SASFORMER: TRANSFORMERS FOR SPARSELY ANNOTATED SEMANTIC SEGMENTATION

Hui Su[†], Yue Ye[†], Wei Hua[†], Lechao Cheng^{†*}, Mingli Song^{††}

{suhui, yeyue, huawei, chenglc}@zhejianglab.com, brooksong@zju.edu.cn

† Zhejiang Lab

† Zhejiang University

ABSTRACT

Semantic segmentation based on sparse annotation has advanced in recent years. It labels only part of each object in the image, leaving the remainder unlabeled. Most of the existing approaches are time-consuming and often necessitate a multistage training strategy. In this work, we propose a simple yet effective sparse annotated semantic segmentation framework based on segformer, dubbed SASFormer, that achieves remarkable performance. Specifically, the framework first generates hierarchical patch attention maps, which are then multiplied by the network predictions to produce correlated regions separated by valid labels. Besides, we also introduce the affinity loss to ensure consistency between the features of correlation results and network predictions. Extensive experiments showcase that our proposed approach is superior to existing methods and achieves cutting-edge performance. The source code is available at https://github.com/ su-hui-zz/SASFormer.

Index Terms— semantic segmentation, weakly supervised, sparsely annotated, scribble-supervised, vision transformer

1. INTRODUCTION

Semantic segmentation is an essential problem in computer vision, which seeks to identify each pixel in an image. Although semantic segmentation has observed ongoing improvements in recent years, impact of semantic segmentation demands a lot of time and labor to conduct pixel by pixel annotation. Due to the high cost of labeling, researchers have to examine techniques to maintain adequate segmentation performance while only making partial labeling. Sparsely annotated semantic segmentation (SASS) comes into existence, which provides sparse annotations for each object in an image [1], such as point-wise [2, 3] and scribble-wise [4, 5] supervision.

Sparse annotation semantic segmentation is a kind of weakly supervised semantic segmentation (WSSS) [6]. It

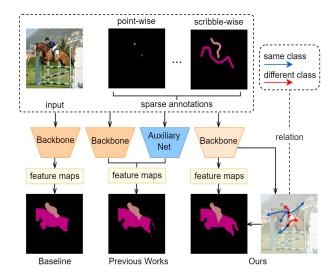


Fig. 1. Semantic segmentation with sparse annotation. The baseline trained only with sparse annotations is incapable of recognizing the full object. In the majority of earlier efforts, auxiliary networks containing information about other tasks or previous stage. Our SASFormer utilizes inherent global dependencies of the transformer to achieve state-of-the-art performance without developing a complicated framework.

marks just a piece of each visual item, leaving the rest unidentified. Due to the absence of a precise object boundary, segmentation performance is substantially impaired. To more precisely estimate unlabeled pixels, it is required to discover more hidden information.

Existing methodologies for SASS can be categorized as regularization loss, multitask auxiliary, consistent learning, and the pseudo-label method. Regularization losses [7, 8] utilize MRF/CRF potentials to implement clustering of low-dimensional information. Multitask assistance [9] increases segmentation performance by adding an auxiliary counterpart, for example boundary detection. Consistency learning [10, 11] introduces multiple networks to compensate for insufficient information provided in sparse annotations. The pseudo-label approach typically involves a multi-stage train-

^{*}Corresponding author.

ing procedure. Most of the above methods necessitate the use of additional complex frameworks to facilitate training, resulting in a complicated and time-consuming training process.

In recent years, vision transformers [12] have steadily evolved in various fields [13, 14]. The self-attention mechanism in the vision transformer can capture effective relationships between pixels, which is beneficial for information propagation from labeled pixels to unlabeled pixels. Inspired by this, We investigate single-stage effective sparsely annotated semantic segmentation with vision transformer, aiming to achieve the state-of-the-art results in a straightforward manner, as shown in Fig 1.

In this work, we propose SASFormer, a simple yet effective framework based on segformer[12], as the first effort at sparsely annotated semantic segmentation with Vision Transformer. With the inherent patch-to-patch attention of cascaded transformer blocks, we first create patch attention maps at multiple levels. The patch-level attention maps are then multiplied by network predictions in order to correlate labeled and unlabeled image regions. Following this, an affinity loss function is applied to assure the consistency of the correlation statistics and network predictions. We finally consider embedding priors from unlabeled areas to boost the performance by combining affinity loss and conventional segmentation loss. Our contributions can be summarized in the following manner:

- We propose SASFormer as the first trustworthy baseline for SASS with a visual transformer to model relationships between distinct areas and offer category recommendations to unlabeled regions.
- We provide a novel affinity loss function to assure the similarity prior in SASS: areas of identical objects share similarity in both low-dimensional and highdimensional feature space.
- The proposed SASFormer manifests remarkable performances in both point- and scribble-annotated SASS assignments on PASCAL VOC.

