

ON THE GENERALIZED FENG-RAO NUMBERS OF NUMERICAL SEMIGROUPS GENERATED BY INTERVALS

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ABSTRACT. We give some general results concerning the computation of the generalized Feng-Rao numbers of numerical semigroups. In the case of a numerical semigroup generated by an interval, a formula for the r^{th} Feng-Rao number is obtained.

1. INTRODUCTION

The Feng-Rao distance for a numerical semigroup was introduced in coding theory as a lower bound for the minimum distance of a one-point algebraic geometry (error-correcting) code (see [8]). This *order bound*, computed from Weierstrass semigroups, improves the lower bound for the minimum distance given by Goppa with the aid of the Riemann-Roch theorem. Moreover, the Feng-Rao distance is essential in a majority voting decoding procedure, which is the most efficient one for such types of codes (see [11]).

Even though the Feng-Rao distance was introduced for Weierstrass semigroups and for decoding purposes, it is just a combinatorial concept that makes sense for arbitrary numerical semigroups. This problem has been broadly studied in the literature for different types of semigroups (see [2], [3] or [12]). In numerical terms, the above mentioned improvement of the Goppa distance in coding theory means the following: For a semigroup S with genus g and $m \in S$ the *Feng-Rao distance* satisfies

$$\delta(m+1) \geq m+2-2g$$

if $m > 2g-2$, and equality holds for $m \gg 0$.

On the other hand, the concept of minimum distance for an error-correcting code has been generalized to the so-called *generalized Hamming weights*. They were introduced independently by Helleseth et al. in [10] and Wei in [14] for applications in coding theory and cryptography, respectively.

The natural generalization of the Feng-Rao distance to higher weights was introduced in [9]. The computation of these generalized Feng-Rao distances turns out to

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be a very hard problem. Actually, very few results are known about this subject, and they are completely scattered in the literature (see for example [1], [9] or [7]).

This paper studies the asymptotical behaviour of the generalized Feng-Rao distances, that is, $\delta^r(m)$ for $r \geq 2$ and $m \gg 0$. In fact, it was proven in [7] that

$$(1) \quad \delta^r(m) = m + 1 - 2g + E(S, r)$$

for $m \gg 0$ (details in the next section). The number $E(S, r)$ is called the r -th Feng-Rao number of the semigroup S , and is unknown except for a very few semigroups and concrete r 's. For example, it was proven in [6] that

$$E(S, r) = \rho_r$$

for hyperelliptic semigroups $S = \langle 2, 2g+1 \rangle$, with multiplicity 2 and genus g , and for Hermitian-like semigroups $S = \langle a, a+1 \rangle$, where $S = \{\rho_1 = 0 < \rho_2 < \dots\}$. In fact, it is not even known yet if this formula holds for arbitrary numerical semigroups generated by two elements $S = \langle a, b \rangle$. Nevertheless, our experimental results point in this direction.

The main purpose of this paper is precisely to compute $E(S, r)$ for semigroups generated by intervals, as a certain generalization of the Hermitian-like case. As a byproduct, we provide some general algorithms, implemented in GAP [5], to compute Feng-Rao numbers.

The paper is written as follows. Section 2 presents the general definitions concerning numerical semigroups, Feng-Rao distances and Feng-Rao numbers, and some convenient visualizations of integers for a given semigroup. The reader may find it useful to see some images in Subsection 2.3.

The concept of amenable subset of a numerical semigroup is introduced in Section 3. It consists of a set that is closed for taking divisors. It implies that distances between elements are somehow controlled. Amenable sets play a fundamental role in some general results on Feng-Rao numbers of numerical semigroups. These results allowed the implementation of a function to compute the Feng-Rao numbers of a numerical semigroup which works quite well. It uses some of the functionalities of the GAP package `numericalsgps` [5] and will hopefully be part of a future release of that package. We give in this way some general results. Among them, an important lemma shows that the divisors of a configuration are the divisors of the shadow plus the elements above the ground.

Many examples computed with the referred function helped us to gain the necessary intuition to obtain a formula for the r^{th} Feng-Rao number of a numerical semigroup generated by an interval, which is presented in Section 4. This is the last and main result of this paper, and we briefly explain it in the sequel.

Recall that we are aiming to find a formula for $\delta^r(m)$, when S is a semigroup generated by an interval of integers. The strategy will be as follows: Suppose that there is an amenable set M which is an optimal configuration whose shadow $L_M = [m, m+a+b) \cap M$ does not contain the ground (that is, $L_M \neq [m, m+a+b) \cap \mathbb{N}$). Then, using the results of Subsection 4.2, we can construct an r -amenable set N (said to be ordered amenable) whose shadow L_N is an interval starting in m and has no more elements than $\sharp L_M$. Furthermore, by Proposition 28, $\sharp D(L_N) \leq \sharp D(L_M)$, which implies that the number of divisors of N is no bigger than the number of divisors of M and therefore N is also an optimal configuration. It follows that ordered amenable sets are optimal configurations. Thus, the problem of computing the generalized Feng-Rao numbers is reduced to counting the divisors of intervals

of the form $[m, m + \ell] \cap \mathbb{N}$, with $\ell \leq a + b - 1$. This is done by Corollary 24. The main result, which gives a formula, then follows.

2. DEFINITIONS AND BASIC RESULTS

This section is divided into several subsections. We start with several basic definitions and we introduce some notation. The reader is referred to the book [13] for details. Then we give the definition of generalized Feng-Rao numbers and end the section by giving a way to visualize the integers which is convenient for our purposes.

2.1. Basic definitions and notation. Let S be a numerical semigroup, that is, a submonoid of \mathbb{N} such that $\#(\mathbb{N} \setminus S) < \infty$ and $0 \in S$. Denote, respectively, by $g := \#(\mathbb{N} \setminus S)$ and $c \in S$ the *genus* and the *conductor* of S , being c by definition the (unique) element in S such that $c - 1 \notin S$ and $c + l \in S$ for all $l \in \mathbb{N}$. Note that if S is the Weierstrass semigroup of a curve χ at a point P , then g is equal to the geometric genus of χ , and the elements of $G(S) := \mathbb{N} \setminus S$ are called the Weierstrass gaps at P . For an arbitrary semigroup, these elements are simply called gaps.

It is well known (see for instance [13, Lemma 2.14]) that $c \leq 2g$, and thus the “largest gap” of S is $c - 1 \leq 2g - 1$. The number $c - 1$ is precisely the *Frobenius number* of S . The *multiplicity* of a numerical semigroup is the least positive integer belonging to it.

We say that a numerical semigroup S is generated by a set of elements $G \subseteq S$ if every element $x \in S$ can be written as a linear combination,

$$x = \sum_{g \in G} \lambda_g g,$$

where finitely many $\lambda_g \in \mathbb{N}$ are non-zero. In fact, it is classically known that every numerical semigroup is finitely generated, that is, we can find a finite set G generating S . Furthermore, every generator set contains the set of irreducible elements, $x \in S$ being irreducible if $x = u + v$ and $u, v \in S$ implies $u \cdot v = 0$, and this set actually generates S , so that it is usually called “the” generator set of S , whose cardinality is called *embedding dimension* of S (more details in [13]). Most of the time, we will suppose S is minimally generated by $\{n_1 < \dots < n_e\}$. Its embedding dimension is e . Note that if a and b are integers, with $b < a$, and S is minimally generated by the interval $[a, a + b] \cap \mathbb{N}$, then n_1 is a , $n_e - n_1$ is b and the embedding dimension is $b + 1$.

Finally, if we enumerate the elements of S in increasing order,

$$S = \{\rho_1 = 0 < \rho_2 < \dots\},$$

we note that every $x \geq c$ is the $(x + 1 - g)^{\text{th}}$ element of S , that is, $x = \rho_{x+1-g}$.

The last part of this paper will be devoted to semigroups generated by intervals.

Let a be a positive integer and b an integer with $0 < b < a$. Let $S = \langle a, a + 1, \dots, a + b \rangle$.

2.2. Feng-Rao numbers. Next we introduce the definitions for generalized Feng-Rao distances. Although there is a subsection dedicated to the concept of divisor, we already need the definition.

Definition 1. Given $x \in S$, we say that $\alpha \in S$ *divides* x if $x - \alpha \in S$. We denote by $D(x) = \{\alpha \in S \mid x - \alpha \in S\}$ the set of *divisors* of x .

Definition 2. Let S be a numerical semigroup. For $m_1 \in S$, let $\nu(m_1) := \#D(m_1)$. The (classical) *Feng-Rao distance* of S is defined by the function

$$\begin{aligned} \delta_{FR} : S &\longrightarrow \mathbb{N} \\ m &\mapsto \delta_{FR}(m) := \min\{\nu(m_1) \mid m_1 \geq m, m_1 \in S\}. \end{aligned}$$

There are some well-known facts about the functions ν and δ_{FR} for an arbitrary semigroup S (see [11], [12] or [2] for further details). An important one is that $\delta_{FR}(m) \geq m + 1 - 2g$ for all $m \in S$ with $m \geq c$, and that equality holds if, moreover, $m \geq 2c - 1$ (see also Proposition 7).

