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Citation: RazaviAlavi, SeyedReza and AbouRizk, Simaan (2017) Site Layout and Construction Plan Optimization Using an Integrated Genetic Algorithm Simulation Framework. Journal of Computing in Civil Engineering, 31 (4). 04017011. ISSN 0887-3801

Published by: American Society of Civil Engineers (ASCE)

URL: https://doi.org/10.1061/(ASCE)CP.1943-5487.0000653 <https://doi.org/10.1061/ (ASCE)CP.1943-5487.0000653>

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## 11 Abstract

Efficiency of a planned site layout is essential for the successful completion of construction 12 13 projects. Despite considerable research undertaken for optimizing construction site layouts, most 14 models developed for this purpose have neglected the mutual impacts of the site layout and 15 construction operation variables, and are not able to thoroughly model these impacts. This paper outlines a framework enabling planners to plan for site layout variables (i.e., size, location and 16 17 orientation of temporary facilities), and construction plan variables (e.g., resources and material 18 delivery plan), and simultaneously optimize them in an integrated model. In this framework, genetic algorithm (GA) and simulation are integrated; GA heuristically searches for the near-19 20 optimum solution with minimum costs by generating feasible candidate solutions, and simulation mimics construction processes, and measures the project costs by adopting those candidate 21 solutions. The contribution of this framework is the ability to capture the mutual impacts of site 22

layout and construction plans in a unified simulation model, and optimize their variables in GA,
which subsequently entails developing a more efficient and realistic plan. Applicability of the
framework is presented in a steel erection project.

*Key words*: Site layout planning, Construction planning, Simulation, Genetic Algorithm, *Optimization*.

#### 28 Introduction

Site layout planning (SLP) is mainly involved in identifying the suitable size and position of 29 temporary facilities on construction sites. In construction projects, efficiency of the site layout is 30 crucial because of its impacts on productivity and safety. However, conflicting objectives and 31 32 dependency between influencing factors make SLP a complex task. Many studies have been conducted on SLP, the majority of which focused on how to find the optimum location of facilities 33 considering different constraints such as travel cost, safety and environmental risks, accessibility, 34 and planners' preferences. For optimization purposes, the objective of most SLP models is to 35 minimize the sum of weighted distance function (SWDF) defined as  $\sum w \times d$ , which assigns weights 36 37 to the significance or cost of the interactions between facilities. To determine the weights, two methods exist: 1) quantitative method, where the weights represent the cost per unit length (\$/m)38 39 of the transportation between facilities (e.g., Zhang and Wang (2008)), and 2) qualitative method, where the weights represent subjective closeness rates between facilities (e.g., Elbeltagi et al. 40 (2004)). The main drawback of the quantitative method is that it is difficult to determine the cost 41 42 per unit length of transportation, and the drawback of the qualitative method is that the subjective weights cannot realistically reflect the actual transportation cost. 43

Safety is another constraint in SLP that affects the location of facilities. Falling objects 44 Anumba and Bishop (1997), crane operation hazards, location of hazardous material storage, and 45 travel route intersections El-Rayes and Khalafallah (2005) have been the major safety risks 46 considered in existing SLP studies. Different approaches have been adopted to reduce the risk of 47 these hazards, including: 1) qualitative approaches, which consider safety and environmental 48 49 issues in determining subjective closeness weights in SWDF (e.g., Elbeltagi et al. (2004)), 2) quantitative approaches, which seek to identify a quantitative index for evaluating safety of sites 50 51 (e.g., El-Rayes and Khalafallah (2005)), and 3) hard constraint approaches, which define safety considerations as closeness hard constraints (e.g., El-Rayes and Said (2009)). Hard constraints are 52 discrete, which means that they are either satisfied or not, and planners aim to satisfy them. 53

In the literature, fewer studies have been undertaken to determine the optimum size of 54 facilities, or integrate SLP with construction planning. For identifying the size of the facilities, the 55 knowledge-based model (Elbeltagi and Hegazy, 2001) and some simplified dynamic profiles 56 57 (Zouein and Tommelein, 2001) were proposed by researchers, though the accuracy of these methods is compromised, by their failure to capture the inherent dynamics of construction projects. 58 Some recent studies have recognized the significance of the integration of SLP decisions with 59 60 construction planning decisions, and attempted to optimize the location of the facilities and construction plan variables such as material procurement (Said and El-Rayes, 2011) and project 61 62 schedule (Said and El-Rayes, 2013). These studies introduced new approaches in SLP; however, 63 they only considered transportation tasks, and did not model the impact of facility location and size on the construction operations. They also overlooked the uncertainties inherent in construction 64 65 projects. To address these drawbacks, simulation has been used in SLP. The simulation-based 66 models developed to optimize the location of facilities substantiated the superiorities of simulation