2. RELATED WORKS

2.1. Sparsely Annotated Semantic Segmentation

Sparsely annotated semantic segmentation aims to address the segmentation problem using minimally labeled visual areas. What's the point[2] brought point-level labels to the semantic segmentation problem for the first time, which boost the performance by introducing objectiveness priors. After that, ScribbleSup[4] extends point-level labels into the scribbling ones in order to bridge the performance gap between fully annotated methods and unlabeled ones. ScribeSup constructs a graphical model to transmit information from scribbles to unknown pixels, hence providing semantic guidance

for unknown pixels. Since then, approaches for sparse annotated semantic segmentation have emerged and developed. In an attempt to enhance performance at the lowest feasible cost, researchers have begun investigating the association between labeled and unlabeled regions. For example, Tang et al. [7] offered a variety of regularized losses for sparsely supervised segmentation based on dense CRF and kernel cut. BPG [9] tries to integrate semantic characteristics and textural information by constructing a prediction refinement network, whilst a boundary regression network is introduced to facilitate performance by generating clearly defined semantically separate parts. Recently, SPML [11] tackles this challenge by developing a semi-supervised metric learning approach with four unique forms of attraction and repulsion relationships TEL [1] presents a tree energy loss, in which minimal spanning trees are constructed to represent low-level and highlevel pair-wise affinities. The majority of these techniques either use multi-stage processes for progressive inference or include intricate frameworks for supplementary training. While in this work, we make the first attempt to model relationships between distinct areas and offer category recommendations to unlabeled regions based on vision transformer.

2.2. Transformer

The Transformer was initially proposed to model long-term dependencies in natural language processing tasks [15]. In 2020, Alexey Dosovitskiy introduced the pure Transformer architecture, which achieved remarkable results in image classification [16]. Subsequently, the Transformer has been widely employed in various computer vision tasks, such as object detection [17, 18, 19], semantic segmentation [12, 20] and video processing [21, 22]. Recently, researchers have started to utilize the long-term dependencies mechanism of the Transformer to capture the relationship between image categories and local image features, aiming to optimize weakly supervised problems [23]. However, these methods mainly focus on utilizing the correlation between class tokens and patch tokens, while few works consider the optimization and utilization of the correlation among different patch tokens. Our work takes an early attempt to delve deeply into the issue of imprecise correlation among different patch tokens in the Transformer block and proposes a simple yet effective solution.

3. METHODS

3.1. Overview

As depicted in Fig 2, given an image $I \in \mathbb{R}^{W \times H \times 3}$, we partition it into $p \times p$ resolution patches. These patches are fed into hierarchical transformer encoder to provide multilevel characteristics. We pass multi-level features into the decoder block in order to obtain segmentation probabilities \mathbf{P} with resolution $M \times C$, where M is the patch number and C is the num-

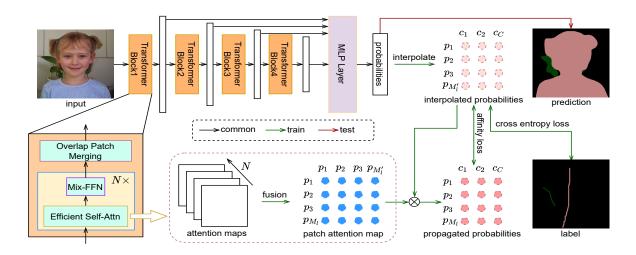


Fig. 2. An overview of the proposed Sparsely Annotated Segmentation Transformer(SasFormer)

ber of categories. On the one hand, we build multi-level patch attention maps with transformer layers in encoder blocks during the training phase. In addition, segmentation probabilities are interpolated into resolutions corresponding to the width of patch attention maps. Patch attention maps are then multiplied by interpolated segmentation probabilities, yielding propagated segmentation probabilities Y. For labeled regions of interest, we perform standard cross entropy loss function L_{seq} to supervise segmentation probabilities with ground truth labels. For unlabeled regions, we introduce an affinity loss function L_{aff} to match segmentation probabilities to propagated segmentation probabilities. During testing, segmentation probabilities are immediately assigned to the class with the maximum probability at each pixel, resulting in the final segmentation map. The overall loss function is defined as follows:

