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# Optimizing nonzero-based sparse matrix partitioning models via reducing latency 

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#### Abstract

Nonzero-based fine-grain and medium-grain sparse matrix partitioning models attain the lowest communication volume and computational imbalance among all partitioning models due to their larger solution space. This usually comes, however, at the expense of a high message count, i.e., high latency overhead. This work addresses this shortcoming by proposing new fine-grain and medium-grain models that are able to minimize communication volume and message count in a single partitioning phase. The new models utilize message nets in order to encapsulate the minimization of total message count. We further fine-tune these models by proposing delayed addition and thresholding for message nets in order to establish a trade-off between the conflicting objectives of minimizing communication volume and message count. The experiments on an extensive dataset of nearly one thousand matrices show that the proposed models improve the total message count of the original nonzero-based models by up to $27 \%$ on the average, which is reflected on the parallel runtime of sparse matrix-vector multiplication as an average reduction of $15 \%$ on 512 processors.


Keywords: sparse matrix, sparse matrix-vector multiplication, row-column-parallel SpMV, load balancing, communication overhead, hypergraph, fine-grain partitioning, medium-grain partitioning, recursive bipartitioning.

## 1. Introduction

Sparse matrix partitioning plays a pivotal role in scaling ap- ${ }^{27}$ plications that involve irregularly sparse matrices on distributed ${ }^{28}$ memory systems. Several decades of research on this subject ${ }^{29}$ led to elegant combinatorial partitioning models that are able to ${ }^{30}$ address the needs of these applications.

A key operation in sparse applications is the sparse matrix- ${ }^{32}$ vector multiplication (SpMV). The irregular sparsity pattern ${ }^{33}$ of the coefficient matrix in SpMV necessitates a non-trivial ${ }^{34}$ parallelization, usually achieved through combinatorial models ${ }^{35}$ based on graph and hypergraph partitioning. Graph and hyper- ${ }^{36}$ graph models prove to be powerful tools in their immense abil- ${ }^{37}$ ity to represent applications with the aim of optimizing desired ${ }^{38}$ parallel performance metrics. The literature is rich in terms of ${ }^{39}$ such models for parallelizing SpMV [1, 2, 3, ,4, 5, 6, 7, 8, 9, $, 10,{ }^{40}$ [11, 12, 13]. We focus on the hypergraph models as they cor- ${ }^{41}$ rectly encapsulate the total communication volume in $\mathrm{SpMV}^{42}$ and the proposed models in this work rely on hypergraphs. The ${ }^{43}$ hypergraph models for SpMV are grouped into two depending ${ }^{44}$ on how they distribute the nonzeros of individual rows/columns ${ }^{45}$ of the matrix among processors: if all nonzeros that belong to a ${ }^{46}$ row/column are assigned to a single processor, then they are ${ }^{47}$ called one-dimensional (1D) models [1], otherwise, they are ${ }^{48}$ called two-dimensional (2D) models. The 2D models are gener- ${ }^{49}$ ally superior to the 1D models in terms of parallel performance ${ }^{50}$

[^0]due to their higher flexibility in distributing the matrix nonzeros. Examples of 2D models include checkerboard [8, 14], jagged [14], fine-grain [14, 15], and medium-grain [10] models. Among these, the fine-grain and medium-grain models are referred to as nonzero-based models as they obtain nonzero-based matrix partitions, which are the most general possible [7].

Among all models, the fine-grain model adopts the finest partitioning granularity by treating the nonzeros of the matrix as individual units, which leads it to have the largest solution space. For this reason, it achieves the lowest communication volume and the lowest imbalance on computational loads of the processors [14]. Since the nonzeros of the matrix are treated individually in the fine-grain model, the nonzeros that belong to the same row/column are more likely to be scattered to multiple processors compared to the other models. This may result in a high message count and hinder scalability. The fine-grain hypergraphs have the largest size for the same reason, causing this model to have the highest partitioning overhead. The recently proposed medium-grain model [10] alleviates this issue by operating on groups of nonzeros instead of individual nonzeros. The medium-grain model's partitioning overhead is comparable to those of the 1 D models, (i.e., quite low), while its communication volume is comparable to that of the fine-grain model.

The nonzero-based models attain the lowest communication volume among all 1D and 2D models, however, the overall communication cost is not determined by the volume only, but better formulated as a function of multiple communication cost metrics. Another important cost metric is the total message count, which is not only overlooked by both the fine-grain and medium-grain models, but also exacerbated due to the having nonzero-based partitions. Among the two basic components of
the communication cost, the total communication volume determines the bandwidth component, whereas the total message count determines the latency component.

In this work, we propose a novel fine-grain model and a novel medium-grain model to simultaneously reduce the bandwidth and latency costs of parallel SpMV. The original fine-grain [15] and medium-grain [10] models already encapsulate the bandwidth cost. We use message nets to incorporate the minimization of the latency cost into the partitioning objective of these models. Message nets aim to group the matrix nonzeros and/or the vector entries in the SpMV that necessitate a message together. The formation of message nets relies on the recursive bipartitioning paradigm, which is shown to be a powerful approach to optimize multiple communication cost metrics in recent studies [16, 17]. Message nets are recently proposed for certain types of iterative applications that involve a computational phase either preceded or followed by a communication phase with a restriction of conformal partitions on input and ${ }^{115}$ output data [17]. 1D row-parallel and column-parallel SpMV operations constitute examples for these applications. This ${ }^{116}$ work differs from [17] in the sense that the nonzero-based par-117 titions necessitate a parallel SpMV that involves two commu-118 nication phases with no restriction of conformal partitions. We $\mathrm{e}_{119}$ also propose two enhancements concerning the message nets to ${ }^{120}$ better exploit the trade-off between the bandwidth and latency ${ }_{121}$ costs for the proposed models.

The existing partitioning models that address the bandwidth ${ }_{123}$ and latency costs in the literature can be grouped into two ac-124 cording to whether they explicitly address the latency cost (the ${ }_{125}$ bandwidth cost is usually addressed explicitly). The models ${ }_{126}$ that do not explicitly address the latency cost provide an up-127 per bound on the message counts [8, 14, 18]. We focus on ${ }_{128}$ the works that explicitly address the latency cost [17, 19, 20], 229 which is also the case in this work. Among these works, the ${ }_{130}$ one proposed in [19] is a two-phase approach which addresses ${ }_{131}$ the bandwidth cost in the first phase with the 1D models and the ${ }_{132}$ latency cost in the second phase with the communication hyper-133 graph model. In the two-phase approaches, since different cost ${ }_{134}$ metrics are addressed in separate phases, a metric minimized in ${ }_{135}$ a particular phase may get out of control in the other phase. Our ${ }_{136}$ models fall into the category of single-phase approaches. The ${ }_{137}$ other two works also adopt a single-phase approach to address ${ }_{138}$ multiple communication cost metrics, where UMPa [20] uses a ${ }^{139}$ direct $K$-way partitioning approach, while [17] exploits the re-140 cursive bipartitioning paradigm. UMPa is rather expensive as ${ }_{141}$ it introduces an additional cost involving a quadratic factor in ${ }_{142}$ terms of the number of processors to each refinement pass. Our ${ }_{143}$ approach introduces an additional cost involving a mere loga-144 rithmic factor in terms of the number of processors to the entire ${ }_{145}$ partitioning.

The rest of the paper is organized as follows. Section 2 gives ${ }_{147}$ background on parallel SpMV, the fine-grain model, recursive ${ }_{148}$ bipartitioning, and the medium-grain model. Sections 3 and $4{ }_{49}$ present the proposed fine-grain and medium-grain models, re-150 spectively. Section 5 describes practical enhancements to these ${ }_{151}$ models. Section 6 gives the experimental results and Section $7{ }_{52}$ concludes.

```
Algorithm 1 Row-column-parallel SpMV as performed by pro-
cessor \(P_{k}\)
Require: \(\mathcal{A}_{k}, \mathcal{X}_{k}\)
```

    \(\triangleright\) Pre-communication phase - expands on \(x\)-vector entries
    Receive the needed \(x\)-vector entries that are not in \(\mathcal{X}_{k}\)
    Send the \(x\)-vector entries in \(\mathcal{X}_{k}\) needed by other processors
    \(\triangleright\) Computation phase
    \(y_{i}^{(k)} \leftarrow y_{i}^{(k)}+a_{i, j} x_{j}\) for each \(a_{i, j} \in \mathcal{A}_{k}\)
    \(\triangleright\) Post-communication phase -folds on y-vector entries
    Receive the partial results for \(y\)-vector entries in \(\boldsymbol{y}_{k}\) and
        compute \(y_{i} \leftarrow \sum y_{i}^{(\ell)}\) for each partial result \(y_{i}^{(\ell)}\)
    Send the partial results for \(y\)-vector entries not in \(\boldsymbol{y}_{k}\)
    return \(\boldsymbol{y}_{k}\)
    
## 2. Preliminaries

### 2.1. Row-column-parallel $S p M V$

We consider the parallelization of SpMV of the form $y=A x$ with a nonzero-based partitioned matrix $A$, where $A=\left(a_{i, j}\right)$ is an $n_{r} \times n_{c}$ sparse matrix with $n_{n z}$ nonzero entries, and $x$ and $y$ are dense vectors. The $i$ th row and the $j$ th column of $A$ are respectively denoted by $r_{i}$ and $c_{j}$. The $j$ th entry of $x$ and the $i$ th entry of $y$ are respectively denoted by $x_{j}$ and $y_{i}$. Let $\mathcal{A}$ denote the set of nonzero entries in $A$, that is, $\mathcal{A}=\left\{a_{i, j}: a_{i, j} \neq 0\right\}$. Let $\mathcal{X}$ and $\mathcal{Y}$ respectively denote the sets of entries in $x$ and $y$, that is, $\mathcal{X}=\left\{x_{1}, \ldots, x_{n_{c}}\right\}$ and $\mathcal{Y}=\left\{y_{1}, \ldots, y_{n_{r}}\right\}$. Assume that there are $K$ processors in the parallel system denoted by $P_{1}, \ldots, P_{K}$. Let $\Pi_{K}(\mathcal{A})=\left\{\mathcal{A}_{1}, \ldots, \mathcal{A}_{K}\right\}, \Pi_{K}(\mathcal{X})=\left\{\mathcal{X}_{1}, \ldots, \mathcal{X}_{K}\right\}$, and $\Pi_{K}(\boldsymbol{y})=\left\{\boldsymbol{y}_{1}, \ldots, \mathcal{Y}_{K}\right\}$ denote $K$-way partitions of $\mathcal{A}, \mathcal{X}$, and $\mathcal{Y}$, respectively.

Given partitions $\Pi_{K}(\mathcal{A}), \Pi_{K}(\mathcal{X})$, and $\Pi_{K}(\boldsymbol{y})$, without loss of generality, the nonzeros in $\mathcal{A}_{k}$ and the vector entries in $\mathcal{X}_{k}$ and $\boldsymbol{y}_{k}$ are assigned to processor $P_{k}$. For each $a_{i, j} \in \mathcal{A}_{k}, P_{k}$ is held responsible for performing the respective multiply-andadd operation $y_{i}^{(k)} \leftarrow y_{i}^{(k)}+a_{i, j} x_{j}$, where $y_{i}^{(k)}$ denotes the partial result computed for $y_{i}$ by $P_{k}$. Algorithm 1 displays the basic steps performed by $P_{k}$ in parallel SpMV for a nonzero-based partitioned matrix $A$. This algorithm is called the row-columnparallel $\operatorname{SpMV}$ [19]. In this algorithm, $P_{k}$ first receives the needed $x$-vector entries that are not in $X_{k}$ from their owners and sends its $x$-vector entries to the processors that need them in a pre-communication phase. Sending $x_{j}$ to possibly multiple processors is referred to as the expand operation on $x_{j}$. When $P_{k}$ has all needed $x$-vector entries, it performs the local SpMV by computing $y_{i}^{(k)} \leftarrow y_{i}^{(k)}+a_{i, j} x_{j}$ for each $a_{i, j} \in \mathcal{A}_{k}$. $P_{k}$ then receives the partial results for the $y$-vector entries in $y_{k}$ from other processors and sends its partial results to the processors that own the respective $y$-vector entries in a postcommunication phase. Receiving partial result(s) for $y_{i}$ from possibly multiple processors is referred to as the fold operation on $y_{i}$. Note overlapping of computation and communication is not considered in this algorithm for the sake of clarity.

