# Distributed Algorithm Design for Multi-robot Generalized Task Assignment Problem

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Abstract—We present a provably-good distributed algorithm for generalized task assignment problem in the context of multirobot systems, where robots cooperate to complete a set of given tasks. In multi-robot generalized assignment problem (MR-GAP), each robot has its own resource constraint (e.g., energy constraint), and needs to consume a certain amount of resource to obtain a payoff for each task. The objective is to find a maximum payoff assignment of tasks to robots such that each task is assigned to at most one robot while respecting robots' resource constraints. MR-GAP is a NP-hard problem. It is an extension of multi-robot linear assignment problem since different robots can use different amount of resource for doing a task (due to the heterogeneity of robots and tasks). We first present an auctionbased iterative algorithm for MR-GAP assuming the presence of a shared memory (or centralized auctioneer), where each robot uses a knapsack algorithm as a subroutine to iteratively maximize its own objective (using a modified payoff function based on an auxiliary variable, called price of a task). Our iterative algorithm can be viewed as (an approximation of) best response assignment update rule of each robot to the assignment of other robots at that iteration. We prove that our algorithm converges to an assignment (approximately) at equilibrium under the assignment update rule, with an approximation ratio of  $1 + \alpha$  (where  $\alpha$  is the approximation ratio for the Knapsack problem). We also combine our algorithm with a message passing mechanism to remove the requirement of a shared memory and make our algorithm totally distributed assuming the robots' communication network is connected. Finally, we present simulation results to depict our algorithm's performance.

# I. INTRODUCTION

Task assignment is a fundamental problem in multirobot system with various applications such as intelligent manufacturing, automated transport of goods, search and rescue assistance in disaster relief, as well as environmental monitoring. In the basic formulation of multi-robot linear assignment problem, it is assumed that each task would consume the same unit amount of resource from each robot's resource budget. However, in practice, each task might consume different amount of resource from different robots due to the heterogeneity of robots and tasks, which can be modeled as multi-robot generalized assignment problem (MR-GAP). In MR-GAP, each robot has its own resource constraint, and needs to consume a certain amount of resource to obtain a payoff for each task. The overall objective is to find a maximum payoff assignment of tasks to robots such that each task is assigned to at most one robot while respecting robots' resource budget constraints. Given its wide applicability for real-world problems in various

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areas as well as its computational NP-hardness, generalized assignment problem (GAP) has been well studied in operations research, theoretical computer science and other related research communities. However, most algorithms are centralized in nature. In multi-robot application scenarios where robots need to autonomously operate in the field, it is desirable to have distributed algorithms on individual robots so that the system is resilient to single-point failure and adaptive to environmental change. Thus, in this paper, our goal is to design distributed algorithms for MR-GAP with provable performance guarantee.

Multi-robot generalized task assignment arises in many multi-robot application scenarios. Especially when tasks and robots are heterogeneous, the amount of resource each task consume from each robot, as well as the payoff each robot could obtain from each task, might be different. Depending on the specific application, the resource could be energy, processing time or any other consumable resource. Consider the situation in automated warehouse management system where packages have to be picked up from certain clustered storage locations, and placed in other delivery locations. In this situation, different robots and objects might be distributed across different spatially clustered location. Thus, the energy each robot consume to travel from its original position to the targeted object location could be different. Another application area is in disaster recovery scenario where the robots need to remove debris and clear the paths. In such cases different robots with heterogeneous design might need different processing time to remove different kinds of debris.

In this paper, we present a distributed auction-based algorithm for MR-GAP, where each robot can bid for its own tasks by solving a knapsack sub-problem as subroutine. We show that our algorithm provides an  $1 + \alpha$  approximate solution assuming that the knapsack problem is solved by an algorithm with approximation ratio  $\alpha \in [1, +\infty)$ . Thus, our distributed algorithm has an approximation ratio of 2 (or 3), when the algorithm used for knapsack is optimal (or 2-approximate). Unlike other approximation algorithms of GAP, our auction-based new algorithm is designed specifically for distributed multi-robot systems with limited range communication. Furthermore, our algorithm can achieve a similar approximation ratio with a competitive running time. Our proof also presents a new perspective showing that bestresponse assignment update rule of individual robots would lead to an assignment at equilibrium with guaranteed approximation ratio. We first present our auction-based iterative algorithm for MR-GAP assuming that the robots have access to a shared memory (or there is a centralized auctioneer). Each robot obtains the information of highest bid for each task among all robots from the shared memory, and then uses a knapsack algorithm as a subroutine to iteratively maximize its own objective (using a modified payoff function based on an auxiliary variable called price of a task). The assignment update rule of our iterative algorithm can be viewed as (approximate)<sup>1</sup> best response of each robot to the temporary assignment of other robots at that iteration. We prove that our algorithm would eventually converge to an assignment at (approximate) equilibrium with an approximation ratio of  $1 + \alpha$ . We also make our algorithm totally distributed by combining it with a message passing mechanism to remove the requirement of a shared memory (at the cost of slower convergence and more local communication), assuming the robots' communication network is connected. Finally, we present simulation results to depict the performance of our algorithm.

