

# METRIC-ORIENTED SPEECH ENHANCEMENT USING DIFFUSION PROBABILISTIC MODEL

Chen Chen, Yuchen Hu, Weiwei Weng, Eng Siong Chng

School of Computer Science and Engineering, Nanyang Technological University, Singapore  
CHEN1436@e.ntu.edu.sg

## ABSTRACT

Deep neural network based speech enhancement technique focuses on learning a noisy-to-clean transformation supervised by paired training data. However, the task-specific evaluation metric (e.g., PESQ) is usually non-differentiable and can not be directly constructed in the training criteria. This mismatch between the training objective and evaluation metric likely results in sub-optimal performance. To alleviate it, we propose a metric-oriented speech enhancement method (MOSE), which leverages the recent advances in the diffusion probabilistic model and integrates a metric-oriented training strategy into its reverse process. Specifically, we design an actor-critic based framework that considers the evaluation metric as a posterior reward, thus guiding the reverse process to the metric-increasing direction. The experimental results demonstrate that MOSE obviously benefits from metric-oriented training and surpasses the generative baselines in terms of all evaluation metrics.

**Index Terms**— Diffusion probabilistic model, speech enhancement, reinforcement learning

## 1. INTRODUCTION

Recent advances in deep learning has brought remarkable success to the speech enhancement technique, where a noisy-to-clean transformation is learned to remove additive noises by a supervised learning manner [1–4]. However, this paradigm suffers from a mismatch between training and evaluation: the training criterion (e.g., Mean Square Error) must be differentiable for gradient calculation [5], while the evaluation metric (e.g. PESQ) are usually non-differentiable, thus can not be directly modeled in loss function as minimized objective. Consequently, the optimized model after training can not achieve best performance in terms of evaluation metric.

This mismatch is also reported in other supervised learning tasks, such as machine translation [6, 7] and automatic speech recognition [8–10]. Prior works have utilized reinforcement learning (RL) based algorithms to harmonize the mismatch using metric-based training approach [11], as these tasks contain a sequential decoding process that can be naturally viewed as Markov Decision Process (MDP) [12]. Never-

theless, as a regression task, mainstream SE approaches train a one-shot discriminative model without the time-step concept for MDP, which is infeasible for RL-based optimization.

Diffusion probabilistic model [13], showing outstanding results in generative tasks [14, 15], brings possibility for metric-based optimization of SE task, as it inherently consists of MDP-based diffusion and reverse processes [16]. More specifically, an isotropic Gaussian distribution is added to the clean speech during step-by-step diffusion process, and in the reverse process, gradually estimates and subtracts additive noise to restore the clean input [17].

In this work, we present a metric-oriented speech enhancement method called MOSE, which effectively constructs the non-differentiable metric into the training objective. Inspired by actor-critic based algorithm [18], we design a value-based neural network that is updated by Bellman Error [19] to evaluate current policy in terms of metric-related reward function, then it guides the prediction of subtracted noise in a reverse process by the differentiable manner. In this way, the original policy is optimized to the metric-increasing direction, while the value-based network is trained to provide reasonable feedback. Experimental results demonstrate that MOSE obviously benefit from metric-oriented training and beat other generative methods in terms of all metrics. Furthermore, it shows better generalization in face of unseen noises with large domain mismatch.