For an efficient row-column-parallel SpMV, the goal is to find $\Pi_{K}(\mathcal{A}), \Pi_{K}(\mathcal{X})$, and $\Pi_{K}(\boldsymbol{y})$ with low communication overhead


Figure 1: A sample $y=A x$ and the corresponding fine-grain hypergraph. 194
and good balance on computational loads of processors. Sec- ${ }^{195}$ tions 2.2 and 2.4 respectively describe the fine-grain [8] and ${ }^{196}$ medium-grain [10] hypergraph partitioning models, in which ${ }^{197}$ the goal of reducing communication overhead is met partially ${ }^{198}$ by only minimizing the bandwidth cost, i.e., the total communication volume. Vector partitions $\Pi_{K}(\mathcal{X})$ and $\Pi_{K}(\boldsymbol{y})$ can also be found after finding $\Pi_{K}(\mathcal{A})$ [19, 21]. This work, on the other hand, finds all partitions at once in a single partitioning phase. ${ }_{19}$

In the fine-grain hypergraph $\mathcal{H}=(\mathcal{V}, \mathcal{N})$, each entry in $\mathcal{A}, 202$ $\mathcal{X}$, and $\mathcal{Y}$ is represented by a different vertex. Vertex set $\mathcal{V}_{203}$ contains a vertex $v_{i, j}^{a}$ for each $a_{i, j} \in \mathcal{A}$, a vertex $v_{j}^{x}$ for each ${ }_{204}$ $x_{j} \in \mathcal{X}$, and a vertex $v_{i}^{y}$ for each $y_{i} \in \mathcal{Y}$. That is,

$$
\mathcal{V}=\left\{v_{i, j}^{a}: a_{i, j} \neq 0\right\} \cup\left\{v_{1}^{x}, \ldots, v_{n_{c}}^{x}\right\} \cup\left\{v_{1}^{y}, \ldots, v_{n_{r}}^{y}\right\} .
$$ task $y_{i} \leftarrow y_{i}+a_{i, j} x_{j}$ associated with $a_{i, j}$, whereas $v_{j}^{x}$ and $v_{i}^{y}$ only represent the input and output data elements $x_{j}$ and $y_{i}$, respec-208 tively.

The net set $\mathcal{N}$ contains two different types of nets to represent $2_{210}$ the dependencies of the computational tasks on $x$ - and $y$-vector entries. For each $x_{j} \in \mathcal{X}$ and $y_{i} \in \mathcal{Y}, \mathcal{N}$ respectively contains the nets $n_{j}^{x}$ and $n_{i}^{y}$. That is,

$$
\mathcal{N}=\left\{n_{1}^{x}, \ldots, n_{n_{c}}^{x}\right\} \cup\left\{n_{1}^{y}, \ldots, n_{n_{r}}^{y}\right\} .
$$

Net $n_{j}^{x}$ represents the input dependency of the computational ${ }_{215}$ tasks on $x_{j}$, hence, it connects the vertices that represent these ${ }_{216}$ tasks and $v_{j}^{x}$. Net $n_{i}^{y}$ represents the output dependency of the ${ }_{217}$ computational tasks on $y_{i}$, hence, it connects the vertices that ${ }_{218}$ represent these tasks and $v_{i}^{y}$. The sets of vertices connected by ${ }_{219}$ $n_{j}^{x}$ and $n_{i}^{y}$ are respectively formulated as

$$
\begin{aligned}
& \operatorname{Pins}\left(n_{j}^{x}\right)=\left\{v_{j}^{x}\right\} \cup\left\{v_{t, j}^{a}: a_{t, j} \neq 0\right\} \text { and } \\
& \operatorname{Pins}\left(n_{i}^{y}\right)=\left\{v_{i}^{y}\right\} \cup\left\{v_{i, t}^{a}: a_{i, t} \neq 0\right\} .
\end{aligned}
$$

$\mathcal{H}$ contains $n_{n z}+n_{c}+n_{r}$ vertices, $n_{c}+n_{r}$ nets and $2 n_{n z}+n_{c}+n_{r_{225}}$ pins. Figure 1 displays a sample SpMV instance and its corre- ${ }_{226}$ sponding fine-grain hypergraph. In $\mathcal{H}$, the vertices are assigned ${ }_{227}^{266}$ the weights that signify their computational loads. Hence, ${ }_{228}^{227}$ $w\left(v_{i, j}^{a}\right)=1$ for each $v_{i, j}^{a} \in \mathcal{V}$ as $v_{i, j}$ represents a single multiply- ${ }_{22}$ and-add operation, whereas $w\left(v_{j}^{x}\right)=w\left(v_{i}^{y}\right)=0$ for each $v_{j}^{x} \in \mathcal{V}_{230}$ and $v_{i}^{y} \in \mathcal{V}$ as they do not represent any computation. The nets231

$\qquad$
$\Pi(n)$ denote the number of parts connected by net $n$ in $\Pi_{K}(\mathcal{H})$, where a net is said to connect a part if it connects at least one vertex in that part. A net $n$ is called cut if it connects at least two parts, i.e., $\lambda(n)>1$, and uncut, otherwise. The cutsize of $\Pi_{K}(\mathcal{H})$ is defined as

$$
\begin{equation*}
\operatorname{cutsize}\left(\Pi_{K}(\mathcal{H})\right)=\sum_{n \in \mathcal{N}} c(n)(\lambda(n)-1) \tag{1}
\end{equation*}
$$

For a given $\Pi_{K}(\mathcal{H})$, a cut net $n_{j}^{x}\left(n_{i}^{y}\right)$ incurs an expand (fold) operation on $x_{j}\left(y_{i}\right)$ with a volume of $\lambda\left(n_{j}^{x}\right)-1\left(\lambda\left(n_{i}^{y}\right)-1\right)$. Hence, $\operatorname{cutsize}\left(\Pi_{K}(\mathcal{H})\right)$ is equal to the total communication volume in parallel SpMV. Therefore, minimizing cutsize $\left(\Pi_{K}(\mathcal{H})\right)$ corresponds to minimizing the total communication volume.

In $\Pi_{K}(\mathcal{H})$, the weight $W\left(\mathcal{V}_{k}\right)$ of part $\mathcal{V}_{k}$ is defined as the sum of the weights of the vertices in $\mathcal{V}_{k}$, i.e., $W\left(\mathcal{V}_{k}\right)=\sum_{v \in \mathcal{V}_{k}} w(v)$, which is equal to the total computational load of processor $P_{k}$. Then, maintaining the balance constraint

$$
W\left(\mathcal{V}_{k}\right) \leq W_{\text {avg }}(1+\epsilon), \text { for } k=1, \ldots, K,
$$

corresponds to maintaining balance on the computational loads of the processors. Here, $W_{\text {avg }}$ and $\epsilon$ denote the average part weight and a maximum imbalance ratio, respectively.

### 2.3. Recursive bipartitioning ( $R B$ ) paradigm

In RB, a given domain is first bipartitioned and then this bipartition is used to form two new subdomains. In our case, a domain refers to a hypergraph $(\mathcal{H})$ or a set of matrix and vector entries $(\mathcal{A}, \mathcal{X}, \boldsymbol{y})$. The newly-formed subdomains are recursively bipartitioned until $K$ subdomains are obtained. This procedure forms a hypothetical full binary tree, which contains $\lceil\log K\rceil+1$ levels. The root node of the tree represents the given domain, whereas each of the remaining nodes represents a subdomain formed during the RB process. At any stage of the RB process, the subdomains represented by the leaf nodes of the RB tree collectively induce a partition of the original domain.

The RB paradigm is successfully used for hypergraph partitioning. Figure 2 illustrates an RB tree currently in the process of partitioning a hypergraph. The current leaf nodes induce a four-way partition $\Pi_{4}(\mathcal{H})=\left\{\mathcal{V}_{1}, \mathcal{V}_{2}, \mathcal{V}_{3}, \mathcal{V}_{4}\right\}$ and each node in the RB tree represents both a hypergraph and its vertex set. While forming two new subhypergraphs after each RB step, the cut-net splitting technique is used [1] to encapsulate the cutsize in (1). The sum of the cutsizes incurred in all RB steps is equal to the cutsize of the resulting $K$-way partition.


Figure 2: The RB tree during partitioning $\mathcal{H}=(\mathcal{V}, \mathcal{N})$. The current RB tree contains four leaf hypergraphs with the hypergraph to be bipartitioned next be-266 ing $\mathcal{H}_{1}=\left(\mathcal{V}_{1}, \mathcal{N}_{1}\right)$.

### 2.4. Medium-grain hypergraph model

In the medium-grain hypergraph model, the sets $\mathcal{A}, \mathcal{X}$ and $\boldsymbol{y}$ are partitioned into $K$ parts using RB. The medium-grain model $_{267}$ uses a mapping for a subset of the nonzeros at each RB step. ${ }_{268}$ Because this mapping is central to the model, we focus on ${ }^{269}$ single bipartitioning step to explain the medium-grain model ${ }_{270}^{269}$ Before each RB step, the nonzeros to be bipartitioned are first mapped to their rows or columns by a heuristic and a new hypergraph is formed according to this mapping.

Consider an RB tree for the medium-grain model with $K^{\prime 271}$ leaf nodes, where $K^{\prime}<K$, and assume that the $k$ th node from ${ }^{272}$ the left is to be bipartitioned next. This node represents $\mathcal{A}_{k}$, ${ }^{273}$ $\mathcal{X}_{k}$, and $\mathcal{Y}_{k}$ in the respective $K^{\prime}$-way partitions $\left\{\mathcal{A}_{1}, \ldots, \mathcal{A}_{K^{\prime}}\right\}$, ${ }^{274}$ $\left\{\mathcal{X}_{1}, \ldots, \mathcal{X}_{K^{\prime}}\right\}$, and $\left\{\boldsymbol{y}_{1}, \ldots, \mathcal{Y}_{K^{\prime}}\right\}$. First, each $a_{i, j} \in \mathcal{A}_{k}$ is ${ }^{275}$ mapped to either $r_{i}$ or $c_{j}$, where this mapping is denoted by ${ }^{276}$ $\operatorname{map}\left(a_{i, j}\right)$. With a heuristic, $a_{i, j} \in \mathcal{A}_{k}$ is mapped to $r_{i}$ if $r_{i}$ has ${ }^{277}$ fewer nonzeros than $c_{j}$ in $\mathcal{A}_{k}$, and to $c_{j}$ if $c_{j}$ has fewer nonze-278 ros than $r_{i}$ in $\mathcal{A}_{k}$. After determining $\operatorname{map}\left(a_{i, j}\right)$ for each nonzero ${ }^{279}$ in $\mathcal{A}_{k}$, the medium-grain hypergraph $\mathcal{H}_{k}=\left(\mathcal{V}_{k}, \mathcal{N}_{k}\right)$ is formed ${ }^{280}$ as follows. Vertex set $\mathcal{V}_{k}$ contains a vertex $v_{j}^{x}$ if $x_{j}$ is in $\mathcal{X}_{k}$ or ${ }^{281}$ there exists at least one nonzero in $\mathcal{A}_{k}$ mapped to $c_{j}$. Similarly, ${ }^{282}$ $\mathcal{V}_{k}$ contains a vertex $v_{i}^{y}$ if $y_{i}$ is in $\mathcal{Y}_{k}$ or there exists at least one ${ }^{283}$ nonzero in $\mathcal{A}_{k}$ mapped to $r_{i}$. Hence, $v_{j}^{x}$ represents $x_{j}$ and/or the ${ }^{284}$ nonzero(s) assigned to $c_{j}$, whereas $v_{i}^{y}$ represents $y_{i}$ and/or the ${ }^{285}$ nonzero(s) assigned to $r_{i}$. That is,

$$
\begin{aligned}
\mathcal{V}_{k}= & \left\{v_{j}^{x}: x_{j} \in \mathcal{X}_{k} \text { or } \exists a_{t, j} \in \mathcal{A}_{k} \text { s.t. } \operatorname{map}\left(a_{t, j}\right)=c_{j}\right\} \cup \\
& \left\{v_{i}^{y}: y_{i} \in \mathcal{Y}_{k} \text { or } \exists a_{i, t} \in \mathcal{A}_{k} \text { s.t. } \operatorname{map}\left(a_{i, t}\right)=r_{i}\right\} .
\end{aligned}
$$

Besides the data elements, vertex $v_{i}^{x} / v_{i}^{y}$ represents the group of ${ }_{289}$ computational tasks associated with the nonzeros mapped to $\mathrm{t}_{290}$ them, if any.

