i}^{*} V$ is the eigenspace of $A_{1}^{*}$ associated with $\theta_{i}^{*}$. We call $E_{i}^{*} V$ the $i$ th subconstituent of $\Gamma$ with respect to $x$.
We recall the subconstituent algebra of $\Gamma$. Let $T=T(x)$ denote the subalgebra of Mat ${ }_{X}(\mathbb{C})$ generated by $M$ and $M^{*}$. We call $T$ the subconstituent algebra (or Terwilliger algebra) of $\Gamma$ with respect to $x$ [38, Definition 3.3]. Observe that $T$ has finite dimension. Moreover $T$ is semisimple since it is closed under the conjugate transponse map [15, p. 157]. By [38, Lemma 3.2] the following are relations in $T$ :

$$
\begin{array}{ll}
E_{h}^{*} A_{i} E_{j}^{*}=0 \quad \text { iff } \quad p_{i j}^{h}=0, & (0 \leq h, i, j \leq D), \\
E_{h} A_{i}^{*} E_{j}=0 \quad \text { iff } \quad q_{i j}^{h}=0, & (0 \leq h, i, j \leq D) . \tag{5}
\end{array}
$$

See $[10,11,14,19,21,22,26,36,38,39,40]$ for more information on the subconstituent algebra.
We recall the $T$-modules. By a $T$-module we mean a subspace $W \subseteq V$ such that $B W \subseteq W$ for all $B \in T$. Let $W$ denote a $T$-module and let $W^{\prime}$ denote a $T$-module contained in $W$. Then the orthogonal complement of $W^{\prime}$ in $W$ is a $T$-module [22, p. 802]. It follows that each $T$-module is an orthogonal direct sum of irreducible $T$-modules. In particular $V$ is an orthogonal direct sum of irreducible $T$-modules.

Let $W$ denote an irreducible $T$-module. Observe that $W$ is the direct sum of the nonzero spaces among $E_{0}^{*} W, \ldots, E_{D}^{*} W$. Similarly $W$ is the direct sum of the nonzero spaces among $E_{0} W, \ldots, E_{D} W$. By the endpoint of $W$ we mean $\min \left\{i \mid 0 \leq i \leq D, E_{i}^{*} W \neq 0\right\}$. By the diameter of $W$ we mean $\left|\left\{i \mid 0 \leq i \leq D, E_{i}^{*} W \neq 0\right\}\right|-1$. By the dual endpoint of $W$ we mean $\min \left\{i \mid 0 \leq i \leq D, E_{i} W \neq 0\right\}$. By the dual diameter of $W$ we mean $\mid\left\{i \mid 0 \leq i \leq D, E_{i} W \neq\right.$ $0\} \mid-1$. It turns out that the diameter of $W$ is equal to the dual diameter of $W$ [34, Corollary 3.3]. We finish this section with a comment.

Lemma 7.1 [38, Lemma 3.4, Lemma 3.9, Lemma 3.12] Let $W$ denote an irreducible $T$ module with endpoint $\rho$, dual endpoint $\tau$, and diameter $d$. Then $\rho, \tau, d$ are nonnegative integers such that $\rho+d \leq D$ and $\tau+d \leq D$. Moreover the following (i)-(iv) hold.
(i) $E_{i}^{*} W \neq 0$ if and only if $\rho \leq i \leq \rho+d, \quad(0 \leq i \leq D)$.
(ii) $W=\sum_{h=0}^{d} E_{\rho+h}^{*} W$ (orthogonal direct sum).
(iii) $E_{i} W \neq 0$ if and only if $\tau \leq i \leq \tau+d, \quad(0 \leq i \leq D)$.
(iv) $W=\sum_{h=0}^{d} E_{\tau+h} W$ (orthogonal direct sum).

## 8 A restriction on the intersection numbers

From now on we impose the following restriction on the intersection numbers of $\Gamma$.
Assumption 8.1 We fix $b, \beta \in \mathbb{C}$ such that $b \neq 1$, and assume $\Gamma$ has classical parameters $(D, b, \alpha, \beta)$ with $\alpha=b-1$. This means that the intersection numbers of $\Gamma$ satisfy

$$
\begin{aligned}
& c_{i}=b^{i-1} \frac{b^{i}-1}{b-1} \\
& b_{i}=\left(\beta+1-b^{i}\right) \frac{b^{D}-b^{i}}{b-1}
\end{aligned}
$$

for $0 \leq i \leq D[5$, p. 193]. We remark that $b$ is an integer and $b \neq 0, b \neq-1[5$, Proposition 6.2.1]. For notational convenience we fix $q \in \mathbb{C}$ such that

$$
b=q^{2} .
$$

We note that $q$ is nonzero and not a root of unity.