#### II. RELATED WORK

Task allocation is important in many applications of multirobot systems, e.g., multi-robot routing [1], multi-robot decision making [2], and other multi-robot coordination problems (see [3], [4]). There are different variations of the multi-robot assignment problem that have been studied in the literature depending on the assumptions about the tasks and the robots (see [5], [3], [6] for surveys), and there also exists multi-robot task allocation systems (e.g., Traderbot [7], [8], Hoplites [9], MURDOCH [10], ALLIANCE [11]) that build on different algorithms. Here we consider a deterministic offline multirobot generalized assignment problem, and our objective is to design distributed algorithms with provable performance guarantee. Therefore, we will restrict our discussion to most relevant literature with performance guarantee.

In the simplest version of the task allocation problem (also known as the linear assignment problem), each robot can perform at most one task and the robots are to be assigned to tasks such that the overall payoff is maximized. The linear assignment problem is essentially a maximum weighted matching problem for bipartite graphs, which can be solved in a centralized manner using the Hungarian algorithm [12], [13], or a decentralized manner with shared memory using auction algorithm [14], or a totally distributed way using consensus-based auction algorithm [15], [4]. However all of this work assume that the tasks are independent. Some work has been done to address the constraints among tasks in multi-robot task assignment. In [16], set precedence constraints are introduced among tasks, where the tasks are organized into disjoint groups such that each robot can be assigned to at most one task from each group and there is a bound on the number of tasks that a robot can do. A generalization of the auction algorithm of [14] is presented in [16] to achieve an almost optimal solution. [17] studied the multi-robot task assignment with task deadline constraints, which extends the problem in [16] in the sense that the

task group can overlap, and each robot can be assigned to multiple tasks in each group. The constrained linear assignment problems in [16], [17] are solvable in polynomial time whereas MR-GAP is NP-hard.

Generalized assignment problem (GAP) is an extension to the linear assignment problem, which has been extensively studied in both operation research [18], [19] and theoretical computer science [20], [21], [22], [23]. However, most algorithms are centralized in nature, i.e., a centralized controller collects all parameter information and then computes the whole assignment. This may not be suitable for situations where distributed algorithm is required for multi-robot infield operation. A branch and bound algorithm was presented in [18] to determine the bounds of optimal solution. A series of 0/1 knapsack problem are solved so that the bound gets refined iteratively. A branch-and-price algorithm was designed in [19] that employs both column generation and branch-andbound to obtain optimal integer solutions. However, these algorithms do not provide any approximation guarantee. Some approximation algorithms exist for GAP, e.g., LPbased 2-approximation algorithm in [20], [21]. A combinatorial local search with  $(2+\varepsilon)$ -approximation guarantee, and an LP-based algorithm with  $(\frac{e}{e+1} + \varepsilon)$ -approximation guarantee with polynomial running time are presented in [23]. A  $(2+\varepsilon)$ -approximation algorithm with the same guarantee as the combinatorial local search but a better running time is given in [22]. The algorithm presented in [22] can be viewed as the first round of our iterative algorithm where each robot sequentially runs the algorithm for one iteration.

# III. PROBLEM FORMULATION

Suppose that there are  $n_r$  robots,  $R = \{r_1, \dots, r_{n_r}\}$ , and  $n_t$ tasks,  $T = \{t_1, \dots, t_{n_t}\}$ . Each robot,  $r_i$ , has resource budget  $N_i$ , and consumes resource  $w_{ij}$  to complete task  $t_i$  while getting payoff  $a_{ij}$ . Any robot can be assigned to any task, and performing each task needs a single robot. The objective is to assign tasks to robots so that the sum of the payoffs of the robots is maximized subject to the resource constraints. Let  $f_{ij}$  take a value 1 if task  $t_i$  is assigned to robot  $r_i$  and 0 otherwise, where  $i \in \{1, ..., n_r\}, j \in \{1, ..., n_t\}$ . We study the maximization version of MR-GAP, which can be formulated as an integer linear program (ILP):

$$\max_{\{f_{ij}\}} \qquad \sum_{i=1}^{n_r} \sum_{j=1}^{n_t} a_{ij} f_{ij}$$
s.t. 
$$\sum_{i=1}^{n_r} f_{ij} \leq 1, \ \forall j = 1, \dots, n_t$$

$$\sum_{j=1}^{n_t} w_{ij} f_{ij} \leq N_i, \ \forall i = 1, \dots, n_r$$
(2)

$$\sum_{j=1}^{n_t} w_{ij} f_{ij} \leq N_i, \ \forall i = 1, \dots, n_r$$
 (2)

$$f_{ij} \in \{0,1\}, \forall i,j \tag{3}$$

where (1) guarantees that each task is exclusively assigned to at most one robot; (2) guarantees that the sum of consumed resources for tasks assigned to each robot  $r_i$  does not exceed its budget  $N_i$ . When  $w_{ij} = 1$  and  $N_i = 1$ , the generalized assignment problem becomes the linear assignment problem [13]. When  $w_{ij} = w_j$  and  $a_{ij} = a_j$ , i.e.,  $w_{ij}$  and  $a_{ij}$  do not

<sup>&</sup>lt;sup>1</sup>Approximate best response and at approximate equilibrium will be strictly defined in Definition 3 and 4.

vary for different robots, the generalized assignment problem becomes a multiple knapsack problem [24].