The net set $\mathcal{N}_{k}$ contains a net $n_{j}^{x}$ if $\mathcal{A}_{k}$ contains at least one ${ }_{292}$ nonzero in $c_{j}$, and a net $n_{i}^{y}$ if $\mathcal{A}_{k}$ contains at least one nonzero293 in $r_{i}$. That is,

$$
\mathcal{N}_{k}=\left\{n_{j}^{x}: \exists a_{t, j} \in \mathcal{A}_{k}\right\} \cup\left\{n_{i}^{y}: \exists a_{i, t} \in \mathcal{A}_{k}\right\} .
$$

$n_{j}^{x}$ represents the input dependency of the groups of computa- ${ }_{298}$ tional tasks on $x_{j}$, whereas $n_{i}^{y}$ represents the output dependency 299 $_{29}$ of the groups of computational tasks on $y_{i}$. Hence, the sets of $f_{30}$


medium-grain hypergraph $\mathcal{H}_{1}$

Figure 3: The nonzero assignments of the sample $y=A x$ and the corresponding medium-grain hypergraph.
vertices connected by $n_{j}^{x}$ and $n_{i}^{y}$ are respectively formulated by

$$
\begin{aligned}
& \operatorname{Pins}\left(n_{j}^{x}\right)=\left\{v_{j}^{x}\right\} \cup\left\{v_{t}^{y}: \operatorname{map}\left(a_{t, j}\right)=r_{t}\right\} \text { and } \\
& \operatorname{Pins}\left(n_{i}^{y}\right)=\left\{v_{i}^{y}\right\} \cup\left\{v_{t}^{x}: \operatorname{map}\left(a_{i, t}\right)=c_{t}\right\} .
\end{aligned}
$$

In $\mathcal{H}_{k}$, each net is assigned a unit cost, i.e., $c\left(n_{j}^{x}\right)=c\left(n_{i}^{y}\right)=1$ for each $n_{j}^{x} \in \mathcal{N}$ and $n_{i}^{y} \in \mathcal{N}$. Each vertex is assigned a weight equal to the number of nonzeros represented by that vertex. That is,

$$
\begin{aligned}
& w\left(v_{j}^{x}\right)=\left|\left\{a_{t, j}: \operatorname{map}\left(a_{t, j}\right)=c_{j}\right\}\right| \text { and } \\
& w\left(v_{i}^{y}\right)=\left|\left\{a_{i, t}: \operatorname{map}\left(a_{i, t}\right)=r_{i}\right\}\right| .
\end{aligned}
$$

$\mathcal{H}_{k}$ is bipartitioned with the objective of minimizing the cutsize and the constraint of maintaining balance on the part weights. The resulting bipartition is further improved by an iterative refinement algorithm. In every RB step, minimizing the cutsize corresponds to minimizing the total volume of communication, whereas maintaining balance on the weights of the parts corresponds to maintaining balance on the computational loads of the processors.

Figure 3 displays a sample SpMV instance with nonzero mapping information and the corresponding medium-grain hypergraph. This example illustrates the first RB step, hence, $\mathcal{A}_{1}=\mathcal{A}, \mathcal{X}_{1}=\mathcal{X}, \mathcal{Y}_{1}=\mathcal{Y}$, and $K^{\prime}=k=1$. Each nonzero in $A$ is denoted by an arrow, where the direction of the arrow shows the mapping for that nonzero. For example, $n_{3}^{x}$ connects $v_{3}^{x}, v_{1}^{y}, v_{2}^{y}$, and $v_{3}^{y}$ since $\operatorname{map}\left(a_{1,3}\right)=r_{1}, \operatorname{map}\left(a_{2,3}\right)=r_{2}$, and $\operatorname{map}\left(a_{3,3}\right)=r_{3}$.

## 3. Optimizing fine-grain partitioning model

In this section, we propose a fine-grain hypergraph partitioning model that simultaneously reduces the bandwidth and latency costs of the row-column-parallel SpMV. Our model is built upon the original fine-grain model (Section 2.2) via utilizing the RB paradigm. The proposed model contains two different types of nets to address the bandwidth and latency costs. The nets of the original fine-grain model already address the bandwidth cost and they are called "volume nets" as they encapsulate the minimization of the total communication volume. At each RB step, our model forms and adds new nets to the hypergraph to be bipartitioned. These new nets address the latency cost and they are called "message nets" as they encapsulate the minimization of the total message count.

Message nets aim to group the matrix nonzeros and vector entries that altogether necessitate a message. The formation and addition of message nets rely on the RB paradigm. To determine the existence and the content of a message, a partition information is needed first. At each RB step, prior to bipartitioning the current hypergraph that already contains the volume nets, the message nets are formed using the $K^{\prime}$-way partition information and added to this hypergraph, where $K^{\prime}$ is the number of leaf nodes in the current RB tree. Then this hypergraph is bipartitioned, which results in a $\left(K^{\prime}+1\right)$-way partition as the number of leaves becomes $K^{\prime}+1$ after bipartitioning. Adding message nets just before each bipartitioning allows us to utilize the most recent global partition information at hand. In contrast to the formation of the message nets, the formation of the volume nets via cut-net splitting requires only the local bipartition information.

### 3.1. Message nets in a single $R B$ step

Consider an SpMV instance $y=A x$ and its corresponding fine-grain hypergraph $\mathcal{H}=(\mathcal{V}, \mathcal{N})$ with the aim of partitioning $\mathcal{H}$ into $K$ parts to parallelize $y=A x$. The RB process starts with bipartitioning $\mathcal{H}$, which is represented by the root node of the corresponding RB tree. Assume that the RB process is at the state where there are $K^{\prime}$ leaf nodes in the RB tree, for $1<K^{\prime}<K$, and the hypergraphs corresponding to these nodes are denoted by $\mathcal{H}_{1}, \ldots, \mathcal{H}_{K^{\prime}}$ from left to right. Let $\Pi_{K^{\prime}}(\mathcal{H})=\left\{\mathcal{V}_{1}, \ldots, \mathcal{V}_{K^{\prime}}\right\}$ denote the $K^{\prime}$-way partition induced by the leaf nodes of the RB tree. $\Pi_{K^{\prime}}(\mathcal{H})$ also induces $K^{\prime}$-way partitions $\Pi_{K^{\prime}}(\mathcal{A}), \Pi_{K^{\prime}}(\mathcal{X})$, and $\Pi_{K^{\prime}}(\boldsymbol{y})$ of sets $\mathcal{A}, \mathcal{X}$, and $\mathcal{Y}$, respectively. Without loss of generality, the entries in $\mathcal{A}_{k}, \mathcal{X}_{k}$, and $\mathcal{Y}_{k}$ are assigned to processor group $\mathcal{P}_{k}$. Assume that $\mathcal{H}_{k}=\left(\mathcal{V}_{k}, \mathcal{N}_{k}\right)$ is next to be bipartitioned among these hypergraphs. $\mathcal{H}_{k}$ initially contains only the volume nets. In our model, we add message nets to $\mathcal{H}_{k}$ to obtain the augmented hypergraph $\mathcal{H}_{k}^{M}=\left(\mathcal{V}_{k}, \mathcal{N}_{k}^{M}\right)$. Let $\Pi\left(\mathcal{H}_{k}^{M}\right)=\left\{\mathcal{V}_{k, L}, \mathcal{V}_{k, R}\right\}$ denote a bipartition of $\mathcal{H}_{k}^{M}$, where $L$ and $R$ in the subscripts refer to left and right, respectively. $\Pi\left(\mathcal{H}_{k}^{M}\right)$ induces bipartitions $\Pi\left(\mathcal{A}_{k}\right)={ }_{356}$ $\left\{\mathcal{A}_{k, L}, \mathcal{A}_{k, R}\right\}, \Pi\left(\mathcal{X}_{k}\right)=\left\{\mathcal{X}_{k, L}, \mathcal{X}_{k, R}\right\}$, and $\Pi\left(\boldsymbol{\mathcal { H }}_{k}\right)=\left\{\boldsymbol{\mathcal { Y }}_{k, L}, \boldsymbol{\mathcal { Y }}_{k, R}\right\}_{357}$ on $\mathcal{A}_{k}, \mathcal{X}_{k}$, and $\mathcal{Y}_{k}$, respectively. Let $\mathcal{P}_{k, L}$ and $\mathcal{P}_{k, R}$ denote the processor groups to which the entries in $\left\{\mathcal{A}_{k, L}, \mathcal{X}_{k, L}, \mathcal{Y}_{k, L}\right\}$ and $\left\{\mathcal{A}_{k, R}, \mathcal{X}_{k, R}, \mathcal{Y}_{k, R}\right\}$ are assigned.

Algorithm 2 displays the basic steps of forming message nets ${ }^{358}$ and adding them to $\mathcal{H}_{k}$. For each processor group $\mathcal{P}_{\ell}$ that $\mathcal{P}_{k^{359}}$ communicates with, four different message nets may be added360 to $\mathcal{H}_{k}$ : expand-send net, expand-receive net, fold-send net and ${ }^{361}$ fold-receive net, respectively denoted by $s_{\ell}^{e}, r_{\ell}^{e}, s_{\ell}^{f}$ and $r_{\ell}^{f}$. Here,362 $s$ and $r$ respectively denote the messages sent and received, theз63 subscript $\ell$ denotes the id of the processor group communicated ${ }^{364}$ with, and the superscripts $e$ and $f$ respectively denote the ex-365 pand and fold operations. These nets are next explained in de-366 tail.