Remark 8.2 Referring to Assumption 8.1, the restriction $\alpha=b-1$ implies that $\Gamma$ is formally self-dual [5, Corollary 8.4.4]. Consequently there exists an ordering $E_{0}, E_{1}, \ldots, E_{D}$ of the primitive idempotents of $\Gamma$, with respect to which the Krein parameter $q_{i j}^{h}$ is equal to the intersection number $p_{i j}^{h}$ for $0 \leq h, i, j \leq D$. In particular $\Gamma$ is $Q$-polynomial with respect to $E_{0}, E_{1}, \ldots, E_{D}$. We fix this ordering of the primitive idempotents for the rest of the paper.

Remark 8.3 In the notation of Bannai and Ito [1, p. 263], the $Q$-polynomial structure from Remark 8.2 is type I with $s=0, s^{*}=0$.

Example 8.4 The following distance-regular graphs satisfy Assumption 8.1: the bilinear forms graph [5, p. 280], the alternating forms graph [5, p. 282], the Hermitean forms graph [5, p. 285], the quadratic forms graph [5, p. 290], the affine $E_{6}$ graph [5, p. 340], and the extended ternary Golay code graph [5, p. 359].

With reference to Assumption 8.1 we will display an action of $\boxtimes_{q}$ on the standard module of $\Gamma$. To describe this action we define eight matrices in $\operatorname{Mat}_{X}(\mathbb{C})$, called

$$
\begin{equation*}
A, \quad A^{*}, \quad B, \quad B^{*}, \quad K, \quad K^{*}, \quad \Phi, \quad \Psi . \tag{6}
\end{equation*}
$$

These matrices will be defined in the next two sections.

## 9 The matrices $A$ and $A^{*}$

In this section we define the matrices $A, A^{*}$ and discuss their properties. We start with a comment.

Lemma 9.1 [5, Corollary 8.4.4] With reference to Assumption 8.1, there exist $\alpha_{0}, \alpha_{1} \in \mathbb{C}$ such that each of $\theta_{i}, \theta_{i}^{*}$ is $\alpha_{0}+\alpha_{1} q^{D-2 i}$ for $0 \leq i \leq D$. Moreover $\alpha_{1} \neq 0$.

Definition 9.2 With reference to Assumption 8.1 we define $A, A^{*} \in \operatorname{Mat}_{X}(\mathbb{C})$ so that

$$
\begin{aligned}
& A_{1}=\alpha_{0} I+\alpha_{1} A \\
& A_{1}^{*}=\alpha_{0} I+\alpha_{1} A^{*}
\end{aligned}
$$

where $\alpha_{0}, \alpha_{1}$ are from Lemma 9.1. Thus for $0 \leq i \leq D$ the space $E_{i} V$ (resp. $E_{i}^{*} V$ ) is an eigenspace of $A$ (resp. $A^{*}$ ) with eigenvalue $q^{D-2 i}$.

Lemma 9.3 With reference to Assumption 8.1 and Definition 9.2, the following (i), (ii) hold for all $0 \leq i, j \leq D$ such that $|i-j|>1$ :
(i) $E_{i}^{*} A E_{j}^{*}=0$,
(ii) $E_{i} A^{*} E_{j}=0$.

Proof: (i) We have $p_{1 j}^{i}=0$ since $|i-j|>1$, so $E_{i}^{*} A_{1} E_{j}^{*}=0$ in view of (4). The result now follows using the first equation of Definition 9.2.
(ii) Similar to the proof of (i) above.

The following is essentially a special case of [40, Lemma 5.4].

Lemma 9.4 [40, Lemma 5.4] With reference to Assumption 8.1 and Definition 9.2 the matrices $A, A^{*}$ satisfy the $q$-Serre relations

$$
\begin{array}{r}
A^{3} A^{*}-[3]_{q} A^{2} A^{*} A+[3]_{q} A A^{*} A^{2}-A^{*} A^{3}=0 \\
A^{* 3} A-[3]_{q} A^{* 2} A A^{*}+[3]_{q} A^{*} A A^{* 2}-A A^{* 3}=0 \tag{8}
\end{array}
$$

