

Non-Coherent Successive Relaying and Cooperation: Principles, Designs, and Applications

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Abstract—Cooperative communication is capable of forming a virtual antenna array for each node (user) in a network by allowing the nodes (users) to relay the messages of others to the destination. Such a relay aided network may be viewed as a distributed multiple-input multiple-output (MIMO) system relying on the spatially distributed single antennas of the cooperating mobiles, which avoids the correlation of the antenna elements routinely encountered in conventional MIMO systems and hence attains the maximum achievable diversity gain. Therefore, the family of cooperative communication techniques may be regarded as a potential solution for future wireless networks. However, constrained by the half-duplex transmit/receive mode of most practical transceivers, the cooperative networks may impose a severe 50% throughput loss. As a remedy, successive relaying can be employed, which is capable of mimicking a full-duplex relay and thereby recovering much of the 50% throughput loss. Furthermore, for the sake of bypassing power-hungry and potentially excessive-complexity channel estimation, noncoherent detection techniques may be employed for multiple-antenna aided systems, because estimating all the associated channels may become unrealistic. Explicitly, the mobile-stations acting as relays cannot be realistically expected to estimate the source-to-relay channels. To motivate further research on noncoherent successive relaying aided systems, a comprehensive review of its basic concepts, fundamental principles, practical transceiver designs and open challenges is provided.

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I. INTRODUCTION

CONCEIVING high-quality wireless solutions in support of the wireless Internet is of paramount importance. Achieving a low bit-error-rate (BER), high system throughput, low complexity, low delay, as well as seamless connectivity across the entire coverage area are just a few of the critical

design concerns in contemporary wireless systems. It is anticipated that wireless tele-traffic will grow substantially over the forthcoming ten years [1, Figure 1]. Based on this trend, techniques that are capable of improving system capacity are of salient significance. In this context, multiple-input multiple-output (MIMO) wireless systems have attracted considerable attention in recent years [2]–[7], because they exhibit a capacity that increases linearly with the transmit power, provided that the number of MIMO elements can also be linearly increased.

However, the MIMO antenna elements have to be sufficiently far apart to experience independent fading, which may be impractical in the uplink owing to the limited size of the mobile handset. Furthermore, even a downlink MIMO base station (BS) transmitter associated with a relatively large element separation may not benefit from independent fading, when it is subjected to shadow-fading imposed for example by large-bodied vehicles or other local shadowing objects [8].

As a remedy, cooperative communications is capable of forming a virtual antenna array (VAA) for each node (user) in a cooperative network by allowing the nodes (users) to relay the messages of other’s to the destination. Hence, such a relay aided network practically constitutes a distributed MIMO system relying on the spatially distributed single antennas of the mobiles. This allow us to avoid the correlation of the antenna elements that arises in conventional MIMO systems.

The germination of the basic idea of cooperative communication can be traced back to the concept of the relay channel, which was devised by Van der Meulen in [9] and was later characterized from an information-theoretic perspective by Cover and El Gamal in [10]. Basic relaying protocols were also proposed in [10]. To elaborate a little further, cooperative communications benefits from the broadcast nature of wireless transmitters, which allows the relays to receive and retransmit all signals, leading to the concept of “cooperative diversity”. Laneman *et al.* characterised both the decode-and-forward (DF) and amplify-and-forward (AF) protocols in [11] and [12] by evaluating both their diversity orders and their outage probabilities. Similar concepts were investigated also by Sendonaris *et al.* in [13] and [14]. These seminal paradigms [11]–[14] have attracted substantial research attention and inspired a number of novel contributions in the research area of cooperative communications. Some of the important milestones achieved in the early stages of cooperative communications research are summarized in Table I.

However, despite the above-mentioned benefits, cooperation techniques impose their own problems as well. Since conventional transceivers cannot transmit and receive at the same time, we have to allocate two orthogonal channels for the reception

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TABLE I
BRIEF HISTORY OF EARLY INVESTIGATIONS OF COOPERATIVE COMMUNICATIONS

Year	Authors	Contributions
1971	Van der Meulen [9]	Heralded the concept of cooperative communication and established the basic three-terminal relaying model.
1979	Cover and El Gamal [10]	Analysed the capacity of the three-terminal based relaying system along with proposing some basic relaying protocols.
2003	Sendonaris <i>et al.</i> [13], [14]	Evaluated the performance of several classical cooperative protocols. The remarkable advantages of cooperative communication were quantified in terms of their large power savings, low outage probability and high diversity order.
2004	Laneman <i>et al.</i> [12]	
2005	Azarian <i>et al.</i> [15]	Investigated the achievable diversity-multiplexing trade-off (DMT) of the cooperative network.
	Høst-Madsen and Zhang [16]	Optimum resource allocation was conceived for cooperative systems, which is capable of improving both the energy efficiency and battery recharge-period of cooperative communications.
2006	Bletsas <i>et al.</i> [17]	Relay selection regimes were exploited for improving the outage performance.

88 and transmission at the relay node (RN). Consequently, in the
89 conventional cooperative protocols, such as in AF, DF as well as
90 compress-and-forward [18] protocols, the holistic transmission
91 of an information stream has to be split into two phases,
92 namely the “broadcast phase” for the RN’s reception and the
93 “cooperative phase” for the RN’s transmission. By contrast,
94 the direct-transmission of the information stream dispensing
95 with relaying requires only a single phase. Therefore, the
96 conventional cooperation techniques actually impose a severe
97 50% throughput loss, which goes against the demand for higher
98 wireless data rates, as highlighted in [1] and [19].

99 For the sake of recovering the throughput loss incurred
100 in conventional half-duplex (HD) relaying based cooperation
101 systems, while retaining their substantial benefits of extending
102 the radio coverage, a range of advanced techniques have been
103 proposed in recent years, which may be briefly categorised as
104 follows:

105 **(a) Switching to full-duplex (FD) relaying mode**—In the
106 early investigations of relaying techniques [9], [10], the ide-
107 alized FD relaying mode was assumed for analysing the ca-
108 pacity upper-bound of relaying channels. However, from a
109 practical perspective, relying on FD relaying may be infeasible
110 for compact single-antenna aided relays. Since a high-power
111 interfering signal will be fed back to the RN’s input from the
112 RN’s output, this results in the so-called “self-interference” (SI)
113 problem. Hence, instead of the FD mode, the HD mode has
114 been employed in the seminal contributions on user cooperation
115 [11]–[14], [20].

116 Nonetheless, with recent developments in digital signal pro-
117 cessing techniques, mitigating the unwanted SI in the feedback
118 path between the transmit antenna and receive antenna becomes
119 possible. The related early results [21]–[23] were focused on
120 realizing single-input single-output (SISO) repeaters. Later,
121 the FD transceiver design philosophy was extended to multi-
122 antenna aided spatial suppression techniques, which invoked
123 more sophisticated adaptive filter algorithms [24]–[26]. Most
124 recently, Katti *et al.* at Stanford made substantial progress in
125 terms of building in-band full-duplex radios. Explicitly, with
126 the aid of antenna cancellation techniques, they implemented
127 in-band full-duplex transceivers [27]–[29], which are capable of
128 reducing the SI to the noise floor. Motivated by the development
129 of FD transceiver techniques, the theoretical analysis of FD

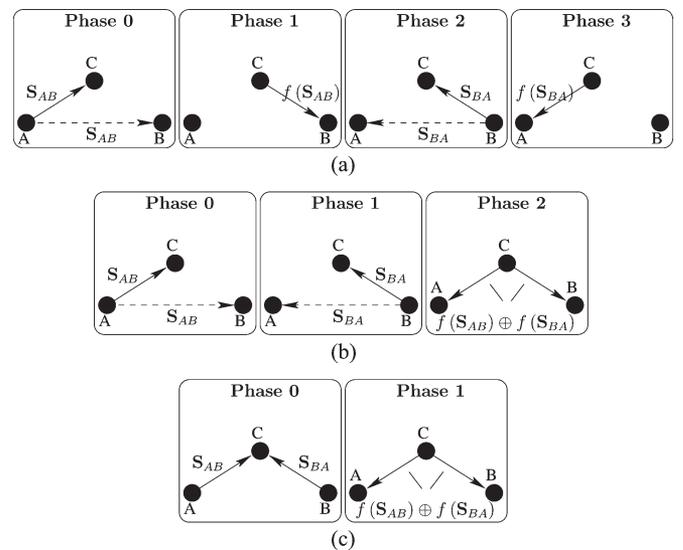


Fig. 1. The transmission arrangements of conventional DF relaying, three-step two-way relaying, and two-step two-way relaying while exchanging the information sequences S_{AB} and S_{BA} between node A and B with the aid of the relay node C , where $f(S_{AB})$ denotes the estimate of S_{AB} .

130 relaying based cooperative networks enjoyed a renaissance in
131 [30]–[32], where the impact of SI imposed by practical FD
132 relays was taken into account.

133 However, as stated above, most of the proposed FD relays
134 have to employ spatially separated transmit and receive anten-
135 nas, which should have a sufficiently high physical isolation,
136 whilst additionally relying on sophisticated adaptive filter algo-
137 rithms. Hence, the implementation of FD relays still remains
138 an open challenge at the time of writing and this motivates the
139 design of alternative solutions.

140 **(b) Devising sophisticated relaying protocols**—For the par-
141 ticular scenario in which two nodes communicate with each
142 other with the aid of a RN, “two-way” relaying was devised in
143 [33]–[35], which has attracted considerable attention in recent
144 years [36]–[40], since it inherently accommodates bandwidth-
145 efficient network coding techniques. When exchanging the
146 pair of information sequences S_{AB} and S_{BA} between node
147 A and node B with the aid of the RN C , the transmission
148 arrangements of conventional DF relaying and of two-way

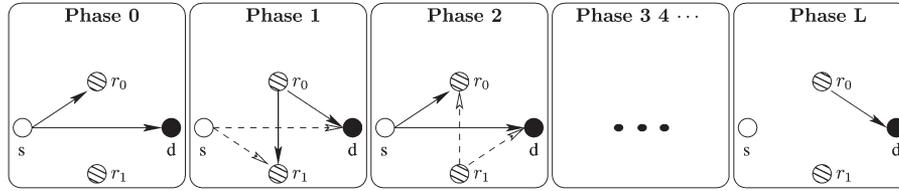


Fig. 2. Transmission schedule of the successive relaying protocol.

149 relaying are contrasted in Fig. 1. Observe in Fig. 1 that the
 150 four distinct transmission phases required by conventional DF
 151 relaying are compressed into three phases in the “three-step
 152 two-way relaying” regime or are further compressed into two
 153 phases in “two-step two-way relaying” regime. Hence the 50%
 154 throughput loss incurred by conventional relaying protocols is
 155 mitigated. In more detail,

157 1) **Three-step two-way relaying:** During Phase 0, the SN
 158 A broadcasts its information sequence \mathbf{S}_{AB} , while the
 159 RN C generates an estimate of \mathbf{S}_{AB} , namely $f(\mathbf{S}_{AB})$
 160 relying on the signal sequence received.¹ Meanwhile, the
 161 DN B also obtains a copy of the information sequence
 162 \mathbf{S}_{AB} , which however may be contaminated both by the
 163 channel’s fading effects and by additive white Gaussian
 164 noise (AWGN). Then, a similar process will be invoked
 165 again during the forthcoming Phase 1. This time, the SN
 166 becomes the receiver, the DN becomes the transmitter
 167 and the broadcast information sequence becomes \mathbf{S}_{BA} .
 168 As seen in sub-figure “(a) Conventional DF relaying” of
 169 Fig. 1, the RN C directly forwards the estimate $f(\mathbf{S}_{AB})$
 170 or $f(\mathbf{S}_{BA})$ during its cooperative phase, which only
 171 bears the information of \mathbf{S}_{AB} or \mathbf{S}_{BA} . By contrast, the
 172 RN C forwards the XOR of $f(\mathbf{S}_{AB})$ and $f(\mathbf{S}_{BA})$ i.e.
 173 $f(\mathbf{S}_{AB}) \oplus f(\mathbf{S}_{BA})$ during Phase 2 of the 3-step two-way
 174 relaying, which bears both the information of \mathbf{S}_{AB}
 175 and that of \mathbf{S}_{BA} . Naturally, each node A and node B knows
 176 its own message \mathbf{S}_{AB} or \mathbf{S}_{BA} . Hence each can readily
 177 extract the remote message by subtracting its own mes-
 178 sage from $f(\mathbf{S}_{AB}) \oplus f(\mathbf{S}_{BA})$. For example, assuming
 179 that perfect detection is achieved at the RN C, as well
 180 as that we have $\mathbf{S}_{AB} = \underline{0101}$, $\mathbf{S}_{BA} = \underline{1100}$, we readily see

181 that $f(\mathbf{S}_{AB}) \oplus f(\mathbf{S}_{BA}) = \underline{1001}$. Hence, during Phase 2,

182 node A becomes capable of recovering the remote mes-
 183 sage of \mathbf{S}_{BA} by calculating $\underline{1100} = \underline{0101} \oplus \underline{1001}$. Practi-

184 cally, the XOR of $f(\mathbf{S}_{AB})$ and $f(\mathbf{S}_{BA})$ received at node
 185 A may also be contaminated both by the channel’s fading
 186 effects and by the AGWN. Fortunately, as stated earlier,
 187 node A has another copy of its remote message, which
 188 was received during Phase 0. Hence a joint detection of
 189 the remote message based on both the signal received
 190 during Phase 0 and on that received during Phase 2 may
 191 be implemented at node A. Consequently, a diversity gain
 192 is obtained.

2) **Two-step two-way relaying:** During Phase 0, node A
 193 and node B simultaneously broadcast their information
 194 sequences \mathbf{S}_{AB} and \mathbf{S}_{BA} . Limited by the HD transceiver
 195 architecture, neither node A nor node B can receive any
 196 signal during this phase. Meanwhile, the RN C receives a
 197 composite signal, which consists of the \mathbf{S}_{AB} component
 198 and of the \mathbf{S}_{BA} component. For the sake of calculating
 199 the XOR of \mathbf{S}_{AB} and \mathbf{S}_{BA} , the RN C has to be able to
 200 detect each of the information sequences \mathbf{S}_{AB} and \mathbf{S}_{BA}
 201 from their composite.² Since the information sequences
 202 \mathbf{S}_{AB} and \mathbf{S}_{BA} interfere with each other at the RN C,
 203 interference cancellation has to be invoked at the RN C.
 204 Similar to the last phase of three-step two-way relaying,
 205 during Phase 1 of two-step two-way relaying, the RN C
 206 forwards the XOR of $f(\mathbf{S}_{AB})$ and $f(\mathbf{S}_{BA})$ to the DN A
 207 and B. Then, the DN A (B) extracts its own information
 208 sequence \mathbf{S}_{AB} (\mathbf{S}_{BA}) from $f(\mathbf{S}_{AB}) \oplus f(\mathbf{S}_{BA})$ for the
 209 sake of decoding the information sequence transmitted
 210 by the remote node B (A). However, node A (B) re-
 211 ceives only a single copy of the remote message during
 212 the two-step scheme, because the direct link between A
 213 and B becomes unavailable in Phase 0 of the two-step
 214 scheme owing to the HD limitation. Hence, compared to
 215 the three-step scheme, the two-step scheme completely
 216 recovers the throughput loss at the cost of degrading the
 217 achievable diversity gain. 218

219 However, the application scenario of the two-way relaying
 220 scheme is constrained to bidirectional communications.

(c) **Mimicking the FD relaying mode despite relying on
 using HD relays**—A novel relaying protocol, namely succes-
 222 sive relaying or two-path relaying was conceived in [43], which
 223 is capable of mimicking a FD relay by relying on a pair of
 224 HD relays, where the two HD relays alternately serve as the
 225 transmitter and receiver of the virtual FD relay. Based on [43],
 226 as well as inspired by the related benefits reported in [33], [34],
 227 [44], and [45], a generalized successive relaying protocol was
 228 proposed by Fan *et al.* in [46], offering further insights both
 229 into the achievable rates and into the associated diversity versus
 230 multiplexing trade-off. 231

The transmission arrangement of the successive relaying pro-
 232 tocol proposed in [46] is illustrated in Fig. 2, where we observe
 233 $(L + 1)$ processing phases. To elaborate a little further, observe
 234 in Fig. 2 that the SN s constantly transmits information during 235

²In some studies, the DF protocol employed at the RN C is replaced by the AF protocol [41] or by the noise-and-forward protocol [42]. Hence interference suppression for detecting each of the information sequences \mathbf{S}_{AB} and \mathbf{S}_{BA} at the RN is circumvented. Instead, the RN C may only amplify the composite signal received and forward it to node A and node B.

¹Hence, the decode-and-forward protocol is adopted at the RN C.

TABLE II
HISTORY OF SUCCESSIVE RELAYING

Year	Authors	Contributions
2004	Oechtering and Sezgin [43]	The concept of successive relaying was introduced, where a new cooperative transmission scheme was conceived.
2005	Rankov and Wittneben [33]	The benefits of employing successive relaying with regard to conventional relaying, especially the higher achievable throughput and the better diversity-multiplexing trade-off were reported.
2006	Yang and Belfiore [44]	
	Yang and Belfiore [45]	
2007	Rankov and Wittneben [34]	A generalized successive relaying protocol was proposed, offering further insights both into the achievable rates and into the associated diversity-multiplexing trade-off. The successive relaying induced interference problem was also mentioned.
	Fan <i>et al.</i> [46]	
2009	Wicaksana <i>et al.</i> [49]	Techniques for suppressing the successive relaying induced interference were proposed under the idealized assumption that perfect channel state information (CSI) was available at the receiver.
2011	Luo <i>et al.</i> [48]	A sophisticated combination of relay selection, distributed space-time block coding as well as adaptive relaying schemes was proposed for the sake of overcoming the inter-relay interference of the successive relaying regime.
	Tian <i>et al.</i> [50]	

TABLE III
THREE POTENTIAL SOLUTIONS FOR MITIGATING THE 50% THROUGHPUT LOSS INCURRED IN CONVENTIONAL RELAYING PROTOCOLS

Solutions:	Full-Duplex Relaying	Two-Way Relaying	Successive Relaying
Challenges:	Self-Interference	Co-Channel Interference	Inter-Relay Interference
Limitations:	Require infrastructure relays	Limited to bidirectional communications	Require an excessive number of relays

every phase, except for the last phase. Hence, in successive relaying, a normalized throughput of $\frac{L}{L+1}$ is achieved, which will get close to that of direct-transmission upon increasing the number of processing phases L . Consequently, the 50% throughput loss incurred in conventional relaying protocols may be mitigated at the cost of increased delay. The specific actions involved in every phase of Fig. 2 will be further detailed in Section II-A. However, observe in Fig. 2 that increased interference will be encountered both at the RNs and at the DN, which is termed inter-relay interference (IRI) and co-channel interference (CCI), respectively. To combat the successive relaying induced interference, a range of solutions were proposed in [47]–[49]. Furthermore, the authors of [50] replace the repetition of source symbols by the relays as in [46] with a simple coding scheme for the sake of achieving full diversity by using signal space diversity techniques. Further improvements based on space-time block coding combined with coordinate interleaving are derived in [51]. The effect of the successive relaying induced interference will be discussed in detail in Section III-C. The history of successive relaying is briefly summarized in Table II.

The above-mentioned solutions conceived for recovering the conventional relaying-induced 50% throughput loss are summarized in Table III, where the challenges they have to overcome as well as the constraints they encounter are also highlighted. Instead of assuming a fixed relaying infrastructure based cooperative network, we rely on mobile relays constituted by idle mobile users, which is a more economical approach. Again, the above-mentioned two-way relaying protocol is applicable only to bidirectional communications. By contrast, the successive relaying approach is free from this constraint. Hence successive relaying may be regarded as a more versatile solution provided that its delay is tolerable. **Hence, in this treatise, we provide a detailed review of and tutorial on the design of successive relaying protocols conceived for recovering the 50% throughput loss of conventional HD relaying.**

Furthermore, we will pay particular attention to the system's coherent versus non-coherent (NC) detection strategy.

Classical coherent detection techniques rely on knowledge of CSI for mitigating the deleterious effects of fading channels. Practical channel estimation techniques typically rely on pilot symbol assisted training techniques [52] and on the fact that in general the consecutive channel impulse response (CIR) taps are correlated in time, as governed by the normalized Doppler frequency. However, an M -transmitter, N -receiver MIMO system has to estimate $(M \times N)$ channels, which will substantially increase the complexity of the entire system, especially at high normalized Doppler frequencies. Furthermore, in the specific scenario of a VAA-assisted cooperative network, it is unrealistic to expect that in addition to the task of relaying, the relay-station would dedicate further precious resources to the estimation of the source-to-relay channel in support of coherent detection. Based on these discussions, NC detection schemes may be deemed to be promising solutions in the context of cooperative networks, since they are capable of retaining the cooperative diversity gain, while circumventing the potentially excessive burden of multiple-antenna based channel estimation.