over the previous methods. Modeling construction uncertainties (RazaviAlavi and AbouRizk, 67 2013), considering resource interactions (Alanjari et al., 2014), quantifying the impact of facility 68 69 size on the projects (RazaviAlavi and AbouRizk, 2015), and providing the planners with more information (e.g., total time in system, utilization and waiting time) (Smutkupt and Wimonkasame, 70 2009) were also reported as the primary advantages of using simulation in this area. In some of 71 72 these models, such as Alanjari et al. (2014), Marasini et al. (2001) and Azadivar and Wang (2000), simulation was also integrated with heuristic optimization methods to find the near-optimum 73 74 solutions. However, the existing simulation-based methods concentrated only on either sizing 75 facility (e.g., RazaviAlavi and AbouRizk, (2015)), or optimizing facility location (e.g., Azadivar and Wang (2000)), and the variables pertinent to the construction plan have not been optimized in 76 a unified model with site layout variables. 77

In summary, the following drawbacks are identified in many methods developed for SLP: 1) The methods using SWDF as an objective function attempted to minimize the transportation distance or transportation costs in the site layout, but the impact of site layout on the other aspects of the project, such as productivity and production rate, though significant, not taken into account. For instance, positioning a material storage facility far from the construction area may lead to late delivery of the material, and interruptions in the workflow, thereby reducing the production rate, and incurring extra project costs.

2) The existing methods, except for simulation-based methods, disregarded construction plan
decisions, or considered them only in a reduced capacity. For instance, late delivery of the
materials from one facility to another is not merely driven by the long transportation distance
between the facilities. In this respect, the number of available material handlers and the availability
of the material in the facility are the other drivers, but they are not accounted for in these methods.

3) Sizing facilities is one of the significant tasks in SLP, but it has been often overlooked, or its 90 impacts on the project have not been properly quantified in the existing methods (except for the 91 simulation-based methods). The sizes of some facilities such as cranes, office trailers and batch 92 plants are predetermined based on their size specifications, while the sizes of other facilities such 93 as material laydown areas and storages are variable and should be determined throughout SLP. In 94 95 the current practice for SLP, the size of the variable facilities is determined based on experience, rule of thumb, and heuristics, which may entail underestimation or overestimation of the facility 96 97 size. Underestimating the facility size causes lack of space within that facility, reduces the productivity and may incur extra costs to resolve problems, while overestimation of facility size 98 incurs extra costs for mobilization, maintenance, and demobilization of that facility, and may cause 99 space shortage for other facilities on congested sites. Therefore, overlooking the importance of 100 properly sizing facilities can expose the project to loss of productivity and extra costs. 101

4) Most of the existing methods seek to optimize only the site layout plan, omitting optimization
of the construction plan, even though these two activities are dependent. Ignoring this dependency
may result in suboptimum site layout and construction plans.

Despite the fact that some past studies have attempted to partially address these drawbacks in their models as discussed earlier, a framework that is able to comprehensively address all the drawbacks in a unified model is still needed. This study aims to develop such framework and bridge these gaps by adopting GA as a heuristic optimization method and simulation as a modeling tool, integrated to find the most cost-efficient site layout and construction plan variables in a unified model. In the following sections, the research methodology and the case study are presented. The overall conclusion is drawn is the last section.

#### 112 Methodology

- 113 The methodology of this research is composed of the following steps:
- Identifying the optimization variables;
- 115 Developing the optimization module employing GA;
- 116 Developing the cost evaluation module employing simulation; and

117 - Integrating GA with simulation.

118 The first step is to identify the optimization variables, which fall into two major categories:119 1) site layout variables, and 2) construction plan variables.

In SLP, attributes of facilities (i.e., size, location and orientation) can be either predetermined (i.e., fixed) or variable. That is, different types of facilities may exist on the site: predeterminedsized or variable-sized facilities, predetermined-location or movable facilities, and predeterminedorientation or variable-orientation facilities. Thus, the variable attributes of the facilities are considered to be site layout variables that should be determined through optimization.

125 Construction plan variables can influence the site layout plan or be influenced by it. This study 126 concentrates on construction logistics plan variables, which are related to material management, 127 logistics and resource planning, such as the number of material handlers and the material delivery 128 schedule.