We will simplify the notation by writing $\delta(m)$ for $\delta_{FR}(m)$.

The classical Feng-Rao distance corresponds to $r = 1$ in the following definition.

Definition 3. Let S be a numerical semigroup, $m \in S$ and $r \geq 1$.

- (a) A set $M \subset S \cap [m, \infty)$ with cardinality r is called a (S, m, r) -*configuration*, or simply a *configuration*. For any such configuration $M = \{m_1, \dots, m_r\} \subset S$, we will assume that $m \leq m_1 < \dots < m_r$.
- (b) Let $D(M) := D(m_1) \cup \dots \cup D(m_r)$ (we will also write $D(m_1, \dots, m_r)$ for $D(M)$; we may find the notation $\nu(m_1, \dots, m_r) := \#D(m_1, \dots, m_r)$ in the literature).

The r^{th} *Feng-Rao distance* of S is defined by the function

$$\begin{aligned} \delta^r : S &\longrightarrow \mathbb{N} \\ m &\mapsto \delta^r(m) = \min\{\#D(M) \mid M \text{ is a } (S, m, r)\text{-configuration}\}. \end{aligned}$$

- (c) A configuration M with cardinality r is said to be *optimal* if $\delta^r(m) = \#D(M)$.

Very few results are known for the numbers δ^r , and their computation is very hard from both a theoretical and computational point of view. The main result we need describes the asymptotical behaviour for $m \gg 0$, and was proven in [7]. This result tells us that there exists a certain constant $E(S, r)$, depending on r and S , such that

$$\delta^r(m) = m + 1 - 2g + E(S, r),$$

for $m \geq 2c - 1$.

Definition 4. This constant $E(S, r)$ is called the r^{th} *Feng-Rao number* of the semigroup S .

Furthermore, it is also true that $\delta^r(m) \geq m + 1 - 2g + E(S, r)$ for $m \geq c$ (see [7]).

Note that, for any non-negative integer k and $m \geq 2c - 1$, $\delta^r(m + k) = k + \delta^r(m)$.

2.3. A convenient visualisation of the integers. We can think of the integers as points disposed regularly on a cylindrical helix (Figure 1).

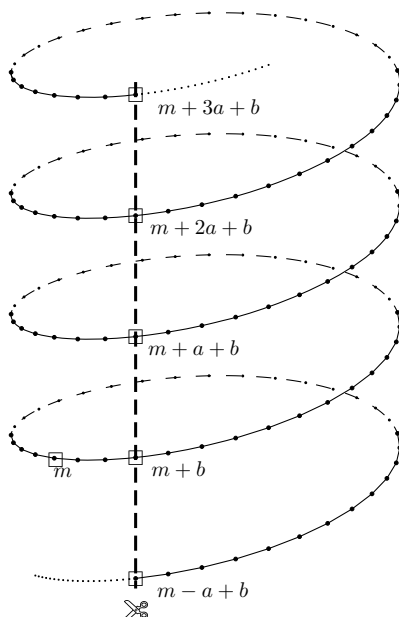
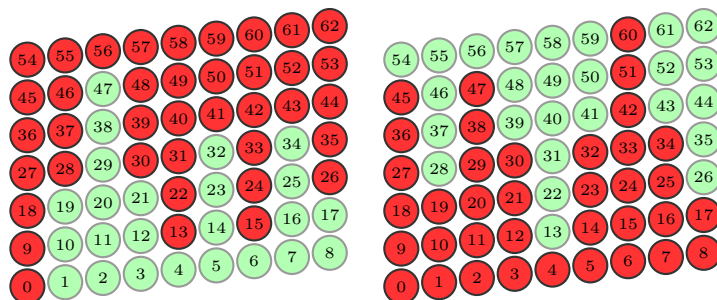


FIGURE 1. The integers on a helix

Since using the sketch of Figure 1 some of the integers would be hidden, so we will consider a planar projection of the cylinder instead. This projection is obtained by cutting the cylinder through a vertical line passing through a point previously chosen. Thus, every floor in this planar representation will contain exactly a fixed amount of elements. In Figure 1 we take this amount to be a positive integer a , and we cut along the vertical line passing through $m + b$ and $m + a + b$.

We shall use this drawings to depict the most relevant parts of the sets considered. For instance, if we want to highlight the elements of a numerical semigroup, we do not add any information by depicting the points below 0 and those above the conductor.

The parallelograms in Figure 2 highlight the elements of the semigroup $S = \langle 9, 13, 15 \rangle$, and the elements of $60 - S$, respectively (choosing the size of the floor equal to 9).

FIGURE 2. The semigroup $S = \langle 9, 13, 15 \rangle$ and $60 - S$, respectively

Most times we are interested in finite sets of integers which are not smaller than a given integer m . In this case we prefer to draw all the points from m to $m + a + b$ at the same level. See Figure 4 for an example. Its caption will soon become clear. For convenience, the columns are numbered. Having such a picture in mind, we can think of a partition of the set of integers greater than m whose classes are the columns (the i^{th} column of a set is the set of its elements congruent with i modulo a).

3. A GENERIC ALGORITHM

We shall start the section by giving quite an efficient algorithm to compute the divisors of an element of a numerical semigroup. The aim is then to find an optimal configuration. Note that if M is required to be an optimal configuration, we just have to control the cardinality of the difference $D(M) \setminus D(m)$, for all possible $m \in M$.

Among the optimal configurations there is an amenable set (Proposition 10). Thus, one can search for an optimal configuration among the amenable sets, which can be constructed using Algorithm 2. Due to the results in Subsection 3.3 (Corollary 14, to be more specific), one only needs to consider one amenable set for each shadow.

3.1. Divisors. Recall that given $x \in S$, we say that $\alpha \in S$ divides x if $x - \alpha \in S$. We denote by $D(x)$ the set of *divisors* of x , and $S_x = \{n \in S \mid n \leq x\}$.

Lemma 5. (1) $D(x) \subseteq S_x$.
 (2) $s \in D(x)$ implies $D(s) \subseteq D(x)$.
 (3) $D(x) = S \cap (x - S)$.
 (4) $D(x) = S_x \cap (x - S_x)$.

Proof. The first assertion follows from the definition. If $s \in D(x)$, then $x = s + y$ for some $y \in S$. For every $z \in D(s)$, $s = z + t$ for some $t \in S$, and thus $x = z + (t + y)$, which leads to $z \in D(x)$. The rest of the statements are easy to prove. \square

The computation of the divisors of an element can be easily implemented (Algorithm 1) due to Lemma 5. Note also that, once we compute the elements of S smaller than x (which can easily be done if the conductor is known), the computation of the divisors is immediate.