Numerous differential detection schemes have been devised for noncoherent receivers. For AWGN channels, the conventional differential detection (CDD) philosophy was further developed to multiple-symbol differential detection (MSDD) by Divsalar *et al.* in [53] for the sake of reducing the BER performance gap between the CDD of M -ary phase-shift keying (MPSK) and the coherent detection of differentially encoded MPSK. This performance gain over CDD is achieved by extending the observation interval from two adjacent symbols to multiple consecutive symbols, while at the same time making a joint decision on these multiple consecutive symbols. A fast algorithm for MSDD was also proposed in [54]. However, the main disadvantage of MSDD is its high complexity. By contrast, Leib *et al.* [55] and Edbauer [56] proposed simple decision-feedback aided differential detection (DF-DD)

308 techniques, which achieve an equally competitive performance
 309 at a low computational complexity. Later, Adachi *et al.* [57]
 310 demonstrated that these DF-DD techniques can be regarded
 311 as an approximate realisation of MSDD that proposed by
 312 Divsalar *et al.* in [53], which are capable of significantly reduce
 313 the computational complexity at the cost of incurring a slight
 314 degradation of the BER performance.³ For fading channels, es-
 315 pecially in high-Doppler scenarios, the CDD typically exhibits
 316 a high BER-floor. To reduce this error-floor, Divsalar *et al.*
 317 [58] and Ho *et al.* [59] further improved the original MSDD
 318 algorithm by taking into account the channel's correlation
 319 matrix in the multiple-symbol detection metric. Similarly, to
 320 mitigate the system's computational complexity, the DF-DD
 321 technique was also extended to Rayleigh fading channels in
 322 [60]. As a further milestone, the sphere decoding algorithm
 323 advocated in [61] was incorporated into the MSDD algorithm
 324 of [58] and [59] by Lampe *et al.* in [62], which resulted in
 325 the conception of the multiple-symbol differential sphere detec-
 326 tion (MSDSD) technique. The MSDSD algorithm substantially
 327 reduces the complexity of the MSDD algorithm without any
 328 BER degradation with respect to the MSDD algorithm [58].
 329 Hence it achieves a better trade-off between the attainable BER
 330 performance and the associated decoding complexity and there-
 331 fore attracted considerable attention. However, the decoding
 332 complexity of the MSDSD algorithm imposed at low SNRs
 333 still grows exponentially upon decreasing the SNR. For solving
 334 this particular drawback of MSDSD, Pun *et al.* devised the
 335 Multiple-Symbol Differential Detection based Fano-algorithm
 336 (Fano-MSDD) in [63] and [64] by further developing Fano's
 337 original algorithm [65] as an efficient MSDD receiver. On
 338 the other hand, to transform the hard-decision-based (HDB)
 339 MSDSD algorithm to a more energy-efficient, soft-decision-
 340 based (SDB) iterative detection scheme, the MSDSD algorithm
 341 was further developed into the soft-input soft-output MSDSD
 342 (SISO-MSDSD) regime of [66].
 343 For the sake of supporting the operation of cooperative
 344 systems, the noncoherent detection algorithms employed at the
 345 DN should become capable of simultaneously processing the
 346 multiple input signal sequences received via both the source-
 347 to-destination link and the relay-to-destination link. More
 348 explicitly, as a benefit of cooperative diversity, the DN of a
 349 cooperative network will have to combine multiple versions
 350 of the same codeword transmitted via both the source-to-
 351 destination link and relay-to-destination links. For the sake of
 352 satisfying this requirement, the MSDSD algorithm of [62] was
 353 further modified by Wang *et al.* in [67] and [68]. We will
 354 refer to this variant of the MSDSD algorithm as the "multiple-
 355 path processing based MSDSD". Consequently, the application
 356 scenario of the MSDSD algorithm was extended from single-
 357 link direct transmission based systems to cooperative systems.
 358 Motivated by [67] and [68], the single-user/single-path MSDSD
 359 algorithm of [62] was further developed into a novel relay-aided
 360 form in [69]. Compared to [67] and [68], the relay-aided MS-
 361 DSD scheme of [69] further mitigates the system's complexity
 362 and exhibits an increased flexibility in terms of adapting to

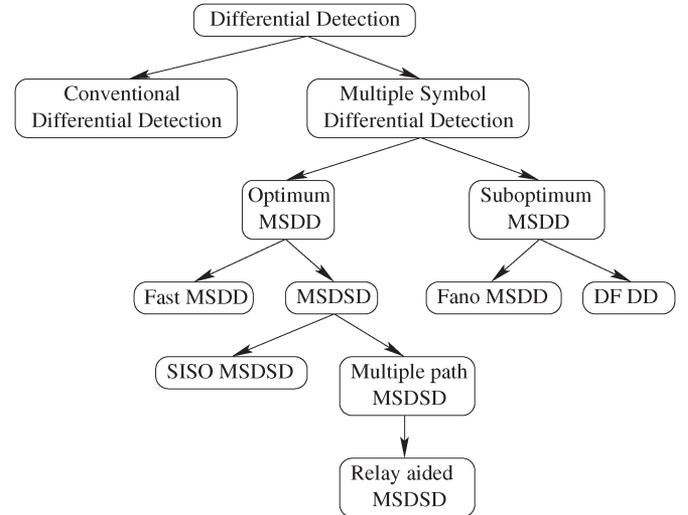


Fig. 3. Evolution of the multiple-symbol differential detectors: CDD [74], MSDD [53], [73], Fast-MSDD [54], DF-DD [57], [60], Fano-MSDD [63], MSDSD [62], SISO-MSDSD [66], Multiple-path MSDSD [67], Relay-aided MSDSD [69], [70].

multi-user, multi-relay scenarios. As a further advance, the soft-
 decision based version of the relay-aided MSDSD was extended
 in [70], to create the relay-aided soft-input soft-output MSDSD
 (relay-aided SISO-MSDSD).

We summarize the above-mentioned history of multiple-
 symbol differential detection techniques in Table IV. Similar
 to [71], Fig. 3 is provided for visualizing the evolution of the
 noncoherent differential detection techniques.

Based on the above brief review of NC detection techniques,
 in view of their benefits in obviating the need for channel es-
 timation and in overcoming the problem that we cannot expect
 the mobile relays to estimate the source-to-relay channels, we
 advocate the family of NC detection techniques, especially the
 MSDSD algorithms of [62] and [66] as well as their relay-
 aided MSDSD variants [69], [70] as the detection strategy to
 be used for successive relaying aided cooperative networking.
**Hence, in the rest of this treatise, we focus our discussions on
 advanced non-coherent successive relaying (NC-SR).**

A. Outline of the Paper

As addressed early in Section I, to avoid the potential
 correlation of the antenna elements routinely encountered in
 conventional MIMO systems, while retaining the spectral ef-
 ficiency of the multi-antenna systems, the successive relaying
 aided cooperative network was advocated. Then, for the sake of
 circumventing the potentially excessive-complexity and hence
 power-hungry channel estimation, whilst still exhibiting a com-
 petitive BER performance, the family of noncoherent differen-
 tial multiple-symbol joint detection algorithms was investigated
 in the context of advanced NC-SR regimes. **Hence, our goal
 with this review is to stimulate further research and to inspire
 additional novel contributions on spectrally-efficient cooper-
 ative systems dispensing with channel-estimation.**

In our forthcoming discourse, in general, we will portray the
 historic development of the NC-SR regime from its original

³Hence, in the perspective of achievable BER performance, we categorize DF-DD into sub-optimum MSDD in Fig. 3.

TABLE IV
CONTRIBUTIONS TO MULTIPLE-SYMBOL DIFFERENTIAL DETECTION

Year	Authors	Contributions
1968	Van Trees [72]	Contributed the critical theoretical foundation of the MSDD algorithm.
1990	Divsalar <i>et al.</i> [53], [73]	Heralded the MSDD algorithm by using a multiple-symbol observation interval during the differential detection process and analysed its BER performance in AWGN channels.
1992	Ho and Fung [59]	Redesigned the decision metric of the MSDD algorithm [53] by introducing the channel's correlation matrix. As a result, the application of the MSDD algorithm was extended to correlated Rayleigh fading channels. The associated BER performance was analysed.
1994	Mackenthun [54]	Developed a fast algorithm for MSDD [53], which imposes a complexity order of only $N \log_2(N)$ per N symbols in AWGN channels.
1999	Schober <i>et al.</i> [60]	Extended the DF-DD algorithm to Rayleigh fading channels, where the per-symbol complexity of the MSDD algorithm grows linearly with the observation window size. Consequently, a sub-optimum trade-off between the performance attained and the complexity imposed was struck.
2005	Lampe <i>et al.</i> [62]	Further developed the MSDD algorithm to the contemporary state-of-the-art noncoherent detection regime - MSDSD.
	Pun and Ho [63]	Proposed the Fano-MSDD algorithm as an alternative technique of MSDSD for low-SNR scenarios.
2006	Pauli <i>et al.</i> [66]	Devised the energy-efficient, soft-decision-aided SISO-MSDSD regime.
2009	Wang and Hanzo [67]	Applied the MSDSD algorithm to cooperative networks, and consequently generated the multiple-path MSDSD.
2011	Li <i>et al.</i> [69], [70]	Created both the hard-decision and soft-decision based relay-aided MSDSD, which was exclusively designed for handling multiple input information streams at the DN of a cooperative network, while dispensing with CSI.

397 basic representative to its most sophisticated architecture, step
398 by step. In each step, we may introduce a number of basic con-
399 cepts and principles, portray the system's architecture, identify
400 the fundamental challenges encountered, detail the associated
401 solutions, consider the realistic transceiver design principles, as
402 well as derive a variety of theoretical performance bounds.

403 In more detail, we will offer further insights into the advan-
404 tages of the successive relaying (SR) regimes in Section II-A,
405 where the SR regime and other relaying regimes, as well as
406 among different SR regimes are compared. Then, the trade-
407 off between the BER performance gain attained and the com-
408 plexity imposed by the MSDSD algorithm is characterised in
409 Section II-C, while the mathematical derivation leading from
410 the MSDSD algorithm of [62] to the HDB relay-aided MSDSD
411 and further to the SDB relay-aided MSDSD is provided in
412 Section II-D and E. The design principles of these algorithms
413 are also highlighted. These tutorial components focusing on
414 both the SR regime and on the relay-aided MSDSD algorithm
415 can be regarded as preliminaries for the ensuing discussions of
416 our original contributions.

417 Consecutively, two prototypes are introduced herein, namely
418 the successive AF relaying aided direct-sequence code-decision
419 multiple-access (DS-CDMA) uplink of Section III and the
420 successive DF relaying aided DS-CDMA uplink of Section IV.
421 In Section III, we move forward from the "single-user" scenario
422 to the "multi-user" scenario. In Section IV, we equip the system
423 with a powerful error correction capability.

424 Finally, we conclude in Section V. Some potential future
425 research topics in the area of NC-SR are also highlighted in
426 Section V. For the sake of facilitating the readers, the glossary
427 is shown in Table XIV. Hence, the overall structure of this
428 treatise may be summarized as in Fig. 4, in which DCMC is an
429 abbreviation for discrete-input continuous-output memoryless
430 channel.

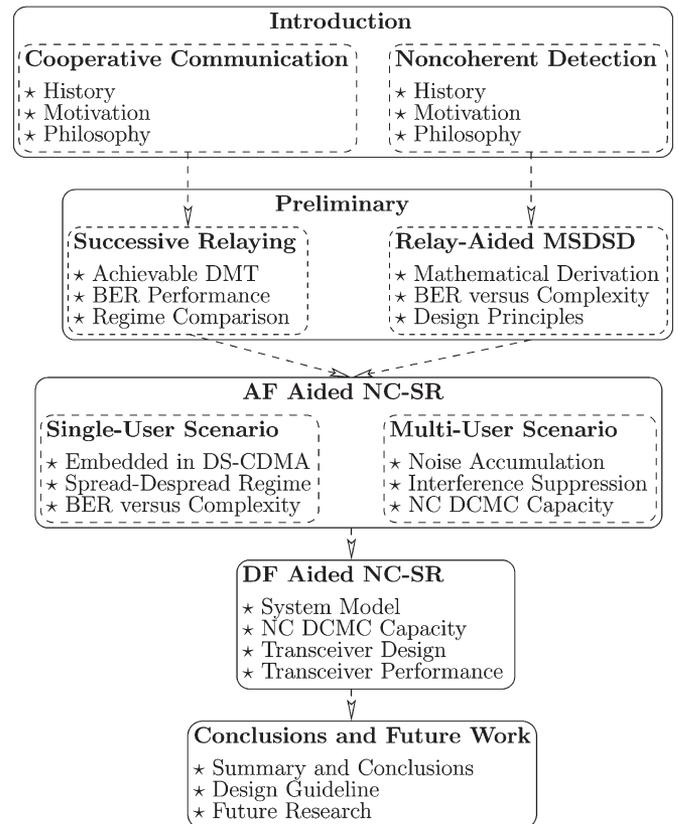


Fig. 4. Outline of the paper.

II. PRELIMINARY: ADVANTAGES OF SR AND THE EMERGENCE OF RELAY-AIDED MSDSD

A. Advantages of Successive Relaying

The transmission schedule of the successive relaying proto-
col was illustrated in Fig. 2. However, for clarifying it further by

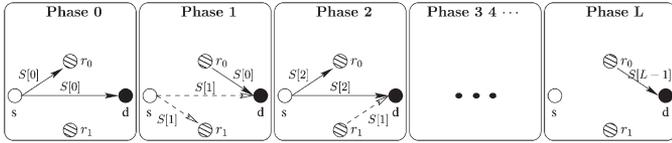


Fig. 5. Simplified transmission schedule of the successive relaying protocol, where the IRI is omitted.

436 focusing only on the desired signal components whilst ignoring
 437 the effects of the IRI, the simplified transmission arrangement
 438 of the successive relaying protocol is displayed in Fig. 5.
 439 Correspondingly, the specific actions involved in every phase
 440 shown in Fig. 5 are described as follows

- 442 • **Phase 0:** The SN s broadcasts the message $S[0]$; the RN
 443 r_0 listens to the SN s and receives the message $S[0]$; the
 444 RN r_1 remains silent; the DN d receives the message $S[0]$.
- 445 • **Phase 1:** The SN s continues to broadcast the message
 446 $S[1]$; the RN r_0 forwards the message $S[0]$ to the DN;
 447 the RN r_1 listens to the SN s and receives the message
 448 $S[1]$; the DN d simultaneously receives the messages $S[0]$
 449 and $S[1]$ via the relay-to-destination link and source-to-
 450 destination link, respectively.
- 451 • **Phase 2:** The SN s continues to broadcast the message
 452 $S[2]$; the RN r_0 listens to the SN s and receives the
 453 message $S[2]$; the RN r_1 forwards the message $S[1]$ to
 454 the DN; the DN d simultaneously receives the messages
 455 $S[1]$ and $S[2]$.
- 456 • **Phase 3 4 ...:** The transmission options described in
 457 Phase 1 and 2 will be alternately repeated until Phase
 458 $(L - 1)$.
- 459 • **Phase L :** The SN s completes all of its transmissions and
 460 stops broadcasting; the RN r_0 or r_1 forwards the message
 461 $S[L - 1]$ to the DN; another RN remains silent; the DN d
 462 receives the message $S[L - 1]$.

463 As mentioned earlier, Fan *et al.* [46] analysed the DMT⁴ of
 464 DF based successive relaying, while assuming perfect source-
 465 to-relay links. The algebraic relationship between the diversity
 466 order and the associated multiplexing gain was also formulated
 467 by them in [46, (33)]. Based on [46, (33)], the DMT struck
 468 by successive DF relaying relying on L processing phases is
 469 depicted in Fig. 6. The DMTs achieved by direct transmission,
 470 conventional DF relaying, as well as conventional AF relaying
 471 are also shown in Fig. 6 as the benchmarks, which are directly
 472 abstracted from [11, Fig. 6]. As argued before, successive DF
 473 relaying is capable of achieving a significantly improved DMT
 474 compared to conventional DF or AF relaying. This specific
 475 benefit of successive relaying is evidenced again in Fig. 6.

476 For the sake of extending our horizon further as well as
 477 of further manifesting the advantage of SR, we would like
 478 to compare several typical relaying regimes herein, which
 479 include full-duplex relaying (FDR), SR, two HD relays aided

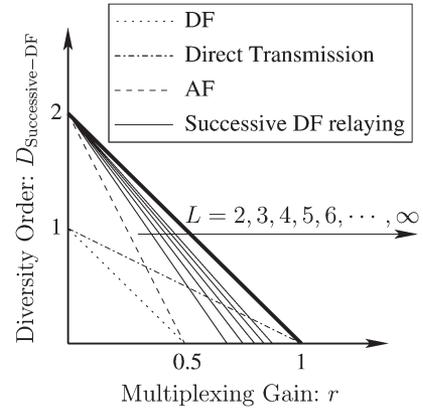


Fig. 6. Diversity order versus multiplexing gain r for the successive DF relaying protocol, where the number of processing phases L is increased from $L = 2$ to ∞ . The relevant curves are attained according to [46, (33)]. Then, according to the transmission process depicted in Fig. 5, intuitively, the normalized throughput of successive DF relaying (or its multiplexing gain) is limited to the region of $r \in [0, \frac{L}{L+1}]$. The DMT of both the conventional DF relaying and of the AF relaying as portrayed in [11, Figure 6] are also included here as a pair of benchmarks.

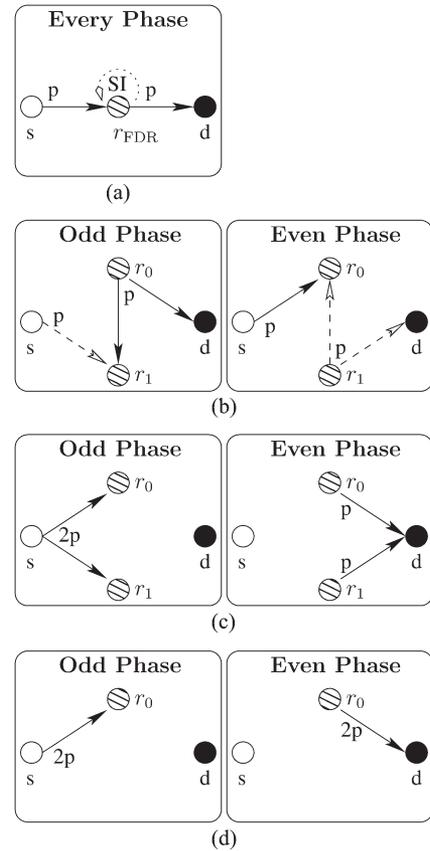


Fig. 7. Transmission framework of a range of typical relaying regimes. The detailed interpretation of each regime can be found in Table V. (a) Full duplex relaying. (b) Successive relaying. (c) Two HD relays aided CR. (d) Single HD relay aided CR.

⁴In general, a MIMO system (including cooperative systems) can provide two types of gains: diversity gain and multiplexing gain. However, maximizing one type of gain may not necessarily maximize the other. To compare the performance between diversity-oriented and multiplexing-oriented schemes, Zheng and Tse defined the concept of DMT $D(r)$ in [5], which represents the diversity order D as a function of the multiplexing gain r . The DMT is essentially the trade-off between the error probability and the data rate of a system.

conventional relaying (CR), as well as a single HD relay aided 480
 CR. Their transmission frameworks are compactly illustrated 481
 in Fig. 7 and the associated interpretations can be found in 482
 Table V. For the sake of a fair comparison, the transmit power of 483
 all the competitive relaying regimes is fixed to $2P$. For example, 484

TABLE V
DETAILED INTERPRETATIONS OF THE RELAYING REGIMES INVOLVED IN FIG. 7

full-duplex relaying (FDR)	A full-duplex relay node is employed, which is capable of transmitting and receiving signals simultaneously. Hence the SN-to-RN and the RN-to-DN transmissions can be completed in a single phase.
successive relaying (SR)	Relying on a large number of processing phase of L , we can only utilize the “Phase 1” and “Phase 2” in Figure 2 to summarize the entire transmission arrangement of SR. If we further ignore the direct SN-to-DN link owing to its large distance, we obtain “(b) successive relaying” in Figure 7.
two HD relays aided CR	During the broadcast phase, the SN s broadcast its information, while two RNs r_0, r_1 listen to the SN. During the cooperative phase, two RNs r_0, r_1 simultaneously forward the SN’s information heard in the last phase to the DN.
single HD relay aided CR	It is the fundamental relaying prototype and has a similar transmission arrangement to that of “(c) two HD relays aided CR”. By contrast, instead of exploiting multiple RNs, it only employs a single HD RN.

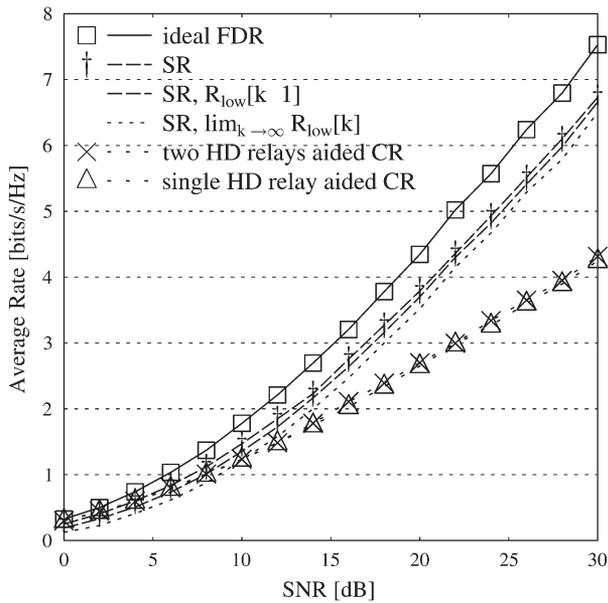


Fig. 8. Performance of several relaying schemes in terms of average achievable error-free data rate versus SNR. All the competitive relaying regimes employ AF relaying protocol. Particularly, it is assumed that the SI incurred in the “full-duplex relaying” of Fig. 7(a) has been perfectly cancelled, which results in the curve marked “ideal FDR” in this figure. Then, the strength of IRI incurred in SR is assumed to be the half of the desired signals. These simulation results are based on [34] and they can also be found in [34, Fig. 6].

485 since there are always two transmitters in every phase of “(b)
486 successive relaying”, both the SN s and the RN $r_i, i \in 0, 1$
487 transmit their signals at the power of P . By contrast, in each
488 phase of “(d) single HD relay aided CR”, there is only a single
489 transmitter. Hence, either the SN s or the RN r_0 transmits
490 signals at the power of $2P$. Furthermore, it is assumed that
491 the direct Source-to-Destination link is negligible owing to the
492 associated large propagation distance.

493 Consequently, the average achievable error-free data rate
494 versus SNR performance of each relaying regime of Fig. 7 is
495 shown in Fig. 8, where all the cooperative regimes employ
496 the AF relaying protocol. In [34], three different regimes were
497 considered, namely the SR regime employing adaptive rate
498 allocation, the SR regime transmitting exactly at the ergodic
499 capacity of time slot $[k + 1]$ as the fixed transmit rate of its
500 SN, as well as the SR regime transmitting at the ergodic
501 capacity of time slot $k \rightarrow \infty$ as the fixed transmit rate of its

SN. They are denoted by “SR”, “SR, $R_{\text{low}}[k + 1]$ ”, and “SR, $\lim_{k \rightarrow \infty} R_{\text{low}}[k]$ ” in Fig. 8, respectively. Observe in Fig. 8 that replacing adaptive rate allocation by the fixed rate allocation scheme will slightly degrade the performance of SR. Nevertheless, the performance of these SR regimes is still close to that of the “ideal FDR”. Furthermore, they significantly exceed the performance of the “single HD relay aided CR” or of the “two HD relays aided CR” beyond SNR = 10 dB. More particularly, observe in Fig. 7 that both the “(b) successive relaying” and the “(c) two HD relays aided CR” require an identical number of RNs. However, the SR regime is capable of substantially outperforming its conventional relaying based counterpart. This fact underlines the advantage of the SR regime.

514
515 Furthermore, depending on the specific type of protocol employed at the RN, the SR regime illustrated in Fig. 7 can be categorised into AF aided SR and DF aided SR. The AF aided SR regime has been investigated in Fig. 8. Then, according to whether the RNs do or do not invoke IRI cancellation, the DF aided SR can be further categorised into two types, namely the “DF aided SR, where the RNs dispense with IRI cancell.” and the “DF aided SR, where the RNs employ IRI cancell.”. Hence, it is worth providing further insights into SR by comparing these different SR regimes. As revealed in [34], the performance of these different SR regimes is predetermined by their ability to mitigate the IRI effects. Hence it is insightful to compare their error-free data rates versus the IRI power, which are shown in Fig. 9, where the “ideal DF aided FDR” system is similar to the “ideal FDR” system shown in Fig. 8, but with its AF protocol employed at the FD relay replaced by the DF protocol. Then, the “AF aided SR, DN employs IRI cancell.” system of Fig. 9 is the same as the system, namely “SR” in Fig. 8. Furthermore, the “single HD-DF relay aided CR” system of Fig. 9 is obtained upon replacing the AF protocol employed by the “single HD relay aided CR” system in Fig. 8, by the DF protocol. Furthermore, the IRI to signal power ratio (ISR) is used as the horizontal axis in Fig. 9.

