Title: Speech Fine Structure Contains Critical Temporal Cues to Support Speech Segmentation

Running title: Fine Structure Contains Temporal Cues

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1 Abstract

2 Segmenting the continuous speech stream into units for further perceptual and linguistic 3 analyses is fundamental to speech recognition. The speech amplitude envelope (SE) has 4 long been considered a fundamental temporal cue for segmenting speech. Does the 5 temporal fine structure (TFS), a significant part of speech signals often considered to 6 contain primarily spectral information, contribute to speech segmentation? Using 7 magnetoencephalography, we show that the TFS entrains cortical oscillatory responses 8 between 3-6 Hz and demonstrate, using mutual information analysis, that (i) the temporal 9 information in the TFS can be reconstructed from a measure of frame-to-frame spectral 10 change and correlates with the SE and (ii) that spectral resolution is key to the extraction 11 of such temporal information. Furthermore, we show behavioural evidence that, when the 12 SE is temporally distorted, the TFS provides cues for speech segmentation and aids 13 speech recognition significantly. Our findings show that it is insufficient to investigate 14 solely the SE to understand temporal speech segmentation, as the SE and the TFS derived 15 from a band-filtering method convey comparable, if not inseparable, temporal 16 information. We argue for a more synthetic view of speech segmentation – the auditory 17 system groups speech signals coherently in both temporal and spectral domains. 18

19 Keywords: speech segmentation, cortical entrainment, spectral correlation, spectro-

20 temporal

1 Introduction

2 Parsing the continuous speech stream into appropriate units for subsequent perceptual 3 and linguistic analyses serves as the basis for recognition (Poeppel 2003; Ghitza 2012; 4 Giraud and Poeppel 2012). Speech is typically argued to be comprised of slower 5 amplitude fluctuations, called the speech amplitude envelope (SE) and faster temporal 6 and frequency events, called the temporal fine structure (TFS). These distinct aspects of 7 speech signals have been investigated in different experiments to elucidate their relative 8 contributions (Smith et al. 2002; Xu and Pfingst 2003; Zeng et al. 2004; Lorenzi et al. 9 2006; Moore 2008; Shamma and Lorenzi 2013; Ewert et al. 2018). Evidence suggests 10 that the human auditory system relies on temporal information supplied by the SE for 11 grouping speech information (Ghitza and Greenberg 2009; Ghitza 2012; Ding and Simon 12 2014). Behavioural data demonstrate that four bands of noise modulated by the SE 13 suffice for speech recognition (Shannon et al. 1995). Neurophysiological evidence shows 14 that cortical entrainment to the SE shows a high correlation with speech intelligibility 15 (Luo and Poeppel 2007; Ding and Simon 2012; Giraud and Poeppel 2012; Peelle et al. 16 2013; Doelling et al. 2014). Speech, though can be decomposed into two parts (SE and 17 TFS) using a 'filterbank' method (Shannon et al. 1995; Smith et al. 2002), containing 18 coherent amplitude fluctuations and spectral cues. Does the auditory system primarily 19 exploit the SE for segmentation or use additional attributes of the speech signal when 20 segmenting the incoming speech stream?

The TFS is argued to convey distinct cues not present in the SE (Gilbert et al. 2007) and to play an important role in mediating speech perception in challenging backgrounds (Hopkins et al. 2008; Moore 2008; Swaminathan et al. 2016). It was demonstrated in

24	neurophysiological studies that the TFS contributes to robust cortical entrainment for
25	speech in noise (Ding and Simon 2014). Indeed, after eliminating amplitude fluctuations,
26	the processed speech signal can still entrain cortical oscillatory responses (Zoefel and
27	VanRullen 2015; 2016). These studies argue for an important role played by the TFS,
28	which complements the traditional view that the SE is the dominant cue for speech
29	segmentation. However, it remains unclear how the TFS contributes to robust cortical
30	entrainment to speech and whether the TFS and SE play different roles in speech
31	segmentation.
32	Various studies suggest that the envelope can be 'recovered' from the TFS through
33	cochlear processing (Ghitza 2001; Zeng et al. 2004; Shamma and Lorenzi 2013). From a
34	neurophysiological perspective, it is argued that cues in the spectral structure of speech
35	(without amplitude modulations) entrain cortical oscillations and provide temporal
36	information (Zoefel and VanRullen 2015). The TFS provides acoustic cues to help form
37	auditory objects for grouping and entrainment (Ding and Simon 2012; Ding et al. 2014).
38	But the cues, whether acoustic or high-level, are not clearly specified in previous studies,
39	and it is not well understood how these cues are extracted from the TFS.
40	Here we first take a traditional approach by separating the SE and the TFS using the
41	'filterbank' method and test whether the human auditory system can capitalize on the
42	TFS to temporally segment speech signals. If the TFS and the SE represent different
43	aspects of speech signals and the auditory system primarily extracts temporal information
44	from the SE for segmenting speech, as indicated by the previous studies (Luo and
45	Poeppel 2007; Ghitza and Greenberg 2009; Ding and Simon 2012; Ghitza 2012; Giraud

46 and Poeppel 2012; Peelle et al. 2013; Ding and Simon 2014; Doelling et al. 2014), we

47 would expect that the TFS alone cannot entrain cortical oscillatory responses (to the same 48 level) as the SE does. In contrast, if we do find that the TFS supplies sufficient temporal 49 information and robustly entrains cortical oscillatory responses, we aim to determine how 50 temporal information is extracted from the TFS, as well as the nature of this temporal 51 information. We then test behaviourally whether the TFS will provide temporal cues and 52 help increase intelligibility when the SE is disrupted temporally.

53 We show through neurophysiological results that the TFS, similar to the SE, robustly 54 entrains cortical oscillatory responses. The patterns of cortical entrainment differentiate 55 between the TFS of different sentences. To better understand what in the TFS elicits 56 robust cortical entrainment, we modified a method - cochlear scaled correlation (Stilp and 57 Kluender 2010) - to derive temporal information from the TFS. We compute the mutual 58 information between neurophysiological responses evoked by the TFS and 1) the original 59 SE, 2) the recovered envelope from the TFS, and 3) the derived temporal information 60 through spectral correlation in the TFS. We determine that the temporal information in 61 the TFS is highly relevant to the SE, and is contributed by the spectral correlation of the 62 TFS as well as by the recovered envelope. We further show that spectral resolution 63 strongly affects the ability to extract temporal information from the TFS. Next, we 64 temporally distort speech by using a widely cited but not often-used manipulation, locally 65 reversing speech segments (Saberi and Perrott 1999; Kiss et al. 2008; Stilp et al. 2010). 66 We demonstrate that the TFS helps restore critical temporal information and significantly 67 improves intelligibility of temporally distorted speech. 68 Our results demonstrate that the TFS provides significant temporal information for

69 segmenting speech. The TFS and the SE convey comparable, if not inseparable, temporal

- 70 information for speech segmentation. We conclude that speech segmentation and cortical
- 71 entrainment to speech are a result of tracking both the temporal and spectral structure of
- 72 speech.

73

Materials and Methods

74 **Ethics statement.**

75 The study was approved by the New York University Institutional Review Board (IRB#

10–7277) and conducted in conformity with the 45 Code of Federal Regulations (CFR)

part 46 and the principles of the Belmont Report. All the participants in Experiment 1 and

78 2 gave informed written consent.