Proof: We first show (7). By the last sentence in Definition 9.2, for $0 \leq i \leq D$ we have $A E_{i}=E_{i} A=\sigma_{i} E_{i}$ where $\sigma_{i}=q^{D-2 i}$. Let $C$ denote the expression on the left in (7). We show $C=0$. Since $I=E_{0}+\cdots+E_{D}$ it suffices to show $E_{i} C E_{j}=0$ for $0 \leq i, j \leq D$. Let $i, j$ be given. By our preliminary comment and the definition of $C$ we find $E_{i} C E_{j}=E_{i} A^{*} E_{j} \alpha_{i j}$ where

$$
\begin{align*}
\alpha_{i j} & =\sigma_{i}^{3}-[3]_{q} \sigma_{i}^{2} \sigma_{j}+[3]_{q} \sigma_{i} \sigma_{j}^{2}-\sigma_{j}^{3} \\
& =\left(\sigma_{i}-\sigma_{j} q^{2}\right)\left(\sigma_{i}-\sigma_{j}\right)\left(\sigma_{i}-\sigma_{j} q^{-2}\right) \tag{9}
\end{align*}
$$

If $|i-j|>1$ then $E_{i} A^{*} E_{j}=0$ by Lemma 9.3(ii). If $|i-j| \leq 1$ then $\alpha_{i j}=0$ by (9) and the definition of $\sigma_{0}, \ldots, \sigma_{D}$. In either case $E_{i} C E_{j}=0$ as desired. It follows that $C=0$ and line (7) is proved. The proof of (8) is similar to the proof of (7).

We finish this section with a comment.
Lemma 9.5 With reference to Assumption 8.1 and Definition 9.2 the matrices $A, A^{*}$ together generate $T$.

Proof: By definition $T$ is generated by $M$ and $M^{*}$. The algebra $M$ (resp. $M^{*}$ ) is generated by $A_{1}\left(\right.$ resp. $\left.A_{1}^{*}\right)$ and hence by $A$ (resp. $\left.A^{*}\right)$ in view of Definition 9.2. The result follows.

## 10 The matrices $B, B^{*}, K, K^{*}, \Phi, \Psi$

In the previous section we defined the matrices $A, A^{*}$. In this section we define the remaining matrices from the list (6).

Definition 10.1 With reference to Assumption 8.1, for $-1 \leq i, j \leq D$ we define

$$
\begin{aligned}
V_{i, j}^{\downarrow \downarrow} & =\left(E_{0}^{*} V+\cdots+E_{i}^{*} V\right) \cap\left(E_{0} V+\cdots+E_{j} V\right), \\
V_{i, j}^{\uparrow \downarrow} & =\left(E_{D}^{*} V+\cdots+E_{D-i}^{*} V\right) \cap\left(E_{0} V+\cdots+E_{j} V\right), \\
V_{i, j}^{\downarrow \uparrow} & =\left(E_{0}^{*} V+\cdots+E_{i}^{*} V\right) \cap\left(E_{D} V+\cdots+E_{D-j} V\right), \\
V_{i, j}^{\uparrow \uparrow} & =\left(E_{D}^{*} V+\cdots+E_{D-i}^{*} V\right) \cap\left(E_{D} V+\cdots+E_{D-j} V\right) .
\end{aligned}
$$

In each of the above four equations we interpret the right-hand side to be 0 if $i=-1$ or $j=-1$.

Definition 10.2 With reference to Assumption 8.1 and Definition 10.1, for $\eta, \mu \in\{\downarrow, \uparrow\}$ and $0 \leq i, j \leq D$ we have $V_{i-1, j}^{\eta \mu} \subseteq V_{i, j}^{\eta \mu}$ and $V_{i, j-1}^{\eta \mu} \subseteq V_{i, j}^{\eta \mu}$. Therefore

$$
V_{i-1, j}^{\eta \mu}+V_{i, j-1}^{\eta \mu} \subseteq V_{i, j}^{\eta \mu} .
$$

Referring to the above inclusion, we define $\tilde{V}_{i, j}^{\eta \mu}$ to be the orthogonal complement of the left-hand side in the right-hand side; that is

$$
\tilde{V}_{i, j}^{\eta \mu}=\left(V_{i-1, j}^{\eta \mu}+V_{i, j-1}^{\eta \mu}\right)^{\perp} \cap V_{i, j}^{\eta \mu} .
$$

The following result is a mild generalization of [41, Corollary 5.8].
Lemma 10.3 With reference to Assumption 8.1 and Definition 10.2 the following holds for $\eta, \mu \in\{\downarrow, \uparrow\}:$

$$
V=\sum_{i=0}^{D} \sum_{j=0}^{D} \tilde{V}_{i, j}^{\eta \mu} \quad \text { (direct sum). }
$$

Proof: For $\eta=\downarrow, \mu=\downarrow$ this is just [41, Corollary 5.8]. For general values of $\eta, \mu$, in the proof of [41, Corollary 5.8] replace the sequence $E_{0}^{*}, \ldots, E_{D}^{*}$ (resp. $E_{0}, \ldots, E_{D}$ ) by $E_{D}^{*}, \ldots, E_{0}^{*}$ (resp. $\left.E_{D}, \ldots, E_{0}\right)$ if $\eta=\uparrow($ resp. $\mu=\uparrow)$.