537
538 Observe in Fig. 9 that for $\text{ISR} \geq 10$ dB, the RNs of the SR system should perform the IRI cancellation before detecting the messages transmitted by the SN. Specifically, it is beneficial to invoke the regime, namely “DF aided SR, RNs employ IRI cancell.”. By contrast, if the IRI is sufficiently weak, e.g. when we have $\text{ISR} \leq -15$ dB, it is not necessary to invoke IRI cancellation at the RNs of the SR system. Instead, it is better to directly decode the signals transmitted by the SN at the RNs

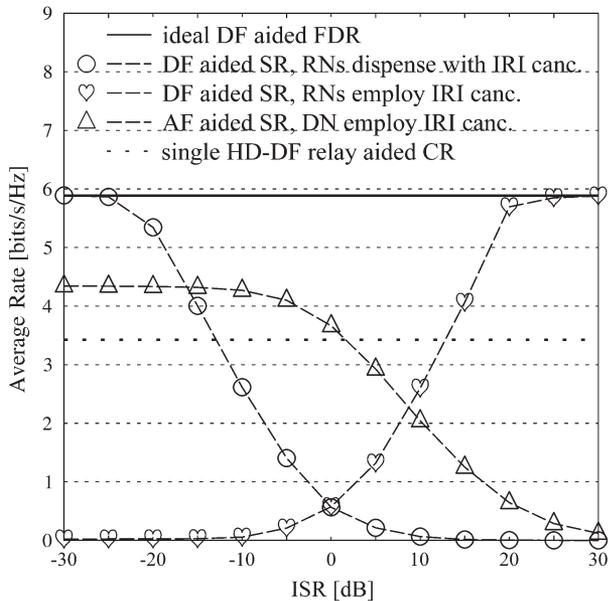


Fig. 9. Comparison among different SR regimes in terms of their average achievable error-free data rates versus ISR. The signal to noise power ratio of the desired signal is fixed to $\text{SNR} = 20$ dB. These simulation results are based on [34] and they can also be found in [34, Fig. 9].

546 of the SR system, while considering the IRI to be an additional
547 noise component. Hence, in this case, we may advocate the
548 “DF aided SR, RNs dispense with IRI canc.” regime. In the
549 case of incurring weak or moderate IRI, for example, when we
550 have $\text{ISR} \in (-15, 10)$ dB, we may opt for the AF aided SR
551 regime. Since our DS-CDMA based interference suppression
552 technique, which will be introduced in Section III-A and C2
553 is capable of sufficiently suppressing the IRI. Generally, in
554 Sections III and IV, we operate the SR systems in weak IRI
555 scenarios. Hence, we may focus our attention on AF aided SR,
556 as well as on DF aided SR, where the RNs directly decode the
557 signals transmitted by the SN, while regarding the IRI as an
558 additional noise component.

559 B. Precondition of Embedding Successive Relaying 560 Into DS-CDMA System

561 As summarized in Table III, SR imposes both co-channel
562 interference and inter-relay interference at the DN. These SR
563 induced interferences may significantly degrade the benefits of
564 the SR [34], [46].

565 Against this background, we invoked the DS-CDMA tech-
566 nique in [75] and designed the successive relaying aided coop-
567 erative DS-CDMA uplink. In this transmission framework, the
568 successive relaying aided network (SRAN) is embedded in the
569 DS-CDMA uplink for improving the communication quality of
570 a cell-edge user. Hence, the DS-CDMA technique is used for
571 mitigating the successive relaying induced interference between
572 the transmitted signals of the source and relay.

573 In more detail, for the sake of a fair comparison to the
574 conventional two-phase cooperative system [11], we have to
575 ensure that no extra channel resources are required by the
576 advocated successive relaying aided cooperative DS-CDMA

TABLE VI
SYSTEM PARAMETERS EMPLOYED IN FIGS. 11 AND 12

Scenario	Depicted in Figure 10
Channel Model	Narrow-Band Flat-Fading Channel
Normalized Doppler Frequency	$f_d = 0.03$
Modulation	4-PSK for Coherent Detection 4-DPSK for Differential Detection
Detector	Coherent Detector Coherent Differential Detector NC Differential Detector SL MSDSD (or MSDD)
Window Size of MSDSD	$N = 6, 10$

uplink. Practically, governed by a certain target BER value, 577
there may be a strict limit on the number of active users that 578
can be supported in a DS-CDMA system, which we denote by 579
“ $U_{\text{Threshold}}$ ”. In general, it is realistic to assume that there are 580
some idle users in a DS-CDMA cellular system. Hence, the 581
preconditions of employing the SRAN in support of a cell-edge 582
user in the DS-CDMA system may be as follows:

- 583 1. We find a sufficient number of idle users between the SN 585
584 and DN, willing to support the cell-edge user. 586
- 587 2. The sum of the number of active users and relays, namely 588
589 $U_{\text{ACT}} + U_{\text{RN}}$ is still lower than $U_{\text{Threshold}}$. 590

In other words, the relays employed in the SRAN are the idle 589
users of the DS-CDMA cellular system. Moreover, since we 590
assume that $U_{\text{ACT}} + U_{\text{RN}} < U_{\text{Threshold}}$, the system does not 591
run out of spreading sequences. However, appointing idle users 592
to serve as the relays increases the total number of active 593
entities in the DS-CDMA cellular system, hence increasing the 594
interference. Therefore, it may be concluded that the proposed 595
successive relaying aided cooperative DS-CDMA uplink es- 596
sentially converts the typical 50% half-duplex relaying-induced 597
throughput loss to a potential user-load reduction of the CDMA 598
system. 599

C. MSDSD: Improving the Trade-Off Between the Power Gain 600 and Decoding Complexity 601

As stated early in Section I, CDD will exhibit a high error- 602
floor in fading scenarios. This is demonstrated in Fig. 11 by the 603
dashed curve marked by the heart legends, where a flat Rayleigh 604
fading channel having a normalized Doppler frequency of $f_d = 605$
0.03 was considered. As summarized in Table IV, for the sake 606
of accommodating fading channels, Ho *et al.* [59] as well as 607
Divsalar *et al.* [58] introduced the channel correlation matrix 608
into the initial MSDD algorithm proposed by Divsalar *et al.* in 609
[53]. By exploiting both the increased time-diversity gain of the 610
extended observation window size and the statistical knowledge 611
of the channel, the BER performance of the improved MSDD 612
algorithm [58], [59] is capable of approaching the lower bound 613
of differential detection, namely that achieved by coherent dif- 614
ferential detection. The beneficial effect of increasing the obser- 615
vation window size is demonstrated by the solid lines in Fig. 11. 616

However, when employing M_c -ary differential phase-shift 617
keying (M_c -DPSK) and an observation window size of N , 618
to make a decision concerning the differential symbol se- 619
quence \mathbf{S} transmitted during an observation window, the MSDD 620

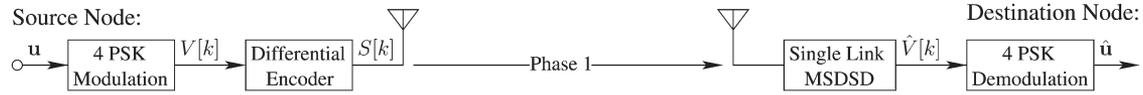


Fig. 10. Schematic of single-link MSDSD.

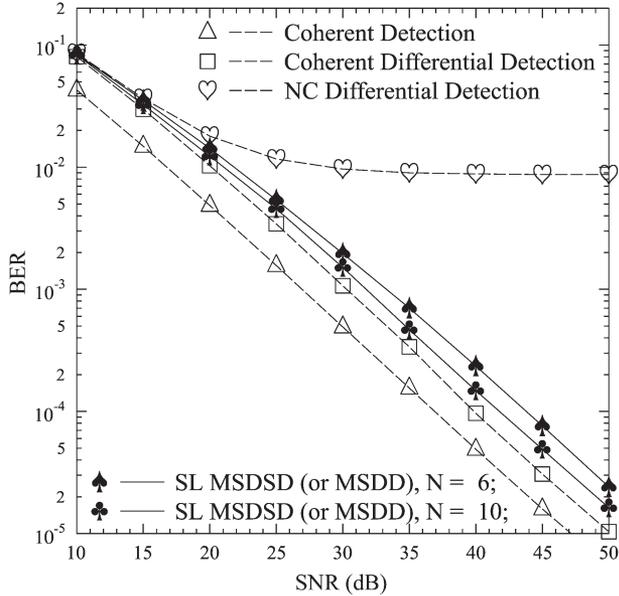


Fig. 11. BER performance of the single-link (SL) direct-transmission (DT) based MSDSD (or MSDD) when encountering a flat Rayleigh fading channel having a normalized Doppler frequency of $f_d = 0.03$ and employing 4-DPSK modulation. The associated BER performance of coherent detection, coherent differential detection, and conventional differential detection are also portrayed. The results for SL MSDSD (or MSDD) are based on the schematic of Fig. 10.

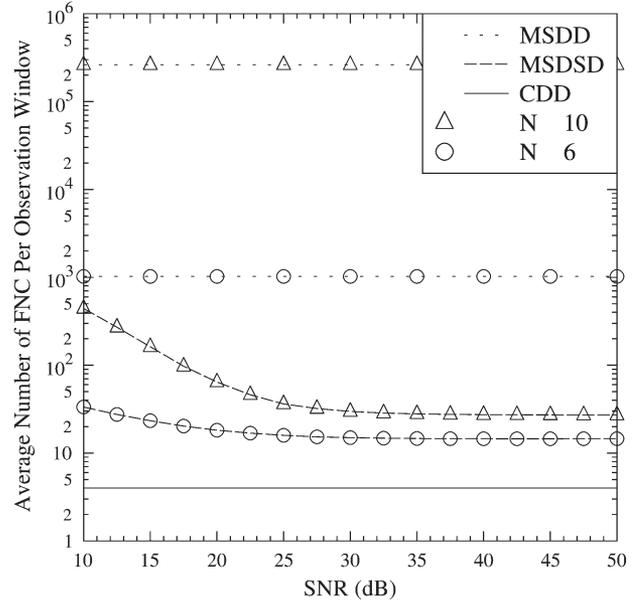


Fig. 12. The decoding complexities associated with the CDD, MSDSD and MSDD schemes employed in Fig. 11 are compared here, where the average number of FNCs required for decoding a single observation interval is employed as the complexity metric. The results are based on the schematic of Fig. 10 as well as on the parameters of Table VI.

algorithm has to test all of its M_c^{N-1} legitimate combinations.⁵ In more detail, each Frobenius norm,⁶ which is determined by both a specific legitimate combination of \mathbf{S} and the actual received signal sequence is calculated. Then, the particular legitimate combination of \mathbf{S} , which results in the minimum Frobenius norm is deemed to be the optimum decision. We may refer to Fig. 16, which visualizes the search space of the MS-DSD algorithm [62], as will be discussed later in Section II-D for the sake of capturing the philosophy of this detection process. Hence, the Frobenius norm calculation (FNC) constitutes the major contribution of the system's decoding complexity. Accordingly, the average number of FNCs required for decoding a single differential symbol sequence \mathbf{S} can be employed as a basic metric for evaluating the decoding complexity of the multiple symbol joint detection based algorithms, such as MSDD and the MSDSD.⁷

In this spirit, the MSDD algorithm imposes a decoding complexity of M_c^{N-1} FNCs per observation window. By contrast, the CDD algorithm only requires M_c FNCs per observation window. Hence, the power gain of the MSDD algorithm with

respect to the CDD algorithm as shown in Fig. 11 is obtained at the cost of imposing a significantly higher decoding complexity. Therefore, an improvement of the trade-off between the power gain obtained and the associated decoding complexity was desired. With this objective, Lampe *et al.* [62] devised the powerful MSDSD algorithm, which is capable of excluding some legitimate combinations of \mathbf{S} from the search space without going all the way to completing their associated FNCs. As a benefit, the brute-force maximum-likelihood search involved in the MSDD algorithm is obviated without sacrificing the attainable BER performance. This decoding complexity reduction facilitated by the MSDSD algorithm is demonstrated in Fig. 12. Based on Figs. 11 and 12, the MSDSD algorithm significantly reduces the decoding complexity of the MSDD algorithm, while retaining its BER performance. Hence the compromise between the power gain obtained and the associated decoding complexity of the NC detection techniques is improved.

To further improve the trade-off between the power gain achieved and the decoding complexity of NC detection, the single-path MSDSD algorithm of [62] was further developed into a novel multiple-path form in [67] and [68], where the original MSDSD algorithm was appropriately modified and applied to cooperative systems. For example, the schematics of the cooperative systems relying on the "twin-path" MSDSD and on the "triple-path" MSDSD are portrayed in Figs. 13 and 14, respectively.

⁵As a reference symbol, the last differential symbol of an observation interval is always fixed, which therefore does not require any detection.

⁶Its precise definition is given in (4) of Section II-D.

⁷The complexity imposed by the sorting operation during the differential decoding process of MSDSD may be ignored for the sake of simplifying the complexity comparison among CDD, MSDD and MSDSD.

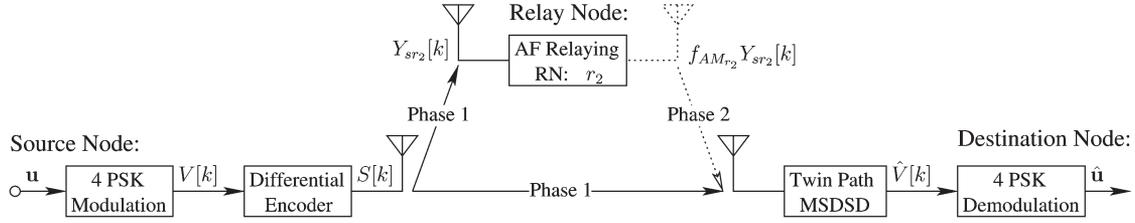


Fig. 13. Schematic of twin-path MSDSD based AF relaying.

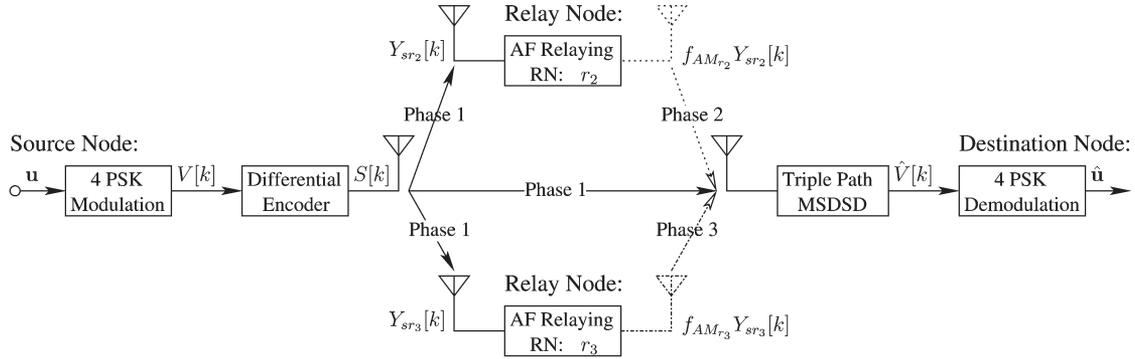


Fig. 14. Schematic of triple-path MSDSD based AF relaying.

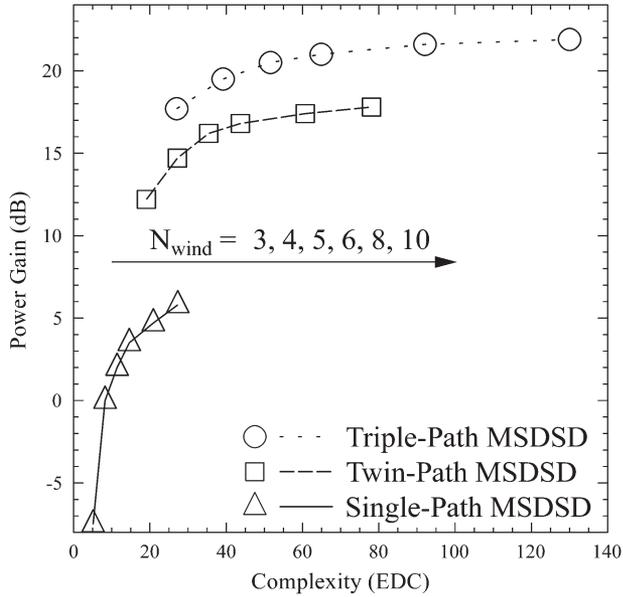


Fig. 15. Power gain versus complexity for single- and multiple-path MSDSD, where the power gain is expressed in terms of the SNR. Then, the SNR required by the single-path MSDSD algorithm having an observation window size of $N_{\text{wind}} = 4$ for approaching the target BER of 10^{-4} when encountering a flat Rayleigh fading channel having $f_d = 0.03$ is regarded as the power-gain benchmark. The results of the “single-path” system, the “twin-path” system and the “triple-path” system are based on the schematics of Figs. 10, 13, and 14, respectively, where all the parameters employed are summarized in Table VII.

667 The relevant improvement of the trade-off between the power
668 gain attained and the associated decoding complexity is re-
669 vealed in Fig. 15, where the SNR required by the single-path
670 MSDSD algorithm having observation window size of $N_{\text{wind}} =$
671 4 for approaching the target BER of 10^{-4} when encountering a
672 flat Rayleigh fading channel having $f_d = 0.03$ is regarded as
673 our power-gain benchmark.

 TABLE VII
 SYSTEM PARAMETERS EMPLOYED IN FIG. 15

Scenario	Depicted in Figures 10, 13 and 14
Channel Model	Narrow-Band Flat-Fading Channel
Normalized Doppler Frequency	$f_d = 0.03$
Modulation	Differential QPSK
Detector	Single-Path MSDSD
	Twin-Path MSDSD
	Triple-Path MSDSD
Window Size of MSDSD	$N \in \{3, 4, 5, 6, 8, 10\}$

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674 However, as commented in Section I, as well as shown
675 in Table IV, the multiple-path MSDSD [67], [68] remains
676 insufficiently flexible for application in cooperative networks,
677 especially when having a large number of propagation paths
678 in the cooperative network owing to employing multiple RNs.
679 Correspondingly, the relay-aided MSDSD of [69] and [70] was
680 devised, which can be readily applied to various cooperative
681 networks having an arbitrary number of RN induced propa-
682 gation paths. This is achieved without any BER performance
683 degradation with respect to multiple-path MSDSD [67], [68].
684 The conception of both the HDB and the SDB relay-aided
685 MSDSD is briefly highlighted in Section II-D and E.

D. HDB Relay-Aided MSDSD

686

687 Let \mathbf{V} denote an information symbol vector, which con-
688 sists of $(N - 1)$ M_c -ary PSK information symbols $V[k] \in$
689 $\{e^{j2\pi m/M_c}; m = 0, 1, \dots, M_c - 1\}$. Then, \mathbf{V} is differentially
690 encoded to a DPSK symbol vector \mathbf{S} having a length of N .
691 After \mathbf{S} is transmitted from u th entity (SN or RN) to the DN via
692 a flat Rayleigh fading channel having a CIR vector of \mathbf{H}_u , the
693 differential decoder in Fig. 10 will receive a signal vector \mathbf{Z}_u ,
694 which is a distorted version of \mathbf{S} . Hence we have the following
695 relationships: $\mathbf{V} = [V[1], V[2], \dots, V[N-1]]^T$, $\mathbf{S} = [S[1], S[2],$

696 $\dots, S[N]]^T$, $\mathbf{H}_u = [h_u[1], h_u[2], \dots, h_u[N]]^T$, $\mathbf{Z}_u = [z_u[1],$
697 $z_u[2], \dots, z_u[N]]^T$, $\mathbf{N} = [N[1], N[2], \dots, N[N]]^T$ and

$$\begin{aligned} S[k+1] &= S[k]V[k], \\ \mathbf{Z}_u &= \mathbf{H}_u \circ \mathbf{S} + \mathbf{N}, \end{aligned} \quad (1)$$

698 where \circ denotes the Hadamard product, and we have $N[k] \sim$
699 $\mathcal{CN}(0, \sigma^2)$.

700 Obviously, there are $(M_c)^{N-1}$ legitimate combinations of
701 the information symbol vector \mathbf{V} , which may be denoted by
702 the set χ . Hence the challenge encountered by the noncoherent
703 differential multiple-symbol joint decoder is that of finding the
704 actually transmitted information vector \mathbf{V} out of the entire set
705 χ , when relying only on the received signal vector \mathbf{Z}_u . The
706 mathematical principle behind this problem relates to the so-
707 called “general Gaussian problem” [72].

708 Based on [72] and Divsalar’s original MSDD algorithm [53],
709 Ho and Fund [59] rewrote the specific form of the conditional
710 probability density function (PDF) of the received signal vector
711 \mathbf{Z}_u , conditioned on the transmitted DPSK symbol vector \mathbf{S} ,
712 which may be formulated as

$$\Pr(\mathbf{Z}_u | \mathbf{S}) = \frac{1}{(2\pi)^{\frac{N}{2}} \|\Phi_{\mathbf{Z}_u \mathbf{Z}_u}\|^{\frac{1}{2}}} \exp\left(-\frac{1}{2} \mathbf{Z}_u^H \Phi_{\mathbf{Z}_u \mathbf{Z}_u}^{-1} \mathbf{Z}_u\right), \quad (2)$$

713 where the correlation matrix is given by $\Phi_{\mathbf{Z}_u \mathbf{Z}_u} \triangleq \text{diag}\{\mathbf{S}\}$
714 $\{\mathcal{E}\{\mathbf{H}_u \mathbf{H}_u^H\} + \sigma_n^2 \mathbf{I}_N\} \text{diag}\{\mathbf{S}^*\}$, \mathbf{I}_N is an N -dimensional
715 identity matrix and $\sigma_n^2 = N_0$ is the noise variance.

