# COMPUTER BOUNDS FOR KRONHEIMER-MROWKA FOAM EVALUATION

DAVID BOOZER

ABSTRACT. Kronheimer and Mrowka recently suggested a possible approach towards a new proof of the four color theorem that does not rely on computer calculations. Their approach is based on a functor  $J^{\sharp}$ , which they define using gauge theory, from the category of webs and foams to the category of vector spaces over the field of two elements. They also consider a possible combinatorial replacement  $J^{\flat}$  for  $J^{\sharp}$ . Of particular interest is the relationship between the dimension of  $J^{\flat}(K)$  for a web K and the number of Tait colorings Tait(K) of K; these two numbers are known to be identical for a special class of "reducible" webs, but whether this is the case for nonreducible webs is not known. We describe a computer program that strongly constrains the possibilities for the dimension and graded dimension of  $J^{\flat}(K)$  for a given web K, in some cases determining these quantities uniquely. We present results for a number of nonreducible example webs. For the dodecahedral web  $W_1$  the number of Tait colorings is  $Tait(W_1) = 60$ , but our results suggest that dim  $J^{\flat}(W_1) = 58$ .

## 1. INTRODUCTION

The four-color theorem states that the vertices of any planar graph can be colored with no more than four colors in such a way that no pair of adjacent vertices share the same color. The theorem was first proven in 1976 by Appel and Haken via computer calculations [1], and, though simplifications to their proof have been made [11, 5], to this day no proof is known that does not rely on computer assistance.

Recently Kronheimer and Mrowka suggested a new approach to the four color theorem that may lead to the first non-computer-assisted proof of this result [7]. Their approach is based on a functor  $J^{\sharp}$ , which they define using gauge theory, from the category of webs and foams to the category of vector spaces over the field of two elements. Kronheimer and Mrowka also consider a possible combinatorial replacement  $J^{\flat}$  for  $J^{\sharp}$ . The functor  $J^{\flat}$  was originally defined by Kronheimer and Mrowka in terms of a list of combinatorial rules that they conjectured would yield a well-defined result; this was later shown to be the case by Khovanov and Robert [6].

In order to apply the functors  $J^{\sharp}$  and  $J^{\flat}$  to the four-color problem, it is important to understand the relationships between dim  $J^{\sharp}(K)$ , dim  $J^{\flat}(K)$ , and the Tait number Tait(K) for arbitrary webs K. In addition, the vector spaces  $J^{\flat}(K)$  carry a Z-grading, and it is of interest to compute the quantum dimensions  $\operatorname{qdim} J^{\flat}(K)$  of these spaces. We have written a computer program to calculate lower bounds on dim  $J^{\flat}(K)$ and  $\operatorname{qdim} J^{\flat}(K)$ , which in some cases are sufficiently strong to determine these quantities uniquely. Our results are summarized in Table 2. In particular, we get the following result:

**Theorem 1.1.** For the webs  $W_2$  and  $W_3$  shown in Figure 6 we have that  $\dim J^{\flat}(K) = \operatorname{Tait}(K)$ .

For the dodecahedral web  $W_1$  the Tait number is  $\text{Tait}(W_1) = 60$ , but our results show that  $\dim J^{\flat}(W_1)$ must be either 58 and 60, and suggest that it is in fact 58. We should emphasize that even if  $\dim J^{\flat}(W_1) = 58$ , this would not invalidate Kronheimer and Mrowka's strategy for proving the four-color theorem using gauge theory; see Remark 2.3.

The paper is organized as follows. In Section 2 we describe the functors  $J^{\sharp}$  and  $J^{\flat}$  and their relationship to the four-color problem. In Section 3 we describe the computer program. In Section 4 we present the resulting lower bounds on dim  $J^{\flat}(K)$  and qdim  $J^{\flat}(K)$  for a number of nonreducible example webs K. In Section 5 we discuss some open questions.

# 2. Background

Kronheimer and Mrowka's new approach to the four-color problem relies on concepts involving webs and foams, which we briefly review here. A *web* is an unoriented planar trivalent graph. A *foam* is a kind of

Date: September 26, 2019.

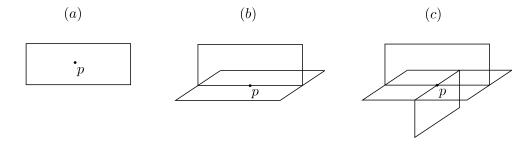


FIGURE 1. Local models for closed foams F. (a) Local model near a regular point  $p \in F$ . (b) Local model near a seam point  $p \in F$ . (c) Local model near a tetrahedral point  $p \in F$ .

singular cobordism between two webs. More precisely, a closed foam F is a singular 2D surface embedded in  $\mathbb{R}^3$  in which every point  $p \in F$  has a neighborhood that takes the form of one of three local models shown in Figure 1. Points with the local model shown in Figure 1a, Figure 1b, and Figure 1c are called regular points, seam points, and tetrahedral points, respectively. The set of regular points forms a smooth 2D manifold whose connected components are the facets of F. Each facet may be decorated with a finite number (possibly zero) of marked points called dots. In general, we want to consider foams with boundary  $F \subset \mathbb{R}^2 \times [a, b]$ , which have local models  $K_- \times [a, a + \epsilon)$  and  $K_+ \times (b - \epsilon, b]$  for webs  $K_-$  and  $K_+$  near the bottom and top of the foam. We define a half-foam to be a foam with bottom boundary  $K_- = \emptyset$ . We can define a category Foams with webs as objects and isotopy classes of foams with fixed boundary as morphisms. We will thus sometimes refer to a foam F with bottom boundary  $K_-$  and top boundary  $K_+$  as a cobordism  $F: K_- \to K_+$ .

Using a simple argument, the four-color theorem can be recast into the language of webs. We first define some additional terminology. An edge e of a web is said to be a *bridge* if there is a simple closed curve that intersects e transversely in a single point and is otherwise disjoint from the web. A *Tait coloring* of a web is a 3-coloring of the edges of the web such that no two edges incident on a given vertex share the same color. Given a web K, we define the *Tait number* Tait(K) to be the number of distinct Tait colorings of K. The four-color theorem is then equivalent to:

**Theorem 2.1.** (Four-color theorem, reformulated) For any bridgeless web K, we have Tait(K) > 0.

This reformulation allows Kronheimer and Mrowka to introduce ideas from gauge theory; in essence, they define a version of singular instanton homology in which the gauge fields are required to have prescribed singularities along a given web K. In this manner they define a functor  $J^{\sharp}$ : Foams  $\rightarrow \text{Vect}_{\mathbb{F}}$  from the category of foams to the category of vector spaces over  $\mathbb{F}$ , the field of two elements. In particular, the functor associates a natural number dim  $J^{\sharp}(K)$  to each web K.

**Remark 2.1.** In fact, the functor  $J^{\sharp}$  can be defined for a more general source category in which the webs are embedded in  $\mathbb{R}^3$  and the foams are embedded in  $\mathbb{R}^4$ . We will not consider these more general notions of webs and foams here.

Kronheimer and Mrowka prove the following theorems:

**Theorem 2.2.** (Kronheimer and Mrowka [7, Theorem 1.1]) Given a web K, we have dim  $J^{\sharp}(K) = 0$  if and only if K has a bridge.

**Theorem 2.3.** (Kronheimer and Mrowka [9]) Given a web K, we have dim  $J^{\sharp}(K) \geq \text{Tait}(K)$ .

Based on example calculations, as well as some general properties of the functor  $J^{\sharp}$ , Kronheimer and Mrowka conjecture that

**Conjecture 2.1.** For any web K, we have dim  $J^{\sharp}(K) = \text{Tait}(K)$ .

Kronheimer and Mrowka show that Conjecture 2.1 is true for a special class of *reducible* webs K (these are called *simple* in [7]), which we define in Section 3.1.