## IV. ALGORITHM DESIGN AND PERFORMANCE ANALYSIS

In this section, we introduce an iterative auction-based algorithm for multi-robot generalized assignment problem. We will first introduce a few key concepts such as robot's (approximate) best response and the assignment at (approximate) equilibrium. We also recall the definition of knapsack problem. We will then present an iterative auction-based algorithm with shared memory, where given current temporary assignment of other robots, each robot bids for tasks using the knapsack algorithm as a subroutine. We show the connection of our algorithm to (approximate) best response update rule, and prove that the algorithm would converge to an assignment at (approximate) equilibrium with guaranteed approximation ratio. Finally, we discuss the use of a message passing mechanism to make our algorithm totally distributed.

# A. Preliminary Concepts

Let  $J_i = \{j | f_{ij} = 1\}$  denote the task set assigned to robot  $r_i$  and  $J = \bigcup_i \{J_i\}$  be a task assignment solution for GAP.

Definition 1: Define an assignment transform function  $G_i$  as a transformation from a given old assignment J' to a new assignment J, due to a new assignment component  $J_i$  for robot  $r_i$ :  $J = G_i(J',J_i) = (\bigcup_{k \neq i} \{J'_k \setminus J_i\}) \cup \{J_i\}$ , i.e.,

$$J_k = \left\{ \begin{array}{ll} J_i & \text{if } k=i \\ J_k' \backslash J_i & \text{if } k \neq i \end{array} \right.$$
 We say  $J_i$  is a feasible assignment for robot  $r_i$  if and only

We say  $J_i$  is a feasible assignment for robot  $r_i$  if and only if  $J_i$  satisfies  $r_i$ 's budget constraint in (2), denoted as  $J_i \sim$  (2); and J is a feasible assignment, if and only if J satisfies all constraints in (1) - (2), denoted as  $J \sim$  (1) - (2).

Lemma 1: The assignment transform function  $G_i$  is a valid transform, i.e., if both J' and  $J_i$  are feasible assignments, then  $J = G_i(J', J_i)$  is also feasible.

*Proof:* For any robot  $r_k \neq r_i$ , its newly assigned task set  $J_k = J'_k \setminus J_i \subset J'_k$ . Since  $J'_k$  is feasible for  $r_k$ ,  $J_k$  must also be feasible, i.e., the subset of previously assigned tasks must consume less resource than the budget of  $r_k$ . Besides,  $J_k \cap J_i = \emptyset$ , so J must exclusively assign tasks to at most one robot. Together with the feasibility of  $J_i$ , we know that the new assignment  $J \sim (1) - (2)$ , i.e., the transform function is valid.  $\blacksquare$ 

Denote  $F(J) = \sum_{i:J_i \in J} \sum_{j \in J_i} a_{ij}$  as the total payoff of a feasible assignment J;  $H(J',J_i) = F(G_i(J',J_i)) - F(G_i(J',\emptyset))$  as the total payoff increment due to a new assignment component of robot  $r_i$  from  $\emptyset$  to  $J_i$ , imposed on J'.

Definition 2: A new assignment component  $J_i^*$  is robot  $r_i$ 's best response<sup>2</sup> to an old assignment J' if and only if

$$J_i^* = \arg\max_{J_i} H(J', J_i)$$

which is the best unilateral assignment change of robot  $r_i$  to increase the total payoff from assigning nothing to  $r_i$ .

Definition 3: A new assignment component  $J_i^*$  is robot  $r_i$ 's  $\alpha$ -approximate best response to an old assignment J'  $(\alpha \in [1, +\infty))$ , if and only if

$$\alpha H(J',J_i^*) \geq \max_{J_i} H(J',J_i)$$

Definition 4: An assignment  $J^*$  is at equilibrium (or at  $\alpha$ -approximate equilibrium) if and only if any assignment component  $J_i^* \in J^*$  is already robot  $r_i$ 's best response (or  $\alpha$ -approximate best response) to  $J^*$  itself, i.e.,

$$\forall J_i^* \in J^* : J_i^* = \arg \max_{J_i} H(J^*, J_i)$$
  
(or  $\alpha H(J^*, J_i^*) \ge \max_{J_i} H(J^*, J_i)$ )

Note that if we use ( $\alpha$ -approximate) best response as the iterative assignment update rule for each robot, any assignment at ( $\alpha$ -approximate) equilibrium would be a fixed point for such update rule. There might be many different assignments at ( $\alpha$ -approximate) equilibrium depending on the parameters of problem instances.

Since we use algorithms for 0/1 knapsack problem as a subroutine in our iterative algorithm later, we recall the definition of 0/1 knapsack problem below.

