# SMCHR: Satisfiability Modulo Constraint Handling Rules

GREGORY J. DUCK

School of Computing, National University of Singapore (e-mail: gregory@comp.nus.edu.sg)

## Abstract

Constraint Handling Rules (CHRs) are a high-level rule-based programming language for specification and implementation of constraint solvers. CHR manipulates a global store representing a flat conjunction of constraints. By default, CHR does not support goals with a more complex propositional structure including disjunction, negation, etc., or CHR relies on the host system to provide such features. In this paper we introduce Satisfiability Modulo Constraint Handling Rules (SMCHR): a tight integration of CHR with a modern Boolean Satisfiability (SAT) solver for quantifier-free formulae with an arbitrary propositional structure. SMCHR is essentially a Satisfiability Modulo Theories (SMT) solver where the theory T is implemented in CHR. The execution algorithm of SMCHR is based on lazy clause generation, where a new clause for the SAT solver is generated whenever a rule is applied. We shall also explore the practical aspects of building an SMCHR system, including extending a "built-in" constraint solver supporting equality with unification and justifications.

This paper is accepted for publication in Theory and Practice of Logic Programming.

KEYWORDS: CHR, satisfiability modulo theories, lazy clause generation

#### 1 Introduction

Constraint Handling Rules (CHRs) (Frühwirth 1998) are a high-level rule-based programming language for the specification and implementation of constraint solvers. CHR has two main types of rules: simplification rules  $(H \iff B)$  rewrite constraints H to B, and propagation rules  $(H \implies B)$  propagate (i.e. add) constraints B for every H. Constraint solvers are specified by sets of rules.

## Example 1 (Bounds Propagation Solver)

A bounds propagation solver propagates constraints of the form  $X \ge L$  and  $X \le U$ for constants L (lower bound) and U (upper bound). We can specify how bounds are propagated through an addition plus(X, Y, Z) constraint (i.e. X = Y + Z) via the following rules

$$plus(X, Y, Z) \land Y \ge L_Y \land Z \ge L_Z \Longrightarrow X \ge (L_Y + L_Z)$$
$$plus(X, Y, Z) \land Y \le U_Y \land Z \le U_Z \Longrightarrow X \le (U_Y + U_Z)$$

Thus given the constraints plus(A, B, C),  $B \ge 3$ ,  $B \le 10$ ,  $C \ge 4$ , and  $C \le 6$ , the rules will *propagate*  $A \ge 7$  and  $A \le 16$ . We can similarly write rules to propagate bounds in other directions.  $\Box$ 

The execution algorithm for CHR is based on constraint *rewriting* and *propagation* over a global *store* of constraints. CHR solvers are *incremental*: when a new constraint c is asserted, we check c and the store against the rules to find a match. If there is a match, we apply the rule, possibly generating new constraints. Otherwise c is inserted into the global store. Operational semantics and execution algorithms for CHR have been extensively studied (Duck et al. 2004)(Sneyers et al. 2010).

The global store represents a flat conjunction of constraints. CHR does not, by default, support goals that are formulae with a more complex propositional structure, e.g. with disjunction, negation, etc. Solving CHR constraints in other propositional contexts typically relies on some external machinery. For example, Prolog CHR implementations such as K.U.Leuven CHR system (Schrijvers and Demoen 2004) use Prolog's default backtracking search to handle disjunction.

In this paper we take a different approach: we extend CHR with a *Boolean Satisfiability* (SAT) solver to form *Satisfiability Modulo Constraint Handling Rules* (SMCHR). The basic idea is that we specify constraint solvers using CHR in the usual way, such as the rules in Example 1. SMCHR *goals* are then quantifier-free formulae of CHR constraints over any arbitrary propositional context.

## Example 2 (SMCHR Goal)

For example, the following SMCHR goal encodes the classic *n*-queens problem for the instance n = 2.

$$(Q_1 = 1 \lor Q_1 = 2) \land (Q_2 = 1 \lor Q_2 = 2) \land \neg (Q_1 = Q_2) \land \neg (Q_1 = Q_2 + 1) \land \neg (Q_2 = Q_1 + 1)$$

This goal can be evaluated using an extended version of the bounds propagation solver from Example 1. For n = 2 the goal is unsatisfiable.  $\Box$ 

Furthermore by integrating CHR with a modern implementation of SAT, we inherit all the advantages of no-good clause learning, non-chronological back-jumping, unit propagation, etc.

SMCHR is essentially a *Satisfiability Modulo Theory* (SMT) solver, where the *theory* solver T is implemented with CHR. SMT solvers have applications such as program verification, program analysis, model checking, theorem proving, constraint programming, etc. (Moura and Bjørner 2011). Most SMT solvers support a fixed set of first-order theories, such as linear arithmetic over the reals, arrays, uninterpreted functions, etc. SMCHR is much more flexible, as we can support any theory implementable in CHR.

Integrating CHR with a SAT solver presents several challenges. The first is the communication between the CHR and SAT solver engines. For this we use a generalization of *lazy clause generation* (Ohrimenko et al. 2009), a technique previously used to integrate SAT and finite domain solvers with impressive results. Another challenge is CHR's ability to "extend" existing *built-in* solvers, usually a solver for equality. For example, given the rule  $(neq(X, X) \iff false)$  and the constraint

neq(A, B), the CHR solver must ask the built-in solver whether constraint A = B holds, and if so, apply the rule. This is particularly challenging in the context of SMCHR as we wish to support both *unification*, efficient *variable indexing*, and *justifications* for clause generation. A "justification" is a description of *why* the given constraint holds.

We make the following contributions in this paper.

- Section 3 defines the SMCHR language and logical/operational semantics;
- Section 4 describes DPLL(CHR): the SMCHR execution algorithm based on DPLL and (lazy) clause generation;
- Section 5 describes an SMCHR runtime system based on a new variable representation supporting variable indexing;
- Section 6 describes a "built-in" reified equality solver supporting unification and justification; and
- Section 7 we run experiments to evaluate our SMCHR runtime system against an existing CHR implementation.

## 2 Preliminaries

Constraint Handling Rules (CHR) (Frühwirth 1998) have three types of rules

$H \Longleftrightarrow B$	(simplification)
$H \Longrightarrow B$	(propagation)
$H_1 \setminus H_2 \Longleftrightarrow B$	(simpagation)

where the head H,  $H_1$ ,  $H_2$ , and body B are conjunctions of constraints. Simplification rules replace constraints matching H with B. Propagations rules add constraints B whenever constraints matching H are found. Simpagation rules are a hybrid between simplification and propagation rules, where matching  $H_2$  is replaced by B whenever matching  $H_1$  is found. The body B may also contain built-in constraints such as true and equality x = y. Extended CHR also includes guards for checking built-in constraints during rule matching.