79 **Participants.**

80 Fifteen Chinese native speakers from New York University took part in Experiment 1.

81 The data from three participants were excluded from MEG analysis because trial orders

82 were not recorded during neurophysiological recording. One participant was further

83 excluded from the mutual information analysis because part of data from this subject was

84 removed after preprocessing due to a noise issue, which resulted in the loss of the trial

85 order for the mutual information analysis. Therefore, in Experiment 1, the analysis

86 included the neurophysiological data from 12 participants for inter-trial phase coherence

87 and single-trial classification (6 females; age ranging from 21 to 32; right-handed) and

from 11 participants for the mutual information analysis (6 females; age ranging from 21

to 32; right-handed). Handedness was determined using the Edinburgh Handedness

90 Inventory (Oldfield 1971).

Twenty-One Chinese native speakers studying at New York University took part in
Experiment 2: ten in Experiment 2A (5 females; age ranging from 23 to 35; all selfreported right-handed) and eleven in Experiment 2B (8 females; age ranging from 22 to
28; all self-reported right-handed). One participant was excluded from Experiment 2B

95 because of poor performance. All participants had normal hearing and no neurological96 deficits according to their self-report.

97 Stimuli.

98 One hundred Chinese sentences from the Mandarin Hearing in Noise Test were used in 99 the present study (Fu et al. 2011; Zhu et al. 2014). All sentences are composed of 7 100 syllables each and have similar grammatical structure and are spoken by a female speaker. The overall power of all sound files was normalized to the same value before 101 102 further acoustic processing. We first decomposed the sentences into envelopes and TFS 103 (see detailed method also in (Smith et al. 2002)). We filtered the speech signal into 16 104 bands using cochlear filter banks spanning from 80 to 8820 Hz and created analytic 105 signals for each frequency band through a Hilbert transformation (see Figure 1A for an 106 illustration). The envelope is computed as the magnitude of the analytic signal and TFS 107 was reconstructed by applying a cosine function to the phase series of the analytic signal 108 for each frequency band. We selectively chose 16 bands for decomposing the speech 109 signal because previous studies show that the envelope cannot be recovered from the 110 TFS, or the recovered envelope from TFS is no longer beneficial for speech recognition 111 when 16 bands are used (Gilbert and Lorenzi 2006; Sheft et al. 2008), which enables us 112 to further investigate what else in the TFS, besides the recovered envelope, can contribute 113 to speech segmentation.

In Experiment 1, we generated 28 TFS stimuli reconstructed from twenty-eight
randomly selected sentences by averaging TFS of each frequency band across 16 bands
for each sentence.

117	In Experiment 2, we processed the sentences and created four types of reversed
118	speech: directly reversed speech (R), envelope reversed speech (ER), fine structure
119	reversed speech (FSR), and envelope reversed noise-vocoded speech (ERNV). To
120	generate R sentences, we cut each sentence into short segments using a rectangular
121	window (e.g. 50 ms) and reversed each segment temporally. Then we concatenated the
122	reversed segments of each sentence in the original order to form a new sentence, whose
123	local segments were temporally reversed. The R sentences were generated in the same
124	way as in previous studies (Saberi and Perrott 1999; Stilp et al. 2010).
125	Figure 3A illustrates procedures to generate ER, FSR, and ERNV sentences. We cut
126	the envelope of each band into segments using a fixed window size and reversed each
127	segment temporally, and then concatenated them to form a reversed envelope for each
128	band. The new reversed envelope was then used to modulate the intact TFS of the
129	corresponding band to generate the ER sentences. We used the new reversed envelopes to
130	modulate narrow band noise of corresponding frequency bands to get the ERNV
131	sentences. We kept the envelopes intact while cutting TFS into segments and reversing
132	TFS segments to form reversed TFS. The intact envelopes and the reversed TFS were put
133	together to form FSR sentences.
134	In Experiment 2A, R, ER, and FSR sentences were used to test intelligibility on each

135 type of speech. Six window sizes were used to cut speech into segments for the R

136 sentences: 30, 50, 70, 80, 90, and 120 ms; six window sizes for the ER sentences: 30, 70,

137 90, 120, 150, and 200 ms; three window sizes for the FSR sentences: 30, 150, and 300

138 ms. In Experiment 2B, ER and ERNV sentences were used. Six window sizes were

139 chosen for the ERNV sentences: 30, 50, 70, 80, 90, and 120 ms; six window sizes for the

- 140 ER sentences: 30, 70, 90, 120, 150, and 200 ms.
- 141 All stimuli used in Experiment 1 and 2 were normalized to ~65 dB SPL. The stimuli
- 142 were delivered through plastic air tubes connected to foam ear pieces (E-A-R Tone Gold
- 143 3A Insert earphones, Aearo Technologies Auditory Systems) in Experiment 1 and
- 144 through Sennheisser 370 headphones in Experiment 2.
- 145 **Experiment 1: MEG procedure**
- 146 We selected TFS stimuli of 28 total sentences. 25 TFS stimuli of different sentences were
- 147 presented once each and 3 TFS stimuli of 3 different sentences were presented 25 times
- 148 each. All TFS stimuli were pseudo-randomly presented in one block during MEG
- 149 recordings. To keep subjects alert, after hearing each TFS stimulus participants were
- 150 prompted to make a judgment via a button box on whether TFS stimuli sounded like
- 151 speech or not. The behavioral responses were not analyzed because all participants
- 152 reported that TFS stimuli did not sound like speech. This could be because the TFS was
- 153 derived using 16 bands and the envelope cues cannot be recovered from the TFS (Smith
- 154 et al. 2002; Hopkins et al. 2010). The participants had no prior knowledge on the TFS
- stimuli or on the sentences from which the TFS stimuli were derived. The inter-trial
- 156 interval (ISI) of 1.5 2 s began after the key press. The ISI was used as a baseline for
- 157 MEG analysis.
- 158 MEG recording and preprocessing.

MEG signals were measured with participants in a supine position, in a magnetically
shielded room using a 157-channel whole-head axial gradiometer system (KIT,

161	Kanazawa Institute of Technology, Japan). A sampling rate of 1000 Hz was used with an
162	online 1-200 Hz analog band-pass filter and a notch filter centered around 60 Hz. After
163	the main experiment, participants were presented with 1 kHz tone beeps of 50 ms
164	duration as a localizer to determine their M100 evoked responses, which is a canonical
165	auditory response (Roberts et al. 2000). 20 channels with the largest M100 response in
166	both hemispheres (10 channels in each hemisphere) were selected as auditory channels
167	for each participant individually. Further analysis was conducted only on the selected
168	channels.
169	MEG data analysis was conducted in MATLAB using the Fieldtrip toolbox
170	(Oostenveld et al. 2011) and the wavelet toolbox. Raw MEG data were noise-reduced
171	offline using the time-shifted PCA (de Cheveigné and Simon 2007) and sensor noise
172	suppression (de Cheveigné and Simon 2008) methods. A low-pass filter with cutoff
173	frequency of 100 Hz was applied offline on the de-noised data in the MEG160 software
174	(Yokogawa Electric Corporation and Eagle Technology Corporation, Tokyo, Japan) and
175	the preprocessed MEG data was then downsampled to 500 Hz. Trials were visually
176	inspected, and those with artifacts such as channel jumps and large fluctuations were
177	discarded. An independent component analysis was used to correct for eye blink-, eye
178	movement-, heartbeat-related and system-related artifacts. Each trial was divided into 6s
179	epoch, with 2s pre-stimulus period and 4s post-stimulus period. The variable baseline was
180	corrected for in each trial by subtracting out the mean of the whole trial before doing
181	further analyses.
182	To extract instantaneous phase information, single-trial data in each MEG channel

182 To extract instantaneous phase information, single-trial data in each MEG channel
183 were transformed using a Morlet wavelet function embedded in the Fieldtrip toolbox,

