

SYMBOLIC MODELS FOR NONLINEAR CONTROL SYSTEMS AFFECTED BY DISTURBANCES

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ABSTRACT. In the last few years there has been a growing interest in the use of symbolic models for the formal verification and control design of purely continuous or hybrid systems. Symbolic models are abstract descriptions of continuous systems where one symbol corresponds to an "aggregate" of continuous states. In this paper we face the problem of deriving symbolic models for nonlinear control systems affected by disturbances. The main contribution of this paper is in proposing symbolic models that can be effectively constructed and that approximate nonlinear control systems affected by disturbances in the sense of alternating approximate bisimulation.

1. INTRODUCTION

An emerging trend in the control systems and computer science communities is the use of symbolic models for the analysis and control design of purely continuous or hybrid systems [EFP06]. Symbolic models are abstract descriptions of continuous systems where each symbol corresponds to an "aggregate" of continuous states [Tab09]. The use of symbolic models provides a formal approach to solve control problems in which software and hardware interact with the physical world. Moreover, it provides the designer with a systematic method to address a wide spectrum of novel specifications that are difficult to enforce by means of conventional control design paradigms. Examples of such specifications include logic specifications expressed in linear temporal logic or automata on infinite strings.

The literature on symbolic models is very broad and includes results on timed automata [AD90], rectangular hybrid automata [HKPV98] and o-minimal hybrid systems [LPS00, BM05]. Early results for classes of control systems were based on dynamical consistency properties [CW98], natural invariants of the control system [KASL00], l -complete approximations [MRO02] and quantized inputs and states [FJL02, BMP02]. Recent results include work on piecewise-affine and multi-affine systems [HCS06, BH06], set-oriented discretization approach for discrete-time nonlinear optimal control problem [Jun04] and abstractions based on convexity of reachable sets for sufficiently small sampling time [Rei09]. Symbolic models for nonlinear control systems, time-delay systems and switched systems based on the notions of approximate bisimulation [GP07] and incremental stability [Ang02] have been studied in [PGT08, PT09], [PPDT10, PPDB10] and [GPT10].

In this paper we face the problem of deriving symbolic models for nonlinear control systems affected by disturbances. The presence of disturbances requires us to replace the notion of approximate bisimulation employed in [PGT08, GPT10, PPDT10] with the notion of alternating approximate bisimulation introduced in [PT09] and inspired by Alur and coworkers' alternating bisimulation [AHKV98]. As discussed in [PT09, Tab09] this notion is a key ingredient when constructing symbolic models of systems affected by disturbances because it guarantees that control strategies synthesized on the symbolic models can be readily transferred to the original model. The existence of alternating approximately bisimilar symbolic models for incrementally stable nonlinear control systems affected by disturbances has been proven in [PT09]. However, the results of [PT09] cannot be easily used for the construction of symbolic models because they rely on the computation of sets of reachable states which is a difficult task in general. In this work we propose alternative symbolic models to the ones proposed in [PT09] which are proven to be effectively computable. The key ingredient in our results is the derivation of finite approximations of the disturbance input functional space by resorting to spline analysis

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[Sch73]. Spline analysis has been also employed in [PPDT10, PPDB10] for deriving symbolic models of time-delay systems. As discussed in the paper, the approximation scheme proposed in [PPDT10, PPDB10] cannot be used in this framework because it would lead to symbolic models that cannot be effectively constructed. For this reason in this paper we elaborate alternative spline-based approximation schemes for the disturbance input functional space which instead guarantee the effective computation of the proposed symbolic models. The main contribution of this paper lies in showing that:

If the control system is incrementally stable and the disturbance input signals are bounded and Lipschitz continuous then symbolic models can be effectively constructed which are shown to be alternating approximately bisimilar to the original control systems with any desired accuracy.

A preliminary version of this work appeared in the conference publication [BPD11]. This paper is organized as follows. Preliminary definitions are recalled in Section 2. In Section 3 we propose a spline-based approximation scheme for the disturbance input functional space. In Section 4 we show how to construct symbolic models that approximate nonlinear control systems affected by disturbances in the sense of alternating approximate bisimulation. Section 5 shows an illustrative example. Finally Section 6 offers some concluding remarks.

2. PRELIMINARY DEFINITIONS

2.1. Notation. A singleton is a set containing exactly one element. The identity map on a set A is denoted by 1_A . Given two sets A and B , if A is a subset of B we denote by $1_A : A \hookrightarrow B$ or simply by ι the natural inclusion map taking any $a \in A$ to $\iota(a) = a \in B$. Given a function $f : A \rightarrow B$ the symbol $f(A)$ denotes the image of A through f , i.e. $f(A) := \{b \in B : \exists a \in A \text{ s.t. } b = f(a)\}$; if $C \subset A$ we denote by $f|_C$ the restriction of f to C , i.e. $f|_C(x) := f(x)$ for any $x \in C$. Given a relation $\mathcal{R} \subseteq A \times B$, the symbol \mathcal{R}^{-1} denotes the inverse relation of \mathcal{R} , i.e. $\mathcal{R}^{-1} := \{(b, a) \in B \times A : (a, b) \in \mathcal{R}\}$; we set $\mathcal{R}(A) = \{b \in B | \exists a \in A \text{ s.t. } (a, b) \in \mathcal{R}\}$ and $\mathcal{R}^{-1}(B) = \{a \in A | \exists b \in B \text{ s.t. } (a, b) \in \mathcal{R}\}$. The symbols \mathbb{N} , \mathbb{Z} , \mathbb{R} , \mathbb{R}^+ and \mathbb{R}_0^+ denote the set of natural, integer, real, positive real, and nonnegative real numbers, respectively. Given a vector $x \in \mathbb{R}^n$, we denote by $\|x\|$ the infinity norm of x . Given a measurable function $f : \mathbb{R}_0^+ \rightarrow \mathbb{R}^n$, the (essential) supremum of f is denoted by $\|f\|_\infty$. Given $\mu \in \mathbb{R}^+$ and $A \subseteq \mathbb{R}^n$, we denote by μA the set $\{b \in \mathbb{R}^n | \exists a \in A \text{ s.t. } b = \mu a\}$. A continuous function $\gamma : \mathbb{R}_0^+ \rightarrow \mathbb{R}_0^+$ is said to belong to class \mathcal{K} if it is strictly increasing and $\gamma(0) = 0$; function γ is said to belong to class \mathcal{K}_∞ if $\gamma \in \mathcal{K}$ and $\gamma(r) \rightarrow \infty$ as $r \rightarrow \infty$. A continuous function $\beta : \mathbb{R}_0^+ \times \mathbb{R}_0^+ \rightarrow \mathbb{R}_0^+$ is said to belong to class \mathcal{KL} if, for each fixed s , the map $\beta(r, s)$ belongs to class \mathcal{K}_∞ with respect to r and, for each fixed r , the map $\beta(r, s)$ is decreasing with respect to s and $\beta(r, s) \rightarrow 0$ as $s \rightarrow \infty$. The symbol $C^0([0, \tau]; Y)$ denotes the set of continuous functions from a closed interval of the form $[0, \tau]$ with $\tau \in \mathbb{R}^+$ to a set $Y \subseteq \mathbb{R}^m$. Consider a bounded set $A \subseteq \mathbb{R}^n$ with interior. Let $H = [a_1, b_1] \times [a_2, b_2] \times \cdots \times [a_n, b_n]$ be the smallest hyperrectangle containing A and set $\hat{\mu}_A = \min_{i=1,2,\dots,n} (b_i - a_i)$. It is readily seen that for any $\mu \leq \hat{\mu}_A$ and any $a \in A$ there always exists $b \in (2\mu\mathbb{Z}^n) \cap A$ such that $\|a - b\| \leq \mu$.