Together with Theorem 2.2, Conjecture 2.1 implies Theorem 2.1, the reformulated four-color theorem. It is thus of great interest to determine whether Conjecture 2.1 is in fact true. As a possible route towards that goal, Kronheimer and Mrowka suggested that the gauge-theoretic functor  $J^{\sharp}$ : Foams  $\rightarrow$  Vect<sub>F</sub> might

be related to a simpler functor  $J^{\flat}$ : Foams  $\rightarrow \operatorname{Vect}_{\mathbb{F}}$  that could be defined in a purely combinatorial fashion. Kronheimer and Mrowka descried a list of combinatorial evaluation rules that they conjectured would assign a well-defined field element  $J^{\flat}(F) \in \mathbb{F}$  to every closed foam F. This conjecture was later shown to be correct by Khovanov and Robert [6], who adapted ideas by Robert and Wagner [10] to describe an explicit formula for  $J^{\flat}(F)$ . Kronheimer and Mrowka conjecture that

**Conjecture 2.2.** (Kronheimer and Mrowka [7, Conjecture 8.10]) For any closed foam F, we have  $J^{\flat}(F) = J^{\sharp}(F)$ .

Once  $J^{\flat}(F) \in \mathbb{F}$  has been defined for closed foams F, one can use the universal construction [2] to extend  $J^{\flat}$  to a functor  $J^{\flat}$ : Foams  $\rightarrow \text{Vect}_{\mathbb{F}}$ . This is done in [7] as follows. For the empty web  $\emptyset$ , define  $J^{\flat}(\emptyset) = \mathbb{F}$ . For a nonempty web K, let V(K) be the  $\mathbb{F}$ -vector space spanned by all half-foams with top boundary K. Define a bilinear form  $(-, -) : V(K) \otimes V(K) \rightarrow \mathbb{F}$  such that  $(F_1, F_2) = J^{\flat}(F_1 \cup_K \bar{F}_2)$ , where  $F_1 \cup_K \bar{F}_2$ is the closed foam obtained by reflecting  $F_2$  top-to-bottom to get  $\bar{F}_2$  and then gluing it to  $F_1$  along K. Now define  $J^{\flat}(K)$  to be the quotient of V(K) by the orthogonal complement of V(K) relative to (-, -). Given a cobordism  $F_{21} : K_1 \rightarrow K_2$  from web  $K_1$  to web  $K_2$ , define  $J^{\flat}(F_{21}) : J^{\flat}(K_1) \rightarrow J^{\flat}(K_2)$  such that  $J^{\flat}(F_{21})([F_1]) = [F_{21} \cup_K F_1] \in J^{\flat}(K_2)$  for  $[F_1] \in J^{\flat}(K_1)$ ; note that we have defined  $J^{\flat}$  on webs in precisely such a way that  $J^{\flat}$  is well-defined on cobordisms. A closed foam F can be viewed as a cobordism  $F : \emptyset \rightarrow \emptyset$ , so  $J^{\flat}(F)$  is a linear map from  $\mathbb{F}$  to  $\mathbb{F}$ . Identifying this linear map with an element of  $\mathbb{F}$ , we recover the original closed foam evaluation  $J^{\flat}(F) \in \mathbb{F}$ .

**Remark 2.2.** By construction, the bilinear form (-, -) on V(K) induces a nondegenerate bilinear form on  $J^{\flat}(K)$  for any web K. The functor  $J^{\sharp}$  is monoidal, but it is not known if  $J^{\flat}$  is monoidal.

If Conjecture 2.2 is true, then the vector space  $J^{\flat}(K)$  is a subquotient of the vector space  $J^{\sharp}(K)$ , as can be seen as follows. Consider the subspace  $\tilde{V}(K)$  of  $J^{\sharp}(K)$  spanned by all vectors of the form  $J^{\sharp}(F)$ , where  $F \in V(K)$  is a half-foam with top boundary K. The bilinear form  $(-, -) : V(K) \otimes V(K) \to \mathbb{F}$  restricts to a bilinear form  $(-, -)_{\tilde{V}(K)} : \tilde{V}(K) \otimes \tilde{V}(K) \to \mathbb{F}$ . Then  $J^{\sharp}(K)$  is the quotient of  $\tilde{V}(K)$  by the orthogonal complement of  $\tilde{V}(K)$  relative to  $(-, -)_{\tilde{V}(K)}$ .

An easy corollary to a result of Khovanov and Robert [6, Proposition 4.18] is the following:

**Corollary 2.1.** For any web K, we have that dim  $J^{\flat}(K) \leq \operatorname{Tait}(K)$ .

Khovanov and Robert ask the following question, which they answer in the affirmative in the case that K is reducible:

Question 2.1. (Khovanov and Robert [6]) Is dim  $J^{\flat}(K) = \text{Tait}(K)$  for every web K?

In summary, we can assign three natural numbers to any web K: Tait(K), dim  $J^{\sharp}(K)$ , and dim  $J^{\flat}(K)$ . Theorem 2.3 and Corollary 2.1 imply that for any web K these three numbers are related by

(1)  $\dim J^{\flat}(K) \le \operatorname{Tait}(K) \le \dim J^{\sharp}(K),$ 

and for reducible webs K these three numbers coincide:

(2) 
$$\dim J^{\flat}(K) = \operatorname{Tait}(K) = \dim J^{\sharp}(K).$$

Conjecture 2.1 states that dim  $J^{\sharp}(K) = \text{Tait}(K)$  for all webs K, and Question 2.1 asks whether dim  $J^{\flat}(K) = \text{Tait}(K)$  for all webs K. Due to Theorem 2.2, a proof that dim  $J^{\sharp}(K) = \text{Tait}(K)$  for all webs K would yield a proof of the four-color theorem.

In light of these interrelated conjectures, it is of interest to compute examples of dim  $J^{\flat}(K)$  and dim  $J^{\ddagger}(K)$  for nonreducible webs K. The only such results that have yet been obtained are for the dodecahedral web  $W_1$ , the smallest nonreducible web, which has Tait number  $\text{Tait}(W_1) = 60$ . In particular, Kronheimer and Mrowka show:

**Theorem 2.4.** (Kronheimer and Mrowka [7]) For the dodecahedral web  $W_1$ , we have dim  $J^{\flat}(W_1) \geq 58$ .

**Theorem 2.5.** (Kronheimer and Mrowka [8]) For the dodecahedral web  $W_1$ , we have dim  $J^{\sharp}(W_1) \leq 68$ .

Due to Theorem 2.3 and Corollary 2.1, it follows from these results that for the dodecahedral web  $W_1$  the current dimension bounds are  $58 \leq \dim J^{\flat}(W_1) \leq 60$  and  $60 \leq \dim J^{\sharp}(W_1) \leq 68$ .

## DAVID BOOZER

**Remark 2.3.** We should emphasize that even if it is the case that dim  $J^{\flat}(W_1) = 58$  for the dodecahedral web  $W_1$ , as our results seem to suggest, this would not invalidate Kronheimer and Mrowka's strategy for proving the four-color theorem, since it leaves open the possibility that dim  $J^{\sharp}(K) = \text{Tait}(K)$  for all webs K. Rather, if dim  $J^{\flat}(W_1) = 58$  this would rule out only one possible implementation of their strategy, namely that of showing that  $J^{\flat}(K) = J^{\sharp}(K)$  for all webs K.

Since  $J^{\flat}(K)$  is defined in terms of an infinite number of generators mod an infinite number of relations, it is not clear whether dim  $J^{\flat}(K)$  can be algorithmically computed. Nevertheless, it is possible to algorithmically compute lower bounds for dim  $J^{\flat}(K)$ , as can be seen by considering the following two facts. First, Khovanov and Robert's foam evaluation formula shows that  $J^{\flat}(F) \in \mathbb{F}$  is algorithmically computable for closed foams F. Second, we have the following easy observation:

**Remark 2.4.** Given a finite-dimensional subspace W of the vector space V(K) spanned by all half-foams with top boundary K, the rank of the bilinear form (-, -) restricted to W bounds dim  $J^{\flat}(K)$  from below.

Since the restriction of the bilinear form (-, -) to W can be determined by evaluating the closed foams resulting from all possible pairings of a basis of half-foams for W, it follows that lower bounds for dim  $J^{\flat}(K)$  can be algorithmically computed.