Algorithm 1: Divisors

Input : A numerical semigroup S , $x \in S$

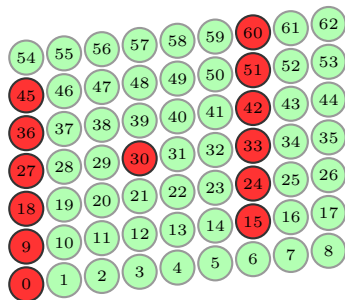
Output: The divisors of x

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1  $S_x := \{s \in S \mid s \leq x\}$  /* Compute the elements of  $S$  smaller than  $x$  */
2 return  $\{s \in S_x \mid x - s \in S_x\}$ 

```

The highlighted elements in Figure 3 represent the divisors of $60 \in \langle 9, 13, 15 \rangle$. They are obtained intersecting the highlighted elements of the pictures in Figure 2.

FIGURE 3. The divisors of 60 in the semigroup $S = \langle 9, 13, 15 \rangle$

Another immediate consequence of Lemma 5, which has interest in concrete implementations, is the following corollary:

Corollary 6. *If $c \leq x \leq y$, then $D(y) \cap [x, \infty) = (y - S) \cap [x, \infty)$.*

We remember that

$$\begin{aligned} D(m_1, \dots, m_r) &= D(m_1) \cup \dots \cup D(m_r) \\ &= \{p \in S \mid m_i - p \in S \text{ for some } i \in \{1, \dots, r\}\}. \end{aligned}$$

The highlighted elements in Figure 4 are the elements of $D(235, 199, 247, 229)$ which are greater than 189, when S is the semigroup $\langle 19, 20, 21, 22, 23 \rangle$.

Observe that $x - S$ contains all the integers not greater than $x - c$ and that the number of integers smaller than x not belonging to $x - S$ is precisely the genus of S . As the number of non-negative integers not greater than x is $x + 1$, one gets immediately the well-known fact (see [11], [12] or [2]):

Proposition 7. *If $x \geq 2c - 1$, then $\#D(x) = \#S \cap (x - S) = x + 1 - 2g$.*

3.2. Amenable sets.

Definition 8. Let S be a numerical semigroup with conductor c , and let $M = \{m_1 < \dots < m_r\} \subseteq S$. We say that M is m_1 -closed under division if

$$(2) \quad \text{for all } i \in \{1, \dots, r\}, D(m_i) \cap [m_1, \infty) \subseteq M.$$

If, in addition, $2c - 1 \leq m = m_1$, then we say that the set M is (S, m, r) -amenable.

As a convention, the empty set is considered an $(S, m, 0)$ -amenable set, for any m . When no confusion arises or only the concept is important, we say (m, r) -amenable set or simply *amenable set*.

Example 9. (1) Let $S = \langle 19, 20, 21, 22, 23 \rangle$. Its conductor is $c = 95$. Take $m = 2c - 1 = 189$. The set M consisting of the highlighted elements in Figure 4 is an amenable subset of S .

(2) Let S be a numerical semigroup with conductor c . Let $m \geq 2c - 1$, and let r be a non-negative integer. Then the interval $[m, m + r - 1] \cap \mathbb{N}$ is a (S, m, r) -amenable set.

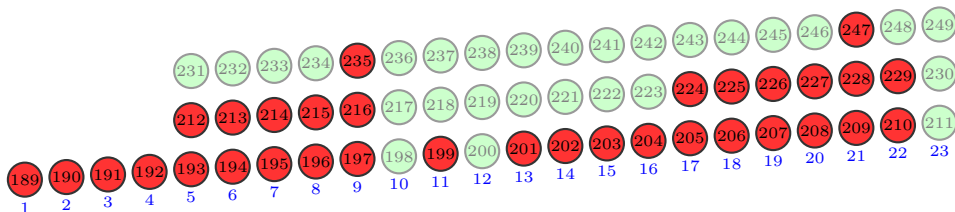


FIGURE 4. An amenable set

The importance of amenable sets comes from the following result, which states that among the optimal configurations of cardinality r there is at least one (S, m, r) -amenable set.

Proposition 10. *Let S be a numerical semigroup with conductor c and let $m \geq 2c - 1$. Let r be a positive integer. Among the optimal configurations of cardinality r there is one (S, m, r) -amenable set.*

Proof. Let $M = \{m_1, \dots, m_r\}$ be an optimal configuration. As $m \geq 2c - 1$, $\delta^r(m)$ is strictly increasing in m , and thus m cannot be less than m_1 , which implies that $m_1 = m$.

If M is not m -closed under division, we may assume that for some $i \in \{1, \dots, r\}$ there exists $t \in S$ such that $m_i - t > m$ and $m_i - t \notin \{m_1, \dots, m_r\}$. Clearly, $D(m_i - t) \subset D(m_i)$, and thus $D(m_1, \dots, m_{i-1}, m_i - t, m_{i+1}, \dots, m_r) \subseteq D(m_1, \dots, m_r)$. In other words, we can change m_i by $m_i - t$ and the number of divisors does not increase. Now we can repeat the process with the set obtained until we reach an m -closed under division set. Note that this must happen in a finite number of steps (\mathbb{N}^r has no infinite descending chains). \square

The definition of amenable set, which seems to be suitable for proofs, does not seem to help very much to do computations unless we can prove some consequences. The following one, showing that the distances between elements is somehow controlled, guarantees that the search of the amenable sets can be done in a bounded subset of S , and therefore amenable sets can be effectively computed. An algorithm will be presented (Algorithm 2).

Proposition 11. *Let S be a numerical semigroup with conductor c and let $m \geq 2c - 1$. Let $M = \{m_1, \dots, m_r\} \subseteq S$ be an (S, m, r) -amenable set and suppose that $S = \{0 = \rho_1 < \rho_2 < \dots\}$. Then*

- (a) $m_i \leq m + \rho_i$, for all $i \in \{1, \dots, r\}$,
- (b) $m_{i+1} - m_i \leq \rho_2$, for all $i \in \{1, \dots, r - 1\}$.

Proof. (a) Suppose that there exists $i_0 \in \{1, \dots, r\}$ such that $m_{i_0} - \rho_{i_0} > m$. Let $D = \{m_{i_0} - \rho_j \mid j \in \{1, \dots, i_0\}\}$. All the elements of D are bigger than m , that is, $D \subseteq (m, \infty)$. On the other hand, by using Lemma 5, $D \subseteq D(m_{i_0})$. Thus $D \subseteq D(m_{i_0}) \cap (m, \infty) \subsetneq \{m_1, \dots, m_{i_0}\}$. The containment is strict since $m_1 = m$. But this is absurd, since the two ends of the chain have the same cardinality.

(b) Note that $m_{i+1} - \rho_2$ is a divisor of m_{i+1} . This implies that, if $m_{i+1} - \rho_2 \geq m$, then $m_{i+1} - \rho_2 \in M$. As $m_{i+1} - \rho_2 < m_{i+1}$ and there is no element in M strictly between m_i and m_{i+1} , $m_{i+1} - \rho_2$ must be not greater than m_i . \square

For efficiency reasons, the following result is important. It shows that we do not have to consider all divisors.

Proposition 12. *A subset $M = \{m = m_1, \dots, m_r\}$ of a numerical semigroup S is (S, m, r) -amenable if and only if*

- (3) *for all $i \in \{1, \dots, r\}$ and g minimal generator of S ,
if $m_i - g \geq m$, then $m_i - g \in \{m_1, \dots, m_r\}$.*

Proof. Let $m_i \in M$ and $u \in D(m_i) \cap [m, \infty)$, with $u \neq m_i$. We shall prove that if (3) holds, then $u \in M$, thus concluding that M is (S, m, r) -amenable. We can write $u = m_i - \gamma$, with $\gamma \in S \setminus \{0\}$. Assume as induction hypothesis that $m_i - \alpha \in D(m_i) \cap [m, \infty)$ implies $m_i - \alpha \in M$, for all α less than γ . Let g be a minimal generator that divides γ . As $\gamma - g < \gamma$, and $m_i - (\gamma - g) = m_i - \gamma + g \in D(m_i) \cap [m, \infty)$, we have, by hypothesis, that $m_i - \gamma + g \in M$. But then, by (3), $m_i - \gamma = (m_i - \gamma + g) - g \in M$. \square

Propositions 11 and 12 led to an algorithm to compute the set of (S, m, r) -amenable sets. Pseudo-code is presented in Algorithm 2.

Algorithm 2: (S, m, r) -amenable sets

Input : A numerical semigroup S , $m \geq 2c - 1$ and r an integer
Output: The set of (S, m, r) -amenable sets

$SM := [[m]]$ /* the set of amenable sets */
 Compute the generators $gens = \{n_1 < \dots < n_e\}$ and the elements
 $\{0 = \rho_1 < \rho_2 < \dots\}$ of S

1 **for** i in $[2..r]$ **do**
 $newM := []$
 2 **for** x in SM **do**
 $min := \text{Minimum}(x[\text{Length}(x)] + \rho_2, m + \rho_i)$ /* the consequences
 in Proposition 11 should be satisfied: the next element
 to be added must not be greater than the last + rho2
 neither $m + \rho_1[i]$ */
 3 **for** m_j in $[x[\text{Length}(x)] + 1 \dots min]$ **do**
 4 $divs := \{d \in m_j - gens \mid d > m\}$ /* strict divisors of m_j
