The Last Temptation of William T. Tutte

Bojan Mohar^{a,1,2}, Nathan Singer^a

^aSimon Fraser University Department of Mathematics 8888 University Drive Burnaby, BC, Canada

Abstract

In 1999, at one of his last public lectures, Tutte discussed a question he had considered since the times of the Four Color Conjecture. He asked whether the 4-coloring complex of a planar triangulation could have two components in which all colorings had the same parity. In this note we answer Tutte's question to the contrary of his speculations by showing that there are triangulations of the plane whose coloring complexes have arbitrarily many even and odd components.

Keywords: Coloring complex, 4-coloring, coloring

1. Introduction

In [3], Tutte asked if there existed a triangulation of the plane whose 4-coloring complex had more than one component of the same parity. He based this question on numerous examples he examined over the course of many years. One obvious possibility is the icosahedron, whose 4-colorings form ten Kempe equivalence classes. However, Tutte found out that the icosahedron has a connected colouring complex. Nonetheless, he was resistant to making this question into a conjecture that no such examples exist, since, in his view, "the data are too few to justify a Conjecture." However, he asked: "If anyone knows of any case of two components of the same parity, I would be glad to hear of it."

In this short note, we provide examples of 4-connected triangulations of the plane whose 4-coloring complexes have arbitrarily many components with odd colorings and arbitrarily many components with even colorings. Although this seems to resolve the Tutte problem, we end up with a closely related conjecture, which is based on an extensive computation, and which claims that for every planar triangulation whose 4-coloring complex is disconnected has a component of even parity and one of odd parity (see Conjecture 8).

2. Coloring Complexes and the Parity of 4-Colorings

Given a 4-colorable graph G, an independent set of vertices $A \subseteq V(G)$ is said to be a *color class* if it is one of the color classes for some 4-coloring of G. The 4-coloring complex B(G) is the graph which has all the color classes of G as its vertices and two vertices $C, D \in V(B(G))$ joined by an edge if the color classes C and D appear together in a 4-coloring of G. These graphs were introduced by Tutte [2], who discussed their basic structure. See Figure 2 for an example of a coloring complex.

A coloring complex may be disconnected. To see which 4-colorings have their color classes in the same component of B(G), the following notion can be used. We say that two 4-colorings f and f' of G are adjacent, $f \sim f'$, if they have a common color class. Then we take the transitive closure of the adjacency relation and

Preprint submitted to Elsevier

Email addresses: mohar@sfu.ca (Bojan Mohar), nsinger@sfu.ca (Nathan Singer)

¹Supported in part by an NSERC Discovery Grant R611450 (Canada), by the Canada Research Chair program, and by the Research Grant J1-8130 of ARRS (Slovenia).

²On leave from: IMFM & FMF, Department of Mathematics, University of Ljubljana, Ljubljana, Slovenia.

we say that two colorings are *connected* if they are in the same equivalence class of the transitive closure. Since each coloring corresponds to a 4-clique in B(G), colorings are connected if and only if their cliques are in the same component of the graph B(G).

In the same paper [2], Tutte introduced the notion of even and odd colorings, whose explanation requires a bit more background. Given a 4-colorable graph G, consider a 4-coloring f of G, whose color classes are A, B, C, D. An *AB-Kempe chain* in G is a connected component of $G[A \cup B]$. We will denote by p(A, B)the number of *AB*-Kempe chains in G. Notice that one can derive $2^{p(A,B)-1} - 1$ new colorings of G from f by taking an arbitrary subset of *AB*-Kempe chains and swapping the colors on each *AB*-Kempe chain in the subset. This operation is called an *AB-Kempe change*.

Given these definitions, Tutte defines

$$J_A(f) = p(A, B) + p(A, C) + p(A, D) - p(B, C) - p(B, D) - p(C, D).$$
(1)

Observe that, for a given 4-coloring f, the parity of $J_A(f)$ equals the parity of $J_X(f)$, for each $X \in \{B, C, D\}$. This motivates calling a 4-coloring f an *even 4-coloring* if $J_A(f)$ is even and calling f an *odd 4-coloring* if $J_A(f)$ is odd. Moreover, following Tutte [2], we can easily show that every coloring in the same connected component of the 4-coloring complex B(G) has the same parity if G is a triangulation of the plane. We include a short proof for the sake of completeness.