184	with a frequency ranged from 1 to 60 Hz in steps of 1 Hz. To balance spectral and
185	temporal resolution of the time-frequency transformation, from 1 to 20 Hz, the window
186	length increased linearly from 1.5 cycles/frequency to 7 cycles/frequency, and was kept
187	constant at 7 cycles/frequency above 20 Hz. The analysis windows for each trial was
188	from 1s pre-stimulus period to 3s post-stimulus period with a temporal step of 10 ms.
189	Phase and power response (squared absolute value) were extracted from the wavelet
190	transform output at each time-frequency point for classification and mutual information
191	analysis.
192	Inter-trial phase coherence (ITPC).
193	In Experiment 1, the 'inter-trial phase coherence' (ITPC) was calculated on each time-
194	frequency point (details as in (Lachaux et al. 1999)). ITPC is a measure of consistency of
195	phase-locked neural activity entrained by stimuli across trials. ITPC of different
196	frequency bands reflects phase tracking of cortical oscillations to temporally modulated
197	stimuli. ITPC was computed across 20 trials for each of 3 TFS stimuli that were
198	presented repeatedly and across 20 of 25 different TFS stimuli that were presented once
199	each. As $1-5$ trials were removed for each stimulus during preprocessing, to avoid bias
200	of estimating ITPC caused by unequal numbers of trials across different stimuli, we only
201	selected 20 trials for calculating ITPC.
202	Single-trial classification.
203	A single-trial classification analysis of 3 repeated TFS stimuli was carried out to
204	investigate whether cortical oscillations entrained by TFS can differentiate the TFS from

- 205 specific sentences. This classification analysis was described in detail in (Ng et al. 2013)
- as well as in (Luo and Poeppel 2007; Cogan and Poeppel 2011; Herrmann et al. 2013).

207	For 20 trials of each repeated TFS stimulus, one trial was left out, and then a template
208	was created by averaging phase series using the circular mean across the remaining trials
209	for each of the TFS stimuli. There were three repeated TFS stimuli; so three templates of
210	TFS stimuli were created. The circular distances between each template and each left-out
211	trial from each TFS stimulus was computed. The circular distance was applied for phase
212	classification by taking the circular mean during the period of 300 ms to 1500 ms after
213	the stimulus onset, and on each frequency. A trial was given one template's label if the
214	distance between this trial and the template was the smallest among the three templates.
215	The classification analysis was conducted on each frequency within the frequency
216	range that showed robust ITPC for 3 TFS stimuli compared with 25 TFS stimuli that
217	were present once each.
218	A confusion matrix of classification scores was constructed for each trial of each
219	stimulus type on each auditory channel. Then, classification performance was measured
220	in a signal detection framework: correctly labelling the target stimulus was count as a
221	'hit' while labelling the other two stimuli as the target stimulus was counted as 'false
222	alarm'; d' was calculated based on hit rates and false alarm rates and averaged across all
223	auditory channels. Classification accuracy using the phase of each frequency was
224	indicated by the mean of d' over the three TFS stimuli, which was compared to the total
225	d' of the identification task which indicates participants' sensitivity in the behavioral
226	study (Macmillan and Creelman 2004)
227	Spectral correlation and cochlear-scaled spectral correlation.

228 The TFS preserves rich spectral information in speech, which may confer temporal

information through the change of spectral content along time. To quantify this spectral

230	change, inspired from cochlear-scaled entropy (Stilp et al. 2010), we created two indices
231	- spectral correlation (SC) and cochlear-scaled spectral correlation (CSC). We first used
232	a short-time Fourier transformation to generate spectral profiles of acoustic segments of
233	20 ms and then computed Pearson's correlation coefficients of the spectral contents
234	between adjacent temporal segments. This correlation, constrained by sampling rate and
235	the number of samples in the sound files, is indicated as SC. Similar to the cochlear-
236	scaled entropy, the spectral correlation computed after binning frequencies according to
237	cochlear bands was indicated as CSC. We computed CSC using 32, 18, 8 and 4 bands
238	separately to evaluate the effect of the number of cochlear bands on resolving temporal
239	information from TFS.

240 **Recovered envelope from TFS.**

241 Previous studies have shown that speech amplitude envelopes can be recovered from TFS

through cochlear processing (Ghitza 2001; Zeng et al. 2004). To measure how the

243 recovered envelope from the TSF provides temporal information, we filtered 28 TFS

stimuli in neurophysiological recording using Gammatone filterbanks of 32, 18, 8 and 4

bands, separately. The envelope of each cochlear band was extracted by using the Hilbert

transform on each band and taking the absolute value (Glasberg and Moore 1990;

247 Søndergaard and Majdak 2013). We then averaged the envelopes across all bands to get

the recovered envelope from TFS.

249 Mutual information (MI) analysis.

250 To investigate what temporal information in TFS entrains neurophysiological responses

and distinguishes different TFS sounds, we used the framework of MI to quantify shared

252 information between MEG signals and acoustic properties in the stimuli (Quian Quiroga

253	and Panzeri 2009; Panzeri et al. 2010). MI was calculated using the Information
254	Breakdown Toolbox in MATLAB (Pola et al. 2003; Magri et al. 2009). We computed the
255	MI between the phase series of each frequency $(1 - 60 \text{ Hz})$ extracted from the time-
256	frequency analysis described above and the acoustic properties of the stimuli in
257	Experiment 1 - SC, CSC, original envelopes of sentences from which TFS stimuli were
258	extracted, and the recovered envelopes from TFS (Cogan and Poeppel 2011; Gross et al.
259	2013; Ng et al. 2013; Kayser et al. 2015). The MI value of each frequency was
260	calculated for each subject, for each condition, and for each auditory channel across
261	trials.
262	In the present study, the acoustic properties of each stimulus are simply the values at
263	each time point. For each frequency of the neurophysiological response, the phase
264	distribution was composed of six equally spaced bins: 0 to pi/3, pi/3 to pi $\times 2/3$, pi $\times 2/3$
265	to pi, pi to pi * $4/3$, pi * $4/3$ to pi * $5/3$, and pi * $5/3$ to pi * 2. By choosing 6 bins for
266	phase information, we ensured that there is enough temporal resolution to capture
267	acoustic dynamics (Cogan and Poeppel 2011). The acoustic properties were first
268	normalized within each sentence by dividing their maximum value and then grouped
269	within each condition using 8 bins equally spaced from the minimum value to the
270	maximum value. Eight bins were chosen because we wanted to have enough discrete
271	precision to capture changes in acoustic properties while making sure that each bin has
272	sufficient counts for MI analysis, since the greater number of bins would lead to zero
273	counts in certain bins.
274	The estimation of MI is subject to bias caused by finite sampling of the probability

275 distributions because limited data was supplied in the present study. Therefore, a

quadratic extrapolation embedded in the Information Breakdown Toolbox was applied to
correct bias. MI is computed on the data set of each condition. A quadratic function is
then fit to the data points and the actual MI is taken to be the zero-crossing value. This
new value reflects the estimated MI for an infinite number of trials and greatly reduces
the finite sampling bias (Montemurro et al. 2007; Panzeri et al. 2007).

A shuffling procedure of sentence labels was applied to determine the baseline for MI

analysis. 28 TFS sentences used in Experiment 1 were randomly assigned to trials in

each condition to create a new date set and the same MI analysis was implemented on

each frequency. This procedure was repeated 1000 times to get a distribution of MI

values, from which a 99% one-side threshold was derived. By doing this, we preserved

the structure of time series in data. Therefore, be comparing the baseline with the results,

287 we ensured that MI results were not due to noise and specific processing procedures.