**Definition 5:** [0/1 Knapsack Problem]: Consider n items,  $\{x_1, \ldots, x_n\}$ , and a bag to contain these items. Each  $x_i$  has a value  $v_i$  and weight  $w_i$ . The maximum weight that we can carry in the bag is W. Assume that all values and weights are nonnegative. The objective is to determine the items of maximum value such that the total weight is less than or equal to W.

$$\max_{\{y_i \in \{0,1\}\}} \sum_{i=1}^n v_i y_i \quad \text{s.t.} \quad \sum_{i=1}^n w_i y_i \leq W.$$

where  $y_i = 1$  if item  $x_i$  is in the bag, otherwise  $y_i = 0$ . The knapsack optimization problem is NP-hard. Ther

The knapsack optimization problem is NP-hard. There exist a pseudo-polynomial time algorithm using dynamical programming and a fully polynomial time approximation scheme (FPTAS). The FPTAS uses the pseudo-polynomial algorithm as a subroutine, and can approximate the optimal solution to any specified degree in polynomial time [24].

# B. Auction-based Decentralized Algorithm Design

We want to match  $n_r$  robots and  $n_t$  tasks with constraints (1)-(3) through a market auction mechanism, where each robot is an economic agent acting in its own best interest to bid for tasks. Each robot  $r_i$  wants to be assigned to its favorite tasks (with highest payoffs) while satisfying its budget constraints in (2). The different interest of robots will probably cause conflicts in assignment that violate the constraints in (1). This can be resolved by introducing auxiliary variables called task price, and making robots bid for tasks with highest values (defined as payoffs minus price) instead of highest payoffs, through an iterative auction mechanism.

At iteration  $\tau$ , let the price for task  $t_j$  be  $p_j(\tau)$ . The value of task  $t_j$  to robot  $r_i$  is  $v_{ij}(\tau) = a_{ij} - p_j(\tau)$  instead of just  $a_{ij}$ . Robot  $r_i$  bids for tasks which satisfy its budget constraints and have highest values to itself. Formally, in iteration  $\tau$ , robot  $r_i$  computes its new bids by solving the following 0/1

<sup>&</sup>lt;sup>2</sup>Note that  $r_i$ 's best response might not always be unique for some given old assignment J'. In such cases, we could use any one as the best response.

knapsack problem:

$$\max_{\{f_{ij} \in \{0,1\}\}} \sum_{i=1}^{n_t} v_{ij}(\tau) f_{ij} \quad \text{s.t.} \quad \sum_{i=1}^{n_t} w_{ij} f_{ij} \le N_i.$$
 (4)

Let  $J_i$  be the task set obtained by robot  $r_i$  by solving the problem (4) using an  $\alpha$ -approximation algorithm for the knapsack problem. Robot  $r_i$  would then bid for each task  $t_i$ ,  $j \in J_i$ , with new price  $a_{ij}$ , which would guarantee  $r_i$  to win the bids since  $v_{ij}(\tau) = a_{ij} - p_j(\tau) > 0$ . We assume that there exists a shared memory (or auctioneer) for all robots to access the current task price, which is the current highest bid from all robots. The shared memory is also used to guarantee that at any time, at most one robot can access the task price and provide new bids for tasks. After winning the bids and assigned to tasks in the iteration, the robot would then set the new task price as the winning bid, which is the highest bid for the task among all robots till then. Thus the iterative bidding from robots leads to the evolution of robot-task assignment as well as task price  $p_i(\tau)$ , which can gradually resolve the interest conflicts among robots. <sup>3</sup>

Based on the idea described above, we design a new auction-based decentralized algorithm for the generalized assignment problem. In the decentralized algorithm, there is no centralized controller to make assignment decisions for robots. Instead each robot are making assignment decision by itself. For each robot  $r_i$ , a single bidding iteration  $\tau$  of our auction-based algorithm is described in Algorithm 1. Each robot could implement the iterative bidding procedure either synchronously or asynchronously. However, the shared memory must guarantee that at any time, at most one robot can access the task price and provide new bids for tasks. For the sake of ease of discussion, below we assume that in our auction-based algorithm, all robots run copies of Algorithm 1 sequentially. The algorithm terminates after the task price information does not change after all robots bid for one iteration.

As shown in Algorithm 1 (Line 1), the knowledge / information available to each robot  $r_i$  during its bidding iteration  $\tau$  includes two parts: (a) locally maintained information:  $\{a_{ij}|\forall j\}$  and  $\{w_{ij}|\forall j\}$ , the payoffs of tasks to  $r_i$  itself and their consumed resource for  $r_i$ ,  $J_i'$  and  $\{b_j'|j\in J_i'\}$ , indices of tasks assigned to  $r_i$  during its previous bidding iteration and  $r_i$ 's bidding price for those tasks at that iteration; (b) information accessed from the shared memory:  $\{p_j(\tau)|\forall j\}$ , the task price maintained and updated in the shared memory during its bidding iteration  $\tau$ .

First, robot  $r_i$  goes through tasks in  $J_i'$ , which is the task set assigned to  $r_i$  during its previous bidding iteration.  $r_i$  compares the current price of those tasks with the corresponding previous bids  $b_j'$  from  $r_i$ : if  $b_j' < p_j(\tau)$ , it means that another robot must have bid higher price for  $t_j$ , and thus  $t_j$  has been

reassigned to the robot with that bid; otherwise,  $b'_j = p_j(\tau)$ , task  $t_j$  is still assigned to robot  $r_i$  since  $b'_j$  is still the highest bid. In the latter case,  $r_i$  resets the task price to be zero so that the new value of the task to  $r_i$  is still  $a_{ij}$ . (Line 2 to 8)

Second, given the current task price  $\{p_j(\tau)|\forall j\}$ , robot  $r_i$  selects a task set with task indices  $J_i^*$  using any knapsack algorithm with performance guarantee to maximize the total assignment values  $\sum_{i \in J_i^*} v_{ij}(\tau)$  (Line 9 to 11).