The proposed framework consists of two modules: 1) the optimization module, and 2) cost evaluation module. The role of the optimization module is to heuristically search for the nearoptimum solution and produce feasible solutions. The feasible candidate solutions contain the values of site layout and the construction plan variables identified in the first step. These values are selected from their search domain while satisfying the site layout constraints. In this study, genetic algorithm (GA) is employed as the optimization method. The cost evaluation module

evaluates the efficiency of site layout and construction plan variables in terms of the project cost. 135 To this end, simulation is utilized to model the construction process and estimate the cost of the 136 137 project for the candidate solutions produced by the optimization module. Simulation is selected for this purpose due to its capabilities in considering dynamics and uncertainties inherent in 138 construction projects, and modeling resources and complex interactions between different 139 140 variables. In this framework, simulation and GA are then fully integrated. Fig. 1 (a) shows schematically the integration of simulation and GA. As seen in this figure, a simulation model is 141 142 built based on the construction process information and cost data. Then, the simulation model receives the feasible candidate solutions as part of its inputs, which are outputs of GA, and 143 evaluates the project cost as the fitness (objective) function of GA. Details of these processes are 144 described in the next subsections. 145

#### 146 **Optimization module**

The heuristic optimization method used in this study is GA, which is based on biology. In 147 GA, chromosomes represent candidate solutions and consist of genes. Each gene represents the 148 value of a variable to be optimized. That is, a chromosome is a string of genes containing the 149 150 values of all optimization variables. The goodness of the chromosomes is measured by a fitness function. GA is initialized by randomly generating a set of chromosomes called population. Then, 151 three main operations: selection, crossover and mutation are executed to search for the fittest 152 chromosome, which has a highest/lowest (depending on minimizing or maximizing the fitness 153 154 function) value of the fitness function. Two chromosomes are randomly selected for crossover. The fitter chromosomes have a higher chance of being selected. In crossover, some genes of the 155 two chromosomes are randomly swapped. Finally, to counteract being trapped into a local 156 157 optimum solution, mutation is executed by randomly altering the value of one or more genes. In each iteration of this process, a new generation of chromosomes is created and evaluated by the
fitness function. Reaching the maximum number of generations is one of the common conditions
to stop the iteration (see Mitchell (1999) for further information about GA).

In this study, a chromosome consists of two major blocks of genes allocated to site layout and 161 construction plan variables. In the site layout block, minor blocks are designated to the variables 162 163 of each facility (i.e., size, orientation and/or location). Fig.1 (b) depicts the major and minor blocks of a chromosome. The number of genes in each minor block depends on the type of the facilities, 164 as discussed earlier. For instance, if a facility is predetermined-sized, movable-location and 165 166 variable-orientation, its corresponding block has two genes representing its location and orientation. In the site layout block, the total number of minor blocks equals the total number of 167 facilities. Similarly, the construction plan block has a number of genes corresponding to the 168 construction plan variables. 169

The next step is to identify the search domain of the variables. For the site layout variables,
the layout hard constraints and some assumptions are considered. The assumptions in the model
are as follows:

173 - The shape of the facility is rectangular,

- Underlying gridlines are used to identify the potential locations for positing facilities,

- The orientation of facilities is limited to 0 and 90 degrees if it is variable, and

- The possible sizes of facilities should be defined by the planner if size is variable.

The underlying gridlines create grid cells that are the potential locations of facilities. Numbering the grid cells facilitates encoding the location of facilities in GA. For instance, if the grid cell *#i* is designated to the location of the facility  $F_j$ , the top left corner of the facilities identified with the coordinates of (*RXF<sub>j</sub>*, *RYF<sub>j</sub>*) will be placed on the top left corner of the grid cell

identified with the coordinates of  $(RXC_i, RYC_i)$ . Fig. 2 (a) demonstrates grid cells, a facility and site area, in which only the grid cells that are completely inside the site boundaries are assumed to be available for designating to facilities. The size of the grid cells can affect the optimization since very small grid cells increase the search domain and optimization run time, while very large grid cells reduce the accuracy. Grid cell size is determined by the planner based on the size of the site and facilities, the defined hard constraints, and desired accuracy and optimization run time.

Using the Cartesian Coordination system, and knowing the coordinates of the grid cell reference points based on their size, the coordinates of the centers and corners of the facilities can be found, as presented in Fig. 2 (b). These points are used for evaluating hard constraints.

190 The following hard constraints are considered for positioning facilities (El-Rayes and Said191 2009):

Being inside the site boundaries, which implies that the entire area of all facilities must be
inside the site boundaries,

- Non-overlapping between facilities, which implies that no facilities can overlap,

195 - Minimum/maximum distance  $(D_{min}/D_{max})$  between facilities, and

- Inclusion/exclusion of a facility in/from a specified area.

