ATLAS-ASSISTED TOMOGRAPHY: REGISTRATION OF A DEFORMABLE ATLAS TO COMPENSATE FOR LIMITED-ANGLE CONE-BEAM TRAJECTORY

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ABSTRACT

We present a method to improve the quality of cone-beam tomographic images computed from an intra-operative C-arm scan by adding information from an anatomical atlas. Limited range of C-arm view angles leads to reconstruction artifacts and poor anatomical detail. We propose to complete the missing views with simulated projections of a statistical anatomical model, which is deformably registered to match the data in the C-arm images. This paper presents the methods used to create the atlas and to register it with x-ray images. We compare the results of seven leave-one-out simulated hybrid reconstruction tests on a population of 13 subjects, with "ground-truth" CT, a classical short-scan, and a partial-scan reconstruction.

1. INTRODUCTION

This paper presents a method for *hybrid tomographic reconstruction*, which combines data from cone-beam x-ray (C-arm) and a statistical anatomical atlas to improve the reconstruction of anatomy and reduce artifacts. C-arm tomography can provide a fast and economical intra-operative 3D imaging. However, in many surgical situations it is impossible to rotate the C-arm gantry over a sufficiently large angular range known as a *short scan* [1]. Any partial scan that falls short of this requirement yields reconstructions marred with strong artifacts and incorrect anatomical details. Our method compensates for the missing views by combining statistical knowledge of the anatomy into the reconstruction.

The method uses a statistical atlas which contains information about the "average" shape and CT density distribution in the anatomy, and about typical variations in the shape. The atlas is registered to a small set of calibrated subject x-ray images (target images), which may be taken from the partial scan, to recover the 3D pose and shape that best match the targets. Synthetic projections of the recovered model are generated for the missing trajectory angles, and combined with

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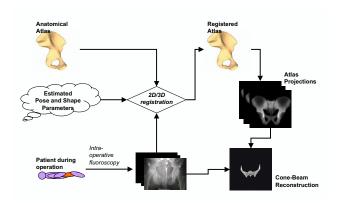


Fig. 1. Schematic flow of the hybrid reconstruction process

the C-arm images as input to a standard cone-beam reconstruction process. The atlas-assisted reconstruction process is illustrated in Fig. 1. We tested the method using a leave-out validation. Results are given in Section 5.

The paper is structured as follows. Section 2 provides background to this paper relating to anatomical modeling and deformable registration. Section 3 explains the atlas creation process. Section 4 describes the registration method we use. Section 5 presents experimental results of reconstruction using a registered atlas. Section 6 discusses the results and concludes.

2. RELATED WORK

Although there is an extensive literature on rigid 2D/3D registration of x-ray images to preoperative CT models (e.g., [2, 3, 4]), much less has been published on deformable registration of x-rays to template bone models. The first work we have found is that of Fleute [5], who proposed a deformable 2D/3D method based on a statistical surface model. Subsequently, Yao [6] developed an intensity-based method combining statistical models of shape and CT density. Our method is similar to Yao's in representing bones by tetrahedral meshes, with

bone shape determined by the vertex coordinates and radiological density within each tetrahedron represented by barycentric Bernstein polynomials. Following Yao, we create a statistical atlas by deforming a standard template mesh to match multiple patient images and performing standard analysis to extract principal components of variation of shape (vertex coordinates) and density (polynomial coefficients), in a manner similar to [7].

3. STATISTICAL ATLAS CREATION

This section describes the process of creating the statistical atlas, including the representation of shape and density, and the methods used to construct the model.

The statistical atlas consists of a tetrahedral mesh representing the shape, Bernstein polynomial representing the CT intensities, and displacement matrices that describe deformation modes. We can consider the mesh as given using an array of vertices V and an array of tetrahedral cells T. Every vertex is a 3D vector, and a tetrahedron is defined using four indexes into the vertex array: (i_0, i_1, i_2, i_3) . For simplicity, we will usually ignore the indexes and write each tetrahedron as four vertices: $\mathbf{T}_j = (\mathbf{v}_0, \dots, \mathbf{v}_3)$. They can be arranged in a matrix of homogeneous coordinates:

$$M_{\mathbf{T}} = \begin{bmatrix} \mathbf{v}_0 & \mathbf{v}_1 & \mathbf{v}_2 & \mathbf{v}_3 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$
 (1)

The density of each cell is represented as a local Bernstein polynomial function inside the volume of the cell:

$$f^{j}(\mathbf{u}) = \sum_{|\mathbf{k}|=d} \beta_{\mathbf{k}}^{j} B_{\mathbf{k}}^{d}(\mathbf{u}) = \sum_{|\mathbf{k}|=d} \beta_{\mathbf{k}}^{j} \binom{d}{\mathbf{k}} u_{0}^{k_{0}} u_{1}^{k_{1}} u_{2}^{k_{2}} u_{3}^{k_{3}}$$
(2)

Here, $\mathbf{u}=(u_0,u_1,u_2,u_3)^T=M_{\mathbf{T}}^{-1}\mathbf{x}$ are the *barycentric coordinates* of a point \mathbf{x} in homogeneous coordinates; $\mathbf{k}=(k_0,k_1,k_2,k_3)$ is the power index of the basis function $B_{\mathbf{k}}^d$, with d being the degree of the polynomial; $\binom{d}{\mathbf{k}}=\frac{d!}{k_0!k_1!k_2!k_3!}$ is a multinomial factor; and β is a free coefficient.