The logical semantics  $[\![R]\!]$  of a given rule R is defined as follows.

$$\begin{split} \llbracket H & \Longleftrightarrow B \rrbracket = \forall (H \leftrightarrow B) \\ \llbracket H & \Longrightarrow B \rrbracket = \forall (H \to B) \\ \llbracket H_1 \setminus H_2 & \Longleftrightarrow B \rrbracket = \forall (H_1 \wedge H_2 \leftrightarrow H_1 \wedge B) \end{split}$$

where  $\forall F$  represents the universal closure of F. Here we assume  $vars(B) \subseteq vars(H)$ .

# 3 The SMCHR System

# 3.1 The SMCHR Language

The SMCHR language is the same as standard CHR with some minor differences. Constraint solvers are specified in terms of rules in the usual way. However, unlike CHR, SMCHR rules can handle both constraints and their *negations*.

# Example 3 (Less-than Solver in SMCHR)

Consider the following CHR solver that defines a "less-than" constraint lt(X, Y).

$lt(X,X) \implies false$	(reflexivity)
$lt(X,Y) \wedge lt(Y,X) \implies false$	(antisymmetry)
$lt(X,Y) \wedge lt(Y,Z) \implies lt(X,Z)$	(transitivity)

The rules (reflexivity), (antisymmetry), and (transitivity) respectively encode the properties  $(\forall X : \neg X < X)$ ,  $(\forall X, Y : \neg X < Y \lor \neg Y < X)$ , and  $(\forall X, Y, Z : X < Y \land Y < Z \rightarrow X < Z)$ . With SMCHR we can also write rules that propagate negated constraints, e.g.

$$lt(X,Y) \implies \neg lt(Y,X)$$
 (antisymmetry (2))

Likewise we can write rules that match negated constraints.

$$\neg lt(X,Z) \land lt(X,Y) \implies \neg lt(Y,Z) \qquad (\text{transitivity (2)})$$
$$\neg lt(X,Z) \land lt(Y,Z) \implies \neg lt(X,Y) \qquad (\text{transitivity (3)}) \quad \Box$$

We extend the *logical semantics* of CHR in the obvious way allowing for negation. Under the logical semantics the rules (antisymmetry) and (antisymmetry (2)) are equivalent. Likewise rules (transitivity), (transitivity (2)), and (transitivity (3)) are also equivalent. However these rules are not *operationally* equivalent.

Adding negation is not a significant extension of CHR. In fact, negation can already be encoded in standard CHR, e.g. by introducing a new constraint symbol:

$$not_{-lt}(X,Z) \wedge lt(X,Y) \Longrightarrow not_{-lt}(Y,Z)$$

The difference is that with SMCHR it is understood that  $\neg c(\bar{x})$  is the negation of  $c(\bar{x})$  and vice versa. We also express CHR rules in *reified-notation*. This expresses positive literals  $c(\bar{x})$  as  $(true \leftrightarrow c(\bar{x}))$ , and negative literals  $\neg c(\bar{x})$  as  $(false \leftrightarrow c(\bar{x}))$ . For example, rule (transitivity (2)) can be written as

$$(false \leftrightarrow lt(X,Z)) \land (true \leftrightarrow lt(X,Y)) \Longrightarrow (false \leftrightarrow lt(Y,Z))$$

Reified-notation will be used later for matching reified constraints.

Other key differences between CHR and SMCHR include:

- Range-Restricted: We assume all SMCHR rules are range restricted, i.e. for rule head H and body B we have that  $vars(B) \subseteq vars(H)$ .
- Set-Semantics and Negation: SMCHR assumes at most one copy of a constraint can appear in the store at once. This is equivalent to assuming the following rules are "built-in" for each constraint symbol c:

$$c(\bar{x}) \setminus c(\bar{x}) \iff true$$
 and  $c(\bar{x}) \land \neg c(\bar{x}) \Longrightarrow false$ 

- Head-Connectiveness: For all rules R with head H, for all  $h \in H$  let  $H' = H - \{h\}$ , then if  $H' \neq \emptyset$  we require  $vars(h) \cap vars(H') \neq \emptyset$ . That is, for all multi-headed rules, every head constraint must share at least one variable with another head constraint.

Like SMT, SMCHR operates on quantifier-free formulae. Range-Restricted CHR programs ensure that no new (existentially quantified) variables are ever introduced by rule application. SMCHR with quantification is a possible direction for future work. Set-Semantics allows each constraint  $c(\bar{x})$  to be associated with exactly one propositional variable b. This simplifies the design of the SMCHR system. Head-Connectiveness ensures that constraints can be matched against rules using variable indexing techniques. This will be discussed further in Section 5. The Head-Connectiveness requirement is specific to our SMCHR implementation, rather than a requirement in general.

In our experience, most CHR programs that implement constraint solvers satisfy the above conditions.

# 3.2 The SMCHR Operational Semantics: $\omega_s$

The SMCHR operational semantics are an extension of the theoretical operational semantics  $\omega_t$  of CHR (Duck et al. 2004) (Frühwirth 1998). An execution state  $\langle G, S, B, T \rangle$  is a 4-tuple consisting of a goal G, a constraint store S, a built-in constraint store B, and a propagation history T. A reified constraint is of the form  $(b \leftrightarrow c)$  where b is a propositional variable. Both G and S are sets of reified constraints, B is a conjunction of built-in constraints, and T is a set of tuples of the form  $(r, b_1, ..., b_n)$  where r is a rule identifier, and  $b_1, ..., b_n$  are propositional variables. We assume the existence of a built-in solver  $\mathcal{D}$  that supports Boolean and equality constraints.

Given an initial quantifier-free formula  $G_0$ , let normalize $(G_0) = G_0^B \wedge G_0^R$  be a normalized formula such that (1)  $G_0^B$  is a propositional formula, (2)  $G_0^R$  is a conjunction of reified constraints of the form  $(b_1 \leftrightarrow c_1) \wedge ... \wedge (b_n \leftrightarrow c_n)$ , where each propositional variable  $b_i$  is unique, and (3)  $G_0$  is equisatisfiable to  $G_0^B \wedge G_0^R$ , i.e.  $G_0$ is satisfiable iff  $G_0^B \wedge G_0^R$  is satisfiable. Then the *initial state* for  $G_0$  is  $\langle G_0^R, \emptyset, G_0^B, \emptyset \rangle$ . An exact definition of normalize is left to the implementation, provided the above conditions are preserved.