 greater than m */
 5 **if** $divs \subseteq x$ **then**
 /* in order to get condition (3) of Proposition 12
 satisfied */
 Append($newM$, [Union(x , [m_j])])
 $SM := newM$;
return SM

As we will see in the next subsection, we do not need all the amenable sets.

3.3. The ground. We continue considering S a numerical semigroup minimally generated by $\{n_1 < \dots < n_e\}$ with conductor c . Let $m \geq 2c - 1$. The set $[m, m + n_e) \cap \mathbb{N}$ is called the (S, m) -ground, or simply *ground*.

The intersection of an (S, m, r) -amenable set M with the (S, m) -ground is called the *shadow* of M .

Note that the shadow of an amenable set is amenable.

Lemma 13. *Let S be a numerical semigroup minimally generated by $\{n_1 < \dots < n_e\}$ with conductor c . Let $m \geq 2c - 1$ and let $M = \{m = m_1 < \dots < m_r\}$ be an amenable set. Let $L = M \cap [m, m + n_e)$ be the shadow of M . Then*

$$D(M) = (M \setminus L) \cup D(L),$$

and furthermore $\sharp D(M) = \sharp(M \setminus L) + \sharp D(L)$.

Proof. The inclusion $(M \setminus L) \cup D(L) \subseteq D(M)$ is clear. For the other inclusion, let $x \in D(M) \setminus (M \setminus L) = (D(M) \setminus M) \cup L$. We want to prove that $x \in D(L)$. Since $L \subseteq D(L)$, we can assume that $x \in D(M) \setminus M$. Then $x \in D(m_i)$ for some $i \in \{1, \dots, r\}$, and since $m_i \notin L$, $m_i \geq m + n_e$. As $m_i - x \in S \setminus \{0\}$, there exists $j \in \{1, \dots, e\}$ such that $m_i - x - n_j \in S$. Hence $x \in D(m_i - n_j)$. By hypothesis, M is amenable and thus $m_i - n_j \in M$, since $m_i - n_j \in D(m_i) \cap [m, \infty)$. If needed, we can repeat the process until $m_i - n_j \in L$, that is, $x \in D(L)$.

The second assertion follows easily since the above union is disjoint. \square

As an easy but useful consequence, we get the following corollary.

Corollary 14. *Let M and N be (m, r) -amenable sets with shadows L_M and L_N , respectively. Then $L_M \subseteq L_N \implies \sharp D(M) \leq \sharp D(N)$.*

Proof. Suppose that L_N is the disjoint union of L_M and a set K of cardinality k . Observe that, since both M and N have cardinality r , $\sharp(M \setminus L_M) = \sharp(N \setminus L_N) + k$.

As $D(L_N) = D(L_M) \cup D(K) \supseteq D(L_M) \cup K$, it follows that $\sharp D(L_N) \geq \sharp D(L_M) + k$, that is, $\sharp D(L_M) \leq \sharp D(L_N) - k$.

Thus, $\sharp D(M) = \sharp(M \setminus L_M) + \sharp D(L_M) \leq \sharp(N \setminus L_N) + k + \sharp D(L_N) - k$. \square

Corollary 15. *Let S be a numerical semigroup minimally generated by $\{n_1 < \dots < n_e\}$ with conductor c . Let $m \geq 2c - 1$ and let $M \subset [m, \infty)$ be an amenable set which is an optimal configuration of cardinality r . Let $L = M \cap [m, m + n_e)$ be the shadow of M . Then $\delta^r(m) = \sharp D(L) + \sharp(M \setminus L)$.*

Corollary 16. *In particular, if there exists an optimal configuration M of cardinality r such that $[m, m + n_e) \cap \mathbb{N} \subseteq M$, then $[m, m + r - 1 + k] \cap \mathbb{N}$ is also an optimal configuration of cardinality $r + k$.*

3.4. An algorithm to compute generalized Feng-Rao numbers. In the cases where computing divisors is “easy”, finding optimal configurations is as difficult as computing generalized Feng-Rao numbers. This problem is referred to as “hard” in the literature, even from the computational point of view.

Algorithm 3 can be used to compute generalized Feng-Rao numbers of any numerical semigroup. Note that its efficiency depends on the number of amenable sets. Due to Corollary 14, it can be sharpened, since we only need to consider one amenable set for each possible shadow.

Algorithm 3: Generalized Feng-Rao numbers

Input : A numerical semigroup S , $m \in S$, $r \in \mathbb{N}$
Output: $\delta^r(m)$

$SM := \emptyset$

1 $AM := \{M \subset S \mid M \text{ is a } (S, m, r)\text{-amenable set}\} /* \text{ Compute the } (m, r)\text{-amenable sets, by making a call to Algorithm 2} */$

2 For each possible shadow s , add to SM an element of AM with shadow s , if it exists

$\nu := m + r /* \text{ an obvious upper bound} */$

3 **for** M **in** SM **do**

$D := \bigcup \{Divisors(x) \mid x \in M\} /* \text{ Compute the divisors of } M, \text{ by using Algorithm 1} */$

$\nu := \text{minimum}(\#D, \nu)$

4 **return** ν

This algorithm (even preliminary versions of it) has been extensively used by the authors to perform computations which gave the intuition that ultimately led to the main results of this paper.

4. NUMERICAL SEMIGROUPS GENERATED BY INTERVALS

From now on we assume that $S = \langle a, \dots, a + b \rangle$ with a and b positive integers, and $b < a$.

4.1. Some counting lemmas. As we have seen above, it is crucial to know the number of divisors of subsets of the ground (this is obtained in Remark 22). In this section we prove some technical lemmas on counting the divisors of elements, and then apply them for elements in the ground. The main result (Proposition 28) shows that the minimum is obtained when the elements form an interval starting in m .

The membership problem for semigroups generated by intervals is trivial as the following known result (and with many different formulations) shows.

Lemma 17 ([4, Lemma 10, for $d = 1$]). *Let k and r be integers such that $0 \leq r \leq a - 1$. Then $ka + r \in S$ if and only if $r \leq kb$.*

Lemma 18. *Let $m \geq 2c - 1$. Let q be a non-negative integer and $j \in \{0, \dots, a - 1\}$.*

$$D(m, m + qa + j) = D(m)$$

$$\cup \left\{ m - (ka + r) \mid 0 \leq r \leq a - j - 1, \frac{r + j}{b} - q \leq k < \frac{r}{b} \right\}$$

$$\cup \left\{ m - (ka + r) \mid a - j \leq r \leq a - 1, \frac{r + j - (a + b)}{b} - q \leq k < \frac{r}{b} \right\},$$

and this union is disjoint.

Proof. We describe the set $D(m + qa + j) \setminus D(m)$. Let x be an integer such that $m + qa + j - x \in S$ and $m - x \notin S$. In particular, as $m \geq 2c - 1$, $m - x \notin S$ implies that $m - x < c$, and thus $c - 1 \leq m - c < x$, which leads to $x \in S$. Thus $x \in D(m + qa + j) \setminus D(m)$. Set $n = m - x$, and let k and r be integers such

that $n = ka + r$ ($x = m - (ka + r)$). Then $n = ka + r \notin S$ and $n + qa + j = (q + k)a + (j + r) \in S$. In view of Lemma 17, this implies that $kb < r < a$ and

- if $r + j \leq a - 1$, then $0 \leq r + j \leq (q + k)b$,
- if $r + j \geq a$, by writing $(q + k)a + (j + r) = (q + k + 1)a + (j + r - a)$, we obtain $0 \leq r + j - a \leq (q + k + 1)b$. \square

Figure 5 shows the divisors of $D(m, m + \lambda)$ with $m = 2c - 1 = 35$, $m + \lambda \in \{42, 59\}$, and $S = \langle 9, 10, 11, 12, 13 \rangle$.

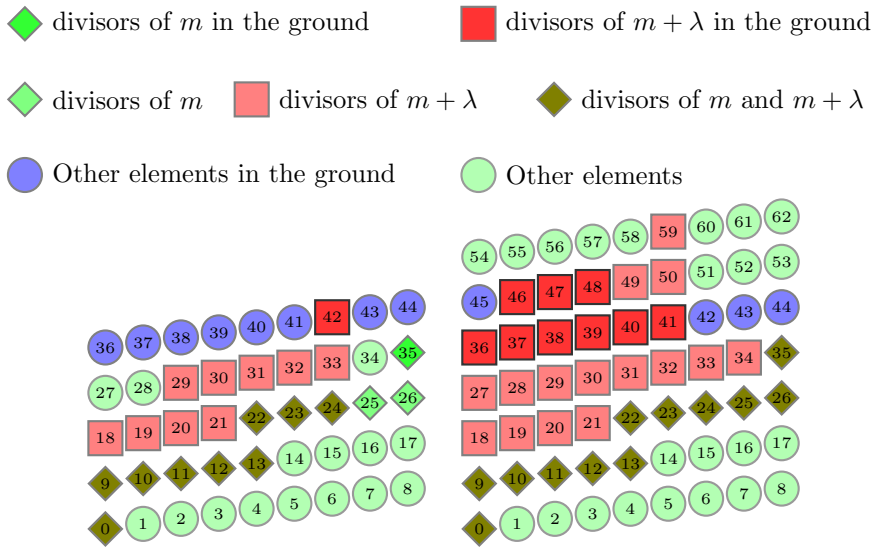


FIGURE 5. $D(m, m + \lambda)$

Remark 19. For the particular case $q = 0$ and $0 < j < a$, we get

$$D(m, m + j) = D(m) \cup \{m - (ka + r) \mid a - j \leq r \leq a - 1, 0 < r - kb \leq (a + b) - j\}.$$

For $q = 1$ and $j = 0$,

$$D(m, m + a) = D(m) \cup \{m - (ka + r) \mid 0 \leq r \leq a - 1, 0 < r - kb \leq b\},$$

which is the same as above by taking $j = a$.

For the case $q = 1$, we get

$$\begin{aligned} D(m, m + a + j) &= D(m) \\ &\cup \{m - (ka + r) \mid 0 \leq r \leq a - j - 1, 0 < r - kb \leq b - j\} \\ &\cup \{m - (ka + r) \mid a - j \leq r \leq a - 1, 0 < r - kb \leq (a + b) + b - j\}. \end{aligned}$$