288 **Experiment 2: Behavioral measurement**

All participants were sitting in a soundbooth while doing the tasks. In Experiment 2A, 10

290 R, 10 ER, and 10 FSR sentences for each window size were presented. We presented

291 each type of sentence in separate blocks, such that three blocks (R block, ER block, and

292 FSR block) were presented in Experiment 2A and there were 60 different R sentences

293 (six window sizes \times 10 sentences), 60 ER sentences (six window sizes \times 10 sentences),

and 30 FSR sentences (three window sizes \times 10 sentences) total. Since we only have 100

different sentences in the materials, 10 sentences were shared between the R block and

- ER block. These shared ten sentences were in the largest window size condition (120 and
- 200 ms). Because intelligibility is very low for R and ER blocks under the largest

window size, we can avoid the confound of hearing an intelligible sentence a second time
which could prime listeners to better understand the second instance of the sentence.
Thirty different FSR sentences were selected from the sentences of the largest window

301 size used in the R block and ER block, 15 from each block. The FSR block was always

302 presented at the end, because, in our preliminary testing, we found that the FSR sentences

303 were highly intelligible. We first presented the R block and then the ER block, instead of

304 counter-balancing the order of two blocks. By doing this, we tried to avoid the confound

305 that ER sentences contain more speech cues - as the intact TFS was preserved in ER

306 sentences, participants could in theory adapt to the cues in ER sentences and improve

307 performance in the R block.

308 In Experiment 2B, 10 ER and 10 ERNV sentences for each window size were

309 presented and there were 60 ER sentences and 60 ERNV sentences total in two separate

blocks. 10 sentences were shared between the ER block and the ERNV block. We set

311 these ten shared sentences in the condition of the largest window size. The order of ER

312 block and ERNV block was counter-balanced between participants.

Using MATLAB, the participants were presented with one sentence on each trial and were required to type in an Excel sheet what they heard after each sentence was presented (10 second limit). After the participants finished typing, they pushed a key on a message dialogue box to start next sentence. The input method for Chinese characters was

317 Microsoft Pinyin IME 2003 without autocomplete. We treated each character of a

318 sentence as one response and used the total number of the correct characters typed by the

319 participants over 10 sentences divided by the total number of characters (70) as the

320 intelligibility score for each window size.

321 **Psychometric function fitting.**

- 322 For the R and ER blocks in Experiment 2A and the ER block and ERNV block in
- 323 Experiment 2B, we fitted a psychometric curve to each participant's intelligibility score
- 324 for each block using a Weibull function in the Palademes toolbox 1.5.2 (Prins and
- 325 Kingdom 2009). The 50 percent intelligibility threshold and the slope of the
- 326 psychometric curves were derived for each participant and later used for further analysis.
- 327 Because intelligibility in FSR stayed at a ceiling level across all window sizes, we did not
- 328 fit a psychometric curve to the data of FSR block. For the purpose of illustration, we
- 329 averaged the intelligibility scores across subjects and fit a psychometric function to the
- 330 averaged scores. These psychometric functions using group averaged scores were not
- 331 used in any analysis.

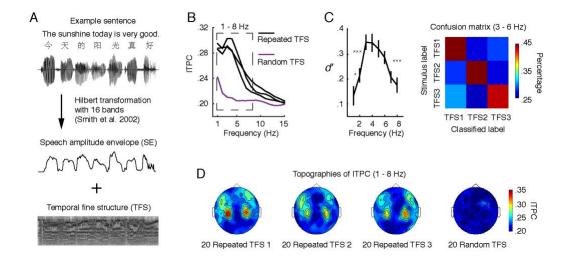
332 **Results**

333 TFS robustly entrains low frequency cortical oscillatory responses

- 334 We used magnetoencephalography (MEG) recordings to measure neurophysiological
- responses to the TFS in Experiment 1. As cortical oscillatory responses entrained by
- auditory signals reflect how the auditory system both extracts temporal information and
- 337 parses the incoming acoustic stream, studying cortical entrainment evoked by the TFS
- 338 can reveal what information in TFS is extracted (Giraud and Poeppel 2012; Henry and
- Obleser 2012; Kayser et al. 2012; Luo and Poeppel 2012; Doelling et al. 2014; Henry et
- al. 2014; Kayser et al. 2015; Di Liberto et al. 2016; Teng et al. 2017).
- 341 We presented the 28 TFS stimuli in one block to 12 Mandarin Chinese native speakers
- 342 while recording their neurophysiological responses. Three of the 28 TFS stimuli were
- 343 repeatedly presented (25 times), and the remaining 25 TFS stimuli were presented once.
- 344 We selected 20 MEG channels using a tone localizer (see Methods) and computed inter-
- 345 trial phase coherence (ITPC) across 20 trials for each of three repeated TFS stimuli and
- 346 across TFS stimuli from 20 different sentences. We conducted a one-way repeated
- 347 measures ANOVA on ITPC from 1 to 60 Hz, with four sentence types (three repeated
- 348 sentences and one group of different sentences) as the main factor, and found a
- 349 significant main effect from 1 8 Hz (p < 0.05, False Discovery Rate (FDR) corrected
- 350 (Benjamini and Hochberg 1995)). We then grouped ITPC values from 1 to 8 Hz and
- 351 conducted pairwise comparisons to examine differences between the four sentence types.
- 352 The ITPC results show that cortical responses between 1 to 8 Hz are robustly entrained
- 353 by the three repeated TFS stimuli (compared to the ITPC from the 20 distinct sentences;
- Fig 1B) (p < 0.05, paired t test, Bonferroni corrected). The topographies of ITPC show

355 response patterns with an auditory origin, which is consistent with the hypothesis that the





357

358 Figure 1.

359 Cortical entrainment to TFS. (A) Speech signals were decomposed using 16 bands into the speech 360 amplitude envelope (SE) and the temporal fine structure (TFS). (B) The repeated TFS stimuli evoke robust 361 cortical entrainment from 1 to 8 Hz. X-axis: frequency of neural response. Y-axis: inter-trial phase 362 coherence (ITPC). Black lines show entrainment to the three repeated TFS stimuli, separately. The violet 363 line shows cortical entrainment to 20 random TFS sentences, used as a baseline for the ITPC. The dashed 364 box indicates frequencies where ITPC of the repeated TFS stimuli is significantly larger than ITPC of the 365 20 random TFS stimuli (p < 0.05, paired t test, Bonferroni corrected). (C) Left panel, classification analysis 366 conducted on the phase series within each frequency band. The results show that the neural signals in 367 frequencies between 3 and 6 Hz are the most informative to separate out different repeated TFS stimuli. 368 Right panel: the group-averaged confusion matrix between 3 and 6 Hz. Each repeated TFS stimulus can be 369 robustly classified. (D) Topographies for ITPC between 1 and 8 Hz confirm that the cortical entrainment to 370 repeated TFS stimuli is of auditory origin. The error bars represent +/- SEM over subjects. Asterisks show 371 significant level (***, *p* < 0.001; *, *p* < 0.05).

372 To determine whether cortical oscillatory responses track distinct temporal structures

- 373 reflected in the TFS, we employed a single-trial classifier to classify trials of the three
- 374 repeated TFS stimuli between 1 and 8 Hz, using the MEG phase series (Fig. 1*C*) (Cogan
- and Poeppel 2011; Herrmann et al. 2013; Ng et al. 2013). We used a signal detection
- 376 paradigm and converted the results of the classifier into the total d', which indicates the
- 377 classification accuracy across all of the three repeated TFS stimuli (See Methods). We
- found that the total d' was significantly above the zero value (d' for 33 percent correct

379	classification) from 1 to 8 Hz ($p < 0.05$, one sample t test, Bonferroni corrected) (Fig. 1 <i>C</i> ,
380	left panel). We then compared the total d' between different frequencies and found that
381	the phase patterns between 3 to 6 Hz were the most informative to the classification
382	analysis ($p < 0.05$, paired t test, Bonferroni corrected).
383	These data on cortical entrainment to the TFS bear a strong resemblance to previous
384	studies in which the SE was found to entrain neural responses below 10 Hz
385	(Luo and Poeppel 2007; Kerlin et al. 2010; Cogan and Poeppel 2011; Ding and Simon
386	2012; Peelle et al. 2013; Zion Golumbic et al. 2013). As prominent temporal information
387	in speech between 3 and 6 Hz is carried by the SE (Ding et al. 2017), the results suggest
388	that the temporal information relevant to the SE is perhaps read-out from the TFS by the
389	auditory system.

