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## Pitch Estimation and Tracking with Harmonic Emphasis On The Acoustic Spectrum

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## Pitch Estimation and Tracking with Harmonic Emphasis on the Acoustic Spectrum

### April 23, 2015

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#### Proposed Method

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HMM

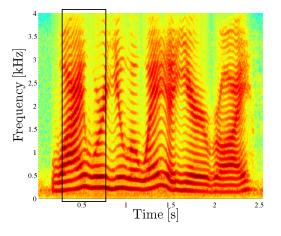
2- Continuous state-space: Kalman Filter

Numerical Results

Conclusion

## Introduction

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- ML Pitch Estimate from UFE
- Bayesian Methods
  - Motivation
  - ► HMM
  - Kalman Filter
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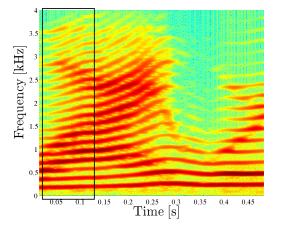
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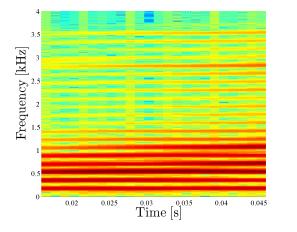
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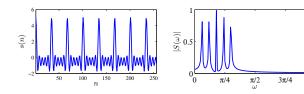
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## Harmonic Signal Model:

$$s(n) = \sum_{l=1}^{L(n)} \alpha_l e^{j(\omega_l(n) n + \varphi_l)},$$

where  $\omega_l(n) = I\omega_0(n)$  for  $l = 1, \ldots, L(n)$ ,

- L(n): number of sinusoids
- $\alpha_I$  : real magnitudes
- $\omega_0$  : fundamental frequency
- $\varphi_I$  : phases of harmonics





(1)

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The observed signal can be written as a sum of a desired signal s(n) and a noise signal v(n), i.e.,

$$\begin{aligned} x(n) = & s(n) + v(n) \\ &= \sum_{l=1}^{L} \alpha_l \, e^{j \, (\omega_l n + \varphi_l)} + v(n). \end{aligned}$$

At a high narrowband SNR, the harmonic frequency  $\omega_l$  is perturbed with a real-valued phase-noise [S.Tretter 1985], which has a normal distribution with zero mean and the variance

$$\mathsf{E}\{\Delta\omega_l^2(n)\} = \frac{\sigma^2}{2\alpha_l^2}$$

We can approximate  $x(n) = \sum_{l=1}^{L} \alpha_l e^{j(\omega_l n + \varphi_l)} + v(n)$  like

$$x(n) \approx \sum_{l=1}^{L} \alpha_l \, e^{j \, (\omega_l n + \Delta \omega_l(n) + \varphi_l)} \tag{4}$$



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Unconstrained frequency estimates (UFE) of the constrained frequencies:

$$\hat{\boldsymbol{\Omega}}(n) = \begin{bmatrix} \hat{\omega}_1(n), \, \hat{\omega}_2(n), \, \dots, \, \hat{\omega}_L(n) \end{bmatrix}^T$$
  
=  $\mathbf{d}_L(n) \, \omega_0(n) + \Delta \boldsymbol{\Omega}(n),$ 

where

$$\mathbf{d}_{L}(n) = \begin{bmatrix} 1, 2, \dots, L(n) \end{bmatrix}^{T}$$
  
$$\Delta \mathbf{\Omega}(n), = \begin{bmatrix} \Delta \omega_{1}(n), \ \Delta \omega_{2}(n), \dots, \ \Delta \omega_{L}(n) \end{bmatrix}^{T},$$

and

$$\boldsymbol{R}_{\Delta\Omega}(n) = \mathsf{E}\{\Delta\Omega(n)\Delta\Omega^{T}(n)\}$$

$$= \frac{\sigma^{2}}{2} \operatorname{diag}\left\{\frac{1}{\alpha_{1}^{2}}, \frac{1}{\alpha_{2}^{2}}, \dots, \frac{1}{\alpha_{L}^{2}}\right\}.$$
(9)