Given a particular 4-colouring f of a planar triangulation T, we will denote by A, B, C, D its color classes, by p(A, B) the number of AB-Kempe chains, and by e(A, B) the number of edges in $G[A \cup B]$. Finally, we will denote by deg(A) the sum of the degrees of all the vertices in A. The same notation applies for other choices of color classes.

Theorem 1 (Tutte [2]). Let T be a triangulation of the plane with n vertices and A a color class of its 4-coloring f. Then

$$J_A(f) = 2|A| - \deg(A) + n - 3.$$
(2)

Proof. Let t = 2n - 4 denote the number of facial triangles of T and observe that the edges of the dual graph T^* of T that correspond to AB-edges and CD-edges form a perfect matching in T^* , which shows that

$$e(A, B) + e(C, D) = t/2 = n - 2.$$
 (3)

Let us now consider the subgraph $T[A \cup B]$ and its faces in the plane. Since it has |A|+|B| vertices, e(A, B) edges, and p(A, B) components, it is easy to see that it has precisely e(A, B) - (|A|+|B|-p(A, B))+1 faces. Each of the faces contains precisely one CD-Kempe chain, so we conclude that p(C, D) = e(A, B) - (|A| + |B|-p(A, B))+1. By exchanging the roles of AB vs. CD, we get p(A, B) = e(C, D) - (|C|+|D|-p(C, D))+1. By combining these two equations, using that |A| + |B| + |C| + |D| = n, and using (3), we obtain that

$$p(A,B) - p(C,D) = |A| + |B| - e(A,B) - 1.$$
(4)

Finally, by using the same equations for p(A, C) - p(B, D) and p(A, D) - p(B, C) and taking their sum, we obtain:

$$J_A(f) = 3|A| + |B| + |C| + |D| - (e(A, B) + e(A, C) + e(A, D)) - 3 = 2|A| - \deg(A) + n - 3.$$
(5)

This completes the proof.

The following corollary then immediately follows from the equation (2).

Corollary 2. If f and g are two 4-colourings of T with a common colour class A, then $J_A(f) = J_A(g)$.

Now, by Corollary 2, any two 4-colorings in the same connected component of B(T) will have the same parity. Consequently, we will say that components of B(T) are *even* or *odd* according to the parity of their colourings.

3. 4-Colorings of Triangulated Surfaces

Fisk [1] introduced an alternative view of the parity of 4-colorings. We show how to reconcile this approach with Tutte's definition.

Given a triangulation T of an orientable surface with one of its orientations fixed, we can view a 4-coloring f of T as a simplicial mapping onto the boundary of the tetrahedron, which will be denoted as K_4 . For each triangle $T_{i,j,l}$ of K_4 , we consider the facial triangles in T that are mapped onto T in such a way that their orientation is preserved and those facial triangles whose orientation is reversed. Let t_{ijl}^+ (t_{ijl}^-) be the number of triangular faces in T that are mapped onto T_{ijl} with positive (negative) orientation. Then the value

$$\deg(f) = t_{ijl}^+ - t_{ijl}^-$$

is independent of the choice of the ijl and is called the (homology) degree of the mapping $f: T \to K_4$. Of course, modulo 2, deg(f) is equal to $t_{ijl} = t^+_{ijl} + t^-_{ijl}$, which is the number of triangular faces of T with colors i, j, l.

If T is a triangulation of a non-orientable surface, then we cannot define the values t_{ijl}^+ and t_{ijl}^- , but we can still define the parity (modulo 2) value of t_{ijl} as deg(f).

Now, reinterpreting Tutte in the context of higher genus surfaces, we first define the *Euler genus* of a surface triangulation T to be equal to twice the genus of the surface if it is orientable, and be equal to the crosscap number of the surface if it is non-orientable. Then we define

$$J_A(f) = 2|A| - \deg(A) + n - 3 + g$$

where n = |V(T)| and g is the Euler genus of T.

Theorem 3. Let T be a triangulation of a surface of Euler genus g with n = |V(T)| vertices. If f is a 4-coloring of T and A is one of its color classes, then

$$J_A(f) \equiv \deg(f) + n - 3 + g \pmod{2}$$

Consequently, the homology degree $\deg(f)$ has the same parity as $\deg(A)$.