2.2. Control systems and incremental stability. In this paper we consider the following nonlinear control system:

$$(2.1) \quad \dot{x} = f(x, u, d),$$

where $x \in X \subseteq \mathbb{R}^n$ is the state, $u \in U \subseteq \mathbb{R}^m$ and $d \in D \subseteq \mathbb{R}^l$ are the control and disturbance inputs. We suppose that $f(0, 0, 0) = 0$, the set X is convex with the origin as an interior point and the sets U and D are compact, convex, with the origin as an interior point. Control input functions are supposed to belong to the set \mathcal{U} of piecewise-constant functions of time from intervals of the form $]a, b[\subseteq \mathbb{R}$ to U . Disturbance input functions are supposed to belong to the set \mathcal{D} of continuous functions of time of the form $d :]a, b[\subseteq \mathbb{R} \rightarrow D$ satisfying the following Lipschitz assumption: there exists $\kappa_d \in \mathbb{R}^+$ such that:

$$(2.2) \quad \|d(t_2) - d(t_1)\| \leq \kappa_d |t_2 - t_1|,$$

for any $d \in \mathcal{D}$ and $t_1, t_2 \in]a, b[$. Function $f : \mathbb{R}^n \times U \times D \rightarrow \mathbb{R}^n$ is continuous and enjoys the following Lipschitz assumption: for every compact set $K \subset \mathbb{R}^n$, there exists a constant $k \in \mathbb{R}^+$ such that

$$\|f(x, u, d) - f(y, u, d)\| \leq k\|x - y\|,$$

for all $x, y \in K$, $u \in U$ and $d \in D$. In the sequel, we refer to the nonlinear control system in (2.1) by means of the tuple:

$$(2.3) \quad \Sigma = (X, \mathcal{U}, \mathcal{D}, f),$$

where each entity has been defined above. Since control inputs are piecewise-constant, system Σ is often referred to in the literature as a *nonlinear sample-data control system*, see e.g. [NT01].

A curve $\xi :]a, b[\rightarrow \mathbb{R}^n$ is said to be a *trajectory* of Σ if there exist $u \in \mathcal{U}$ and $d \in \mathcal{D}$ satisfying

$$\dot{\xi}(t) = f(\xi(t), u(t), d(t)),$$

for almost all $t \in]a, b[$. Although we have defined trajectories over open domains, we shall refer to trajectories $\xi : [0, \tau] \rightarrow \mathbb{R}^n$ defined on closed domains $[0, \tau]$, $\tau \in \mathbb{R}^+$ with the understanding of the existence of a trajectory $\xi' :]a, b[\rightarrow \mathbb{R}^n$ such that $\xi = \xi'|_{[0, \tau]}$. We also write $\xi_{xud}(t)$ to denote the point reached at time t under the control input u and disturbance input d from initial condition x ; this point is uniquely determined, since the assumptions on f ensure existence and uniqueness of trajectories [Son98]. A control system Σ is said to be forward complete if every trajectory is defined on an interval of the form $]a, \infty[$. Sufficient and necessary conditions for a system to be forward complete can be found in [AS99]. In the sequel, we will make use of the following stability notion.

Definition 2.1. [Ang02] A control system Σ is incrementally input-to-state stable (δ -ISS) if it is forward complete and there exist a \mathcal{KL} function β and two \mathcal{K}_∞ functions γ_u and γ_d such that for any $t \in \mathbb{R}_0^+$, any $x_1, x_2 \in \mathbb{R}^n$, any $u_1, u_2 \in \mathcal{U}$ and any $d_1, d_2 \in \mathcal{D}$, the following inequality is satisfied:

$$\|\xi_{x_1 u_1 d_1}(t) - \xi_{x_2 u_2 d_2}(t)\| \leq \beta(\|x_1 - x_2\|, t) + \gamma_u(\|u_1 - u_2\|_\infty) + \gamma_d(\|d_1 - d_2\|_\infty).$$

The above incremental stability notion can be characterized in terms of dissipation inequalities, as follows.

Definition 2.2. [Ang02] A smooth function $V : \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}$ is called a δ -ISS Lyapunov function for a control system $\Sigma = (X, \mathcal{U}, \mathcal{D}, f)$ if there exist $\lambda \in \mathbb{R}^+$ and \mathcal{K}_∞ functions $\underline{\alpha}$, $\bar{\alpha}$, σ_u and σ_d such that for any $x_1, x_2 \in X$, any $u_1, u_2 \in U$ and any $d_1, d_2 \in D$ the following conditions hold true:

- (i) $\underline{\alpha}(\|x_1 - x_2\|) \leq V(x_1, x_2) \leq \bar{\alpha}(\|x_1 - x_2\|)$,
- (ii) $\frac{\partial V}{\partial x_1} f(x_1, u_1, d_1) + \frac{\partial V}{\partial x_2} f(x_2, u_2, d_2) \leq -\lambda V(x_1, x_2) + \sigma_u(\|u_1 - u_2\|) + \sigma_d(\|d_1 - d_2\|)$.

The following result adapted from [Ang02] completely characterizes δ -ISS in terms of existence of δ -ISS Lyapunov functions.

Theorem 2.3. *The control system Σ in (2.3) is δ -ISS if and only if it admits a δ -ISS Lyapunov function.*

2.3. Transition systems and approximate equivalence notions. We will use alternating transition systems [AHKV98] to describe both control systems as well as their symbolic models.