### 3. Computer program

We have written a computer program in Mathematica to determine lower bounds for dim  $J^{\flat}(K)$  by following the procedure described at the end of Section 2. The program consists of two distinct components. The first component takes as input a web K and produces as output a large set S(K) of half-foams with top boundary K. The second component computes the rank of the bilinear form (-, -) restricted to the vector space W(K) spanned by S(K). This computation is accomplished by applying Khovanov and Robert's evaluation formula to the closed foams obtained by taking all possible pairings of half-foams in S(K). The program is available from the author's website [3].

**Remark 3.1.** The program represents foams as lists  $(K_1 = \emptyset, E_1, K_2, E_2, \dots, E_n, K_{n+1})$  in which webs  $K_i$  are interleaved with certain "elementary" cobordisms  $E_i : K_i \to K_{i+1}$  connecting adjacent pairs of webs. The terminal web  $K_{n+1}$  is  $K_{n+1} = \emptyset$  for a closed foam and  $K_{n+1} = K$  for a half-foam with top boundary K. The foam-representation lists can be thought of as describing successive horizontal slices through the foam, where the web  $K_i$  describes the *i*-th slice and the cobordism  $E_i$  describes the portion of the foam that lies between the *i*-th and (i + 1)-th slice. The allowed elementary cobordisms  $E_i$  are shown in Figures 3 and 5.

3.1. Construction of generating set of half-foams. The first component of the program takes as input a web K and produces as output a set S(K) of half-foams with top boundary K. The program relies on the fact that for certain "reducible" webs K there is an algorithm for producing a basis of half-foams for  $J^{\flat}(K)$ .

We first define the notion of reducibility. Given a web K, we can consider the local replacements shown in Figure 2 in which a *small face* of K, defined to be a disk, bigon, triangle, or square, is eliminated to yield a simpler web K'. We say that a web is *reducible* if there is a series of such local replacements that terminates in the empty web. That is, the empty web is reducible, and a nonempty web K is reducible if  $K'_1$  is reducible,  $K'_2$  is reducible,  $K'_3$  is reducible, or  $K'_{4a}$  and  $K'_{4b}$  are both reducible, for some choice of local replacements of the form shown in Figure 2.

**Remark 3.2.** It is straightforward to show that the Tait number satisfies the following relations for the local replacements shown in Figure 2:

(1)  $Tait(K) = 3 Tait(K'_1)$ .

(2)  $Tait(K) = 2 Tait(K'_2).$ 

- (3)  $\operatorname{Tait}(K) = \operatorname{Tait}(K'_3).$
- (4)  $\operatorname{Tait}(K) = \operatorname{Tait}(K'_{4a}) + \operatorname{Tait}(K'_{4b}).$

Here we have adopted the convention that  $Tait(\emptyset) = 1$ .

Using special properties of reducible webs, Khovanov and Robert show that dim  $J^{\flat}(K) = \text{Tait}(K)$  for reducible webs K [6]; moreover, their results provide an algorithm for constructing a basis S(K) of halffoams for  $J^{\flat}(K)$ . The algorithm involves a set of elementary cobordisms shown in Figure 3, which correspond

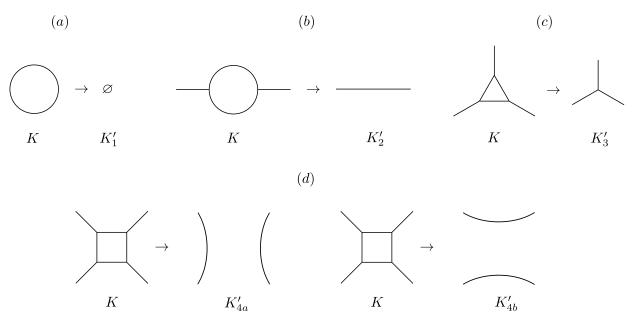


FIGURE 2. Local replacements  $K \to K'$  for reducible webs. The web K' is identical to K outside the depicted region. (a) Disk elimination  $K \to K'_1$ . (b) Bigon elimination  $K \to K'_2$ . (c) Triangle elimination  $K \to K'_3$ . (d) Square elimination  $K \to K'_{4a}$  and  $K \to K'_{4b}$ .

to the local replacements shown in Figure 2. For the empty web  $K = \emptyset$  we take  $S(K) = \emptyset$ . For a nonempty web K we recursively apply the following rules:

- (1) If a local replacement  $K \to K'_1$  of the form shown in Figure 2a yields a reducible web  $K'_1$ , then S(K) is obtained by applying each of the elementary cobordisms  $C_1, \dot{C}_1, \ddot{C}_1 : K'_1 \to K$  shown in Figure 3a to each half-foam in  $S(K'_1)$ .
- (2) If a local replacement  $K \to K'_2$  of the form shown in Figure 2b yields a reducible web  $K'_2$ , then S(K) is obtained by applying each of the elementary cobordisms  $C_2, \dot{C}_2 : K'_2 \to K$  shown in Figure 3b to each half-foam in  $S(K'_2)$ .
- (3) If a local replacement  $K \to K'_3$  of the form shown in Figure 2c yields a reducible web  $K'_3$ , then S(K) is obtained by applying the elementary cobordism  $C_3 : K'_3 \to K$  shown in Figure 3c to each half-foam in  $S(K'_3)$ .
- (4) If local replacements  $K \to K'_{4a}$  and  $K \to K'_{4b}$  of the form shown in Figure 2d yield reducible webs  $K'_{4a}$  and  $K'_{4b}$ , then S(K) is the union of the two sets of half-foams obtained by applying the elementary cobordism  $C_{4a}: K'_{4a} \to K$  to each half-foam in  $S(K'_{4a})$  and the elementary cobordism  $C_{4b}: K'_{4b} \to K$  to each half-foam in  $S(K'_{4b})$ , where  $C_{4a}$  and  $C_{4b}$  are as shown in Figure 3d.

Note that the resulting basis S(K) depends on the specific choices of local replacements that we make. The above algorithm allows for easy construction of the list representations of half-foams described in Remark 3.1.

For nonreducible webs K, there is no known algorithm for producing a basis of half-foams for  $J^b(K)$ , and our goal instead is just to produce a large set S(K) of half-foams with top boundary K. Ideally, we would like S(K) to be large and diverse enough to contain a spanning set for  $J^b(K)$ . To construct the set S(K), we use the fact that a nonreducible web K can often be converted into a reducible web K' by making one of the four local replacements shown in Figure 4. If such is the case, then we can obtain a set of half-foams with top boundary K by applying the corresponding elementary cobordism shown in Figure 5 to each of the half-foams in a generating set S(K') constructed using the above algorithm for reducible webs. We construct S(K) by taking the union of such sets constructed for all local replacements  $K \to K'$  of the form shown in Figure 4 that yield reducible webs K'. Note that the list representation, as described in Remark 3.1, of each half-foam in S(K) has the form  $(K_1 = \emptyset, E_1, \dots, E_n, K_{n+1} = K)$ , where for  $i \in \{1, \dots, n-1\}$  the cobordism  $E_i$  one of the cobordisms shown in Figure 3 and  $E_n$  is one of the cobordisms shown in Figure 5.

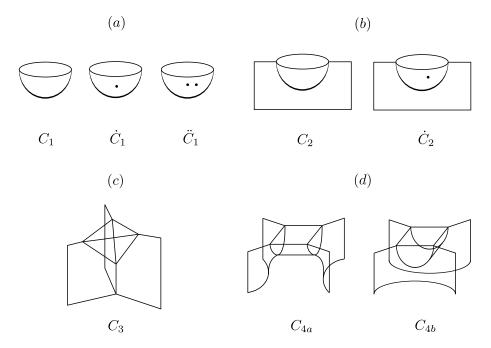


FIGURE 3. Elementary cobordisms for reducible webs. The cobordisms are taken to be the identity outside of the depicted region. Note that the cobordisms  $\dot{C}_1$ ,  $\ddot{C}_1$ , and  $\dot{C}_2$  have facets that are decorated with dots.

