Computational Optimal Transport for 5G Massive C-RAN Device Association

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Abstract—The massive scale of future wireless networks will create computational bottlenecks in performance optimization. In this paper, we study the problem of connecting mobile traffic to Cloud RAN (C-RAN) stations. To balance station load, we steer the traffic by designing device association rules. The baseline association rule connects each device to the station with the strongest signal, which does not account for interference or traffic hot spots, and leads to load imbalances and performance deterioration. Instead, we can formulate an optimization problem to decide centrally the best association rule at each time instance. However, in practice this optimization has such high dimensions, that even linear programming solvers fail to solve. To address the challenge of massive connectivity, we propose an approach based on the theory of optimal transport, which studies the economical transfer of probability between two distributions. Our proposed methodology can further inspire scalable algorithms for massive optimization problems in wireless networks.

I. Introduction

We revisit the problem of device association in the setting of massive connectivity. In this problem, we seek to find a rule to associate mobile traffic to certain serving stations such that the incurred load is balanced across the available stations. Although a plurality of association methodologies are available in the literature, here we focus on the underexplored aspect of scalability; we seek loadbalancing associations for thousands of devices to hundreds of stations, a setting where even linear program solvers become cumbersome. To address the arising computational challenge, we propose to use *Optimal Transport* (OT) theory, which studies the transfer of masses over a metric space. Recently, an entropic regularization of OT was shown to provide superfast algorithms for very large OT instances [1], making the framework applicable to a wide range of challenging applications, including image processing and machine learning. Our goal in this paper is to use regularized OT to derive association rules for a very large number of wireless devices.

Our centralized approach is motivated by the upcoming 5G wireless networks. According to recent reports, telecom operators are increasingly interested in deploying Cloud-Radio Access Network (Cloud-RAN, or C-RAN) systems, cf. [2]. The architecture of C-RAN economizes computation and signal processing by migrating the computing part of

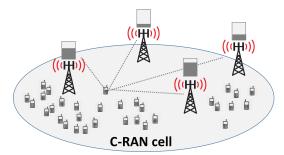


Fig. 1: Devices associate to RRHs causing various load levels.

base stations to a central cloud location, and using simple *Remote Radio Heads* (RRH) to broadcast signals [3]. Since all the intelligence is now moved to the C-RAN controller, provisioning connectivity in a large geographical area is centrally decided, motivating the centralized association of devices to RRHs.

A contemporary C-RAN controller manages a big number of RRHs and mobile devices, thus the centralized device association is inherently a large scale problem. Furthermore, the number of RRHs and of devices are both expected to increase in the near future. On one hand, the deployment of RRHs will become very dense to improve the effective capacity of the network [5], while on the other hand, we expect a huge number of heterogenous smart IoT devices to connect to 5G mobile networks by 2020–estimated 20.4 billions in [6]. Since 5G applications can have radically diverse requirements, the traffic footprint of each connected device (IoT or regular) can vary significantly. This motivates us to study the large-scale centralized device association with potentially different traffic requirements per device.

II. THE DEVICE ASSOCIATION PROBLEM

A. Downlink Model

We consider the downlink¹ transmissions of a large C-RAN cell, containing \mathcal{I} devices and \mathcal{J} RRHs. We call $\pi_{ij} \in [0,1]$ the association variable, which is the fraction of time device $i \in \mathcal{I}$ connects to RRH $j \in \mathcal{J}$. Our objective is to decide the association rules $\pi \equiv (\pi_{ij})$ in order to optimize a

¹ Our approach applies also to the uplink as long as the modeled upload rate R_{ij} can be considered independent of the association rule.

network performance metric, such as the sum of RRH load or the average job completion time (delay).

In order to evaluate the impact of an association rule we consider the *download rate* obtained when device i is connected to station j while receiving exclusive service; this is denoted by R_{ij} . This rate should be calculated at the granularity of association changes. Typically, we may assume the use of a temporally-fair scheduler which distributes the station resources to the different users and averages out fast fading effects. In this case, a reasonable model for the download rate is:

$$R_{ij} = W \log(1 + SINR_{ji}),$$

where, W is the bandwidth, $\mathrm{SINR}_{ji} \triangleq \frac{P_j G_{ji}}{\sum_{k \neq j} P_k G_{ki} + N_0}$, and the index ji is reversed on purpose to denote directivity, P_j denotes the transmit power, G_{ji} the path loss, N_0 the strength of thermal noise. This model has been extensively used in the literature, cf. [7]–[9]. Here we use it only as an example; our framework only requires that R_{ij} are independent of the association variables. At this point, it might seem reasonable to connect each device to the RRH that provides the largest R_{ij} -known as the maxSINR rule-however, this results in poor performance as we explain next.