$$L = L_{seq} + \alpha * L_{aff} \tag{1}$$

3.2. Patch Attention Map Generation

We introduce Segformer [12] as our backbone in order to describe our method more conveniently. More results of different backbones can be referred to Sec 4.3. Hierarchical transformer encoder contains L=4 encoder blocks. Each encoder block contains N_l consecutive transformer layers. We get the patch attention map with the efficient self-attention of transformer layer, which is defined as follows:

$$\mathbf{A}_{l,n} = softmax(\frac{\mathbf{Q}_{l,n}\mathbf{K}_{l,n}^{\mathbf{T}}}{\sqrt{D}})$$
 (2)

where $\mathbf{Q}_{l,n} \in \mathbb{R}^{M_l \times D}$ and $\mathbf{K}_{l,n} \in \mathbb{R}^{M_l' \times D}$ are the query and key representations of n-th transformer layer in l-th encoder block, respectively. $M_l \in \{\frac{W*H}{4}, \frac{W*H}{8}, \frac{W*H}{16}, \frac{W*H}{32}\}$ denotes resolution size in different encoder blocks. M_l' denotes resolution size from M_l after sequence reduction process[12]

to be more efficient. D indicates dimension of patch embeddings. T is the transpose operator.

Efficient attention map $\mathbf{A}_{l,n} \in \mathbb{R}^{M_l \times M_l'}$ records dependency of each patch token of n-th transformer layer in l-th encoder block. We aggregate these attention maps over transformer layers in each decoder block as the following:

$$\mathbf{A}_{l} = \frac{1}{N} \sum_{n=1}^{N} \mathbf{A}_{l,n} \tag{3}$$

where $\mathbf{A}_l \in \mathbb{R}^{M_l \times M_l'}$ means patch attention map in l-th encoder block.

To better comprehend what information patch attention maps capture at various levels, we randomly draw a point with a red pentagram in the inputs and analyze the dependency between the reference point and other pixels on patch attention maps of various encoder blocks, as depicted in the baseline of Fig 3. $\mathbf{A}_{l,n}^{i,j}$ means the amount of j-th input token embedding contributes to i-th output token of n-th transformer layer in l-th encoder block. Likewise, \mathbf{A}_l^i means the aggregated amount of each input token embedding contributes to i-th output token in l-th encoder block. We construct \mathbf{A}_1^r , \mathbf{A}_2^r , \mathbf{A}_3^r and \mathbf{A}_4^r to illustrate dependency between the reference point and each pixel in the first, second, third, forth encoder block, respectively.

It can be seen that A_1 and A_2 prefer to assign larger weights to patches that have the same color and texture as the reference point. For instance, A_1^r and A_2^r of the first input emphasize the white color of the bird, while the second input highlights the dark brown color of the material. A_3 and A_4 are more concerned with semantic consistency, although A_4 is more class-specific. Patch attention maps establish correlations between pixels in color space and high-level characteristics, facilitating the propagation of segmentation information from labeled to unlabeled pixels. However, transformer tends to distract attention from targets to background

area[18], which can be clearly seen in those of baseline(A_2) and baseline(A_3). We hypothesize that the inability of attention maps to capture dependence, which impacts the transfer of information across pixels, is partly manifested in distractions from irrelevant background.

3.3. Affinity Loss Function

For each encoder block, we interpolate segmentation probabilities \mathbf{P} into the resolution of M'_l and M_l , yielding \mathbf{P}'_l and \mathbf{P}_l respectively. Then we transfer segmentation predictions from labeled pixels to unlabeled pixels by multiplying patch attention map \mathbf{A}_l and interpolated segmentation probabilities \mathbf{P}'_l , which is described as follows:

$$\mathbf{Y}_{l} = \mathbf{A}_{l} \otimes \mathbf{P}_{l}^{'} \tag{4}$$

where \mathbf{Y}_l is propagated segmentation probabilities, \otimes denotes matrix multiplication.