We define  $\mathsf{isSet}(B, b)$  to hold iff  $\mathcal{D} \models B \to b$  or  $\mathcal{D} \models B \to \neg b$ . Our SMCHR operational semantics  $\omega_s$  introduces a **Decide** transition that *sets* a propositional variable to either *true* or *false*. The  $\omega_s$  semantics are defined as follows:

- 1. **Decide**:  $\langle G, S, B, T \rangle \rightarrow \langle G, S, B \land (b \leftrightarrow t), T \rangle$  where  $b \in vars(B)$  is a propositional variable,  $t \in \{true, false\}$  is a propositional constant, and b is not already set, i.e.  $\neg isSet(B, b)$ .
- 2. Solve:  $\langle \{(b \leftrightarrow c)\} \uplus G, S, B, T \rangle \rightarrow \langle G, S, (b \leftrightarrow c) \land B, T \rangle$  where c is a built-in constraint.
- 3. Introduce:  $\langle \{(b \leftrightarrow c)\} \uplus G, S, B, T \rangle \rightarrow \langle G, \{(b \leftrightarrow c)\} \cup S, B, T \rangle$  where c is a CHR constraint.
- 4. **Apply**:  $\langle G, C_1 \uplus C_2 \uplus S, B, T \rangle \rightarrow \langle E \uplus G, C_1 \uplus S, M \land B, \{t\} \cup T \rangle$  where there exists a reified-notation rule  $R = (r @ H_1 \setminus H_2 \iff D)$  and a matching substitution  $\theta$  such that  $\theta.H_1 = C_1, \theta.H_2 = C_2$ , and  $(\mathcal{D} \models B \rightarrow \exists_{vars(H_1,H_2)}\theta)$ ; and for  $D = (t_1 \leftrightarrow d_1) \land \ldots \land (t_m \leftrightarrow d_m)$  we have that  $E = \{(b'_1 \leftrightarrow \theta.d_1), \ldots, (b'_m \leftrightarrow d_m) \}$

 $(\theta.d_m)$  and  $M = (b'_1 \leftrightarrow t_1) \land .. \land (b'_m \leftrightarrow t_m)$  for fresh propositional variables  $b'_1, .., b'_m$ . Finally  $t = (r, b_1, .., b_n)$  where  $C_1 \uplus C_2 \equiv \{(b_1 \leftrightarrow \_), .., (b_n \leftrightarrow \_)\}$ , and  $t \notin T$ .

A failed state occurs when the built-in store B is unsatisfiable, i.e.  $\mathcal{D} \models \forall \neg B$ . A final state  $\sigma_F$  is either a failed state, or a state where no  $\omega_s$  transition is applicable.

Given a goal G with initial state  $\sigma_I$ , SMCHR answers UNSAT iff (1) for all derivations  $\sigma_I \rightarrow^* \sigma_F$  where  $\sigma_F$  is a final state then  $\sigma_F$  is also a failed state, and (2) there are no non-terminating derivations  $\sigma_I \rightarrow^* \dots$  In other words: all possible derivations for  $\sigma_I$  result in failure. Otherwise the answer is UNKNOWN and a non-failed final state  $\sigma_F$  is the result, or we simply fail to terminate.

## Theorem 1 (Soundness)

If the answer for goal G is UNSAT, then G is unsatisfiable, i.e.  $\llbracket P \rrbracket, \mathcal{D} \models \forall \neg G$ .

# Proof

(Sketch). By contradiction. Assume there exists a *satisfiable* goal G with answer UNSAT. Since G is satisfiable then normalize $(G) = G_0^B \wedge G_0^R$  is also satisfiable. There must therefore exist a substitution  $\theta_B$  over the propositional variables  $vars(G_0^B)$  such that  $\theta_B.G_0^B = true$  and  $\theta_B.G_0^R$  is satisfiable. Consider the derivation

$$\sigma_I = \langle G_0^R, \emptyset, G_0^B, \emptyset \rangle \rightarrowtail_{\omega_s}^* \langle G_0^R, \emptyset, \theta_B \wedge G_0^B, \emptyset \rangle = \sigma_I'$$

comprised of only **Decide** transitions. Next consider a derivation  $\sigma'_I \to \sigma_F$  from  $\sigma'_I$  to a *failed* state  $\sigma_F$ . Such a derivation must exist, otherwise the answer for G cannot be UNSAT. Since the propositional variables  $vars(G_0^B)$  are already set, the derivation  $\sigma'_I \to \sigma_F$  cannot contain a **Decide** transition.

The remainder of the proof is to show that each of the **Solve**, **Introduce**, and **Apply** transitions preserve satisfiability, as with standard CHR. Therefore if  $\sigma'_I$  is satisfiable, then so is  $\sigma_F$ , and therefore  $\sigma_F$  cannot be a failed state. This is a contradiction, and therefore the answer for a satisfiable G cannot be UNSAT.  $\Box$ 

The interpretation of UNKNOWN is merely the inability to prove unsatisfiability. An *incomplete* solver may answer UNKNOWN for unsatisfiable goals, i.e. the converse of Theorem 1 does not hold in general.

Example 4 (Incomplete Solver) For example, consider the CHR program P

$$p \iff q \qquad p \implies false$$

and the goal p. The goal p is unsatisfiable since  $\llbracket P \rrbracket, \mathcal{D} \models \neg p$ , yet the answer for p is UNKNOWN because of the non-failed derivation  $\langle \{b \leftrightarrow p\}, \emptyset, b, \emptyset \rangle \rightarrow^* \langle \emptyset, \{b' \leftrightarrow q\}, b \wedge b', \emptyset \rangle$ .  $\Box$ 

A complete solver has the property that UNKNOWN = SAT. The result  $\sigma_F$  may be mapped to a solution for G depending on the definition of normalize.

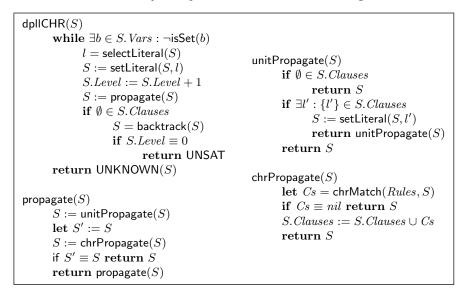


Fig. 1: Pseudo-code for the DPLL(CHR) algorithm.

## 4 DPLL(CHR): The SMCHR Execution Algorithm

The abstract operational semantics does not specify how and when the **Decide** transition is applied. For this we use a variant of the *Davis-Putnam-Logemann-Loveland* (DPLL) decision procedure for propositional formulae combined with CHR solving, i.e. DPLL(CHR).