The first two constraints are general for all sites. The second two constraints are used for safety, environmental, accessibility and other planners' considerations determined specifically for each site. The distance can be measured between different points of the facilities for various types of constraints. For example, the maximum distance between facilities can be used to make sure that a crane has access to the material storage. This distance will be measured from the center of the crane to the farthest corner point of the storage. Another example is the minimum distance used for specifying safety distance between facilities, such as the crane and office trailer. It will be measured from the center of the crane to the closest point of the office trailer. An inclusion/exclusion area can be used to identify the desirable/undesirable areas for locating a facility from the planner's point of view. For instance, no facility should be located in the area allocated to the access road, or a planner may intend to position the parking in the area that is close to the site entrance. Fig. 3 exhibits the hard constraints considered in this study.

209 To evaluate satisfaction of these constraints, the following formulas are used:

• For being inside the boundary for each facility, satisfying both:

- All edges of the facility do not have any intersections with any edges of the boundaries; and

- A point of the facility (e.g., its center or reference point) is inside the boundary.
- For non-overlapping between two facilities, satisfying either:

$$RXF_{Xmin} + LXF_{Xmin} \leq RXF_{Xmax}; or$$
(1)

(2)

 $RYF_{Ymin} + LYF_{Ymin} \leq RYF_{Ymax}$ 

where LXF is the length of the facility along X axis, LYF is the length of the facility along Y axis,

and between two facilities,  $F_{Xmin}$  is the facility with minimum RXF,  $F_{Xmax}$  is the facility with maximum RXF,  $F_{Ymin}$  is the facility with minimum RYF, and  $F_{Ymax}$  is the facility with maximum RYF.

218 Note: If the RXF values of two facilities are equal, the second equation must be satisfied, and if

- 219 RYF values are equal, the first equation must be satisfied.
- For inclusion/exclusion of a facility in/from the Area A, satisfying both:
- No edges of the facility have any intersections with edges of the area; and
- A point of the facility (e.g., its top left corner) is inside/outside the area.
- Minimum/maximum distance (Dmin/max) between a point of Facility #j with the coordinates
- of  $(x_j, y_j)$  and a point of Facility #k with the coordinates of  $(x_k, y_k)$  using Euclidean method:

Minimum Distance: 
$$D_{\min} \le \sqrt{(x_j - x_k)^2 + (y_j - y_k)^2}$$
 (3)

Maximum Distance: 
$$D_{max} \ge \sqrt{(x_j - x_k)^2 + (y_j - y_k)^2}$$
 (4)

• Minimum distance (D<sub>min</sub>) between edges of Facility #j and #k:

$$|CXF_{j}-CXF_{k}|-(LXF_{j}+LXF_{k})/2 \ge D_{min}; or$$
(5)

$$|CYF_{j}-CYF_{k}|-(LYF_{j}+LYF_{k})/2 \ge D_{\min}$$
(6)

• Maximum distance (D<sub>max</sub>) between edges of Facility #j and #k:

$$|CXF_j-CXF_k|-(LXF_j+LXF_k)/2 \le D_{max}$$
; and (7)

$$|CYF_{j}-CYF_{k}|-(LYF_{j}+LYF_{k})/2 \le D_{max}$$
(8)

227 The initial search domain for locating facilities is all the available grid cells, unless the inclusion/exclusion areas constrain the location of facilities to certain grid cells. Facility locations 228 229 are encoded by the grid cell numbers in GA. The search domain of the facility orientation is 0 and 230 90, which is encoded by binary numbers. The search domain of the facility size is determined by the planner through predefining the possible sizes of facilities, and is encoded by the ordinal 231 number (i.e., 1, 2, 3, etc.) assigned to each predefined size. From this search domain, GA randomly 232 creates layouts and examines the satisfaction of the hard constraints. If all the constraints are 233 234 satisfied, the created site is feasible. Otherwise, a new layout should be generated. The feasibility 235 of the site should also be examined after crossover and mutation operations. The construction plan variables and their search domain (i.e., possible values) are also predefined by the planner based 236 237 on their constraints. For instance, the search domain of the number of material handlers can be 238 defined as an ordinal number from 2 to 5 based on the site congestion and financial constraints. 239 When feasible candidate solutions are produced in GA, the project costs as their fitness

function are measured by the cost evaluation module as described in the next subsection.