Third, robot  $r_i$  is assigned to task set  $J_i^*$ , and updates the task price (from Line 12 to 15) so that  $\forall j \in J_i^*$ ,  $p_j(\tau+1) = a_{ij}$ . The bidding price for each task is  $a_{ij}$  bigger than its previous price  $p_j(\tau)$  (otherwise  $v_{ij}(\tau) = a_{ij} - p_j(\tau) \le 0$ ,  $t_j$  would not be selected), so the tasks receiving  $r_i$ 's bids must be assigned to  $r_i$  at the end of the iteration.

# **Algorithm 1** Auction Iteration $\tau$ For Robot $r_i$

```
1: Input: a_{ij}, p_j(\tau), \forall j, J_i', \{b_j'|j \in J_i'\}/\!\!/ J_i': indices of r_i's previously assigned tasks
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Output:  $p_j(\tau+1)$ ,  $J_i^* // J_i^*$ :  $r_i$ 's newly assigned tasks

2: // Reset the price of still assigned tasks from previous iteration to zero

```
3: for each task t_j: j \in J_i' do
4: if p_j(\tau) == b_j' then
5: p_j(\tau) = 0;
6: p_j(\tau+1) = 0;
7: end if
8: end for
9: // Collect information for new bids
10: Denote v_{ij}(\tau) = a_{ij} - p_j(\tau) // value of t_j to r_i
11: J_i^* = knapsack(v_{ij}(\tau), w_{ij}, N_i);
12: // Start new bids and update price information
13: Bid with price b_j for task t_j : j \in J_i^*:
14: b_j = a_{ij}, p_j(\tau+1) = b_j;
15: for task t_j : j \notin J_i^*, p_j(\tau+1) = p_j(\tau)
```

# C. Performance Analysis

In this section, first, we show the connection of Algorithm 1 to robot's (approximate) best response update rule; second, we prove that the algorithm would converge to an assignment at (approximate) equilibrium; third, we prove that the assignment at ( $\alpha$ -approximate) equilibrium is guaranteed to be a solution for GAP with approximation ratio  $1+\alpha$ . Below we assume that the subroutine knapsack algorithm in Algorithm 1 has  $\alpha \in [1,+\infty)$  approximation ratio<sup>4</sup>.

Lemma 2: When robot  $r_i$  runs Algorithm 1 at iteration  $\tau$ , its newly assigned task set  $J_i^*$  is  $\alpha - approximate$  best response to the assignment at the beginning of iteration  $\tau$ . Proof: Suppose the assignment at the beginning of iteration  $\tau$  is J'.  $\forall$  a new feasible assignment  $J_i$  for robot  $r_i$ , the total value increment due to  $J_i$  would be

$$\begin{array}{lcl} H(J',J_i) & = & F(G_i(J',J_i)) - F(G_i(J',\emptyset)) \\ & = & \sum_{k \neq i} (\sum_{j \in J'_k} a_{kj} - \sum_{j \in J_i \cap J'_k} a_{kj}) + \sum_{j \in J_i} a_{ij} - F(G_i(J',\emptyset)) \end{array}$$

 $^4$ Note that there exists pseudo-polynomial time algorithm to achieve optimal solution for knapsack problem. In that case,  $\alpha=1$ 

<sup>&</sup>lt;sup>3</sup>Note that  $p_j(\tau)$  is an auxiliary variable, which is used to resolve the conflict that multiple robots share the same interest of being assigned to the same tasks. When the algorithm terminates, the quality of assignment solution does not depend on  $p_j(\tau)$ , i.e., the output assignment solution is evaluated in terms of original payoffs  $a_{ij}$  instead of the net value  $v_{ij}(\tau) = a_{ij} - p_j(\tau)$ .

$$= \sum_{k \neq i} \sum_{j \in J'_k} a_{kj} + \sum_{j \in J_i} (a_{ij} - p_j(\tau)) - F(G_i(J', \emptyset))$$

$$= \sum_{i \in J_i} (a_{ij} - p_j(\tau))$$

which is the objective of knapsack problem, solved by  $r_i$  as a subroutine in Algorithm 1. Since we assume that the knapsack algorithm leads to  $\alpha$ -approximate solution,

$$\alpha \sum_{j \in J_i^*} (a_{ij} - p_j(\tau)) \ge \max_{J_i \sim (2)} \sum_{j \in J_i} (a_{ij} - p_j(\tau)) \Rightarrow$$
$$\alpha H(J', J_i^*) \ge \max_{J_i \sim (2)} H(J', J_i)$$

According to Definition 3, we get that  $J_i^*$  is  $\alpha - approximate$  best response to J' at the beginning of iteration  $\tau$ .

Theorem 1: Algorithm 1 for all robots will terminate in a finite number of iterations, and converges to an assignment at  $\alpha - approximate\ equilibrium$ .

*Proof:* When  $\alpha = 1$ , according to Lemma 2, it is easy to see that the new assignment  $J_i^*$  for robot  $r_i$  would make the total assignment payoff non-decreasing. In the case that  $\alpha > 1$ , we could easily incorporate a simple comparison in the knapsack routine so that the output would be the better of  $J_i'$  and  $J_i^*$ , and thus the new total assignment payoff is still non-decreasing with each iteration of new bids. Besides, the total payoff is bounded. So Algorithm 1 for all robots will terminate in a finite number of iterations.