716 Accordingly, the previously mentioned challenge encoun-
717 tered by the noncoherent differential multiple-symbol joint
718 decoder can be tackled by selecting the optimum DPSK symbol
719 vector $\hat{\mathbf{S}}$ out of all the $(M_c)^{N-1}$ legitimate candidate vectors,
720 which maximizes the conditional PDF presented in (2). Then,
721 the benefit of presenting the conditional PDF $\Pr(\mathbf{Z}_u | \mathbf{S})$ in
722 the form of (2) is that when the amplitude of any legitimate
723 DPSK symbol $S[k]$ is fixed to unity, the determinant $\|\Phi_{\mathbf{Z}_u \mathbf{Z}_u}\|$
724 in (2) becomes independent of the DPSK symbol vector \mathbf{S} .
725 Hence, when comparing the values of the conditional PDF
726 corresponding to different DPSK symbol vectors, we only have
727 to concentrate our attention on the $(\mathbf{Z}_u^H \Phi_{\mathbf{Z}_u \mathbf{Z}_u}^{-1} \mathbf{Z}_u)$ part on the
728 right hand side of (2). Since the conditional PDF $\Pr(\mathbf{Z}_u | \mathbf{S})$
729 in (2) is a monotonically decreasing function of the metric
730 $(\mathbf{Z}_u^H \Phi_{\mathbf{Z}_u \mathbf{Z}_u}^{-1} \mathbf{Z}_u)$, maximising $\Pr(\mathbf{Z}_u | \mathbf{S})$ over the entire set of
731 \mathbf{S} is equivalent to minimising $(\mathbf{Z}_u^H \Phi_{\mathbf{Z}_u \mathbf{Z}_u}^{-1} \mathbf{Z}_u)$ over the entire
732 set of \mathbf{S} . Hence, the decision rule is simplified to

$$\hat{\mathbf{S}} = \arg \min_{\mathbf{S} \in \chi} \{ \mathbf{Z}_u^H \Phi_{\mathbf{Z}_u \mathbf{Z}_u}^{-1} \mathbf{Z}_u \}. \quad (3)$$

733 However, according to the decision rule (3), the minimum
734 decision metric $(\mathbf{Z}_u^H \Phi_{\mathbf{Z}_u \mathbf{Z}_u}^{-1} \mathbf{Z}_u)$ can only be determined, if
735 all the $(M_c)^{N-1}$ legitimate combinations of the DPSK symbol
736 vector \mathbf{S} are substituted into (3) and evaluated. To mitigate this
737 brute-force search problem incurred by the MSDD algorithms
738 of [53] and [59], Lampe *et al.* [62] devised the state-of-the-
739 art NC detection philosophy termed the MSDSD. In [62],
740 the refined decision rule proposed by Ho and Fund [59] and

formulated in (3) may be expressed after a range of algebraic 741
manipulations as 742

$$\hat{\mathbf{S}} = \arg \min_{\mathbf{S} \in \chi} \{ \|\mathbf{U}^u \mathbf{S}\|^2 \}, \quad (4)$$

where, the notation $\|\cdot\|$ denotes the Frobenius norm, $\mathbf{U}^u \triangleq$ 743
 $(\mathbf{F} \text{diag}\{\mathbf{Z}_u\})^*$, $\mathbf{U}^u \in \mathbb{C}^{N \times N}$, and \mathbf{F} is a triangular matrix, 744
defined via $\mathbf{C}^{-1} = \mathbf{F}^H \mathbf{F}$, where $\mathbf{C} \triangleq \mathcal{E}\{\mathbf{H}_u \mathbf{H}_u^H\} + \sigma_n^2 \mathbf{I}_N$. 745

The benefit of presenting the multiple-symbol differential 746
decision rule in the form of (4) is that the MSDD algorithm now 747
can be regarded as a “shortest vector problem”, which may be 748
efficiently solved by sphere detection [61], [76]. 749

According to the sphere decoding strategy [76], the specific 750
DPSK symbol vectors $\hat{\mathbf{S}}$, which are located within a sphere of 751
radius R , for which we have $\|\mathbf{U}^u \hat{\mathbf{S}}\|^2 \leq R^2$ will be temporarily 752
regarded as potential candidates. Then, the squared Frobenius 753
norm $\|\mathbf{U}^u \hat{\mathbf{S}}\|^2$ will be employed as the new sphere radius. 754
Hence the sphere radius R is further reduced. By contrast, 755
any DPSK symbol vector $\hat{\mathbf{S}}$ that violates the condition of 756
 $\|\mathbf{U}^u \hat{\mathbf{S}}\|^2 \leq R^2$ will be excluded from the search. This test is 757
repeated along with a gradually reduced sphere radius R , until 758
only the optimum candidate vector $\hat{\mathbf{S}}$ lies within the sphere. 759
This process is exemplified in Fig. 16, where an observa- 760
tion window of $N = 5$ and a differentially-encoded 4-DPSK 761
differential-detection aided modulation scheme are employed. 762

Since the matrix \mathbf{U}^u involved in (4) has an upper triangular 763
form, we may carry out the sphere decoding in a component- 764
wise manner. Bearing in mind that we have $\hat{\mathbf{S}} = [\hat{S}[1], \hat{S}[2], \dots,$ 765
 $\hat{S}[N]]^T$, the squared Frobenius norm accounting only for the last 766
 $(N - i + 1)$ components of $\hat{\mathbf{S}}$, i.e. $[\hat{S}[i], \hat{S}[i+1], \dots, \hat{S}[N]]^T$ 767
is a fraction of the total squared Frobenius norm $\|\mathbf{U}^u \hat{\mathbf{S}}\|^2$ in (4). 768
Therefore, once the partial squared Frobenius norm of a legiti- 769
mate DPSK symbol vector $\hat{\mathbf{S}}$ has already exceeded the current 770
sphere radius R_{current}^2 , it is logical that regardless of the first 771
 $(i - 1)$ components of $\hat{\mathbf{S}}$, i.e. regardless of $[\hat{S}[1], \hat{S}[2], \dots,$ 772
 $\hat{S}[i - 1]]^T$, its completed squared Frobenius norm $\|\mathbf{U}^u \hat{\mathbf{S}}\|^2$ 773
must also exceed the current sphere radius R_{current}^2 . This 774
implies that $M_c^{(i-1)}$ legitimate combinations of $\hat{\mathbf{S}}$ are justifi- 775
ably excluded from the search space even without completing 776
their associated FNCs. Consequently, the “Excluded Groups” 777
displayed in Fig. 16 are identified. This is also the major 778
reason why the MSDSD algorithm is capable of significantly 779
mitigating the decoding complexity of the MSDD algorithm, as 780
demonstrated in Fig. 12 of Section II-C. 781

As mentioned in Section I and detailed in [67] and [68], 782
the MSDSD algorithm formulated in (4) has also been applied 783
in cooperative communication scenarios. One of the critical 784
design issues elaborated on in [67] and [68] is that of combin- 785
ing the multiple relay-aided received signals $\{\mathbf{Z}_u\}_{u=1}^U$, which 786
correspond to the same source symbol sequence, but are trans- 787
mitted by U users acting as RNs and experiencing different 788
channels. This problem was solved by Wang *et al.* [68] leading 789
to their multiple-path MSDSD algorithm. However, the size of 790
the critical matrix \mathbf{U} of [68, (26)] rapidly increases with the 791
number of multipath components U ; hence the implementation 792
of sphere detection based on the decision metric proposed in 793
[68, (26)] becomes a challenge, when the number of multipath 794

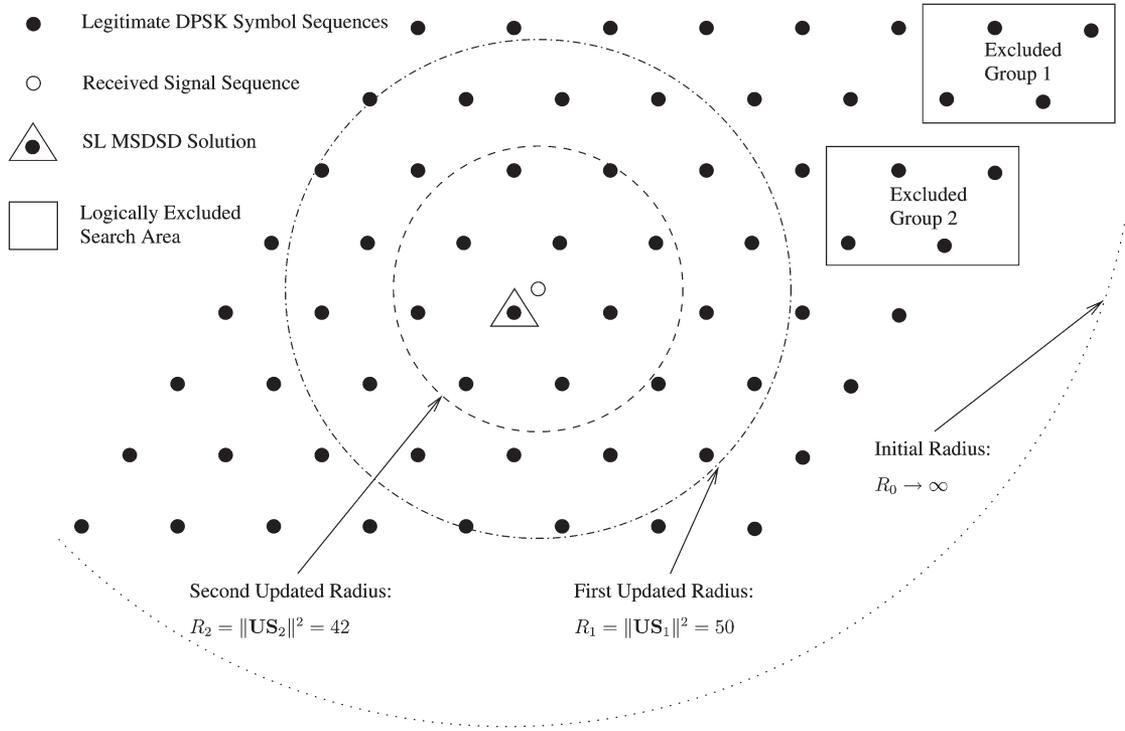


Fig. 16. Search space of the single-path MSDSD. Since we have $N = 5$, there are $M_c^{N-1} = 4^4 = 256$ legitimate DPSK symbol sequence $\hat{\mathbf{S}}$, but to avoid obfuscating details, we only depict part of them, which are explicitly labelled by 64 black dots. Then, the original signal sequence \mathbf{S} will incur an amplitude attenuation and phase rotation of its component 4-DPSK symbols. Hence the received signal sequence represented by the hollow circle in the centre cannot fall onto any of the legitimate DPSK symbol sequences. Instead, it has a certain distance from the black dots. Thus, our MSDSD algorithm aims at finding the black dot that has the minimum distance from the hollow circle, which is deemed to be the original signal sequence \mathbf{S} .

795 U increases. Thus, a new HDB relay-aided MSDSD algorithm
796 was devised in [69].

797 Since the received sequences $\{\mathbf{Z}_u\}_{u=1}^U$ are independent
798 Gaussian random vectors, the corresponding conditional PDF
799 can be written as

$$\Pr(\{\mathbf{Z}_u\}_{u=1}^U | \mathbf{S}) = \prod_{u=1}^U \Pr(\mathbf{Z}_u | \mathbf{S}). \quad (5)$$

800 Upon substituting (2) into (5), (5) may be rewritten as

$$\Pr(\{\mathbf{Z}_u\}_{u=1}^U | \mathbf{S}) = \left(\prod_{u=1}^U \eta_u \right) \times \exp\left(-\frac{1}{2} \sum_{u=1}^U \mathbf{Z}_u^H \Phi_{\mathbf{Z}_u}^{-1} \mathbf{Z}_u\right), \quad (6)$$

801 where the factor $\prod_{u=1}^U \eta_u$ is independent of \mathbf{S} . Hence, the relay-
802 aided MSDSD decision rule of finding the specific sequence
803 $\hat{\mathbf{S}} = [\hat{S}[1], \hat{S}[2], \dots, \hat{S}[N]]^T$ which maximises the conditional
804 PDF of (6) is equivalent to selecting the particular transmitted
805 source signal sequence $\hat{\mathbf{S}}$, whose matrix sum is the smallest,
806 which is formulated as

$$\begin{aligned} \hat{\mathbf{S}} &= \arg \min_{\mathbf{S} \in \mathcal{X}} \left\{ \sum_{u=1}^U \mathbf{Z}_u^H \Phi_{\mathbf{Z}_u}^{-1} \mathbf{Z}_u \right\}, \\ &= \arg \min_{\mathbf{S} \in \mathcal{X}} \left\{ \sum_{u=1}^U \|\mathbf{U}^u \mathbf{S}\|^2 \right\}. \end{aligned} \quad (7)$$

Then, we further define a $(UN \times N)$ -element matrix \mathbf{U} as 807

$$\mathbf{U} = \begin{bmatrix} \mathbf{U}_{1,1} & \mathbf{U}_{1,2} & \cdots & \mathbf{U}_{1,N} \\ 0 & \mathbf{U}_{2,2} & \cdots & \mathbf{U}_{2,N} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \mathbf{U}_{N,N} \end{bmatrix}_{UN \times N}, \quad (8)$$

$$\mathbf{U}_{i,j} = [\mathbf{U}_{i,j}^1, \mathbf{U}_{i,j}^2, \dots, \mathbf{U}_{i,j}^U]^T,$$

where $\mathbf{U}_{i,j}$ is the specific vector component of \mathbf{U} in row i and 808
column j , $1 \leq i, j \leq N$, and $\mathbf{U}_{i,j}^u$ is a specific element of \mathbf{U}^u 809
in row i and column j , $1 \leq i, j \leq N$, which was defined in 810
(4). Upon substituting (8) into (7), we finally arrive at the HDB 811
relay-aided MSDSD decision rule 812

$$\hat{\mathbf{S}} = \arg \min_{\mathbf{S} \in \mathcal{X}} \left\{ \|\mathbf{U}\mathbf{S}\|^2 \right\}. \quad (9)$$

The size of the corresponding matrix \mathbf{U} used in [68, (26)] is 813
 $(UN \times U^2N)$, which is cubically proportional to the number 814
of entities U . By contrast, the size of the newly devised matrix 815
 \mathbf{U} defined in (8) and involved in (9) is reduced to $(UN \times N)$, 816
which only increases linearly with U . Thus, the HDB relay- 817
aided MSDSD algorithm may be decomposed into two steps: 818
first, we individually calculate each \mathbf{U}^u contribution seen in (4) 819
according to the conventional single-path MSDSD algorithm; 820
then, we execute the relay-aided MSDSD based on the matrix 821
 \mathbf{U} of (4) according to the conventional single-path MSDSD 822

823 algorithm; then, we execute the relay-aided MSDSD based on
824 the matrix \mathbf{U} of (8), which is constituted by combining all the
825 $\{\mathbf{U}^u\}_{u=1}^U$ components.

826 E. SDB Relay-Aided MSDSD

827 As stated in Section I, relaxing hard-decision-based detection
828 to its soft-decision-based counterpart will achieve a substan-
829 tially improved energy-efficiency. To transform the HDB relay-
830 aided MSDSD to its SDB variant, we have to pay particular
831 attention to the *a posteriori* log-likelihood ratio (LLR) of the
832 μ th bit $u[\mu]$, given U simultaneously received signal streams
833 represented by $\{\mathbf{z}_u\}_{u=1}^U$, which may be formulated as

$$L(u[\mu]) = \ln \frac{\Pr(u[\mu] = b | \{\mathbf{z}_u\}_{u=1,2,\dots,U})}{\Pr(u[\mu] = \bar{b} | \{\mathbf{z}_u\}_{u=1,2,\dots,U})}, \quad b \in \{0, 1\}, \quad (10)$$

834 where \bar{b} is the complement of b .

835 We will detail later in Section IV-B that the DN will receive
836 the pair of despread signal streams \mathbf{z}_s^l and $\mathbf{z}_{r_0}^{l+1}$, which corre-
837 spond to the same SN's transmitted signal stream \mathbf{S}^l . Hence, for
838 example, we can substitute \mathbf{z}_s^l and $\mathbf{z}_{r_0}^{l+1}$ into (10) as $\{\mathbf{z}_u\}_{u=1,2}$.
839 As a result, (10) can be rewritten with the aid of Bayes'
840 formula as

$$\begin{aligned} L(u[\mu]) &= \ln \frac{\Pr(u[\mu] = b | \mathbf{z}_s^l, \mathbf{z}_{r_0}^{l+1})}{\Pr(u[\mu] = \bar{b} | \mathbf{z}_s^l, \mathbf{z}_{r_0}^{l+1})} \\ &= \ln \frac{\sum_{\mathbf{V} \in \chi: u[\mu]=b} \Pr(\mathbf{z}_s^l | \mathbf{V}) \Pr(\mathbf{z}_{r_0}^{l+1} | \mathbf{V}) \Pr(\mathbf{V})}{\sum_{\mathbf{V} \in \chi: u[\mu]=\bar{b}} \Pr(\mathbf{z}_s^l | \mathbf{V}) \Pr(\mathbf{z}_{r_0}^{l+1} | \mathbf{V}) \Pr(\mathbf{V})}, \end{aligned} \quad (11)$$

841 where the information symbol vector \mathbf{V} consists of $(T_b - 1)$
842 QPSK symbols. The relationship of the symbol-vector \mathbf{V} and
843 \mathbf{S}^l is also clarified in (1). Furthermore, $\chi: u[\mu]=b$ represents
844 the set of $(M_c^{T_b-1}/2)$ legitimate transmitted vectors \mathbf{V} , whose
845 μ^{th} bits are constrained to $u[\mu] = b$, and similarly, $\chi: u[\mu]=\bar{b}$ is
846 defined as the set corresponding to $u[\mu] = \bar{b}$.

847 The next step is that of substituting the squared Frobenius
848 norm of $\|\mathbf{U}^u \mathbf{S}\|^2$ shown in (4) into (11), where we equivalently
849 represent the probabilities seen in (11) in terms of their asso-
850 ciated squared Frobenius norms. Similar manipulations can be
851 found in [66]. In more detail, according to (2), (3) and (4), we
852 arrive at

$$\begin{aligned} \Pr(\mathbf{z}_s^l | \mathbf{V}) &\propto \exp \left\{ -\|\mathbf{U}^s \mathbf{S}^l\|^2 \right\}, \\ \Pr(\mathbf{z}_{r_0}^{l+1} | \mathbf{V}) &\propto \exp \left\{ -\|\mathbf{U}^{r_0} \mathbf{S}^l\|^2 \right\}. \end{aligned} \quad (12)$$

853 The definition of the matrix \mathbf{U}^s or \mathbf{U}^{r_0} is similar to that
854 stipulated below (4). More particularly, the matrix \mathbf{U}^s is related
855 to \mathbf{z}_s^l , while the matrix \mathbf{U}^{r_0} is related to $\mathbf{z}_{r_0}^{l+1}$. Hence, based
856 on (11) and (12) and invoking the ‘‘sum-max’’ approximation

as well as replacing \mathbf{S}^l by the simplified notation of \mathbf{S} , the
857 *a posteriori* LLR of $u[\mu]$ is further approximated by 858

$$\begin{aligned} L(u[\mu]) &\approx \ln \frac{\max_{\mathbf{V} \in \chi: u[\mu]=b} \exp \left\{ -\|\mathbf{U}^s \mathbf{S}\|^2 - \|\mathbf{U}^{r_0} \mathbf{S}\|^2 + \ln \Pr(\mathbf{V}) \right\}}{\max_{\mathbf{V} \in \chi: u[\mu]=\bar{b}} \exp \left\{ -\|\mathbf{U}^s \mathbf{S}\|^2 - \|\mathbf{U}^{r_0} \mathbf{S}\|^2 + \ln \Pr(\mathbf{V}) \right\}} \\ &= \underbrace{\left(\|\mathbf{U}^s \hat{\mathbf{S}}_{\text{MAP}}^b\|^2 + \|\mathbf{U}^{r_0} \hat{\mathbf{S}}_{\text{MAP}}^b\|^2 - \ln \Pr(\hat{\mathbf{V}}_{\text{MAP}}^b) \right)}_{\text{MAP-MSDSD} \in \chi: u[\mu]=b} \\ &\quad + \underbrace{\left(\|\mathbf{U}^s \hat{\mathbf{S}}_{\text{MAP}}^{\bar{b}}\|^2 + \|\mathbf{U}^{r_0} \hat{\mathbf{S}}_{\text{MAP}}^{\bar{b}}\|^2 - \ln \Pr(\hat{\mathbf{V}}_{\text{MAP}}^{\bar{b}}) \right)}_{\text{MAP-MSDSD} \in \chi: u[\mu]=\bar{b}}. \end{aligned} \quad (13)$$

The last step is that of performing the calculation of $L(u[\mu])$
859 of (13) with the aid of the sphere detection process. The
860 evaluation of the *a posteriori* LLR of $L(u[\mu])$ in (13) may be
861 summarized as follows: 862

1. Let $\hat{\mathbf{S}}_{\text{MAP}}$ denote one of the legitimate differentially en-
864 coded DQPSK symbol vectors \mathbf{S} , which minimizes the
865 term $\{\|\mathbf{U}^s \mathbf{S}\|^2 + \|\mathbf{U}^{r_0} \mathbf{S}\|^2 - \ln \Pr(\mathbf{V})\}$ involved in the
866 numerator of (13). Then $\hat{\mathbf{V}}_{\text{MAP}}$ represents the correspond-
867 ing QPSK symbol vector, which is uniquely identified by
868 $\hat{\mathbf{S}}_{\text{MAP}}$. The symbol vector $\hat{\mathbf{S}}_{\text{MAP}}$ may be obtained by im-
869 plementing a specific sphere detection algorithm, which
870 is an amalgam of the maximum *a posteriori* algorithm
871 called MAP-MSDSD in [62] and of the Relay-Aided
872 MSDSD algorithm described in Section II-D. 873
2. Employ $\hat{\mathbf{V}}_{\text{MAP}}$ as $\hat{\mathbf{V}}_{\text{MAP}}^b$ and $\hat{\mathbf{S}}_{\text{MAP}}$ as $\hat{\mathbf{S}}_{\text{MAP}}^b$. Correspond-
874 ingly, the detected value of the μ th bit of $\hat{\mathbf{V}}_{\text{MAP}}$ is assigned
875 to the variable b in (13). As a result, both the value of \bar{b} and
876 the reduced-size search space of $\chi: u[\mu]=\bar{b}$ are determined. 877
3. Implement a constrained MAP-MSDSD algorithm for the
878 sake of seeking $\hat{\mathbf{V}}_{\text{MAP}}^{\bar{b}}$ and $\hat{\mathbf{S}}_{\text{MAP}}^{\bar{b}}$, which is similar to the
879 search process implemented during Step.1. However, this
880 time, the search space is reduced to $\chi: u[\mu]=\bar{b}$. Correspond-
881 ingly, the associated $\hat{\mathbf{V}}_{\text{MAP}}^{\bar{b}}$ and $\hat{\mathbf{S}}_{\text{MAP}}^{\bar{b}}$ is obtained. 882
4. On substituting the resulting vectors $\hat{\mathbf{V}}_{\text{MAP}}^b$, $\hat{\mathbf{S}}_{\text{MAP}}^b$, $\hat{\mathbf{V}}_{\text{MAP}}^{\bar{b}}$
883 and $\hat{\mathbf{S}}_{\text{MAP}}^{\bar{b}}$ into (13), the *a posteriori* LLR of $u[\mu]$ is
884 obtained. 885

886 III. SUCCESSIVE AF RELAYING AIDED 887 888 DS-CDMA UPLINK 887

888 A. Successive AF Relaying Aided Single-User DS-CDMA 888
889 Uplink: Spread-Despread Strategy and Employing 889
890 Relay-Aided MSDSD 890

In this section, we focus our attention on the successive
891 AF relaying aided single-user DS-CDMA uplink, where the
892 specific DS-CDMA spreading-despreading strategy designed
893 for appropriately suppressing the CCI is highlighted. 894

We consider the successive relaying induced CCI problem
895 reported in [46] and [34], which is imposed by the signals
896 directly transmitted by the SN and the signals forwarded by one
897 of the two RNs, both of which are assumed to be simultaneously
898 received at the DN, as depicted in ‘‘Phase 1’’ and ‘‘Phase 2’’
899 of Fig. 5. Hence, the algorithm discussed in [77] constitutes 900

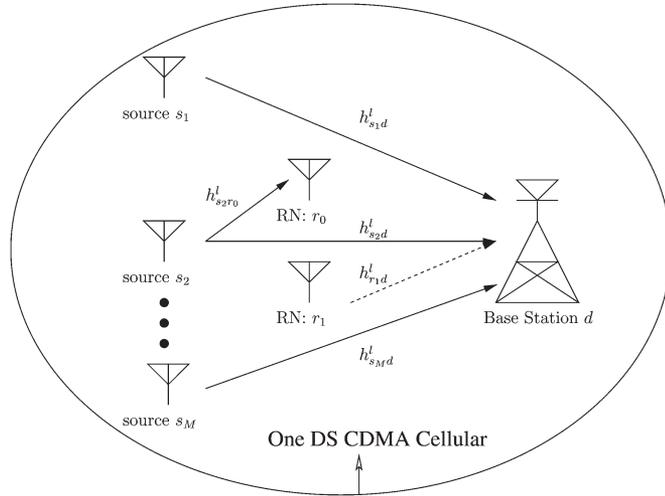


Fig. 17. A simplified uplink diagram of successive AF relaying aided DS-CDMA, assuming that the successive relaying aided cooperative sub-system is embedded in the middle.

a beneficial technique for extracting the different components of the resulting composite signal. Inspired by this concept, the DS-CDMA technique was considered in [77] for suppressing the successive relaying induced CCI, which however has to be further developed for employment in the SRAN of [46], seen in Fig. 18.