### 241 **Cost evaluation module**

In the cost evaluation module, simulation is employed to mimic the construction process, and 242 estimate the total cost of the project by capturing the impacts of site layout and construction plan 243 244 variables on project costs. The main elements of the simulation model are construction operation tasks, on-site transportation tasks, the required resources for performing the tasks, and the facility 245 location and size. The location of facilities directly affects the duration of on-site transportation 246 247 tasks, and can indirectly delay some construction operation tasks that are dependent on the on-site transportation tasks. The facility size, which specifies the space resource for some tasks (e.g., 248 offloading materials into a facility), can delay those tasks if the facility does not have enough 249 250 available space. The managerial actions to remedy space shortage can also be modeled, and the impact of facility size on the project cost can be quantified through simulation. It should be 251 emphasized that some construction plan decisions such as the material delivery plan can influence 252 the cost efficiency of facility size (see RazaviAlavi and AbouRizk (2015) for further information). 253 This influence is also quantifies by simulation. To build the simulation model and estimate the 254 255 cost, other data, such as the task durations, dependency between tasks, and cost data, are the inputs. 256 In addition, uncertainties inherent in construction projects can be considered in the simulation model using probabilistic input data. The total project cost comprises of construction costs and site 257 258 layout costs, and is calculated using the following equation:

$$Total Cost = Construction Costs + Site Layout Costs$$
(9)

Simulation is used to estimate the construction costs, the site layout costs, and ultimately the total cost for all the feasible chromosomes created by GA. Construction costs may include the direct and indirect costs of the project (e.g., labor and equipment costs), and managerial action costs, as required. The site layout costs can cover the costs for mobilization, maintenance and demobilization of facilities, which can depend on the size of the facilities. Running the simulation
model for each chromosome, the total cost is estimated and returned to GA as the fitness value of
the examined chromosome.

#### 266 Integration of simulation and optimization modules

267 The last step in development of the framework is integration of GA and simulation, which 268 continuously interact in order to find the near-optimum solution. Details of this integration are 269 illustrated in Fig. 4. As seen in this figure, GA creates the first generation of the chromosomes, 270 which must satisfy the hard constraints. Next, simulation estimates the total cost of the chromosomes as their fitness function. Then, crossover and mutation operations are performed on 271 272 the chromosomes in order to produce a new generation of chromosomes. It should be emphasized 273 that the created chromosomes for the new generation must also satisfy the hard constraints. Simulation evaluates the fitness function of the new chromosomes, with the process being iterated 274 until the maximum number of generations is reached. The model is developed within Simphony 275 (Hajjar and AbouRizk, 1996), Simphony.NET 4.0 version, which is a tool for building simulation 276 277 models, and which has a programmable platform for developing new components. Hence, GA is 278 developed within Simphony as a new component, and is integrated with the simulation model created using Simphony's simulation components. 279

#### 280 Case study

In this section, applicability of the framework is demonstrated in a steel erection project. The construction process of this project has been inspired from a real project in Fort McMurray, Alberta, Canada. The process involves in the delivery of three types of steel materials to the site, storing them on the site, handling the material from the storage to the structures, and erection of

the materials. The preliminary plan for material delivery and steel erection is illustrated in Fig. 5 285 (a). The start date of the material delivery may be changed according to the planner, which will be 286 287 discussed later. The materials are delivered to the site each day at the rate shown in Fig. 5(a). It is assumed that the risk of late delivery of the material is 20% for 1 day, and 10% for 2 days. In Fig. 288 5 (a), the sequence of erecting the material each day is indicated by the numbers on the bars. The 289 290 process of steel erection, and the required resources to be modeled through simulation, are depicted in Fig. 5 (b). For material handling, a number of forklifts are deployed, which are shared among 291 292 all types of materials. For erecting the materials, two cranes, namely Crane 1 and Crane 2, are 293 deployed. However, Material 1 and Material 2 are erected using only Crane 1 and Crane 2, respectively, while Crane 1 is utilized for 50% of Material 3, and Crane 2 is utilized for the other 294 50%. For the materials sharing the same resources, the priority for capturing the crane is given 295 first to the material with a lower sequence number. If the sequence numbers are equal, Material 3 296 297 will have a lower priority. One of the advantages of simulation recognized in this case study is that 298 it can sophisticatedly model resources and their complex interactions.