The atlas creation process can be summarized as follows.

- 1. Select a "master" CT dataset, CT_0 .
- 2. Manually label the voxels of the anatomy of interest in CT_0 (segmentation).
- 3. Create a "master" tetrahedral mesh, M_0 , that covers the labeled voxels. We use a method developed by Mohamed [8]. The spatial resolution of the mesh is defined by the user, and typically every cell contains many CT voxels. The mesh has n_v vertices and n_t tetrahedra.
- 4. For each instance CT_i in "subject" datasets, find an elastic transformation D_i that maps the voxels of CT_0 to corresponding voxels in CT_i . We use the registration method in [9].

- 5. Apply the deformation D_i to the vertices of M_0 to obtain a "subject" mesh M_i . Note that all the meshes created this way have identical graphs.
- 6. Take all the mesh instances as shape vectors of size $3n_v$, including M_0 , and perform principal component analysis (cf. [10]) to obtain a mean shape \bar{M} and variation modes $\{Y_i\}$ of the shape distribution. The variation modes are applied to the mean shape with different weights to create shape instances. Note that the vertices of the final mesh \bar{M} are given in some arbitrary coordinate system F_{model} . The registration process (Section 4) maps it to the space of subject images.
- 7. In each mesh instance M_i , for each tetrahedron \mathbf{T}_j , find polynomial coefficients $\{\beta_{\mathbf{k}}^j\}$ to approximate the density values in CT_i in the area occupied by \mathbf{T}_j . Store all the coefficients as a matrix Γ_i .
- 8. In the current implementation of the atlas, we use the mean of the density functions $\{\Gamma_i\}$ as the atlas density.

4. REGISTRATION

The deformable registration process matches the atlas to a set of calibrated patient projections to recover approximated pose and shape of the patient's anatomy. A calibrated image is given as the tuple (I,F,P), with I being the actual image (pixel values), F as a rigid transformation representing the camera pose (extrinsic parameters), and P as parameters of a projection transformation from the 3D space to image pixels (intrinsic parameters). Both F and P are required for a correct tomographic reconstruction, and we assume that they are given for each x-ray image, with respect to some constant reference frame F_{world} , e.g., the room.

The registration involves finding a rigid transformation F_{reg} that relates the model coordinate system F_{model} to the reference frame:

$$F_{world} = F_{reg} \cdot F_{model} \tag{3}$$

It also involves finding a set of weights $\Lambda = \{\lambda_i\}$ that are applied to the deformation modes to create a patient-specific instance of the atlas:

$$S_{\Lambda} = \bar{M} + \sum_{i=1}^{n_{modes}} \lambda_i Y_i \tag{4}$$

Our goal is to approximate the shape of the patient's anatomy by finding the right combination of λ_i 's, and the pose of the anatomy. We do this by optimizing a *mutual information* [11, 6] similarity measure between the patient images and computed projections (DRR's) of the model.

$$(F_{reg}, \Lambda_{reg}) = \arg\max_{(F,\Lambda)} \sum_{j} MI(I_j, DRR(F \cdot S_{\Lambda}))$$
 (5)

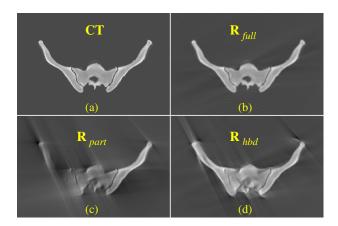


Fig. 2. Visual comparison of different reconstruction methods. (a) A slice from a CT scan. (b) A slice reconstructed from a 207° short-scan. (c) A slice reconstructed from a 120° partial scan. (d) A slice from a 120° partial-scan and 87° registered atlas projections. All dynamic ranges have been scaled uniformly so that zero maps to the gray background in (a), and white is the maximal intensity value.

We have recently developed a fast method for generating DRR's of a deformable tetrahedral mesh [12], which facilitates this registration within a reasonable time frame. The optimal values are searched for using the Downhill Simplex algorithm, implemented in the VNL package [13].

5. EXPERIMENTS AND RESULTS

Our validation experiments consist of leave-one-out tests. Given N subject CT datasets, we pick N-1 and create a statistical atlas, which is registered with images of the N^{th} subject. We generate DRR projections of the target dataset along a trajectory of k degrees ($k=180^{\circ}+\mathrm{cone}$ angle, i.e., a short scan), in increments of 1° , and reconstruct the volume in three ways:

 \mathbf{R}_{full} Using all k projections from the CT (short scan).

 \mathbf{R}_{part} Using a partial scan of l degrees of CT projections.

 \mathbf{R}_{hbd} Using a partial scan of l degrees of CT projections, and completing them with k-l projections of the registered atlas (hybrid reconstruction).