- expand-send net $s_{\ell}^{e}$ : Net $s_{\ell}^{e}$ represents the message sent ${ }_{369}$ from $\mathcal{P}_{k}$ to $\mathcal{P}_{\ell}$ during the expand operations on $x$-vector ${ }_{370}$ entries in the pre-communication phase. This message consists of the $x$-vector entries owned by $\mathcal{P}_{k}$ and needed ${ }_{371}$ by $\mathcal{P}_{\ell}$. Hence, $s_{\ell}^{e}$ connects the vertices that represent the ${ }_{372}$

```
Algorithm 2 ADD-MESSAGE-NETS
Require: \(\mathcal{H}_{k}=\left(\mathcal{V}_{k}, \mathcal{N}_{k}\right), \Pi_{K^{\prime}}(\mathcal{A})=\left\{\mathcal{A}_{1}, \ldots, \mathcal{A}_{K^{\prime}}\right\}, \Pi_{K^{\prime}}(\mathcal{X})=\)
    \(\left\{\mathcal{X}_{1}, \ldots, \mathcal{X}_{K^{\prime}}\right\}, \Pi_{K^{\prime}}(\boldsymbol{y})=\left\{\mathcal{Y}_{1}, \ldots, \mathcal{Y}_{K^{\prime}}\right\}\).
    \(\mathcal{N}_{k}^{M} \leftarrow \mathcal{N}_{k}\)
    \(\triangleright\) Expand-send nets
    for each \(x_{j} \in \mathcal{X}_{k}\) do
        for each \(a_{t, j} \in \mathcal{A}_{\ell \neq k}\) do
            if \(s_{\ell}^{e} \notin \mathcal{N}_{k}^{M}\) then
            \(\operatorname{Pins}\left(s_{\ell}^{e}\right) \leftarrow\left\{v_{j}^{x}\right\}, \mathcal{N}_{k}^{M} \leftarrow \mathcal{N}_{k}^{M} \cup\left\{s_{\ell}^{e}\right\}\)
            else
                \(\operatorname{Pins}\left(s_{\ell}^{e}\right) \leftarrow \operatorname{Pins}\left(s_{\ell}^{e}\right) \cup\left\{v_{j}^{x}\right\}\)
    \(\triangleright\) Expand-receive nets
    for each \(a_{t, j} \in \mathcal{A}_{k}\) do
        for each \(x_{j} \in \mathcal{X}_{\ell \neq k}\) do
            if \(r_{\ell}^{e} \notin \mathcal{N}_{k}^{M}\) then
            \(\operatorname{Pins}\left(r_{\ell}^{e}\right) \leftarrow\left\{v_{t, j}^{a}\right\}, \mathcal{N}_{k}^{M} \leftarrow \mathcal{N}_{k}^{M} \cup\left\{r_{\ell}^{e}\right\}\)
            else
            \(\operatorname{Pins}\left(r_{\ell}^{e}\right) \leftarrow \operatorname{Pins}\left(r_{\ell}^{e}\right) \cup\left\{v_{t, j}^{a}\right\}\)
    \(\triangleright\) Fold-send nets
    for each \(a_{i, t} \in \mathcal{A}_{k}\) do
        for each \(y_{i} \in \mathcal{Y}_{\ell \neq k}\) do
            if \(s_{\ell}^{f} \notin \mathcal{N}_{k}^{M}\) then
            \(\operatorname{Pins}\left(s_{\ell}^{f}\right) \leftarrow\left\{v_{i, t}^{a}\right\}, \mathcal{N}_{k}^{M} \leftarrow \mathcal{N}_{k}^{M} \cup\left\{s_{\ell}^{f}\right\}\)
            else
                \(\operatorname{Pins}\left(s_{\ell}^{f}\right) \leftarrow \operatorname{Pins}\left(s_{\ell}^{f}\right) \cup\left\{v_{i, t}^{a}\right\}\)
    \(\triangleright\) Fold-receive nets
    for each \(y_{i} \in \mathcal{Y}_{k}\) do
        for each \(a_{i, t} \in \mathcal{A}_{\ell \neq k}\) do
            if \(r_{\ell}^{f} \notin \mathcal{N}_{k}^{M}\) then
            \(\operatorname{Pins}\left(r_{\ell}^{f}\right) \leftarrow\left\{v_{i}^{y}\right\}, \mathcal{N}_{k}^{M} \leftarrow \mathcal{N}_{k}^{M} \cup\left\{r_{\ell}^{f}\right\}\)
            else
                \(\operatorname{Pins}\left(r_{\ell}^{f}\right) \leftarrow \operatorname{Pins}\left(r_{\ell}^{f}\right) \cup\left\{v_{i}^{y}\right\}\)
    return \(\mathcal{H}_{k}^{M}=\left(\mathcal{V}_{k}, \mathcal{N}_{k}^{M}\right)\)
```

$x$-vector entries required by the computational tasks in $\mathcal{P}_{\ell}$. That is,

$$
\operatorname{Pins}\left(s_{\ell}^{e}\right)=\left\{v_{j}^{x}: x_{j} \in \mathcal{X}_{k} \text { and } \exists a_{t, j} \in \mathcal{A}_{\ell}\right\} .
$$

The formation and addition of expand-send nets are performed in lines 2-7 of Algorithm 2, After bipartitioning $\mathcal{H}_{k}^{M}$, if $s_{\ell}^{e}$ becomes cut in $\Pi\left(\mathcal{H}_{k}^{M}\right)$, both $\mathcal{P}_{k, L}$ and $\mathcal{P}_{k, R}$ send a message to $\mathcal{P}_{\ell}$, where the contents of the messages sent from $\mathcal{P}_{k, L}$ and $\mathcal{P}_{k, R}$ to $\mathcal{P}_{\ell}$ are $\left\{x_{j}: v_{j}^{x} \in \mathcal{V}_{k, L}\right.$ and $\left.a_{t, j} \in \mathcal{A}_{\ell}\right\}$ and $\left\{x_{j}: v_{j}^{x} \in \mathcal{V}_{k, R}\right.$ and $\left.a_{t, j} \in \mathcal{A}_{\ell}\right\}$, respectively. The overall number of messages in the pre-communication phase increases by one in this case since $\mathcal{P}_{k}$ was sending a single message to $\mathcal{P}_{\ell}$ and it is split into two messages after bipartitioning. If $s_{\ell}^{e}$ becomes uncut, the overall number of messages does not change since only one of $\mathcal{P}_{k, L}$ and $\mathcal{P}_{k, R}$ sends a message to $\mathcal{P}_{\ell}$.

- expand-receive net $r_{\ell}^{e}$ : Net $r_{\ell}^{e}$ represents the message received by $\mathcal{P}_{k}$ from $\mathcal{P}_{\ell}$ during the expand operations on
$x$-vector entries in the pre-communication phase. This message consists of the $x$-vector entries owned by $\mathcal{P}_{\ell}$ and needed by $\mathcal{P}_{k}$. Hence, $r_{\ell}^{e}$ connects the vertices that represent the computational tasks requiring $x$-vector entries from $\mathcal{P}_{\ell}$. That is,

$$
\operatorname{Pins}\left(r_{\ell}^{e}\right)=\left\{v_{t, j}^{a}: a_{t, j} \in \mathcal{A}_{k} \text { and } x_{j} \in \mathcal{X}_{\ell}\right\} .
$$

The formation and addition of expand-receive nets are performed in lines $8-13$ of Algorithm 2 After bipartitioning $\mathcal{H}_{k}^{M}$, if $r_{\ell}^{e}$ becomes cut in $\Pi\left(\mathcal{H}_{k}^{M}\right)$, both $\mathcal{P}_{k, L}$ and $\mathcal{P}_{k, R}$ receive a message from $\mathcal{P}_{\ell}$, where the contents of the messages received by $\mathcal{P}_{k, L}$ and $\mathcal{P}_{k, R}$ from $\mathcal{P}_{\ell}$ are $\left\{x_{j}: v_{t, j}^{a} \in \mathcal{V}_{k, L}\right.$ and $\left.x_{j} \in \mathcal{X}_{\ell}\right\}$ and $\left\{x_{j}: v_{t, j}^{a} \in \mathcal{V}_{k, R}\right.$ and $\left.x_{j} \in \mathcal{X}_{\ell}\right\}$, respectively. The overall number of messages in the pre-communication phase increases by one in this case and does not change if $r_{\ell}^{e}$ becomes uncut.

- fold-send net $s_{\ell}^{f}$ : Net $s_{\ell}^{f}$ represents the message sent from ${ }^{42}$ $\mathcal{P}_{k}$ to $\mathcal{P}_{\ell}$ during the fold operations on $y$-vector entries $\mathrm{in}_{423}$ the post-communication phase. This message consists of ${ }_{424}$ the partial results computed by $\mathcal{P}_{k}$ for the $y$-vector entries ${ }_{425}$ owned by $\mathcal{P}_{\ell}$. Hence, $s_{\ell}^{f}$ connects the vertices that repre- ${ }_{426}$ sent the computational tasks whose partial results are re- ${ }_{427}$ quired by $\mathcal{P}_{\ell}$. That is,

$$
\operatorname{Pins}\left(s_{\ell}^{f}\right)=\left\{v_{i, t}^{a}: a_{i, t} \in \mathcal{A}_{k} \text { and } y_{i} \in \mathcal{Y}_{\ell}\right\} .
$$

The formation and addition of fold-send nets are ${ }^{431}$ performed in lines 14-19 of Algorithm 2. After ${ }^{432}$ bipartitioning $\mathcal{H}_{k}^{M}$, if $s_{\ell}^{f}$ becomes cut in $\Pi\left(\mathcal{H}_{k}^{M}\right),{ }^{433}$ both $\mathcal{P}_{k, L}$ and $\mathcal{P}_{k, R}$ send a message to $\mathcal{P}_{\ell}$, where ${ }^{434}$ the contents of the messages sent from $\mathcal{P}_{k, L}$ and ${ }^{435}$ $\mathcal{P}_{k, R}$ to $\mathcal{P}_{\ell}$ are $\left\{y_{i}^{(k, L)}: v_{i, t}^{a} \in \mathcal{V}_{k, L}\right.$ and $\left.y_{i} \in \mathcal{Y}_{\ell}\right\}$ and $^{436}$ $\left\{y_{i}^{(k, R)}: v_{i, t}^{a} \in \mathcal{V}_{k, R}\right.$ and $\left.y_{i} \in \mathcal{Y}_{\ell}\right\}$, respectively. The overall ${ }_{438}^{437}$ number of messages in the post-communication phase ${ }_{\text {f }}^{439}$ increases by one in this case and does not change if $s_{\ell_{440}}^{f^{439}}$ becomes uncut.

- fold-receive net $r_{\ell}^{f}$ : Net $r_{\ell}^{f}$ represents the message re-443 ceived by $\mathcal{P}_{k}$ from $\mathcal{P}_{\ell}$ during the fold operations on $y$ - ${ }^{444}$ vector entries in the post-communication phase. This mes- ${ }^{445}$ sage consists of the partial results computed by $\mathcal{P}_{\ell}$ for the ${ }^{466}$ $y$-vector entries owned by $\mathcal{P}_{k}$. Hence, $r_{\ell}^{f}$ connects the ver- ${ }^{447}$ tices that represent the $y$-vector entries for which $\mathcal{P}_{\ell}$ pro- ${ }^{48}$ duces partial results. That is,

$$
\operatorname{Pins}\left(r_{\ell}^{f}\right)=\left\{v_{i}^{y}: y_{i} \in \mathcal{Y}_{k} \text { and } \exists a_{i, t} \in \mathcal{A}_{\ell}\right\} .
$$

The formation and addition of fold-receive nets are per-453 formed in lines 20-25 of Algorithm 2 After bipartition- ${ }_{454}$ ing $\mathcal{H}_{k}^{M}$, if $r_{\ell}^{f}$ becomes cut in $\Pi\left(\mathcal{H}_{k}^{M}\right)$, both $\mathcal{P}_{k, L}$ and $\mathcal{P}_{k, R_{455}}$ receive a message from $\mathcal{P}_{\ell}$, where the contents of the mes- ${ }_{456}$ sages received by $\mathcal{P}_{k, L}$ and $\mathcal{P}_{k, R}$ from $\mathcal{P}_{\ell}$ are $\left\{y_{i}^{(\ell)}: v_{i}^{y} \in_{457}\right.$ $\mathcal{V}_{k, L}$ and $\left.a_{i, t} \in \mathcal{A}_{\ell}\right\}$ and $\left\{y_{i}^{(\ell)}: v_{i}^{y} \in \mathcal{V}_{k, R} \text { and } a_{i, t} \in \mathcal{A}_{\ell}\right\}_{, 458}$


Figure 4: A 5-way nonzero-based partition of an SpMV instance $y=A x$.
respectively. The overall number of messages in the postcommunication phase increases by one in this case and does not change if $r_{\ell}^{f}$ becomes uncut.