This describes all elements $D(m, m + \ell)$ with $\ell \in \{1, \dots, a + b - 1\}$ (i.e., $m + \ell$ in the ground).

Lemma 20. *Let $0 = i_0 < i_1 < \cdots < i_t < i_{t+1} < a + b$ be such that $\{m, m + i_1, \dots, m + i_{t+1}\}$ is amenable. Then*

$$\begin{aligned} D(m, m + i_1, \dots, m + i_{t+1}) &= D(m, m + i_1, \dots, m + i_t) \\ &\cup \{m - (ka + r) \mid a - i_{t+1} \leq r \leq a - 1 - i_t, 0 < r - kb \leq (a + b) - i_{t+1}\}. \end{aligned}$$

Proof. Assume first that $i_{t+1} \leq a$. Note that $D(m + i_{t+1}) \setminus (D(m, m + i_1, \dots, m + i_t)) = \bigcap_{j=0}^t D(m + i_{t+1}) \setminus D(m + i_j)$, and this equals

$$\bigcap_{j=0}^t \{m + i_j - (ka + r') \mid a - (i_{t+1} - i_j) \leq r' \leq a - 1, 0 < r' - kb \leq (a + b) - (i_{t+1} - i_j)\}$$

(Remark 19). If we make the change of variables $r = r' - i_j$ for each j , we obtain

$$\bigcap_{j=0}^t \{m - (ka + r) \mid a - i_{t+1} \leq r \leq a - 1 - i_j, -i_j < r - kb \leq (a + b) - i_{t+1}\}.$$

Intersecting means choosing the least intervals for r and $r - kb$, and we get the desired result.

Now assume that $a < i_{t+1} < a + b$. By hypothesis there exists s such that $i_{t+1} - i_s < a$ and $i_{t+1} - i_{s-1} \geq a$ (by amenability). For $i_{t+1} - i_j \geq a$, write $i_{t+1} - i_j = a + h_j$. Hence $\bigcap_{j=0}^t D(m + i_{t+1}) \setminus D(m + i_j)$ equals

$$\begin{aligned} &\bigcap_{j=s}^t \{m + i_j - (ka + r') \mid a - (i_{t+1} - i_j) \leq r' \leq a - 1, 0 < r' - kb \leq (a + b) - (i_{t+1} - i_j)\} \\ &\bigcap_{j=0}^{s-1} \left(\bigcap_{j=0}^{s-1} (\{m + i_j - (ka + r') \mid 0 \leq r' \leq a - (i_{t+1} - i_j - a) - 1, 0 < r' - kb \leq b - (i_{t+1} - i_j - a)\} \right. \\ &\quad \left. \cup \{m + i_j - (ka + r') \mid a - (i_{t+1} - i_j - a) \leq r' \leq a - 1, 0 < r' - kb \leq (a + b) + b - (i_{t+1} - i_j - a)\} \right) \end{aligned}$$

If we perform again the change of variables $r = r' - i_j$, we obtain that $C = \bigcap_{j=s}^t \{m + i_j - (ka + r') \mid a - (i_{t+1} - i_j) \leq r' \leq a - 1, 0 < r' - kb \leq (a + b) - (i_{t+1} - i_j)\} = \{m - (ka + r) \mid a - i_{t+1} \leq r \leq a - i_t - 1, -i_s < r - kb \leq (a + b) - i_{t+1}\}$. Analogously, for every $j \in \{0, \dots, s - 1\}$,

$$\begin{aligned} &\{m + i_j - (ka + r') \mid 0 \leq r' \leq a - (i_{t+1} - i_j - a) - 1, 0 < r' - kb \leq b - (i_{t+1} - i_j - a)\} \\ &\cup \{m + i_j - (ka + r') \mid a - (i_{t+1} - i_j - a) \leq r' \leq a - 1, 0 < r' - kb \leq (a + b) + b - (i_{t+1} - i_j - a)\} \end{aligned}$$

equals

$$\begin{aligned} &\{m - (ka + r) \mid -i_j \leq r \leq 2a - i_{t+1} - 1, -i_j < r - kb \leq a + b - i_{t+1}\} \\ &\cup \{m - (ka + r) \mid 2a - i_{t+1} \leq r \leq a - i_j - 1, -i_j < r - kb \leq 2(a + b) - i_{t+1}\}. \end{aligned}$$

Observe that $a - i_t - 1 \leq 2a - i_{t+1} - 1$ if and only if $i_{t+1} - i_t \leq a$, which is the case since we are using an amenable set. Hence C does not cut the second set in the above union, and the whole intersection is as in the case $i_{t+1} < a$. \square

Corollary 21. *Let $0 = i_0 < i_1 < \dots < i_t < i_{t+1} < a + b$. Then*

$$\begin{aligned} \sharp D(m, m + i_1, \dots, m + i_{t+1}) &= \sharp D(m, m + i_1, \dots, m + i_t) \\ &\quad + \sum_{j=i_t+1}^{i_{t+1}} \left\lceil \frac{a+b-j}{b} \right\rceil - \left\lceil \frac{i_{t+1}-j}{b} \right\rceil. \end{aligned}$$

Proof. We compute the cardinality of $\{m - (ka + r) \mid a - i_{t+1} \leq r \leq a - 1 - i_t, 0 < r - kb \leq (a + b) - i_{t+1}\}$. Note that $m - (ka + r) = m - (k'a + r')$ with $0 \leq r, r' < a$ implies that $k = k'$ and $r = r'$. Thus we must calculate $\sharp\{(k, r) \mid a - i_{t+1} \leq r \leq a - 1 - i_t, 0 < r - kb \leq (a + b) - i_{t+1}\}$, which equals $\sharp\{(k, r) \mid i_t + 1 \leq a - r \leq i_{t+1}, \frac{i_{t+1} + r - (a+b)}{b} \leq k < \frac{r}{b}\}$. By taking $j = a - r$, we get

$$\begin{aligned} &\sum_{j=i_t+1}^{i_{t+1}} \sharp\left\{k \mid \frac{i_{t+1}-j}{b} - 1 \leq k < \frac{a-j}{b}\right\} \\ &= \sum_{j=i_t+1}^{i_{t+1}} \left(\left(\left\lceil \frac{a-j}{b} \right\rceil - 1\right) - \left(\left\lceil \frac{i_{t+1}-j}{b} \right\rceil - 1\right) + 1 \right), \end{aligned}$$

and the proof follows easily. \square

Remark 22. Recall that

$$\begin{aligned} \sharp D(m, m + i_1, \dots, m + i_t) &= \sharp D(m, m + i_1, \dots, m + i_{t-1}) \\ &\quad + \sum_{j=i_{t-1}+1}^{i_t} \left\lceil \frac{a+b-j}{b} \right\rceil - \left\lceil \frac{i_t-j}{b} \right\rceil, \end{aligned}$$

and by applying this process several times we obtain

$$\begin{aligned} \sharp D(m, m + i_1, \dots, m + i_t) &= \sharp D(m) + \sum_{k=1}^t \sum_{j=i_{k-1}+1}^{i_k} \left\lceil \frac{a+b-j}{b} \right\rceil - \left\lceil \frac{i_k-j}{b} \right\rceil \\ &= \sharp D(m) + \sum_{j=1}^{i_t} \left\lceil \frac{a+b-j}{b} \right\rceil - \sum_{k=1}^t \sum_{j=i_{k-1}+1}^{i_k} \left\lceil \frac{i_k-j}{b} \right\rceil. \end{aligned}$$

Thus

$$\sharp D(m, m + i_1, \dots, m + i_t) = \sharp D(m) + \sum_{j=1}^{i_t} \left\lceil \frac{a+b-j}{b} \right\rceil - \sum_{k=1}^t \sum_{j=1}^{i_k - i_{k-1}} \left\lceil \frac{(i_k - i_{k-1}) - j}{b} \right\rceil.$$

If we write $d_k = i_k - i_{k-1}$, this rewrites as

$$\sharp D(m, m + i_1, \dots, m + i_t) = \sharp D(m) + \sum_{j=1}^{i_t} \left\lceil \frac{a+b-j}{b} \right\rceil - \sum_{k=1}^t \sum_{j=1}^{d_k} \left\lceil \frac{d_k-j}{b} \right\rceil.$$

Hence the value of $\sharp D(m, m + i_1, \dots, m + i_t)$ depends on d_1, \dots, d_t , subject to $\sum_{k=1}^t d_k = i_t$.

Corollary 23. *Let $t \in \{1, \dots, a + b - 1\}$. Then*

$$\sharp D(m, m + 1, \dots, m + t) = \sharp D(m) + \sum_{j=1}^t \left\lceil \frac{a+b-j}{b} \right\rceil.$$

As a consequence of this, when the shadow of a configuration is an interval containing m , then we can compute the number of divisors of its elements.

Corollary 24. *Let m be an integer greater than or equal to $2c - 1$. Let $m = m_1 < m_2 < \dots < m_t$ be integers such that $\{m_1, m_2, \dots, m_t\}$ is amenable. Assume that $l = \sharp\{m_1, \dots, m_t\} \cap [m, m + a + b) = \{m, m + 1, \dots, m + l - 1\}$. Then*

$$\sharp D(m_1, \dots, m_t) = m - 2g + t + \sum_{j=1}^{l-1} \left\lceil \frac{a-j}{b} \right\rceil.$$

Proof. This is a direct consequence of Proposition 7, Lemma 13, and Corollary 23. \square

Indeed, we will show that among these configurations there is an optimal one. To do this, we first prove that the best shadows are those of the form $\{m, m + 1, \dots, m + l - 1\}$, and later (in the next section) we will have to compute the smallest possible value of l .

Let us see how to compute sums of the form $\sum_{j=1}^t \left\lceil \frac{a-j}{b} \right\rceil$.

Lemma 25. *Let x and y be positive integers. Assume that $y = cb + r$ with c an integer and $0 \leq r < b$, and that k is an integer such that $kb \leq x - r < (k + 1)b$. Then*

- (1) if $r \neq 0$, $\sum_{j=1}^x \left\lceil \frac{y-j}{b} \right\rceil = (c+1)(r-1) + b \sum_{i=0}^{k-1} (c-i) + (x - (kb+r) + 1)(c-k) = x(c-k) + (k+1)(r-1) + b \frac{k(k+1)}{2}$,
- (2) if $r = 0$, $\sum_{j=1}^x \left\lceil \frac{y-j}{b} \right\rceil = -c + b \sum_{i=0}^{k-1} (c-i) + (x - kb + 1)(c-k) = (x+1)(c-k) + b \frac{k(k+1)}{2} - c$.

Proof. Observe that