Definition 10.4 With reference to Assumption 8.1 and Definition 10.2, we define $B, B^{*}$, $K, K^{*}, \Phi, \Psi$ to be the unique matrices in $\operatorname{Mat}_{X}(\mathbb{C})$ that satisfy the requirements of the following table for $0 \leq i, j \leq D$.

| The matrix | is 0 on |
| :---: | :---: |
| $B-q^{i-j} I$ | $\tilde{V}_{i, j}^{1 \uparrow}$ |
| $B^{*}-q^{j-i} I$ | $\tilde{V}_{i, j}^{\dagger \downarrow}$ |
| $K-q^{i-j} I$ | $\tilde{V}_{i, j}^{i \downarrow}$ |
| $K^{*}-q^{i-j} I$ | $\tilde{V}_{i, j}^{1 \uparrow}$ |
| $\Phi-q^{i+j-D} I$ | $\tilde{V}_{i, j}^{1}$ |
| $\Psi-q^{i+j-D} I$ | $\tilde{V}_{i, j}^{l \uparrow}$ |

## 11 An action of $\boxtimes_{q}$ on the standard module of $\Gamma$

We now state our main result, in which we display an action of $\boxtimes_{q}$ on the standard module $V$ of $\Gamma$.

Theorem 11.1 With reference to Assumption 8.1, there exists $a \boxtimes_{q}$-module structure on $V$ such that the generators $x_{i j}$ act as follows:

$$
\begin{array}{c|cccccc}
\text { generator } & x_{01} & x_{12} & x_{23} & x_{30} & x_{02} & x_{13} \\
\hline \text { action on } V & A \Phi \Psi^{-1} & B \Phi^{-1} & A^{*} \Phi \Psi & B^{*} \Phi^{-1} & K \Psi^{-1} & K^{*} \Psi
\end{array}
$$

The proof of Theorem 11.1 is given at the end of this section. First we need some lemmas.
Lemma 11.2 With reference to Assumption 8.1, let $W$ denote an irreducible $T$-module with endpoint $\rho$, dual endpoint $\tau$, and diameter $d$. Then there exists a unique $\boxtimes_{q}$-module structure on $W$ such that the generators $x_{01}, x_{23}$ act as $A q^{d-D+2 \tau}, A^{*} q^{d-D+2 \rho}$ respectively. This $\boxtimes_{q^{-}}$ module structure is irreducible and type 1.

Proof: The matrices $A, A^{*}$ satisfy the $q$-Serre relations (7), (8). These relations are homogeneous so they still hold if $A, A^{*}$ are replaced by $A q^{d-D+2 \tau}, A^{*} q^{d-D+2 \rho}$ respectively. Therefore there exists an $\mathcal{A}_{q}$-module structure on $W$ such that the standard generators act as $A q^{d-D+2 \tau}$ and $A^{*} q^{d-D+2 \rho}$. The $\mathcal{A}_{q}$-module $W$ is irreducible since $A, A^{*}$ generate $T$ and since the $T$ module $W$ is irreducible. By Lemma 7.1(iii),(iv) the action of $A$ on $W$ is semisimple with eigenvalues $q^{D-2 \tau-2 i}(0 \leq i \leq d)$. Therefore the action of $A q^{d-D+2 \tau}$ on $W$ is semisimple with eigenvalues $q^{d-2 i}(0 \leq i \leq d)$. By Lemma 7.1(i),(ii) the action of $A^{*}$ on $W$ is semisimple with eigenvalues $q^{D-2 \rho-2 i}(0 \leq i \leq d)$. Therefore the action of $A^{*} q^{d-D+2 \rho}$ on $W$ is semisimple with eigenvalues $q^{d-2 i}(0 \leq i \leq d)$. By these comments and the first paragraph of Section 6 the $\mathcal{A}_{q}$-module $W$ is NonNil and type $(1,1)$. So far we have shown that the $\mathcal{A}_{q}$-module $W$ is irreducible, NonNil, and type ( 1,1 ). Combining this with Theorem 6.2 we obtain the result.