Definition 2.4. An (alternating) transition system T is a quintuple:

$$T = (Q, L, \longrightarrow, O, H),$$

consisting of:

- a set of states Q ;
- a set of labels $L = A \times B$, where:
 - A is the set of control labels,
 - B is the set of disturbance labels;
- a transition relation $\longrightarrow \subseteq Q \times L \times Q$;
- a set of outputs O ;

- an output function $H : Q \rightarrow O$.

A transition $(q, (a, b), q') \in \longrightarrow$ is denoted by $q \xrightarrow{(a,b)} q'$. A state run of T is a sequence of transitions:

$$(2.4) \quad q_1 \xrightarrow{(a_1, b_1)} q_2 \xrightarrow{(a_2, b_2)} \dots \xrightarrow{(a_{N-1}, b_{N-1})} q_N.$$

An output run is a sequence $\{o_i\}_{i \in \mathbb{N}}$ of outputs such that there exists a state run of the form (2.4) with $o_i = H(q_i)$, $i = 1, 2, \dots, N$. Transition system T is said to be:

- *countable*, if Q and L are countable sets;
- *symbolic*, if Q and L are finite sets;
- *metric*, if the output set O is equipped with a metric $\mathbf{d} : O \times O \rightarrow \mathbb{R}_0^+$.

In the sequel we consider bisimulation relations [Mil89, Par81] to relate properties of control systems and symbolic models. Intuitively, a bisimulation relation between a pair of transition systems T_1 and T_2 is a relation between the corresponding state sets explaining how a state run r_1 of T_1 can be transformed into a state run r_2 of T_2 , and vice versa. While typical bisimulation relations require that r_1 and r_2 have the same output run, i.e. $H_1(r_1) = H_2(r_2)$, the notion of approximate bisimulation relation, introduced in [GP07], relaxes this condition and require that $H_1(r_1)$ is simply close to $H_2(r_2)$, where closeness is measured with respect to a metric on the set of outputs. In this work we consider a generalization of approximate bisimulation, called alternating approximate bisimulation, that has been introduced in [PT09] to relate properties of control systems affected by disturbances and their symbolic models.

Definition 2.5. Consider a pair of metric transition systems $T_1 = (Q_1, A_1 \times B_1, \xrightarrow{1}, O_1, H_1)$ and $T_2 = (Q_2, A_2 \times B_2, \xrightarrow{2}, O_2, H_2)$ with the same set of outputs $O_1 = O_2$ and metric \mathbf{d} and consider a precision $\varepsilon \in \mathbb{R}_0^+$. A relation

$$\mathcal{R} \subseteq Q_1 \times Q_2$$

is said to be an alternating ε -approximate ($A\varepsilon A$) bisimulation relation between T_1 and T_2 if for all $(q_1, q_2) \in \mathcal{R}$ the following conditions are satisfied:

- (i) $\mathbf{d}(H_1(q_1), H_2(q_2)) \leq \varepsilon$;
- (ii) $\forall a_1 \in A_1 \exists a_2 \in A_2 \forall b_2 \in B_2 \exists b_1 \in B_1$ such that $q_1 \xrightarrow[1]{(a_1, b_1)} q'_1, q_2 \xrightarrow[2]{(a_2, b_2)} q'_2$ and $(q'_1, q'_2) \in \mathcal{R}$;
- (iii) $\forall a_2 \in A_2 \exists a_1 \in A_1 \forall b_1 \in B_1 \exists b_2 \in B_2$ such that $q_1 \xrightarrow[1]{(a_1, b_1)} q'_1, q_2 \xrightarrow[2]{(a_2, b_2)} q'_2$ and $(q'_1, q'_2) \in \mathcal{R}$.

Transition systems T_1 and T_2 are alternating ε -approximately ($A\varepsilon A$) bisimilar if there exists an $A\varepsilon A$ bisimulation relation such that $\mathcal{R}(Q_1) = Q_2$ and $\mathcal{R}^{-1}(Q_2) = Q_1$.

As discussed in [PT09], the notion of alternating approximate bisimulation guarantees that control strategies synthesized on symbolic models, based on alternating approximate bisimulations, can be readily transferred to the original model, independently of the particular realization of the disturbance inputs. When sets B_1 and B_2 are singletons, the above notion boils down to approximate bisimulation [GP07]. When $\varepsilon = 0$, the above notion can be viewed as the two-player version of alternating bisimulation [AHKV98].

3. SPLINE APPROXIMATION OF THE DISTURBANCE SPACE

One of the key ingredients in the results presented in this paper is the approximation of the disturbance input functional space through spline analysis [Sch73]. In this section we describe this approximation scheme. Given a time parameter $\tau \in \mathbb{R}^+$, define

$$\mathcal{D}_\tau := \{d \in \mathcal{D} \mid \text{the domain of } d \text{ is } [0, \tau]\},$$

and set

$$(3.1) \quad M = \sup_{d \in \mathcal{D}_\tau} \|d\|_\infty.$$

In the sequel we propose an approximation of the functional space \mathcal{D}_τ in the sense of the following definition.

Definition 3.1. A map

$$\mathcal{A} : \mathbb{R}^+ \rightarrow 2^{C^0([0, \tau]; D)}$$

is a finite inner approximation of \mathcal{D}_τ if for any desired precision $\theta \in \mathbb{R}^+$ the following properties hold:

- (i) $\mathcal{A}(\theta)$ is a finite set;
- (ii) $\mathcal{A}(\theta) \subseteq \mathcal{D}_\tau$;
- (iii) for any $d \in \mathcal{D}_\tau$ there exists $z \in \mathcal{A}(\theta)$ such that $\|y - z\|_\infty \leq \theta$.

We start by recalling from [Sch73] the notion of spline. Given $N \in \mathbb{N}$ consider the following functions:

$$\begin{aligned} s_0(t) &= \begin{cases} 1 - t/h, & t \in [0, h], \\ 0, & \text{otherwise,} \end{cases} \\ s_i(t) &= \begin{cases} 1 - i + t/h, & t \in [(i-1)h, ih], \\ 1 + i - t/h, & t \in [ih, (i+1)h], \\ 0, & \text{otherwise,} \end{cases} \quad i = 1, 2, \dots, N, \\ s_{N+1}(t) &= \begin{cases} 1 + (t - \tau)/h, & t \in [\tau - h, \tau], \\ 0, & \text{otherwise,} \end{cases} \end{aligned}$$

where $h = \tau/(N+1)$. Functions s_i called *splines* are used to approximate \mathcal{D}_τ . More precisely, the approximation scheme that we propose is based on the following three steps:

- We first scale function $d \in \mathcal{D}_\tau$ (Figure 1; first panel) to get the function $d_1 = \rho d$ with:

$$\rho = 1 - \max \left\{ \frac{\mu}{M}, \frac{2\mu(N+1)}{\kappa_d \tau} \right\},$$

where M is as in (3.1), κ_d is as in (2.2) and $\mu \in \mathbb{R}^+$ is a suitable quantization parameter whose role will be clear in the sequel.