B. RRH Load

We suppose that a device i requires to download traffic λ_i , which is known² and device-dependent. Also, it downloads jobs with average size $1/\mu$; extension to device- or RRH-specific job sizes is trivial. Given a decision π we may determine *the load of RRH j* as:

$$\rho_j(\boldsymbol{\pi}) = \sum_i \frac{\lambda_i}{\mu R_{ij}} \pi_{ij}.$$

Connecting devices to RRHs with highest R_{ij} has the beneficial effect that the load contribution of each device $\lambda_i/\mu R_{ij}$ is minimized (since the term R_{ij} is maximized). However, when devices are not uniformly distributed in the area and/or total traffic demand is imbalanced, some RRHs attract more connections and become overloaded. This will result into poor performance, because wireless service also depends on the competition between users at the associated RRH, and rapidly degrades as $\rho_j(\pi) \uparrow 1$. For example, we may estimate the average job completion time by $\frac{E[N_j]+1}{\mu R_{ij}}$, where $E[N_j]$ is the average number of jobs running at RRH j. Assuming the jobs are served according to the processor sharing discipline, for $\rho_j(\pi) < 1$, $E[N_j]$ is given by [10]

$$E[N_j] = \frac{\rho_j(\boldsymbol{\pi})}{1 - \rho_j(\boldsymbol{\pi})}.$$

As $\rho_j(\pi) \uparrow 1$, the average completion time of a job of the connected devices will become very high.

Summing up the associations of device i, we may quantify its average completion time by $\sum_j \frac{\pi_{ij}}{\mu R_{ij}(1-\rho_j(\pi))}$. Ultimately, we are interested in minimizing the average completion time over all devices, which leads to *device association problem*:

$$\min_{\pi_{ij} \ge 0} \sum_{ij} \frac{\pi_{ij}}{\mu R_{ij} (1 - \rho_j(\pi))}$$
s.t.
$$\sum_{j} \pi_{ij} = 1 \quad \forall i \in \{1, 2, \dots, n\},$$

$$\rho_j(\pi) < 1 \quad \forall j \in \{1, 2, \dots, m\}.$$

This is a non-convex continuous optimization problem, and we are interested to solve it for large dimensions.

C. Related Work

The baseline maxSNR association rule reads "connect each device to the station with the strongest signal". This simple association rule works well when the mobile traffic load is low, or symmetrically scattered around stations, but otherwise it can lead to significant load imbalances. An improved rule that captures interference (but not traffic fluctuations) is the maxSINR rule "connect each device to the station with the highest SINR", [11].

To improve the performance over the above rules, the problem of device association has been studied in its integral form, where each device can be associated to a single station, i.e. the association variable $\pi_{ij} \in \{0,1\}$, cf. [12]–[15]. However, the combinatorial nature of such formulations makes them inefficient for large-scale instances. Instead, [7] allowed $\pi_j(x) \in [0,1]$ for all points x in the plane and proved that the optimal solution is integral almost everywhere (except boundary points). In this paper, we directly define the association variables to take values $\pi_{ij} \in [0,1]$ with the understanding that fractional solutions correspond to multi-station coverage like CoMP [4]. We observe that our optimal solutions are also "sparse", meaning that although variables are allowed to take values in [0,1], at optimality most of them will be integral (0 or 1).

In the context of continuous device association, past work has considered the optimization of α -optimal functions [7], [8] or general convex functions [9]. None of the past approaches can be used to solve (1), which is non-convex. In fact, to the best of our knowledge, solving a large non-convex problem like (1) is generally intractable. Our goal in this paper is to propose a useful methodological tool, which can be applied to very large device association problems.

From the perspective of scalability, most past approaches do not meet the extreme requirements we consider in this paper. An exception is perhaps the distributed algorithm of [7]. A limitation of this prior work, however, is that it depends on the observation of the exact statistical load ρ_j , and it is not robust to erroneous estimates.

²This information can either be provided by the device, or forecasted by the system.