Lemma 11.3 With reference to Assumption 8.1, let $W$ denote an irreducible $T$-module with endpoint $\rho$, dual endpoint $\tau$, and diameter $d$. Consider the $\boxtimes_{q}$-module structure on $W$ from Lemma 11.2. For each generator $x_{r s}$ of $\boxtimes_{q}$ and for $0 \leq i \leq d$, the eigenspace of $x_{r s}$ on $W$ associated with the eigenvalue $q^{d-2 i}$ is given in the following table.

| $r$ | $s$ | eigenspace of $x_{r s}$ for the eigenvalue $q^{d-2 i}$ |
| :---: | :---: | :---: |
| 0 | 1 | $E_{\tau+i} W$ |
| 1 | 2 | $\left(E_{\rho}^{*} W+\cdots+E_{\rho+d-i}^{*} W\right) \cap\left(E_{\tau+d-i} W+\cdots+E_{\tau+d} W\right)$ |
| 2 | 3 | $E_{\rho+i}^{*} W$ |
| 3 | 0 | $\left(E_{\rho+d-i}^{*} W+\cdots+E_{\rho+d}^{*} W\right) \cap\left(E_{\tau} W+\cdots+E_{\tau+d-i} W\right)$ |
| 0 | 2 | $\left(E_{\rho}^{*} W+\cdots+E_{\rho+d-i}^{*} W\right) \cap\left(E_{\tau} W+\cdots+E_{\tau+i} W\right)$ |
| 1 | 3 | $\left(E_{\rho+i}^{*} W+\cdots+E_{\rho+d}^{*} W\right) \cap\left(E_{\tau+d-i} W+\cdots+E_{\tau+d} W\right)$ |

Proof: Referring to the table, we first verify row $(r, s)=(0,1)$. By Lemma 11.2 the generator $x_{01}$ acts on $W$ as $A q^{d-D+2 \tau}$. By Lemma 7.1(iii),(iv) the space $E_{\tau+i} W$ is the eigenspace of $A$ on $W$ for the eigenvalue $q^{D-2 \tau-2 i}$. By these comments $E_{\tau+i} W$ is the eigenspace of $x_{01}$ on $W$ for the eigenvalue $q^{d-2 i}$. We have now verified row $(r, s)=(0,1)$. Next we verify row $(r, s)=(2,3)$. By Lemma 11.2 the generator $x_{23}$ acts on $W$ as $A^{*} q^{d-D+2 \rho}$. By Lemma 7.1(i),(ii) the space $E_{\rho+i}^{*} W$ is the eigenspace of $A^{*}$ on $W$ for the eigenvalue $q^{D-2 \rho-2 i}$. By these comments $E_{\rho+i}^{*} W$ is the eigenspace of $x_{23}$ on $W$ for the eigenvalue $q^{d-2 i}$. We have now verified row $(r, s)=(2,3)$. The remaining rows are valid by [30, Theorem 16.4].

The following result is a mild generalization of [41, Lemma 6.1].
Lemma 11.4 With reference to Assumption 8.1, let $W$ denote an irreducible $T$-module with endpoint $\rho$, dual endpoint $\tau$, and diameter $d$. Then the following (i)-(iv) hold for $0 \leq i \leq d$.
(i) The space

$$
\left(E_{\rho}^{*} W+\cdots+E_{\rho+d-i}^{*} W\right) \cap\left(E_{\tau+d-i} W+\cdots+E_{\tau+d} W\right)
$$

is contained in $\tilde{V}_{\rho+d-i, D-d-\tau+i}^{\downarrow \uparrow}$.
(ii) The space

$$
\left(E_{\rho+d-i}^{*} W+\cdots+E_{\rho+d}^{*} W\right) \cap\left(E_{\tau} W+\cdots+E_{\tau+d-i} W\right)
$$

is contained in $\tilde{V}_{D-d-\rho+i, \tau+d-i}^{\uparrow \downarrow}$.
(iii) The space

$$
\left(E_{\rho}^{*} W+\cdots+E_{\rho+d-i}^{*} W\right) \cap\left(E_{\tau} W+\cdots+E_{\tau+i} W\right)
$$

is contained in $\tilde{V}_{\rho+d-i, \tau+i}^{\downarrow}$.
(iv) The space

$$
\left(E_{\rho+i}^{*} W+\cdots+E_{\rho+d}^{*} W\right) \cap\left(E_{\tau+d-i} W+\cdots+E_{\tau+d} W\right)
$$

is contained in $\tilde{V}_{D-\rho-i, D-d-\tau+i}^{\dagger}$.
Proof: Assertion (iii) is just [41, Lemma 6.1]. To get (i), in the proof of [41, Lemma 6.1] replace the sequence $E_{0}, \ldots, E_{D}$ by $E_{D}, \ldots, E_{0}$. To get (ii), in the proof of [41, Lemma 6.1] replace $E_{0}^{*}, \ldots, E_{D}^{*}$ by $E_{D}^{*}, \ldots, E_{0}^{*}$. To get (iv), in the proof of [41, Lemma 6.1] replace $E_{0}^{*}, \ldots, E_{D}^{*}\left(\right.$ resp. $\left.E_{0}, \ldots, E_{D}\right)$ by $E_{D}^{*}, \ldots, E_{0}^{*}\left(\right.$ resp. $\left.E_{D}, \ldots, E_{0}\right)$.