- We then approximate function $d_1 \in \mathcal{D}_\tau$ (Figure 1; second panel) by means of the piecewise-linear function d_2 (Figure 1; third panel) obtained by the linear combination of the $N+2$ splines s_i centered at times $t = ih$ with amplitudes¹ $d_1(ih)$.
- We finally approximate function d_2 by means of function d_3 (Figure 1; fourth panel) obtained by the linear combination of the $N+2$ splines s_i centered at times $t = ih$ with amplitudes d_3^i chosen in the lattice $[D]_{2\mu} = (2\mu\mathbb{Z}^l) \cap D$ and minimizing the distance from² $d_2(ih)$, i.e.

$$d_3^i = \arg \min_{d \in [D]_{2\mu}} \|d - d_2(ih)\|.$$

Given $N \in \mathbb{N}$ and $\mu \in \mathbb{R}^+$ define the following functions:

$$(3.2) \quad \rho_{\kappa_d, \tau, M}(N, \mu) = 1 - \max \left\{ \frac{\mu}{M}, \frac{2\mu}{\kappa_d h} \right\},$$

$$(3.3) \quad \Theta_{\kappa_d, \tau, M}(N, \mu) = (1 - \rho_{\kappa_d, \tau, M}(N, \mu))M + (1 + \rho_{\kappa_d, \tau, M}(N, \mu))\kappa_d h + \mu,$$

where we recall $h = \tau/(N+1)$. Function Θ will be shown to be an upper bound of the error associated to the approximation scheme that we propose for \mathcal{D}_τ . The following technical result will be useful in the sequel.

¹This second step allows us to approximate the *infinite*-dimensional space \mathcal{D}_τ by means of the *finite*-dimensional space D^{N+2} .

²This third step allows us to approximate the *finite*-dimensional space D^{N+2} by means of the *finite* set $([D]_{2\mu})^{N+2}$.

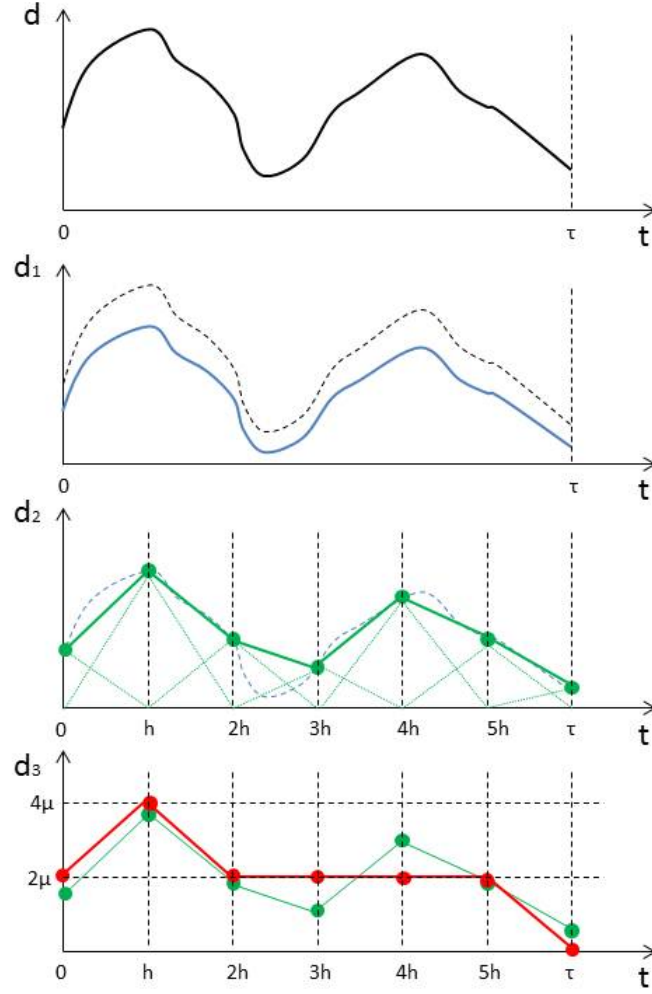


FIGURE 1. Spline-based inner approximation scheme of the disturbance input functional space.

Lemma 3.2. *For any $\theta \in \mathbb{R}^+$ there exist $N \in \mathbb{N}$ and $\mu \in \mathbb{R}^+$ such that*

$$(3.4) \quad \Theta_{\kappa_d, \tau, M}(N, \mu) \leq \theta, \quad \rho_{\kappa_d, \tau, M}(N, \mu) > 0.$$

Proof. Choose $\mu = \frac{1}{(N+1)^2}$, $N \in \mathbb{N}$. Function $\rho_{\kappa_d, \tau, M}(N, \mu)$ in Eq. (3.2) rewrites as

$$\rho_{\kappa_d, \tau, M} \left(N, \frac{1}{(N+1)^2} \right) = 1 - \max \left\{ \frac{1}{M(N+1)^2}, \frac{2}{\kappa_d \tau (N+1)} \right\}.$$

The right-hand side of the previous equality is increasing with N , and it converges to 1 as N goes to infinity; then it is clear that for a sufficiently large N one gets $\rho_{\kappa_d, \tau, M} \left(N, \frac{1}{(N+1)^2} \right) > 0$. Furthermore, one can write the following upper-bound for the function $\Theta_{\kappa_d, \tau, M}(N, \mu)$ in (3.3):

$$\begin{aligned}
\Theta_{\kappa_d, \tau, M} \left(N, \frac{1}{(N+1)^2} \right) &= \max \left\{ \frac{1}{(N+1)^2}, \frac{2M}{\kappa_d \tau (N+1)} \right\} \\
&\quad + \left(2 - \max \left\{ \frac{1}{M(N+1)^2}, \frac{2}{\kappa_d \tau (N+1)} \right\} \right) \frac{\kappa_d \tau}{N+1} + \frac{1}{(N+1)^2} \\
&\leq \frac{1}{N+1} \left(\max \left\{ \frac{1}{N+1}, \frac{2M}{\kappa_d \tau} \right\} + 2\kappa_d \tau + \frac{1}{N+1} \right).
\end{aligned}$$

The right-hand side of the previous inequality is decreasing with N , and goes to zero as N goes to infinity. Hence, the result follows. \square

We are now ready to formally introduce the approximation scheme of the disturbance input functional space.