The pseudo-code is shown in Figure 1. Search is controlled by the dpllCHR(S) routine. Here S represents the SMCHR "state" which includes

- S. Vars is the set of all propositional variables;
- S. Clauses is the a set of all clauses;
- S.Level is the decision level;

The state S also includes S. Trail for backtracking, and S. Store which contains the reified CHR constraint  $b \leftrightarrow C$  corresponding to each  $b \in S$ . Vars. The top-level loop selects a propositional literal l with selectLiteral, sets l to true with setLiteral, and propagates the change with propagate. Here selectLiteral typically uses some heuristic to determine the "best" literal to select, and setLiteral replaces all  $C \in S$ . Clauses where  $C = \{\neg l\} \cup C'$  with C', i.e. resolution. Propagation may result in the empty clause  $\emptyset \in S$ . Clauses indicating failure in which case we backtrack. The process continues until either all variables are set, and we have reached UNKNOWN, or we backtrack to level 0, indicating UNSAT.

Our pseudo-code is a simplification. Our actual SMCHR implementation uses a modern SAT solver with no-good learning, back-jumping, etc.

Propagation is handled by the propagate, unitPropagate, and chrPropagate routines. First unitPropagate exhaustively propagates all unit clauses  $\{l'\} \in S.$  Clauses by setting l'. Next we call chrPropagate to "wake up" any reified CHR constraint  $b \leftrightarrow C$  where b has been set to true or false. Here we assume Rules are in reified  $G. \ Duck$ 

notation. The chrMatch routine attempts to match (and apply) a CHR rule. This involves searching for a (renamed apart) rule  $(H_1 \setminus H_2 \iff D) \in \mathsf{Rules}$  and sets of constraints  $C_1, C_2 \subseteq S.$  Store such that (1) for  $C_1 \uplus C_2 = \{b_1 \leftrightarrow c_1(\bar{x}_1), ..., b_n \leftrightarrow c_n(\bar{x}_n)\}$  and  $H_1 \uplus H_2 = \{t_1 \leftrightarrow c_1(\bar{y}_1), ..., t_n \leftrightarrow c_n(\bar{y}_n)\}$  we have that  $b_i$  has been set (via setLiteral) to the propositional constant  $t_i$ ; and (2) there exists a matching substitution  $\theta$  such that  $\theta.c_i(\bar{y}_i) = c_i(\bar{x}_i)$  for each  $i \in 1..n$ . If such a match  $\theta$  is found, then

- 1. We delete  $C_2$  from the store:  $S.Store := S.Store C_2$
- 2. For the rule body  $D = \{t'_1 \leftrightarrow c'_1(\bar{z}_1), ..., t'_m \leftrightarrow c'_m(\bar{z}_m)\}$  (where each  $t'_i$  is a propositional constant) we generate the set B of constraints defined as follows:

$$B = \{ d_1 \leftrightarrow \theta. c_1'(\bar{z}_1), ..., d_m \leftrightarrow \theta. c_m'(\bar{z}_m) \}$$

where each  $d_i$  is either the propositional variable corresponding to an existing<sup>1</sup>  $(d_i \leftrightarrow \theta.c'_i(\bar{z}_i)) \in S.Store$ , else  $d_i$  is a fresh propositional variable. We set  $S.Store := B \cup S.Store$  and  $S.Vars := \{d_1, .., d_m\} \cup S.Vars$ .

3. We define the set of head literals  $L_H$  and body literals  $L_B$  as follows:

$$L_{H} = \{l_{1}, .., l_{n}\} = \{b_{i} \mid i \in 1..n \land t_{i}\} \cup \{\neg b_{i} \mid i \in 1..n \land \neg t_{i}\}$$
$$L_{B} = \{l'_{1}, .., l'_{m}\} = \{d_{i} \mid i \in 1..m \land t'_{i}\} \cup \{\neg d_{i} \mid i \in 1..m \land \neg t'_{i}\}$$

Finally we *generate* the following set of clauses:

$$Cs = \{ (\neg l_1 \lor .. \lor \neg l_n \lor l'_i) \mid i \in 1..m \land \neg \mathsf{isTrue}(S, l'_i) \}$$

Note that we do not generate *redundant* clauses, i.e. when  $\mathsf{isTrue}(S, l_i)$  holds. If there is no rule where  $Cs \neq \emptyset$  nor a constraint is deleted, then chrMatch returns *nil*.

The chrMatch routine is essentially standard CHR rule application except (1) we are matching reified constraints, and (2) we are generating clauses and constraints. Each generated clause is either a unit clause that implies  $l'_i \leftrightarrow true$ , or is the empty clause because  $l'_i$  is already set to *false*, indicating failure. The propagate routine re-invokes unitPropagate if a rule was applied. Propagation continues until a fixed-point is reached or failure occurs.

Propositional variables are never set by the CHR solver directly. Instead variables are set indirectly via the generated clauses. Each generated clause can be used by the SAT solver for future no-good learning and unit propagation. Our clause generation scheme is essentially a generalization of (Ohrimenko et al. 2009) from finite domain propagation solvers to any solver specified in CHR.

#### Example 5 (SMCHR Execution)

Consider the rules (reflexivity), (antisymmetry), and (transitivity) from Example 3. Suppose the initial goal G is

$$(lt(A,B) \lor lt(B,A)) \land lt(B,C) \land \neg lt(A,C)$$

<sup>&</sup>lt;sup>1</sup> Set-Semantics ensures there is only one choice for  $d_i$ .

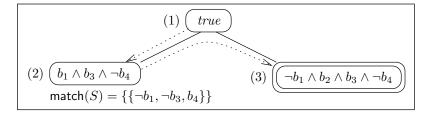


Fig. 2: An example SMCHR execution tree.

First we normalize G into a propositional formula in CNF and reified CHR constraints as follows

$$\left[ (b_1 \lor b_2) \land b_3 \land \neg b_4 \right] \land b_1 \leftrightarrow lt(A, B) \land b_2 \leftrightarrow lt(B, A) \land b_3 \leftrightarrow lt(B, C) \land b_4 \leftrightarrow lt(A, C) \land b_4 \land b_$$

The initial state is therefore

$$S. Vars = \{b_1, b_2, b_3, b_4\} \qquad S. Clauses = \{\{b_1, b_2\}, \{b_3\}, \{\neg b_4\}\}$$
$$S. Store = \{(b_1 \leftrightarrow lt(A, B)), (b_2 \leftrightarrow lt(B, A)), (b_3 \leftrightarrow lt(B, C)), (b_4 \leftrightarrow lt(A, C))\}$$

A possible execution tree for G is shown in Figure 2. Execution proceeds as follows:

- (1) Assuming selectLiteral chooses literal  $b_1$ , after unitPropagate we have that  $b_1 \wedge b_3 \wedge \neg b_4$  is set.
- (2) Next chrPropagate is called. The rule (lt(X, Y) ∧ lt(Y, Z) ⇒ lt(X, Z)) matches with θ = {X/A, Y/B, Z/C} and thus the clause ¬b<sub>1</sub> ∨ ¬b<sub>3</sub> ∨ b<sub>4</sub> is generated. This clause is empty (since ¬b<sub>4</sub> is already true) and therefore we fail and backtrack. The global set of clauses is now

$$S.Clauses = \{\{b_1, b_2\}, \{b_3\}, \{\neg b_4\}, \{\neg b_1, \neg b_3, b_4\}\}$$

A SAT with no-good learning would also generate  $\{\neg b_1\}$ .