390 Temporal information extracted from TFS correlates with original envelope and 391 can be reconstructed from spectral correlation.

392 To investigate the nature of the temporal information extracted from the TFS, we

393 measured how the SE could explain phase patterns evoked by the corresponding TFS

using a mutual information (MI) framework (Quian Quiroga and Panzeri 2009; Panzeri et

al. 2010). Analyses were conducted on the data from 11 of 12 Mandarin Chinese native

396 speakers used in the previous analysis. One subject was excluded because of noise issues.

397 We computed MI between the SE of 20 different sentences and the phase series evoked

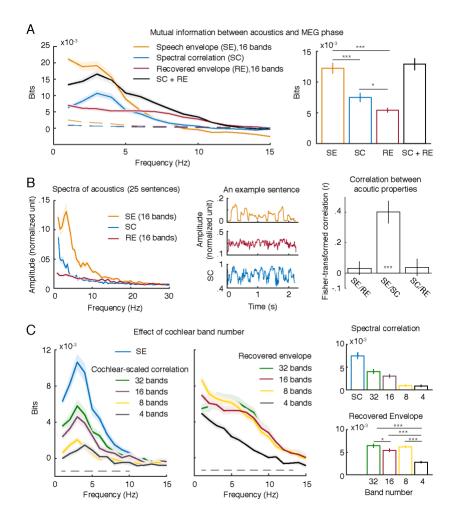
by the TFS derived from these 20 sentences. To set a baseline for MI values, we

399 generated a null distribution of MI values by shuffling the labels between the sentences

400 and computed MI values from 1000 shuffled datasets. We found that phase patterns of

401 the entrained oscillations by TFS share a significant amount of information with the SE

- 402 from 1 to 8 Hz (p < 0.01) (Fig. 2A, left panel), which aligns with the findings above on
- 403 cortical entrainment (Fig. 1A). This result demonstrates that the temporal information
- 404 extracted from the TFS strongly correlates with the envelope information.



405

406 Figure 2.

407 Results of mutual information (MI) analysis. (A) MI between MEG phase series evoked by the TFS and the 408 speech amplitude envelope (SE), spectral correlation (SC), and recovered envelope (RE). The speech 409 amplitude envelope and the recovered envelope were computed using 16 bands. The left panel shows MI 410 results from 1 to 15 Hz. The dashed lines are significance thresholds with a one-sided alpha level of 0.01 411 for each acoustic property, which were derived from a permutation method (see Methods). The right panel 412 shows average MI between 3 and 6 Hz where the phase series is most informative to discriminate the TFS 413 from different sentences. The results show clearly that the TFS contains temporal information which 414 correlates with the SE. The temporal information of the TFS can be derived from the spectral correlation 415 and the recovered envelope. (B) Illustration of acoustics. The left panel shows the averaged spectra of the 416 three acoustic properties used in the MI computation. The results were computed using the same 25 417 sentences for all the acoustic properties. The middle panel shows an example time series of each acoustic 418 property for the same sentence. The color code is as in (A). The right panel shows Fisher-transformed 419 Pearson correlation coefficients between three acoustic properties. It can be seen that the SE and the SC are

420 highly correlated (p < 0.001). (C) Effects of spectral resolution on MI results. The left panel shows MI 421 results of the spectral correlation and cochlear-scaled correlation. The dashed line indicates frequencies 422 where the main effect of band number or spectral resolution is significant (p < 0.05, FDR corrected). The 423 middle panel shows MI results of the recovered envelope computed using different number of frequency 424 bands. The dashed line indicates frequencies where the main effect of band number is significant (p < 0.05, 425 FDR corrected). The right panel shows averaged MI values between 3 and 6 Hz where the phase series is 426 most informative. The results indicate that the spectral resolution or band number significantly affects the 427 extraction of temporal information through spectral correlation - but not much through recovered envelope. 428 This suggests that for cochlear implant users or people with hearing loss, the inability to use the TFS for 429 speech perception could be due to degraded spectral resolution. The shaded area indicates +/- SEM over 430 subjects. Asterisks show significance levels (***, p < 0.001; *, p < 0.05).

431	Next we tried to determine how temporal information is extracted from the TFS. It has
432	been suggested that the SE can be recovered from TFS through cochlear processing
433	(Ghitza 2001; Zeng et al. 2004; Shamma and Lorenzi 2013). We filtered TFS stimuli
434	using a gammatone filter bank of 16 bands to simulate cochlear processing (Patterson
435	1976; Patterson et al. 1987) and obtained the recovered envelopes from the gammatone
436	filter outputs (See Methods for details). The averaged spectrum of recovered envelopes
437	over the 25 sentences and an example of a time series can be seen in Figure $2B$ (red line).
438	We then computed MI between the recovered envelope and the phase series evoked by
439	the TFS. Although we found significant MI values across a wide range of frequencies (1
440	-15 Hz) ($p < 0.01$, FDR corrected), the amount of MI between the recovered envelope
441	and the phase series was much smaller than the MI between the SE and the phase series
442	(Fig. 2A, red line). This result suggests that the recovered envelope is not solely what the
443	auditory system uses to extract temporal information from TFS (Sheft et al. 2008). Other
444	processes may be in play. Nonetheless, the result confirms the previous finding from a
445	neurophysiological perspective, namely that when TFS is extracted using more than 8
446	bands, as in our present study, the recovered envelopes are no longer beneficial for
447	speech recognition (Gilbert and Lorenzi 2006).

448	As TFS contains rich spectral information (Moore 2008; Shamma and Lorenzi 2013)
449	and many studies have shown that cortical responses can be entrained by frequency
450	modulations (Henry and Obleser 2012; Herrmann et al. 2013; Henry et al. 2014; Teng,
451	Tian, Doelling, et al. 2017; Teng, Tian, Rowland, et al. 2017), the cortical entrainment
452	elicited by the TFS may be caused by the spectral structure of the TFS. To reconstruct
453	temporal information from the spectral structure of the TFS, we modified the cochlear-
454	scaled entropy paradigm (Stilp and Kluender 2010) and computed spectral correlation
455	using a short moving temporal window (20 ms) (see Methods for details). The average
456	spectrum of spectral correlation of 25 sentences and an example of time series for one
457	sentence can be seen in Figure $2B$ (blue line), which showed similar dynamics to the SE
458	(Fig. 2B, orange line). The SE and the SC are significantly correlated over the 25
459	sentences used (one-sample t-test against zeros, $t(24) = 12.16$, $p < 0.001$, Bonferroni
460	corrected), but no significant correlation was found between the RE and the SE ($t(24) =$
461	1.58, $p = 0.384$, Bonferroni corrected) as well as between the RE and the SC ($t(24) =$
462	1.45, $p = 0.480$, Bonferroni corrected) (Fig. 2 <i>B</i> , right panel). We computed MI between
463	the spectral correlation and the phase series evoked by TFS. MI values were found to be
464	significant from 1 to 10 Hz ($p < 0.01$, FDR corrected) and were larger than the MI
465	between the recovered envelope and the phase series from 2 to 4 Hz, which coincides
466	with the peak of spectra of the SE (~ 3 Hz) (Fig. 2A, orange line). The results
467	demonstrate that the auditory system extracts temporal information relevant to the SE
468	from TFS using a procedure modelled by spectral correlation.
469	We combined MI computed from both spectral correlation and recovered envelope and
470	summarized the MI values over 3 to 6 Hz, the most informative frequency range found in