When Algorithm 1 for all robots terminates, according to Lemma 2 and Definition 4, it must converge to an assignment at  $\alpha - approximate\ equilibrium$ .

When  $\alpha = 1$ , Algorithm 1 is actually  $r_i$ 's best response, and it would converge to an assignment at equilibrium. According to the proof above, the convergence time of Algorithm 1 would be  $O(n_r \cdot f(n_t) \cdot C)$  where  $f(n_t)$  is the running time for knapsack algorithm and C is a constant due to the number of iterations, depending on the payoff parameters(i.e., the maximum total payoff divided by the minimum payoff increment).

Theorem 2: An assignment at  $\alpha - approximate$  equilibrium is a solution for GAP with approximation ratio  $1 + \alpha$ . Proof: Suppose the assignment at  $\alpha - approximate$  equilibrium is  $J^* = \bigcup_i \{J_i^*\}$ , while the optimal assignment if  $J^{opt} = \bigcup_i \{J_i^{opt}\}$ . Below we want to compare the total payoff of each robot  $r_i$  in two different assignment  $J_i^*$  and  $J_i^{opt}$ . Since  $J_i^*$  must be  $\alpha$ -approximate best response to  $J^*$ ,

$$\alpha \sum_{j \in J_i^*} (a_{ij} - p_j) \ge \sum_{j \in J_i^{opt}} (a_{ij} - p_j)$$
 (5)

There are two cases depending on whether  $\bar{J_i} = J_i^{opt} \cap (\cup_{k \neq i} J_k^*) = \emptyset$  or not:

(a) If  $\bar{J_i} = \emptyset$ : According to Algorithm 1,  $\forall j \notin \bigcup_i J_i^*, p_i = 0$ ,

$$\sum_{j \in J_i^*} p_j \ge \sum_{j \in J_i^{opt}} p_j \tag{6}$$

Combining Equation (5) and (6) above, we have that

$$\alpha \sum_{j \in J_i^*} a_{ij} \ge \sum_{j \in J_i^{opt}} a_{ij} \tag{7}$$

TABLE I

Payoff parameters  $a_{ij}$  and consumed resource parameters  $w_{ij}$  in Example 1

$a_{ij}$	$t_1$	$t_2$	$w_{ij}$	$t_1$	$t_2$
$r_1$	1	$\alpha + \varepsilon$	$r_1$	1	1
$r_2$	$1 + \alpha \varepsilon$	ε	$r_2$	1	1

If  $\forall i \in \{1, ..., n_r\}$ ,  $\bar{J_i} = \emptyset$ , we have

$$\alpha \sum_{i} \sum_{j \in J_i^*} a_{ij} \ge \sum_{i} \sum_{j \in J_i^{opt}} a_{ij}$$
 (8)

So  $J^*$  is a solution with approximation ratio  $\alpha$ .

(b) If  $\bar{J_i} \neq \emptyset$ : again since  $\forall j \notin \cup_i J_i^*, p_j = 0$ ,

$$\sum_{j \in J_i^*} p_j \ge \sum_{j \in J_i^{opt} \setminus \bar{J_i}} p_j = \sum_{j \in J_i^{opt}} p_j - \sum_{j \in \bar{J_i}} p_j \tag{9}$$

Combining Equation (9) and (5), we have that

$$\alpha \sum_{j \in J_i^*} a_{ij} + \sum_{j \in \bar{J}_i} p_j \ge \sum_{j \in J_i^{opt}} a_{ij}$$
 (10)

If  $\forall i \in \{1, ..., n_r\}, \bar{J_i} \neq \emptyset$ , we have

$$\alpha \sum_{i} \sum_{j \in J_i^*} a_{ij} + \sum_{i} \sum_{j \in \bar{J_i}} p_j \ge \sum_{i} \sum_{j \in J_i^{opt}} a_{ij}$$
 (11)

Since  $\forall i_1, i_2, J_{i_1}^{opt} \cap J_{i_2}^{opt} = \emptyset \Rightarrow \bar{J}_{i_1} \cap \bar{J}_{i_2} = \emptyset$ . So  $\sum_i \sum_{j \in \bar{J}_i} p_j \leq \sum_i \sum_{j \in J_i^*} p_j = \sum_i \sum_{j \in J_i^*} a_{ij}$ 

Together with Equation (11),

$$(\alpha+1)\sum_{i}\sum_{j\in J_i^*}a_{ij}\geq\sum_{i}\sum_{j\in J_i^{opt}}a_{ij}$$
 (12)

So  $J^*$  is a solution with approximation ratio  $1 + \alpha$ .

Since  $\forall i$ , either  $\bar{J}_i = \emptyset$  or  $\bar{J}_i \neq \emptyset$ , it must belong to one of the two cases above. So it is guaranteed that the assignment J at  $\alpha - approximate$  equilibrium is a solution for GAP with approximation ratio  $\max(\alpha, 1 + \alpha) = 1 + \alpha$ .

According to Theorem 1 and 2, we prove that Algorithm 1 would eventually converge to a solution for GAP with approximation ratio  $1+\alpha$ . The following example shows that the approximation ratio of assignments at  $\alpha-approximate$  equilibrium is actually tight.

Example 1: Consider two robots with budget  $N_1 = N_2 = 1$ , and two tasks, with parameters listed in Table I, where  $\varepsilon$  is an arbitrarily small constant. The assignment  $\{J_1 = \{t_1\}, J_2 = \{t_2\}\}$  is an assignment at  $\alpha - approximate$  equilibrium:

$$\begin{split} &\alpha(F(G_{i_1}(J,J_1)) - F(G_{i_1}(J,\emptyset))) = \alpha((1+\varepsilon) - \varepsilon) \\ &\geq & (\alpha+\varepsilon) - \varepsilon = F(G_{i_1}(J,J_1^* = \{t_2\})) - F(G_{i_1}(J,\emptyset)); \\ &\alpha(F(G_{i_2}(J,J_2)) - F(G_{i_2}(J,\emptyset))) = \alpha((1+\varepsilon) - 1) \\ &\geq & (1+\alpha\varepsilon) - 1 = F(G_{i_2}(J,J_2^* = \{t_1\})) - F(G_{i_2}(J,\emptyset)) \end{split}$$