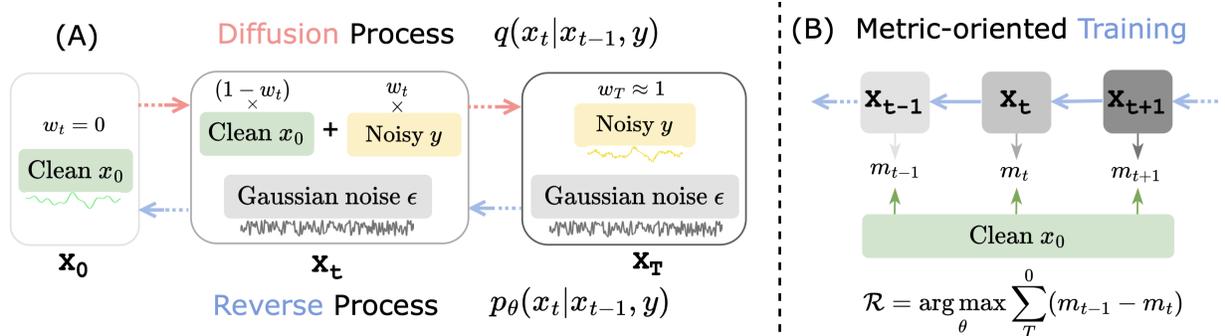
## 2. PRELIMINARIES

We first define noisy speech as  $y$  and define its corresponding ground-truth clean speech as  $x_0$ . The speech enhancement task aims to learn a transformation  $f$  that converts the noisy input to clean signal:  $x_0 = f(y)$ ,  $x_0, y \in \mathbb{R}^L$ .

### 2.1. Diffusion Probabilistic Model

In this part, we briefly introduce the diffusion process and the reverse process of the typical diffusion probabilistic model.

**Diffusion process** is formulated as a  $T$ -step Markov chain that gradually adds Gaussian noise to the clean signal  $x_0$  in each step  $t$ . The Gaussian model is denoted as  $q(x_t|x_{t-1}) =$



**Fig. 1:** The conditional diffusion probabilistic model (A) and metric-oriented training (B). The red and blue arrows respectively denotes the diffusion and reverse process.  $w_t$  is the weight of linear interpolation, and  $m_t$  is the task-specific metric.

$\mathcal{N}(x_t; \sqrt{1 - \beta_t}x_{t-1}, \beta_t I)$ , where  $\beta_t$  is a small positive constant that serve as a pre-defined schedule. With enough diffusion step  $T$ , the latent variable  $x_T$  can be finally converted to an isotropic Gaussian distribution  $p_{\text{latent}}(x_T) = \mathcal{N}(0, I)$ . Therefore, based on  $x_0$ , the sampling distribution of each step in the Markov chain can be derived as the following:

$$q(x_t|x_0) = \mathcal{N}(x_t; \sqrt{\bar{\alpha}_t}x_0, (1 - \bar{\alpha}_t)I), \quad (1)$$

where  $\alpha_t = 1 - \beta_t$  and  $\bar{\alpha}_t = \prod_{s=1}^t \alpha_s$ .

**Reverse process** aims to restore the  $x_0$  from the latent variable  $x_T$  along another Markov chain, which is denoted as  $p_\theta(x_{t-1}|x_t)$ , where  $\theta$  is learnable parameters. As marginal likelihood  $p_\theta(x_0) = \int p_\theta(x_0, \dots, x_{T-1}|x_T) \cdot p_{\text{latent}}(x_T) dx_{1:T}$  is intractable for calculation, the ELBO [13] is utilized to approximate a learning objective for neural model training. Therefore, the equation of the reverse process can be denoted as:

$$p_\theta(x_{t-1}|x_t) = \mathcal{N}(x_{t-1}; \mu_\theta(x_t, t), \tilde{\beta}_t I), \quad (2)$$

where  $\mu_\theta(x_t, t) = \frac{1}{\sqrt{\alpha_t}}(x_t - \frac{\beta_t}{\sqrt{1 - \bar{\alpha}_t}}\epsilon_\theta(x_t, t))$

Here  $\mu_\theta(x_t, t)$  denotes the mean of  $x_{t-1}$ , which is obtained by subtracting the estimated Gaussian noise  $\epsilon_\theta(x_t, t)$  in the  $x_t$ . Furthermore, the variance is derived to a constant  $\tilde{\beta}_t = \frac{1 - \bar{\alpha}_{t-1}}{1 - \bar{\alpha}_t} \beta_t$ .

## 2.2. Reinforcement Learning

Reinforcement learning (RL) is typically formulated as a Markov Decision Process (MDP) that includes a tuple of trajectories  $\langle \mathcal{S}, \mathcal{A}, \mathcal{R}, \mathcal{T} \rangle$ . For each time step  $t$ , the agent considers state  $s_t \in \mathcal{S}$  to generate an action  $a_t \in \mathcal{A}$  which interacts with environment. The transition dynamics  $\mathcal{T}(s_{t+1}|s_t, a_t)$  is defined as transition probability from current state  $s_t$  to next state  $s_{t+1}$ , and gain an instant reward  $r_t(s_t, a_t)$ . The objective of RL is to learn optimal policy to maximize the cumulative reward  $\mathcal{R}$  along all time steps.