$$\sum_{j=1}^x \left\lceil \frac{y-j}{b} \right\rceil = \sum_{j=1}^{r-1} \left\lceil \frac{y-j}{b} \right\rceil + \sum_{j=r}^{r+b-1} \left\lceil \frac{y-j}{b} \right\rceil + \dots + \sum_{j=(k-1)b+r}^{kb+r-1} \left\lceil \frac{y-j}{b} \right\rceil + \sum_{j=kb+r}^x \left\lceil \frac{y-j}{b} \right\rceil,$$

and

$$\sum_{j=r+lb}^{(l+1)b+r-1} \left\lceil \frac{y-j}{b} \right\rceil = \sum_{j=0}^{b-1} \left\lceil \frac{y - (r+lb) - j}{b} \right\rceil = \sum_{j=0}^{b-1} \left\lceil \frac{(c-l)b - j}{b} \right\rceil = b(c-l).$$

In the same way, the first and last summand are computed. If $r = 0$, the first summand does not appear, and the second sum starts on 0, and so we have to decrease the total amount by $\left\lceil \frac{cb}{b} \right\rceil = c$. \square

Actually, as we see next it suffices to consider the following type of sums.

Remark 26. For the case $x = y$, we get $k = c$ and

- (1) if $r \neq 0$, $\sum_{j=1}^x \left\lceil \frac{x-j}{b} \right\rceil = (c+1)(r-1) + b \frac{c(c+1)}{2} = \frac{c+1}{2}(x+r) - c - 1$,
- (2) if $r = 0$, $\sum_{j=1}^x \left\lceil \frac{x-j}{b} \right\rceil = \frac{c+1}{2}x - c$.

Observe also that $\sum_{j=1}^x \left\lceil \frac{x-j}{b} \right\rceil = \sum_{j=1}^{x-1} \left\lceil \frac{j}{b} \right\rceil$.

The following trick will allow us to prove that the best possible shadows are those that are intervals starting in m .

Remark 27. Let $d_k = i_k - i_{k-1}$, $i \in \{1, \dots, t\}$. Then $\sum_{k=1}^t d_k = i_t$. If we replace $\{d_1, d_2\}$ with $\{1, d_1 + d_2 - 1\}$, the total sum of the d_k 's remains the same (we are thus assuming that both d_1 and d_2 are greater than one). Let us see what happens to the following:

$$\sum_{k=1}^t \sum_{j=1}^{i_k - i_{k-1}} \left\lceil \frac{(i_k - i_{k-1}) - j}{b} \right\rceil = \sum_{k=1}^t \sum_{j=1}^{d_k} \left\lceil \frac{d_k - j}{b} \right\rceil.$$

Write $d_k = c_k b + r_k$, with c_k and r_k integers such that $0 \leq r_k < b$. Set $s_k = \sum_{j=1}^{d_k} \left\lceil \frac{d_k - j}{b} \right\rceil$. Then $s_k = \frac{c_k + 1}{2}(d_k + r_k) - 1 - c_k$, if $r_k \neq 0$, and $s_k = \frac{c_k + 1}{2}d_k - c_k$, otherwise. Let c and r be the quotient and remainder of the division of $d_1 + d_2 - 1$ by b . Let $\Delta = \sum_{j=1}^{d_1 + d_2 - 1} \left\lceil \frac{d_1 + d_2 - 1 - j}{b} \right\rceil - s_1 - s_2$. If $b = 1$, then $r_1 = r_2 = r = 0$, $c_i = d_i$, $c = d_1 + d_2 - 1$, and $\Delta = d_1 d_2$, which is a non-negative integer. For $b > 1$ we distinguish three cases depending on the value of $r_1 + r_2$.

- If $r_1 + r_2 = 0$ (this means $r_1 = r_2 = 0$), then $d_1 = c_1 b$, $d_2 = c_2 b$, $c = c_1 + c_2 - 1$, and $r = b - 1$. Then $\Delta = bc_1 c_2 - (c_1 + c_2)$. Since we are assuming that $b \geq 2$, and c_1 and c_2 are positive integers, this amount is non-negative.
- If $0 < r_1 + r_2 \leq b$, then $c = c_1 + c_2$ and $r = r_1 + r_2 - 1$. Thus, if $rr_1 r_2 \neq 0$, $\Delta = bc_1 c_2 + c_1(r_2 - 1) + c_2(r_1 - 1)$, which is greater than or equal to zero. For $r = 0$, either $r_1 = 0$ (and $r_2 = 1$) or $r_2 = 0$ (and $r_1 = 1$). Assume without loss of generality that $r_1 = 0$. We obtain $\Delta = (bc_1 - 1)c_2$, which is again non-negative.
- Finally, if $r_1 + r_2 \geq b + 1$, then $c = c_1 + c_2 + 1$ and $r = r_1 + r_2 - b - 1$. In this setting $r_1 \neq 0 \neq r_2$. If $r \neq 0$, then $\Delta = bc_1 c_2 + c_1(r_2 - 1) + c_2(r_1 - 1) + r_1 + r_2 - (b + 2)$, which is non-negative since $r_1 + r_2 \geq b + 2$. For $r_1 + r_2 = b + 1$ ($r = 0$), we obtain a non-negative $\Delta = bc_1 c_2 + c_1(r_2 - 1) + c_2(r_1 - 1)$.

With all of this in mind, we are able to prove the main result of this section, that is, if the elements in the ground form an interval containing m , then we get the least possible number of divisors.

Proposition 28. *Let $0 = i_0 < i_1 < \dots < i_t < a + b$. Then*

$$\sharp D(m, m + i_1, \dots, m + i_t) \geq \sharp D(m, m + 1, \dots, m + t).$$

Proof. Let $d_k = i_k - i_{k-1}$ for $k \in \{1, \dots, t\}$ ($i_0 = 0$). We know that (see Remark 22)

$$\sharp D(m, m + i_1, \dots, m + i_t) = \sharp D(m) + \sum_{j=1}^{i_t} \left\lceil \frac{a + b - j}{b} \right\rceil - \sum_{k=1}^t \sum_{j=1}^{d_k} \left\lceil \frac{d_k - j}{b} \right\rceil.$$

By applying the above remark several times, we obtain that $\sharp D(m, m + i_1, \dots, m + i_t) \geq \sharp D(m, m + i'_1, m + i'_2, \dots, m + i'_t)$, with $i_t = i'_t$ and $d'_k = i'_k - i'_{k-1} = 1$ for $k \in \{2, \dots, t\}$. By using again the above expression, but now for d'_k instead of d_k , we get

$$\sharp D(m, m + i'_1, \dots, m + i'_t) = \sharp D(m) + \sum_{j=1}^{i_t} \left\lceil \frac{a + b - j}{b} \right\rceil - \sum_{j=1}^{i'_1} \left\lceil \frac{i'_1 - j}{b} \right\rceil.$$

Hence, by Corollary 23, in order to prove the inequality of the statement, it suffices to show that

$$\sum_{j=1}^{i_t} \left\lceil \frac{a+b-j}{b} \right\rceil - \sum_{j=1}^{i'_1} \left\lceil \frac{i'_1-j}{b} \right\rceil \geq \sum_{j=1}^t \left\lceil \frac{a+b-j}{b} \right\rceil,$$

or equivalently,

$$\sum_{j=t+1}^{i_t} \left\lceil \frac{a+b-j}{b} \right\rceil - \sum_{j=1}^{i'_1} \left\lceil \frac{i'_1-j}{b} \right\rceil \geq 0.$$

Now, if we take into account that $\sum_{j=t+1}^{i_t} \left\lceil \frac{a+b-j}{b} \right\rceil = \sum_{j=1}^{i_t-t} \left\lceil \frac{a+b-t-j}{b} \right\rceil$, that $\sum_{j=1}^{i'_1} \left\lceil \frac{i'_1-j}{b} \right\rceil = \sum_{j=1}^{i'_1-1} \left\lceil \frac{i'_1-j}{b} \right\rceil$, and that $i'_1 + (t-1) = i'_t = i_t$, we get $\sum_{j=t+1}^{i_t} \left\lceil \frac{a+b-j}{b} \right\rceil = \sum_{j=1}^{i'_1-1} \left\lceil \frac{a+b-t-j}{b} \right\rceil$. Since $a+b-t \geq i_t+1-t = i'_1$, we obtain the desired inequality. \square

4.2. Ordered amenable sets. As we have seen above, the minimum number of divisors of elements in the ground is reached when these elements form an interval starting in m . In this section we study configurations fulfilling this condition.

Let M be a configuration and let

$$j_0 = \max\{j \in \{0, \dots, a-1\} \mid x - (m+b) = qa + j, \text{ for some } q \in \mathbb{Z} \text{ and } x \in M\}.$$

Let us call *wagon* of M the set $\{x \in M \mid x - (m+b) = qa + j_0, \text{ for some integer } q\}$.

An element P of a configuration M is said to be the *pivot* of M if either ($P < m+b$ and P is the maximum of M) or, P is the maximum of the wagon.

Note that the wagon and the pivot element of a configuration can be determined in an algorithmic way.

Checking Figure 6 may be useful ($a = 19$, $b = 4$). The wagon is column 22 and the pivot is 305.

The wagon consists of the rightmost elements of M . The highest of these is the pivot element. Note that the index of the column containing the wagon is $b + j_0$.

Definition 29. An (S, m, r) -amenable set M with pivot element P is said to be *ordered amenable* if its shadow is of the form $\{m, m+1, \dots, m+t\}$, for some integer t , $0 \leq t < a+b-1$, and the only element that can possibly be added to obtain an $(S, m, r+1)$ -amenable set without increasing the shadow is $P + \rho_2$.

$s \notin D(m + qa + qb)$, if the set $D(m + qa + qb) \cup \{s\}$ is amenable, then its shadow is larger than $\{m, m + 1, \dots, m + qb\}$. Write $s = m + ua + v$, with $0 \leq v < a$. If u is zero, as $s \notin D(m + qa + qb)$, we obtain that $v > qb$, obtaining in this way a new element in the shadow. So u must be positive. We distinguish two cases.