Proof. We may assume that the color class A corresponds to the color 4. Let T_{123} be the triangle of K_4 corresponding to the other 3 colors. Then $\deg(f) \equiv t_{123} \pmod{2}$. On the other hand,

$$\deg(A) = \sum_{v \in A} \deg(v) = t_{124} + t_{134} + t_{234} = |F(T)| - t_{123},$$

where F(T) denotes the set of the triangular faces of T. Since T is a triangulation, Euler's formula implies that $|F(T)| = \frac{2}{3}|E(T)| = 2n - 4 + 2g$. Thus,

$$J_A(f) = 2|A| - \deg(A) + n - 3 + g$$

= 2|A| + t₁₂₃ - |F(T)| + n - 3 + g
= t₁₂₃ + n - 3 + g \equiv \deg(f) + n - 3 + g \pmod{2}.

4. Coloring Complexes with Many Components

We have found two triangulations of the plane on 12 vertices which answer Tutte's question in the affirmative. Their 4-coloring complexes each have three components, from which it follows that two of these components must have the same parity. These triangulations are drawn in Figure 1.

We will now determine the 4-coloring complex of Example 1, and show that it has 3 connected components (the argument for Example 2 is similar).

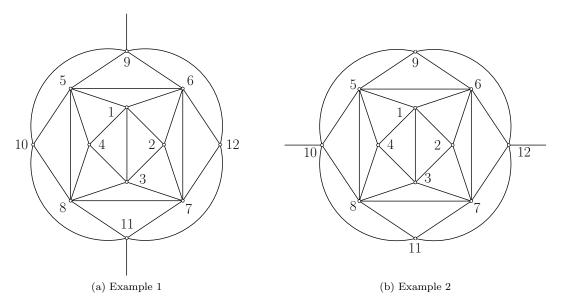


Figure 1: Triangulations whose 4-coloring complexes have three components. The half-edges sticking out form the edges 9-11 and 10-12, respectively.

Theorem 4. The 4-coloring complex of the first example G given in Figure 1(a) is the graph drawn in Figure 2.

Proof. We need to determine all the 4-colorings f of G. We start by precoloring the 4-cycle with vertices labelled 5, 6, 7 and 8. Up to relabelling, this 4-cycle can be precolored in 4 ways:

- (a) f(5) = 1, f(6) = 2, f(7) = 3, f(8) = 4.(b) f(5) = 1, f(6) = 2, f(7) = 1, f(8) = 2.(c) f(5) = 1, f(6) = 2, f(7) = 1, f(8) = 3.
- (d) f(5) = 1, f(6) = 2, f(7) = 3, f(8) = 2.

We consider these cases separately.

(a) Suppose that f(1) = 3. Then f(4) = 2, f(3) = 1 and f(2) = 4. Alternatively, if f(1) = 4, then f(2) = 1, f(3) = 2, and f(4) = 3. Now, as G is symmetric about the precolored 4-cycle, we also have exactly 2 ways of coloring the exterior of this 4-cycle. As a consequence, we find that there are exactly 4 colorings satisfying (a). Their color classes are:

 $\{\{3, 5, 11\}, \{4, 6, 10\}, \{1, 7, 9\}, \{2, 8, 12\}\}, \{\{3, 5, 12\}, \{4, 6, 11\}, \{1, 7, 10\}, \{2, 8, 9\}\},\$

 $\{\{2, 5, 11\}, \{3, 6, 10\}, \{4, 7, 9\}, \{1, 8, 12\}\}, \{\{2, 5, 12\}, \{3, 6, 11\}, \{4, 7, 10\}, \{1, 8, 9\}\}.$

(b) We may assume that f(1) = 3. Then f(2) = 4, and so vertex 3 has no available color. Consequently, no 4-colorings of G satisfy (b).

(c) Suppose that f(1) = 3. Then f(2) = 4, so f(3) = 2. Thus, f(4) = 4. On the other hand, if f(1) = 4, then f(2) = 3. Consequently, f(3) = 2, and vertex 4 cannot be colored. Hence, this coloring does not occur. Thus, we find that there is exactly one coloring satisfying (c): $\{\{5,7\}, \{3,6,11\}, \{1,8,9\}, \{2,4,10,12\}\}$.

(d) This case is mirror-symmetric to (c). We obtain exactly one coloring satisfying (d):

 $\{\{3, 5, 11\}, \{6, 8\}, \{1, 7, 9\}, \{2, 4, 10, 12\}\}.$

The six colorings of G clearly make the coloring complex exhibited in Figure 2.