Note that at most four message nets are required to encapsulate the messages between processor groups $\mathcal{P}_{k}$ and $\mathcal{P}_{\ell}$. The message nets in $\mathcal{H}_{k}^{M}$ encapsulate all the messages that $\mathcal{P}_{k}$ communicates with other processor groups. Since the number of leaf hypergraphs is $K^{\prime}, \boldsymbol{P}_{k}$ may communicate with at most $K^{\prime}-1$ processor groups, hence the maximum number of message nets that can be added to $\mathcal{H}_{k}$ is $4\left(K^{\prime}-1\right)$.

Figure 4 displays an SpMV instance with a $6 \times 8$ matrix $A$, which is being partitioned by the proposed model. The RB process is at the state where there are five leaf hypergraphs $\mathcal{H}_{1}, \ldots, \mathcal{H}_{5}$, and the hypergraph to be bipartitioned next is $\mathcal{H}_{3}$. The figure displays the assignments of the matrix nonzeros and vector entries to the corresponding processor groups $\mathcal{P}_{1}, \ldots, \mathcal{P}_{5}$. Each symbol in the figure represents a distinct processor group and a symbol inside a cell signifies the assignment of the corresponding matrix nonzero or vector entry to the processor group represented by that symbol. For example, the nonzeros in $\mathcal{A}_{3}=\left\{a_{1,3}, a_{1,7}, a_{2,3}, a_{2,4}, a_{4,5}, a_{4,7}\right\}, x$-vector entries in $\mathcal{X}_{3}=\left\{x_{3}, x_{7}\right\}$, and $y$-vector entries in $\mathcal{Y}_{3}=\left\{y_{1}, y_{4}\right\}$ are assigned to $\mathcal{P}_{3}$. The left of Figure 5 displays the augmented hypergraph $\mathcal{H}_{3}^{M}$ that contains volume and message nets. In the figure, the volume nets are illustrated by small black circles with thin lines, whereas the message nets are illustrated by the respective processor's symbol with thick lines.

The messages communicated by $\mathcal{P}_{3}$ under the assignments given in Figure 4 are displayed at the top half of Table 1 In the pre-communication phase, $\mathcal{P}_{3}$ sends a message to $\mathcal{P}_{4}$ and receives a message from $\mathcal{P}_{1}$, and in the post-communication phase, it sends a message to $\mathcal{P}_{2}$ and receives a message from $\mathcal{P}_{4}$. Hence, we add four message nets to $\mathcal{H}_{3}$ : expand-send net $s_{4}^{e}$, expand-receive net $r_{1}^{e}$, fold-send net $s_{2}^{f}$, and fold-receive net $r_{4}^{f}$. In Figure 5. for example, $r_{1}^{e}$ connects the vertices $v_{2,4}^{a}$ and $v_{4,5}^{a}$ since it represents the message received by $\mathcal{P}_{3}$ from $\mathcal{P}_{1}$ containing $\left\{x_{4}, x_{5}\right\}$ due to nonzeros $a_{2,4}$ and $a_{4,5}$. The right of Figure 5 displays a bipartition $\Pi\left(\mathcal{H}_{3}^{M}\right)$ and the messages that $\mathcal{P}_{3, L}$ and $\mathcal{P}_{3, R}$ communicate with the other processor groups due to


Figure 5: Left: Augmented hypergraph $\mathcal{H}_{3}^{M}$ with 5 volume and 4 message nets. Right: A bipartition $\Pi\left(\mathcal{H}_{3}^{M}\right)$ with two cut message nets $\left(s_{2}^{f}, r_{4}^{f}\right)$ and two cut volume nets $\left(n_{7}^{x}, n_{2}^{y}\right)$.

Table 1: The messages communicated by $\mathcal{P}_{3}$ in pre- and post-communication ${ }_{474}$ phases before and after bipartitioning $\mathcal{H}_{3}^{M}$. The number of messages communicated by $\mathcal{P}_{3}$ increases from 4 to 6 due to two cut message nets in $\Pi\left(\mathcal{H}_{3}^{M}\right)$.

| RB state | phase | message | due to |
| :---: | :---: | :---: | :---: |
| before$\Pi\left(\mathcal{H}_{3}^{M}\right)$ | pre | $\begin{aligned} & \mathcal{P}_{3} \text { sends }\left\{x_{3}, x_{7}\right\} \text { to } \mathcal{P}_{4} \\ & \mathcal{P}_{3} \text { receives }\left\{x_{4}, x_{5}\right\} \text { from } \mathcal{P}_{1} \end{aligned}$ | $\begin{aligned} & a_{5,3}, a_{5,7} \\ & a_{2,4}, a_{4,5} \end{aligned}$ |
|  | post | $\begin{aligned} & \mathcal{P}_{3} \text { sends }\left\{y_{2}^{(3)}\right\} \text { to } \mathcal{P}_{2} \\ & \mathcal{P}_{3} \text { receives }\left\{y_{1}^{(4)}, y_{4}^{(4)}\right\} \text { from } \mathcal{P}_{4} \end{aligned}$ | $\begin{aligned} & a_{2,3}, a_{2,4} \\ & a_{1,1}, a_{4,1} \end{aligned}$ |
| $\begin{gathered} \text { after } \\ \Pi\left(\mathcal{H}_{3}^{M}\right) \end{gathered}$ | pre | $\mathcal{P}_{3, L}$ sends $\left\{x_{3}, x_{7}\right\}$ to $\mathcal{P}_{4}$ <br> $\mathcal{P}_{3, R}$ receives $\left\{x_{4}, x_{5}\right\}$ from $\mathcal{P}_{1}$ | $\begin{aligned} & a_{5,3}, a_{5,7} \\ & a_{2,4}, a_{4,5} \end{aligned}$ |
|  | post | $\begin{aligned} & \mathcal{P}_{3, L} \text { sends }\left\{y_{2}^{(3, L)}\right\} \text { to } \mathcal{P}_{2} \\ & \mathcal{P}_{3, R} \text { sends }\left\{y_{2}^{(3, R)}\right\} \text { to } \mathcal{P}_{2} \\ & \mathcal{P}_{3, L} \text { receives }\left\{y_{1}^{(4)}\right\} \text { from } \mathcal{P}_{4} \\ & \mathcal{P}_{3, R} \text { receives }\left\{y_{4}^{(4)}\right\} \text { from } \mathcal{P}_{4} \end{aligned}$ | $\begin{aligned} & a_{2,3} \\ & a_{2,4} \\ & a_{1,1} \\ & a_{4,1} \end{aligned}$ |

490
$\Pi\left(\mathcal{H}_{3}^{M}\right)$ are given in the bottom half of Table 1. Since $s_{4}^{e}$ and $r_{1}^{e}$ are uncut, only one of $\mathcal{P}_{3, L}$ and $\mathcal{P}_{3, R}$ participates in sending or ${ }^{49}$ receiving the corresponding message. Since $s_{2}^{f}$ is cut, both $\mathcal{P}_{3, L 492}$ and $\mathcal{P}_{3, R}$ send a message to $\mathcal{P}_{2}$, and since $r_{4}^{f}$ is cut, both $\mathcal{P}_{3, L}{ }^{493}$ and $\mathcal{P}_{3, R}$ receive a message from $\mathcal{P}_{4}$.

In $\mathcal{H}_{k}^{M}$, each volume net is assigned the cost of the per-word ${ }^{495}$ transfer time, $t_{w}$, whereas each message net is assigned the cost ${ }^{496}$ of the start-up latency, $t_{s u}$. Let $v$ and $m$ respectively denote the ${ }^{497}$ number of volume and message nets that are cut in $\Pi\left(\mathcal{H}_{k}^{M}\right) .{ }^{498}$ Then,

$$
\operatorname{cutsize}\left(\Pi\left(\mathcal{H}_{k}^{M}\right)\right)=v t_{w}+m t_{s u} .
$$

Here, $v$ is equal to the increase in the total communication vol-502 ume incurred by $\Pi\left(\mathcal{H}_{k}^{M}\right)$ [1]. Recall that each cut message net503 increases the number of messages that $\mathcal{P}_{k}$ communicates with504 the respective processor group by one. Hence, $m$ is equal to505 the increase in the number of messages that $\mathcal{P}_{k}$ communicates $_{506}$
with other processor groups. The overall increase in the total message count due to $\Pi\left(\mathcal{H}_{k}^{M}\right)$ is $m+\delta$, where $\delta$ denotes the number of messages between $\mathcal{P}_{k, L}$ and $\mathcal{P}_{k, R}$, and is bounded by two (empirically found to be almost always two). Hence, minimizing the cutsize of $\Pi\left(\mathcal{H}_{k}^{M}\right)$ corresponds to simultaneously reducing the increase in the total communication volume and the total message count in the respective RB step. Therefore, minimizing the cutsize in all RB steps corresponds to reducing the total communication volume and the total message count simultaneously.

After obtaining a bipartition $\Pi\left(\mathcal{H}_{k}^{M}\right)=\left\{\mathcal{V}_{k, L}, \mathcal{V}_{k, R}\right\}$ of the augmented hypergraph $\mathcal{H}_{k}^{M}$, the new hypergraphs $\mathcal{H}_{k, L}=$ $\left(\mathcal{V}_{k, L}, \mathcal{N}_{k, L}\right)$ and $\mathcal{H}_{k, R}=\left(\mathcal{V}_{k, R}, \mathcal{N}_{k, R}\right)$ are immediately formed with only volume nets. Recall that the formation of the volume nets of $\mathcal{H}_{k, L}$ and $\mathcal{H}_{k, R}$ is performed with the cut-net splitting technique and it can be performed using the local bipartition information $\Pi\left(\mathcal{H}_{k}^{M}\right)$.

### 3.2. The overall $R B$

After completing an RB step and obtaining $\mathcal{H}_{k, L}$ and $\mathcal{H}_{k, R}$, the labels of the hypergraphs represented by the leaf nodes of the RB tree are updated as follows. For $1 \leq i<k$, the label of $\mathcal{H}_{i}=\left(\mathcal{V}_{i}, \mathcal{N}_{i}\right)$ does not change. For $k<i<K^{\prime}, \mathcal{H}_{i}=$ $\left(\mathcal{V}_{i}, \mathcal{N}_{i}\right)$ becomes $\mathcal{H}_{i+1}=\left(\mathcal{V}_{i+1}, \mathcal{N}_{i+1}\right)$. Hypergraphs $\mathcal{H}_{k, L}=$ $\left(\mathcal{V}_{k, L}, \mathcal{N}_{k, L}\right)$ and $\mathcal{H}_{k, R}=\left(\mathcal{V}_{k, R}, \mathcal{N}_{k, R}\right)$ become $\mathcal{H}_{k}=\left(V_{k}, \mathcal{N}_{k}\right)$ and $\mathcal{H}_{k+1}=\left(\mathcal{V}_{k+1}, \mathcal{N}_{k+1}\right)$, respectively. As a result, the vertex sets corresponding to the updated leaf nodes induce a ( $K^{\prime}+1$ )-way partition $\Pi_{K^{\prime}+1}(\mathcal{H})=\left\{\mathcal{V}_{1}, \ldots, \mathcal{V}_{K^{\prime}+1}\right\}$. The RB process then continues with the next hypergraph $\mathcal{H}_{k+2}$ to be bipartitioned, which was labeled with $\mathcal{H}_{k+1}$ in the previous RB state.