- If $v \leq qb$, then as $s \notin D(m + qa + qb)$, by the preceding remark, we deduce that u must be greater than $\frac{v}{b}$. But then $m + ua + v - (m + a + b - 1) = (u - 1)a + (v - b) + 1$, and this element is in S if and only if $v - b + 1 \leq (u - 1)b$ (Lemma 17), or equivalently, $v < ub$, which holds since $u > \frac{v}{b}$. This proves that $m + a + b - 1$ is in the shadow of $D(m + qa + qb) \cup \{s\}$ (under the assumption that this set is amenable), and it is not in $\{m, m + 1, \dots, m + qb\}$, a contradiction.
- Now assume that $v > qb$. Then the element $m + v$ is in the shadow of $D(m + qa + qb) \cup \{s\}$, obtaining again a contradiction. \square

From an (S, m, r) -ordered amenable set, we can construct another $(S, m, r - 1)$ -ordered amenable set, just by removing its pivot.

Lemma 32. *If M is an ordered amenable set, whose shadow is not the whole ground, and P is its pivot, then $M \setminus \{P\}$ is ordered amenable.*

Proof. Observe that P does not belong to $D(M \setminus \{P\})$, and thus $M \setminus \{P\}$ is still amenable. From the definition of pivot, it follows easily that this set is also ordered amenable. \square

Let r be a positive integer, then there exists $q \in \mathbb{Z}$ such that

$$q + \frac{1}{2}bq(q - 1) \leq r < 1 + q + \frac{1}{2}bq(q + 1).$$

Define $h(r) = q$. Thus we can write $r = h(r) + \frac{1}{2}bh(r)(h(r) - 1) + s$, with $0 \leq s \leq h(r)b$. Hence

$$(4) \quad r = h(r) + \frac{1}{2}bh(r)(h(r) - 1) + kh(r) + j,$$

with $-1 \leq k \leq b - 1$ and $0 < j \leq h(r)$ ($k = -1$ only in the case $r = h(r) + \frac{1}{2}bh(r)(h(r) - 1)$, and then $j = h(r)$). Note that $h(r) = 0$ leads to $r = 0$, so we may assume that $h(r) > 0$. Observe also that $j + k = 0$ only when $h(r) = 1 = j$ and $k = -1$.

Proposition 33. *Let r be a positive integer. Let k and j be as above. If $b(h(r) - 1) + k + 1 < a + b - 1$, then the set*

$$\begin{aligned} & (D(m + (h(r) - 1)(a + b)) \cap [m, \infty)) \\ & \cup \{m + ua + v \mid (h(r) - 1)b + 1 \leq v \leq (h(r) - 1)b + k, 0 \leq u \leq h(r) - 1\} \\ & \cup \{m + ((h(r) - 1)b + k + 1)a + v \mid 0 \leq v < j\} \end{aligned}$$

is an r -ordered amenable set.

Proof. This set is obtained from $D(m + h(r)(a + b))$ by repeating Lemma 32 $1 + h(r) + \frac{b}{2}h(r)(h(r) + 1) - r$ times. \square

Next we prove that ordered (S, m, r) -amenable sets have minimal shadow in the set of all (S, m, r) -amenable sets with shadow an interval containing m . As a consequence any two (S, m, r) -ordered amenable sets have the same shadow.

Proposition 34. *Let M be an ordered (S, m, r) -amenable subset of S whose shadow has t elements and let N be another (S, m, r) -amenable subset of S whose shadow is an interval containing m . Then, the shadow of N has at least t elements.*

Proof. Suppose that the shadow of N has less than t elements. This implies that the set $N \setminus M$ is non-empty (since both sets have cardinality r) and therefore it has a minimum z . Furthermore, N has no elements in the wagon of M . It is straightforward to observe that $M \cup \{z\}$ is amenable.

In fact, as N is amenable, we have that $D(z) \cap [m, \infty) \subset N$; $(D(z) \setminus \{z\}) \cap [m, \infty) \subset M$ because z is minimum. So $D(z) \cap [m, \infty) \subset M \cup \{z\}$. As z is not in the column containing the wagon of M we conclude that $z \neq P + \rho_2$, which contradicts the assumption that M is ordered. \square

Considering M and N ordered amenable sets in the above proposition and applying it in both directions, we get the following consequence.

Corollary 35. *The shadows of ordered (S, m, r) -amenable sets coincide.*

With all of these ingredients we can effectively compute the cardinality of the shadow of an ordered (S, m, r) -amenable set.

Corollary 36. *Let M be an ordered (S, m, r) -amenable set, and let k and j be as in (4), then $\#(M \cap [m, m + a + b)) = (h(r) - 1)b + k + 2$.*

Proof. As any two ordered amenable sets with the same cardinality have the same elements in the ground, we can use the ordered amenable set of the preceding proposition. Observe that the ground for this set is $\{m, m + 1, \dots, m + (h(r) - 1)b, m + (h(r) - 1)b + 1, \dots, m + (h(r) - 1) + k + 1\}$. \square

Observe that this result gives a bound for integers r such that there exists an ordered (S, m, r) -amenable set.

Now we prove that if M is an (S, m, r) -amenable set whose shadow is not an interval containing m , then we can remove the trailing spaces in the shadow without increasing the number of divisors, that is, we can find N , an (S, m, r) -amenable with shadow an interval containing m , such that $\#D(N) \leq \#D(M)$. By using what we already know for ordered (S, m, r) -amenable sets, as a consequence we will obtain that they are optimal configurations. To this end we need several tools.

The first one enables us to push an (S, m, r) -amenable set to the right, obtaining an $(S, m + 1, r)$ -amenable set.

Lemma 37. *Let M be an (S, m, r) -amenable set. Then $N = M + 1 = \{x + 1 \mid x \in M\}$ is an $(S, m + 1, r)$ -amenable set.*

Proof. Let $x = y + 1 \in N$, with $y \in M$, and suppose that $h \in S$ is such that the divisor $x - h$ of x is greater than m . We have to prove that $x - h \in N$. As $y - h$ is greater than or equal to m , we have that $y - h \in M$. It follows that $x - h = (y + 1) - h = (y - h) + 1 \in M + 1 = N$. \square

If we shift an (S, m, r) -amenable set to the left, we get an $(S, m - 1, r)$ -amenable set (provided $m - 1 \geq 2c - 1$).

Lemma 38. *Let M be an (S, m, r) -amenable set with $m \geq 2c$. Then $M - 1 = \{x - 1 \mid x \in M\}$ is an $(S, m - 1, r)$ -amenable set.*

Proof. Let $y \in M - 1$, say, $y = x - 1$, with $x \in M$. Note that $y \geq m$. Now we use Corollary 6. By hypothesis $D(x) \cap [m, \infty) = (x - S) \cap [m, \infty) \subseteq M$, but then $D(y) \cap [m, \infty) = ((x - 1) - S) \cap [m, \infty) \subseteq (M - 1)$. \square

If we add the element m to an $(S, m + 1, r)$ -amenable set, we get an $(S, m, r + 1)$ -amenable set.

Lemma 39. *Let N be an $(S, m + 1, r)$ -amenable set. The set $M = \{m\} \cup N$ is $(S, m, r + 1)$ -amenable.*

Proof. If $N = \{m + 1 = m_2 < \dots < m_{r+1}\}$, then $M = \{m < m_2 < \dots < m_{r+1}\}$. Write $m_1 = m$.

We have to check that M is m -closed under division. It clearly holds for $i = 1$. Let $i \geq 2$. The divisors of m_i not smaller than $m + 1$ belong to N and thus to M . Therefore, divisors of m_i not smaller than m belong to M . \square

It is immediate that if we remove the biggest element of an (m, r) -amenable set, then we get an $(m, r - 1)$ -amenable set (provided $r > 1$).

Lemma 40. *Let M be an (m, r) -amenable set and suppose that $r > 1$. Let u be the maximum of M . Then $M \setminus \{u\}$ is an $(m, r - 1)$ -amenable set.*

Before removing the trailing spaces of an (S, m, r) -amenable set, we need to see that it does not contain the last element in the ground, that is, $m + a + b - 1$. If this is the case, then we give a procedure to obtain another (S, m, r) -amenable set whose shadow is at most as large as the original set, but not containing $m + a + b - 1$.