Definition 3.3. Consider the map

$$\mathcal{A}_{\mathcal{D}_\tau} : \mathbb{R}^+ \rightarrow 2^{C^0([0, \tau]; D)}$$

that associates to any precision $\theta \in \mathbb{R}^+$ the set $\mathcal{A}_{\mathcal{D}_\tau}(\theta)$ consisting of the collection of all functions:

$$(3.5) \quad z(t) := \sum_{i=0}^{N_\theta+1} z_i s_i(t), \quad t \in [0, \tau],$$

satisfying the following conditions:

- (i) $z_i \in (2\mu_{\hat{\theta}} \mathbb{Z}^l) \cap D$ for any $i = 0, 1, \dots, N_\theta + 1$,
- (ii) $\|z_{i+1} - z_i\| \leq \kappa_d \tau / (N_\theta + 1)$ for any $i = 0, 1, \dots, N_\theta$,

with $\hat{\theta} = \min\{\theta, \hat{\mu}_D\}$ where $\hat{\mu}_D$ is defined in Section 2.1.

Remark 3.4. Since the set D is compact, the set $(2\mu_{\hat{\theta}} \mathbb{Z}^l) \cap D$ is finite. Therefore, the set $\mathcal{A}_{\mathcal{D}_\tau}(\theta)$ is composed of a finite number of functions that can be effectively computed.

The following technical result will be used in the sequel.

Lemma 3.5. For any $\theta \in \mathbb{R}^+$, $\mathcal{A}_{\mathcal{D}_\tau}(\theta) \subseteq \mathcal{D}_\tau$.

Proof. In order to show that any function z in (3.5) is in \mathcal{D}_τ , we need to show that z enjoys the Lipschitz condition (2.2) and $\|z\|_\infty \leq M$. Since z is continuous and defined over the interval $[0, \tau]$, by the triangle inequality it suffices to show that (2.2) holds for any $t_1, t_2 \in [ih, (i+1)h]$, $i = 0, \dots, N_\theta$. By Eq. (3.5) and the definition of spline, the function z is piecewise-linear and is linear in the interval $[ih, (i+1)h]$, with $z(ih) = z_i$. Hence one can write for any $t_1, t_2 \in [ih, (i+1)h]$:

$$(3.6) \quad \frac{\|z(t_2) - z(t_1)\|}{\|t_2 - t_1\|} = \frac{\|z((i+1)h) - z(ih)\|}{h} = \frac{\|z_{i+1} - z_i\|}{h} \leq \kappa_d,$$

where the last step holds by condition (ii) in Definition 3.3, concluding the proof of the Lipschitz condition. We next show that the boundedness condition holds as well. Since z is piecewise-linear, $\|z\|_\infty = \max_{i=0, \dots, N_\theta+1} \|z_i\|$, hence we just need to show that $\|z_i\| \leq M$ for all i . From condition (i) in Definition 3.3, $z_i \in D$, implying from (3.1) that $\|z_i\| \leq M$, which concludes the proof. \square

We are now ready to present the main result of this section.

Theorem 3.6. Map $\mathcal{A}_{\mathcal{D}_\tau}$ in Definition 3.3 is a finite inner approximation of \mathcal{D}_τ .

Proof. Consider any precision $\theta \in \mathbb{R}^+$. For notational simplicity we set $\rho_{\kappa_d, \tau, M}(N_\theta, \mu_{\hat{\theta}}) = \rho$. As discussed in Remark 3.4, the set $\mathcal{A}_{\mathcal{D}_\tau}(\theta)$ is finite. Hence, condition (i) in Definition 3.1 is satisfied. Condition (ii) in Definition 3.1 is implied by Lemma 3.5. We now show that also condition (iii) in Definition 3.1 is satisfied. For any function $d \in \mathcal{D}_\tau$ consider a function z as in (3.5) where for any $i = 0, 1, \dots, N_\theta$ vectors z_i are chosen in the set $2\mu_{\hat{\theta}}\mathbb{Z}^l$ such that:

$$(3.7) \quad \|z_i - \rho d(ih)\| \leq \mu_{\hat{\theta}}.$$

We first prove that vectors z_i are in the set D , showing that $\|z_i\|_\infty \leq M$ for all i . From Eq. (3.7), by using the triangle inequality and the definition of ρ in (3.2), one can write:

$$\begin{aligned} \|z_i\|_\infty &= \|z_i - \rho d(ih) + \rho d(ih)\|_\infty \\ &\leq \|z_i - \rho d(ih)\|_\infty + \|\rho d(ih)\|_\infty \\ &\leq \mu_{\hat{\theta}} + \|\rho d(ih)\|_\infty \\ &\leq \mu_{\hat{\theta}} + \rho M \\ &\leq \mu_{\hat{\theta}} + (1 - \frac{\mu_{\hat{\theta}}}{M}) M \\ &= \mu_{\hat{\theta}} + M - \mu_{\hat{\theta}} = M, \end{aligned}$$

which concludes the proof of the existence of such values $z_i \in (2\mu_{\hat{\theta}}\mathbb{Z}^l) \cap D$, as in condition (i) of Definition 3.3. We now show that also condition (ii) is satisfied. From (3.7), the following chain of inequalities holds:

$$\begin{aligned} \|z_{i+1} - z_i\| &\leq \|z_{i+1} - \rho d((i+1)h)\| + \|\rho d((i+1)h) - \rho d(ih)\| + \|\rho d(ih) - z_i\| \\ &\leq \rho \|d((i+1)h) - d(ih)\| + 2\mu_{\hat{\theta}} \\ &\leq \rho \kappa_d h + 2\mu_{\hat{\theta}} \leq (1 - \frac{2\mu_{\hat{\theta}}}{\kappa_d h}) \kappa_d h + 2\mu_{\hat{\theta}} = \kappa_d h, \end{aligned}$$

where $h = \tau/(N_\theta + 1)$ and the last inequality holds by the definition of function ρ in (3.2). Hence, condition (ii) in Definition 3.3 is satisfied and $z \in \mathcal{A}_{\mathcal{D}_\tau}(\theta)$. In order to conclude the proof of condition (iii) in Definition 3.1 we need to show that $\|d - z\|_\infty \leq \theta$. By the assumptions on the disturbance space, the following chain of inequalities holds:

$$\begin{aligned} \|d - z\|_\infty &= \max_{i=0,1,\dots,N_\theta, t \in [0,h]} \|d(ih+t) - z(ih+t)\| \\ &\leq \max_{i=0,1,\dots,N_\theta, t \in [0,h]} (\|d(ih+t) - \rho d(ih+t)\| + \|\rho d(ih+t) - \rho d(ih)\| \\ &\quad + \|\rho d(ih) - z(ih)\| + \|z(ih) - z(ih+t)\|) \\ &\leq (1 - \rho)M + (1 + \rho)\kappa_d h + \mu_{\hat{\theta}} \\ &= \Theta_{\kappa_d, \tau, M}(N_\theta, \mu_{\hat{\theta}}) \leq \hat{\theta} \leq \theta, \end{aligned}$$

where the last step holds by Eq. (3.3) and by definition of N_θ and μ_θ . From the above chain of inequalities, condition (iii) in Definition 3.1 is satisfied, which concludes the proof. \square