Consequently, based on [69], a specific successive AF relaying aided DS-CDMA uplink is portrayed in Fig. 17, where s_n , $n \in \{1, 2, \dots, M\}$ represent the mobile stations (MSs) and h_{ab}^l , $a, b \in \{s_n, r_0, r_1, d\}$ represent the CIRs spanning from node a to node b during the l^{th} frame. In [69], it was assumed that some of the idle MSs are willing to act as the relays r_0, r_1 and they exclusively assist one of the M users seen on the left hand side of Fig. 17 based on the successive relaying protocol of [46]. It was also assumed in [69] that the impact of the successive relaying induced IRI reported in [46] is negligible, in other words, relay r_0 shown in Fig. 17 is assumed to receive no interference from relay r_1 and vice versa. Furthermore, the signals are assumed to be perfectly synchronised, for example using the solution of [78]. The path-loss effects were ignored in [69] for simplicity. Assuming that the overall transmit power of a single-link direct-transmission based system is P_{total} , for the sake of a fair comparison with classic direct communications using no relays, we explicitly stipulate that the transmit power of every node involved in the SRAN obeys the relationship of $P_s + P_{r_i} = P_{\text{total}}$, $i \in \{0, 1\}$ and $P_s = P_{r_i}$, $i \in \{0, 1\}$, where P_a denotes the transmit power of node a . To improve the practicability of the solutions reviewed, standard frame-by-frame based transmissions routinely employed in realistic communication networks are considered here. We will use a single phase depicted in Fig. 5 to transmit a single frame, i.e. the length of a transmission frame is identical to the duration of a phase. According to the specific structure of the SRAN described in Section II-A, when employing frame-based transmission, a specific codeword forwarded by the RN will arrive at the DN a frame period later with respect to the directly received replica transmitted by the SN. Hence the system delay is proportional to the frame length L .

Then, a specific DS-CDMA spreading-despreading strategy may be invoked [69], which is described below:

- 1) All the frames are divided into even frames ($l = 0, 2, 4, \dots$) and odd frames ($l = 1, 3, 5, \dots$). A pair of pseudo-noise (PN) sequences, namely C_0 and C_1 chosen from the entire spreading sequence family is employed for spreading the signals transmitted by the SN during even frames and odd frames, respectively. For example, as illustrated in Fig. 18, the signal transmitted by the SN s during “Phase 0”, namely $S^0[k]$ is spread by the PN sequence C_0 . Then, during the consecutive odd phase “Phase 1”, the signal $S^1[k]$ is spread by another PN sequence C_1 . Then, the PN sequence employed by the SN s during the consecutive even phase “Phase 2” is switched to C_0 again.
- 2) Thus, this spreading scheme guarantees that the two different components of the k^{th} signal $\mathbf{y}^l[k]$ received at the DN during the l^{th} frame, namely those which correspond to the SN’s transmitted signal and to the RN’s forwarded signal, respectively, are always spread by different PN sequences. This can be confirmed in “Phase 2” of Fig. 18, where the signal $S^2[k]$ broadcast by the SN s and the signal $S^1[k]$ forwarded by the RN r_1 interfere with each other at the DN d , which leads to CCI. Based on the above-mentioned spreading strategy, the signals $S^2[k]$ and $S^1[k]$ are spread by different PN sequences, namely by C_0 and C_1 , respectively.
- 3) Hence, if the matched filter used for despreading $\mathbf{y}^l[k]$ is matched to the waveform C_0 , the signal component of $S^2[k]$ can be extracted from the received signal $\mathbf{y}^l[k]$. Meanwhile, when the matched filter is matched to the waveform C_1 , the signal component of $S^1[k]$ can be recovered.

Based on the above-introduced specific DS-CDMA spreading-despreading strategy, at the DN, the different components of the received signal $\mathbf{y}^l[k]$ can be extracted by appropriately configuring the matched filter matched to the different spreading codes. If we arrange for the system to obey the relationships of $i \equiv [(l+1) \bmod 2]$; $\bar{i} \equiv [l \bmod 2]$ and the matched filter used for despreading $\mathbf{y}^l[k]$ is matched to the waveform $C_{\bar{i}}$, the signal directly transmitted by the SN will contribute the main component of the despread signal, while the RN’s forwarded signal becomes the interference of $I_{r_i d}^l[k]$. The associated output of the chip-waveform matched-filter is represented by $z_s^l[k]$. Let us define $\mathbf{Z}_s^l = [z_s^l[1], z_s^l[2], \dots, z_s^l[N]]^T$, which corresponds to a length- N M_c -ary DPSK symbol vector broadcast by the SN in Fig. 18 during the l^{th} frame, namely to \mathbf{S}^l .

The other symbol vector \mathbf{S}^l will be forwarded by the RN $r_{\bar{i}}$, which arrives at the DN L symbol periods later in the consecutive $(l+1)^{\text{st}}$ frame. Similarly, during the $(l+1)^{\text{st}}$ frame, we configure the filter to match the waveform of $C_{\bar{i}}$. Consequently, the despread signal extracted from $\mathbf{y}^{l+1}[k]$ is dominated by the RN’s forwarded signal, while the signal directly transmitted by the SN becomes the CCI of $I_{sd}^{l+1}[k]$. This despread signal is represented by $z_{r_{\bar{i}}}^{l+1}[k]$. Let us also define $\mathbf{Z}_{r_{\bar{i}}}^{l+1} = [z_{r_{\bar{i}}}^{l+1}[1], z_{r_{\bar{i}}}^{l+1}[2], \dots, z_{r_{\bar{i}}}^{l+1}[N]]^T$.

After completing the despreading of the signals received at the DN d , the next step is to invoke the HDB relay-aided MSDSD

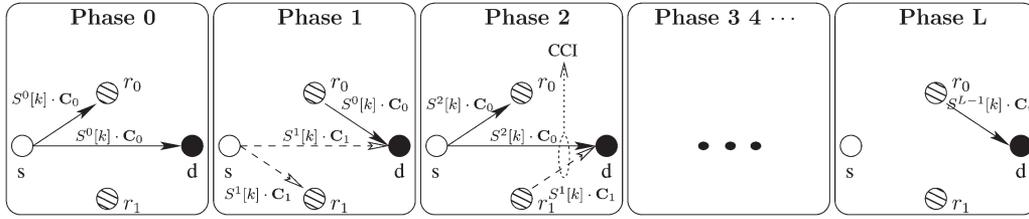


Fig. 18. The specific DS-CDMA spreading strategy, where $S^l[k]$ represents the k^{th} DPSK symbol of the l^{th} signal frame. The CCI problem is highlighted in “Phase 2”. This figure is obtained by applying the above-mentioned DS-CDMA spreading strategy to the relaying regime of Fig. 5.

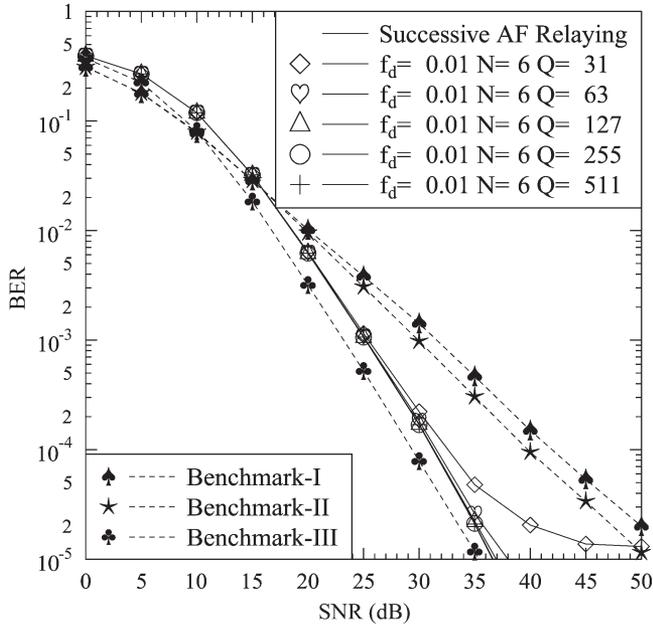


Fig. 19. BER versus SNR of the successive AF relaying aided single-user DS-CDMA uplink of Fig. 17 employing the HDB relay-aided MSDSD algorithm and using various spreading factors of Q . The interpretations of the three benchmark schemes employed for comparison are given in Table IX.

997 algorithm of Fig. 16 introduced in Section II-D for carrying
 998 out the noncoherent differential detection at the DN d . Clearly,
 999 according to the principle described in Section II-D based
 1000 on [62] and [79], we can directly implement the HDB relay-
 1001 aided MSDSD algorithm of [69] by using \mathbf{Z}_s^l and $\mathbf{Z}_{r_i}^{l+1}$ as the
 1002 \mathbf{Z}_u components in (7). Consequently, the relay-aided MSDSD
 1003 detection process of the successive AF relaying aided single-
 1004 user DS-CDMA uplink shown in Fig. 17 becomes feasible.

1005 B. Successive AF Relaying Aided Single-User DS-CDMA 1006 Uplink: BER Versus Complexity Performance

1007 Observe in Fig. 13 that conventional two-phase AF relaying
 1008 operating with the aid of the multiple-path MSDSD algorithm
 1009 of [68] incurs a severe 50% throughput loss problem. In con-
 1010 trast, the successive AF relaying regime of [46] addressed in
 1011 Section III-A is capable of recovering the 50% throughput loss.
 1012 However, this throughput improvement is achieved at the cost
 1013 of imposing CCI plus the extra amplified and faded noise com-
 1014 ponent on the received signals, which may erode the achievable
 1015 BER performance gain. This BER performance loss is charac-
 1016 terized in Fig. 19. The system parameters used in the simulation
 1017 results of this section for the sake of substantiating our dis-
 1018 cussions are based on [69] and are summarized in Table VIII.

TABLE VIII
 SYSTEM PARAMETERS

Scenario	Single-User DS-CDMA Uplink
System Regime	Successive AF Relaying
Channel Model	Time-Selective Flat Rayleigh Fading
Normalized Doppler Frequency	$f_d \in \{0.01, 0.06\}$
PN sequence	Gold Sequence
Spreading Factor	$Q \in \{31, 63, 127, 255, 511\}$
Modulation Scheme	Differential QPSK
Demodulation Scheme	HDB Relay-Aided MSDSD
MSDSD Observation Window Size	$N \in \{3, 4, 6, 8, 10, 12\}$

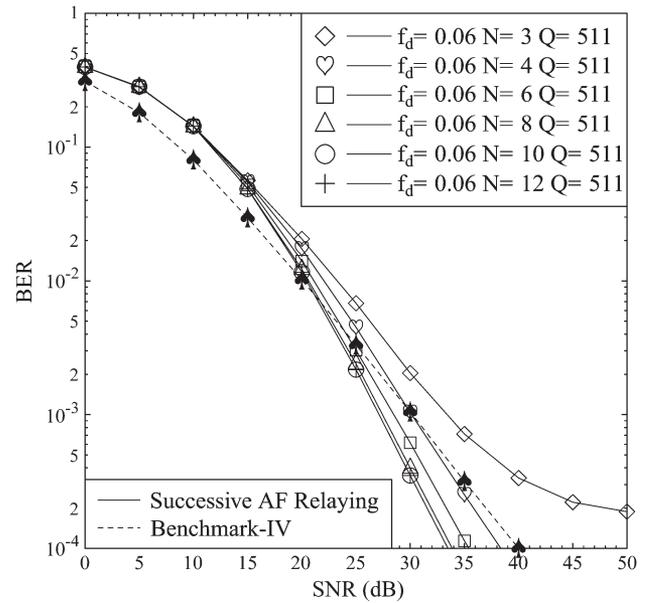


Fig. 20. Effects of the MSDSD observation window size on the BER performance of the successive AF relaying aided DS-CDMA uplink of Fig. 17 using the parameters of Table VIII.

Specifically, in all the experiments based on the successive
 1019 AF relaying system characterized in Fig. 19, the normalized
 1020 Doppler frequency is fixed to $f_d = 0.01$ and the observation
 1021 window size of the HDB relay-aided MSDSD is fixed to $N = 6$.
 1022

1023 Firstly, the impact of the successive relaying induced CCI
 1024 is quantified, since it significantly affects the system's BER
 1025 performance. As shown in Fig. 19, increasing the DS-CDMA
 1026 spreading factor (SF) Q mitigates the influence of the CCI. Nev-
 1027 ertheless, an error floor is encountered for a SF of 31 between
 1028 BER of 10^{-4} and of 10^{-5} . No significant BER improvement
 1029 can be attained upon increasing the SF beyond 63, but never-
 1030 theless a SF of 511 will be adopted in our forthcoming investi-
 1031 gations for minimizing the influence of interference in adverse
 1032 propagation conditions, as justified at a later stage in the context
 1033 of Fig. 20. Then, the relay-aided MSDSD assisted successive

TABLE IX
DEFINITIONS OF THE BENCHMARKS EMPLOYED IN FIGS. 19 AND 20

Benchmark-I	single-user scenario dispensing with relaying	MSDSD [62]	$f_d = 0.01$ $N = 6$
Benchmark-II	single-user scenario dispensing with relaying	coherent DQPSK	$f_d = 0.01$
Benchmark-III	single relay-aided two-phase cooperation	multi-path MSDSD [68]	$f_d = 0.01$ $N = 6$
Benchmark-IV	single-user scenario dispensing with relaying	coherent DQPSK	$f_d = 0.06$

1034 AF system using $SF = 511$ requires an approximately 2 dB
1035 higher transmit power for achieving the target BER of 10^{-4}
1036 compared to benchmark-III of Table IX, i.e. in comparison to
1037 its counterpart shown in Fig. 13. As stated early in this section,
1038 the associated performance degradation seen in Fig. 19 is
1039 attributable to two main reasons: firstly, to the successive-
1040 relaying-induced CCI between the transmitted signals of the
1041 SN and RN; secondly, to the noise component amplified and
1042 forwarded by the RN r_i , which is imposed on the despread
1043 source signal $z_s^l[k]$ introduced in Section III-A, but would never
1044 appear in the Source-to-Destination link of benchmark-III.

1045 According to the comparison of the successive AF relaying
1046 system and benchmark-III, we may argue that the successive
1047 AF relaying system doubles the spectral efficiency with re-
1048 gard to benchmark-III without substantially degrading the BER
1049 performance. On the other hand, the successive AF relay-
1050 ing system substantially outperforms the conventional single-
1051 user direct-transmission based schemes operating without RNs,
1052 which includes the benchmark-I and benchmark-II. Hence the
1053 successive AF relaying system is capable of striking the most
1054 attractive trade-off between the achievable throughput and the
1055 attainable BER performance amongst all the schemes listed
1056 in Table IX.

1057 As stated in Section II-C, apart from increasing the spatial
1058 diversity order for the sake of reducing the detrimental impact
1059 of time-selective fading channels, increasing the observation
1060 window size of the MSDSD algorithm is another beneficial
1061 method, which results in an improved time diversity. However,
1062 when the window size is extended, the complexity imposed in-
1063 creases rapidly. To assess the trade-offs between the attainable
1064 time diversity and the system's complexity, simulation results
1065 are provided in Figs. 20 and 21, where the normalized Doppler
1066 frequency is fixed to $f_d = 0.06$, the spreading factor is fixed to
1067 $Q = 511$ and the observation window size N increases from
1068 3 to 12. The remaining parameters are configured according to
1069 Table VIII again.

1070 As expected, an increased performance gain is attained when
1071 the window size increases from $N=3$ to 12, as shown in
1072 Fig. 20. However, no further significant performance gain may
1073 be attained, once the window size reached $N=8$, despite in-
1074 vesting substantially increased search complexity, as quantified
1075 in terms of the number of multiplications required for decoding
1076 a single symbol. This is particularly so in the low-SNR region,
1077 as shown in Fig. 21. In practical applications, the BER should
1078 be lower than 10^{-3} . In other words, according to Fig. 20,
1079 the new system performs well for SNRs above 30 dB. In
1080 this SNR range of Fig. 21, the complexity difference is no
1081 longer significant between the different window sizes. This
1082 justifies our previous statements, arguing that a window size
1083 of $N=8$ could be a meritorious choice, which has a moderate

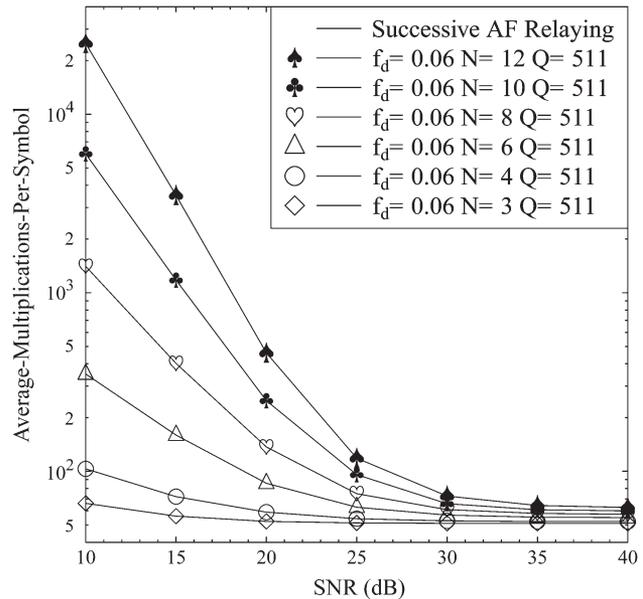


Fig. 21. Complexity versus SNR of the successive AF relaying aided DS-CDMA uplink of Fig. 17 upon varying the observation window size of the HDB relay-aided MSDSD algorithm employed. The system parameters are summarized in Table VIII.

complexity, whilst achieving the best BER performance in the
context of the parameters considered.

C. Successive AF Relaying Aided Multi-User DS-CDMA Uplink: Consider CCI, IRI and MAI

In this section, we focus our attention on the successive AF relaying aided multi-user DS-CDMA uplink, where a more realistic scenario is considered, which takes both the IRI as well as the multiple-access interference (MAI) into account. Furthermore, in the spirit of Section III-A, these successive relaying-induced interferences will be suppressed by relying on the classic DS-CDMA principle upon assigning unique, link-specific spreading codes to the potentially interfering links. Naturally, this implies that the orthogonal time-slots used [46] are replaced by unique, link-specific CDMA spreading codes at the cost of imposing a soft-limit on the number of users that may be supported, given the limited number of spreading codes.

After extending the single-user DS-CDMA uplink to the more realistic multi-user scenario, the prototype system shown in Fig. 17 is correspondingly replaced by a more generalized network topology, which is portrayed in Fig. 22, where the MS s roaming close to the edge of the DS-CDMA cell activates the SRAN regime to improve its communication quality.

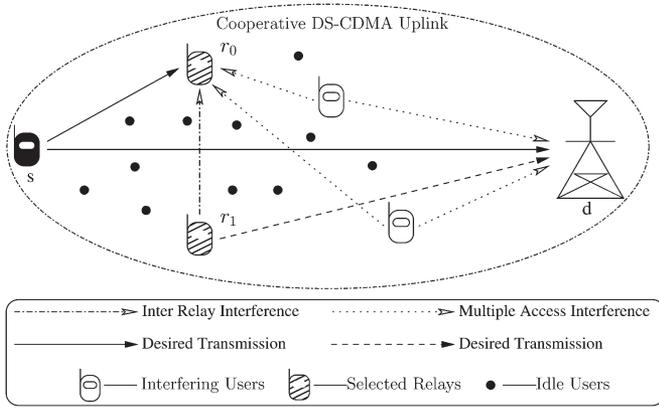


Fig. 22. The successive AF relaying aided multi-user DS-CDMA uplink topology.

1107 Section III-A, the path-loss effect encountered in realistic
 1108 systems is ignored for the sake of simplifying the analysis.
 1109 By contrast, to improve the practicality of the investigations,
 1110 the path-loss effects are also taken into account from this
 1111 section onwards. As detailed in [80], the average path-loss
 1112 reduction gain of the link spanning from node a to node
 1113 b with respect to the Source-to-Destination link is given by
 1114 $G_{ab} = (D_{sd}/D_{ab})^\alpha$, $(a, b) \in \{s, r_0, r_1, d\}$, where the notation
 1115 D_{ab} represents the distance between node a and node b .
 1116 Throughout this paper, the path-loss exponent is fixed to $\alpha = 3$
 1117 for representing a typical urban area. To simplify our analysis,
 1118 we assume that the SRAN has a symmetric topology, which
 1119 implies that D_{sr_0} , D_{r_0d} , G_{sr_0} and G_{r_0d} are identical to D_{sr_1} ,
 1120 D_{r_1d} , G_{sr_1} and G_{r_1d} , respectively.