(3) Next suppose selectLiteral selects the literal  $\neg b_1$ . After unitPropagate we have that  $\neg b_1 \wedge b_2 \wedge b_3 \wedge \neg b_4$ . No rule is applicable, and therefore we have reached a final state. The answer is therefore UNKNOWN with the result

$$\neg lt(A,B) \land lt(B,A) \land lt(B,C) \land \neg lt(A,C) \qquad \Box$$

Another advantage of clause generation is that it completely subsumes the propagation history. In Example 5, the matching clause  $\neg b_1 \lor \neg b_3 \lor b_4$  sets  $b_4$  to *true* (i.e. isTrue $(S, b_4)$  holds) preventing the same rule from being applied once more on the same constraints. The rule will never be reapplied in other parts of the search tree where  $b_1 \land b_3$  has been set to *true* and  $b_4$  is unset. In such cases unitPropagate will set  $b_4$  to *true* before chrPropagate is called, preventing the reapplication of the rule.

So far we have not considered CHR extending an existing "built-in" constraint solver. Later in Section 6 we will discuss how to extend SMCHR with a built-in solver supporting equality x = y.

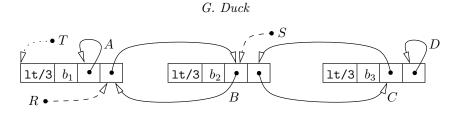


Fig. 3: Variable representation for the constraints from Example 6.

## 5 Implementation

We have implemented a SMCHR runtime system based on the DPLL(CHR) design from Section 4. Most of the design and implementation is standard and covered by existing literature on SAT (Een and Srensson 2003), SMT (Nieuwenhuis et al. 2006), CHR (Holzbaur 1999), and lazy clause generation (Ohrimenko et al. 2009). This section will focus on aspects of the SMCHR implementation that are novel.

# 5.1 Variable Indexing

Prolog CHR implementations such as the K.U.Leuven CHR system (Schrijvers and Demoen 2004) implement variable indexing using attributed variables (Holzbaur 1999)(Holzbaur 1992). The basic idea is as follows: given a variable X, we attach an attribute to X which contains all CHR constraints that mention X. This attribute can then be used to efficiently find partner constraints when matching CHR rules.

# Example 6 (Attributed Variable Indexing)

Suppose the CHR store contains the constraints  $lt(A, B) \wedge lt(B, C) \wedge lt(C, D)$ , then an attribute would be attached to B via the call

 $put_attr(B, lt_index, [lt(A, B), lt(B, C)])$ 

Here  $lt_index$  is the attribute's *name*, and the list [lt(A, B), lt(B, C)] is the attribute's *value* containing all CHR constraints that mention variable B.

Consider the rule propagation rule  $(lt(X, Y) \land lt(Y, Z) \Longrightarrow lt(X, Z))$ . Suppose we have matched lt(A, B) with the occurrence lt(X, Y), and we wish to find a *partner constraint* to match the occurrence lt(Y, Z). With *attributed variable indexing*, we call get\_attr(B,lt\_index, Ls) to retrieve the attributes value Ls =[lt(A, B), lt(B, C)]. We simply scan Ls to find the match lt(B, C), and apply the rule.  $\Box$ 

Our SMCHR runtime system also uses variable indexing, but does not use attributed variables. Instead our variable indexing scheme is based on PARMAbindings (Taylor 1996). PARMA-bindings represent a variable X as a pointer-cycle through all constraints (or terms) that contain X. This is opposed to pointer-chains from a traditional WAM-style variable representation. A good comparison between WAM and PARMA variable representations can be found in (Demoen et al. 1999).

#### Example 7 (Term and Variable Representation)

The representation of the (reified version of the) constraints from Example 6 is

shown in Figure 3. Here we assume a reified constraint  $b \leftrightarrow f(x_1, ..., x_n)$  is represented by a n+1-arity term  $f(b, x_1, ..., x_n)$ . The term itself is represented as a vector containing the functor/arity pair followed by the term's arguments  $b, x_1, ..., x_n$ .

In Figure 3 the pointer-cycles are represented by the unbroken arrows. Symbols  $b_1$ ,  $b_2$ , and  $b_3$  are propositional variables handled by the SAT solver. The pointer-cycles for B and C are of length two because they appear in two constraints, whereas singleton variables A and D have a pointer-cycle length of one.  $\Box$ 

A variable reference is a pointer to a cell in the pointer-cycle of a given variable. Given a reference R to variable X, we define the following low-level operations:

- 1.  $var_next(R)$  is a reference to the *next* cell in the pointer-cycle for X;
- 2.  $var\_container(R)$  is the term *containing* the cell pointed to by R; and
- 3.  $var_index(R)$  is the argument index (from 0) of the cell pointed to by R.

#### Example 8 (Variable Operations)

Consider Figure 3 once more. Here R and S are references to variable B, and T is a reference to the term representing the first lt constraint. We see that

$$\operatorname{var\_next}(R) = S$$
  $\operatorname{var\_next}(S) = R$   $\operatorname{var\_container}(R) = T$   
 $\operatorname{var\_index}(R) = 2$   $\operatorname{var\_index}(S) = 1$   $\Box$ 

The var\_next operation is simply pointer dereference, i.e. var\_next(R)  $\equiv *R$ . Our implementation of var\_container relies on low-level garbage collector support. Namely, it relies on a garbage collector that can efficiently<sup>2</sup> map *interior* pointers, i.e. pointers to inside a term such as R, to *exterior* pointers, i.e. the pointer to the term itself such as T. We have implemented a garbage collector as part of our SM-CHR implementation.<sup>3</sup> Finally the var\_index operation is implemented in terms of var\_container, and pointer arithmetic, i.e. var\_index(R)  $\equiv R - var_container(R) - 1$ .

