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Author	K. Ohtsuki, T. Matsuoka, S. Matsunaga, S. Furui
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## TOPIC EXTRACTION WITH MULTIPLE TOPIC-WORDS IN BROADCAST-NEWS SPEECH

K. Ohtsuki<sup>1</sup>, T. Matsutoka<sup>2</sup>, S. Matsunaga<sup>1</sup>, S. Furui<sup>3</sup>

<sup>1</sup>NTT Human Interface Laboratories
 1-1 Hikari-no-oka, Yokosuka-shi, Kanagawa 239 Japan
 <sup>2</sup>NTT Multimedia Business Department
 2-2-2 Otemachi, Chiyoda-ku, Tokyo 100 Japan
 <sup>3</sup>Tokyo Institute of Technology
 2-12-1 Ookayama, Meguro-ku, Tokyo 152 Japan

## ABSTRACT

This paper reports on topic extraction in Japanese broadcastnews speech. We studied, using continuous speech recognition, the extraction of several topic-words from broadcast-news. A combination of multiple topic-words represents the content of the news. This is a more detailed and more flexible approach than using a single word or a single category. A topic-extraction model shows the degree of relevance between each topic-word and each word in the article. For all words in an article, topic-words which have high total relevance score are extracted. We trained the topicextraction model with five years of newspapers, using the frequency of topic-words taken from headlines and words in articles. The degree of relevance between topic-words and words in articles is calculated on the basis of statistical measures, i.e., mutual information or the  $\chi^2$ -value. In topicextraction experiments for recognized broadcast-news speech, we extracted five topic-words from the 10-best hypotheses using a  $\chi^2$ -based model and found that 76.6% of them agreed with the topic-words chosen by subjects.

### **1. INTRODUCTION**

Recent advances in digital technology make it possible to store a large amount of speech data. In order to use such a large amount of data effectively as 'information', classifying and indexing are essential. In particular, in order to classify or retrieve speech data without playing it back, automatic topic identification (TID) of speech data is needed. In the TID of conversational speech or news speech, keyword spotting methods are commonly used with selected discriminative keywords [1][3][8][12]. But with those methods the number of keywords is limited, and many wrong keywords are spotted if the number of keywords is increased. Although some approaches to TID employ continuous speech recognition (CSR) techniques [4][10][11], they classify speech data into at most ten categories or topics. There are not so many application areas for data classified into such a limited number of categories. Even with a large number of categories, the classification is not usable unless it matches the users' ideas.

What we attempt to extract from news speech is a set of topicwords. A combination of several topic-words represents the content of the news. This is a more detailed approach than using a single word or a single category [2]. A topicextraction model shows the relation (degree of relevance) between each topic-word and each word in the articles. For all words in an article, relevance scores to each topic-word are summed up. Topic-words with high total relevance scores are then extracted. We trained the topic-extraction model with five years of newspapers, using the frequency of topic-words taken from headlines and words in articles. We did not deal with all the words in the news article, only content-words such as nouns and verbs because they contain more semantic information than other words from the point of view of information retrieval. Topic-words and words in articles are separate sets, therefore we can extract topic-words that have high relevance to the article whether they are in the article or not.

Topic extraction from news speech is performed for the results of CSR based on phoneme HMMs and n-gram language models. Speech recognition results usually contain misrecognized words and they lower topic-extraction precision [9]. To reduce the effect of such wrong words, we extracted topic-words based on the N-best hypotheses of CSR, in which the correct words must appear more constantly than the wrong words.

## **2. TOPIC EXTRACTION**

#### 2.1 Topic-Extraction Model

A topic-extraction model has relevance scores between topicwords and words in articles and topic-words are extracted on those scores. The relevance scores are calculated on the basis of statistical measures that indicate the probability or the frequency of appearance of words in article can, for a given topic-word, be calculated from a large amount of data, i.e., many articles and their topic-words. We calculate relevance scores based on two kinds of measures in this study. One is mutual information, defined as the degree of dependence between random variables. It has high value when the mutual dependence of a topic-word and a word in article is strong. The other measure is the  $\chi^2$ -value in the  $\chi^2$ -test, which represents the degree of a gap between a topic-word and an article-word. A high value shows that the word is strongly associated with a topic-word.

## The Mutual Information based Method

The mutual information based relevance score between word  $w_i$  and topic-word  $t_i$  is expressed as

$$I(w_i; t_j) = \log \frac{P(w_i, t_j)}{P(w_i)P(t_j)}.$$
 (1)

If there is no concurrence of word  $w_i$  and topic-word  $t_j$  in training data,  $P(w_i, t_j) = 0$ , a problem occurs in summing up scores. Against this case we consider that no information is obtained when no concurrence is observed. The mutual information is set to 0 under this condition as

$$I'(w_i;t_j) = \begin{cases} I(w_i;t_j), & \text{if}\left(P(w_i,t_j) \neq 0\right) \\ 0, & \text{if}\left(P(w_i,t_j) = 0\right) \end{cases}$$
(2)