1121 1) *Noise Accumulation Problem*: In the scenario specified in
 1122 Section III-A, where the successive relaying induced IRI was
 1123 not considered, we did not incur the noise accumulation prob-
 1124 lem either. However, when considering the IRI encountered
 1125 by our system illustrated in Fig. 22, the noise accumulation
 1126 problem detailed in this section will occur owing to directly
 1127 employing the classic AF protocol in the SRAN, where the
 1128 RN simply amplifies its received signal before retransmitting
 1129 it. This noise accumulation problem is visualized in Fig. 23.
 1130 In more detail, the noise accumulation process portrayed in
 1131 Fig. 23 may be summarised as follows:

1132

- 1133 1) Observe in “Fig. 23(a) Phase 0” that the RN r_0 receives
 1134 an AWGN contribution, namely “Noise 0”. Hence, at this
 1135 moment, the AN imposed at the RN r_0 only has a single
 1136 component: “Noise 0”.
- 1137 2) Observe in “Fig. 23(b) Phase 1” that the RN r_0 simply
 1138 amplifies all the signal components received during
 1139 “(a) Phase 0” and then transmits them. Hence, the noise
 1140 component “Noise 0” is also forwarded to the RN r_1
 1141 as part of the IRI. Simultaneously, a new AWGN contribu-
 1142 tion generated during “Fig. 23(b) Phase 1”, namely
 1143 “Noise 1” is further imposed on the RN r_1 . Hence, during
 1144 “Fig. 23(b) Phase 1”, the AN imposed at r_1 increases to
 1145 two components: “Noise 0” and “Noise 1”.
- 1146 3) Observe in “Fig. 23(c) Phase 2” that the AN consisting of
 1147 “Noise 0” and “Noise 1”, which is imposed on the RN r_1

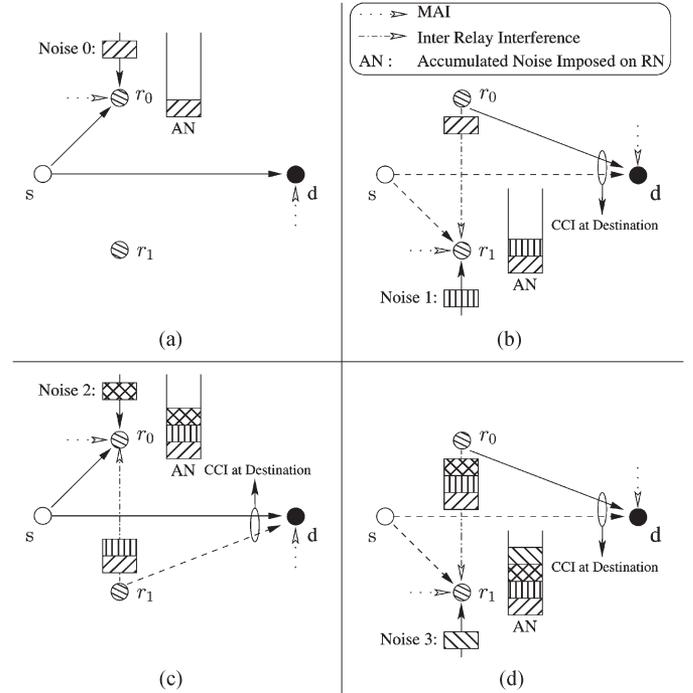


Fig. 23. The accumulated noise (AN) imposed on the RNs during the successive AF relaying process. (a) Phase 0. (b) Phase 1. (c) Phase 2. (d) Phase 3.

during the last phase is further forwarded to the RN r_0 .
 1148 Simultaneously, a new AWGN contribution generated
 1149 during “Fig. 23(c) Phase 2”, namely “Noise 2” is also
 1150 imposed on the RN r_0 . Hence, now, the AN imposed
 1151 on r_0 has three components: “Noise 0”, “Noise 1” and
 1152 “Noise 2”.
 1153

- 4) Owing to the same mechanism as stated above, the AN
 1154 imposed on the RN r_1 will have four components during
 1155 “Fig. 23(d) Phase 3”. Obviously, the accumulated noise
 1156 imposed on the RNs will continue to increase upon
 1157 continuing the successive AF relaying transmissions.
 1158

Based on the assumption that the power P_s^l of the SN’s
 1159 broadcast signal, the power P_{MAI}^l of the MAI as well as the
 1160 SNR remain constant for the different frames, and proceeding
 1161 backwards from the $(l+1)^{st}$ to the 2^{nd} frame of Fig. 23, the
 1162 variance of the total recursively accumulated noise component
 1163 in the transmit power $P_{r_i}^{l+1}$ of the RN r_i has been evaluated in
 1164 [81], which is approximated as
 1165

$$\begin{aligned} \text{Var}[N_{\text{total}}] &\approx \sigma^2 f_{AMr_i}^2 \lim_{l \rightarrow \infty} \sum_{n=0}^{l-1} \left(f_{AMr_i}^2 G_{r_i r_i} \right)^n \\ &= \frac{\sigma^2 f_{AMr_i}^2}{1 - f_{AMr_i}^2 G_{r_i r_i}}, \end{aligned} \quad (14)$$

where σ^2 is the variance of the AWGN. This implies that all
 1166 the noise generated in different frames at the different relays
 1167 will be consistently scaled and accumulated during the trans-
 1168 mission process of the classic AF based SRAN. Quantitatively,
 1169 they impose an extra noise component having a variance of
 1170

1171 $\sigma^2 f_{AMr_i}^2 G_{r_i d} \cdot (1/1 - f_{AMr_i}^2 G_{r_i r_i})$ on the DN,⁸ which cannot
 1172 be mitigated by the single despreading operation at the DN.

1173 2) *Interference Suppression Regime*: Let γ_{01} denote the
 1174 cross-correlation (CCL) of the pair of PN sequences $\mathbf{C}_i, i \in$
 1175 $\{0, 1\}$ illustrated in Fig. 18. Observe at “Phase 0” of Fig. 18 that
 1176 the signal component $S^0[k] \cdot \mathbf{C}_0$ is received at RN r_0 . After
 1177 despreading it at the DN d by \mathbf{C}_1^T at the ensuing “Phase 1”, this
 1178 signal component becomes $S^0[k] \cdot \mathbf{C}_0 \cdot \mathbf{C}_1^T = S^0[k] \gamma_{01}$, which
 1179 implies that the interfering signal $S^0[k]$ is effectively mitigated
 1180 by a factor of γ_{01} .

1181 Superimposed on the signal component $S^0[k] \cdot \mathbf{C}_0$, an
 1182 AWGN vector $\mathbf{n}[k]$ consisting of Q chip-related AWGN
 1183 samples $n[(k-1)Q + q]$ is also received at RN r_0 during
 1184 “Phase 0”, which may be formulated as [75]: $\mathbf{n}[k] = [n[(k-1)Q + 1], n[(k-1)Q + 2], \dots, n[(k-1)Q + Q]]$, where we
 1185 have $n[(k-1)Q + q] \sim \mathcal{CN}(0, \sigma^2/Q)$. Then, along with de-
 1186 spreading the signal component at the DN d by \mathbf{C}_1^T at “Phase
 1187 1”, the AWGN vector $\mathbf{n}[k]$ is also despread. However, the
 1188 corresponding term of $\mathbf{n}[k]$ is given by

$$\eta_1 = \sum_{q_1=1}^Q n[(k-1)Q + q_1] c_1[q_1] \sim \mathcal{CN}(0, \sigma^2), \quad (15)$$

1190 where η_1 is a Gaussian variable, which still has a variance of σ^2 .
 1191 This implies that the power of the AWGN vector $\mathbf{n}[k]$ cannot
 1192 be reduced by a single combined spread-despread operation.
 1193 However, if η_1 is further spread by \mathbf{C}_0 and then despread by
 1194 \mathbf{C}_1^T , we obtain

$$\eta_2 = \sum_{q_2=1}^Q \eta_1 c_0[q_2] c_1[q_2]. \quad (16)$$

1195 Observe that η_1 of (15) is a Gaussian random variable, but each
 1196 of its realizations becomes a specific value in (16). Hence (16)
 1197 may be rewritten as

$$\eta_2 = \eta_1 \sum_{q_2=1}^Q c_0[q_2] c_1[q_2] = \eta_1 \gamma_{01}. \quad (17)$$

1198 This implies that although the power of the AWGN vector
 1199 $\mathbf{n}[k]$ cannot be reduced by a single combined spread-despread
 1200 operation, it can definitely be mitigated by multiple spread-
 1201 despread operations.

1202 Inspired by the above results, a specifically arranged DS-
 1203 CDMA spread-despread regime is designed in [82], which is
 1204 portrayed in Fig. 24 for the sake of circumventing the potential
 1205 noise accumulation process, and to mitigate both the successive
 1206 relaying induced interferences and the MAI imposed on the

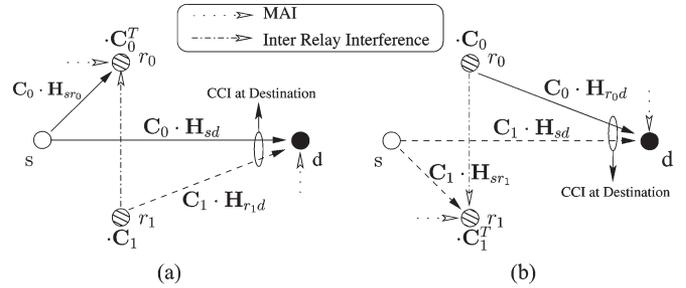


Fig. 24. The generalized successive relaying aided transmission processes and the specific spreading-despreading regime, when considering both the successive relaying induced interferences and the MAI. (a) Even Phase “ $l \in \{0, 2, 4, \dots\}$ ”. (b) Odd Phase “ $l \in \{1, 3, 5, \dots\}$ ”.

cooperative DS-CDMA uplink. This specifically arranged DS-
 CDMA spreading-despreading regime is detailed as below:

- 1) At the SN s , the modulated symbols $S^l[k]$ are alternately
 spread by \mathbf{C}_0 and \mathbf{C}_1 from frame to frame ($l = 0, 1, \dots$).
 For example, observe in Fig. 24 that the signals broadcast
 by the SN s during the even phases of “ $l = 0, 2, 4, \dots$ ”
 are always spread by the PN sequence \mathbf{C}_0 . By contrast,
 the signals are still broadcast by the SN s , but during the
 odd phases of “ $l = 1, 3, 5, \dots$ ”, they are always spread
 by the other PN sequence \mathbf{C}_1 .
- 2) At the RN r_i , the received signals are firstly despread
 by \mathbf{C}_i^T in its listening mode, and then they are directly
 spread by \mathbf{C}_i in the transmit mode of the RN r_i before
 the amplification operation. For example, observe on the
 left hand side of Fig. 24 that the signal received at the
 RN r_0 during an even phase, say “Phase 2” is despread
 by the PN sequence \mathbf{C}_0^T . As discussed below (16), after
 this despreading operation, the noise component imposed
 on the RN r_0 during “Phase 2” becomes a specific value.
 Then, the entire signal, which was received and further
 despread at the RN r_0 during “Phase 2”—including
 the noise component—is spread by \mathbf{C}_0 and then for-
 warded to the RN r_1 during the consecutive odd phase
 “Phase 3”, as shown in the right hand side of Fig. 24.
 Hence this noise component inherent in the interfering
 signal transmitted from the RN r_0 to the RN r_1 will be
 simultaneously suppressed at the RN r_1 by the despread-
 ing operation of $\cdot \mathbf{C}_1^T$ along with the entire interfering
 signal.
- 3) Hence, when we employ an appropriate PN sequence for
 suppressing the interfering signal transmitted by the RNs
 (e.g. the IRI) at the receiver (RN or DN), according to
 (17) the AF noise component inherent in the interference
 will be simultaneously suppressed. This implies that the
 noise accumulation process is indeed avoided.
- 4) This spreading-despreading scheme also guarantees that
 the two different components of the signal received at
 the DN, namely those which correspond to the SN’s
 transmitted signal and to the RN’s forwarded signal, re-
 spectively, are always spread by different PN sequences.
 This is evidenced by observing the pair of signal streams
 received at the DN d in Fig. 24.

⁸It can be proved that $(1 - f_{AMr_i}^2 G_{r_i r_i})$ is always less than 1. Hence we have the relationship that $\sigma^2 f_{AMr_i}^2 G_{r_i d} \cdot (1/1 - f_{AMr_i}^2 G_{r_i r_i}) > \sigma^2 f_{AMr_i}^2 G_{r_i d}$. Accordingly, the accumulated noise further aggravates the amplified and faded noise problem on the DN incurred in Section III-A.

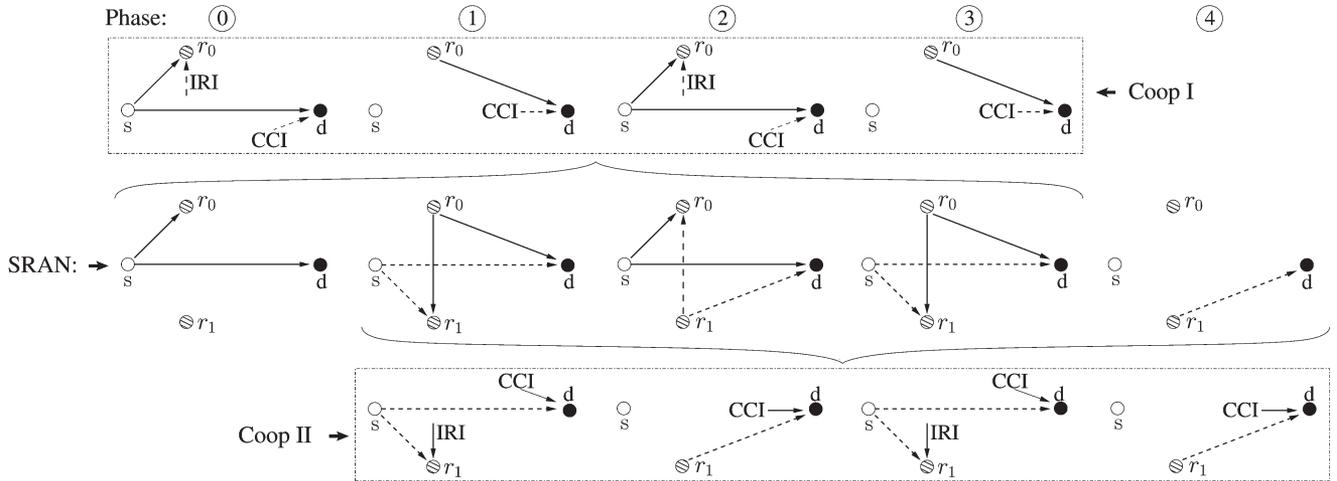


Fig. 25. Decomposition of the AF based SRAN.

1250 3) *Analysis of the Signals Obtained at the DN*: Based
 1251 on the specific interference suppression regime introduced in
 1252 Section III-C2, we can readily proceed to the analysis of the
 1253 specific signal components received at the DN. However, for the
 1254 sake of avoiding complicated mathematical manipulations, in
 1255 this tutorial paper we refer to [81, Section II.D–E], especially to
 1256 [81, Eq.(15–16)] for further details of these signal components.

1257 D. Successive AF Relaying Aided Multi-User DS-CDMA 1258 Uplink: NC DCMC Capacity

1259 In Section III-B, we focussed our attention on the BER
 1260 performance versus complexity of the prototype system of
 1261 Fig. 17 introduced in Section III-A. Based on [82], in this
 1262 section, we would like to extend our discussions to the theo-
 1263 retical capacity of the prototype system of Fig. 22 introduced in
 1264 Section III-C. In more detail, based on the idealized simplifying
 1265 assumption that the CSI is perfectly known, the capacity of
 1266 the AF based cooperative systems was characterized in [83]
 1267 and [84]. However, the NC DCMC capacity of the AF based
 1268 SRAN is still unknown. Hence we focus our attention on the
 1269 NC DCMC capacity of the successive AF relaying aided multi-
 1270 user DS-CDMA system herein for characterising its theoretical
 1271 bound.

1272 The critical issue behind the capacity derivation of the AF
 1273 based SRAN is that the transmission arrangement of the twin-
 1274 relay-aided successive relaying procedure of Fig. 24 may be
 1275 viewed as the superimposed transmissions of two conventional
 1276 single-relay aided two-phase cooperative links [85]. This has
 1277 been illustrated in Fig. 24, where the transmissions represented
 1278 by the solid lines in the even phase and odd phase constitute
 1279 one of the half-duplex three-terminal cooperative networks,
 1280 namely Coop-I. Similarly, the transmissions represented by the
 1281 dashed lines in the even and odd phases constitute another one,
 1282 namely Coop-II.

1283 To further clarify this decomposition of the AF based SRAN,
 1284 we illustrate its detailed transmission process in Fig. 25, where
 1285 the transmissions of the SRAN were split into five phases.
 1286 Observe in Fig. 25 that the transmission arrangement of the

five-phase SRAN may be treated as the superposition of the
 transmissions of a pair of four-phase based half-duplex three-
 terminal cooperative networks, which are the above mentioned
 sub-networks “Coop-I” and “Coop-II”. The slight difference
 between our sub-network “Coop-I” (or “Coop-II”) and the
 conventional half-duplex three-terminal cooperative network is
 that we additionally imposed the omni-present CCI and IRI on
 the DN and RN of the sub-networks, respectively, because we
 have to assume that an equivalent amount of CCI (or IRI) is also
 imposed on the DN of the sub-networks, just like that which
 happens to the AF based SRAN located in the middle of Fig. 25.

Hence, we can readily extend the relationship between the
 AF based SRAN and the pair of half-duplex three-terminal
 cooperative networks based on Fig. 25 to the generalized sce-
 nario, where the transmission arrangement of an $(N + 1)$ -phase
 SRAN may be treated as a superposition of the transmissions of
 two N -phase half-duplex three-terminal cooperative networks.⁹
 Hence, assuming that $(N + 1)$ is sufficiently high, we may
 readily conclude that the noncoherent DCMC capacity of the
 AF based SRAN is constituted by the sum of the capacities of
 the AF based sub-network “Coop-I” and “Coop-II”, i.e.

$$C_{\text{Successive}}^{\text{AF}} = C_{\text{Coop-I}}^{\text{AF}} + C_{\text{Coop-II}}^{\text{AF}}. \quad (18)$$

Consequently, the problem is transformed to that of deriving
 the NC DCMC capacity of the AF based half-duplex three-
 terminal cooperative network e.g. $C_{\text{Coop-I}}^{\text{AF}}$. From a capacity
 analysis perspective, the sub-network Coop-I may be equiva-
 lently modelled by a single-input-multiple-output (SIMO) sys-
 tem having $N_T = 1$ transmit and $N_R = 2$ receive antennas.
 The evaluation of the NC DCMC capacity of the equivalent
 SIMO system may be carried out according to the principles
 introduced in [84]–[86]. Hence, the evaluation of $C_{\text{Successive}}^{\text{AF}}$ in
 (18) becomes feasible.

⁹The transmission arrangements of the first and last phases in the AF based SRAN do not strictly satisfy our decomposition of the AF based SRAN. Nevertheless, when the total number of transmission phases in the AF based SRAN is sufficiently large, we may ignore the slight inaccuracy incurred in the two particular phases.

TABLE X
 DISTANCE RATIOS CONSIDERED

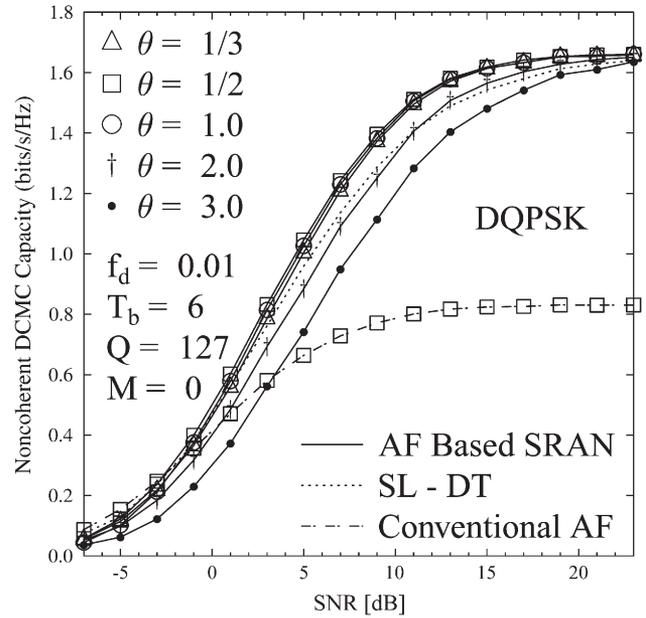
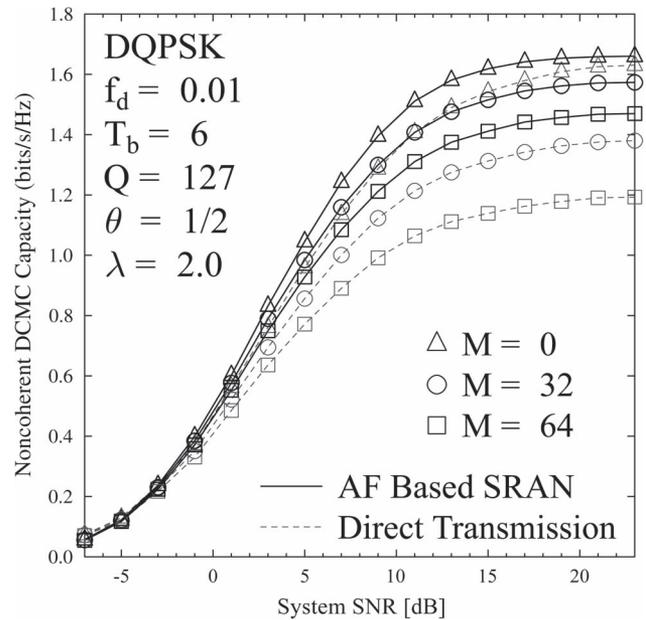
θ	D_{sr_i}	$D_{r_i d}$	D_{sd}	$D_{r_0 r_1}$
1/3	1	3	3.824	1
1/2	1	2	2.802	1
1.0	1	1	1.732	1
2.0	2	1	2.802	1
3.0	3	1	3.824	1

 TABLE XI
 SYSTEM PARAMETERS

Scenario	Multi-User DS-CDMA Uplink
System Regime	Successive AF Relaying
Channel Model	Block Fading Channel [87]
Correlated Fading Block Length	$T_b = 6$
Normalized Doppler Frequency	$f_d = 0.01$
PN sequence	Gold Sequence
Spreading Factor	$Q = 127$
Modulation Scheme	Differential QPSK
Power Allocation	$P_s = P_{r_i} = \frac{1}{2} P_{total}$
RN's Position	$\theta \in \{\frac{1}{3}, \frac{1}{2}, 1.0, 2.0, 3.0\}$
Number of Interfering Users	$M \in \{0, 32, 64\}$
Ratio of RN's MAI to DN's MAI	$\lambda = 2.0$

1318 In the remaining discussions of this section, we would like
 1319 to quantify $C_{Successive}^{AF}$ with the aid of the associated formulas
 1320 provided in [81]. Firstly, let us define the distance-ratio of
 1321 $\theta = (D_{sr_i}/D_{r_i d})$, and assume that $D_{r_0 r_1}$ equals a relatively
 1322 small value between D_{sr_i} and $D_{r_i d}$. Based on the symmetrical
 1323 structure of the proposed SRAN assumed in Section III-C, the
 1324 exact proportions of D_{sr_i} , $D_{r_i d}$, D_{sd} and $D_{r_0 r_1}$ associated
 1325 with different θ values are summarized in Table X, where the
 1326 shortest distance is always normalized to unity. Then, let λ
 1327 represent the ratio of the MAI imposed on the RN r_i to the MAI
 1328 imposed on the BS. Naturally, the actual value of λ will vary,
 1329 depending on the specific network topology. As a reasonable
 1330 value, $\lambda = 2.0$ is assigned in our simulations. Then, the power
 1331 allocation strategy, the channel model, the correlated block-
 1332 fading period of T_b , as well as all other system parameters
 1333 utilized in our forthcoming simulations of this section are
 1334 summarized in Table XI.