Lemma 11.5 With reference to Assumption 8.1, let $W$ denote an irreducible $T$-module with endpoint $\rho$, dual endpoint $\tau$, and diameter $d$. Consider the $\boxtimes_{q}$-module structure on $W$ from Lemma 11.2. In the table below, each row contains a matrix in $M a t_{X}(\mathbb{C})$ and an element of $\boxtimes_{q}$. The action of these two objects on $W$ coincide.

| matrix | element of $\boxtimes_{q}$ |
| :---: | :---: |
| $A$ | $q^{D-d-2 \tau} x_{01}$ |
| $B$ | $q^{d-D+\rho+\tau} x_{12}$ |
| $A^{*}$ | $q^{D-d-2 \rho} x_{23}$ |
| $B^{*}$ | $q^{d-D+\rho+\tau} x_{30}$ |
| $K$ | $q^{\rho-\tau} x_{02}$ |
| $K^{*}$ | $q^{\tau-\rho} x_{13}$ |
| $\Phi$ | $q^{d-D+\rho \tau} 1$ |
| $\Psi$ | $q^{\rho-\tau} 1$ |

Proof: By Lemma 11.2 the expressions $A-q^{D-d-2 \tau} x_{01}$ and $A^{*}-q^{D-d-2 \rho} x_{23}$ are each 0 on $W$. Next we show that $B-q^{d-D+\rho+\tau} x_{12}$ is 0 on $W$. To this end we pick $w \in W$ and show $B w=q^{d-D+\rho+\tau} x_{12} w$. Recall that $x_{12}$ is semisimple on $W$ with eigenvalues $q^{d-2 i}(0 \leq i \leq d)$. Therefore without loss of generality we may assume that there exists an integer $i(0 \leq i \leq d)$ such that $x_{12} w=q^{d-2 i} w$. By row $(r, s)=(1,2)$ in the table of Lemma 11.3 and by Lemma 11.4(i), we find $w \in \tilde{V}_{\rho+d-i, D-d-\tau+i}^{\downarrow \uparrow}$. By this and the first row in the table of Definition 10.4 we find $B w=q^{2 d-D+\rho+\tau-2 i} w$. From these comments we find $B w=q^{d-D+\rho+\tau} x_{12} w$ as desired. We have now shown that $B-q^{d-D+\rho+\tau} x_{12}$ is 0 on $W$. Similarly one shows that each of $B^{*}-q^{d-D+\rho+\tau} x_{30}, K-q^{\rho-\tau} x_{02}, K^{*}-q^{\tau-\rho} x_{13}$ is 0 on $W$. We now show that $\Phi-q^{d-D+\rho+\tau} I$ is 0 on $W$. To this end we pick $v \in W$ and show $\Phi v=q^{d-D+\rho+\tau} v$. Recall that $x_{02}$ is semisimple on $W$ with eigenvalues $q^{d-2 i}(0 \leq i \leq d)$. Therefore without loss of generality we may assume that there exists an integer $i(0 \leq i \leq d)$ such that $x_{02} v=q^{d-2 i} v$. By row $(r, s)=(0,2)$ in the table of Lemma 11.3 and by Lemma 11.4(iii), we find $v \in \tilde{V}_{\rho+d-i, \tau+i}^{\downarrow \downarrow}$. By this and the second to the last row in the table of Definition 10.4 we find $\Phi v=q^{d-D+\rho+\tau} v$ as desired. We have now shown that $\Phi-q^{d-D+\rho+\tau} I$ is 0 on $W$. Similarly one shows that $\Psi-q^{\rho-\tau} I$ is 0 on $W$.

Corollary 11.6 With reference to Assumption 8.1, let $W$ denote an irreducible T-module and consider the $\boxtimes_{q}$-action on $W$ from Lemma 11.2. In the table below, each column contains a generator for $\boxtimes_{q}$ and a matrix in $\operatorname{Mat}_{X}(\mathbb{C})$. The action of these two objects on $W$ coincide.

$$
\begin{array}{c|cccccc}
\text { generator } & x_{01} & x_{12} & x_{23} & x_{30} & x_{02} & x_{13} \\
\hline \text { matrix } & A \Phi \Psi^{-1} & B \Phi^{-1} & A^{*} \Phi \Psi & B^{*} \Phi^{-1} & K \Psi^{-1} & K^{*} \Psi
\end{array}
$$

Proof: Immediate from Lemma 11.5.
It is now a simple matter to prove Theorem 11.1.
Proof of Theorem 11.1: The standard module $V$ decomposes into a direct sum of irreducible $T$-modules. Each irreducible $T$-module in this decomposition supports a $\boxtimes_{q}$-module structure from Lemma 11.2. Combining these $\boxtimes_{q}$-modules we get a $\boxtimes_{q}$-module structure on $V$. It remains to show that this $\boxtimes_{q}$-module satisfies the requirements of Theorem 11.1. This is the case since by Corollary 11.6 , for each column in the table of Theorem 11.1 the given $\boxtimes_{q}$ generator and the matrix beneath it coincide on each of the irreducible $T$-modules in the above decomposition and hence on $V$.