Mutual information is a measure based on conditional probability, and absolute frequencies of word  $w_i$  and topic-word  $t_j$  are not considered. We also consider a relevance score based on the mutual information weighted with a joint probability as

$$I''(w_i;t_j) = P(w_i,t_j) \cdot \log \frac{P(w_i,t_j)}{P(w_i)P(t_j)}.$$
(3)

## The $\chi^2$ -value based Method

The  $\chi^2$ -value based relevance score between word  $w_i$  and topic-word  $t_i$  is expressed as

$$\chi_{ij}^{2} = \frac{\left(f_{ij} - F_{ij}\right)^{2}}{F_{ij}},$$
 (4)

where  $f_{ij}$  is the frequency of word  $w_i$  appearing in a news item with topic-word  $t_j$ , and  $F_{ij}$  is the theoretical frequency of word  $w_i$ , i.e., when it appears with equal probabilities for all topic-words. It is given by

$$F_{ij} = \frac{\sum_{k=1}^{M} f_{il}}{\sum_{k=1}^{N} \sum_{l=1}^{M} f_{kl}} \cdot \sum_{k=1}^{N} f_{kj}, \qquad (5)$$

where N is the distinct number of words and M is the distinct number of topic-words. If the gap between the actual frequency and the theoretical frequency, i.e., if  $f_{ij} - F_{ij}$  is positive and large, the word should tend to appear with the topic-word. However, eq. (4) yields the same value when  $f_{ij} - F_{ij}$  is either positive or negative. Accordingly we calculate the  $\chi^2$ -value based relevance score as

$$\chi_{ij}^{2'} = \frac{\left(f_{ij} - F_{ij}\right) \cdot \left|f_{ij} - F_{ij}\right|}{F_{ij}},$$
(6)

considering the sign of  $f_{ij} - F_{ij}$ .

### 2.2 Training Data

The topic-extraction model contains all relevance scores between each word in the content and each topic-word. We trained the topic-extraction model with newspaper articles and headlines extending back about five years from January 1990 to September 1994. It contains about 900k news articles. Japanese sentences have no spaces between words, so we segmented the articles and the headlines into words with a morphological analyzer. Words in headlines were trained as topic-words. To reduce the enormous number of combinations of words and topic-words, we didn't use infrequently appearing words and function words. In the end, the distinct number of topic-words was about 70k.

#### 2.3 Topic Extraction Method

Topic-words are extracted from news articles on the basis of relevance scores between topic-words and articles. The relevance score  $R_{aj}$  between topic-word  $t_j$  and article a is calculated as

$$R_{aj} = \sum_{k=1}^{N_a} s_k r_{kj} , \qquad (7)$$

where  $N_a$  is the number of words in the article a and  $r_{kj}$  is the relevance score between topic-word  $t_j$  and the kth word in the article a.  $s_k$  is a weighting factor for the kth word and subsequent calculations of  $R_{aj}$  used  $s_k = 1$ . For each article, topic-words with high relevance scores are extracted in order of their score.

## **3. EVALUATION DATA**

#### 3.1 Speech Data

The evaluation speech data set contained 29 articles which had 142 utterances. An article had from 2 to 14 utterances and an average of 5 utterances. The data sets consisted of utterances of 15 male speakers: 8 anchor speakers and 7 other speakers. The speech contained spontaneous speech phenomena, such as 'uh' at the beginning of a sentence or the correction of slips and also included background noise or music.

#### **3.2 Topic Data**

We were aiming at extracting topics that match the users' ideas well. We asked three subjects to give topic-words to use as keys for retrieving the article and evaluated extracted topics with them. Each of the subjects was instructed to give more than 4 and an average of 10 topic-words to each article. We made two evaluation topic sets, an AND set, which contains any topic-words that all the subjects gave in common, and an OR set, which contains all topic-words that at least one subject gave. We segmented the given topic-words into words with a morphological analyzer for the evaluation. The average number of words per article was 10.4 for the AND set and 35.7 for the OR set.

## 4. EXPERIMENTS

## 4.1 Large Vocabulary CSR

Our large vocabulary continuous speech recognition (LVCSR) system has context-dependent phoneme HMMs and statistical n-gram language models [6][7]. The phoneme HMMs are triphone models designed using tree-based clustering [13]. All HMMs had 2,106 states in total and each state had four mixture components. They were trained with phonetically-balanced sentences and dialogue read by 53 male speakers. The total number of utterances was 13,270 and the total volume of training data was approximately 20 hours. The n-gram language models were trained using broadcastnews manuscripts extending over five years, which had about 500k sentences, or 24M words. To train the statistical language model from sentences without spaces between words, we used a morphological analyzer to divide sentences into words. We estimated unseen n-gram probabilities using Katz's back-off smoothing method [5]. The vocabulary size of the system was 20k. To apply the trigram language model with less computation, we employed a multiple-pass strategy. In the first pass, the 300-best hypotheses for each utterance are computed with a bigram language model and these hypotheses are rescored using a trigram language model in the second pass. Table 1 shows the test-set perplexity and LVCSR results for the above-mentioned testing data. The improvement of recognition performance with the trigram language model is rather small because of training data insufficiency.