**Remark 3.3.** For simplicity, we do not actually use the above algorithm for reducible webs to construct the generating sets S(K') for reducible webs K'. Rather, given a reducible web K' we apply a recursive algorithm in which we attempt to eliminate disks, bigons, triangles, and squares, in that order. This algorithm is not guaranteed to reduce every reducible web K', and if it fails to do so we treat K' as if it were nonreducible. Consequently, the generating sets S(K) that we produce for nonreducible webs K may not always be as large as they could be.

**Remark 3.4.** One could construct even larger generating sets by allowing more complicated cobordisms than those shown in Figure 5, or by applying several of these cobordisms in succession. However, for the example webs that we consider in Section 4, the sets S(K) constructed as described above are already sufficiently large that these generalizations seem unlikely to yield stronger dimension bounds.

3.2. Evaluation of closed foams. The second component of the computer program takes as input a closed foam F and returns as output the closed foam evaluation  $J^{\flat}(F) \in \mathbb{F}$ . This component of the program is essentially a computer implementation of Khovanov and Robert's closed foam evaluation formula [6], which we briefly review here.

Define facets(F) to be the set of facets of a closed foam F. A coloring of a closed foam F is a map  $c : facets(F) \to \{1, 2, 3\}$ , and we will refer to 1, 2, and 3 as colors. A coloring c of a foam F is admissible if the three facets incident on any given seam of F are assigned distinct colors. Define adm(F) to be the (possibly empty) set of admissible colorings of a closed foam F.

**Remark 3.5.** There are foams that do not have any admissible colorings (an example is given in [6]), and such foams can arise as pairs of generating half-foams for the dodecahedral web. Note that Khovanov and Robert define a foam to be admissible if it is admissible in the sense that we have defined and in addition satisfies an orientability condition; they then prove that any foam that is admissible in the sense that we have defined automatically satisfies the orientability condition.

Define a polynomial ring in three variables  $R' = \mathbb{F}[X_1, X_2, X_3]$  and a ring  $R'' = R'[(X_1 + X_2)^{-1}, (X_1 + X_3)^{-1}, (X_2 + X_3)^{-1}]$  obtained from R' by inverting the elements  $X_1 + X_2, X_1 + X_3$ , and  $X_2 + X_3$ . Define elementary symmetric polynomials

(3) 
$$E_1 = X_1 + X_2 + X_3,$$
  $E_2 = X_1 X_2 + X_1 X_3 + X_2 X_3,$   $E_3 = X_1 X_2 X_3$ 

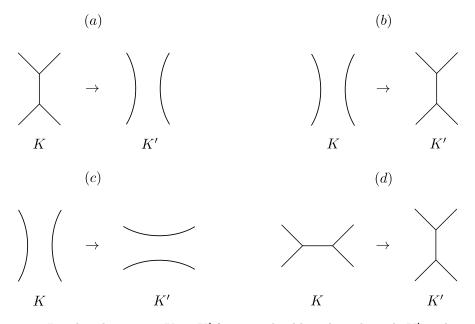


FIGURE 4. Local replacements  $K \to K'$  for nonreducible webs. The web K' is identical to K outside the depicted region. (a) Zip. (b) Unzip. (c) Saddle. (d) IH.

and define  $R = \mathbb{F}[E_1, E_2, E_3]$  to be the subring of R' consisting of symmetric polynomials in  $X_1, X_2$ , and  $X_3$ . Given a closed foam F with coloring c, define  $P(F, c) \in R'$  and  $Q(F, c) \in R''$  by

(4) 
$$P(F,c) = \prod_{f \in \text{facets}(F)} X_{c(f)}^{d(f)},$$

(5) 
$$Q(F,c) = \prod_{1 \le i < j \le 3} (X_i + X_j)^{\chi(F_{ij}(c))/2},$$

where  $d(f) \in \mathbb{N}$  denotes the number of dots decorating the facet f and  $\chi(F_{ij}(c))$  denotes the Euler characteristic of the closed surface  $F_{ij}(c)$  obtained by taking the closure of the union of all the facets of F colored either i or j by c. Khovanov and Robert show that  $F_{ij}(c)$  is always orientable, so  $\chi(F_{ij}(c))$  is even and thus Q(F, c) is in fact an element of R''. Define a polynomial

(6) 
$$\langle F \rangle = \sum_{c \in \operatorname{adm}(F)} \frac{P(F,c)}{Q(F,c)} \in R.$$

That  $\langle F \rangle$  is a polynomial, rather than just a rational function, is not obvious from equation (6), but it is nonetheless true. Knovanov and Robert's evaluation formula for  $J^{\flat}(F)$  is given by evaluating  $\langle F \rangle$  at  $E_1 = E_2 = E_3 = 0$ :

(7) 
$$J^{\flat}(F) = \langle F \rangle|_{E_1 = E_2 = E_3 = 0} \in \mathbb{F}.$$

Note that from equations (6) and (7) it follows that  $J^{\flat}(F) = 0$  if F has no admissible colorings.

Our computer program implements Khovanov and Robert's closed foam evaluation formula using the list representation of foams described in Remark 3.1. Given a closed foam F, we

- (1) Enumerate the facets of F.
- (2) Compute the adjacency graph for facets(F). The adjacency graph is an unoriented graph with a vertex  $v_i$  for each facet  $f_i \in \text{facets}(F)$  and an edge connecting vertices  $v_i$  and  $v_j$  if and only if the closures of the corresponding facets  $f_i$  and  $f_j$  intersect.
- (3) Using the adjacency graph, enumerate the admissible colorings of F.
- (4) Compute  $J^{\flat}(F) \in \mathbb{F}$  using equations (4), (5), (6), and (7).

**Remark 3.6.** The most complicated step of the foam evaluation algorithm is step (1), enumerating the facets of F. To achieve this, we use the fact that the closed foams we consider always have the form  $F = F_1 \cup_K \overline{F}_2$ 

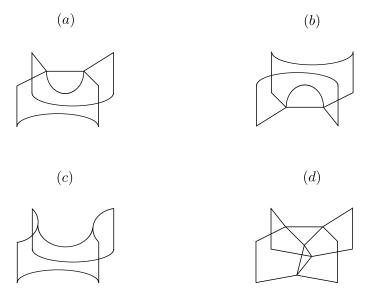


FIGURE 5. Elementary cobordisms for nonreducible webs. The cobordisms are taken to be the identity outside of the depicted region. (a) Zip. (b) Unzip. (c) Saddle. (d) IH.

for half-foams  $F_1$  and  $F_2$  with top boundary K. To obtain the facets of F, we enumerate the facets of  $F_1$ and  $F_2$  separately and then combine the two sets of facets using a gluing algorithm. Given a half-foam G with list representation  $(K_1 = \emptyset, E_1, \dots, E_n, K_{n+1} = K)$ , we enumerate its facets by using a recursive algorithm to enumerate the facets of the half-foams  $G_i$  with list representations  $(K_1 = \emptyset, E_1, \dots, E_{i-1}, K_i)$ for  $i \in \{1, \dots, n+1\}$ . It is interesting to note that as we work from i = 1 to i = n the number of facets is strictly increasing, and at each step the intersection of the facets with the web  $K_i$  is a partition of  $K_i$ . The number of facets can remain constant or decrease going from n to n + 1 if the last cobordism  $E_n$  is a Saddle or an Unzip (for example, two facets that were distinct in the foam  $G_n$  can describe two pieces of the same facet in the foam  $G_{n+1}$ ). Also, the half-foams  $G_1, G_2, \dots, G_n$  always have admissible colorings, but for all four possibilities of the last cobordism  $E_{n+1}$  (Zip, Unzip, Saddle, or IH), it is possible that the half-foam  $G_{n+1}$  has no admissible colorings.