We next provide the cost of adding message nets through Algorithm 2 in the entire RB process. For the addition of expandsend nets, all nonzeros $a_{t, j} \in \mathcal{A}_{\ell \neq k}$ with $x_{j} \in \mathcal{X}_{k}$ are visited once (lines 2-7). Since $\mathcal{X}_{k} \cap \mathcal{X}_{\ell}=\emptyset$ for $1 \leq k \neq \ell \leq K^{\prime}$ and
$\mathcal{X}=\bigcup_{k=1}^{K^{\prime}} \mathcal{X}_{k}$, each nonzero of $A$ is visited once. For the addi-560 tion of expand-receive nets, all nonzeros in $\mathcal{A}_{k}$ are visited once ${ }_{561}$ (lines 8-13). Hence, each nonzero of $A$ is visited once during ${ }_{562}$ the bipartitionings in a level of the RB tree since $\mathcal{A}_{k} \cap \mathcal{A}_{\ell}=\emptyset_{563}$ for $1 \leq k \neq \ell \leq K^{\prime}$ and $\mathcal{A}=\bigcup_{k=1}^{K^{\prime}} \mathcal{A}_{k}$. Therefore, the cost of fr64 adding expand-send and expand-receive nets is $O\left(n_{n z}\right)$ in a $\sin -565$ gle level of the RB tree. A dual discussion holds for the addition566 of fold-send and fold-receive nets. Since the RB tree contains567 $\lceil\log K\rceil$ levels in which bipartitionings take place, the overall cost of adding message nets is $O\left(n_{n z} \log K\right)$.

### 3.3. Adaptation for conformal partitioning

Partitions on input and output vectors $x$ and $y$ are said to be571 conformal if $x_{i}$ and $y_{i}$ are assigned to the same processor, fors72 $1 \leq i \leq n_{r}=n_{c}$. Note that conformal vector partitions are valid for $y=A x$ with a square matrix. The motivation for a conformal partition arises in iterative solvers in which the $y_{i}$ in an iteration is used to compute the $x_{i}$ of the next iteration via linear vector operations. Assigning $x_{i}$ and $y_{i}$ to the same processor prevents ${ }_{573}$ the redundant communication of $y_{i}$ to the processor that owns $5_{574}$ $x_{i}$.

Our model does not impose conformal partitions on vectors $x$ and $y$, i.e., $x_{i}$ and $y_{i}$ can be assigned to different processors. However, it is possible to adapt our model to obtain conformal partitions on $x$ and $y$ using the vertex amalgamation tech- ${ }_{575}$ nique proposed in [9]. To assign $x_{i}$ and $y_{i}$ to the same processor, ${ }_{576}$ the vertices $v_{i}^{x}$ and $v_{i}^{y}$ are amalgamated into a new vertex $v_{i}^{x / y^{5 / 5},{ }_{577}}$ which represents both $x_{i}$ and $y_{i}$. The weight of $v_{i}^{x / y}$ is set to be zero since the weights of $v_{i}^{x}$ and $v_{i}^{y}$ are zero. In $\mathcal{H}_{k}^{M}$, each ${ }^{578}$ volume/message net that connects $v_{i}^{x}$ or $v_{i}^{y}$ now connects the ${ }^{579}$ amalgamated vertex $v_{i}^{x / y}$. At each RB step, $x_{i}$ and $y_{i}$ are both ${ }^{580}$ assigned to the processor group corresponding to the leaf hy- ${ }^{581}$ pergraph that contains $v_{i}^{x / y}$.

## 4. Optimizing medium-grain partitioning model

In this section, we propose a medium-grain hypergraph partitioning model that simultaneously reduces the bandwidth and latency costs of the row-column-parallel SpMV. Our model is built upon the original medium-grain partitioning model (Section 2.4). The medium-grain hypergraphs in RB are augmented with the message nets before they are bipartitioned as in thes86 fine-grain model proposed in Section 3 . Since the fine-grain and 587 medium-grain models both obtain nonzero-based partitions, the types and meanings of the message nets used in the mediumgrain model are the same as those used in the fine-grain model. However, forming message nets for a medium-grain hypergraph ${ }^{588}$ is more involved due to the mappings used in this model.

Consider an SpMV instance $y=A x$ and the corresponding sets $\mathcal{A}, \mathcal{X}$, and $\mathcal{Y}$. Assume that the RB process is at the state before bipartitioning the $k$ th leaf node where there are $K^{\prime}$ leaf ${ }_{590}$ nodes in the current RB tree. Recall from Section 2.4 that the $5_{59}$ leaf nodes induce $K^{\prime}$-way partitions $\Pi_{K^{\prime}}(\mathcal{A})=\left\{\mathcal{A}_{1}, \ldots, \mathcal{A}_{K^{\prime}}\right\}_{, 592}$ $\Pi_{K^{\prime}}(\mathcal{X})=\left\{\mathcal{X}_{1}, \ldots, \mathcal{X}_{K^{\prime}}\right\}$ and $\Pi_{K^{\prime}}(\mathcal{Y})=\left\{\mathcal{Y}_{1}, \ldots, \mathcal{Y}_{K^{\prime}}\right\}$, and the ${ }_{593}$ $k$ th leaf node represents $\mathcal{A}_{k}, \mathcal{X}_{k}$, and $\boldsymbol{\mathcal { Y }}_{k}$. To obtain bipartitions594 of $\mathcal{A}_{k}, \mathcal{X}_{k}$, and $\mathcal{Y}_{k}$, we perform the following four steps. ${ }_{595}$

1) Form the medium-grain hypergraph $\mathcal{H}_{k}=\left(\mathcal{V}_{k}, \mathcal{N}_{k}\right)$ using $\mathcal{A}_{k}, \mathcal{X}_{k}$, and $\boldsymbol{Y}_{k}$. This process is the same with that in the original medium-grain model (Section 2.4). Recall that the nets in the medium-grain hypergraph encapsulate the total communication volume. Hence, these nets are assigned a cost of $t_{w}$.
2) Add message nets to $\mathcal{H}_{k}$ to obtain augmented hypergraph $\mathcal{H}_{k}^{M}$. For each processor group $\mathcal{P}_{\ell}$ other than $\mathcal{P}_{k}$, there are four possible message nets that can be added to $\mathcal{H}_{k}$ :

- expand-send net $s_{\ell}^{e}$ : The set of vertices connected by $s_{\ell}^{e}$ is the same with that of the expand-send net in the fine-grain model.
- expand-receive net $r_{\ell}^{e}$ : The set of vertices connected by $r_{\ell}^{e}$ is given by

$$
\begin{aligned}
\operatorname{Pins}\left(r_{\ell}^{e}\right)= & \left\{v_{j}^{x}: \exists a_{t, j} \in \mathcal{A}_{k} \text { s.t. } \operatorname{map}\left(a_{t, j}\right)=c_{j} \text { and } x_{j} \in \mathcal{X}_{\ell}\right\} \cup \\
& \left\{v_{t}^{y}: \exists a_{t, j} \in \mathcal{A}_{k} \text { s.t. } \operatorname{map}\left(a_{t, j}\right)=r_{t} \text { and } x_{j} \in \mathcal{X}_{\ell}\right\} .
\end{aligned}
$$

- fold-send net $s_{\ell}^{f}$ : The set of vertices connected by $s_{\ell}^{f}$ is given by

$$
\begin{aligned}
\operatorname{Pins}\left(s_{\ell}^{f}\right)= & \left\{v_{t}^{x}: \exists a_{i, t} \in \mathcal{A}_{k} \text { s.t. map }\left(a_{i, t}\right)=c_{t} \text { and } y_{i} \in \mathcal{Y}_{\ell}\right\} \cup \\
& \left\{v_{i}^{y}: \exists a_{i, t} \in \mathcal{A}_{k} \text { s.t. map }\left(a_{i, t}\right)=r_{i} \text { and } y_{i} \in \mathcal{Y}_{\ell}\right\} .
\end{aligned}
$$

- fold-receive net $r_{\ell}^{f}$ : The set of vertices connected by $r_{\ell}^{f}$ is the same with that of the fold-receive net in the fine-grain model.

The message nets are assigned a cost of $t_{s u}$ as they encapsulate the latency cost.
3) Obtain a bipartition $\Pi\left(\mathcal{H}_{k}^{M}\right) . \mathcal{H}_{k}^{M}$ is bipartitioned to ob$\operatorname{tain} \Pi\left(\mathcal{H}_{k}^{M}\right)=\left\{\mathcal{V}_{k, L}, \mathcal{V}_{k, R}\right\}$.
4) Derive bipartitions $\Pi\left(\mathcal{A}_{k}\right)=\left\{\mathcal{A}_{k, L}, \mathcal{A}_{k, R}\right\}, \Pi\left(\mathcal{X}_{k}\right)=$ $\left\{\mathcal{X}_{k, L}, \mathcal{X}_{k, R}\right\}$ and $\Pi\left(\boldsymbol{Y}_{k}\right)=\left\{\boldsymbol{y}_{k, L}, \boldsymbol{\mathcal { Y }}_{k, R}\right\}$ from $\Pi\left(\mathcal{H}_{k}^{M}\right)$. For each nonzero $a_{i, j} \in \mathcal{A}_{k}, a_{i, j}$ is assigned to $\mathcal{A}_{k, L}$ if the vertex that represents $a_{i, j}$ is in $\mathcal{V}_{k, L}$, and to $\mathcal{A}_{k, R}$, otherwise. That is,

$$
\begin{aligned}
\mathcal{A}_{k, L}=\left\{a_{i, j}: \operatorname{map}\left(a_{i, j}\right)\right. & =c_{j} \text { with } v_{j}^{x} \in \mathcal{V}_{k, L} \text { or } \\
\operatorname{map}\left(a_{i, j}\right) & \left.=r_{i} \text { with } v_{i}^{y} \in \mathcal{V}_{k, L}\right\} \text { and } \\
\mathcal{A}_{k, R}=\left\{a_{i, j}: \operatorname{map}\left(a_{i, j}\right)\right. & =c_{j} \text { with } v_{j}^{x} \in \mathcal{V}_{k, R} \text { or } \\
\operatorname{map}\left(a_{i, j}\right) & \left.=r_{i} \text { with } v_{i}^{v} \in \mathcal{V}_{k, R}\right\} .
\end{aligned}
$$

For each $x$-vector entry $x_{j} \in \mathcal{X}_{k}, x_{j}$ is assigned to $\mathcal{X}_{k, L}$ if $v_{j}^{x} \in$ $\mathcal{V}_{k, L}$, and to $\mathcal{X}_{k, R}$, otherwise. That is,

$$
\mathcal{X}_{k, L}=\left\{x_{j}: v_{j}^{x} \in \mathcal{V}_{k, L}\right\} \text { and } \mathcal{X}_{k, R}=\left\{x_{j}: v_{j}^{x} \in \mathcal{V}_{k, R}\right\}
$$

Similarly, for each $y$-vector entry $y_{i} \in \boldsymbol{y}_{k}, y_{i}$ is assigned to $\boldsymbol{y}_{k, L}$ if $v_{i}^{y} \in \mathcal{V}_{k, L}$, and to $\mathcal{Y}_{k, R}$, otherwise. That is,

$$
\boldsymbol{\mathcal { Y }}_{k, L}=\left\{y_{i}: v_{i}^{y} \in \mathcal{V}_{k, L}\right\} \text { and } \mathcal{Y}_{k, R}=\left\{y_{i}: v_{i}^{y} \in \mathcal{V}_{k, R}\right\} .
$$

Figure 6 displays the medium-grain hypergraph $\mathcal{H}_{3}^{M}=$ $\left(\mathcal{V}_{3}, \mathcal{N}_{3}^{M}\right)$ augmented with message nets, which is formed during bipartitioning $\mathcal{A}_{3}, \mathcal{X}_{3}$ and $\mathcal{Y}_{3}$ given in Figure 4. The table in the figure displays $\operatorname{map}\left(a_{i, j}\right)$ value for each nonzero in $\mathcal{A}_{3}$ computed by the heuristic described in Section 2.4. Augmented medium-grain hypergraph $\mathcal{H}_{3}$ has four message nets.
 process for the SpMV instance given in Figure 4

Observe that the sets of vertices connected by expand-send net 643 $s_{4}^{e}$ and fold-receive net $r_{4}^{f}$ are the same for the fine-grain and ${ }^{644}$ medium-grain hypergraphs, which are respectively illustrated 645 in Figures 5 and 6 Expand-receive net $r_{1}^{e}$ connects $v_{4}^{x}$ and $v_{5}^{x} 646$ since $\mathcal{P}_{3}$ receives $\left\{x_{4}, x_{5}\right\}$ due to nonzeros in $\left\{a_{2,4}, a_{4,5}\right\}$ with ${ }^{647}$ $\operatorname{map}\left(a_{2,4}\right)=c_{4}$ and $\operatorname{map}\left(a_{4,5}\right)=c_{5}$. Fold-send net $s_{2}^{f}$ connects $^{648}$ $v_{4}^{x}$ and $v_{2}^{y}$ since $\mathcal{P}_{3}$ sends partial result $y_{2}^{(3)}$ due to nonzeros in ${ }^{649}$ $\left\{a_{2,3}, a_{2,4}\right\}$ with $\operatorname{map}\left(a_{2,3}\right)=r_{2}$ and $\operatorname{map}\left(a_{2,4}\right)=c_{4}$.