Remark 3.7. Spline approximation of functional spaces has been also employed in [PPDT10, PPDB10] for deriving symbolic models of nonlinear time-delay systems. The approximation scheme here proposed is different from the one proposed in [PPDT10, PPDB10] as it can be readily seen by comparing Definition 3.1 and Definition 6 in [PPDT10] (also employed in [PPDB10]). In particular the notion of approximation here considered is stronger than the one used in [PPDT10, PPDB10], as it can be easily checked by comparing conditions (ii) in the two definitions. As discussed in the sequel, this notion allows us to provide symbolic models for nonlinear control systems affected by disturbances which can be *effectively constructed* whereas the notion employed in [PPDT10, PPDB10] does not.

4. ALTERNATING APPROXIMATELY BISIMILAR SYMBOLIC MODELS

In this section, we propose symbolic models that approximate nonlinear control systems with disturbances in the sense of alternating approximate bisimulation.

Given the control system $\Sigma = (X, \mathcal{U}, \mathcal{D}, f)$ in (2.3) and a sampling time parameter $\tau \in \mathbb{R}^+$, consider the following transition system:

$$T_\tau(\Sigma) := (X, \mathcal{U}_\tau \times \mathcal{D}_\tau, \xrightarrow[\tau], O, H),$$

where:

- $\mathcal{U}_\tau = \{u \in \mathcal{U} \mid \text{the domain of } u \text{ is } [0, \tau] \text{ and } u(t) = u(0), t \in [0, \tau]\}$;
- $x \xrightarrow[\tau]{(u,d)} x'$ if there exists a trajectory $\xi : [0, \tau] \rightarrow X$ of Σ satisfying $\xi_{xud}(\tau) = x'$;
- $O = X$;
- $H = 1_X$.

Transition system $T_\tau(\Sigma)$ is metric when we regard $O = X$ as being equipped with the metric $\mathbf{d}(p, q) = \|p - q\|$. Transition system $T_\tau(\Sigma)$ can be thought of as the time discretization of the control system Σ . For notational simplicity, in the following we denote by u any constant control input \tilde{u} s.t. $\tilde{u}(t) = u$ for all $t \in [0, \tau]$. Consider a vector of quantization parameters

$$(4.1) \quad \mathbb{P} = (\tau, \mu_x, \mu_u, \mu_d, N),$$

and define the following transition system:

$$(4.2) \quad T_\mathbb{P}(\Sigma) := (Q_\mathbb{P}, L_\mathbb{P}, \xrightarrow[\mathbb{P}], O_\mathbb{P}, H_\mathbb{P}),$$

where:

- $Q_\mathbb{P} = (2\mu_x \mathbb{Z}^n) \cap X$;
- $L_\mathbb{P} = A_\mathbb{P} \times B_\mathbb{P}$ where:
 - $A_\mathbb{P} = (2\mu_u \mathbb{Z}^m) \cap U$;
 - $B_\mathbb{P} = \mathcal{A}_{\mathcal{D}_\tau}(\Theta_{\kappa_d, \tau, M}(N, \mu_d))$ where $\mathcal{A}_{\mathcal{D}_\tau}$ is a finite inner approximation of \mathcal{D}_τ , as in Definition 3.3, and function Θ is defined as in (3.3);
- $x \xrightarrow[\mathbb{P}]{(u,d)} y$ if $\|\xi_{xud}(\tau) - y\| \leq \mu_x$;
- $O_\mathbb{P} = X$;
- $H_\mathbb{P} = \iota : Q_\mathbb{P} \hookrightarrow O_\mathbb{P}$.

Remark 4.1. It is readily seen that the transition system $T_\mathbb{P}(\Sigma)$ is countable and it becomes symbolic when the set of states X is bounded. As stressed in Remark 3.4, the set of control and disturbance inputs $L_\mathbb{P}$ can be effectively computed from which the transition system $T_\mathbb{P}(\Sigma)$ can be effectively computed.

We now have all the ingredients to present the main result of this paper.

Theorem 4.2. *Consider the control system $\Sigma = (X, \mathcal{U}, \mathcal{D}, f)$ in (2.3) and suppose that:*

- (A1) *There exists a δ -ISS Lyapunov function satisfying the inequality (ii) in Definition 2.2 for some $\lambda \in \mathbb{R}^+$.*
- (A2) *There exists a \mathcal{K}_∞ function γ such that³:*

$$V(x, x') - V(x, x'') \leq \gamma(\|x' - x''\|),$$

for every $x, x', x'' \in X$.

³Note that since V is smooth, if the state space X is bounded, which is the case as in many real applications, one can always choose $\gamma(\|w - z\|) = \left(\sup_{x, y \in X} \left\| \frac{\partial V}{\partial y}(x, y) \right\| \right) \|w - z\|$.

Then, for any desired precision $\varepsilon \in \mathbb{R}^+$, any sampling time $\tau \in \mathbb{R}^+$, and any choice of quantization parameters in \mathbb{P} satisfying the following inequalities⁴:

$$(4.3) \quad \frac{\max\{\sigma_u(\mu_u), \sigma_d(\theta_d)\}}{\lambda} + \frac{\gamma(\mu_x)}{1 - e^{-\lambda\tau}} \leq \underline{\alpha}(\varepsilon),$$

$$(4.4) \quad \mu_x \leq \hat{\mu}_X,$$

$$(4.5) \quad \mu_u \leq \hat{\mu}_U,$$

$$(4.6) \quad \mu_d \leq \hat{\mu}_D,$$

$$(4.7) \quad \Theta_{\kappa_d, \tau, M}(N, \mu_d) \leq \theta_d,$$

transition systems $T_\tau(\Sigma)$ and $T_{\mathbb{P}}(\Sigma)$ are alternating ε -approximately bisimilar.