To any foam F, Khovanov and Robert associate an  $\mathbb{Z}$ -valued degree deg(F) that is given by

(8) 
$$\deg(F) = 2d(F) - 2\chi(F) - \chi(s(F)),$$

where d(F) is the total number of dots on F,  $\chi(F)$  is the Euler characteristic of F, and  $\chi(s(F))$  is the Euler characteristic of the union s(F) of the seam points and tetrahedral points of F. We use equation (8) to compute the degree of each of the elementary cobordisms shown in Figures 3 and 5 and display the results in Table 1. Degree is additive under composition of foams,

(9) 
$$\deg(F_1 \circ F_2) = \deg(F_1) + \deg(F_2),$$

so the degree of any foam built by composing elementary cobordisms can be computed by summing the degrees of each elementary cobordism.

**Remark 3.7.** It is useful to view  $R = \mathbb{F}[E_1, E_2, E_3]$  as a graded ring in which  $E_1, E_2$ , and  $E_3$  are assigned gradings 2, 4, and 6, respectively. Knovanov and Robert show that the grading of  $\langle F \rangle \in R$  for a closed foam F is given by deg F when  $\langle F \rangle$  is nonzero [6, Theorem 2.17].

Khovanov and Robert use the notion of foam degree to impose a grading on the vector space  $J^{\flat}(K)$ associated to a web K. Recall that  $J^{\flat}(K)$  is spanned by vectors of the form  $J^{\flat}(F)$ , where F is a half-foam with top boundary K. We define the grading of the vector  $J^{\flat}(F) \in J^{\flat}(K)$  to be deg F. In general, given a graded finite-dimensional vector space V we define  $V_i$  to be the subspace of V spanned by vectors of degree *i* and we define the quantum dimension  $\operatorname{qdim} V \in \mathbb{Z}[q, q^{-1}]$  of V to be

(10) 
$$\operatorname{qdim} V = \sum_{i} q^{i} \operatorname{dim} V_{i}.$$

E	$C_1$	$\dot{C}_1$	$\ddot{C}_1$	$C_2$	$\dot{C}_2$	$C_3$	$C_{4a}$	$C_{4b}$	$\operatorname{Zip}$	Unzip	Saddle	IH
$\deg(E)$	-2	0	2	-1	1	0	0	0	1	1	2	1

TABLE 1. Degree deg(E) of each elementary cobordism E.

The functor  $J^{\flat}$  is a special case of a more general set of functors that Khovanov and Robert define by evaluating closed foams in various rings. For our purposes it is useful to consider a functor  $\langle -\rangle_{\phi}$  from the category Foams to the category of modules over the polynomial ring  $\mathbb{F}[E]$ . We view  $\mathbb{F}[E]$  as a graded ring with deg E = 6. For a closed foam F, we define  $\langle F \rangle_{\phi} = \phi(\langle F \rangle)$ , where  $\langle F \rangle \in R = \mathbb{F}[E_1, E_2, E_3]$  is given by equation (6) and  $\phi : \mathbb{F}[E_1, E_2, E_3] \to \mathbb{F}[E]$  is the ring homomorphism given by  $E_1, E_2 \mapsto 0, E_3 \mapsto E$ . Given a web K, we define M(K) to be the free  $\mathbb{F}[E]$ -module spanned by all half-foams with top boundary K. We define a bilinear form  $(-, -)_{\phi} : M(K) \otimes M(K) \to \mathbb{F}[E]$  such that  $(F_1, F_2)_{\phi} = \langle F_1 \cup_K \overline{F}_2 \rangle_{\phi}$ . Applying the universal construction described in Section 2, we define the  $\mathbb{F}[E]$ -module  $\langle K \rangle_{\phi}$  to be the quotient of M(K)by the orthogonal complement of M(K) relative to  $(-, -)_{\phi}$ . Khovanov and Robert prove the following theorem:

**Theorem 3.1.** (Khovanov and Robert [6, Proposition 4.18]) For any web K, the  $\mathbb{F}[E]$ -module  $\langle K \rangle_{\phi}$  is free of rank  $\operatorname{Tait}(K)$ .

In general, given a free graded  $\mathbb{F}[E]$ -module M of finite rank r we chose a homogeneous basis  $B = \{m_1, \dots, m_r\}$  of M and define the quantum rank qrank  $M \in \mathbb{Z}[q, q^{-1}]$  of M to be

(11) 
$$\operatorname{qrank} M = \sum_{i} q^{i} \cdot \#\{m_k \in B \mid \deg(m_k) = i\}.$$

We have the following easy corollary to Theorem 3.1, which subsumes Corollary 2.1:

**Corollary 3.1.** For any web K, we have that dim  $J^{\flat}(K) \leq \operatorname{Tait}(K)$  and qdim  $J^{\flat}(K) \leq \operatorname{qrank}\langle K \rangle_{\phi}$ .

*Proof.* Recall that  $J^{\flat}(K)$  is the  $\mathbb{F}$ -vector space obtained by taking the quotient of V(K) by the orthogonal complement of V(K) relative to (-, -), and  $\langle K \rangle_{\phi}$  is the  $\mathbb{F}[E]$ -module obtained by taking the quotient of M(K) by the orthogonal complement of M(K) relative to  $(-, -)_{\phi}$ . But  $(F_1, F_2) \in \mathbb{F}$  can be obtained by evaluating  $(F_1, F_2)_{\phi} \in \mathbb{F}[E]$  at E = 0, so  $(F_1, F_2) = 0$  whenever  $(F_1, F_2)_{\phi} = 0$ .

#### 4. Results

We use the computer program described in Section 3 to obtain lower bounds  $\ell(K)$  on the dimension of  $J^{\flat}(K)$  for the example webs K shown in Figure 6. The results are summarized in Table 2. For each web K, we use the algorithm described in Section 3.1 to construct a set  $S(K) = \{F_1, \dots, F_N\}$  of N half-foams with top boundary K. For increasing values of n, we compute lower bounds  $\ell_n(K)$  on dim  $J^{\flat}(K)$  by calculating the rank of the bilinear form (-, -) restricted to the vector space spanned by  $\{F_1, \dots, F_n\}$ , using the closed-foam evaluation algorithm described in Section 3.2. In order to obtain results in a reasonable amount of time, we compute  $\ell_n(K)$  only up to an index  $n = N_e$  that is less than the total number of half-foams N that we have generated. We note that  $\ell_n(K)$  is a nondecreasing function of n that saturates at a value  $\ell(K)$  for some index  $n = N_{\ell}$ ; that is,  $\ell_n(K) = \ell(K)$  for  $N_{\ell} \leq n \leq N_e$ , and  $N_{\ell}$  is the smallest index with this property. The saturation value  $\ell(K)$  is the lower bound on dim  $J^{\flat}(K)$  that is listed in Table 2.

**Remark 4.1.** A useful class of example webs to consider is the class of *fullerene graphs*; these are planar trivalent graphs with 12 pentagonal faces and an arbitrary number of hexagonal faces. Because they contain no small faces, fullerene graphs are always nonreducible. A computer program for enumerating fullerene graphs is described in [4]. The webs  $W_1$ ,  $W_2$ , and  $W_5$  are the unique fullerene graphs with 20, 24, and 26 vertices, respectively;  $W_3$  and  $W_4$  are the two fullerene graphs with 28 vertices; and  $W_7$  is one of 6 fullerene graphs with 34 vertices.