Since the diffusion probabilistic model formulates speech enhancement task as MDP in section 2.1, the RL algorithm can be integrated in the reverse process to explore optimal

policy. More specifically, given the current state  $x_t$ , the policy network is supposed to predict a Gaussian noise  $\epsilon_t$  as the current action. After subtracting the  $\epsilon_t$  in  $x_t$ , the  $x_{t-1}$  is obtained as next state, as step number  $t$  is decreasing during reverse process. Furthermore, the instant reward  $r_t$  is calculated by comparison of  $x_t$  and  $x_{t-1}$ , which guides the update of parameters  $\theta$  during model training.

## 3. METHODOLOGY

In this section, we introduce our proposed MOSE, which integrates the metric-oriented training into the reverse process of a conditional diffusion probabilistic model. The overview of MOSE is shown in Fig. 1.

### 3.1. Conditional Diffusion Probabilistic Model

As real-world noises usually does not obey the Gaussian distribution, we incorporate noisy speech  $y$  into the procedures as a conditioner in this part. Specifically, a dynamic weight  $w_t \in [0, 1]$  is employed for linear interpolation from  $x_0$  to  $x_T$ . Therefore, as shown in Fig. 1, each latent variable  $x_t$  consists of three parts: clean component  $(1 - w_t) \times x_0$ , noisy component  $w_t \times y$ , and Gaussian Noise  $\epsilon$ . Furthermore, the diffusion process in Eq. (1) can be rewritten as:

$$q(x_t|x_0, y) = \mathcal{N}(x_t; (1 - w_t)\sqrt{\bar{\alpha}_t}x_0 + w_t\sqrt{\bar{\alpha}_t}y, \delta_t I), \quad (3)$$

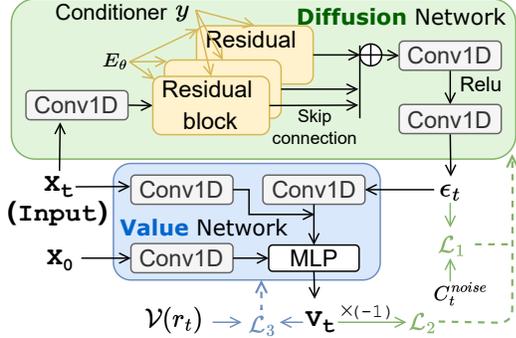
where  $\delta_t = (1 - \bar{\alpha}_t) - w_t^2 \bar{\alpha}_t$  (4)

The conditional reverse process starts from  $x_T$  with  $w_T = 1$ , which is denoted as  $\mathcal{N}(x_T, \sqrt{\bar{\alpha}_T}y, \delta_T I)$ . Referring to Eq. (2), we denoted the conditional reverse process as:

$$p(x_{t-1}|x_t, y) = \mathcal{N}(x_{t-1}; \mu_\theta(x_t, y, t), \tilde{\delta}_t I), \quad (5)$$

where  $\mu_\theta(x_t, y, t)$  is the predicted mean of variance  $x_{t-1}$ . It means that the neural model  $\theta$  considers both variance  $x_t$  and noisy conditioner  $y$  during its prediction. Therefore, similar to Eq. (2), we define the mean of  $\mu_\theta$  as a linear combination of  $x_t$ ,  $y$ , and  $\epsilon_\theta$ :

$$\mu_\theta(x_t, y, t) = c_{xt}x_t + c_{yt}y - c_{\epsilon t}\epsilon_\theta(x_t, y, t), \quad (6)$$



**Fig. 2:** The main structure of MOSE. Dashed line stands for back propagation for neural network.

where the coefficients  $c_{xt}$ ,  $c_{yt}$ , and  $c_{\epsilon t}$  can be derived from the ELBO optimization criterion in [20]. Finally, we combine Gaussian noise  $\epsilon$  and non-Gaussian noise  $y - x_0$  as ground-truth  $C_t^{noise}$ :

$$C_t^{noise}(x_0, y, \epsilon) = \frac{m_t \sqrt{\alpha_t}}{\sqrt{1 - \alpha_t}}(y - x_0) + \frac{\sqrt{\delta_t}}{\sqrt{1 - \alpha_t}}\epsilon \quad (7)$$

$$\nabla_{\theta} \mathcal{L}_1 = \| C_t^{noise}(x_0, y, \epsilon) - \nabla_{\theta} \epsilon_{\theta}(x_t, y, t) \|_1 \quad (8)$$

where  $C_t^{noise}$  provides supervision information, and  $\mathcal{L}_1$  is calculated for back propagation of neural network.