Remark 11.7 In Theorem 11.1 we displayed an action of $\boxtimes_{q}$ on the standard module $V$ of $\Gamma$. In Proposition 4.3 we displayed four $\mathbb{C}$-algebra homomorphisms from $U_{q}\left(\widehat{\mathfrak{s}}_{2}\right)$ to $\boxtimes_{q}$. Using these homomorphisms to pull back the $\boxtimes_{q}$-action we obtain four $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right)$-module structures on $V$.

## 12 How $\boxtimes_{q}$ is related to $T$

In Theorem 11.1 we displayed an action of $\boxtimes_{q}$ on the standard module of $\Gamma$; observe that this action induces a $\mathbb{C}$-algebra homomorphism $\boxtimes_{q} \rightarrow \operatorname{Mat}_{X}(\mathbb{C})$ which we will denote by $\vartheta$.

In this section we clarify how the image $\vartheta\left(\boxtimes_{q}\right)$ is related to the subconstituent algebra $T$.
Lemma 12.1 With reference to Assumption 8.1, the following (i), (ii) hold.
(i) Each of the matrices from the list (6) is contained in $T$.
(ii) Each of $\Phi, \Psi$ is contained in the center $Z(T)$.

Proof: (i) By Lemma 11.5 each matrix in the list (6) leaves invariant every irreducible $T$ module. Let $T^{\prime}$ denote the set of matrices in $\operatorname{Mat}_{X}(\mathbb{C})$ that leave invariant every irreducible $T$-module. We observe that $T^{\prime}$ is a subalgebra of $\operatorname{Mat}_{X}(\mathbb{C})$ that contains $T$ as well as each matrix in the list (6). We show that $T=T^{\prime}$. To this end we first show that $T^{\prime}$ is semisimple. By the construction each irreducible $T$-module is an irreducible $T^{\prime}$-module. We mentioned in Section 7 that the standard module $V$ is a direct sum of irreducible $T$-modules. Therefore $V$ is a direct sum of irreducible $T^{\prime}$-modules, so $T^{\prime}$ is semisimple. Next, let $W_{1}, W_{2}$ denote irreducible $T$-modules. We claim that any isomorphism of $T$-modules $\gamma: W_{1} \rightarrow W_{2}$ is an isomorphism of $T^{\prime}$-modules. This is readily checked using the fact that $\left\{w+\gamma(w) \mid w \in W_{1}\right\}$ is an irreducible $T$-module and therefore invariant under $T^{\prime}$. By our above comments the vector spaces $T$ and $T^{\prime}$ have the same dimension; this dimension is $\sum_{\lambda} d_{\lambda}^{2}$ where the sum is over all isomorphism classes $\lambda$ of irreducible $T$-modules and $d_{\lambda}$ denotes the dimension of an irreducible $T$-module in the isomorphism class $\lambda$. Since $T^{\prime}$ contains $T$ and they have the same dimension we find $T=T^{\prime}$. The result follows.
(ii) By Lemma 11.5 each of $\Phi, \Psi$ acts as a scalar multiple of the identity on every irreducible $T$-module.

Theorem 12.2 With reference to Assumption 8.1 the following (i), (ii) hold.
(i) The image $\vartheta\left(\boxtimes_{q}\right)$ is contained in $T$.
(ii) $T$ is generated by $\vartheta\left(\boxtimes_{q}\right)$ together with $\Phi, \Psi$.

Proof: Combine Lemma 9.5, Theorem 11.1, and Lemma 12.1.

## 13 Directions for further research

In this section we give some suggestions for further research.
Problem 13.1 For the spaces in Definition 10.1, find a combinatorial interpretation and an attractive basis.

Problem 13.2 With reference to Assumption 8.1, the matrices $\Phi, \Psi$ commute by Lemma 12.1(ii) and they are semisimple by Definition 10.4. Therefore the standard module of $\Gamma$ decomposes into a direct sum of their common eigenspaces. For these common eigenspaces find a combinatorial interpretation and an attractive basis.