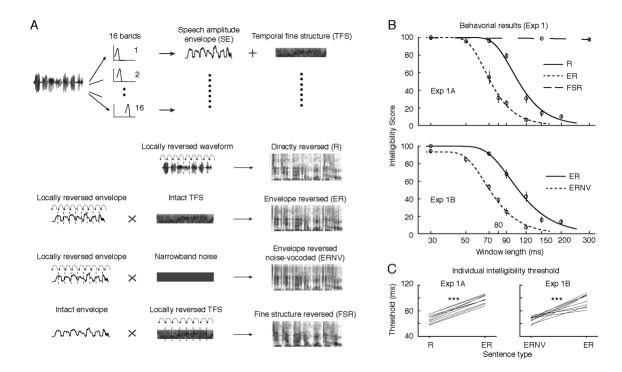
471	the classification analysis (Fig. 2A, right panel). We found that there was no significant
472	difference between the MI values computed using SE and the MI values computed using
473	both the recovered envelope and the spectral correlation ($t(10) = 1.18$, $p = 1$, $d = 0.37$,
474	Bonferroni corrected).
475	In summary, the results suggest that temporal information can be extracted from TFS
476	which correlates with the temporal information carried by the SE. A process that
477	computes the spectral correlation between adjacent temporal frames could be used by the
478	auditory system to extract the envelope information from TFS. The recovered envelope
479	from cochlear processes also provides temporal information but does not seem to play a
480	prominent role (Sheft et al. 2008).
481	Extraction of temporal information from TFS depends on the number of frequency
482	bands (spectral resolution).
483	As cochlear implants often provide poor spectral resolution (Oxenham and Kreft 2014)
484	and persons with hearing loss manifest degraded spectral sensitivity (Hopkins and Moore
485	2011), we tested whether the number of frequency bands (spectral resolution) affects
486	extracting temporal information from TFS, which may explain the inability of the
487	cochlear implant users to effectively use TFS.
488	We computed the cochlear-scaled correlation (CSC) by binning frequencies into 32,
489	16, 8 and 4 cochlear bands separately (see Methods) and then calculated MI between
490	MEG phase series and the CSC of the different band numbers (Fig. 2C, left panel). We
491	conducted a one-way repeated measures ANOVA from 1 to 60 Hz, with spectral
492	resolution as the main factor (five levels: SC, CSC of 32, 16, 8 and 4 bands), and found a
493	significant main effect of the spectral resolution from 1 to 10 Hz ($p < 0.05$, FDR

494 corrected). We then averaged MI from 3 to 6 Hz and found a downward linear trend with 495 decreased spectral resolution (F(1,10) = 67.76, p < 0.001, $\eta_p^2 = .871$) (Fig. 2C, right panel). The results provide compelling evidence that spectral resolution significantly 496 497 affects extraction of temporal information from the TFS. 498 We next examined the effect of the band number on the recovered envelope. We 499 computed recovered envelopes using 32, 16, 8, and 4 cochlear bands separately and 500 calculated MI between MEG phase series and the recovered envelopes of different band 501 numbers (Fig. 2C, middle panel). We conducted a one-way repeated measures ANOVA 502 from 1 to 60 Hz, with the number of cochlear bands as the main factor (four levels: 32, 503 16, 8 and 4 bands), and found a significant main effect of the band number from 1 to 13 504 Hz (p < 0.05, FDR corrected). We then averaged MI over 3 to 6 Hz and found a downward linear trend with decreased band number (F(1,10) = 61.93, p < 0.001, η_p^2 505 506 = .861). However, in a post-hoc test, we found that MI computed using 32 bands was 507 larger than using 16 bands (t(10) = 4.18, p = .011, d = 1.32) but not more than 8 bands 508 (t(10) = 0.80, p = 1, d = 0.25). The MI computed using 4 bands is lower than all the other 509 bands (32 bands: t(10) = 9.89, p < .001, d = 3.13; 16 bands: t(10) = 6.86, p < .001, d =510 2.17; 8 bands: t(10) = 10.89, p < .001, d = 3.44). Bonferroni correction was applied. 511 The results show that the number of bands does not affect recovering the envelope 512 from the TFS as the frequency bands decrease from 32 to 8 bands. In contrast, we found a 513 significant effect of the band number for spectral correlation. This is consistent with 514 previous findings that spectral resolution modulates the efficiency of extracting temporal 515 information from the TFS (Léger et al. 2015; Oxenham 2018).

516 **TFS significantly compensates for SE temporal information compromised in**

517 temporally disrupted speech.

518 We tested whether the TFS compensates for lost temporal information when the SE is 519 disrupted in Experiment 2. We used a 'reversed speech' paradigm in which the temporal 520 structure of speech signals is compromised by temporally reversing local segments and 521 then tested speech intelligibility (Saberi and Perrott 1999; Stilp et al. 2010). The 522 rationale is that the reversing procedure disrupts the modulation phase of the SE and, as 523 the reversed temporal window becomes larger, the modulation phase gets distorted more 524 severely and provides incorrect cues on the temporal structure of speech. This reversing 525 procedure, therefore, renders the temporal cues carried by the reversed SE unavailable for 526 segmenting speech signals. Then we can test whether the auditory system extracts certain 527 cues provided by the TFS to segment speech signals. Figure 3A show a schematic 528 illustration of stimulus generation. By comparing speech intelligibility for the reversed 529 speech when TFS is intact with the conditions when the SE and TFS are both disrupted, 530 we determine whether the TFS, similar to the SE, provides critical temporal information 531 for speech segmentation.



532

533 Figure 3.

534 Illustration of stimulus manipulations and behavioral results. (A) Speech signals were first decomposed 535 into the amplitude envelope (SE) and the temporal fine structure (TFS). Rectangular windows with various 536 lengths were used to segment speech signals and then locally reverse each speech segment in time. 537 Depending on which part of the speech signal was locally reversed, four types of reversed sentences were 538 generated: directly reversed (R) – raw broadband speech signals were locally reversed; envelope reversed 539 (ER) - we reversed only the envelope and then used it to modulate the intact TFS; envelope reversed noise-540 vocoded (ERNV) – we reversed the envelope and used it to modulate narrow band noise; fine structure 541 reversed (FSR) – we reversed the TFS and used the intact envelope to modulate the reversed TFS. (B) 542 Behavioral results of Intelligibility of Experiments 2A and 2B. The top panels show group-averaged results 543 of Experiment 2A and Experiment 2B and the psychometric functions fit to the data. The x-axis represents 544 window length, the y-axis represents the intelligibility score. It can be clearly seen that ER sentences with 545 intact TFS show significantly higher intelligibility than sentences without intact TFS (R in Expt. 2A and 546 ERNV in Expt. 2B). The lower panel shows 50% thresholds of psychometric function fits to individual 547 data. Each black line represents each subject's individual threshold. The x-axis shows sentence type and the 548 y-axis the threshold. Asterisks show significance levels (***, p < 0.001). The error bars are +/- SEM over 549 subjects.

550 In Experiment 2A, we directly reversed the speech segments and varied the segment

size to generate directly reversed (R) sentences – i.e. SE and TFS were both reversed.