Similar to Section 3. after obtaining bipartitions $\Pi\left(\mathcal{A}_{k}\right)={ }^{651}$ $\left\{\mathcal{A}_{k, L}, \mathcal{A}_{k, R}\right\}, \Pi\left(\mathcal{X}_{k}\right)=\left\{\mathcal{X}_{k, L}, \mathcal{X}_{k, R}\right\}$, and $\Pi\left(\boldsymbol{y}_{k}\right)=\left\{\boldsymbol{\mathcal { H }}_{k, L}, \boldsymbol{\mathcal { Y }}_{k, R}\right\},{ }^{652}$ the labels of the parts represented by the leaf nodes are up- ${ }^{653}$ dated in such a way that the resulting $\left(K^{\prime}+1\right)$-way parti- ${ }^{654}$ tions are denoted by $\Pi_{K^{\prime}+1}(\mathcal{A})=\left\{\mathcal{A}_{1}, \ldots, \mathcal{A}_{K^{\prime}+1}\right\}, \Pi_{K^{\prime}+1}(\mathcal{X})={ }^{655}$ $\left\{\mathcal{X}_{1}, \ldots, \mathcal{X}_{K^{\prime}+1}\right\}$, and $\Pi_{K^{\prime}}(\boldsymbol{y})=\left\{\boldsymbol{Y}_{1}, \ldots, \boldsymbol{Y}_{K^{\prime}+1}\right\}$.

### 4.1. Adaptation for conformal partitioning

Adapting the medium-grain model for a conformal partition ${ }^{659}$ on vectors $x$ and $y$ slightly differs from adapting the fine-grain ${ }^{660}$ model. Vertex set $\mathcal{V}_{k}$ contains an amalgamated vertex $v_{i}^{x / y}$ if at ${ }^{661}$ least one of the following conditions holds:

- $x_{i} \in \mathcal{X}_{k}$, or equivalently, $y_{i} \in \mathcal{Y}_{k}$.
- $\exists a_{t, i} \in \mathcal{A}_{k}$ s.t. $\operatorname{map}\left(a_{t, i}\right)=c_{i}$.
- $\exists a_{i, t} \in \mathcal{A}_{k}$ s.t. $\operatorname{map}\left(a_{i, t}\right)=r_{i}$.

The weight of $v_{i}$ is assigned as

$$
\begin{aligned}
w\left(v_{i}\right)= & \mid\left\{a_{t, i}: a_{t, i} \in \mathcal{A}_{k} \text { and } \operatorname{map}\left(a_{t, i}\right)=c_{i}\right\} \mid+ \\
& \mid\left\{a_{i, t}: a_{i, t} \in \mathcal{A}_{k} \text { and } \operatorname{map}\left(a_{i, t}\right)=r_{i}\right\} \mid .
\end{aligned}
$$

Each volume/message net that connects $v_{i}^{x}$ or $v_{i}^{y}$ in $\mathcal{H}_{k}^{M}$ now ${ }_{674}$ connects the amalgamated vertex $v_{i}^{x / y}$.

## 5. Delayed addition and thresholding for message nets

Utilization of the message nets decreases the importance at- ${ }^{67}$ tributed to the volume nets in the partitioning process and this 678 may lead to a relatively high bandwidth cost compared to the ${ }_{679}$ case where no message nets are utilized. The more the number680
of RB steps in which the message nets are utilized, the higher the total communication volume. A high bandwidth cost can especially be attributed to the bipartitionings in the early levels of the RB tree. There are only a few nodes in the early levels of the RB tree compared to the late levels and each of these nodes represents a large processor group. The messages among these large processor groups are difficult to refrain from. In terms of hypergraph partitioning, since the message nets in the hypergraphs at the early levels of the RB tree connect more vertices and the cost of the message nets is much higher than the cost of the volume nets $\left(t_{s u} \gg t_{w}\right)$, it is very unlikely for these message nets to be uncut. While the partitioner tries to save these nets from the cut in the early bipartitionings, it may cause high number of volume nets to be cut, which in turn are likely to introduce new messages in the late levels of the RB tree. Therefore, adding message nets in the early levels of the RB tree adversely affects the overall partition quality in multiple ways.

The RB approach provides the ability to adjust the partitioning parameters in the individual RB steps for the sake of the overall partition quality. In our model, we use this flexibility to exploit the trade-off between the bandwidth and latency costs by selectively deciding whether to add message nets in each bipartitioning. To make this decision, we use the level information of the RB steps in the RB tree. For a given $L<\log K$, the addition of the message nets is delayed until the $L$ th level of the RB tree, i.e., the bipartitionings in level $\ell$ are performed only with the volume nets for $0 \leq \ell<L$. Thus, the message nets are included in the bipartitionings in which they are expected to connect relatively fewer vertices.

Using a delay parameter $L$ aims to avoid large message nets by not utilizing them in the early levels of the RB tree. However, there may still exist such nets in the late levels depending on the structure of the matrix being partitioned. Another idea is to eliminate the message nets whose size is larger than a given threshold. That is, for a given threshold $T>0$, a message net $n$ with $|\operatorname{Pins}(n)|>T$ is excluded from the corresponding bipartition. This approach also enables a selective approach for send and receive message nets. In our implementation of the row-column-parallel SpMV , the receive operations are performed by non-blocking MPI functions (i.e., MPI_Irecv), whereas the send operations are performed by blocking MPI functions (i.e., MPI_Send). When the maximum message count or the maximum communication volume is considered to be a serious bottleneck, blocking send operations may be more limiting compared to non-blocking receive operations. Note that saving message nets from the cut tends to assign the respective communication operations to fewer processors, hence the maximum message count and maximum communication volume may increase. Hence, a smaller threshold is preferable for the send message nets while a higher threshold is preferable for the receive nets.

## 6. Experiments

We consider a total of five partitioning models for evaluation. Four of them are nonzero-based partitioning models: the fine-grain model (FG), the medium-grain model (MG), and the
proposed models which simultaneously reduce the bandwidth and latency costs, as described in Section 3 (FG-LM) and Section 4 (MG-LM). The last partitioning model tested is the onedimensional model (1D-LM) that simultaneously reduces the bandwidth and latency costs [17]. Two of these five models (FG and MG) encapsulate a single communication cost metric, i.e., total volume, while three of them (FG-LM, MG-LM, and 1D-LM) encapsulate two communication cost metrics, i.e., total volume and total message count. The partitioning constraint of balancing part weights in all these models corresponds to balancing of the computational loads of processors. In the models that address latency cost with the message nets, the cost of the volume nets is set to 1 while the cost of the message nets is set to 50 , i.e., it is assumed $t_{s u}=50 t_{w}$, which is also the setting recommended in [17].

The performance of the compared models are evaluated in terms of the partitioning cost metrics and the parallel SpMV runtime. The partitioning cost metrics include total volume, total message count, load imbalance, etc. (these are explained in detail in following sections) and they are helpful to test the validity of the proposed models. The hypergraphs in all models are partitioned using PaToH [1] in the default settings. An imbalance ratio of $10 \%$ is used in all models, i.e., $\epsilon=0.10$. We test for five different number of parts/processors, ${ }^{735}$ $K \in\{64,128,256,512,1024\}$. The parallel SpMV is imple- ${ }^{736}$ mented using the PETSc toolkit [22] and run on a Blue Gene/ ${ }^{737}$ system using the partitions provided by these five models. $\mathrm{A}^{738}$ node on Blue Gene/Q system consists of 16 PowerPC A2 pro- ${ }^{739}$ cessors with 1.6 GHz clock frequency and 16 GB memory.

The experiments are performed on an extensive dataset con- ${ }^{741}$ taining matrices from the SuiteSparse Matrix Collection [23]. ${ }^{742}$ We consider the case of conformal vector partitioning as it is ${ }^{743}$ more common for the applications in which SpMV is use as ${ }^{744}$ a kernel operation. Hence, only the square matrices are con- ${ }^{745}$ sidered. We use the following criteria for the selection of test ${ }^{746}$ matrices: (i) the minimum and maximum number of nonzeros ${ }^{747}$ per processor are respectively set to 100 and 100,000 , (ii) the ${ }^{748}$ matrices that have more than 50 million nonzeros are excluded, ${ }^{749}$ and (iii) the minimum number of rows/columns per processor is ${ }^{750}$ set to 50 . The resulting number of matrices are $833,730,616,{ }^{751}$ 475 , and 316 for $K=64,128,256,512$, and 1024 processors, ${ }^{752}$ respectively. The union of these sets of matrices makes up to $\mathrm{a}^{753}$ total of 978 matrices.

### 6.1. Tuning parameters for nonzero-based partitioning models ${ }^{756}$

There are two important issues described in Section 5 regard-758 ing the addition of the message nets for the nonzero-based par-759 titioning models. We next discuss setting these parameters.

### 6.1.1. Delay parameter ( $L$ )

We investigate the effect of the delay parameter $L$ on four ${ }^{763}$ different communication cost metrics for the fine-grain and ${ }^{764}$ medium-grain models with the message nets. These cost met-765 rics are maximum volume, total volume, maximum message ${ }_{766}$ count, and total message count. The volume metrics are in ${ }_{767}$ terms of number of words communicated. We compare FG-LM768

Table 2: The communication cost metrics obtained by the nonzero-based partitioning models with varying delay values ( $L$ ).

|  |  | volume |  |  | message |  |
| :---: | :---: | ---: | ---: | ---: | ---: | ---: |
| model | $L$ | max | total |  | $\max$ | total |
| FG | - | 567 | 52357 |  | 60 | 5560 |
| FG-LM | 1 |  | 2700 | 96802 |  | 56 |
| 2120 |  |  |  |  |  |  |
| FG-LM | 4 |  | 2213 | 94983 |  | 49 |
| 2186 |  |  |  |  |  |  |
| FG-LM | 5 | 1818 | 90802 |  | 46 | 2317 |
| FG-LM | 6 | 1346 | 82651 |  | 46 | 2694 |
| FG-LM | 7 | 926 | 69572 |  | 49 | 3574 |
| MG | - | 558 | 49867 |  | 57 | 5103 |
| MG-LM | 1 | 1368 | 77479 |  | 50 | 2674 |
| MG-LM | 4 | 1264 | 77227 |  | 48 | 2735 |
| MG-LM | 5 | 1148 | 74341 |  | 47 | 2809 |
| MG-LM | 6 | 969 | 69159 |  | 47 | 3066 |
| MG-LM | 7 | 776 | 61070 |  | 50 | 3695 |

with delay against FG, as well as MG-LM with delay against MG. We only present the results for $K=256$ since the observations made for the results of different $K$ values are similar. Note that there are $\log 256=8$ bipartitioning levels in the corresponding RB tree. The tested values of the delay parameter $L$ are 1,4 , 5,6 , and 7 . Note that the message nets are added in a total of $4,3,2$, and 1 levels for the $L$ values of $4,5,6$, and 7 , respectively. When $L=1$, it is equivalent to adding message nets throughout the whole partitioning without any delay. Note that it is not possible to add message nets at the root level (i.e., by setting $L=0$ ) since there is no partition available yet to form the message nets. The results for the remaining values of $L$ are not presented as the tested values contain all the necessary insight for picking a value for $L$. Table 2 presents the results obtained. The value obtained by a partitioning model for a specific cost metric is the geometric mean of the values obtained for the matrices by that partitioning model (i.e., the mean of the results for 616 matrices). We also present two plots in Figure 7 to provide a visual comparison of the values presented in Table 2 . The plot at the top belongs to the fine-grain models and each different cost metric is represented by a separate line in which the values are normalized with respect to those of the standard fine-grain model FG. Hence, a point on a line below $y=1$ indicates the variants of FG-LM attaining a better performance in the respective metric compared to FG, whereas a point in a line above indicates a worse performance. For example, FG-LM with $L=7$ attains 0.72 times the total message count of FG, which corresponds to the second point of the line marked with a filled circle. The plot at the bottom compares the medium-grain models in a similar fashion.