Proposition 41. *Given an (S, m, r) -amenable set M with shadow L_M not coinciding with the ground, we can construct an (S, m, r) -amenable set N with shadow L_N not containing $m + a + b - 1$ and such that $\#L_N \leq \#L_M$.*

Proof. Assume that M is an (S, m, r) -amenable set containing $m + a + b - 1$, and with a shadow different to the ground. Then, there is at least an element x in the ground, such that x is not in M , and thus $x < m + a + b - 1$. Let $N = \{m\} \cup (M + 1) \setminus \{\max\{M + 1\}\}$. The set N is by the preceding lemmas an (S, m, r) -amenable set. Observe also that $x + 1 \notin N$. Hence we repeat this procedure until $x + k$ becomes $m + a + b - 1$. \square

Suppose we have a configuration not containing $m + a + b - 1$. We can shrink it so that the shadow of the configuration obtained is an interval containing m . It can be done using the following results.

Lemma 42. *Let M be an (S, m, r) -amenable set not containing $m + a + b - 1$. Assume there exists a column c , such that $c \cap M = \emptyset$, and if M_1 are the elements in M in the columns to the left of c , and $M_2 = M \setminus M_1$, then $M_2 \neq \emptyset$ (c is a splitting column for M). Let $r_1 = \#M_1$, $r_2 = \#M_2$, and $m_2 = \min M_2$. Then*

- (1) M_1 is an (S, m, r_1) amenable set,
- (2) M_2 is an (S, m_2, r_2) amenable set,
- (3) $M_1 \cup (M_2 - 1)$ is an (S, m, r) amenable set.

Proof. It suffices to show that no element in M_1 divides an element in M_2 , and vice-versa. Assume that there is $x \in M_1$ and $y \in M_2$ such that $y - x \in S$, that is, $y - x = ka + r$ for some r, k non-negative integers with $r \leq \min\{a - 1, kb\}$ (Lemma 17). Hence $y = x + ka + r$, and $y - (ka + i) \in D(M) \cap [m, \infty) = M$ for all

$i \in \{0, \dots, r\}$. Assume that c corresponds with the elements s in $[m, \infty) \cap \mathbb{N}$ such that $s - (m + b) \bmod a = j$. Then, by hypothesis, we get

$$\begin{aligned} y - r - (m + b) \bmod a &= y - (ka + r) - (m + b) \bmod a \\ &= x - (m + b) \bmod a < y - (m + b) \bmod a, \end{aligned}$$

and thus there is $i \in \{0, \dots, r\}$ such that $y - (ka + i) - (m + b) \bmod a = y - i - (m + b) \bmod a = j$, contradicting that c was an empty column of M .

Now assume that $x \in M_1$ and $y \in M_2$ are such that $x - y = ka + r$ for some r, k as above. In this setting, $x - (ka + i) \in M$ for all $i \in \{0, \dots, r\}$. By hypothesis $x - (m + b) \bmod a < y - (m + b) \bmod a$, and

$$\begin{aligned} y + r - (m + b) \bmod a &= y + ka + r - (m + b) \bmod a \\ &= x - (m + b) \bmod a < y - (m + b) \bmod a. \end{aligned}$$

It follows that for some $i \in \{0, \dots, r\}$, $y + i - (m + b) \bmod a = a - 1$, but this is impossible, since as $m + a + b - 1 \notin M$, the column $\{s \in M \mid s - (m + b) \bmod a = a - 1\}$ is empty. \square

Proposition 43. *Let M be an (S, m, r) -amenable set whose shadow L_M has t elements. There exists an (S, m, r) -amenable set T whose shadow L_T is an interval containing m and has no more than t elements, i.e., $\sharp L_T \leq \sharp L_M$.*

Proof. Every time you find a splitting column as in the statement of Lemma 42, change M with $M_1 \cup (M_2 - 1)$. This procedure does not increase the number of elements in the shadow of M . \square

Lemma 44. *Let M be an (S, m, r) -amenable set whose shadow L_M has t elements. There exists an (S, m, r) -amenable set T whose shadow is an interval containing m , and $\sharp D(T) \leq \sharp D(M)$.*

Proof. Let T be as in Proposition 43, and assume that $\sharp L_T = t - k$. In view of Proposition 28, $\sharp D(L_M) \geq \sharp D(m, m + 1, \dots, m + t - 1)$. By Corollary 23, $\sharp D(m, m + 1, \dots, m + t - 1) = \sharp D(m) + \sum_{j=1}^{t-1} \left\lceil \frac{a+b-j}{b} \right\rceil$, and as $\left\lceil \frac{a+b-j}{b} \right\rceil \geq 1$, this amount is greater than or equal to $\sharp D(m) + \sum_{j=1}^{t-k-1} \left\lceil \frac{a+b-j}{b} \right\rceil + k$, which according to Corollary 23 equals $\sharp D(m, m + 1, \dots, m + t - k - 1) + k = \sharp D(L_T) + k$. Now we use Lemma 13, having $\sharp D(M) = \sharp D(L_M) + \sharp M \setminus L_M = \sharp D(L_M) + r - t \geq \sharp D(L_T) + k + r - t = \sharp D(L_T) + \sharp T \setminus L_T = \sharp D(T)$. \square

Theorem 45. *Let S be a numerical semigroup with conductor c , and let $m \geq 2c - 1$. Then every ordered (S, m, r) -amenable set is an optimal configuration.*

Proof. Let M be an ordered (S, m, r) -amenable set. By Proposition 10, among the optimal configurations, there is always an (S, m, r) -amenable set. Let N be an (S, m, r) -amenable set that is an optimal configuration. In light of Lemma 44, we can assume that its shadow is an interval containing m . By Proposition 34, the shadow of M is contained in that of N , and by Corollary 14, we get that $\sharp D(M) \leq \sharp D(N)$. As N is an optimal configuration we deduce that $\sharp D(M) = \sharp D(N)$, and thus M is also an optimal configuration. \square

Corollary 46. *Let $S = \langle a, a + 1, \dots, a + b \rangle$ with integers a, b such that $0 < b < a$. Write r as in formula (4), that is, $r = h(r) + \frac{1}{2}bh(r)(h(r) - 1) + kh(r) + j$, with*

$-1 \leq k \leq b-1$ and $0 < j \leq h(r)$. Then the r -th Feng-Rao Number of S (see Definition 4), $E(\langle a, a+1, \dots, a+b \rangle, r)$, equals

$$r-1 + \begin{cases} \sum_{i=1}^{b(h(r)-1)+k+1} \left\lceil \frac{a-i}{b} \right\rceil, & \text{if } b(h(r)-1) + k + 2 < a + b, \\ \sum_{i=1}^{a+b-1} \left\lceil \frac{a-i}{b} \right\rceil, & \text{otherwise.} \end{cases}$$

Proof. Assume that $b(h(r)-1) + k + 1 < a + b - 1$. Then by Proposition 33, there exists an ordered (S, m, r) -amenable set. In this setting the proof follows from Corollaries 24 and 36 and Theorem 45.

Observe also that if $b(h(r)-1) + k + 1 = a + b - 2$, by Proposition 33, the set

$$M = (D(m + (h(r)-1)(a+b)) \cap [m, \infty)) \cup \{m + ua + v \mid (h(r)-1)b + 1 \leq v \leq (h(r)-1)b + k + 1, 0 \leq u \leq h(r)-1\}$$

is an ordered amenable set, and thus by Theorem 45 an optimal configuration for $r = \#M$. As $D(M \cup \{m + a + b - 1\}) = D(M) \cup \{m + a + b - 1\}$, the set $M \cup \{m + a + b - 1\}$ is an optimal configuration of cardinality $r + 1$, whose shadow fills the whole ground. Now by using Corollary 16, we get optimal configurations for cardinalities greater than $r + 1$; the proof follows easily by Corollary 24. \square

Needless to say that, by using this formula for numerical semigroups generated by intervals, we have no need of the general Algorithm 3, speeding-up the computation of Feng-Rao distances for such semigroups.

Remark 47. The reader can check that we have $E(S, r) = \rho_r$ exactly in the following cases:

- (A) if either $r = b\sigma(p) + 1, \dots, b\sigma(p) + p + 1$ and the ground is not completely filled, or
- (B) $r \geq r_0$, where r_0 is the first r filling the ground, being $\sigma(p) := 1 + \dots + p = \frac{1}{2}p(p+1)$ and $p \geq 1$.

Therefore, since both sequences $E(S, r)$ and ρ_r are strictly increasing, the largest difference between them is for $r = 2$ and for the first r after each element in the first case, that is, $r = b\sigma(p) + p + 2$ where ρ_r jumps from one interval to the next.

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