### 3.2. Metric-oriented Training

Given the task-specific evaluation metric  $m$ , each  $t$ -step variable can calculate the  $m_t$  by  $x_t$  and  $x_0$  as they are in same shape. In order to directly optimize  $m_t$ , an actor-critic RL algorithm is integrated into conditional reverse process, as shown in the Fig. 1 (B).

Since we hope that the latent variable is iterated toward the metric-increasing direction in the reverse process, the reward function is customized as:  $r_t = m_{t-1} - m_t$ , where  $t$  starts from  $T$  to 0. However, posterior  $r_t$  is obviously non-differentiable for  $\theta$ , thus failing to propagate gradient. To this end, we further employ a **Value network**  $V$  with parameter  $\theta_v$  as the blue box in Fig. 3, and the original network is denoted as **Diffusion network**  $D$  with parameter  $\theta_d$  for distinction. In general, The Diffusion network consumes  $x_t$  to predict the subtracted noise  $\epsilon_t$  as action, while the Value network generates an score  $v_t$  to evaluate this  $\epsilon_t$  based on  $x_t$ . The training strategy of MOSE is explained in Algorithm 1.

MOSE starts training with conventional ELBO optimization, as explained from line 3~9 in Algorithm 1, only Diffusion network  $D$  is trained for  $N_{th}$  iterations. Then we present joint training of Diffusion network  $D$  and Value network  $V$  from lines 10~18. Minimizing  $\mathcal{L}_2 = -V(x_t, \epsilon_t, x_0 | \theta_v)$  indicates that  $D$  tents to gain higher score from  $V$ , and  $\mathcal{L}_2$  is simultaneously incorporated with a weight  $\alpha$  to stabilize training. In order to encourage Value network  $V$  to provide reasonable evaluation, we employ widely used Bellman Error [19] (line 17) to update  $V$ , where  $\gamma$  is a decay factor for

### Algorithm 1 MOSE Training

- 1: Randomly initialize the Diffusion network  $D(x|\theta_d)$  and Value network  $V(x, \epsilon|\theta_v)$ .
- 2: Initialize  $N_{total}$ ,  $N_{th}$ ,  $\gamma$ , and  $\alpha$
- 3: **for**  $i = 1, 2, \dots, N_{total}$  **do**
- 4:   Sample  $(x_0, y)$  from Dataset
- 5:   Sample  $\epsilon \sim \mathcal{N}(0, I)$  and  $t \sim \text{Uniform}(\{1, \dots, T\})$
- 6:   Set  $x_t = ((1 - m_t)\sqrt{\alpha_t}x_0 + m_t\sqrt{\alpha_t}y) + \sqrt{\delta_t}\epsilon$
- 7:   Calculate  $C_t^{noise}$  according to Eq. (7)
- 8:   **if**  $i < N_{th}$  **then**
- 9:     Update network  $D$  by minimizing  $\mathcal{L}_1$  in Eq. (8)
- 10:   **else**
- 11:     Calculate  $\epsilon_t = D(x_t, y, t|\theta_d)$  as action
- 12:     Calculate  $\nabla_{\theta_d} \mathcal{L}_2 = -V(x_t, \nabla_{\theta_d} \epsilon_t, x_0 | \theta_v)$
- 13:     Update  $D$  by minimizing  $\mathcal{L} = \mathcal{L}_1 + \alpha \cdot \mathcal{L}_2$
- 14:     Calculate  $x_{t-1}$  according to Eq. 6 as next state
- 15:     Calculate  $r_t = m_{t-1}(x_{t-1}, x_0) - m_t(x_{t-1}, x_0)$
- 16:     Set  $\mathcal{V}_t = r_t + \gamma V(x_{t-1}, D(x_{t-1}, y, t-1), x_0 | \theta_v)$
- 17:     Calculate  $\nabla_{\theta_v} \mathcal{L}_3 = (\mathcal{V}_t - \nabla_{\theta_v} V(x_t, \epsilon_t, x_0 | \theta_v))^2$
- 18:     Update network  $V$  by minimizing  $\mathcal{L}_3$
- 19:   **end if**
- 20: **end for**