The coloring complex B(G) from Theorem 4 certainly has three components, two of which have even parity, while the third one has odd parity. This provides an affirmative answer to Tutte's question. The triangulation shown in Figure 1(b) is not isomorphic to the previous example (this is easy to see by noting that the first graph has two degree 5 vertices with two common neighbors of degree 6, while the the second

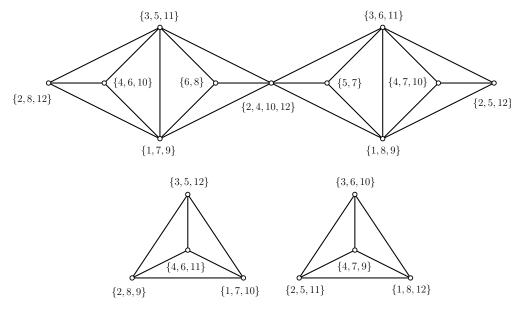


Figure 2: The coloring complex B(G)

graph does not) but their coloring complexes are isomorphic. It can be shown through computation that there are no such examples on 11 or fewer vertices. (Let us observe that using our Lemma 6, the computation can be reduced to 4-connected triangulations.)

We can now easily construct infinitely many examples by successively adding vertices to a triangle in G. For example, consider the triangle 123 in G. If we add vertex 13 in the centre of this triangle, and join it to vertices 1, 2 and 3 by edges, then we obtain a new triangulation G' of the plane such that $B(G) \cong B(G')$. As we can repeat this procedure indefinitely, this approach yields infinitely many examples of graphs which have two components with even parity.

Indeed, with a bit more effort, we can obtain a stronger result in this direction.

Theorem 5. Let $k \in \mathbb{N}$. Then there are infinitely many triangulations of the plane, whose coloring complex has more than k components with even colorings and more than k components with odd colorings.

This theorem follows directly from Theorem 4 and the following lemma. However, this lemma requires some further notation.

Let G and H be triangulations of surfaces of Euler genus g_1 and g_2 , respectively. Let $T_1 = xyz$ ($T_2 = x'y'z'$) be a face in G(H). By identifying x with x', y with y', and z with z', we obtain a new triangulation $G \triangle H$ with |V(G)| + |V(H)| - 3 vertices and with Euler genus $g_1 + g_2$. Any 4-coloring of $G \triangle H$ induces a 4-coloring f on G and a 4-coloring h on H, and then we use $f \triangle h$ to denote the coloring of $G \triangle H$. This gives a bijection between colorings of $G \triangle H$ and pairs (f, h) of colorings of G and H.

Lemma 6. (a) If two 4-colorings $f \triangle h$ and $f' \triangle h'$ of $G \triangle H$ are adjacent in $B(G \triangle H)$, then $f \sim f'$ in B(G), and $h \sim h'$ in B(H). Conversely, if $f \sim f'$ in B(G), and $h \sim h'$ in B(H), then $f \triangle h \sim f' \triangle h \sim f' \triangle h'$ in $B(G \triangle H)$.

(b) If A is a color class of $f \triangle h$, then $J_A(f \triangle h) = J_{A \cap V(G)}(f) + J_{A \cap V(H)}(h)$.

Proof. (a) By definition, $f \triangle h \sim f' \triangle h'$ means that $f \triangle h$ and $f' \triangle h'$ have a color class in common, say A. Then $A \cap V(G)$ is a color class that is common to f and f', so $f \sim f'$. Similarly, $h \sim h'$. The reverse statement is equally clear.

(b) Consider the color class A of $f \triangle h$ which is disjoint from the new triangle we created by identifying vertices in G and H. Recall that, by Corollary 2, computing $J_A(f \triangle h)$ for this color class is equivalent to

computing $J_C(f \triangle h)$ for any color class C of $f \triangle h$. We write A_G for $A \cap V(G)$ and A_H for $A \cap V(H)$. Then:

$$J_{A_G}(f) = 2|A_G| - \deg_G(A_G) + n_1 - 3 + g_1,$$

$$J_{A_H}(h) = 2|A_H| - \deg_H(A_H) + n_2 - 3 + g_2.$$

Now, as A_G and A_H are disjoint, $\deg(A) = \deg_G(A_G) + \deg_H(A_H)$. We can use the above expressions to compute $J_A(f \triangle h)$:

$$\begin{aligned} J_A(f \bigtriangleup h) &= 2|A| - \deg(A) + (n_1 + n_2 - 3) - 3 + (g_1 + g_2) \\ &= 2(|A_G| + |A_H|) - \deg_G(A_G) - \deg_H(A_H) + (n_1 - 3 + g_1) + (n_2 - 3 + g_2) \\ &= J_{A_G}(f) + J_{A_H}(f). \end{aligned}$$

If the color class A contains one of the identified vertices, the calculation is the same except that $|A| = |A_G| + |A_H| - 1$ and $\deg(A) = \deg_G(A_G) + \deg_H(A_H) - 2$, which yields the same final outcome.