Using these low-level operations, we can directly implement variable indexing.

# Example 9 (Variable Indexing)

Consider the following constraints for variable B.

$$b_1 \leftrightarrow lt(A, B) \land b_2 \leftrightarrow lt(D, B) \land b_3 \leftrightarrow lt(B, C)$$

A possible variable layout for these constraints is shown in Figure 4a. Suppose that  $b_1 \wedge b_2 \wedge b_3$  is set to *true*, and that we have matched  $b_1 \leftrightarrow lt(A, B)$  with occurrence true  $\leftrightarrow lt(X, Y)$  from the rule  $(lt(X, Y) \wedge lt(Y, Z) \Longrightarrow lt(X, Z))$ . To match the rule we must find a partner constraint of the form  $true \leftrightarrow lt(B, .)$  using B as the variable index.

The pseudo-code for the matching routine in shown in Figure 4b. The algorithm traverses the pointer-cycle of B. It will stop when either a match is found, or if we complete a full loop without finding a match. Assuming the layout from Figure 4a, the match\_lt routine will find the matching constraint  $b_3 \leftrightarrow lt(B,C)$  in the third iteration of the loop.  $\Box$ 

<sup>&</sup>lt;sup>2</sup> In constant O(1) time.

<sup>&</sup>lt;sup>3</sup> Other garbage collectors also support the mapping of interior to exterior pointers, e.g. the Boehm Collector (Boehm and Weiser 1988) with the  $GC\_base(ptr)$  API call.

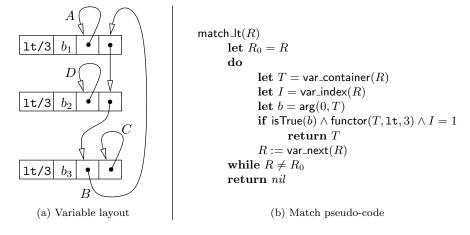


Fig. 4: Matching a lt(-, B, -) constraint.

Our variable representation is advantageous when it comes to discovering justifications for equality, which will be discussed in Section 6. The disadvantage is that our variable indexing scheme does not directly allow for variables nested inside other terms, e.g. c(f(X, Y), Z). This is acceptable for solvers over "flat" domains such as integers. For other domains we may apply the *flattening transformation* as described in (Sarna-Starosta and Schrijvers 2008). Here we flatten constraints by introducing new constraint symbols, e.g. c(f(X, Y), Z) becomes cf(X, Y, Z).

# 5.2 Constraint Deletion

When a simplification or simplication rule is applied, we must *delete* some of the constraints that matched the rule head. Say we wish to delete constraint  $c(\bar{X})$ , this can be achieved in one of two possible ways:

- 1. Remove  $c(\bar{X})$  from the pointer-cycles of all  $X \in \bar{X}$ ; or
- 2. Overwrite the functor c with some "dummy" functor, say d.

The latter destructively updates  $c(\bar{X})$  to  $d(\bar{X})$  making it invisible to future matchings. The former is the more expensive operation, but keeps pointer-cycles shorter, which may be advantageous in the long run.

# 6 A Reified Equality Solver

Most CHR systems extend a "built-in" constraint solver that, at a minimum, supports equality x = y. Prolog CHR systems such as (Schrijvers and Demoen 2004) extend Prolog's standard unification =/2 over (attributed) variables and terms. For SMCHR we require a built-in solver that supports *reified equality constraints* of the form  $b \leftrightarrow (X = Y)$ , where b is a propositional variable. For efficient rule matching using variable indexing, we wish to support variable *unification*. However, for clause generation, we must also support *justifications* that include equality constraints. In this section we describe how to implement such a solver using the variable representation described in Section 5.1.

12

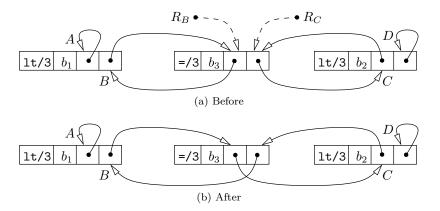


Fig. 5: Variable representation and unification.

# 6.1 Unification

Given an equality constraint  $b \leftrightarrow (X = Y)$  where b has been set to *true*, then we must *unify* the representations of variables X and Y. This is handled the same way as with PARMA-bindings, i.e., by merging the pointer cycles for X and Y to form one larger cycle (Demoen et al. 1999). Specifically, let T be the term representation of the equality constraint  $b \leftrightarrow (X = Y)$ ; let  $R_X$  and  $R_Y$  be the variable *references* to X and Y in T; and let  $R'_X = \text{var\_next}(R_X)$  and  $R'_Y = \text{var\_next}(R_Y)$ , then we set

 $*R_X := R'_Y$  and  $*R_Y := R'_X$ 

Both updates are trailed and will be undone on backtracking.

Example 10 (Variable Unification) Consider the constraints:

$$b_1 \leftrightarrow lt(A, B) \land b_2 \leftrightarrow lt(C, D) \land b_3 \leftrightarrow (B = C)$$

The representation of these constraints is shown in Figure 5a. Here  $R_B$  and  $R_C$  are the references to B and C in the term representation of the equality constraint.

Suppose  $b_3$  is set to *true*. Let  $R'_B = \mathsf{var\_next}(R_B)$  and  $R'_C = \mathsf{var\_next}(R_C)$ , then the equality solver *unifies* B with C by setting  $*R_B := R'_C$  and  $*R_C := R'_B$ . This merges the pointer-cycles to form one larger cycle, as shown in Figure 5b.  $\Box$ 

Note that variable unification only the updates the equality term itself. All other constraints remain unchanged.

We avoid unifying two references to already-unified variables. Instead we delete the redundant equality constraint. In effect, our equality solver enforces the following CHR rule

$$X = Y \setminus X = Y \iff true$$

The built-in equality solver only handles variable/variable unification. Variable/value equality can be implemented via the rule

$$X = c \land X = d \Longrightarrow false \quad \text{where} \quad c \neq d$$

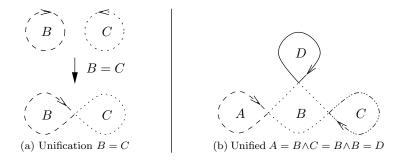


Fig. 6: Unification and justification of pointer-cycles.

# 6.2 Justification

Given two references to variables x and y, we must determine whether x and y are equal, and if they are, generate a *justification* as to *why* x and y are equal.