As seen in Fig. 5 (b), if the on-site storages do not have enough space for the delivered 299 materials, managerial action will dictate that they will be stored in the off-site storages. Then, when 300 301 the space becomes available, they are transported to the site. Using the off-site storage incurs extra costs including time-dependent cost for renting the storage, and one-time cost for transportation, 302 303 which are considered in the model. To avoid these costs, the planner may intend to allocate more 304 space to the on-site storages, which induces extra costs for mobilization, maintenance and demobilization of the storage, and also may not be possible due to space limitations on the site. 305 306 Otherwise, the planner can adopt a just-in-time delivery scheme for the materials, which may cause 307 late delivery of the material due to the abovementioned risks in the material supply chain, and may

expose the project to reduction of the production rate. Thus, the size of on-site storages, the cost
of the off-site storage, availability of space on the site, the material delivery plan, risk of late
delivery of the materials, and the project production rate are the dependent parameters that should
be considered in decision making.

In addition to the storage size, the location of the on-site storages, which drives transportation 312 313 time of the forklifts as material handlers, can have an impact on the project production rate. However, this impact can be mitigated by deploying more forklifts, which increases equipment 314 costs. The location of the office and tool room influences the workers' travel time to reach the 315 316 construction zone (i.e., offloading Area and Structure A and B), which ultimately impacts the production rate. Hence, the location of the on-site storages, office and tool room, the number of 317 deployed forklifts, the cost of deploying forklifts, and the project production rate should be 318 accounted for in decision making. Fig. 5 (c) shows dependency among the abovementioned 319 factors, which are from different disciplines, using a causal loop diagram. In this diagram, 320 321 independent variables are linked to dependent variables through arrows, while polarities of the arrows (i.e., positive or negative) shows how the changes of the independent variable affect the 322 dependent variables (Sterman, 2000). This diagram confirms the significance of modeling facility 323 324 size and location as well as construction operation and plan parameters in a unified simulation model. It also demonstrates how this framework addresses the drawbacks of the other methods, as 325 326 discussed in the introduction section, by:

327

- modeling the impact of facility location on the production rate of the project,

- modeling construction plan variables such as the number of forklifts and the material

delivery plan, and capturing their impacts on the efficiency of the site layout plan,

- modeling and quantifying the impact of facility size on the project costs, and

- optimizing the site layout and construction plan variables simultaneously.

The overview of the site layout with facilities that have predetermined locations is depicted 332 in Fig. 6 (a). The variables considered in this study, including site layout variables and the 333 construction plan variables, are presented in Tables 1 and 2, respectively. The search domain of 334 the facility size and the construction plan variables are also presented in these tables. The total 335 number of possible solutions for the construction variables is  $3^4 \times 3^3$ , and the total number of 336 possible solutions for site layout variables considering one variable-orientation facility and 337 assuming at least 10 possible locations for facilities is  $2 \times 10^6$ . This results in a high number of 338 possible solutions (i.e.,  $4.374 \times 10^9$ ) for the problem, which further justifies the necessity of 339 employing the presented framework to find the near-optimum solution. The hard constraints used 340 for identifying the search domain for facilities' locations are presented in Table 3. The main inputs 341 of the simulation model are given in Table 4. 342

The model is created in the Simphony environment using the discrete event simulation (DES) technique. GA's parameters used in the model are 75, 70, 0.9 and 0.1 for the number of generations, population size, crossover rate, and mutation rate, respectively. Having run the model, the near-optimum plans, encompassing the near-optimum site layout plan as illustrated in Fig. 6 (b), and the near-optimum construction operation plan as presented in Table 5, are identified with the total cost of \$141,529.

To demonstrate the significance of integrating site layout planning with construction operation planning, the optimum plan is experimented with, using a single change to the construction operation plan: the number of forklifts is increased from 2 to 3. The result of the simulation model for this plan shows that the total cost is increased by 7%. This is because of the fact that adding one forklift to the resources did not significantly improve the production rate

(because the material storages are close enough to the structures), while it increased the cost of 354 deployed resources. Also, the changes in the construction plan variables can influence the 355 356 efficiency of the layout. For instance, the optimum plan for delivery of Material 2 was Day 2 considering the second largest size for the storage of Material 2 as the optimum size. Assuming 357 that delivery of Material 2 is decided as Day 4, the total cost is increased to \$188,943. This 358 359 assumption suggests a smaller material storage for Material 2 because less space may be required for storing materials. Having experimented this scenario using simulation, the total cost is reduced 360 to \$185,191, which is mainly because of the less costs for mobilization, maintenance and 361 demobilization of the storage. This experiment verified that for such material delivery plan, the 362 previous layout is no longer an optimum layout, and the smaller storage for Material 2 is more 363 efficient. Consequently, ignoring the mutual impacts of site layout variables and construction 364 operation variables may entail a suboptimum plan. It is noteworthy that the simulation model can 365 provide the planner with more information, such as the project cost distribution (i.e., construction 366 367 operation costs, extra storage costs, etc.), resource utilization, and the fullness of the storages, which are beyond of the scope of this paper. 368

#### 369 Limitations of the framework

The presented framework was developed under the assumptions for facility size, orientation and location explained in the methodology section. In addition, the constraints considered in the framework were limited to the hard constraints for positioning facilities. The qualitative factors such as subjective closeness constraints between facilities that may exist in some layout planning problems were not accounted for in the framework. This is because of the fact that the subjective factors cannot be evaluated by the fitness function (i.e., total project cost), quantitatively defined in the framework.