For the data shown here, an atlas of the pelvis bones was created, with N=13. The registration was done on images of size 256×256 pixels, and the reconstruction used a size of 512×512 . The registration used three images, at angles 0° , 90° and 120° in the XY plane. In our cone-beam simulations, the focal-length was set to 750 mm and the cone-angle = 27° ($k=207^{\circ}$).

The reconstruction from 2D projections was done using a filtered backprojection technique that was used in [14]. However in this case, we compensate only for missing views, and

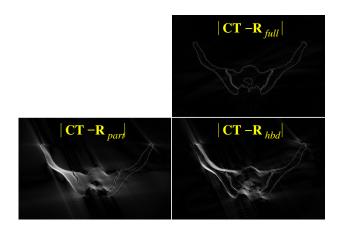


Fig. 3. Scaled absolute differences between the cone-beam reconstructed images and the CT image in Fig. 2. Zero is mapped to black, and the largest absolute difference is white. Note that the scale of brightness is not as Fig. 2. Comparing the partial-scan and hybrid reconstruction to the *short scan* results in very similar absolute difference images.

the images are not truncated. Note that our atlas covers bone only, and correspondingly the DRRs in the registration and reconstruction were computed from a *segmented* CT dataset.

Examples of reconstructed cross-sections are shown in Fig. 2. The figure shows corresponding tomographic slices of the mid-iliac region from a segmented CT image (a), a cone-beam reconstruction from a short scan (b), a reconstruction from partial scan (c), and a hybrid reconstruction (d). The gray levels were scaled uniformly. Reconstructed images usually contain some negative artifacts, hence the gray background in image (a), which represents the CT number zero. For further understanding of these results, Figure 3 shows the absolute differences between the cone-beam reconstructions and the CT dataset as intensity levels.

For a quantitative analysis of the results, we compute the *root-mean-square* (RMS) difference E between the volumes as follows:

$$E(I_1, I_2) = \sqrt{\frac{1}{n_{vox}} \sum_{v \in V} (I_1(v) - I_2(v))^2}$$
 (6)

Here, n_{vox} is the number of voxels in both volumetric images I_1 and I_2 . V is the domain of voxels. Lower RMS values mean greater similarity between the compared images. Note that positive and negative background reconstruction artifacts are included in the RMS difference.

We performed seven leave-one-out tests on our 13-subject population. The RMS results are summarized in Table 1, including the following elements: CT: the segmented target CT dataset; \mathbf{R}_{full} : a short-scan reconstruction; \mathbf{R}_{part} : a partial-scan reconstruction; \mathbf{R}_{hbd} : a hybrid reconstruction. The Table compares all three methods against the "ground truth" CT, and the partial-scan and hybrid methods against the short

#	(1) CT	(2) CT	(3) CT	\mathbf{R}_{full}	\mathbf{R}_{full}	(4)-(5) / (4)
"	\mathbf{R}_{full}	\mathbf{R}_{part}	\mathbf{R}_{hbd}	\mathbf{R}_{part}	\mathbf{R}_{hbd}	%
1	39.0	108.9	73.9	102.3	63.1	38.3
2	37.4	115.5	105.7	109.1	98.0	10.2
3	39.1	118.5	68.6	111.6	56.6	49.3
4	33.4	98.0	70.3	91.3	61.4	32.7
5	38.1	108.0	79.3	101.2	71.1	29.7
6	28.0	104.0	82.4	97.5	74.3	23.8
7	44.0	130.3	94.9	122.7	83.4	32.0
μ	37.0	111.9	82.2	105.1	72.6	31.0

Table 1. Root-mean-square (RMS) difference measure between different reconstruction methods. The differences are expressed in Hounsfeld units. The last column shows the difference between column 5 and column 4 as a percentage of column 4. The last row shows the mean of RMS differences, and its respective relative difference.

scan. All the RMS numbers are given in Hounsfeld units. The last column contains the percent of change in RMS between columns 5 and 4, with respect to column 4. The reconstruction included 200 slices: 100 above and 100 below the plane of rotation. The voxel size is 0.9375 mm in each direction, and the slice size is 512×512 pixels.

6. DISCUSSION AND CONCLUSION

We have shown an average of 31% reduction in RMS difference going from a partial scan to atlas-assisted tomography, taken with respect to the "ideal" short-scan reconstruction, and a significant visual improvement in reconstructing anatomical detail. In the worst case the RMS difference was 10.2%, and in the best case 49.3%. We attribute this variability to varying qualities of the registration results, and expect the results to be more regular when a larger population is included, and when density variations are added to the atlas. We are in a continuing our work towards these improvements.

The quality of the atlas deformable registration, which is highly dependent on the fidelity of anatomical representation by the atlas, is a key factor in the reconstruction. It is expected to be further improved by finding the optimal number of views the optimal view directions. Other experiments must be performed, such as registration with truncated field-of-view images and registration with real x-ray images. These are subjects of our continuing research. Nevertheless, we consider the results presented here, which were obtained with a small-population atlas, a very good starting point.

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