5. 4-connected Examples

Identification over a triangle produces triangulations that are not 4-connected. However, it may be that in the back of Tutte's mind was an implicit condition that the triangulations should be 4-connected. The following generalized examples show that one can also find 4-connected examples whose coloring complexes have many components (of the same parity). The two graphs Q_1 and Q'_1 in Figure 1 are just smallest examples in two infinite families of 4-connected triangulations. We define Q_0, Q_1, Q_2, \ldots as follows. To obtain Q_k we take k + 2 nested 4-cycles $D_i = a_i b_i c_i d_i$ ($i = 0, 1, \ldots, k + 1$). We connect D_i with D_{i+1} ($i = 0, 1, \ldots, k$) as shown in Figure 3. To obtain Q_k , we add the edges $a_0 c_0$ and $a_{k+1} c_{k+1}$. We also define Q'_k , which is obtained in the same way except that we add the edge $b_{k+1} d_{k+1}$ instead of $a_{k+1} c_{k+1}$.

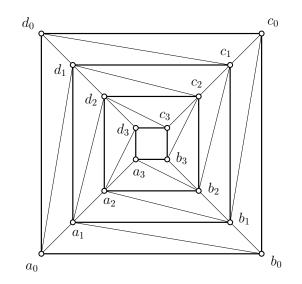


Figure 3: Nested 4-cycles D_0, D_1, D_2, D_3 . To obtain Q_2 , we add the edges a_0c_0 and a_3c_3 .

We say that a 4-coloring of Q_k is of type I if it uses all four colors on D_0 , and of type II if it uses only 3 colors on Q_0 . Note that a coloring f of type II has $f(b_0) = f(d_0)$ (and necessarily $f(a_0) \neq f(c_0)$). As we saw in the proof of Theorem 4, every 4-coloring of type I on D_i can be extended in two different ways to a 4-coloring on D_{i+1} , and both extensions are of type I (use all four colors on D_{i+1} in the same clockwise order as on D_i). Similarly, every 3-coloring of type II on D_i can be extended in two different ways to a 3-coloring on D_{i+1} , and both extensions are of type II. Of course, when i = k, only one of these 3-colorings of type II yields a 4-coloring in Q_k . This implies that Q_k has precisely 2^{k+1} 4-colorings of type I and has precisely 2^k 4-colorings of type II.

Next, we can easily show that two 4-colorings of Q_k of type I that have a color class in common must be identical (have all four color classes the same). In colorings of type I all color classes have a vertex in each D_i . A coloring of Q_k of type II has two color classes that meet every D_i , while the other two color classes have two vertices in every second 4-cycle Q_i . Thus, if a color class of a type II coloring coincides with a color class of a type I coloring, then the color class is contained in precisely one coloring of type I and one coloring of type II. In this way, each coloring of type II has 2 color classes that coincide with two color classes of a 4-coloring of type I, and this correspondence is 1-1. The colorings f of type I under this correspondence are precisely those fulfilling the following condition: either $\{f(b_1), f(d_1)\} = \{f(b_{k+1}), f(d_{k+1})\}$ (if k is even) or $\{f(b_0), f(d_0)\} = \{f(b_{k+1}), f(d_{k+1})\}$ (if k is odd). All of them are of odd parity and of even homology degree deg(f) (by Theorem 1, since the only vertices of odd degree are a_0, c_0, a_{k+1} and c_{k+1}).