As an example, consider the dodecahedral web  $W_1$  shown in Figure 6. A graph of  $\ell_n(W_1)$  versus n is shown in Figure 7. The Tait number of  $W_1$  is  $\text{Tait}(W_1) = 60$ , the lower bound on dim  $J^{\flat}(W_1)$  computed by our program is  $\ell(W_1) = 58$ , and this bound is attained after examining  $N_{\ell} = 156$  of the N = 11160 half-foams

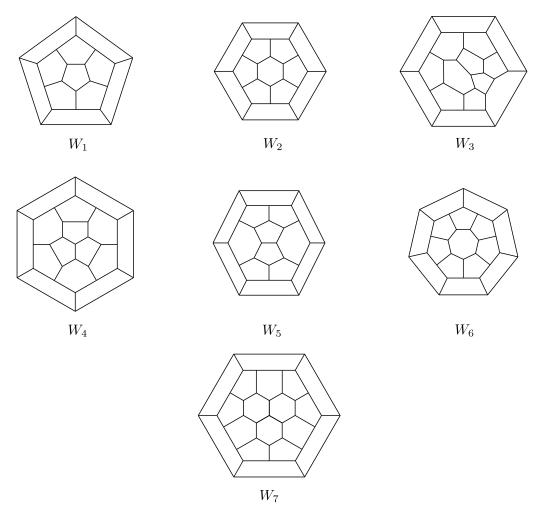


FIGURE 6. Example webs. The web  $W_1$  is the dodecahedral web.

that we constructed via the algorithm described in Section 3.1. The lower bound remains 58 even after examining  $N_e = 6727$  of the N = 11160 half-foams we constructed, which suggests that dim  $J^{\flat}(W_1) = 58$ . If so, this would answer Question 2.1 in the negative.

**Remark 4.2.** We still obtain the lower bound  $\ell(W_1) = 58$  for the dodecahedral web  $W_1$  even if we use a smaller generating set  $S(W_1)$  consisting of half-foams constructed using only one of the four types of cobordisms shown in Figure 5; that is, if we use only Zip cobordisms we obtain  $\ell(W_1) = 58$ , if we use

Web $K$	$\ell(K)$	$\operatorname{Tait}(K)$	$N_{\ell}$	$N_e$	N
$W_1$	58	60	156	6727	11160
$W_2$	120	120	747	5322	27792
$W_3$	162	162	822	4902	45960
$W_4$	178	180	1193	6351	47196
$W_5$	188	192	2447	7153	40704
$W_6$	248	252	1726	6331	53172
$W_7$	308	312	1190	5458	101970

TABLE 2. Lower bounds  $\ell(K)$  on dim  $J^{\flat}(K)$  and Tait number Tait(K) for example webs K. The numbers  $N_{\ell}$ ,  $N_e$ , and N are explained in the main text.

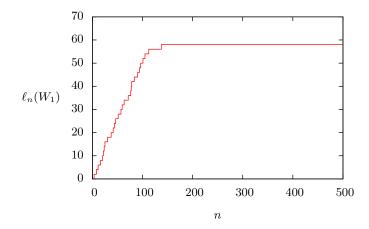


FIGURE 7. Graph of  $\ell_n(W_1)$  versus *n* for the dodecahedral web  $W_1$ .

only Unzip cobordisms we obtain  $\ell(W_1) = 58$ , if we use only Saddle cobordisms we obtain  $\ell(W_1) = 58$ , and if use only IH cobordisms we obtain  $\ell(W_1) = 58$ . This fact provides additional evidence that perhaps  $\dim J^{\flat}(W_1) = 58$ .

**Remark 4.3.** Kronheimer and Mrowka also obtain the lower bound  $\ell(W_1) = 58$  for the dodecahedral web  $W_1$  [7]. Their lower bound is obtained in a manner similar to ours, but with a generating set of half-foams constructed as follows. Given a 4-coloring  $c_4$  of the faces of a web  $K \subset S^2$ ; that is, a map  $c_4 : \{\text{faces of } K\} \to \{1, 2, 3, 4\}$  such that no two adjacent faces share the same color, let T denote the union of the faces of K that are not colored 4 and define an undotted half-foam

(12) 
$$F(K,c_4) = (T \times \{0\}) \cup (K \times [0,1]) \subset S^2 \times \mathbb{R}.$$

There are 240 distinct 4-colorings of the faces of the dodecahedral web  $W_1$ , corresponding to 20 distinct half-foams  $\{F(W_1, c_4)\}$ , each of which has degree -3. To each of the half-foams  $\{F(W_1, c_4)\}$  one can add 0, 1, 2, or 3 dots to obtain half-foams in degrees -3, -1, 1, or 3. The resulting generating set of dotted half-foams yields the lower bound  $\ell(W_1) = 58$ . We computed lower bounds  $\ell(K)$  for the example webs  $W_1, W_2, W_3,$  $W_4, W_5$ , and  $W_6$  shown in Figure 6 using generating sets constructed in a similar manner, but, except for the dodecahedral web  $W_1$ , the bounds we obtained by this method are strictly weaker than those shown in Table 2.

It is also of interest to compute lower bounds on the quantum dimension qdim  $J^{\flat}(K)$  for each example web K. As before, for each web K the computer program generates a large set S(K) of half-foams with top boundary K. Recall that we defined V(K) and M(K) to be the F-vector space and free  $\mathbb{F}[E]$ -module spanned by all half-foams with top boundary K. The set of half-foams S(K) spans an F-vector space  $W(K) \subset V(K)$  and a free  $\mathbb{F}[E]$ -module  $N(K) \subset M(K)$ . We define orthogonal complement spaces  $V(K)^{\perp}$ ,  $W(K)^{\perp}$ ,  $M(K)^{\perp}$ , and  $N(K)^{\perp}$  by

(13) 
$$V(K)^{\perp} = \{ v \in V(K) \mid (v, w) = 0 \text{ for all } w \in V(K) \} \subseteq V(K),$$

(14) 
$$W(K)^{\perp} = \{ v \in W(K) \mid (v, w) = 0 \text{ for all } w \in W(K) \} \subseteq W(K),$$

(15) 
$$M(K)^{\perp} = \{ v \in M(K) \mid (v, w)_{\phi} = 0 \text{ for all } w \in M(K) \} \subseteq M(K),$$

(16) 
$$N(K)^{\perp} = \{ v \in N(K) \mid (v, w)_{\phi} = 0 \text{ for all } w \in N(K) \} \subseteq N(K).$$

Then

(17) 
$$J^{\flat}(K) = V(K)/V(K)^{\perp}, \qquad \langle K \rangle_{\phi} = M(K)/M(K)^{\perp}.$$

We define  $\ell(K)$  and  $\ell_q(K)$  to be the dimension and quantum dimension of  $W(K)/W(K)^{\perp}$ :

(18) 
$$\ell(K) = \dim(W(K)/W(K)^{\perp}), \qquad \ell_q(K) = \operatorname{qdim}(W(K)/W(K)^{\perp}).$$

The  $\mathbb{F}[E]$ -module  $N(K)/N(K)^{\perp}$  is free, as can be shown using an argument similar that used in [6, Proposition 4.4] and the fact that  $\mathbb{F}[E]$  is a PID, and we define r(K) and  $r_q(K)$  to be its rank and quantum

rank:

(21)

(19) 
$$r(K) = \operatorname{rank}(N(K)/N(K)^{\perp}), \qquad r_q(K) = \operatorname{qrank}(N(K)/N(K)^{\perp}).$$

By the same reasoning that yields Corollary 3.1, we have that

(20) 
$$\ell(K) \le r(K),$$

We have the following generalization of Remark 2.4:

**Theorem 4.1.** For all webs K we have that  $\ell_q(K) \leq \operatorname{qdim} J^{\flat}(K)$ .

*Proof.* Let  $\overline{W}(K) = W(K)/V(K)^{\perp}$  denote the image of W(K) in the quotient space  $J^{\flat}(K) = V(K)/V(K)^{\perp}$ . It is clear that

 $\ell_q(K) \le r_q(K).$ 

) 
$$\operatorname{qdim} \bar{W}(K) \leq \operatorname{qdim} J^{\flat}(K)$$

Since  $W(K) \cap V(K)^{\perp} \subseteq W(K)^{\perp}$ , we have a surjective map  $\overline{W}(K) \to W(K)/W(K)^{\perp}$ , and thus

(22) 
$$\operatorname{qdim} \bar{W}(K) \ge \operatorname{qdim} W(K)/W(K)^{\perp} = \ell_q(K).$$

Equations (21) and (22) yield the desired result.