Before giving the proof of the above result we stress that:

Proposition 4.3. *For any desired precision $\varepsilon \in \mathbb{R}^+$ and any sampling time $\tau \in \mathbb{R}^+$, there always exists a choice of the vector \mathbb{P} of quantization parameters such that the coupled inequalities in (4.3), (4.4), (4.5), (4.6) and (4.7) are satisfied.*

Proof. It is clear that a choice of sufficiently small parameters μ_x , μ_u and θ_d allows to satisfy the inequalities in (4.3)-(4.5), since σ_u , σ_d and γ are \mathcal{K}_∞ functions. Then, for any fixed θ_d resulting from the previous step, one can choose N and μ_d such that the inequality in (4.7) is fulfilled (as shown in the proof of Lemma 3.2), and finally μ_d can be chosen small enough so that the inequality in (4.6) holds. \square

We can now give the proof of Theorem 4.2.

Proof. Consider the relation $\mathcal{R} \subseteq X \times Q_{\mathbb{P}}$ defined by $(x, y) \in \mathcal{R}$ if and only if $V(x, y) \leq \underline{\alpha}(\varepsilon)$. Condition (i) in Definition 2.5 is satisfied by the definition of \mathcal{R} and condition (i) in Definition 2.2. Let us now show that condition (ii) in Definition 2.5 holds. Consider any $(x, y) \in \mathcal{R}$. By condition (4.5), for any $u_1 \in \mathcal{U}_\tau$ there exists $u_2 \in A_{\mathbb{P}} = (2\mu_u \mathbb{Z}^m) \cap U$ such that:

$$(4.8) \quad \|u_2 - u_1\|_\infty \leq \mu_u.$$

Moreover by Lemma 3.5 for any $d_2 \in \mathcal{A}_{\mathcal{D}_\tau}(\theta_d)$ we can pick $d_1 = d_2 \in \mathcal{D}_\tau$. Set $z = \xi_{yu_2 d_2}(\tau)$. By condition (4.4) there exists $v \in Q_{\mathbb{P}}$ such that:

$$(4.9) \quad \|z - v\| \leq \mu_x.$$

Hence, by definition of $T_{\mathbb{P}}(\Sigma)$, the transition $y \xrightarrow[\mathbb{P}]{u_2, d_2} v$ is in $T_{\mathbb{P}}(\Sigma)$. Consider now the transition $x \xrightarrow[\tau]{u_1, d_1} w$ in $T_\tau(\Sigma)$. By Assumption (A1), condition (ii) in Definition 2.2 and the inequality in (4.8), one gets:

$$\begin{aligned} \frac{\partial V}{\partial w} f(w, u_1, d_2) + \frac{\partial V}{\partial z} f(z, u_2, d_2) &\leq -\lambda V(w, z) + \sigma_u(\|u_1 - u_2\|) + \sigma_d(\|d_1 - d_2\|) \\ &\leq -\lambda V(w, z) + \sigma_u(\mu_u), \end{aligned}$$

which, by Assumption (A2), the definition of \mathcal{R} and the inequality in (4.9), implies:

$$\begin{aligned} V(w, v) &\leq V(w, z) + \gamma(\|z - v\|) \\ &\leq V(w, z) + \gamma(\mu_x) \\ &\leq e^{-\lambda\tau} V(x, y) + (1 - e^{-\lambda\tau}) \frac{\sigma_u(\mu_u)}{\lambda} + \gamma(\mu_x) \\ &\leq e^{-\lambda\tau} \underline{\alpha}(\varepsilon) + (1 - e^{-\lambda\tau}) \frac{\sigma_u(\mu_u)}{\lambda} + \gamma(\mu_x). \end{aligned}$$

Hence, by the inequality in (4.3), $V(w, v) \leq \underline{\alpha}(\varepsilon)$, from which $(w, v) \in \mathcal{R}$ and condition (ii) in Definition 2.5 is proven. We now show condition (iii) in Definition 2.5. Consider any $(x, y) \in \mathcal{R}$. For any $u_2 \in A_{\mathbb{P}} = (2\mu_u \mathbb{Z}^m) \cap U$

⁴Symbols $\hat{\mu}_X$, $\hat{\mu}_U$ and $\hat{\mu}_D$ are defined as in Section 2.1.

we can pick $u_1 = u_2 \in \mathcal{U}_\tau$. Consider any $d_1 \in \mathcal{D}_\tau$. By Theorem 3.6 and condition (4.6) there exists $d_2 \in \mathcal{A}_{\mathcal{D}_\tau}(\theta_d)$ such that:

$$(4.10) \quad \|d_2 - d_1\|_\infty \leq \Theta_{\kappa_d, \tau, M}(N, \mu_d) \leq \theta_d.$$

Set $z = \xi_{yu_2d_2}(\tau)$. By condition (4.4) there exists $v \in Q_\mathbb{P}$ such that the inequality in (4.9) holds true. Hence, by definition of $T_\mathbb{P}(\Sigma)$, the transition $y \xrightarrow[\mathbb{P}]{u_2, d_2} v$ is in $T_\mathbb{P}(\Sigma)$. Consider now the transition $x \xrightarrow[\tau]{u_1, d_1} w$ in $T_\tau(\Sigma)$. By Assumption (A1), condition (ii) in Definition 2.2 and the inequality in (4.10), one gets:

$$\begin{aligned} \frac{\partial V}{\partial w} f(w, u_1, d_2) + \frac{\partial V}{\partial z} f(z, u_2, d_2) &\leq -\lambda V(w, z) + \sigma_u(\|u_1 - u_2\|) + \sigma_d(\|d_1 - d_2\|) \\ &\leq -\lambda V(w, z) + \sigma_d(\theta_d), \end{aligned}$$

which, by Assumption (A2), the definition of \mathcal{R} and the inequality in (4.9), implies:

$$\begin{aligned} V(w, v) &\leq V(w, z) + \gamma(\|z - v\|) \\ &\leq V(w, z) + \gamma(\mu_x) \\ &\leq e^{-\lambda\tau} V(x, y) + (1 - e^{-\lambda\tau}) \frac{\sigma_d(\theta_d)}{\lambda} + \gamma(\mu_x) \\ &\leq e^{-\lambda\tau} \underline{\alpha}(\varepsilon) + (1 - e^{-\lambda\tau}) \frac{\sigma_d(\theta_d)}{\lambda} + \gamma(\mu_x). \end{aligned}$$

Hence, by the inequality in (4.3), $V(w, v) \leq \underline{\alpha}(\varepsilon)$, from which $(w, v) \in \mathcal{R}$ and condition (iii) in Definition 2.5 is proven. Finally by definition of \mathcal{R} it is easy to see that $\mathcal{R}(X) = Q_\mathbb{P}$ and $\mathcal{R}^{-1}(Q_\mathbb{P}) = X$. \square