Example 11 (Equality Justification) Given the rule  $(lt(X,Y) \land lt(Y,X) \Longrightarrow false)$  and the constraints

 $b_1 \leftrightarrow lt(A, B) \land b_2 \leftrightarrow lt(C, A) \land b_3 \leftrightarrow (B = D) \land b_4 \leftrightarrow (D = C) \land b_5 \leftrightarrow (A = E)$ 

suppose  $b_1 \wedge b_2 \wedge b_3 \wedge b_4 \wedge b_5$  is set to *true*. The rule matches since  $\mathcal{D} \models B = D \wedge D = C \rightarrow B = C$  with the corresponding justification  $b_3 \wedge b_4$ . The negated justification is incorporated into the clause  $\neg b_1 \vee \neg b_2 \vee \neg b_3 \vee \neg b_4$  that is generated when the rule is applied.  $\Box$ 

The challenge is to combine justification with unification. In Example 11, variables B, C, and D will already be unified as per Section 6.1, and thus share one combined pointer-cycle. For justifications, we must treat B, C, and D as separate variables. We can however make the following observation:

# $Observation \ 1$

The term representation of a reified equality constraint  $b \leftrightarrow (X = Y)$  remains in the combined pointer-cycle after unification.

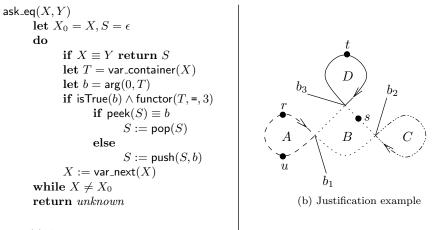
This is demonstrated in Figure 5. After the unification (in Figure 5b), the term representation of  $b_3 \leftrightarrow (B = C)$  remains in the combined pointer-cycle. This term marks the point where the pointer-cycles for B and C were joined. With this information, we can *reconstruct* the original pointer-cycles before the unification.

To help describe the algorithm for computing justifications, we introduce an abstraction based on the following observation about the "shape" of the combined pointer-cycle in Figure 5b:

# $Observation \ 2$

The combined pointer-cycle has a "twist" in it, i.e. where it overlaps with itself to form a horizontal "figure-8" pattern.

The *abstract* version the unification from Figure 5 is shown in Figure 6a. Variables are represented by abstract pointer-cycles. Unifying two pointer-cycles forms



(a) Ask-equals with justification

Fig. 7: Ask-equals with justification example.

a larger pointer-cycle with a twist. The twist is merely an abstraction of the equality term, and therefore denotes the transition between the original pointer-cycles from B to C and vice versa.

# Example 12 (Twists)

Suppose A, B, C, and D are "fresh" variables with no twists, i.e. are yet to be unified with any other variable. Suppose that

$$b_1 \leftrightarrow (A = B) \land b_2 \leftrightarrow (C = B) \land b_3 \leftrightarrow (B = D)$$

and  $b_1 \wedge b_2 \wedge b_3$  is set to *true*. Variables A, B, C, and D are unified to form an abstract pointer-cycle with three twists as shown in Figure 6b.  $\Box$ 

Equality justifications can be straightforwardly generated by traversing pointercycles and tracking twists. Consider the rule  $(neq(X, X) \Longrightarrow false)$  that implements a disequality constraint. Given a CHR constraint neq(A, B), the SMCHR engine asks the built-in equality solver whether variable references A and B are equal, and if so, the justification for the equality for clause generation. The pseudo-code for the ask-equals algorithm is shown in Figure 7a. The inputs are variable references Xand Y. The algorithm traverses the pointer-cycle starting from X and maintains a stack S of twists. Each twist is represented by the propositional variable b from the corresponding non-redundant reified equality constraint  $b \leftrightarrow (\_=\_)$ . As we traverse the pointer-cycle and we encounter a twist b, we either (1) pop b from S if the top of S is b (i.e. peek $(S) \equiv b$ ), or (2) push b onto S otherwise. If Y is encountered, then X = Y holds and the stack S is returned. Otherwise unknown is returned. The justification for the equality is simply the resulting stack  $S = [b_1, ..., b_n]$  interpreted as the conjunction  $b_1 \wedge ... \wedge b_n$ .

# Example 13 (Justifications)

Consider the abstract pointer-cycle diagram from Figure 7b and the variable refer-

G. Duck

		SMCHR			CHR	
Bench.	Solver	time	#clauses	#fails	time	#fails
cycle(50)	lt	285	1177	1	238	1
cycle(100)	//	8003	4852	1	3515	1
cycle(50)	leq	501	1225	0	242	0
cycle(100)	//	12113	4950	0	3382	0
queens(12)	bounds	582	2834	388	847	2805
queens(14)	//	1750	5829	991	9029	24596
queens(16)	//	7072	14659	4119	65951	150660
queens(18)	//	22984	33159	12972	359170	701930
queens(20)	//	82200	84000	44548	_	-
subsets(15, 99)	//	57	7477	106	4301	30827
subsets(20,99)	//	102	12687	156	79115	616666
Geo. Mean.	_	1296	-	-	447%	—

Fig. 8: SMCHR vs. K. U. Leuven CHR (SWI) experimental results

ences r, u to A, s to B, and t to D, then

(query)	(sequence)	(justification)
$ask\_eq(r,s)$	$push(b_1),push(b_2),pop(b_2)$	$b_1$
$ask\_eq(r,t)$	$push(b_1),push(b_2),pop(b_2),push(b_3)$	$b_1 \wedge b_3$
$ask\_eq(r,u)$	$push(b_1),push(b_2),pop(b_2),push(b_3),pop(b_3),pop(b_1)$	true
askeq(u,r)	$\epsilon$	true

Furthermore we see that  $\mathsf{ask\_eq}(R, S) = \mathsf{ask\_eq}(S, R)$  for all R, S. For example,  $\mathsf{ask\_eq}(u, r) = \mathsf{ask\_eq}(r, u) = true$ , as expected.  $\Box$ 

We can similarly adapt the *variable indexing* routines such as from Figure 4b to generate justifications for matches using the same basic idea.

# 7 Experiments

In this section we test the SMCHR runtime system presented in this paper. We are yet to integrate a CHR compiler, and all CHR solvers tested in this section were compiled manually. All timings are on Intel i5-2500K CPU clocked at 4Ghz and averaged over 10 runs. We compare against the K.U.Leuven CHR system (Schrijvers and Demoen 2004) running on SWI Prolog (Wielemaker et al. 2012) version 5.8.2., with debugging disabled and full CHR optimization enabled. The results are shown in Figure 8. Here, time is the time in milliseconds, #clauses is the number of generated clauses (for SMCHR), and #fails is the number of fails/backtracks. A dash indicates a time exceeding 10 minutes.