552 Next, we locally reversed the SE and used this reversed SE to modulate the intact TFS to

553 generate envelope reversed (ER) sentences. For fine structure reversed (FSR) sentences,

554 we used the intact SE to modulate the reversed TFS. An illustration of the stimulus

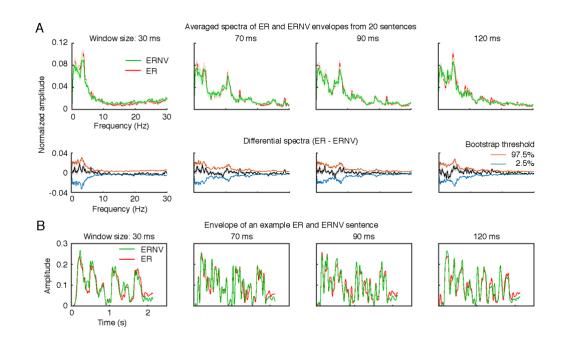
555	generation and spectrograms of one example sentence is shown in Fig 3A (See Methods
556	for details). We recruited 10 Chinese native speakers and tested speech intelligibility for
557	these sentences (ten sentences for each segment size). For Experiment 1B, we created
558	envelope reversed noise-vocoded (ERNV) sentences by using the reversed SE to
559	modulate noise (Fig 3A). By comparing intelligibility of 10 Chinese native speakers for
560	the ER sentences with the ERNV sentences, we further evaluated the contribution of the
561	TFS. The behavioral results are shown in Fig 3B.
562	We fit each participant's intelligibility scores to a psychometric function (see
563	Methods) and conducted a two-way mixed effect ANOVA on the thresholds of the
564	psychometric functions (fifty percent correct threshold). We treated Experiment
565	(Experiment 2A and Experiment 2B) as the between-subjects factor and TFS (with intact
566	TFS: ER, or without intact TFS: R and ERNV) as the within-subject factor. We found a
567	significant main effect of TFS ($F(1,18) = 227.14, p < 0.001, \eta_p^2 = .927$), but not for
568	Experiment ($F(1,18) = .233$, $p = 0.635$, $\eta_p^2 = .013$). In a post-hoc test, we found in
569	Experiment 1A that thresholds (which are best interpreted as temporal tolerance with
570	respect to the reversal distortion) in the ER block were significantly larger than in the R
571	block (paired sample t-test: $t(9) = 26.41$, $p < .001$, $d = 8.35$, 95% CI [33.83, 29.49]); in
572	Experiment 1B, thresholds in the ER block were significantly larger than the ERNV
573	block (paired sample t-test: <i>t</i> (9) = 7.71, <i>p</i> < .001, <i>d</i> = 2.44, 95% CI [38.65, 21.12]).
574	The behavioral results for R sentences replicate previous findings that the
575	intelligibility of locally reversed speech degrades with increased segment size (Saberi and
576	Perrott 1999; Kiss et al. 2008; Stilp et al. 2010). Although Mandarin is a tone language,
577	and one might have expected tone-related differences in behavioral thresholds, the results

578 of R sentences are comparable to the results of previous studies using sentences from 579 non-tonal languages (Saberi and Perrott 1999; Stilp et al. 2010).

580 Next we turn to the novel manipulations. In Experiment 2A, speech intelligibility for 581 the ER sentences was significantly higher than for the R sentences. As the key difference 582 between the R and ER sentences is only whether the TFS is disrupted, this result suggests 583 that the intact TFS in the ER sentences aids in restoring the temporal information in the 584 reversed speech by increasing intelligibility. In Experiment 2B, we also found that the 585 intelligibility threshold for the ER sentences was significantly larger than for the ERNV 586 sentences, and that the intelligibility difference between ERNV and ER sentences was 587 comparable to the difference between the R and ER sentences. This second result lends 588 strong support to the hypothesis that the auditory system extracts temporal information 589 from the TFS to compensate for the disrupted SE.

590 Speech intelligibility for the FSR sentences did not change across different segment 591 sizes. This could be because the intact SE supplied sufficient information for speech 592 segmentation even though TFS was compromised. This could be considered a similar 593 case as Shannon et al. (1995), where noise modulated by four bands of the SE was 594 sufficient for speech perception. The data invite the hypothesis that the temporal 595 information in the TFS only starts to become a significant cue when the SE is disrupted. 596 The gain in speech intelligibility by adding the intact TFS may be caused by an 597 interaction at the acoustic level between TFS and the reversed envelope, such that the 598 original envelope is recovered (Kates 2011; Shamma and Lorenzi 2013). Admittedly, 599 while the shape of the envelope can be changed depending on its carrier, we argue that 600 this contributes little to the gain in intelligibility. In Figure 4, we show that the spectra of

601	the envelopes of the ER and ERNV sentences do not significantly differ across different
602	segment sizes. We first generated the ER and ERNV sentences from 20 raw sentences
603	and then extracted their envelopes using 16 bands. We computed the average spectra of
604	the ER and ERNV envelopes (Fig. 4A, upper panel) and then the differential spectra
605	between the ER and ERNV envelopes (Fig. 4A, lower panel). To quantify whether the
606	differences between the ER and ERNV envelope spectra were significant, we computed a
607	bootstrap threshold with a two-sided alpha level of 0.05: we randomly sampled from the
608	ER and ERNV sentences to form two new groups of sentences and then computed a
609	differential spectrum. We repeated this procedure 1000 times to generate thresholds for
610	the differential spectra between ER and ERNV. For window lengths of 70 and 90 ms,
611	speech intelligibility differed significantly between ER and ERNV sentences (Fig. $3C$),
612	but there were no significant differences of the spectra of the envelopes (Fig. $4B$).





615 Figure 4.

- 616 (A) The upper panels: averaged spectra of the envelopes from 20 ER and ERNV sentences across four
- 617 window lengths used in Experiment 1. The green line represents the ERNV sentences; the red line
- 618 represents the ER sentences. The x-axis is frequency and the y-axis shows normalized amplitude of the
- 619 spectra. The lower panel depicts the differential spectra computed by subtracting the spectra of ERNV
- 620 sentences from the spectra of ER sentences. The black line represents the differential spectra and the red
- 621 and blue lines show bootstrap thresholds. The envelopes of the ER and ERNV sentences do not
- 622 significantly differ. Note that this is also true for the window lengths of 70 ms and 90 ms, where speech
- 623 intelligibility for the ER sentences was significantly higher than the ERNV sentences. (B) Example
- 624 envelopes of an ER and ERNV sentence across different window lengths. The shaded area represents +/-
- 625 SEM over sentences.

627 Discussion

628	Previous studies that principally focused on the speech amplitude envelope revealed only
629	part of the mechanism for segmentation. The SE and the TFS convey comparable
630	temporal information to elicit cortical entrainment. The auditory system relies on various
631	cues in speech signals, both temporal and spectral, for segmentation. The data we
632	presented demonstrate that the TFS contains temporal information that can be used for
633	speech segmentation by entraining cortical oscillatory responses. Using MEG
634	experiments, we first demonstrated that the TFS could entrain cortical oscillatory
635	responses, with phase patterns specific to a particular TFS. We then evaluated
636	contributions to temporal information from the recovered envelope and the spectral
637	dynamics in the TFS and found that the temporal information of the TFS comes primarily
638	from the dynamics of its spectral structure, which can be captured using spectral
639	correlation. A further analysis on the effect of the number of frequency bands showed
640	that spectral resolution plays a major role in extracting temporal information from TFS.
641	Using behavioral measurements, we next showed that the TFS contains critical acoustic
642	cues for the auditory system to restore the temporal structure of speech and aids speech
643	recognition.
644	The data explain previous findings on the benefit of TFS in challenging listening

645 environments.