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(8)

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For the time-frame 
$$\mathbf{x}(n) = [x(n), x(n-1), \dots, x(n-M-1)]^T$$
, the PDF of the UFE is

$$P(\hat{\boldsymbol{\Omega}}(n)|\omega_0(n)) \sim \mathcal{N}(\boldsymbol{\mathsf{d}}_L(n)\,\omega_0(n), \boldsymbol{R}_{\Delta\boldsymbol{\Omega}}(n)).$$

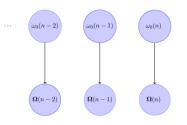
The ML pitch estimator:

$$\hat{\omega}_{0}(n) = \arg\max_{\omega_{0}(n)} \log P(\hat{\Omega}(n)|\omega_{0}(n))$$

$$= \left[ \mathbf{d}_{L}^{T}(n) \mathbf{R}_{\Delta\Omega}^{-1}(n) \mathbf{d}_{L}(n) \right]^{-1} \mathbf{d}_{L}^{T}(n) \mathbf{R}_{\Delta\Omega}^{-1}(n) \hat{\Omega}(n)$$
(11)
(12)

# Bayesian Pitch Estimator

- The ML Estimators are statistically efficient, e.g., the non-linear least-squares (NLS), and the weighted least squares (WLS) [H.Li, et al. 2000], but the minimum variance is limited by the number of samples.
- Consecutive pitch values are estimated independently





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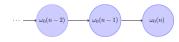
Conclusion

Pitch values are usually correlated in a sequence, i.e.,

$$P(\omega_0(n)|\omega_0(n-1),\omega_0(n-2),\cdots),$$
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that motivate Bayesian methods to minimize an error incorporating prior distributions.

 State-of-the-art methods mostly track pitch estimates in a sequential process without concerning noise statistics.





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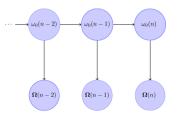
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# Bayesian Pitch Estimator

1- Jointly estimate and track pitch incorporating both the harmonic constraints and noise characteristics. 2- Estimate the state  $\omega_0(n)$  through a series of noisy observations:

$$P(\omega_0(n)|\hat{\Omega}(n),\hat{\Omega}(n-1),\cdots)$$

## 3- Recursively update the prior distribution of the pitch value.



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## Bayesian Pitch Estimator Discrete state-space (HMM)



$$\begin{split} \omega_0(n) &: \text{Discrete random variable (Hidden states)} \\ P(\omega_0(n)|\omega_0(n-1)) &: \text{Transition probability in a 1st-order Markov model,} \\ &\text{i.e.,} \sum_{\omega_0(n)} P(\omega_0(n)|\omega_0(n-1)) = 1 \end{split}$$

$$\hat{\omega}_0(n) = \arg\max_{\omega_0(n)} \log P(\omega_0(n)|\hat{\Omega}(n), \hat{\Omega}(n-1), \cdots)$$
(15)

 $= \arg \max_{\omega_0(n)} \log P(\hat{\Omega}(n)|\omega_0(n)) + \log P(\omega_0(n)|\hat{\Omega}(n-1),\cdots).$ 

The priori distribution is defined recursively like

$$P(\omega_{0}(n)|\hat{\Omega}(n-1),\hat{\Omega}(n-2),\cdots) =$$

$$\sum_{\omega_{0}(n-1)} P(\omega_{0}(n)|\omega_{0}(n-1))P(\omega_{0}(n-1)|\hat{\Omega}(n-1),\cdots),$$
(16)

where  $P(\omega_0(n-1)|\hat{\Omega}(n-1),\cdots)$  is the past estimate.

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# **Bayesian Pitch Estimator**

state-space representation of the pitch continuity

Continuous state-space:

$$\omega_0(n) = \omega_0(n-1) + \delta(n)$$
$$\hat{\Omega}(n) = \mathbf{d}_L(n)\,\omega_0(n) + \Delta\Omega(n),$$

where  $\delta(n) \sim \mathcal{N}(0, \sigma_t^2)$  and  $\Delta \Omega(n) \sim \mathcal{N}(\mathbf{0}, \mathbf{R}_{\Delta \Omega}(n))$  are the state evolution and observation noise, respectively.