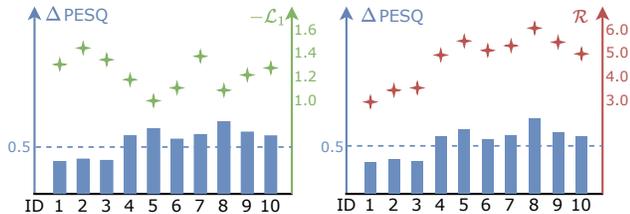
future reward. Consequently, the output score  $v_t$  both considers current and future rewards based on the task-specific metric. For inference, we adopt a fast sampling scheme as same as in [15].

## 4. EXPERIMENT

### 4.1. Experimental Setup

**Database.** We choose the publicly available VoiceBank DEMAND dataset [21] for SE training and evaluation. Specifically, the training set contains 11,572 noisy utterances from 28 speakers and is mixed by 10 different types with four SNR levels (0, 5, 10, and 15 dB) at a sampling rate of 16 kHz, as well as their corresponding clean utterances. The test set contains 5 types of unseen noise in SNR levels (2.5, 7.5, 12.5, and 17.5 dB). To evaluate the performance of a model in unseen noises, we further mix the test set of TIMIT [22] and “helicopter” and “babycry” noises with different SNR levels (-6, -3, 0, 3, 6 dB), where a large domain mismatch exists between training and testing.

**Configuration.** The internal structure of MOSE is shown in Fig. 3. We employ 30 residual blocks with 64 channels in Diffusion Net. MLP block contains 4 linear layers with ReLU activation function. For training, MOSE takes 50 diffusion steps with training noise schedule  $\beta_t \in [1 \times 10^{-4}, 0.035]$ , and the interpolation weight  $m_t = \sqrt{(1 - \alpha_t)/\alpha_t}$ . The  $N_{total}$ ,  $N_{th}$ , and  $\gamma$  in Algorithm 1 are respectively set as 40k, 30k, and 0.95. The initial learning rate of Diffusion network is set as  $2 \times 10^{-4}$  for first  $N_{th}$  iterations, and decrease to  $1 \times 10^{-4}$



**Fig. 3:** The relationship between  $\Delta\text{PESQ}$  and training loss  $-\mathcal{L}_1$ , as well as gained reward  $\mathcal{R}$ .

**Table 1:** Result of metric-oriented training.

ID	System	$\alpha$	PESQ	CSIG	CBAK	COVL
1	Unprocessed	-	1.97	3.35	2.44	2.63
2	MOSE	0	2.44	3.65	2.87	3.01
3	MOSE	0.1	2.48	3.66	2.90	3.06
4		1	<b>2.54</b>	<b>3.73</b>	<b>2.93</b>	<b>3.12</b>
5		5	2.51	3.69	2.91	3.08

for  $N_{th} \sim N_{total}$  iterations. The learning rate of the Value network is set as  $1 \times 10^{-5}$ . Both networks are optimized by Adam with a batch size of 32. The fast sampling method keeps the same schedule with [20].

**Metric.** We select the perceptual evaluation of speech quality (PESQ) as the task-specific metric of optimization objective due to its universality. Furthermore, prediction of the signal distortion (CSIG), prediction of the background intrusiveness (CBAK), and prediction of the overall speech quality (COVL) are also reported as references.