646 Our behavioral results showed that TFS helps the auditory system restore temporal

- 647 information when the SE is disrupted. This result echoes previous findings that TFS helps
- 648 increase speech intelligibility under challenging environments (Hopkins et al. 2008;
- Moore 2008) and further suggests that the gain from the TFS is a result of

complementary temporal information contained in the TFS. The auditory system can
monitor spectral changes to recover temporal information lost due to a disrupted
envelope.

653 Our results involving cortical entrainment evoked by the TFS could explain the 654 finding that, when the SE is smoothed by added noise, robust cortical entrainment can 655 still be found (Zoefel and VanRullen 2015). The smoothing procedure used in Zoefel et 656 al. (2015) operated on each frequency band and could have left the TFS intact and 657 therefore, the auditory system could still extract sufficient temporal information from the 658 spectral structure of the processed speech signals. Other data show that the TFS helps the 659 speech amplitude envelope entrain cortical oscillatory responses in noise (Ding et al. 660 2014), which is presumably because the TFS contains temporal information similar to the 661 SE and can provide comparable temporal information to entrain cortical oscillatory 662 responses when the envelope is disrupted by white noise. 663 The auditory system extracts temporal information from both the TFS and the SE. 664 Previous studies (Ghitza 2001; Zeng et al. 2004) suggest that the auditory system extracts 665 temporal information from the TFS through the recovered envelope. Our data support this 666 general point. Furthermore, we also found that the recovered envelope by itself cannot 667 fully explain the amount of temporal information in the TFS (Fig. 2A). Our MI analysis 668 between SC and the MEG phase series reveals that the auditory system monitors spectral

- changes in the TFS to extract temporal information, and that the dynamics of the spectral
- 670 structure in the TFS explains a larger amount of variance, indicated by MI analysis, in the
- 671 MEG phase series than the recovered envelope.

672	The TFS preserves the rich spectral information of speech and carries information
673	relevant to pitch (Moore and Moore 2003; Moore et al. 2006), lexical tone (Xu and
674	Pfingst 2003; Zeng et al. 2005), and the acoustic transitions between consonants and
675	vowels (Rosen 1992). All this information in the spectral domain of speech provides
676	dynamic cues for speech segmentation. We used SC and CSC to extract temporal
677	information from TFS, which we aim to reveal in the TFS how spectral information
678	changes along time and where temporal information exists. This does not necessarily
679	mean that SC and CSC represent how exactly the auditory system extracts information
680	from the TFS. SC and CSC can be viewed as one of many algorithms that the auditory
681	system implements to process the TFS, and other algorithms may also achieve a similar
682	computational goal of recovering temporal information from the TFS (Shamma and
683	Lorenzi 2013; Ewert et al. 2018).

684 Spectral resolution plays a key role in processing the TFS.

685 We found that the number of frequency bands strongly modulates the extraction of 686 temporal information from the TFS (Fig. 2C). Although temporal acuity, which is 687 important for processing temporal fluctuations in speech, is relatively preserved in 688 cochlear implant users, deficits of perceiving speech in challenging environments among 689 people with hearing loss still exists (Oxenham and Kreft 2014). It has been argued that 690 the reduced spectral resolution smears spectral details of speech and maskers (Fu and 691 Nogaki 2005; Oxenham and Kreft 2014). Our results suggest that the reduced spectral 692 resolution smears the spectral details of TFS which results in poor extraction of temporal 693 information from the spectral structure in speech. This smearing effect prevents the

auditory system from parsing the speech stream using spectral contents and thereforeleads to degraded intelligibility.

696	The correlation between spectral resolution and speech intelligibility (Oxenham and
697	Kreft 2014) could be due to the way the auditory system groups speech information in
698	terms of spectral and temporal structure. Modulated noise often reduces listeners'
699	sensitivity to the TFS (Hopkins and Moore 2011), which could be because modulated
700	noise contains dynamic changes in spectral contents and interferes with the spectral
701	structure preserved in TFS. Reduced spectral resolution prevents the auditory system both
702	from tracking spectral changes in speech signals and from separating maskers from target
703	speech based on spectral details.

704 The relationship between the envelope and fine structure.

705 Speech is often investigated as an envelope and a fine structure. As the envelope 706 manifests characteristic temporal structures of speech signals, such as syllable and 707 phoneme structures (Di Liberto et al. 2016), mechanisms revealed by studies on the 708 envelope are often argued to be related to temporal coding. Therefore, many studies on 709 speech segmentation mostly focus on the SE and separate between the SE and the TFS. 710 The present study indicates a blurred line between the envelope and TFS in speech 711 segmentation, as our results show that the auditory system can rely on the TFS to 712 temporally group speech information. The common practice of using band-filtering 713 methods to separate envelope and TFS may not be an ideal way to extract critical features 714 from speech signals, as previous finding shows that the TFS and the SE code comparable 715 information in the early/periphery auditory system and therefore cannot be fully 716 separated (Shamma and Lorenzi 2013). Speech segmentation could rely on local

717 temporal-spectral operations, such as SC and CSC used in the present study and spectro-

temporal receptive fields found in many studies (Theunissen et al. 2000; Eggermont

719 2001; Machens et al. 2004; Ding and Simon 2013; Mesgarani et al. 2014).

720 We calculated the modulation power spectra of raw speech signals and the TFS, using

721 different numbers of frequency bands (Singh and Theunissen 2003; Elliott and

722 Theunissen 2009). We created time-frequency representations of the speech signals and

TFS using the log amplitude of their spectrograms obtained with Gaussian windows. We

then applied the 2D Fourier Transform to the spectrograms and created modulation power

spectra by taking the amplitude squared as a function of the Fourier pairs of the time and

frequency axes. We illustrate in Figure 5 that the TFS represents the residual signals left

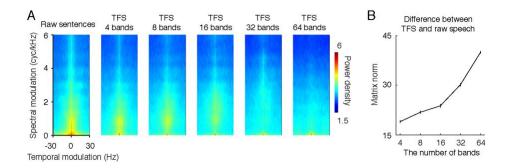
527 by envelope extraction - the higher the number of frequency bands used, the less

modulation power was left in the TFS. This demonstration further argues that TFS and

envelope cannot be fully separated and the auditory system segments speech signals in a

730 'holistic' or 'synthetic' manner, concurrently and cooperatively using both temporal and

731 spectral information.



733 Figure 5.

732

Averaged modulation power spectrum of speech signals and TFS. (*A*)We selected 20 sentences used in the
present study for analysis and averaged the results across 20 sentences. The x-axis of each plot represents
temporal modulation and the y-axis spectral modulation. From left to right, the modulation power spectrum
was calculated for raw sentences and the TFS reconstructed using different numbers of frequency bands.
The modulation power decreases as more frequency bands are used to extract the envelope. (*B*) We

quantified the difference of the modulation power spectrum between the raw sentences and the TFS by firstcalculating subtraction between the modulation power spectrum of the raw sentences and the TFS and then

taking the norm. It can be seen that, as the number of frequency bands increases, the TFS preserves less

modulation information of the raw sentences (the matrix norm increases).

743

744 Conclusion: speech segmentation from a synthetic perspective.

- The envelope and the temporal fine structure of speech are often studied separately in the
- context of cortical entrainment to speech and speech segmentation. Our study
- demonstrates that the auditory system treats speech as a whole, and that temporal speech
- segmentation involves processing both the temporal and spectral contents of speech. This
- view provides new interpretations to previous findings and also generates new
- 750 hypotheses for the future study of the neural basis of speech segmentation.

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