However, it is an  $(1+\alpha)$  approximate solution to the optimal assignment  $\{J_1^* = \{t_2\}, J_2^* = \{t_1\}\}$ :

$$(1+\alpha)F(J) = (1+\alpha)(1+\varepsilon) = ((\alpha+\varepsilon)+(1+\alpha\varepsilon)) = F(J^*)$$

## D. Distributed Implementation

Algorithm 1 is decentralized in the sense that every robot can make assignment decisions by itself, based on an iteratively updated common information of task price from the shared memory. In this section, we discuss how to remove the requirement of the existence of shared memory to make the algorithm totally distributed assuming the robots' communication network is connected.

Suppose that there exists a robot communication network G = (V, E), where V = R consists of robot nodes, and  $E = \{(i_1, i_2)\}$  consists of connection edges between robots, which can directly communicate. We assume that G is connected.

In a distributed implementation of Algorithm 1, no shared memory exists to provide task price  $p_j(\tau)$  during each iteration  $\tau$ . Each robot  $r_i$  needs to locally maintain the task price  $p_j^i(\tau)$ , and update them based on the local communication with its direct neighbor in  $\mathcal{N}_i = \{i' | (i', i) \in E\}$ .

Below, we show that a distributed message passing mechanism could be used for robot to maintain and update the task price information in a distributed way. During each iteration  $\tau$ , robot  $r_i$  runs Algorithm 1, where  $p_j(\tau)$  would become the local maintained task price  $p_j^i(\tau)$ , to get the new assignment  $J_i$  and new task price  $p_j^i(\tau+1)$ . The message passing mechanism is described as follows.

First,  $r_i$  would send out the message in the following format:  $M_i^{\tau+1} = (P, r_i, V, \tau+1)$ , where  $P = (p_1^i(\tau+1), \ldots, p_{n_t}^i(\tau+1))$  is the new price vector for all tasks maintained in  $r_i$ ,  $r_i$  is the identifier of the robot who sends out the message,  $V = \sum_{j \in J_i} v_{ij}(\tau)$  is the output total value of the knapsack subroutine algorithm in Algorithm 1, and  $\tau+1$  is time stamp of the message, i.e., the number of iteration when the message would be used to update the task price. If  $J_i = J_i'$ , i.e., the robots' bidding tasks are the same as before, V is set to be 0 in P.

Second, when  $r_i$  receives a message  $M_{i'}^{\tau+1}$  from one of its neighbor  $i_0$ , it would first send out the message to its neighbors except  $i_0$ . Then  $r_i$  would compare  $M_{i'}^{\tau+1}(V)$  with its locally maintained  $V_{\max}(\tau+1)$ , which is the maximum value of all messages with time stamp  $\tau+1$  till then. If  $M_{i'}^{\tau+1}(V) > V_{\max}(\tau+1)$ ,  $r_i$  would store the message with higher value and reset  $V_{\max}(\tau+1) = M_{i'}^{\tau+1}(V)$ , and get rid of previous message; if  $M_{i'}^{\tau+1}(V) < V_{\max}(\tau+1)$ ,  $r_i$  would get rid of the message  $M_{i'}^{\tau+1}$ . To break the tie when  $M_{i'}^{\tau+1}(V) = V_{\max}(\tau+1)$ , robots could use a consistent rule, e.g., keep the message with the smaller robot identifier.

Third,  $r_i$  would keep track of the number of robot identifiers  $n_{ID}(\tau+1)$  from all messages. When  $n_{ID}(\tau+1)=n_r$ , i.e.,  $r_i$  has received all robots' messages for iteration  $\tau+1$ ,  $r_i$  would start to update its locally maintained task price from the only stored message (e.g.,  $M_{i'}^{\tau+1}$ ) with the highest value:  $p_j^i(\tau+1)=M_{i'}^{\tau+1}(P(j)), \forall j$ , and then start a new bidding procedure for iteration  $\tau+1$ .

From the above message passing mechanism, we know that during each iteration  $\tau$ , each robot would start a new bid and send out a new message. Since the robot communication network G is connected, all messages would reach all robots.

However, only the message with highest value from  $r^*(\tau)$ would be stored and used to update task price for  $\tau+1$ , which would be consistent among all robots. It is equivalent to say that during each iteration  $\tau$ , only one robot  $r^*(\tau)$  starts a new bid, and updates task price, which would be consistently and locally stored by all robots. Thus we can see that although the shared memory is removed, its two following functions are still maintained in a distributed way: (a) during any iteration, at most one robot can start a new bid and update task price; (b) task price are consistently maintained among all robots. So the conclusions in Section IV-C are valid in the distributed implementation. However, since the bidding message needs to be propagated in the network G, during each iteration, the distributed algorithm might be delayed by the product of one-hop message passing time and  $\Delta$  ( $\Delta \leq n_r$ ), which is the diameter of G.