Language Model	Test-set Perplexity	Word Error Rate	
bigram	98	28.2%	
trigram	56	24.6%	

Table 1: LVCSR results for news speech data

#### 4.2 Topic Extraction Experiments

Figure 1 shows the results of fifty topic-words extracted from transcribed news speech, which were evaluated with the OR set. Recall and precision are defined as

$$Recall = \frac{C}{T} \cdot 100, \qquad (8)$$

$$Precision = \frac{C}{H} \cdot 100, \qquad (9)$$

where C is the number of correct topic-words retrieved, T is the total number of topic-words in the evaluation topic sets, and H is the total number of topic-words retrieved. Recall and precision tend to have a trade-off relation, but achieving high recall and high precision, i.e., the upper-right region in the charts, is required for an information retrieval system. In Fig. 1, the  $\chi^2$ -value based method [CHI: eq. (6)] achieved better performance than the mutual information method [MI: eq. (2)]. Although the mutual information method that was weighted with joint probability [wMI: eq. (3)] achieved better results than the MI method, it was still worse than CHI. The topicwords extracted by the wMI method are rather general and such general topic-words don't match given topic-words well.

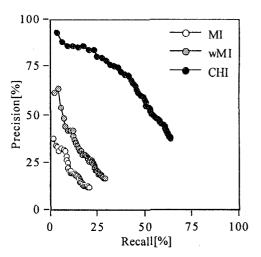


Figure 1: Topic extraction results for transcribed news speech (evaluated with OR set)

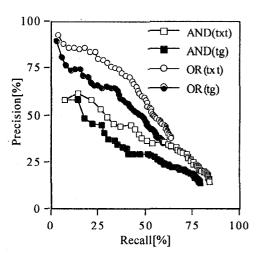


Figure 2: Topic extraction results for speechrecognized news speech (extracted with CHI method)

However, they do seem to suit the classicication of news articles into several categories.

We next applied the topic extraction models based on  $\chi^2$ -value to the speech recognition results. Figure 2 shows topic extraction results for recognized news speech (tg), evaluated with both the AND and the OR evaluation sets. Results for transcription (txt) are also shown for comparison. The performance for speech-recognition results is inferior to that for transcription because of recognition error.

For information retrieval with keywords, using five keywords is reasonable. We extracted five topic-words, or five plot symbols from the upper-left of a line graph in the charts, and evaluated them with the OR set. 85.5% precision for transcription and 74.5% for recognition results were obtained. The given topic-words vary according to the subjects. The overlapping rate of the topic-words given by two subjects is 74 % on average. That is to say, picking up five topic-words from a subject's topic, they will have about seventy-percent precision for another subject's topic. Therefore, the 74.5% precision obtained for speech recognition results supports practical applications.

#### 4.3 N-best Approach

As Fig. 2 indicates, the topic extraction performance for speech recognition results is inferior to the performance for transcribed speech because of mis-recognized words. In order to compensate speech recognition errors, we employed the N-best approach for topic-extraction. Correct words must appear constantly in N-best hypotheses with high likelihood, while wrong words appear alternately with low score. If we extract topic-words from N-best hypotheses, the effect of recognition error will be reduced.

Table 2 shows the topic-extraction results based on N-best hypotheses with the CHI method. The N-best hypotheses are the results of second pass decoding with the trigram language model. The figures in the table are the precision when we extracted one, five, or ten topic-words and evaluated with the OR set. Precision was improved when topic-words were extracted based on N-best hypotheses of speech recognition. In our experiments, the best performance was achieved with 10-best hypotheses.

Number of topic- words extracted		1	5	10
	1	89.7	74.5	66.2
1	5	93.1	75.9	69.3
N-best	10	<u>93.1</u>	<u>76.6</u>	<u>69.3</u>
	15	93.1	75.9	69.0
	20	93.1	75.9	69.3

 Table 2: Topic extraction results (precision[%]) with

 N-best approach

## **5. SUMMARY**

We reported topic extraction from broadcast news speech, based on continuous speech recognition. We proposed topicextraction models that have the mutual information or the  $\chi^2$ value as the degree of relevance between topic-words and words in news articles. For each article, topic-words that have high relevance score for the words in the article are extracted. In our experiments the  $\chi^2$ -value based topic-extraction model achieved better performance than the mutual information based model. Extracting five topic-words using a topic extraction model based on the  $\chi^2$ -value yielded 74.5% precision for speech-recognized news speech. In order to compensate the performance degradation caused by speech recognition errors, we employed the N-best approach and achieved 76.6% precision with 10-best hypotheses. This level of precision is practical, since it is almost the same as the overlap rate of topic-words given by different subjects. But the results are still inferior to the results gained using transcribed news speech; therefore, further robustness against recognition error is needed for the topic extraction models.

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