For each example web K, we compute  $\ell_q(K)$  and  $r_q(K)$  as follows. We first consider  $r_q(K)$ . We enumerate the spanning set S(K) of N(K) as  $S(K) = \{F_1, \dots, F_n\}$  and define an  $n \times n$  matrix A whose matrix elements are given by

(23) 
$$A_{ij} = (F_i, F_j)_{\phi} \in \mathbb{F}[E].$$

Note that  $A_{ij}$  is either zero or a nonnegative power of E. We perform a Smith decomposition of A to express it in the form

where S and T are invertible  $n \times n$  matrices and B is a diagonal  $n \times n$  matrix in which each matrix element along the diagonal is either zero or a nonnegative power of E:

(25) 
$$B = \operatorname{diag}\{E^{r_1}, \cdots, E^{r_m}, 0, \cdots, 0\}.$$

From equations (23) and (24), it follows that

(26) 
$$(F_i, F_j)_{\phi} = \sum_{k=1}^n S_{ik} B_{kk} T_{kj}.$$

Define sets of free homogeneous generators  $\{g_1, \dots, g_m\}$  and  $\{\tilde{g}_1, \dots, \tilde{g}_m\}$  for  $N(K)/N(K)^{\perp}$  by

(27) 
$$g_k = \sum_{i=1}^n (S^{-1})_{ki} F_i, \qquad \qquad \tilde{g}_k = \sum_{j=1}^n (T^{-1})_{jk} F_j.$$

From equations (25), (26), and (27) it follows that

(28) 
$$(g_i, \tilde{g}_j)_{\phi} = \begin{cases} E^{r_i} & \text{if } i = j, \\ 0 & \text{otherwise.} \end{cases}$$

The degree of the generator  $g_k$  is given by

(29) 
$$\deg g_k = \deg (S^{-1})_{ki} + \deg F_i$$

for any value of i for which  $(S^{-1})_{ki}$  is nonzero, and the degree of the generator  $\tilde{g}_k$  is given by

(30) 
$$\deg \tilde{g}_k = \deg \left(T^{-1}\right)_{jk} + \deg F_j$$

for any value of j for which  $(T^{-1})_{jk}$  is nonzero. From equation (28) it follows that

(31) 
$$\deg g_i + \deg \tilde{g}_i = \deg E^{r_i} = 6r_i.$$

The quantities r(K) and  $r_q(K)$  are then given by

(32) 
$$r(K) = \operatorname{rank} N(K)/N(K)^{\perp} = m, \qquad r_q(K) = \operatorname{qrank} N(K)/N(K)^{\perp} = \sum_{i=1}^m q^{\deg g_i}.$$

Generating sets for the vector space  $W(K)/W(K)^{\perp}$  can be obtained in a similar fashion by evaluating the matrices S, B, and T at E = 0, and these generating sets can be used to compute  $\ell(K)$  and  $\ell_q(K)$ .

The computer results are summarized in Table 3 for the example webs shown in Figure 6. These results give a proof of Theorem 1.1 from the Introduction, which we restate in a stronger form here:

**Theorem 4.2.** For the webs  $W_2$  and  $W_3$  shown in Figure 6 we have that  $\dim J^{\flat}(K) = \operatorname{rank} \langle K \rangle_{\phi} = \operatorname{Tait}(K)$ and  $\operatorname{qdim} J^{\flat}(K) = \operatorname{qrank} \langle K \rangle_{\phi} = \ell_q(K)$  for  $\ell_q(K)$  as shown in Table 3.

Proof. From Corollary 3.1 and Theorem 4.1, we have that

(33) 
$$\ell_q(K) \le \operatorname{qdim} J^{\flat}(K) \le \operatorname{qrank} \langle K \rangle_{\phi}$$

Recall from Theorem 3.1 that rank  $\langle K \rangle_{\phi} = \text{Tait}(K)$ . Table 3 shows that for the webs  $W_2$  and  $W_3$  we have that  $\ell(K) = \text{Tait}(K)$ , so  $\ell(K) = \text{rank} \langle K \rangle_{\phi}$  and thus the inequalities in equation (33) must in fact be equalities:

(34) 
$$\ell_q(K) = \operatorname{qdim} J^{\flat}(K) = \operatorname{qrank} \langle K \rangle_{\phi}.$$

For the remaining webs  $W_1$ ,  $W_4$ ,  $W_5$ ,  $W_6$ , and  $W_7$ , in which  $\ell(K)$  is strictly less than  $\operatorname{Tait}(K)$ , the computer bounds strongly constrain the possibilities for qdim  $J^{\flat}(K)$  and qdim  $\langle K \rangle_{\phi}$ , as can be understood as follows. Define  $\overline{N}(K) = N(K)/M(K)^{\perp}$  to be the image of N(K) in the quotient  $\langle K \rangle_{\phi} = M(K)/M(K)^{\perp}$ . In all of the example webs K that we have considered, the set S(K) is sufficiently large that  $r(K) = \operatorname{Tait}(K)$  and we can thus apply the following result:

**Theorem 4.3.** If r(K) = Tait(K) then  $\bar{N}(K)$  is a free submodule of  $\langle K \rangle_{\phi}$  of full rank, with quantum rank grank  $\bar{N}(K) = r_q(K)$ .

Proof. Recall from Theorem 3.1 that  $\langle K \rangle_{\phi}$  is a free  $\mathbb{F}[E]$ -module with rank  $\langle K \rangle_{\phi} = \operatorname{Tait}(K)$ . Since  $\bar{N}(K)$  is a submodule of  $\langle K \rangle_{\phi}$  and  $\mathbb{F}[E]$  is a PID, it follows that  $\bar{N}(K)$  is free with rank  $\bar{N}(K) \leq \operatorname{Tait}(K)$ . Since  $N(K) \cap M(K)^{\perp} \subseteq N(K)^{\perp}$ , we have a surjective homomorphism  $\psi : \bar{N}(K) \to N(K)/N(K)^{\perp}$ . It follows that rank  $\bar{N}(K) \geq \operatorname{rank} N(K)/N(K)^{\perp} = r(K) = \operatorname{Tait}(K)$ , and thus rank  $\bar{N}(K) = \operatorname{Tait}(K)$ . Since  $\psi$  clearly preserves degrees, it follows that qrank  $\bar{N}(K) = \operatorname{qrank} N(K)/N(K)^{\perp} = r_q(K)$ .

Since  $\overline{N}(K)$  is a free submodule of  $\langle K \rangle_{\phi}$  of full rank, the only possible difference between  $\overline{N}(K)$  and  $\langle K \rangle_{\phi}$  is that homogeneous generators of  $\overline{N}(K)$  could be shifted upwards in grading by multiples of deg E = 6 relative to corresponding generators of  $\langle K \rangle_{\phi}$ . As an example, consider the dodecahedral web  $W_1$ , for which

(35) 
$$\ell_q(W_1) = 9q^{-3} + 20q^{-1} + 20q + 9q^3, \qquad r_q(W_1) = 9q^{-3} + 20q^{-1} + 20q + 11q^3.$$

There are only two possible cases. One case is that  $N(W_1) = \langle W_1 \rangle_{\phi}$ . In this case dim  $J^{\flat}(W_1) = 58$  and

(36) 
$$\operatorname{qdim} J^{\flat}(W_1) = 9q^{-3} + 20q^{-1} + 20q + 9q^3, \qquad \operatorname{qrank} \langle W_1 \rangle_{\phi} = 9q^{-3} + 20q^{-1} + 20q + 11q^3.$$

The second case is that  $\bar{N}(W_1)$  is a proper submodule of  $\langle W_1 \rangle_{\phi}$  of full rank. Since  $\ell_q(W_1) \leq \operatorname{qdim} J^{\flat}(W_1) \leq \operatorname{qrank} \langle W_1 \rangle_{\phi}$ , one of the degree 3 generators of  $\bar{N}(W_1)$  must be shifted upwards in degree relative to a corresponding generator of  $\langle W_1 \rangle_{\phi}$  in degree -3. In this case dim  $J^{\flat}(W_1) = 60$  and

(37) 
$$\operatorname{qdim} J^{\flat}(W_1) = \operatorname{qrank} \langle W_1 \rangle_{\phi} = 10q^{-3} + 20q^{-1} + 20q + 10q^3.$$

## 5. QUESTIONS

We conclude with three open questions. We note that qrank  $\langle K \rangle_{\phi}$  is symmetric under  $q \to 1/q$  for all reducible webs K, and also for webs  $W_2$  and  $W_3$  in Table 3 for which  $\ell(K) = \text{Tait}(K)$ . We can ask if this property holds for all webs:

**Question 5.1.** Is it the case that qrank  $\langle K \rangle_{\phi}$  is symmetric under  $q \to 1/q$  for all webs K?