#### V. SIMULATION RESULTS

In this section, we present some preliminary simulation results to check how our algorithm's solution quality changes with iterations till convergence. Consider  $n_r = 20$  robots, where each robot  $r_i$  has budget  $N_i = 10$ , and  $n_t = 40$  tasks. In our simulations, we first assume each robot can communicate with all other robots, i.e.,  $\Delta = 1$ . The knapsack algorithm used in the simulation is the optimal dynamic programming algorithm, so  $\alpha = 1$  and the approximation ratio of Algorithm 1 is 2.

Figure 1 and Figure 2 show that in two different simulation samples how the solution performance changes with bidding iterations of robots. In both figures, we randomly generate 100 samples with different  $a_{ij}$  and  $w_{ij}$ , and show the mean and standard deviation of our solution performance. In all the 100 generated samples, our algorithm converges within 200 iterations. In Figure 1, for each robot  $r_i$  and task  $t_i$ , payoffs  $a_{ij}$  are drawn from a uniform distribution in (0,9), and the consumed resource  $w_{ij}$  from [1,6]. In Figure 2, for each robot  $r_i$  and task  $t_i$ , we set the consumed resource  $w_{ij} = 5, \forall i, j,$ and  $a_{ij}$  are randomly generated according to the distributions in Table II, where  $U(x_{min}, x_{max})$  represents a uniform distribution from  $x_{min}$  to  $x_{max}$ . From Figure 2 and Figure 1, we can see that although the total assignment payoffs get improved until convergence in both cases, the improvement patterns before convergence are very different in the two cases: in Figure 1, the assignment performance after all robots run one iteration is very close to the performance of assignment at convergence, while Figure 2 shows that in some situations, our algorithm could achieve much better solution than the algorithm where all robots run one iteration. The reason is that when all robots just run one iteration, robots bidding first might lose their assigned tasks to robots bidding later, and do not have chance to be assigned to other tasks, which could be compensated in our iterative algorithm.

# VI. SUMMARY

We studied the multi-robot generalized assignment problem, where the objective is to maximize the total assignment payoffs while respecting robots' budget constraints. We

TABLE II PAYOFF PARAMETERS  $a_{ij}$  DISTRIBUTIONS IN FIGURE 2

$a_{ij}$	$t_1 - t_{20}$	t <sub>21</sub> - t <sub>40</sub>
$r_1$ - $r_{10}$	U(8,9)	U(6,7)
$r_{11}$ - $r_{20}$	U(10,11)	U(0,1)

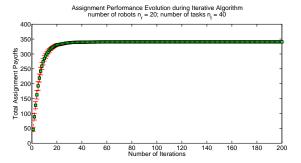


Fig. 1. Statistics of total assignment payoffs by our algorithm as a function of iterations, where  $a_{ij}$  and  $w_{ij}$  are randomly generated in 100 samples.

presented a distributed auction-based algorithm, where each robot iteratively uses a knapsack algorithm as subroutine to choose its assigned tasks and maximize the sum of each assigned task value (defined as a task's payoff minus its price). Suppose the knapsack subroutine algorithm has an approximation ratio  $\alpha \in [1, +\infty)$ . We show that the iterative bidding procedure of each robot is actually an  $\alpha$ -approximate best response assignment update rule to the current temporary assignment of other robots. We proved that such bidding procedure would eventually converge to an assignment at  $\alpha$ -approximate equilibrium, which is guaranteed to be a solution to MR-GAP with an approximation ratio of  $1+\alpha$ . We also presented simulation results illustrating our algorithm.

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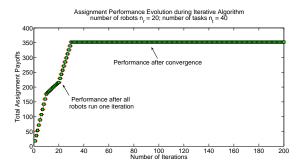


Fig. 2. Statistics of total assignment payoffs as a function of iterations, where parameters  $w_{ij}$  are randomly generated while  $a_{ij}$  are carefully designed according to distributions in Table II.

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