 \mathbf{Y}_l and \mathbf{P}_l are normalized along the category dimension, which is defined as:

$$\mathbf{Y}_{l}^{*(m_{l},c)} = \frac{\exp(\mathbf{Y}_{l}^{(m_{l},c)})}{\sum_{c=1}^{C} \exp(\mathbf{Y}_{l}^{(m_{l},c)})} \qquad 1 \le m_{l} \le M_{l} \quad (5)$$

$$\mathbf{P}_{l}^{*(m_{l},c)} = \frac{\exp(\mathbf{P}_{l}^{(m_{l},c)})}{\sum_{c=1}^{C} \exp(\mathbf{P}_{l}^{(m_{l},c)})} \qquad 1 \le m_{l} \le M_{l} \quad (6)$$

where m_l and c denote patch index and class index. $\mathbf{Y}_l^{(m_l,c)}$ and $\mathbf{P}_l^{(m_l,c)}$ mean the position of row m_l , column c of matrix \mathbf{Y}_l and \mathbf{P}_l .

The affinity loss L_{aff} attempts to maximize the similarity between propagated probabilities and the interpolated segmentation probabilities over all encoder blocks. Formally, the affinity loss is defined as:

$$L_{aff} = \frac{1}{L} \sum_{l=1}^{L} \|\mathbf{Y}_{l}^{*} - \mathbf{P}_{l}^{*}\|_{1}$$
 (7)

where $\| \|_1$ and L denote L1 regularization term and encoder block number, respectively.

In the process of segmentation training, we obtain additional bonus information, as illustrated in Fig 3. Under the constraint of consistency between propagated and interpolated segmentation probabilities, pair-wise dependencies between patch tokens with different labels on patch attention maps are simultaneously deteriorated, while pair-wise dependencies between patch tokens with the same label are enhanced. By comparing to the baseline in Fig 3, it is evident that the background noise in the patch attention map has been suppressed in our proposed SASFormer.

4. EXPERIMENTS

4.1. Datasets and Settings

The point-wise annotation [2] and scribble-wise annotation [4] of Pascal VOC 2012 dataset [24] are utilized for point-supervised and scribble-supervised settings, respectively. We adopt the segformer with pre-trained weights on

Table 1. Experimental reults for **point-wise** annotations on Pascal VOC 2012 validation set.

| Methods | Backbone | multi- stage | mIoU(%) |
|----------------------|-----------|-----------------|---------|
| DenseCRF Loss [7] | ResNet101 | √ | 57.00 |
| A2GNN [25] | ResNet101 | ✓ | 66.80 |
| Seminar [10] | ResNet101 | ✓ | 72.51 |
| What's the point [2] | VGG16 | - | 43.40 |
| TEL [1] | ResNet101 | - | 68.40 |
| Baseline* | Segformer | - | 64.21 |
| TEL* [1] | Segformer | - | 69.33 |
| Sasformer (Ours) | Segformer | - | 73.13 |

ImageNet as our backbone for experiments. Our model is trained for 80k training epochs with initial learning rate 0.001, batch size 16 and input resolution 512x512. The SGD optimizer is used with momentum 0.9 and weight decay 1e-4. Random horizontal flip, random brightness in [-10, 10], random resize in [0.5, 2.0] and random crop are all employed in data augmentation. In practice, we set α in Eq.1 1.2 for scribble setting and 0.2 for point setting. All experiments are conducted on PyTorch with four 32GB V100 GPUs. * indicates that we reproduce the results using the provided codes, unless otherwise specified.

4.2. Comparison with State-of-the-art Methods

Table 2. Experimental reults for **scribble-wise** annotations on Pascal VOC 2012 validation set.

| Methods | Backbone | multi- stage | mIoU (%) | |
|-------------------|-----------|-----------------|----------|--|
| ScribbleSup [4] | VGG16 | √ · | 63.10 | |
| DenseCRF Loss [7] | ResNet101 | ✓ | 75.00 | |
| GridCRF Loss [8] | ResNet101 | ✓ | 72.80 | |
| URSS [26] | ResNet101 | ✓ | 76.10 | |
| Seminar [10] | ResNet101 | ✓ | 76.20 | |
| A2GNN [25] | ResNet101 | ✓ | 76.20 | |
| BPG [9] | ResNet101 | - | 76.00 | |
| SPML [11] | ResNet101 | - | 76.10 | |
| PSI [5] | ResNet101 | - | 74.90 | |
| TEL [1] | ResNet101 | - | 77.30 | |
| Baseline* | Segformer | - | 73.37 | |
| TEL* [1] | Segformer | - | 78.53 | |
| Sasformer (Ours) | Segformer | - | 79.49 | |