#### 377 Conclusion

In this study, a framework was developed to identify more cost-efficient site layouts and 378 379 construction plans for projects, in a unified model. To this end, GA is employed as an optimization tool for generating feasible candidate solutions and heuristically searching for the near optimum 380 variables, and is integrated with simulation, a suitable tool for modeling the construction processes 381 and examining the cost-efficiency of candidate solutions. In GA, facility location constraints such 382 as safety and environmental hazards, accessibility and planner's preferences are considered in the 383 384 framework by modeling hard constraints. Simulation is used to properly quantify the impact of 385 facility size and location on the project cost considering inherent uncertainties, resource interactions, and dynamics of the construction projects, which makes this framework superior to 386 387 the existing methods. In addition, this study could comprehensively address the identified 388 drawbacks of the most existing methods. Having implemented the framework in a case study 389 successfully, its applicability in construction projects was substantiated. The main contributions 390 of this study are summarized as follows:

The mutual impacts of site layout and construction plans are thoroughly modeled in a
 unified simulation model, and their variables are simultaneously optimized in GA. This
 prevents suboptimum plans that result from attempting to optimize site layout and
 construction plans separately.

Utilizing simulation to examine the goodness of the candidate solutions yields more
 realistic plans, since simulation can mimic the real world scenarios of construction projects,
 and can estimate efficiency of the plans by quantifying the impacts of facility size and
 location on the project cost, as well as modeling construction uncertainties, resource

| 399 | interactions, | and,   | particularly, | the | inter-dependencies | between | the | site | layout | and |
|-----|---------------|--------|---------------|-----|--------------------|---------|-----|------|--------|-----|
| 400 | construction  | plan v | variables.    |     |                    |         |     |      |        |     |

In light of this study, developing dynamic SLP, in which the site layout variables may changeover different phases of the project, can be investigated in future research.

#### 403 Acknowledgement

The researchers would like to extend their appreciation to Waiward Steel for their vital cooperation during this study. This research is supported by the NSERC Industrial Research Chair in Construction Engineering and Management, IRCPJ 195558-10.

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455 Fig. 1. (a): Integration of GA and simulation, and (b): Composition of the chromosome in GA



458 Fig. 2. (a): Composition of the chromosome in GA, and (b) calculation of coordinates of facility

459 points







**Fig. 3.** Finding near-optimum solution through integration of GA and simulation



465 Fig. 5. (a): Material delivery planning, (b): Streel erection process, and (c): Dependency of
466 variables



**Fig. 6.** (a): Overview of the site layout, and (b): Optimum site layout

## Table 1. Site layout variables

| Easility              | S    | Site Layout | Variables   | Possible Facility Size          |  |
|-----------------------|------|-------------|-------------|---------------------------------|--|
| raciiity              | Size | Location    | Orientation | (Capacity) <sup>a</sup>         |  |
| Structure A           |      |             |             | 10m×12m                         |  |
| Structure B           |      |             |             | 10m×12m                         |  |
| Crane 1               |      |             |             | 8m×8m                           |  |
| Crane 2               |      |             |             | 8m×8m                           |  |
| Offloading Area       |      |             |             | 5m×10m (2 tons)                 |  |
| Office                |      | ×           |             | 20m×8m                          |  |
| Tool Room             |      | ×           | ×           | 10m×7m                          |  |
| Parking               |      | ×           |             | 20m×10m                         |  |
|                       | ×    | ×           |             | 30m×10m (50 tons),              |  |
| Storage of Material 1 |      |             |             | $22.5m \times 10m$ (40 tons) or |  |
|                       |      |             |             | 15m×10m (30 tons)               |  |
|                       |      |             |             | 30m×10m (50 tons),              |  |
| Storage of Material 2 | ×    | ×           |             | 22.5m× 10m (40 tons) or         |  |
|                       |      |             |             | 15m×10m (30 tons)               |  |
|                       |      |             |             | 30m×10m (50 tons),              |  |
| Storage of Material 3 | ×    | ×           |             | 22.5m× 10m (40 tons) or         |  |
| -                     |      |             |             | 15m×10m (30 tons)               |  |