III. OPTIMAL TRANSPORT

A. Introduction to Optimal Transport

The concepts of OT date back to the French mathematician Gaspard Monge who studied in 1781 the transportation of sand masses [16], what seems to be one of the first linear programming problems studied.

Although the theory of OT has been generalized to optimization with infinite variables, here we restrict our discussion to the illustrative case of "discrete OT"³, where probability mass must be transported between two discrete distributions $\boldsymbol{p} \equiv (p_1,\ldots,p_m)$ and $\boldsymbol{q} \equiv (q_1,\ldots,q_n)$, and the transportation cost from point i to point j is C_{ij} -quite often taken to be the Euclidean distance between the two points. Due to Kantorovich [17], we can describe the transportation with a coupling π_{ij} , essentially a joint probability distribution $\boldsymbol{\pi}$ with marginals \boldsymbol{p} and \boldsymbol{q} . The discrete OT problem can be written as:

$$\min_{\pi_{ij} \ge 0} \sum_{ij} C_{ij} \pi_{ij} \tag{2}$$

s.t.
$$\sum_{i} \pi_{ij} = p_i, \quad i = 1, \dots, m,$$
 (3)

$$\sum_{i} \pi_{ij} = q_j, \quad j = 1 \dots, n. \tag{4}$$

This is a linear program, solvable in polynomial time w.r.t. its size mn. Further, consider a bipartite graph connecting the points with links of weight C_{ij} , and connect each point i of p to a virtual source with link capacity p_i , and each point j of q to a virtual destination with link capacity q_j . The discrete OT corresponds to finding a minimum cost s-t flow of one unit. Using network simplex [18], we can obtain the solution in $O(E^2 \log V)$, which for V = m + n, E = mn, and n = m, becomes $O(n^4 \log n)$, essentially quadratic to the input size mn. Although such solution is polynomial to the input size, our problem is of enormous dimensions, and hence the degree of the polynomial is important as well. Below we describe the regularized OT, a method to approximate OT in $O(n^2 \log n)$.

B. Regularized OT

The OT can be approximated in an efficient manner using an entropic regularization [1]. We modify the objective of OT by subtracting the *entropy* $H(\pi) = -\sum_{ij} \pi_{ij} (\log \pi_{ij} - 1)$,

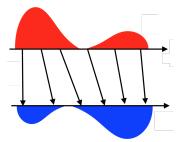


Fig. 2: OT studies the mass transportation cost that satisfies initial (red) and final (blue) conditions.

weighted with the regularization strength coefficient $\epsilon > 0$. The regularized OT becomes:

$$\min_{\pi_{ij}} \sum_{ij} C_{ij} \pi_{ij} + \epsilon \sum_{ij} \pi_{ij} (\log \pi_{ij} - 1)$$
s.t.
$$\sum_{j} \pi_{ij} = p_{i}, \quad i = 1, \dots, m,$$

$$\sum_{i} \pi_{ij} = q_{j}, \quad j = 1, \dots, n.$$
(5)

Adding $-\epsilon H(\pi)$ has a number of beneficial effects:

- The objective of the regularized OT is 1-strongly convex, hence (5) has a unique optimal solution.
- By Proposition 4.1 of [21], the sequence of unique optimal solutions of (5) for $\epsilon^{(k)}$ converges to an optimal solution to (2) as $\epsilon^{(k)} \to 0$.
- $H(\pi)$ forces π_{ij} to be non-negative, hence we can drop the constraint $\pi_{ij} \geq 0$.

More importantly, the new objective admits an intuitive reformulation, which leads to a faster algorithm. Consider the affine constraint sets $\mathcal{C}_p = \{\pi \mid (3)\}$, and $\mathcal{C}_q = \{\pi \mid (4)\}$, and let $\xi_{ij} = \exp(-C_{ij}/\epsilon)$; we can rewrite the regularized OT as:

$$\min_{\boldsymbol{\pi} \in \mathcal{C}_n \cup \mathcal{C}_q} KL(\boldsymbol{\pi}, \boldsymbol{\xi}),$$

where $KL(\pi, \xi) \triangleq \sum_{ij} \pi_{ij} (\log \frac{\pi_{ij}}{\xi_{ij}} - 1)$ is the Kullback-Leibler (KL) divergence. Hence, the regularized OT is a KL projection of ξ onto the intersection of \mathcal{C}_p and \mathcal{C}_q [22]. Since the KL divergence is the special case of the Bregman divergence for the entropy function, our KL projections benefit from the convergence property of iterative Bregman projections on intersections of affine constraint sets [23], [24]. To obtain an iterative projection algorithm it is convinient to operate on the dual domain. Consider the Lagrangian function