1335 When a cooperative-user-selection scheme is employed, the
 1336 effect of the RN's position is displayed in Fig. 26, where
 1337 the RN positions expressed in terms of $\theta \in \{3.0, 2.0, 1.0,$
 1338 $(1/2), (1/3)\}$ were considered and the number of interfering
 1339 users was fixed to $M = 0$. Observe in Fig. 26 that the capacity
 1340 of the SN's uplink employing the AF based SRAN exceeds
 1341 that of the conventional SL DT structure, when assigning RNs
 1342 sufficiently close to the SN. By contrast, it results in a degraded
 1343 capacity compared to the conventional direct transmission
 1344 structure, when assigning RNs close to the DN. In our particular
 1345 case, assigning the RNs at the position $\theta = (1/2)$ is seen to be
 1346 the best strategy in Fig. 26, which slightly improves the capacity
 1347 $C_{Successive}^{AF}$ compared to the scenarios $\theta = (1/3)$ and $\theta = 1.0$.
 1348 The capacity of the conventional single-relay aided two-phase
 1349 AF based cooperative DS-CDMA uplink having $\theta = (1/2)$ is
 1350 also shown in Fig. 26, which is significantly exceeded by that
 1351 of its AF based SRAN counterpart.


 Fig. 26. The effect of the geographic position of the RN on $C_{Successive}^{AF}$.

 Fig. 27. The noncoherent DCMC capacity $C_{Successive}^{AF}$ in zero, moderate and heavy MAI scenarios.

In our next investigation we fix the position of the RNs at $\theta =$ 1352
 (1/2) and focus our attention on the detrimental effects of hav- 1353
 ing an increased number of interfering users M . Specifically, 1354
 the values of $M \in \{0, 32, 64\}$ are considered for modelling the 1355
 scenarios of zero, moderate and heavy MAI, respectively. The 1356
 relevant simulation results displayed in Fig. 27 demonstrate 1357
 that the capacity of the AF based SRAN always exceeds that 1358
 of the direct transmission system, regardless of the number 1359
 of interfering users. It is more intriguing to find that the 1360
 capacity advantage of the AF based SRAN with respect to 1361
 the conventional direct transmission system increases, when 1362
 the MAI becomes stronger. This implies that in contrast to the 1363

1364 conventional DS-CDMA uplink, the cooperative DS-CDMA
1365 uplink will exhibit more substantial advantages in high-load
1366 situations.

1367 IV. SUCCESSIVE DF RELAYING 1368 AIDED DS-CDMA UPLINK

1369 Based on the interference suppression regime introduced in
1370 Section III-A as well as in Section III-C2, it is feasible to ef-
1371 ficiently suppress the successive relaying induced interference
1372 with the aid of the classic DS-CDMA multiple access tech-
1373 nique. Hence, we are capable of operating the SR aided system
1374 in a weak IRI scenario. Then, according to the analysis provided
1375 in Section II-A, especially to the comparisons demonstrated in
1376 Fig. 9, we may replace the AF protocol employed in Section III
1377 by the DF protocol for the sake of achieving a potentially
1378 better BER performance. Therefore, a successive DF relaying
1379 aided multi-user DS-CDMA uplink architecture is conceived.
1380 Then, the SDB relay-aided MSDSD algorithm introduced in
1381 Section II-E can be employed in this system for realizing
1382 energy-efficient detection. The resulting DF based SRAN leads
1383 to a sophisticated relay-aided SISO-MSDSD assisted three-
1384 stage iterative-detection-based transceiver architecture, which
1385 will be detailed in Section IV-C.

1386 A. System Model of DF Based SRAN

1387 The network's topology illustrated in Fig. 22 is still valid
1388 for the DF based SRAN. Consequently, both the MAI and
1389 the successive relaying induced interferences, namely the CCI
1390 and IRI contributions are also taken into account in the DF
1391 based system model. Furthermore, the relaying-related path-
1392 loss reduction effects and the power-allocation as well as the
1393 channel model are also assumed to be the same as those defined
1394 in Section III-C. All the notation given in Section III will retain
1395 the original definitions in this section.

1396 The DS-CDMA based interference suppression regime intro-
1397 duced in Section III-C2 is also valid for the DF based SRAN.
1398 In more detail, at the SN s , the modulated symbols $S^l[k]$ are
1399 alternately spread by the spreading sequences of \mathbf{C}_0 and \mathbf{C}_1
1400 from frame to frame ($l = 0, 1, \dots$). At the RN r_i , the received
1401 signals are firstly despread by \mathbf{C}_i^T in the listening mode of RN
1402 r_i and then decoded, re-encoded, as well as re-modulated. In the
1403 consecutive transmit mode of RN r_i , the re-modulated symbols
1404 $\hat{S}^l[k]$ are always spread by \mathbf{C}_i , which are then forwarded to
1405 the DN. Again, this spreading scheme is the same to that
1406 depicted in Fig. 24, where only the decoding, re-encoding and
1407 re-modulating process is omitted. Thus, the spreading scheme
1408 also guarantees that the two different components of the signal
1409 received at the DN, namely those that correspond to the SN's
1410 transmitted signal and to the RN's forwarded signal, respec-
1411 tively, are always spread by different PN sequences.

1412 When employing the DF protocol, the despread signal of
1413 the $(l-1)^{st}$ frame at RN r_i associated with $S^{l-1}[k]$ is rep-
1414 resented by $y_{r_i}^{l-1}[k]$. At the DN, the received signal is repre-
1415 sented by $\mathbf{y}^l[k]$. Hence, similar to the mechanism described in
1416 Section III-C3, when the despreading matched-filter is applied
1417 to the waveform of \mathbf{C}_i^T , the signal directly transmitted by

the SN will contribute the main component of the despread 1418
signal, while the RN's forwarded signal and the interfering 1419
user's signals become the interference. The resulting output 1420
of the matched-filter may be represented by $z_s^l[k]$, which cor- 1421
responds to the information bearing symbol $S^l[k]$. Similar to 1422
Section III-A, let us define $\mathbf{Z}_s^l = [z_s^l[1], z_s^l[2], \dots, z_s^l[N]]^T$, 1423
which corresponds to the symbol vector $\mathbf{S}^l = [S^l[1], S^l[2],$ 1424
 $\dots, S^l[N]]^T$ broadcast by the SN during the l^{th} frame. 1425

Similarly, when the filter is matched to the waveform \mathbf{C}_i , 1426
another despread signal can also be extracted from the received 1427
signal $\mathbf{y}^l[k]$, which is dominated by the RN's re-modulated 1428
symbol¹⁰ $\hat{S}^l[k]$ and may be represented by $z_{r_i}^l[k]$. If we 1429
observe the consecutive $(l+1)$ st frame, we obtain $\mathbf{Z}_{r_i}^{l+1} =$ 1430
 $[z_{r_i}^{l+1}[1], z_{r_i}^{l+1}[2], \dots, z_{r_i}^{l+1}[N]]^T$, which also corresponds to the 1431
symbol vector of \mathbf{S}^l . Hence, according to the principles intro- 1432
duced in Section II-E, we can now proceed by implementing 1433
the SDB relay-aided MSDSD algorithm at the DN by utilizing 1434
 \mathbf{Z}_s^l and $\mathbf{Z}_{r_i}^{l+1}$ as the \mathbf{z}_u components in (10). 1435

B. NC DCMC Capacity

As stated at the beginning of this section, the motivation 1437
for replacing the AF protocol by the DF protocol is that of 1438
achieving a potentially better BER performance. Hence the NC 1439
DCMC capacity of the DF based SRAN will be considered in 1440
the context of the multi-user DS-CDMA uplink. 1441

The decomposition of the SRAN illustrated in Fig. 25 remains 1442
valid for the DF based system model depicted in Section IV-A. 1443
Hence, in the spirit of Section III-D, the NC DCMC capacity 1444
of the DF based SRAN is also constituted by the sum of the 1445
capacities of the pair of sub-networks Coop-I and Coop-II, 1446
which is formulated as 1447

$$C_{\text{Successive}}^{\text{DF}} = C_{\text{Coop-I}}^{\text{DF}} + C_{\text{Coop-II}}^{\text{DF}}, \quad (19)$$

where the sub-networks Coop-I and Coop-II illustrated in 1448
Fig. 25 of Section III-D now rely on the DF protocol. Then the 1449
NC DCMC capacity of these sub-networks can be evaluated 1450
according to the principles introduced in [16], [85], [88], and 1451
[81]. Hence the evaluation of $C_{\text{Successive}}^{\text{DF}}$ in (19) becomes 1452
feasible. Moreover, to compare this DF SRAN capacity to the 1453
capacity of the AF based system characterised in Section III-D, 1454
the parameters related to the NC DCMC capacity in this section 1455
are the same as those specified in Table XI. 1456

The attainable capacity $C_{\text{Successive}}^{\text{DF}}$ associated with different 1457
RN positions is shown in Fig. 28. This demonstrates that 1458
assigning RNs that roam closer to the SN—rather than to the 1459
DN—in the DF based SRAN provides a higher capacity. To 1460
expound a little further, in the low SNR region (< 1 dB), the DF 1461
based system assigning RNs at the position $\theta = 1.0$ achieves 1462
the highest capacity. The phenomenon noted in Section III-D, 1463
namely that the capacity gain achieved by replacing the direct 1464

¹⁰The RN receives the information-bearing symbol $S^{l-1}[k]$ during $(l-1)^{st}$ frame. After decoding and re-encoding, the re-modulated symbol $\hat{S}^l[k]$ is forwarded from the RN to the DN during the l^{th} frame.

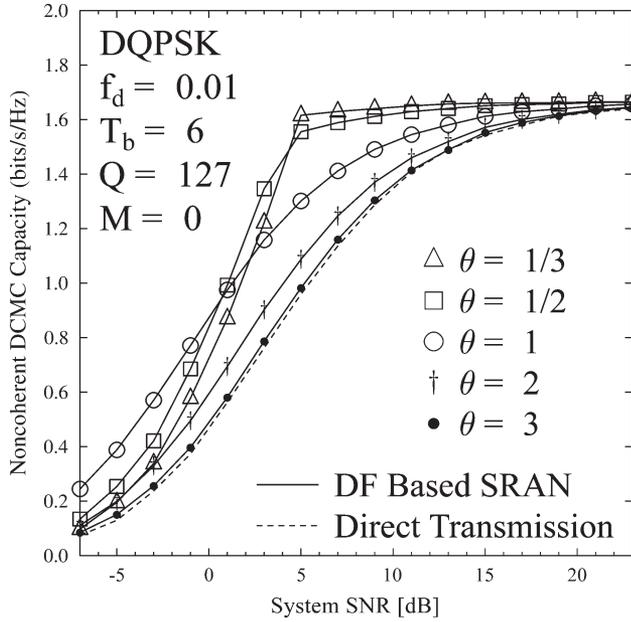


Fig. 28. The effect of the geographic position of the RN on $C_{\text{Successive}}^{\text{DF}}$ as evaluated from (19).

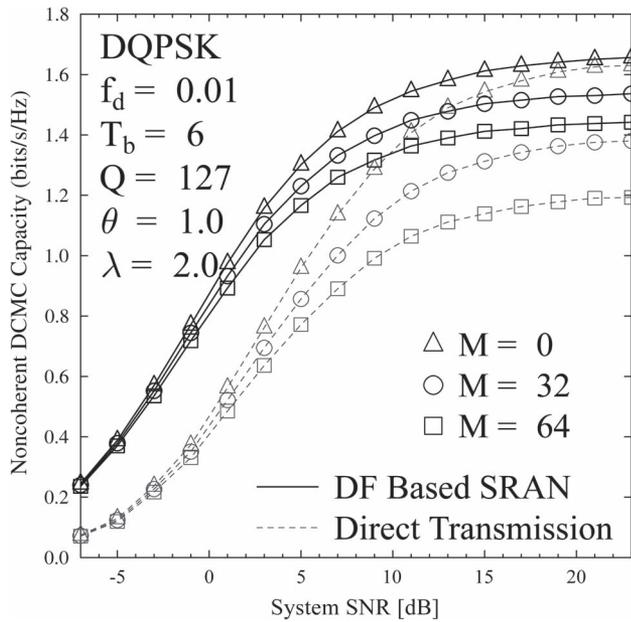


Fig. 29. The noncoherent DCMC capacity $C_{\text{Successive}}^{\text{DF}}$ in zero, moderate and heavy MAI scenarios, as evaluated from (19).

1465 transmission structure with the SRAN increases upon increas-
 1466 ing the number of interfering users remains valid, when con-
 1467 sidering the DF based SRAN, as demonstrated by Fig. 29.
 1468 Furthermore, upon comparing Fig. 26 to Fig. 28, we observe
 1469 that the DF based SRAN assigning appropriate RNs outper-
 1470 forms AF based SRAN, especially at low SNRs, i.e. in the
 1471 low-throughput region. Hence our objective introduced at the
 1472 beginning of this section, namely that of improving the system's
 1473 energy efficiency and/or spectral efficiency by replacing the AF
 1474 protocol by the DF protocol is indeed achievable.

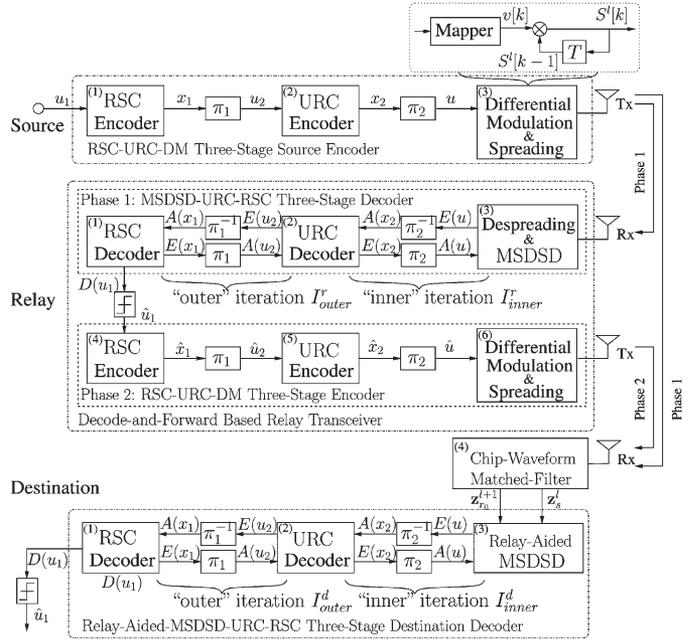


Fig. 30. Schematic of the proposed transceiver in the DF based SRAN.

C. Three-Stage Iterative Decoder Design

1475

1476 Based on the relay-aided SISO-MSDSD algorithm addressed
 1477 in Section II-E, in this section, we design a three-stage
 1478 detection based transceiver architecture. The complexity of the
 1479 proposed relay-aided SISO-MSDSD algorithm is characterized
 1480 at the end of this section.

1481 The transceiver architecture specifically designed for the
 1482 DF based SRAN is portrayed in Fig. 30. At the SN, we use
 1483 a conventional differential modulator (DM), such as DQPSK
 1484 depicted at the top right corner of Fig. 30, which is further
 1485 combined with a unity-rate-code (URC) encoder to create a
 1486 two-stage inner code. The URC model has an infinite impulse
 1487 response due to its recursive encoder structure, consequently
 1488 the extrinsic information transfer (EXIT) curve [89] of the URC
 1489 aided inner decoder is capable of approaching the point of per-
 1490 fect convergence at (1.0,1.0) in the EXIT chart, which is a nec-
 1491 essary condition for near-capacity operation [8], [71], and for
 1492 eliminating the potential error floor phenomenon. Therefore,
 1493 the receiver of the RN is capable of near-perfectly detecting the
 1494 information bits \hat{u}_1 borne in the signals received from the SN,
 1495 as low SNR values as possible. Furthermore, a conventional
 1496 half-rate recursive systematic convolutional (RSC) code is em-
 1497 ployed as the outer code. Hence a three-stage RSC-URC-DM
 1498 source encoder is created.

1499 The corresponding URC decoder assisted three-stage re-
 1500 ceiver proposed for the relay is also portrayed in Fig. 30.
 1501 In more detail, the RN's receiver consists of three stages,
 1502 namely the conventional single-path SISO-MSDSD [66] based
 1503 soft decoder, the URC decoder and the RSC decoder. The
 1504 *extrinsic* information and *a priori* information, represented
 1505 by $E(\cdot)$ and $A(\cdot)$ respectively, are interleaved and iteratively
 1506 exchanged within the two-stage inner decoder I_{inner}^r times,
 1507 before the result is further exchanged between the inner and
 1508 outer decoders I_{outer}^r times. The motivation for employing this

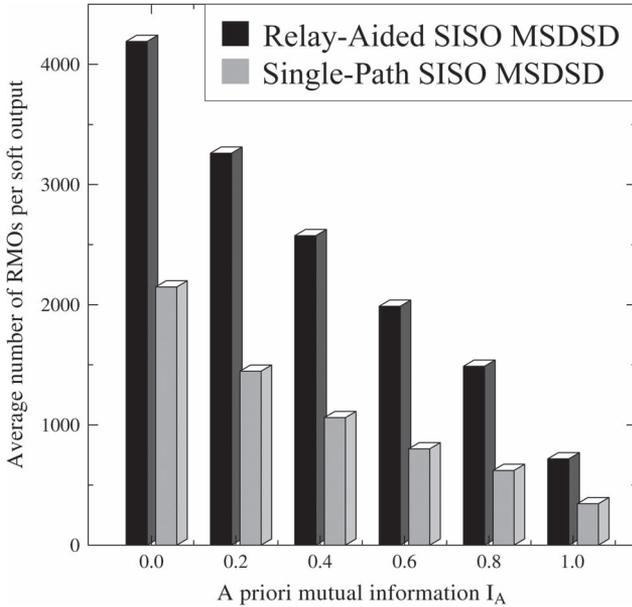


Fig. 31. Complexity comparison between the SDB relay-aided MSDSD decoder and the conventional single-path SISO-MSDSD decoder at different *a priori* mutual information values.

1509 three-stage concatenated decoder architecture is to improve the
 1510 convergence behavior of the iterative decoder with the aid of
 1511 the URC decoder, as detailed in [8] and [71]. As a benefit,
 1512 the error propagation problem of the DF scheme is avoided.
 1513 Since the proposed SISO-MSDSD decoder will be employed
 1514 at the DN, we have to ensure that its multiple input signal
 1515 streams are corresponding to the same differentially modulated
 1516 symbols. The RN's transmitter is designed to be identical to the
 1517 three-stage RSC-URC-DM encoder of the SN. Hence, observe
 1518 at the RN in Fig. 30 that if the estimates \hat{u}_1 are correctly
 1519 generated by the RN's receiver, the differentially modulated
 1520 symbols produced by the RN's transmitter will be the same
 1521 as $S^l[k]$.

1522 As seen in Fig. 30, the resulting relay-aided SISO-MSDSD
 1523 decoder is employed as the first stage of the iterative receiver
 1524 at the DN, which is then further combined with the URC
 1525 decoder to form a two-stage inner decoder for appropriately
 1526 complementing the SN's and RN's transmitter architecture.
 1527 Then, a RSC decoder is concatenated with the relay-aided-
 1528 SISO-MSDSD-URC two-stage inner decoder for creating the
 1529 DN's three-stage decoder seen in Fig. 30.