Problem 13.3 With reference to Assumption 8.1, for $y, z \in X$ and for each of $B, B^{*}$, $K, K^{*}, \Phi, \Psi$ find the $(y, z)$-entry in terms of the distances $\partial(x, y), \partial(y, z), \partial(z, x)(x=$ base vertex from Section 7) and other combinatorial parameters as needed. When is this entry 0 ?

Problem 13.4 Find all the distance-regular graphs that have classical parameters ( $D, b, \alpha, \beta$ ) and $b \neq 1, \alpha=b-1$. Some examples are given in Example 8.4.

Problem 13.5 The finite-dimensional irreducible $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right)$-modules are classified by V. Chari and A. Pressley [9]; see also [18], [37]. Use this and Remark 11.7 to describe the irreducible $T$-modules for each of the graphs in Example 8.4.

Conjecture 13.6 With reference to Assumption 8.1, for $0 \leq i, j \leq D$ the spaces $\tilde{V}_{i j}^{\Downarrow \downarrow}$ and $\tilde{V}_{r s}^{\dagger \uparrow}$ are orthogonal unless $i+r=D$ and $j+s=D$. Moreover $\tilde{V}_{i j}^{\downarrow \uparrow}$ and $\tilde{V}_{r s}^{\dagger \downarrow}$ are orthogonal unless $i+r=D$ and $j+s=D$.

Problem 13.7 With reference to Assumption 8.1, note by Lemma 11.5 that the following are equivalent: (i) for each irreducible $T$-module the endpoint and dual endpoint coincide;
(ii) $\Psi=I$. For which of the graphs in Example 8.4 do these equivalent conditions hold?

Conjecture 13.8 With reference to Assumption 8.1, each of $\Phi, \Psi$ is symmetric and

$$
B^{t}=B^{*}, \quad K^{t}=K^{*-1}
$$

Under Assumption 8.1 we displayed an action of $\boxtimes_{q}$ on the standard module of $\Gamma$. For the moment replace Assumption 8.1 by the weaker assumption that $\Gamma$ is $Q$-polynomial. We suspect that there is still a natural action of $\boxtimes_{q}\left(\right.$ or $U_{q}\left(\widehat{\mathfrak{s l}}_{2}\right), U_{q}\left(\mathfrak{s l}_{2}\right), \widehat{\mathfrak{s l}}_{2}, \mathfrak{s l}_{2}, \ldots$ in degenerate cases) on the standard module of $\Gamma$. It is premature for us to guess how this action behaves in every case, but the general idea is conveyed in the following two conjectures.

Conjecture 13.9 Assume $\Gamma$ has classical parameters $(D, b, \alpha, \beta)$ with $b \neq 1$. In order to avoid degenerate situations, assume that $\Gamma$ is not a dual polar graph [5, p. 274]. Then for $b=q^{2}$ there exists $a \boxtimes_{q}$-action on the standard module of $\Gamma$ for which the adjacency matrix acts as a $Z(T)$-linear combination of $1, x_{01}, x_{12}$ and the dual adjacency matrix acts as a $Z(T)$-linear combination of $1, x_{23}$. We recall that $Z(T)$ denotes the center of $T$.

Conjecture 13.10 Assume $\Gamma$ is $Q$-polynomial, with eigenvalues $\theta_{i}$ and dual eigenvalues $\theta_{i}^{*}$. Recall that the expressions

$$
\frac{\theta_{i-2}-\theta_{i+1}}{\theta_{i-1}-\theta_{i}}, \quad \frac{\theta_{i-2}^{*}-\theta_{i+1}^{*}}{\theta_{i-1}^{*}-\theta_{i}^{*}}
$$

are equal and independent of $i$ for $2 \leq i \leq D-1$ [1, p. 263]. Denote this common value by $b+b^{-1}+1$ and assume that $b$ is not a root of unity. Further assume that, in the notation of Bannai and Ito [1, p. 263], the given $Q$-polynomial structure is type I with $s \neq 0$ and $s^{*} \neq 0$. Then for $b=q^{2}$ there exists $a \boxtimes_{q}$-action on the standard module of $\Gamma$ for which the adjacency matrix acts as a $Z(T)$-linear combination of $1, x_{01}, x_{12}$ and the dual adjacency matrix acts as a $Z(T)$-linear combination of $1, x_{23}, x_{30}$.

Problem 13.11 A uniform poset [43] is ranked and has an algebraic structure similar to that of a $Q$-polynomial distance-regular graph. In [43, p. 200] 11 infinite families of uniform posets are given. For some uniform posets $P$ it might be possible to adapt the method of the present paper to get an action of $\boxtimes_{q}$ on the standard module of $P$.

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