$$L(\boldsymbol{\pi}, \boldsymbol{\alpha}, \boldsymbol{\beta}) = KL(\boldsymbol{\pi}, \boldsymbol{\xi}) + \sum_{i} \alpha_{i} \left(\sum_{j} \pi_{ij} - p_{i} \right) + \sum_{j} \beta_{j} \left(\sum_{i} \pi_{ij} - q_{j} \right).$$

³The notion of discreteness refers to discrete probability measures, hence we have a finite number of continuous transportation variables.

⁴Pseudo-polynomial algorithms are faster, but their runtime guarantee depends on the values of C_{ij} [19], [20].

The KKT stationarity condition requires that for each $\left(i,j\right)$ it must hold:

$$\frac{\partial L}{\partial \pi_{ij}} = 0 \quad \Leftrightarrow \quad \pi_{ij} = \xi_{ij} e^{-\alpha_i} e^{-\beta_j}.$$

To obtain the projection with respect to C_p we follow the steps: (i) fix (β_j) , (ii) apply the complementary slackness condition $\sum_j \pi_{ij} = p_i$, (iii) solve for α_i . Similarly for C_q .

Setting $a_i \equiv e^{-\alpha_i}$ and $b_j \equiv e^{-\beta_j}$, we obtain the Sinkhorn algorithm [25] for regularized OT:

Sinkhorn Algorithm

Input :
$$C, p, q, \epsilon$$
Output: π
1 initialize $b^{(0)} = 1, \xi_{ij} = e^{-C_{ij}/\epsilon};$
2 while accuracy do
3 $k \leftarrow k+1;$
4 $a_i^{(k)} \leftarrow \frac{p_i}{\sum_j b_j^{(k-1)} \xi_{ij}}, \forall i;$
5 $b_j^{(k)} \leftarrow \frac{q_j}{\sum_i a_i^{(k)} \xi_{ij}}, \forall j;$
6 end
7 $\pi_{ij} \leftarrow \xi_{ij} a_i^{(k)} b_j^{(k)}, \forall (i,j)$

Theorem 1 (From [26]). Assume m=n, fix $\tau>0$, and choose $\gamma=\frac{4\log n}{\tau}$, Sinkhorn algorithm computes a $\tau-$ approximate solution of (2) in $O(n^2\log n\tau^{-3})$ operations.

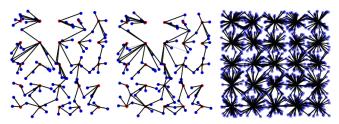
Since the problem size is n^2 , Sinkhorn algorithm converges almost linearly for any fixed τ (less the logarithmic term). This is to be contrasted with the almost quadratic convergence of network simplex $O(n^4 \log n)$. Further, Sinkhorn requires only matrix-vector multiplications, hence it admits highly efficient GPU implementations. More information on computational transport can be found in [21].

C. Sinkhorn vs LP

We implemented Sinkhorn in python and compared its performance to the embedded glpk solver [27], known to be one of the fastest LP solvers. Table I provides some indicative numerical results to highlight the advantageous performance of Sinkhorn over a standard LP solver, namely: (i) it is faster, (ii) scales better, and (iii) doesn't run out of memory.

In the experiments, we stopped Sinkhorn when the total absolute residual becomes less than 1% or 0.1%. The runtimes provided are averages over 7 runs, computed with the timeit package. Notably, we can compute a 1.001-optimal transport $25 \times 10k$ in half a second.

Fig. 3a-3b showcase associations obtained by Sinkhorn and LP-glpk, where we can verify the fidelity of Sinkhorn to the optimal LP solution. Fig. 3c showcases a very large instance that the LP solver cannot address.



(a) LP, 100×25 (b) Reg OT, 100×25 (c) Reg OT, $1k \times 25$ Fig. 3: Device association to RRHs.

Devices	RRHs	LP-glpk	Sinkhorn $ au=1\%$	au = 0.1%
10	25	6	2.27	5.02
50	25	88	4.09	8.95
100	25	315	8.09	9.7
500	25	8130	10.1	31.6
1000	25	out of memory	27	37.9
5000	25	out of memory	135	204
10000	25	out of memory	434	568

TABLE I: Runtime (msec) comparison Sinkhorn vs LP-glpk.