1530 Our complexity comparison between the single-path SISO-
 1531 MSDSD decoder advocated in [66] and the SDB relay-aided
 1532 MSDSD decoder is provided in Fig. 31. In the spirit of [66],
 1533 the average number of real-valued multiplication operations
 1534 (RMOs) required for generating a single soft-output during the
 1535 SDB MSDSD detection once per iteration is employed here
 1536 as the complexity measure. For the sake of a fair compari-
 1537 son, we ensured that both the conventional single-path SISO-
 1538 MSDSD decoder and the SDB relay-aided MSDSD decoder
 1539 were operated near their associated "turbo-cliff" points. Then
 1540 we varied the *a priori* mutual information of the two different
 1541 SDB MSDSD decoders and recorded the associated number
 1542 of RMOs required for producing a single soft-output once per

iteration. Observe in Fig. 31 that the SDB relay-aided MSDSD
 1543 decoder approximately doubles the complexity compared to
 1544 the single-path SISO-MSDSD decoder, which is valid right
 1545 across the entire *a priori* mutual information region considered.
 1546 The remaining components of the DN's receiver are similar to
 1547 those of the RN, hence they affect the overall complexity in a
 1548 similar way. 1549

When designing an iterative decoding aided cooperative sys-
 1550 tem, the distributed turbo coding scheme advocated in [90] and
 1551 [91] is attractive, since it benefits from the iterative information
 1552 exchange between the direct and relayed versions of the same
 1553 codeword, which experience uncorrelated fading. Naturally,
 1554 the improved system performance is achieved at the cost of
 1555 increasing the complexity imposed by employing an extra
 1556 iteration stage. 1557

By contrast, the relay-aided SISO-MSDSD algorithm consti-
 1558 tutes a realistic method of maintaining low complexity, where
 1559 the combination of the information provided by the direct and
 1560 relayed signal streams, namely by \mathbf{z}_s^l and $\mathbf{z}_{r_0}^{l+1}$ is achieved
 1561 without an extra iteration stage. More explicitly, in a cooper-
 1562 ative network, where the distributed turbo coding principle is
 1563 employed by invoking the single-path SISO-MSDSD [66] al-
 1564 gorithm, as in [88], each input signal stream is first individually
 1565 processed by a single-path SISO-MSDSD aided turbo decoder
 1566 within the inner iterative stage of [88, (Figure 7)]. Then the
 1567 resulting information is passed on to the outer iterative stage,
 1568 and typically at least two iterations are carried out to exchange
 1569 information between the different input signal streams. Hence,
 1570 based on our complexity comparisons shown in Fig. 31, it
 1571 is reasonable to argue that the three-stage relay-aided-SISO-
 1572 MSDSD-URC-RSC decoder is capable of halving the system
 1573 complexity imposed by the conventional single-path SISO-
 1574 MSDSD aided distributed turbo decoder. 1575

D. Transceiver Performance: Robustness, Throughput and Complexity 1576

1577 In this subsection, we firstly characterise the robustness of
 1578 the three-stage relay-aided-SISO-MSDSD-URC-RSC decoder
 1579 depicted in Fig. 30 in the terms of its BER performance. We
 1580 commence by identifying the "turbo-cliff" SNR with the aid
 1581 of EXIT-charts as detailed in [8]. The relevant EXIT-chart and
 1582 BER results of the DF based SRAN are shown in Figs. 32 and
 1583 33, respectively, when using the system parameters summarized
 1584 in Table XII. 1585

Observe in Fig. 32 that an open tunnel exists between the
 1586 EXIT curves of the two-stage inner relay-aided-SISO-MSDSD-
 1587 URC decoder and the outer RSC decoder, when the overall
 1588 equivalent SNR value¹¹ reaches 2.12 dB. Furthermore, the
 1589 associated Monte-Carlo simulation based decoding trajectory
 1590 closely matches the EXIT curves. Correspondingly, an in-
 1591 finitesimally low BER is expected beyond the SNR = 2.12 dB
 1592 point. This is further evidenced in Fig. 33. The capacity of the 1593

¹¹Here the terminology of "equivalent SNR" is defined as the ratio of the transmit power to the receiver's noise, which are measured at physically different points.

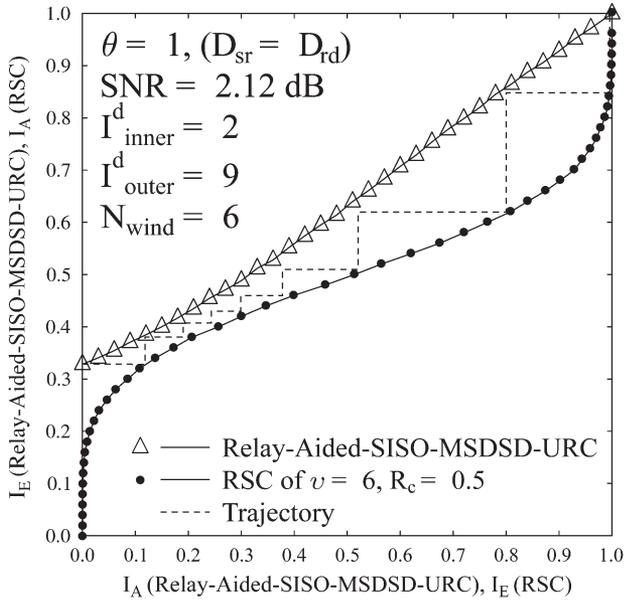


Fig. 32. The EXIT characteristic of the three-stage relay-aided-SISO-MSDSD-URC-RSC destination receiver.

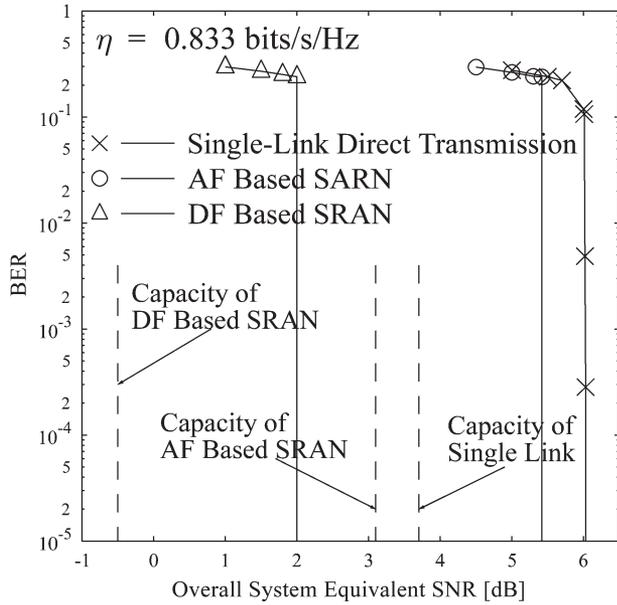


Fig. 33. A comparison of BER performance of different systems.

1594 proposed DF based SRAN is also characterized in Fig. 33, 1595 which can be directly obtained from Fig. 28. In our 1596 case, the corresponding spectral efficiency is $\eta \approx R_c \times 1597 \log_2 M_c \times (T_b - 1/T_b) = 0.8333$ bit/s/Hz. Hence, the pro- 1598 posed transceiver architecture of Fig. 30 attains a performance 1599 within 2.6 dB of the capacity of the DF based SRAN.

1600 To elaborate further, observe in Fig. 33 that an approximately 1601 3.9 dB power reduction is achieved by the proposed DF scheme 1602 in comparison to the classic direct transmission regime. By 1603 contrast, its corresponding AF based counterpart attains a more 1604 modest power reduction of about 0.5 dB, which is attained at a 1605 lower complexity than that of the DF arrangement.

TABLE XII
SYSTEM PARAMETERS

Scenario	Multi-User DS-CDMA Uplink
System Regime	Successive DF Relaying
Channel Model	Block Fading Channel [87]
Path-Loss Exponent	$\alpha = 3$
Correlated Fading Block Length	$T_b = 6$
Normalized Doppler Frequency	$f_d = 0.01$
PN Sequence	Gold Sequence
Spreading Factor	$Q = 127$
Channel Coding	RSC Code
Code Memory Length	$\nu = 6$
Code Rate	$R_c = 0.5$
Interleaver Length	4.8×10^5 Symbols
Modulation Scheme	Differential QPSK
MSDSD Window Size	$N_{wind} = 6$
Power Allocation	$P_s = P_{r_i} = \frac{1}{2} P_{total}$
Inner Iterations of DN's Decoder	$I_{inner}^d = 2$
Outer Iterations of DN's Decoder	$I_{outer}^d = 9$
Relay Position in AF SRAN	$\theta = \frac{1}{2}$, $G_{sr_i} = \left(\frac{1.0}{0.357}\right)^3$, $G_{r_i d} = \left(\frac{1.0}{0.714}\right)^3$
Relay Position in DF SRAN	$\theta = 1.0$, $G_{sr_i} = G_{r_i d} = \left(\frac{1.0}{0.577}\right)^3$
Overall Spectral Efficiency	$\eta = 0.8333$ bits/s/Hz

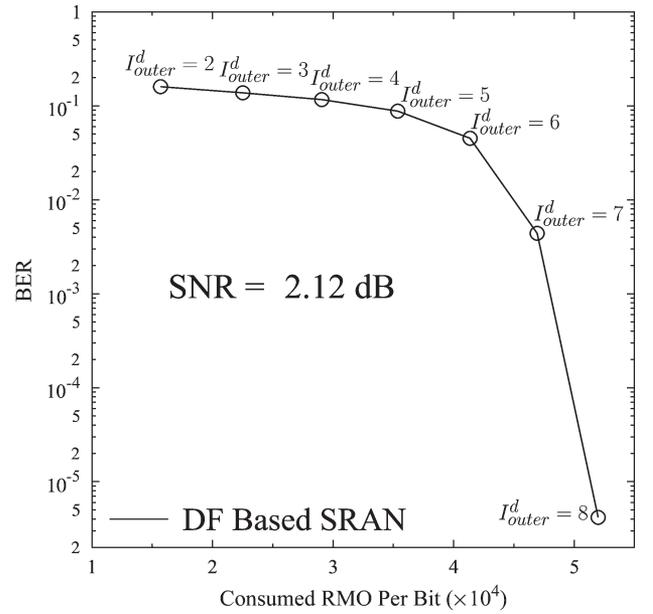


Fig. 34. The BER performance versus the complexity per bit, where the number of outer iterations of the DN's three-stage turbo decoder seen in Fig. 30 increases from $I_{outer}^d = 2$ to $I_{outer}^d = 8$, while the SNR value is fixed at 2.12 dB. The results are based on the schematic of Fig. 30. The remaining parameters employed for generating the results are listed in Table XII.

1606 Then, in Fig. 34, we quantitatively characterize the com- 1607 promise between the BER performance attained and the extra 1608 complexity imposed by the transceiver illustrated in Fig. 30. As 1609 expected, Fig. 34 demonstrates that the BER is improved by 1609 investing in increased computational complexity in terms of in- 1610 creasing the number of outer iterations. Furthermore, increasing 1611 I_{outer}^d to 9 will result in an infinitesimally low BER, as shown 1612 in Fig. 32. 1613

1614 Based on the results shown in Fig. 20, it may be anticipated 1614 that the energy efficiency of the NC detection aided system 1615 architecture of Fig. 30 will be improved by increasing the 1616 observation window size of the MSDD algorithms employed. 1617

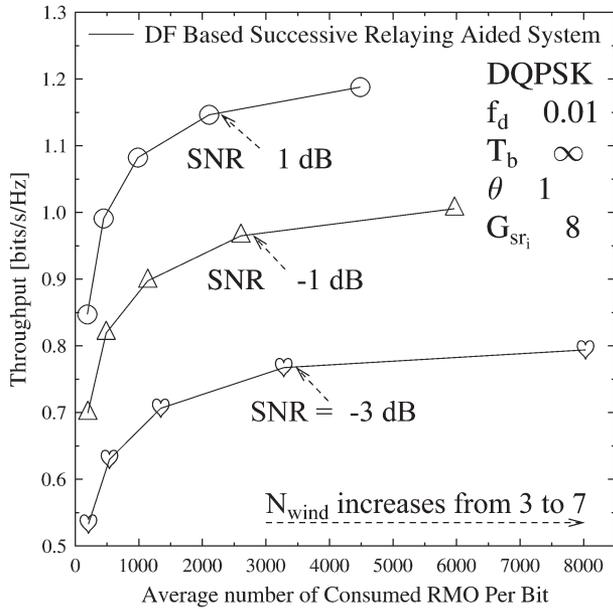


Fig. 35. The trade-off between the maximum achievable throughput and the complexity per bit, where various values of N_{wind} are investigated.

Equivalently, if we fix the transmit power, the system's spectral efficiency will also benefit from increasing the observation window size. However, this improvement of the spectral efficiency is achieved at the cost of imposing an increased complexity on the system, especially on the MSDD aided decoder, as observed for example in Fig. 21. Hence we compare the system's complexity imposed by employing different observation window sizes N_{wind} in the context of the transceiver architecture of Fig. 30. Then, it can be shown that only the complexity imposed by increasing the observation window size of the SDB relay-aided MSDD decoder has to be involved in the complexity comparison, where the average number of RMOs required for producing a single soft-output once per iteration is used again as the complexity measure.

Consequently, the trade-off between the maximum achievable throughput and the complexity imposed is visualized in Fig. 35, where different SNR values are considered. In Fig. 35, the path-loss gain of G_{sr_i} is chosen to be 8, which is different from that specified in Table XII. As demonstrated in Fig. 35, when N_{wind} increases from 3 to 5, an efficient trade-off between the achievable throughput and the complexity imposed occurs, where the achievable throughput rapidly increases, if we invest more computational resources. By contrast, with $N_{\text{wind}} = 5$, the attainable throughput improvement becomes negligible upon increasing the affordable complexity.

V. CONCLUSIONS AND FUTURE WORK

A. Summary and Conclusions

In this paper, we have surveyed noncoherent successive relaying techniques for multi-user wireless systems, which are capable of significantly improving the system's spectral efficiency by mitigating the half-duplex relaying-induced throughput loss. Furthermore, they are capable of circumventing the power-

hungry channel estimation process at the cost of the typical 3 dB power-loss. Hence, in the spirit of our discourse in Section I, the advocated solutions are capable of striking an attractive compromise amongst the conflicting design factors, namely the effective throughput, the attainable coding gain, the bit error ratio achieved and the computational complexity imposed.

After briefly reciting the relevant history and detailing the general motivations behind successive relaying and noncoherent detection in Section I, we offered further insights into the advantages achieved by the SR regimes in Section II-A. Furthermore, the mathematical derivation of the state-of-the-art MSDSD algorithms was reviewed in Section II-C, D, E, respectively. These tutorial reflections introduced Section II, paving the way for discussing our more sophisticated NC-SR based prototypes.

In Section III-A and B, the successive AF relaying aided single-user DS-CDMA uplink was introduced, where the specific DS-CDMA spreading-despreading strategy was highlighted and the signals received at the DN were analysed. As a benefit of the diversity gain gleaned, this basic prototype system is capable of reducing the power-dissipation by 8 dB at the target BER of 10^{-4} compared to the classic "benchmark-II" of Table IX as shown in Section III-B. Consequently, the BER performance was improved at the cost of imposing a higher computational complexity on the system. Then, we further developed our prototype system from the single-user scenario to a more realistic multi-user scenario in Section III-C. In Section III-C1, we revealed the noise accumulation problem imposed by the IRI in our system, which was mitigated by a DS-CDMA based interference suppression regime as clarified in Section III-C2. As a theoretical contribution, the noncoherent DCMC capacity of the AF multi-user scenario based prototype system was derived in Section III-D. The DCMC capacity results of Fig. 26 indicated that the capacity of the AF based SRAN significantly exceeds that of conventional AF relaying or that of single-link direct-transmission, provided that RNs located at the appropriate positions are assigned.

Finally, in Section IV-A, we introduced another prototype system, namely the successive DF relaying aided multi-user DS-CDMA uplink, which was developed from the basic prototype introduced in Section III-A for the sake of improving the system's energy efficiency. The NC DCMC capacity of the DF based SRAN embedded in the multi-user DS-CDMA uplink was quantified in Section IV-B. The related simulation results portrayed in Fig. 28 revealed that the DF based SRAN outperforms the AF based SRAN, especially in the low-SNR region ($\text{SNR} < 0$ dB). However, this capacity improvement with respect to the AF based SRAN introduced in Section III-C was obtained at the cost of imposing increased complexity at the RN owing to replacing the AF based RN by the DF based RN. As a further advance, in Section IV-C, a relay-aided SISO-MSDSD assisted three-stage iterative transceiver was designed for efficiently implementing the proposed DF based SRAN. We observe in Fig. 33 that this transceiver architecture attains a performance, which is within about 2.6 dB of the DF-based SRAN's capacity. Again, the fact that the DF based SRAN is capable of achieving a higher energy efficiency than the AF based SRAN is illustrated in Fig. 33. However, it is important

TABLE XIII
CRITICAL TECHNIQUES IN SUPPORT OF THE NC-SR AIDED MULTI-USER
WIRELESS SYSTEMS INTRODUCED IN THIS TREATISE

Advanced Techniques	The Sections Involved
Advanced NC detection algorithms	Sections II-C, II-D and II-E
Interference suppression techniques	Sections III-A and III-C
DCMC capacity based characterization	Sections III-D and IV-B
Three-stage turbo decoder designs	Section IV-C
EXIT-chart based analysis	Section IV-D

1708 to remember that this phenomenon relies on the precondition
1709 that we operate our SRAN in a weak-IRI scenario.

1710 The salient techniques employed in support of the advocated
1711 NC-SR aided multi-user systems are also briefly summarized in
1712 Table XIII.

1714 B. Design Guideline

- 1715 • MIMO techniques have been invoked in support of the
1716 operational 3G or 4G wireless communication standards.
1717 However, a crucial condition to be satisfied for achiev-
1718 ing the spatial diversity gain promised by the family of
1719 MIMO techniques is that the multiple co-located antenna
1720 elements have to be sufficiently far apart for the sake
1721 of experiencing independent fading. To circumvent this
1722 limitation, we can rely on the cooperative communica-
1723 tion techniques, where the single-antenna-based mobiles,
1724 which are sufficiently far apart may form a VAA.
1725 • However, the conventional three-terminal, two-phase
1726 based cooperative systems impose a severe 50% multi-
1727 plexing loss due to the half-duplex transmit/receive modes
1728 of practical contemporary transceivers.
1729 • To recover the 50% throughput loss of half-duplex relay-
1730 ing, we may advocate the successive relaying regime of
1731 Section II-A. The main idea is to use a pair of half-duplex
1732 relays for mimicking a full-duplex relay.
1733 • On the other hand, in support of a coherent cooperative
1734 system having a large number of propagation paths owing
1735 to employing multiple RNs, the estimation of the large
1736 number of channels involved will significantly increase
1737 the computational complexity, whilst imposing a high
1738 pilot overhead, especially at high normalized Doppler
1739 frequencies. Furthermore, it is somewhat unrealistic to
1740 expect that in addition to the task of relaying, the relay
1741 could altruistically afford to carry out the complex and
1742 power-hungry channel estimation of the source-to-relay
1743 link in support of coherent detection.
1744 • Against this background, in the context of cooperative
1745 communication, we may propose the employment of non-
1746 coherent detection for the sake of operating without any
1747 requirement of channel estimation.
1748 • Compared to the CDD or MSDD algorithms, the cluster
1749 of MSDSD algorithms strikes an attractive trade-off be-
1750 tween the BER performance attained and the complexity
1751 imposed, which may be considered as a state-of-the-art
1752 family of noncoherent detection techniques.
1753 • Given the above-mentioned advantages of successive re-
1754 laying and the associated MSDSD algorithms, we may
1755 beneficially combine them for the sake of constituting
1756

an attractive solution, which is capable of circumvent- 1757
ing both the 50% throughput loss and the power-hungry 1758
channel estimation. Then, to suppress the successive re- 1759
laying induced interferences, we may additionally invoke 1760
the classic DS-CDMA technique. Finally, we arrive at 1761
the successive AF relaying aided single-user DS-CDMA 1762
uplink advocated in Section III-A. 1763

- For the sake of characterizing the impact of diverse 1764
sources of interference (MAI, CCI, IRI), we may then ex- 1765
tend the communication scenario considered to multi-user 1766
scenarios. We may use the noncoherent DCMC capacity 1767
bound of the resulting system for quantifying its benefits 1768
over its conventional counterparts. 1769
- In contrast to the AF protocol, the DF protocol may 1770
achieve a better BER performance, since it is capable 1771
of supporting the employment of sophisticated coding 1772
schemes. Correspondingly, we designed a sophisticated 1773
relay-aided SISO-MSDSD assisted three-stage iterative- 1774
detection based transceiver architecture in Section IV-C, 1775
which is capable of striking an attractive trade-off be- 1776
tween the BER performance attained and the complexity 1777
imposed. 1778

1779 C. Future Research

There remain numerous challenging problems associated 1780
with the design of NC-SR based wireless communication sys- 1781
tems, which need further investigation in the future: 1782

- 1) The successive relaying induced interference may be 1784
mitigated by the classic DS-CDMA technique, despite 1785
dispensing with any CSI. However, this is achieved at 1786
the expense of a potential user-load reduction for the 1787
CDMA system, since each relaying link requires its own 1788
unique spreading sequence, rather than relying on a single 1789
spreading code. Alternatively, it is possible to suppress 1790
the interference in a noncoherent communication system 1791
without requiring any extra orthogonal channel resources. 1792
This may be realized with the aid of the differential 1793
interference suppression (DIS) philosophy of [92]. In 1794
particular, a novel amalgam of the adaptive modified 1795
Newton algorithm [93] and the SISO-MSDSD algorithm 1796
may constitute a powerful state-of-the-art DIS regime. 1797
Therefore, we may use the DIS regime for suppressing 1798
the successive relaying induced interference. 1799
- 2) As reported in [94]–[97], the performance of the entire 1800
network, especially its DMT can be further improved 1801
by allowing more users and relays to join in the co- 1802
operation. Inspired by this result, it may be beneficial 1803
to further extend the NC-SR based prototype system of 1804
Section III-A to the more sophisticated multi-user multi- 1805
relay scenarios. Correspondingly, an appropriate solution 1806
for efficiently organizing the multiple nodes of a large- 1807
scale cooperative wireless network is desired. For the 1808
sake of satisfying this demand, we may employ the 1809
family of adaptive network coding techniques detailed 1810
in [98]–[100]. Consequently, we may create an adaptive 1811
network coded successive relaying regime, where an im- 1812
proved DMT can be expected. 1813

TABLE XIV
GLOSSARY

AF	amplify-and-forward
AN	accumulated noise
AWGN	additive white Gaussian noise
BER	bit-error-rate
BS	base station
CCI	co-channel interference
CSI	channel state information
CIR	channel impulse response
CDD	conventional differential detection
CR	conventional relaying
CCL	cross-correlation
DF	decode-and-forward
DN	destination node
DT	direct-transmission
DM	differential modulator
DMT	diversity-multiplexing trade-off
DIS	differential interference suppression
DF-DD	decision-feedback aided differential detection
DS-CDMA	direct-sequence code-decision multiple-access
EXIT	extrinsic information transfer
FD	full-duplex
FNC	Frobenius norm calculation
FDR	full-duplex relaying
Fano-MSDD	MSDD based Fano-algorithm
HD	half-duplex
HDB	hard-decision-based
IRI	inter-relay interference
ISR	IRI to signal power ratio
LLR	log-likelihood ratio
MS	mobile station
MAI	multiple-access interference
MIMO	Multiple-Input Multiple-Output
MSDD	multiple-symbol differential detection
MPSK	M -ary phase-shift keying
MSDSD	multiple-symbol differential sphere detection
M_c -DPSK	M_c -ary differential phase-shift keying
NC	non-coherent
NC-SR	non-coherent successive relaying
PN	pseudo-noise
PDF	probability density function
RN	relay node
RSC	recursive systematic convolutional code
RMO	real-valued multiplication operation
SL	single-link
SN	source node
SI	self-interference
SR	successive relaying
SF	spreading factor
SDB	soft-decision-based
SRAN	successive relaying aided network
SIMO	single-input-multiple-output
SISO	single-input single-output
SISO-MSDSD	soft-input soft-output MSDSD
URC	unity-rate-code
VAA	virtual antenna array

1814 3) It has been demonstrated in numerous studies [16], [101]
1815 that an appropriate resource allocation is capable of sig-
1816 nificantly improving the energy efficiency and/or spectral
1817 efficiency of cooperative communications. Hence it is de-
1818 sirable to carry out the investigation of the advanced op-
1819 timal power allocation and optimal rate allocation [102]
1820 regimes in the context of our NC successive relaying
1821 aided system.

1822

1823

APPENDIX

1824 Glossary see Table XIV.

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