## 4.2. Result and Analysis

### 4.2.1. Experimental validation of mismatch

We first design an experiment to verify the mismatch problem between the training objective and evaluation metric, and illustrate how we mitigate it. To this end, we train a typical diffusion probabilistic model, where  $\mathcal{L}_1$  in Eq (8) is set as the only training objective. Then we sample 10 utterances and add up their  $\mathcal{L}_1$  (50 steps), as well as calculate the improvement of PESQ ( $\Delta\text{PESQ}$ ). The comparison is visualized in the left part of Fig. 3, and we observe that there is no correlation between  $\mathcal{L}_1$  and  $\Delta\text{PESQ}$ , which indicates that SE model trained only by  $\mathcal{L}_1$  will lead to sub-optimal performance in terms of PESQ. Meanwhile, we calculate the cumulatively gained reward  $\mathcal{R}$  of these utterances after metric-oriented training and visualize in the right of Fig. 3, where an obvious positive correlation can be observed between  $\Delta\text{PESQ}$  and  $\mathcal{R}$ .

### 4.2.2. Effect of metric-oriented training

We then examine the effect of proposed metric-oriented training, and the results are reported in Table 1. “Unprocessed” denotes direct evaluation based on noisy data, and  $\alpha$  is the weight of  $\mathcal{L}_2$  in Algorithm 1. When  $\alpha = 0$ , SE model are

**Table 2:** MOSE vs. other methods. “Gen.” and “Dis.” respectively denote generative and discriminative models.

System	Type	PESQ	CSIG	CBAK	COVL
Unprocessed	-	1.97	3.35	2.44	2.63
DSEGAN [23]	Gen.	2.39	3.46	3.11	2.90
SE-Flow [24]	Gen.	2.28	3.70	3.03	2.97
CDiffuSE [20]	Gen.	2.52	3.72	2.91	3.10
WaveCRN [25]	Dis.	2.64	3.94	<b>3.37</b>	3.29
Conv-TasNet [26]	Dis.	<b>2.67</b>	<b>3.94</b>	3.31	<b>3.30</b>
MOSE (ours)	Gen.	2.54	3.72	2.93	3.06

**Table 3:** PESQ results on TIMIT dataset with different SNRs. “Avg” denotes the average of all SNR levels.

System	Noise level, SNR =					
	-6	-3	0	3	6	Avg.
<i>Noise type: Helicopter</i>						
Unprocessed	1.05	1.07	1.10	1.16	1.26	1.13 <b>+0%</b>
Conv-TasNet [26]	1.06	1.08	1.14	1.21	<b>1.47</b>	1.19 <b>+5.3%</b>
MOSE	<b>1.08</b>	<b>1.13</b>	<b>1.16</b>	<b>1.26</b>	1.44	<b>1.21</b> <b>+7.1%</b>
<i>Noise type: Baby-cry</i>						
Unprocessed	1.06	1.09	1.13	1.18	1.27	1.15 <b>+0%</b>
Conv-TasNet [26]	1.06	1.10	1.15	1.21	1.37	1.18 <b>+2.6%</b>
MOSE	<b>1.08</b>	<b>1.13</b>	<b>1.16</b>	<b>1.24</b>	<b>1.45</b>	<b>1.21</b> <b>+5.2%</b>

only trained by  $\mathcal{L}_1$  loss. We observe that system 3~5 all surpass system 2 with help of metric-oriented training. When  $\alpha = 1$ , the SE model achieves the best performance.

In addition, Table 2 summarizes the comparison between MOSE and other competitive SE methods, which contains 3 generative models and 2 discriminative methods. We observe that MOSE surpasses generative baselines in terms of all metrics, however, the best performance is still achieved by discriminative method.

### 4.2.3. Generalization on unseen noise

We evaluate our trained model in unseen noisy condition with a wide range of SNR levels, where Conv-TasNet method is reproduced for comparison. The PESQ results are shown in Table 3. Despite gaining outstanding performance on the matched test set, we observed that the PESQ of Conv-TasNet dramatically degrades due to noise domain mismatch. However, the MOSE performs better than Conv-TasNet in terms of PESQ, especially in low-SNR conditions.

## 5. CONCLUSION

In this paper, we propose a speech enhancement method, called MOSE, which addresses the mismatch problem between training objective and evaluation metric. The probabilistic diffusion model is leveraged as MDP based framework, where metric-oriented training is presented in the reverse process. The experimental results demonstrate that MOSE beats other generative baselines in terms of all metrics, and show better generalization on unseen noises.

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