5. CONTROL DESIGN OF A PENDULUM

In this section, we consider a slight variation of the classical pendulum model [Kha96] where the point mass is subject to a horizontal acceleration, modeling e.g. the wind. The resulting dynamics is described by:

$$\Sigma : \begin{cases} \dot{x}_1 = x_2, \\ \dot{x}_2 = -\frac{g}{l} \sin x_1 - \frac{k}{m} x_2 + \frac{1}{ml^2} u + d \cos x_1, \end{cases}$$

where x_1 and x_2 are the angular position and velocity of the point mass, u is the torque representing the control variable, d is the (unknown) horizontal acceleration, $g = 9.8$ is the gravity acceleration, $l = 0.5$ is the length of the rod, $m = 0.6$ is the mass of the bob, $k = 2$ is the coefficient of friction. All constants and variables in Σ are expressed in the International System. We assume $X = X_1 \times X_2$, $U = [\underline{u}, \bar{u}]$ and $D = [\underline{d}, \bar{d}]$, with $X_1 = [-\pi/4, \pi/4]$, $X_2 = [-0.5, 0.5]$, $\bar{u} = -\underline{u} = 1.5$, $\underline{d} = -0.01$ and $\bar{d} = 0.02$. We first construct a symbolic model for Σ . To this aim we apply Theorem 4.2. As a first step, we need to show that the control system Σ is δ -ISS. Consider the following candidate quadratic δ -ISS Lyapunov function:

$$V(x, y) = (x - y)' \begin{bmatrix} 1.5 & 0.3 \\ 0.3 & 1.5 \end{bmatrix} (x - y).$$

It is possible to show that V satisfies condition (i) of Definition 2.2 with

$$\underline{\alpha}(r) = 1.2 r^2, \quad \bar{\alpha}(r) = 3.6 r^2, \quad r \in \mathbb{R}_0^+.$$

Moreover, it is possible to show that:

$$\frac{\partial V}{\partial x_1} f(x_1, u_1, d_1) + \frac{\partial V}{\partial x_2} f(x_2, u_2, d_2) \leq -0.77 V(x_1, x_2) + 8.76 \|u_1 - u_2\| + 1.31 \|d_1 - d_2\|,$$

from which condition (ii) of Definition 2.2 is fulfilled with $\lambda = 0.77$, $\sigma_u(r) = 8.76 r$ and $\sigma_d(r) = 1.31 r$, $r \in \mathbb{R}_0^+$. We consider disturbance inputs with Lipschitz constant $\kappa_d = 0.002$. For a chosen precision $\varepsilon = 0.125$, the inequality in (4.3) is satisfied with parameters

$$\tau = 1, \quad \mu_x = \pi/2000, \quad \mu_u = 0.001, \quad \theta_d = 0.007.$$

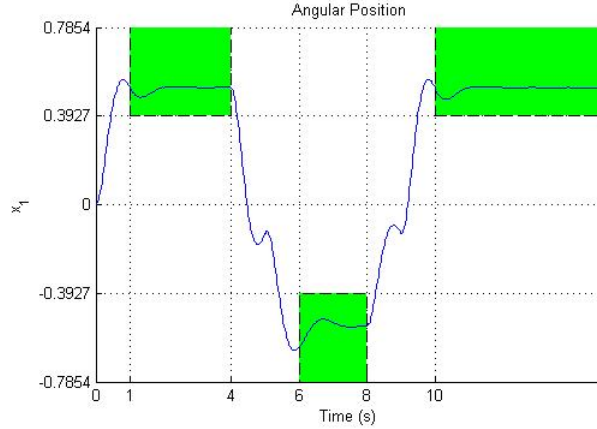


FIGURE 2. Angular trajectory of the pendulum.

Lemma 3.2 ensures existence of parameters μ_d and N satisfying the inequality:

$$\Theta_{\kappa_d, \tau, \bar{d}}(N, \mu_d) \leq \theta_d.$$

One possible choice of such parameters is $\mu_d = 1.43 \cdot 10^{-4}$ and $N = 0$; the choice of the last parameter implies that the functional space \mathcal{D}_τ is approximated by two splines. The resulting symbolic model $T_{\mathbb{P}}(\Sigma)$ in (4.2) has been constructed and consists of 159,819 states, 1,501 control inputs and 6,366 disturbance inputs. The running time needed for computing $T_{\mathbb{P}}(\Sigma)$ is 4,679s using a laptop with CPU Intel Core 2 Duo T5500 @ 1.66 GHz with 4 GB RAM. We do not report in the paper further details on $T_{\mathbb{P}}(\Sigma)$ because of its large size. Instead, we use the obtained symbolic model to solve the following robust control design problem with synchronization specifications on the angular position of the pendulum:

- starting from $x_0 = (0, 0)$, reach $\Omega_1 = [\pi/8, \pi/4] \times X_2$;
- stay in Ω_1 for a time duration between 2s and 4s;
- reach $\Omega_2 = [-\pi/4, -\pi/8] \times X_2$;
- stay in Ω_2 for at most 3s;
- go back to Ω_1 and stay definitively in Ω_1 .

Such a specification is a simple example of more complex specifications that typically arise in multi-agent systems where (space) resources are shared in order to perform a cooperative task. By using standard fixed-point algorithms (see e.g. [Tab09]) we designed the symbolic controller enforcing the prescribed specification. The resulting controller has been constructed in 2,681s with a memory occupation of 716 integers. For the disturbance input realization

$$d(t) = \frac{\bar{d} - \underline{d}}{2} \cos\left(\frac{2\kappa_d}{\bar{d} - \underline{d}}t\right) + \frac{\bar{d} + \underline{d}}{2},$$

the specification is shown in Figure 2 to be satisfied, by means of the symbolic control law illustrated in Figure 3.

6. CONCLUSION

In this paper we showed how to construct symbolic models that approximate nonlinear control systems affected by disturbances. Future work will focus on algorithms for the construction of the symbolic models presented in this paper.

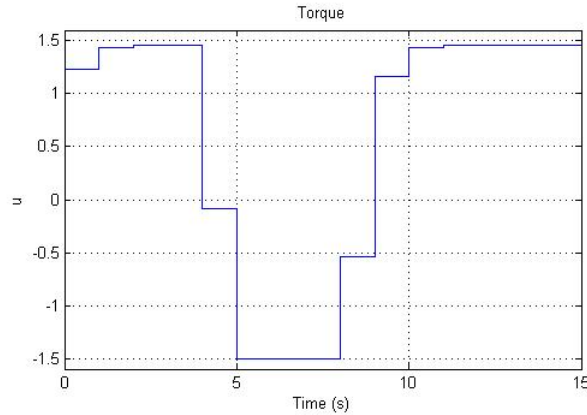


FIGURE 3. Symbolic control input.

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