Benchmark cycle(n) is a cycle of CHR constraints  $p(A_0, A_1) \land ... \land p(A_n, A_0)$  for  $p \in \{lt, leq\}$ . The lt solver answers *false*, whereas the leq unifies all the variables  $A_i$  for  $i \in 0..n$ . Benchmark queens(n) finds a solution to the classic *n*-queens problem using a bounds propagation solver implemented in CHR (e.g. like Example 1) and a generalization of the encoding from Example 2. Benchmark subsets(n, v) is a variant of the sum-of-(multi-)sets problem, where the multi-set is  $\{10, ..., 10\}$  and is of size *n*, and *v* is the target value. For v = 99 there are no solutions.

The experimental results show that SMCHR is slower for benchmarks that do not use search/disjunction, such as cycle(n). This is because of overheads introduced by the SAT solver and clause generation. For benchmarks that use search, such as queens(n) and subsets(n, v), SMCHR is faster thanks to no-good learning. This benefit likely overwhelms any advantage gained from manual compilation.

## 8 Related Work

SMCHR is closely related to *Satisfiability Modulo Theories* (SMT) (Moura and Bjørner 2011). The basic design is the same: a SAT solver core sets and wakes *theory* constraints which are solved using a *theory solver* (Nieuwenhuis et al. 2006). In SMCHR, the theory solver is specified in CHR. As far as we are aware, most SMT implementations do not use the (lazy) clause generation (Ohrimenko et al. 2009) approach. Instead the theory solvers either do no propagation (i.e. merely test satisfiability) or the SAT core directly queries the theory solver for justifications on backtracking. The clause generation approach is far more flexible. It allows for theory propagation, and does not require any special algorithm to construct justifications on failure.

SMCHR is not the first CHR system to support back-jumping and no-good learning.  $CHR^{\vee}$  (Wolf et al. 2008) is an extension of CHR that allows disjunction in the body of the rules, e.g. a rule for indomain for labelling might be:

$$label(X) \setminus indomain(X, [Y|Ys]) \iff X = Y \lor indomain(X, Ys)$$

In SMCHR we do not currently support disjunction in rules, only in the initial goal. Disjunction in rules is an obvious direction for future work. The operational semantics of  $CHR^{\vee}$  is an extension of the the refined operational semantics (Duck et al. 2004), where *conflict driven back-jumping* is supported by explicitly annotating constraints with *justifications*. Unlike  $CHR^{\vee}$ , we do not build the machinery of no-good learning, back-jumping, etc., into the operational semantics. Rather these details are left to the SAT solver. It is not clear how the  $CHR^{\vee}$  implementation supports justifications for equality.

# 9 Conclusions and Future Work

In this paper we introduced SMCHR, the natural merger of SMT, CHR, and (lazy) clause generation. We presented a new CHR runtime system based on a new variable representation that supports both unification, and importantly, justifications. Our experimental results confirm that no-good clause learning is very effective at search space pruning, resulting in large speed-ups for some benchmarks.

Our SMCHR runtime system has not yet been finely tuned and results from Section 7 can likely be improved. This is future work. We also intend to use SMCHR for applications such as automated program verification.

#### References

- BOEHM, H. AND WEISER, M. 1988. Garbage collection in an uncooperative environment. Software Practice and Experience 18, 9 (Sept.), 807–820.
- DEMOEN, B., BANDA, M., HARVEY, W., MARRIOTT, K., AND STUCKEY, P. 1999. Herbrand constraint solving in HAL. In In Proceedings of the Sixteenth International Conference on Logic Programming. MIT Press, 260–274.
- DUCK, G., STUCKEY, P., BANDA, M., AND HOLZBAUR, C. 2004. The refined operational semantics of constraint handling rules. In *International Conference on Logic Programming.* Springer, 90–104.
- EEN, N. AND SRENSSON, N. 2003. An extensible SAT-solver. In Proceedings of the Sixth International Conference on Theory and Applications of Satisfiability Testing. Springer Verlag.
- FRÜHWIRTH, T. 1998. Theory and practice of constraint handling rules. Special Issue on Constraint Logic Programming, Journal of Logic Programming 37.
- HOLZBAUR, C. 1992. Metastructures vs. attributed variables in the context of extensible unification - applied for the implementation of CLP languages. In In 1992 International Symposium on Programming Language Implementation and Logic Programming. Springer Verlag, 260–268.
- HOLZBAUR, C. 1999. Compiling constraint handling rules into prolog with attributed variables. In Proceedings of the International Conference on Principles and Practice of Declarative Programming. Springer Verlag, 117–133.
- MOURA, L. AND BJØRNER, N. 2011. Satisfiability modulo theories: introduction and applications. *Communcations of the ACM 54*, 9 (Sept.), 69–77.
- NIEUWENHUIS, R., OLIVERAS, A., AND TINELLI, C. 2006. Solving SAT and SAT modulo theories: from an abstract Davis-Putnam-Logemann-Loveland procedure to DPLL(T). *Journal of the ACM 53*, 6 (Nov.), 937–977.
- OHRIMENKO, O., STUCKEY, P., AND CODISH, M. 2009. Propagation via lazy clause generation. *Constraints* 14, 357–391.
- SARNA-STAROSTA, B. AND SCHRIJVERS, T. 2008. Transformation-based indexing techniques for Constraint Handling Rules. In Proceedings of the 5<sup>th</sup> Workshop on Constraint Handling Rules, T. Schrijvers, F. Raiser, and T. Frühwirth, Eds. RISC Report Series 08-10, University of Linz, Austria, 3–18.
- SCHRIJVERS, T. AND DEMOEN, B. 2004. The K.U.Leuven CHR system: implementation and application. In *First Workshop on Constraint Handling Rules: Selected Contribu*tions. 1–5.
- SNEYERS, J., WEERT, P., SCHRIJVERS, T., AND KONINCK, L. 2010. As time goes by: Constraint handling rules – a survey of CHR research between 1998 and 2007. Theory and Practice of Logic Programming 10, 1–47.
- TAYLOR, A. 1996. PARMA bridging the performance gap between imperative and logic programming. *The Journal of Logic Programming* 29, 1-3, 5–16.
- WIELEMAKER, J., SCHRIJVERS, T., TRISKA, M., AND LAGER, T. 2012. SWI-Prolog. Theory and Practice of Logic Programming 12, 1-2, 67–96.
- WOLF, A., ROBIN, J., AND VITORINO, J. 2008. Adaptive CHR meets CHR<sup>∨</sup>: An extended refined operational semantics for CHR<sup>∨</sup> based on justifications. In *Constraint Handling Rules*. Vol. 5388. Springer Berlin / Heidelberg, 48–69.