IV. OT AS A HEURISTIC LOAD BALANCER

In this section we explain how one can use OT algorithms to obtain device association rules. An instance of the OT problem is defined by the triplet (C, p, q), i.e., the costs and the left-right marginals. In order to produce a load balancer based on OT, we must provide appropriate values for these parameters. We propose the following choices:

- For C: we propose to use (i) the Euclidean distance between the location x_i of device i and the location x_j of RRH j, i.e., $C_{ij} = ||x_i x_j||$, or (ii) the incurred load per unit traffic $C_{ij} = 1/\mu R_{ij}$.
- For p: the input traffic, $p_i = \lambda_i$.
- For q: we propose to use (i) equal RRH traffic $q_j = \sum_i \lambda_i / n$, (where n is the number of RRHs) or (ii) RRH traffic from the maxSINR rule, $\Lambda_j = \sum_i \pi_{ij}^{SINR} \lambda_i$.

We provide some explanations about the choices. First, the left marginal p ensures that the entire traffic of each devices is split among RRHs. To choose q wisely, we should know the RRH traffic at optimality, however this information is often not accessible. Instead, it is easy to obtain the maxSINR rule. Last, Euclidean cost favors nearby RRHs, while normalized load connects devices to the RRHs with minimum incurred load, taking into account interference and path loss. We have the following interesting result:

Lemma 1. Consider the maxSINR rule denoted with π_{ij}^{SINR} , and the incurred traffic $\Lambda_j = \sum_i \pi_{ij}^{SINR} \lambda_i$, and suppose it is feasible, i.e., $\rho_j(\boldsymbol{\pi}^{SINR}) < 1$, $\forall j$. Also, consider a $(\boldsymbol{C}, \boldsymbol{p}, \boldsymbol{q})$ instance of the OT problem, such that (i) $C_{ij} = 1/\mu R_{ij}$, (ii) $p_i = \lambda_i$, (iii) $q_j = \Lambda_j$, with solution \boldsymbol{x}^* .

Then, the association $\pi_{ij}^* \doteq x_{ij}^*/\lambda_i$ minimizes the total load $\sum_i \rho_j(\pi)$.

Proof. First, note that whenever the maxSINR rule π_{ij}^{SINR}

is feasible, it minimizes the total load. This is easy to check by observing that the total load contribution of each device $\lambda_i \sum_j \pi_{ij}^{SINR}/\mu R_{ij}$ is minimized under the maxSINR rule. Then the total load minimization follows by summing up over devices.

The OT solution of the lemma is defined as follows:

$$\boldsymbol{x}^* \in \arg\min_{x_{ij} \ge 0} \sum_{ij} \frac{x_{ij}}{\mu R_{ij}}$$

$$\text{s.t.} \sum_{j} x_{ij} = \lambda_i, \quad i = 1, \dots, m,$$

$$\sum_{i} x_{ij} = \Lambda_j, \quad j = 1, \dots, n.$$

Note that $\lambda_i \pi_{ij}^{SINR}$ satisfies all the constraints of (6), and thus it is a feasible solution of (6). Further, since π_{ij}^{SINR} minimizes the total load, $\lambda_i \pi_{ij}^{SINR}$ must be an optimal solution of (6). Therefore:

$$\sum_{ij} \pi_{ij}^* \frac{\lambda_i}{\mu R_{ij}} = \sum_{ij} \pi_{ij}^{SINR} \frac{\lambda_i}{\mu R_{ij}} = \min_{\boldsymbol{\pi}} \sum_j \rho_j(\boldsymbol{\pi}),$$

and the lemma follows.

The lemma states that given the RRH traffic under the maxSINR rule denoted with Λ_j , we may use OT to retrieve the association variables that minimize the total load, a very useful property.