If Question 5.1 were to be answered in the affirmative, it would imply that dim  $J^{\flat}(K) = \text{Tait}(K)$  and  $\text{qdim } J^{\flat}(K) = \text{qrank } \langle K \rangle_{\phi}$  for all webs K, thus answering Question 2.1 in the affirmative, due to the following result:

DAVID BOOZER

K	$\ell(K)$	$\operatorname{Tait}(K)$	$\ell_q(K) + (r_q(K) - \ell_q(K))$
$W_1$	58		$9q^{-3} + 20q^{-1} + 20q + 9q^3 + (2q^3)$
$W_2$	120	120	$3q^{-5} + 2q^{-4} + 16q^{-3} + 6q^{-2} + 29q^{-1} + 8 + 29q + 6q^2 + 16q^3 + 2q^4 + 3q^5$
$W_3$	162		$2q^{-5} + 7q^{-4} + 13q^{-3} + 21q^{-2} + 24q^{-1} + 28 + 24q + 21q^2 + 13q^3 + 7q^4 + 2q^5$
$W_4$	178	180	$q^{-6} + 11q^{-4} + 10q^{-3} + 29q^{-2} + 19q^{-1} + 38 + 19q + 29q^2 + 10q^3 + 11q^4 + q^6 + (q+q^5)$
$W_5$	188	192	$4q^{-5} + 31q^{-3} + 59q^{-1} + 59q + 31q^3 + 4q^5 + (q + 2q^3 + q^5)$
$W_6$	248		$20q^{-4} + 62q^{-2} + 84 + 62q^2 + 20q^4 + (2q^2 + 2q^4)$
$W_7$	308	312	$4q^{-5} + 5q^{-4} + 41q^{-3} + 15q^{-2} + 79q^{-1} + 20 + 79q + 15q^2 + 41q^3 + 5q^4 + 4q^5 + (q + 2q^3 + q^5)$

TABLE 3. For each web K, we list  $\ell(K)$ , Tait(K) = r(K),  $\ell_q(K)$ , and (indicated in parentheses) the difference  $r_q(K) - \ell_q(K)$  when this quantity is nonzero.

**Theorem 5.1.** If qrank  $\langle K \rangle_{\phi}$  is symmetric under  $q \to 1/q$  then dim  $J^{\flat}(K) = \text{Tait}(K)$  and qdim  $J^{\flat}(K) = \text{qrank} \langle K \rangle_{\phi}$ .

Proof. Given a finite generating set of half-foams for  $\langle K \rangle_{\phi}$ , we can proceed as in the above discussion of the computation of  $r_q(K)$  to obtain two sets of free homogeneous generators  $\{g_1, \dots, g_r\}$  and  $\{\tilde{g}_1, \dots, \tilde{g}_r\}$  for  $\langle K \rangle_{\phi}$ , where r = Tait(K), such that  $(g_i, \tilde{g}_j)_{\phi}$  is nonzero if i = j and zero otherwise. We will say that  $g_i$  and  $\tilde{g}_i$  pair together. The claim is equivalent to the statement that only generators of opposite degrees pair together. Assume for contradiction that this is not the case, and pick the largest value of n such that a generator in degree -n pairs with a generator in degree n + 6m for m > 0. By the symmetry hypothesis, the number of generators in degree -(n + 6m) is the same as the number of generators in degree n + 6m, and by our choice of n the generators in these opposite degrees must mutually pair together; contradiction.  $\Box$ 

For all webs K, except the empty web and circle web, the integer  $\operatorname{Tait}(K)$  is divisible by 3! = 6, since we can permute the colors of any Tait coloring of K to obtain another Tait coloring. Since rank  $\langle K \rangle_{\phi} = \operatorname{Tait}(K)$ , we can ask whether the quantum analog of this divisibility property holds:

**Question 5.2.** Is qrank  $\langle K \rangle_{\phi}$  divisible by  $[3]! = (q^2 + 1 + q^{-2})(q + q^{-1})$  for all webs K?

**Remark 5.1.** In general, the quantum analog of a positive integer n is  $[n] = q^{n-1} + q^{n-3} + \cdots + q^{-(n-1)}$  and the quantum analog of n! is  $[n]! = [n][n-1]\cdots[1]$ .

We note that qrank  $\langle K \rangle_{\phi}$  is divisible by [3]! for all reducible webs K (except the empty web and the circle web), and for the webs  $W_2$  and  $W_3$  in Table 3 for which  $\ell(K) = \text{Tait}(K)$ . For the remaining webs in Table 3, for which  $\ell(K) < \text{Tait}(K)$ , the computation of  $r_q(K)$  shows that if dim  $J^{\flat}(K) = \text{Tait}(K)$  then  $\text{qdim } J^{\flat}(K) = \text{qrank } \langle K \rangle_{\phi}$  is divisible by [3]!. If Question 5.2 were to be answered in the affirmative, it would have a number of implications; for example, for the dodecahedral web  $W_1$  it would force dim  $J^{\flat}(W_1) = 60$ .

From Table 3, we note that the quantum dimension  $\ell_q(K)$  contains only odd powers of q for webs  $W_1$  and  $W_5$ ; only even powers of q for web  $W_6$ ; and both even and odd powers of q for webs  $W_2$ ,  $W_3$ ,  $W_4$ , and  $W_7$ . We can ask:

Question 5.3. Under what conditions does  $\ell_q(K)$  contain only even, or only odd, powers of q?

#### Acknowledgments

The author would like to express his gratitude towards Ciprian Manolescu for providing invaluable guidance, and towards Andrea Bertozzi for the use of the UCLA Joshua computing cluster. The author would also like to thank Mikhail Khovanov, Peter Kronheimer, Tomasz Mrowka, and Louis-Hadrien Robert for providing many helpful comments on an earlier version of this paper that led to significant changes in the current version. The author was partially supported by NSF grant number DMS-1708320.

# References

- K. Appel and W. Haken, Every planar map is four colorable, volume 98 of Contemporary Mathematics. American Mathematical Society, Providence, RI, 1989.
- [2] C. Blanchet, N. Habegger, G. Masbaum, and P. Vogel, Topological quantum field theories derived from the Kauffman bracket, Topology, 34(1995) 883–927.
- [3] A. D. Boozer, Computer program for finding lower bounds on  $J^{\flat}(K)$ , http://www.math.ucla.edu/~davidboozer.

14

- [4] G. Brinkmann and W. M. Dress, A Constructive Enumeration of Fullerenes, J. Algorithms, 23(1997) 245–358.
- [5] G. Gonthier, Formal Proof-The Four-Color Theorem, Notices of the American Mathematical Society, 55(2008) 1382–139.
- [6] M. Khovanov and L.-H. Robert, Foam evaluation and Kronheimer-Mrowka theories, preprint, arXiv:1808.09662.
- [7] P. B. Kronheimer and T. S. Mrowka, *Tait colorings, and an instanton homology for webs and foams*, preprint, arXiv:1508.07205.
- [8] P. B. Kronheimer and T. S. Mrowka, Exact triangles for SO(3) instanton homology of webs, preprint, arXiv:1508.07207.
- [9] P. B. Kronheimer and T. S. Mrowka, A deformation of instanton homology for webs, preprint, arXiv:1710.05002.
- [10] L.-H. Robert and E. Wagner, A closed formula for the evaluation of  $\mathfrak{sl}_n$ -foams, preprint, arXiv:1702.04140.
- [11] N. Robertson, D. Sanders, P. Seymour, and R. Thomas, The Four-Colour Theorem, J. Combin. Theory Ser. B, 70(1997) 2–44.