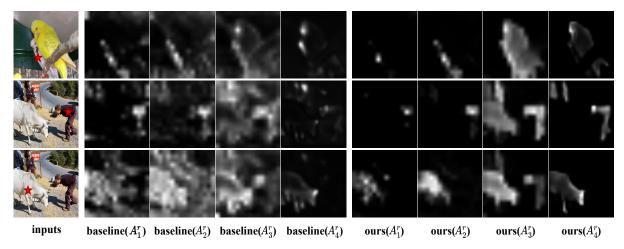


Fig. 3. Visualization of inputs with reference points (red pentagram) and patch attention maps at various levels. The baseline indicates the Segformer [12] approach. \mathbf{A}_1^r , \mathbf{A}_2^r , \mathbf{A}_3^r and \mathbf{A}_4^r illustrate the dependency between the reference point and each pixel of patch attention map in the first, second, third and forth encoder block, respectively.

Tab 1 and Tab 2 present the experimental results on the Pascal VOC 2012 for point-wise and scribble-wise annotations, respectively. In Tab 1 for point-wise annotations, the baseline based on segformer-B4 employs the cross-entropy loss function only can reach 64.2% mIoU. TEL [1] improves mIoU performance by 5.13%. Our proposed SASFormer outperforms TEL by 4.43% with the same backbone, yielding a mIoU of 72.78%. As for scribble-wise annotations in Tab 2, the baseline framework achieves a mIoU of 73.3%. SASFormer produces the best performance with the mIoU of 79.49%, surpassing the baseline by 6.19%. It demonstrates that SASFormer achieves remarkable performance compared to all other state-of-the-art approaches, which adopt a singlestage training strategy without any additional data. The qualitative results for point-wise annotations and scribble-wise annotations showcase that not only does our technique capture object outlines more accurately, but it also minimizes category misidentification, as shown in Fig 4.

4.3. Ablations

Table 3. Effect of patch attention map in different encoder blocks.

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|------|-------------|-------------|-------------|-------------|----------|
| | L_{aff}^1 | L_{aff}^2 | L_{aff}^3 | L_{aff}^4 | mIoU (%) |
| exp1 | - | - | - | - | 73.37 |
| exp2 | ✓ | - | - | - | 77.38 |
| exp3 | - | ✓ | - | - | 76.97 |
| exp4 | - | - | ✓ | - | 75.98 |
| exp5 | - | - | - | ✓ | 74.10 |
| exp6 | ✓ | ✓ | - | - | 79.06 |
| exp7 | ✓ | ✓ | ✓ | - | 79.24 |
| exp8 | ✓ | ✓ | ✓ | ✓ | 79.49 |

Single scale vs. Multi scale. We examine the influence of

patch attention maps in various encoder blocks on segmentation performance in Tab 3, where L^l_{aff} denotes the affinity loss generated by the patch attention map of the l-th encoder block. The optimal segmentation performance is achieved when patch attention maps are incorporated into the generation of affinity loss in different encoder blocks.

Table 4. The effect of different loss configurations.

| Configuration | KL | CE | L2 | L1 |
|---------------|-------|-------|-------|-------|
| mIoU (%) | 78.62 | 76.80 | 77.25 | 79.49 |

The impact of distance metrics. For the similarity between propagated and interpolated segmentation probabilities, in addition to L1 distance in Eq.7, cross entropy, L2 distance, and KL divergence may also be considered. To evaluate the performance of our SASFormer, we evaluate different loss configurations. As seen in Tab 4, different forms of affinity loss can also improve performance. We choose L1 distance as the final implementation of our SASFormer since it produces the best results (79.49% mIoU).