Choosing the marginals like in Lemma 1 (or with a more elaborate scheme as we show below) will work better in practice, however, the choices given at the start of the section can also be useful: they are simpler to compute and can be sufficient when the spatial traffic is uniform. To showcase this property, we experiment with a scenario with random but uniform traffic. In a C-RAN cell with 25 RRHs we scale the number of devices from 100 to 5000. We compare the average completion time under 4 policies, (a) maxSINR, (b) OT with Euclidean costs and equal RRH traffic, (c) OT with load costs and equal RRH traffic, and (d) OT with load costs and RRH traffic equal to Λ_j (same as Lemma 1). The values shown in Fig. 4 are the ratios of average completion time between the algorithm and the maxSINR. We observe that algorithm (d) performs the same with maxSINR (a) as predicted by the Lemma. The other two algorithms perform similarly. In particular, for a small number of devices, we see that selecting $q_j = \sum_i \lambda_i / n$ results in performance deterioration (up to 4 times worse), due to the random locations of the devices. However, as the number of devices increases, the traffic becomes more uniform and the choice $q_j = \sum_i \lambda_i / n$ becomes as good as the maxSINR solution.

V. LEARNING RRH LOAD

We now turn our attention to non-uniform traffic, as for example in figures 5a-5b. In this setting, both the maxSINR rule and our OT heuristics will overload the hot spot station.

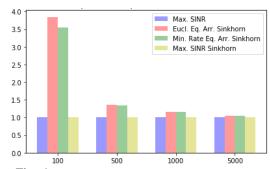


Fig. 4: Relative completion time (uniform traffic)

To effectively balance the load we must discover the correct traffic accumulation at RRHs which will provide the average completion time optimization.

We propose an iterative algorithm, where at iteration k Sinkhorn algorithm is used with $q^{(k)}$ to obtain an association which results in a specific RRH loading $\rho_j(\pi^{(k)})$. According to this loading, a new marginal $q^{(k+1)}$ is computed, and the process repeats until an accuracy criterion is satisfied. More specifically, our iterative algorithm picks the RRH with the highest load (step 5) and then decreases its aggregate traffic by a fixed term δ , dispersing the traffic to all other RRHs (step 6). We mention that increasing the traffic in a remote RRH will result in a large number of association changes in the Sinkhorn algorithm which will ensure that the steered traffic maintains minimum transportation cost. We provide the algorithm flow here.

Adaptive Sinkhorn Association

```
Input: C_{ij} = 1/\mu R_{ij}, \boldsymbol{p} = \boldsymbol{\lambda}, \epsilon
Output: \boldsymbol{\pi}
1 initialize q_j = \sum_i \lambda_i/n;
2 while accuracy do
3 | k++;
4 | \boldsymbol{\pi}^{(k+1)} \leftarrow \operatorname{Sinkhorn}(\boldsymbol{C}, \boldsymbol{p}, \boldsymbol{q}^{(k)}, \epsilon);
5 | j^* \in \arg\max\{\rho_j(\boldsymbol{\pi}^{(k+1)})\};
6 | q_j^{(k+1)} = \begin{cases} q_j^{(k)} - \delta & j = j^* \\ q_j^{(k)} + \delta/(n-1) & j \neq j^* \end{cases};
7 end
8 \boldsymbol{\pi} \leftarrow \boldsymbol{\pi}^{(k+1)}
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Figures 5a-5c show the results. First, comparing the two association rules we see that although the maxSINR rule associates the devices according to interference and not traffic, our adaptive Sinkhorn algorithm considers both, and converges to an association where some cell edge devices are steered to the neighboring RRHs. This is done to alleviate the load of the bottom-left RRH. Indeed, figure 5c shows the resulting loads of the 4 RRH. Our approach successfully equalizes the loads of the different RRH, while the maxSINR rule fails to do so. Ultimately, our scheme achieves an

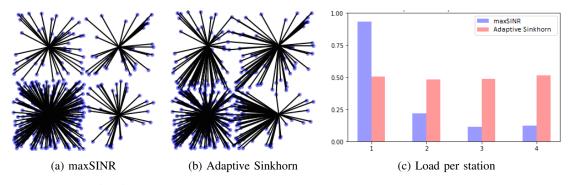


Fig. 5: Comparison of maxSINR and Adaptive Sinkhorn (non-uniform traffic).

average completion time 6.3msec while the maxSINR rule 24msec, which corresponds to almost 4 times improvement.

VI. CONCLUSION

In this paper, we studied the device association in C-RAN, and proposed an iterative algorithm to adjust the loads based on the theory of Optimal Transport. Specifically, we showed that an extension of the Sinkhorn algorithm for C-RAN systems can provide low delay associations for thousands of users in 0.5sec. Our methodology scales to very large problem instances, and has the potential to provide great improvements over the simple baseline approach.

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