470 <sup>a</sup> Capacity is defined for the facilities that maintain steel materials

# Table 2. Construction plan variables

| Construction plan variables              | Possible Values       |
|--|-----------------------|
| The number of forklifts                  | 1, 2 or 3             |
| The starting date of Material 1 delivery | Day 1, Day 2 or Day 3 |
| The starting date of Material 2 delivery | Day 2, Day 3 or Day 4 |
| The starting date of Material 3 delivery | Day 3, Day 4 or Day 5 |

| Constraint description               | Defined Constraints  |
|--------------------------------------|--|
| The Parking must be close to the     | Including Parking in the Parking Area for being close to the   |
| site entrance                        | entrance   |
|                                      | Excluding all facilities from the Road Area for safety and     |
| No facilities must block Road        | accessibility  |
|                                      | Maximum distance between centers of Office and Parking         |
| Office must be close to Parking      | less than 30 m as a closeness constraint                       |
|                                      | Maximum distance between center of cranes and farthest         |
| Cranes must have access to           | point of Offloading Area must be less than 20 m for            |
| Offloading Area                      | accessibility of the cranes to the materials for loading them  |
|                                      | Maximum distance between centers of Crane 1 and Structure      |
| Crane 1 must have access to the      | A must be less than 20 m for accessibility of the crane to the |
| Structure A                          | structure for erection of the material                         |
|                                      | Maximum distance between centers of Crane 2 and Structure      |
| Crane 2 must have access to the      | B must be less than 20 m accessibility of the crane to the     |
| Structure B                          | structure for erection of the material                         |
| All facilities except for Offloading | Minimum distance between the centers of the cranes and the     |
| Area and Structure A and B must      | closest point of all facilities except for Offloading Area and |
| be out of the Cranes' zone           | Structure must be greater than 20 m for safety                 |
| No facilities except for Cranes      | Minimum distance between the edges of the structures and       |
| must be located in the construction  | all facilities except for the cranes must be greater than 5 m  |
| zone around Structure A and B        | for safety   |

**Table 4.** Simulation inputs

| Input  | Value                                     |
|--|---|
| Forklift travel speed  | Triangular a (3000, 3500, 4000)<br>(m/hr) |
| Loading 1 ton of material from the storage by forklift                           | Uniform b (0.08, 0.12) hr                 |
| Offloading 1 ton of material in Offloading Area by forklift                      | Uniform (0.05, 0.1) hr                    |
| Loading 1 ton material from Offloading Area by the crane                         | Uniform (0.08, 0.15) hr                   |
| Erection of 1 ton of Material 1 by crane   | Triangular (0.3, 0.4, 0.45) hr            |
| Erection of 1 ton of Material 2 by crane   | Triangular (0.2, 0.3, 0.35) hr            |
| Erection of 1 ton of Material 3 by crane   | Triangular (0.15, 0.2, 0.25) hr           |
| Workers' travel speed  | Uniform (2000, 2500) (m/hr)               |
| Construction costs apart from forklift costs                                     | \$2100 /hr                                |
| Forklift costs   | \$130/hr                                  |
| Mobilization, maintenance and demobilization of the storage with size 30m×10m    | \$8000                                    |
| Mobilization, maintenance and demobilization of the storage with size 22.5m× 10m | \$6000                                    |
| Mobilization, maintenance and demobilization of the storage with size 15m×10m    | \$4000\$                                  |
| Transportation cost of materials to the off-site storage                         | \$500 per material delivery               |
| Off-site storage rent cost   | \$30 per ton of material per day          |

<sup>a</sup> Triangular (L, M, H) is the triangular probability distribution, where L, M and H are the lower

477 bound, mode and higher bound, respectively.

<sup>a</sup> Uniform (L, H) is the uniform probability distribution, where L and H are the lower and higher

479 bounds, respectively.

| Facility size/construction plan variables | Optimum Value                        |
|---|--------------------------------------|
| Size of Storage of Material 1             | $15 \text{ m} \times 10 \text{ m}$   |
| Size of Storage of Material 2             | $22.5 \text{ m} \times 10 \text{ m}$ |
| Size of Storage of Material 3             | $15 \text{ m} \times 10 \text{ m}$   |
| The number of forklifts                   | 2                                    |
| The starting date of Material 1 delivery  | Day 1                                |
| The starting date of Material 2 delivery  | Day 2                                |
| The starting date of Material 3 delivery  | Day 4                                |

**Table 5.** Optimum facility size and construction plan variables