Table 5. The flexibility of our proposed approach.

| Backbone | Ours | mIoU (%) |
|----------------|------|------------------------|
| Segmentor [27] | w/o | 72.75 |
| | w/ | 76.11 (+3.36) |
| SETR [28] | w/o | 70.47 |
| | w/ | 76.04 (+5.57) |
| segformer [12] | w/o | 73.37 |
| | w/ | 79.49 (+6.12) |

The flexibility among backbones. To evaluate the flexibility of our technique across a variety of transformer-based segmentation networks, we also present the popular segmentor [27] and SETR [28] in order to compare segmentation performance with and without affinity loss. As shown in Tab 5,

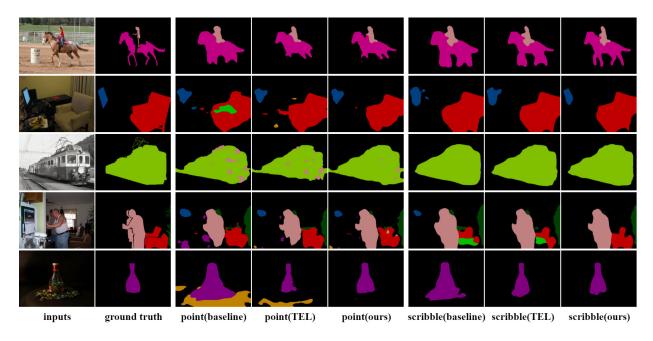


Fig. 4. Segmentation results on Pascal VOC 2012 dataset. The point and scribble indicate the point and scribble supervision. The baseline and TEL indicate the Segformer [12] and TEL [1] approach, respectively.

our affinity loss is robust across a variety of segmentation networks.

5. CONCLUSIONS

In this work, we propose an efficient framework, dubbed SASFormer, to deal with the issue of semantic segmentation under sparsely annotated supervision. Most of the existing approaches are always time-consuming and often necessitate a multi-stage training strategy. While the proposed SASFormer adopts an end-to-end scheme that leverages hierarchical patch attention maps. In addition, we also introduce the affinity loss to capture the consistency between the features of correlation results and network predictions. Exhaustive experiments validate the effectiveness of the proposed approach and showcase remarkable performance compared to state-of-the-art approaches.

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A. SUPPLEMENTARY MATERIAL

To further demonstrate the effective of our approach, we conduct additional experiments on the Cityscapes and ADE20k datasets, which have segmentation annotations with varying levels of sparsity, including 10%, 20%, and 50% of full labels. As shown in Table 6, our SASFormer outperforms state-of-the-art methods at all sparsity levels. Moreover, we include quantitative results on the Cityscapes validation set in Fig. 5.

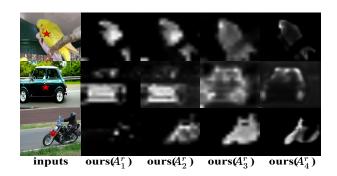


Fig. 6. Visualization of inputs with reference points (red pentagram) and patch attention maps at various levels.

Table 6. Experimental results on Cityscapes and ADE20k datasets with different sparsity levels.

| Method | Doroma | Cityscapes | | | ADE20k | | |
|-------------------|--------|------------|------|------|--------|------|------|
| | Params | 10% | 20% | 50% | 10% | 20% | 50% |
| DenseCRF Loss [7] | 70.0M | 57.4 | 61.8 | 70.9 | 31.9 | 33.8 | 38.4 |
| TEL [1] | 70.0M | 61.9 | 66.9 | 72.2 | 33.8 | 35.5 | 40.0 |
| Segformer | 61.4M | 58.4 | 60.5 | 70.4 | 39.8 | 41.4 | 45.3 |
| Ours | 61.4M | 64.6 | 70.0 | 75.6 | 41.8 | 44.6 | 46.8 |

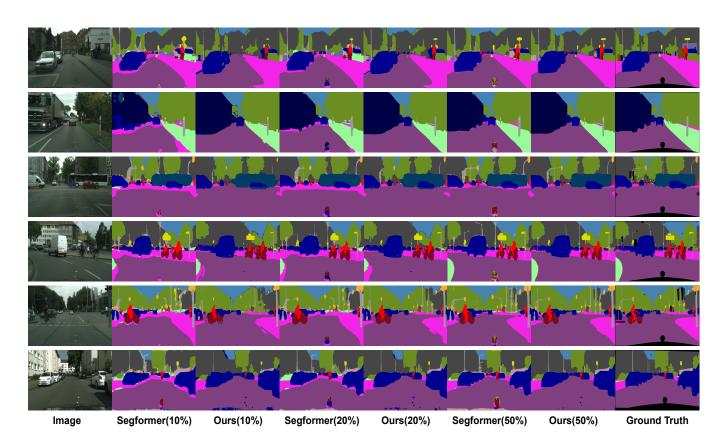


Fig. 5. Cityscapes val set segmentation results at 10%, 20%, 50% label proportions.