It can be seen from Figure 7that, compared to FG, FG-LM attains better performance in maximum and total message count, and a worse performance in maximum and total volume. A similar observation is also valid for comparing MG with MG-LM.


Figure 7: The effect of the delay parameter on nonzero-based partitioning mod- ${ }^{80}$ els in four different communication metrics.

As the number of RB tree levels in which the message nets are added increases, FG-LM and MG-LM obtain lower latency and ${ }^{805}$ higher bandwidth overheads compared to FG and MG, respec-806 tively. The improvement rates in latency cost obtained by the ${ }_{807}$ partitioning models utilizing the message nets saturate around ${ }_{808}$ $L=6$ or $L=5$, whereas the deterioration rates in bandwidth ${ }_{809}$ cost continue to increase. In other words, adding message nets ${ }_{810}$ in the bipartitionings other than those in the last two or three $8_{811}$ levels of the RB tree has small benefits in terms of improving ${ }_{812}$ the latency cost but it has a substantial negative effect on the ${ }_{813}$ bandwidth cost, especially on maximum volume. For this rea-814 son, we choose FG-LM and MG-LM with $L=6$, i.e., add message ${ }_{815}$ nets in the last two levels of the RB tree.

### 6.1.2. Message net threshold parameters ( $T_{S}, T_{R}$ )

The message net threshold parameters for the send and re-819 ceive message nets are respectively denoted with $T_{S}$ and $T_{R .820}$ The tested values are set based upon the average degree of ${ }_{821}$ the message nets throughout the partitioning, which is found ${ }_{822}$ to be close to 30 . We evaluate threshold values smaller than, 823 roughly equal to, and greater than this average degree: $T_{S}, T_{R} \in_{824}$ $\{15,30,50\}$. We follow a similar experimental setting as for the ${ }_{825}$ delay parameter and only present the results for $K=256$. In ${ }_{826}$ addition, we omit the discussions for the medium-grain models 827

Table 3: The communication cost metrics of FG-LM with varying message net thresholds $\left(T_{S}, T_{R}\right)$.

| $T_{S}$ | $T_{R}$ | volume |  | message |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | max | total | max | total |
| - | - | 1346 | 82651 | 46 | 2694 |
| 15 | 15 | 706 | 56218 | 58 | 4539 |
| 15 | 30 | 773 | 58452 | 56 | 4258 |
| 15 | 50 | 835 | 60864 | 54 | 4043 |
| 30 | 15 | 793 | 58418 | 59 | 4251 |
| 30 | 30 | 827 | 60086 | 57 | 4087 |
| 30 | 50 | 900 | 62393 | 55 | 3879 |
| 50 | 15 | 879 | 61099 | 59 | 4037 |
| 50 | 30 | 908 | 62516 | 58 | 3877 |
| 50 | 50 | 952 | 64041 | 56 | 3729 |

### 6.2. Comparison of all partitioning models

### 6.2.1. Partitioning cost metrics

We present the values obtained by the four nonzero-based partitioning models in six different partitioning cost metrics in Table 4. These cost metrics are computational imbalance (indicated in the column titled "imb (\%)"), maximum and total volume, maximum and total message count, and partitioning time in seconds. Each entry in the table is the geometric mean of the values for the matrices that belong to the respective value of $K$. The columns three to eight in the table display the actual values, whereas the columns nine to fourteen display the normalized values, where the results obtained by FG-LM and MG-LM at each $K$ value are normalized with respect to those obtained by FG and MG at that $K$ value, respectively. The top half of the table displays the results obtained by the fine-grain models, whereas the bottom half displays the results obtained by the medium-grain models.

Among the four nonzero-based partitioning models compared in Table 4 , the models that consider both the bandwidth and latency overheads achieve better total and maximum message counts compared to the models that solely consider the bandwidth overhead. For example at $K=256$, FG-LM attains $27 \%$ improvement in total message count compared to FG,

while MG-LM attains $24 \%$ improvement in total message count compared to MG. On the other hand, the two models that solely consider the bandwidth overhead achieve better total and maximum volume compared to the two models that also consider the latency overhead. This is because FG and MG optimize a single cost metric, while FG-LM and MG-LM aim to optimize two cost metrics at once. At $K=256$, FG-LM causes $16 \%$ deterioration in total volume compared to FG, while MG-LM causes $18 \%$ deterioration in total volume compared to MG. Note that the models behave accordingly in maximum volume and maximum message count metrics as although these metrics are not directly addressed by any of the models, the former one is largely dependent on the total volume while the latter one is largely dependent on the total message count. FG-LM and MG-LM have slightly lower imbalance compared to FG and MG, respectively. ${ }^{85}$ Addition of the message nets does not seem to change the par- ${ }^{853}$ titioning overhead, a result likely to be a consequence of the ${ }^{85}$ choice of the delay and net threshold parameters.

Another observation worth discussion is the performance of 857 the medium-grain models against the performance of the fine-858 grain models. When MG is compared to FG or MG-LM is com-859 pared to FG-LM, the medium-grain models achieve slightly bet-860 ter results in volume and message cost metrics, and slightly861 worse results in imbalance. However, the partitioning overhead ${ }_{862}$

Table 5: Comparison of partitioning models in six cost metrics at $K=256$.

| model | imb (\%) | volume |  | message |  | part. time |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | max | total | max | total |  |
| 1D-LM | 2.50 | 968 | 101565 | 33 | 2448 | 13.2 |
| FG | 1.36 | 567 | 52357 | 60 | 5560 | 40.9 |
| FG-LM | 1.21 | 835 | 60864 | 54 | 4043 | 40.8 |
| MG | 1.48 | 558 | 49867 | 57 | 5103 | 19.1 |
| MG-LM | 1.39 | 766 | 58981 | 52 | 3876 | 20.6 |

of the medium-grain models is much lower than the partitioning overhead of the fine-grain models: the medium grain models are $1.8-2.2 \mathrm{x}$ faster. This is also one of the main findings of [10], which makes the medium-grain model a better alternative for obtaining nonzero-based partitions.

1D-LM and nonzero-based partitioning models are compared in Table 5 at $K=256$. 1D-LM has higher total volume and imbalance, and lower total message count compared to the nonzero-based partitioning models. The nonzero-based models have broader search space due to their representation of the SpMV via smaller units, which allows them to attain better vol-
ume and imbalance. The latency overheads of FG and MG are918 higher than the latency overhead of 1D-LM simply because latency is not addressed in the former two. Although FG-LM and ${ }^{919}$ MG-LM may as well obtain comparable latency overheads with ${ }^{920}$ 1D-LM (e.g., compare total message count of FG-LM with $L=1^{1921}$ in Table 2 against total message count of 1D-LM in Table 51, we ${ }^{922}$ favor a decrease in volume-related cost metrics at the expense ${ }^{923}$ of a small deterioration in latency-related cost metrics in these ${ }^{924}$ two models. 1D-LM has the lowest partitioning overhead due to ${ }^{225}$ having the smallest hypergraph among the five models. A simi-926 lar discussion follows for the maximum volume and maximum ${ }^{927}$ message count metrics as for the total volume and total message ${ }^{228}$ count metrics.

In the rest of the paper, we use MG and MG-LM among the ${ }^{930}$ nonzero-based models for evaluation due to their lower parti- ${ }^{931}$ tioning overhead and slightly better performance compared to ${ }^{932}$ FG and FG-LM, respectively, in the remaining metrics.

### 6.2.2. Parallel SpMV performance

We compare 1D-LM, MG, and MG-LM in terms of paral- ${ }^{935}$ lel SpMV runtime. Parallel SpMV is run with the partitions obtained through these three models. There are $12^{936}$ matrices tested, listed with their types as follows: eu-2005 (web graph), ford2 (mesh), Freescale1 (circuit simulation), invextr1_new (computational fluid dynamics), k1_san (2D/3D), LeGresley_87936 (power network), mouse_gene939 (gene network), olesnik0 (2D/3D), tuma1 (2D/3D), turon_m (2D/3D), usroads (road network), web-Google (web graph) ${ }^{940}$ Number of nonzeros in these matrices varies between $87,760_{942}^{941}$ and $28,967,291$. These 12 matrices are the subset of 978 ma-943 trices for which the partitioning models are compared in terms ${ }^{944}$ of partitioning cost metrics in the preceding sections. Four dif ${ }_{946}^{945}$ ferent number of processors (i.e., $K$ ) are tested: $64,128,256,947$ and 512. We did not test for 1024 processors as in most of the ${ }^{948}$ tested matrices SpMV could not scale beyond 512 processors. ${ }^{949}$ We only consider the strong-scaling case. The parallel $\mathrm{SpMV}_{951}^{950}$ is run for 100 times and the average runtime (in milliseconds) ${ }_{952}$ is reported. The obtained results are presented in Figure 8 . ${ }_{953}$

The plots in Figure 8 show that both MG and MG-LM scale ${ }_{955}^{954}$ usually better than 1D-LM. It is known the nonzero-based par ${ }_{-956}^{955}$ titioning models scale better than the 1D models due to their957 lower communication overheads and computational imbalance. ${ }^{958}$ In difficult instances such as invextr1_new or mouse_gene at ${ }_{960}^{959}$ which 1D-LM does not scale, using a nonzero-based model such ${ }_{961}{ }^{960}$ as MG or MG-LM successfully scales the parallel SpMV. MG-LM962 improves the scalability of MG in most of the test instances. ${ }^{963}$ Apart from the instances Freescale1, invextr1_new, and ${ }_{965}^{964}$ turon_m, MG-LM performs significantly better than MG. MG-LM'S ${ }_{966}$ performance especially gets more prominent with increasing ${ }^{967}$ number of processors, which is due to the fact that the latency ${ }^{968}$ overheads are more critical in the overall communication costs ${ }_{970}^{969}$ in high processor counts since the message size usually de-971 creases with increasing number of processors. These plots show ${ }^{972}$ that using a nonzero-based partitioning model coupled with the ${ }_{974}^{973}$ addressing of multiple communication cost metrics yields the ${ }_{975}^{974}$ best parallel SpMV performance.

## 7. Conclusion

We proposed two novel nonzero-based matrix partitioning models, a fine-grain and a medium-grain model, that simultaneously address the bandwidth and latency costs of parallel SpMV. These models encapsulate two communication cost metrics at once as opposed to their existing counterparts which only address a single cost metric regarding the bandwidth cost. Our approach exploits the recursive bipartitioning paradigm to incorporate the latency minimization into the partitioning objective via message nets. In addition, we proposed two practical enhancements to find a good balance between reducing the bandwidth and the latency costs. The experimental results obtained on an extensive dataset show that the proposed models attain up to $27 \%$ improvement in latency-related cost metrics over their existing counterparts on average and the scalability of parallel SpMV can substantially be improved with the proposed models.

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Figure 8: Comparison of partitioning models in terms of parallel SpMV runtime.
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