Finally, there are precisely 2^k 4-colorings of type I that do not fulfill the condition stated in the previous paragraph, and we can show that each of them forms a separate component in the coloring complex $B(Q_k)$. To see this, consider a color class C of a coloring f of type I. It has exactly one vertex in each of the nested 4-cycles D_i . By fixing the other three colors on vertices of D_0 , it is easy to see that having a coloring on D_i and knowing the vertex in $D_{i+1} \cap C$, the colors uniquely extend to D_{i+1} , for $i = 0, 1, \ldots, k$. This shows that f cannot share a color class with another coloring of type I. Thus, excluding the 2^{k+1} color classes in 4-colorings of type I which are Kempe equivalent to colorings of type II, we obtain 2^{k+2} color classes in the 2^k remaining 4-colorings of type I, each of which then forms a separate component in the coloring complex $B(Q_k)$. Observe that all such colorings have even parity (odd homology degree).

It is also easy to see that all colorings of type II (together with their mates of type I) form a single component in $B(Q_k)$. To prove this, observe that two colorings of type II with a common class that intersects each Q_i must be identical. However, if they have a common color class containing b_0 and d_0 , then there are 2 ways to color each D_i with *i* odd, so there are 2^t colorings of type II with the same color class, where $t = \lfloor (k+1)/2 \rfloor$. And if they share a color class containing a_1 and c_1 , or b_1 and d_1 , then the same holds with $t = \lfloor k/2 \rfloor$.

In conclusion, $B(Q_k)$ has one (large) component containing all 2^{k+1} odd 4-colorings (they all have even homology degree) and 2^k components, each of which corresponds to a single coloring of even degree that is of type I.

The same holds for Q'_k .

Theorem 7. The 4-coloring complex of the 4-connected triangulation Q_k (and that of Q'_k) has $2^k + 1$ connected components. One of them corresponds to 2^{k+1} odd colorings, and each of the other 2^k components corresponds to a single even 4-coloring (odd homology degree).

6. Next Steps

At present, we have not found 5-connected triangulations of the plane whose 4-coloring complexes would have arbitrarily many components. However, we have found some 5-connected examples whose 4-coloring complexes have more than one component of the same parity. The three smallest triangulations of this kind are illustrated in Figure 4. These are triangulations of the plane on 20 vertices, and their minimality follows from an extensive computation that we performed on 5-connected triangulations with at most 23 vertices.

The 4-coloring complex of our first example has three components, two odd and one even. The second example's 4-coloring complex has three even components and one odd component. Our final example's 4-coloring complex has two even and one odd component. There are also 6 non-isomorphic 5-connected examples on 21 vertices, 33 on 22 vertices and 66 on 23 vertices. Unfortunately, we do not have an infinite family.

However, there is another interesting question about parity we can ask. If the 4-coloring complex of a triangulation of the plane has at least two components, must it have one component of even parity and one component of odd parity? Based on a large number of computations, we formulate the following conjecture.

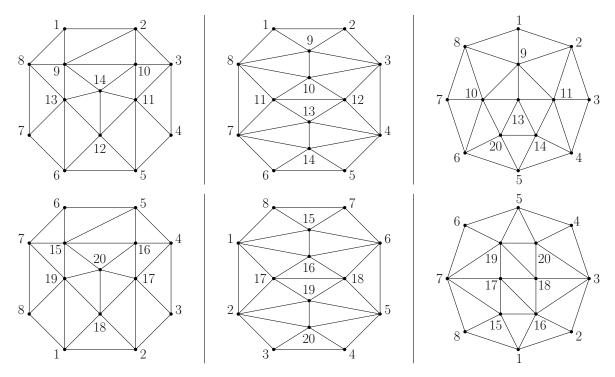


Figure 4: Three 5-connected triangulations on 20 vertices. Each one is represented by two triangulated octagons. In order to obtain the full graph from each pair of subgraphs, identify the vertices on the outer cycle of each subgraph according to their labeling.

Conjecture 8. Suppose that T is a triangulation of the plane, and that its 4-coloring complex B(T) has at least two components. Then B(T) has a component of even parity and a component of odd parity.

References

References

- [1] Steve Fisk. Geometric coloring theory. Advances in Mathematics, 24(3):298–340, 1977.
- [2] W. T. Tutte. Even and odd 4-colorings. In Proof Techniques in Graph Theory, pages 161–169. Academic Press, New York, 1969.
- [3] William T. Tutte. Some comments on the four-colour problem. Available at: http://www.sfu.ca/ ~mohar/Notes/Tutte_CampinasBrazil_Notes.pdf, 1999. Speaker's notes at the "Workshop in Combinatorics and Discrete Structures — In honour of Prof. W. T. Tutte, UNICAMP, Campinas, SP, Brazil, August 2-4, 1999".