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## Bayesian Pitch Estimator Continuous state-space (Kalman filter)

First, a pitch estimate is predicted using the past estimates as

 $\hat{\omega}_0(n|n-1) = \hat{\omega}_0(n-1|n-1) \tag{17}$ 

with the variance

$$\sigma_{\kappa}^{2}(n|n-1) = \sigma_{\kappa}^{2}(n-1|n-1) + \sigma_{t}^{2}.$$

Second, the pitch estimate is updated with the error of

$$\mathbf{e}(n) = \hat{\mathbf{\Omega}}(n) - \mathbf{d}_L(n)\,\hat{\omega}_0(n|n-1).$$

Then, the predicted estimate is updated:

$$\hat{\omega}_0(n|n) = \hat{\omega}_0(n|n-1) + \mathbf{h}_{\kappa}(n)\mathbf{e}(n)$$
(20)

$$\mathbf{h}_{\kappa}(n) = \sigma_{\kappa}^{2}(n|n-1)\mathbf{d}_{L}^{T}(n) \Big[ \mathbf{\Pi}_{L}(n)\sigma_{\kappa}^{2}(n|n-1) + \mathbf{R}_{\Delta\Omega}(n) \Big]^{-1}, \quad (21)$$

where  $\Pi_L(n) = \mathbf{d}_L(n)\mathbf{d}_L^T(n)$ , and update

$$\sigma_{\kappa}^{2}(n|n) = \left[1 - \mathbf{h}_{\kappa}(n)\mathbf{d}_{L}(n)\right]\sigma_{\kappa}^{2}(n|n-1).$$
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The ML estimator of the covariance matrix among N estimates:

$$\mathbf{R}_{\Delta\Omega}(n) = \mathsf{E}\{\Delta\Omega(n)\Delta\Omega^{T}(n)\}\$$
$$= \frac{1}{N}\sum_{i=n-N+1}^{n}\Delta\Omega(i)\Delta\Omega^{T}(i),$$

where 
$$\Delta \Omega(n) = \hat{\Omega}(n) - \hat{\mu}(n)$$
, and  $\mu(n) = \mathsf{E}\{\hat{\Omega}(n)\}$ .

Exponential moving average:

$$\hat{\mu}(n) = \lambda \,\hat{\Omega}(n) + (1 - \lambda) \,\hat{\mu}(n - 1) \tag{24}$$

The forgetting factor 0  $<\lambda<$  1 recursively updates the time-varying mean value.

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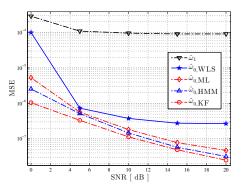
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## Numerical Results Synthetic signal

A linear chirp signal (r = 100 Hz/s) with L = 5 harmonics, random phases, and identical amplitudes during 0.1 s.





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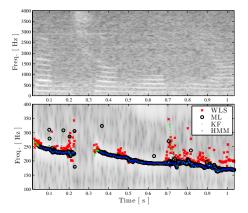
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 $\begin{array}{l} M=80, \ \omega_0(1)=400\pi/f_S, \ f_S=8.0 \ \text{kHz}, \ \sigma_t=\sqrt{2}\pi r/f_S^2, \ \text{and for the HMM-based pitch estimator, the frequency range} \\ \omega \in [150, 280] \times (2\pi/f_S) \ \text{was discretized into } N_d = 1000 \ \text{samples}. \end{array}$ 

## Numerical Results Real signal

Speech signal + Car noise at SNR= 5 dB.



The MAP order estimation [Djuric 1998], M = 240,  $\lambda = 0.9$ , and N = 150.



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# Conclusion



- ► For pitch estimation and tracking, we have proposed HMM- and KF-based methods.
- Experimental results showed that both HMM- and KF-based methods outperform the corresponding ML pitch estimators.
- The KF-based method statistically performs better than the HMM-based method, while the it tracks pitch changes more accurate than the KF-based method.



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