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On-line Time-Constrained Scheduling Problem for the Size on k machines

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Abstract

In this paper we consider the problem of scheduling on-line jobs on k identical machines. Technically, our system is composed of k identical machines and each job is defined by a triplet $\Gamma = (l, r, p)$, where l denotes its left border, r its right border and p its length. When a job is revealed, it can be rejected or scheduled on one of the k machines. In this last case, it can suppress already scheduled jobs. The goal is to maximize the size of the schedule (i.e. the number of jobs scheduled and not (later) suppressed). We propose an algorithm called OLUW. It is $(4 \min(\beta, \lfloor \log_2(\gamma) \rfloor + 1))$ -competitive, where β is the number of different job lengths appearing in the on-line input sequence and γ is the ratio between the length of the longest job and the length of the shortest job in the sequence. To the best of our knowledge, OLUW is the first on-line algorithm maximizing the size with guarantees on the competitive ratio for the time-constrained scheduling problem on k identical machines.

1 Introduction

We consider the problem of scheduling on-line jobs on k identical machines (for any $k \geq 1$). We define a job Γ by the triplet $\Gamma = (l, r, p)$, where l is the left border, r the right border and $p \leq r - l$ the length of Γ . A job is scheduled on machine j if it occupies machine j on a continuous interval of length p between its two borders. Jobs are independent and two jobs scheduled on the same machine cannot intersect.

Previous works. This problem is known as the *Time-Constrained Scheduling Problem (TCSP)*. The aim is to maximize the weight of the constructed schedule (i.e. the sum of the weight of scheduled jobs). There are several categories of weights: the same for all jobs (corresponding to the maximization of the size of the schedule), equal to the length or arbitrary. In [5], all these variants of TCSP are studied in the off-line setting. As each variant is NP-hard, approximation algorithms are proposed, with constant ap-

proximation ratios. But the methods in [5] are off-line, thus they cannot be used for solving our on-line problem.

In the on-line setting (where jobs are revealed and treated one by one) particular variants of TCSP have been studied. The version where a job is an interval (i.e. with tight left and right borders, $p = r - l$) has been extensively studied. In [2, 3, 4, 7, 9, 12], algorithms for this model are proposed.

More recently, several papers [1, 8, 10, 11] have investigated variant of on-line TCSP and have proposed algorithms for the case where jobs have not tight left and right borders. But all these papers only consider the particular case of a single machine.

In this paper, we propose the first on-line algorithm solving TCSP for the *unit weight* model (i.e. for the maximization of the size of the schedule) on k identical machines (in part, our work is more general than previous works on a single machine).

Definitions. We describe now more precisely our notations. When a job $\Gamma = (l, r, p)$ is revealed, all informations in the triplet (l, r, p) are revealed. Job Γ is said to be *scheduled* on machine j if it continuously occupies machine j between l_0 and r_0 with: $p = r_0 - l_0$ and $l \leq l_0 \leq r_0 \leq r$. The resulting numerical interval $\sigma = [l_0, r_0]$ is called the *interval associated* to Γ . Note that the length of σ is p and that $\sigma \subseteq [l, r]$ (i.e. job Γ is scheduled between its two borders on a length p). A *schedule* S is *feasible* if on any machine, no scheduled jobs (i.e. their associated intervals) intersect. The *size* $|S|$ of S is the number of scheduled jobs. In the following, we will denote by S_j the sub-part of a schedule S on machine j .

Given any on-line sequence of jobs $\Gamma_1, \dots, \Gamma_n, \dots$ revealed one by one in this order, any on-line algorithm must construct at each step a *feasible* schedule on k machines. In our model, when a new job $\Gamma = (l, r, p)$ is revealed, an on-line algorithm can *reject* it or *schedule* it. In this second case, if it schedules Γ as the interval σ on machine number j , it *interrupts* all the already scheduled intervals intersecting σ on this machine (rejected jobs and interrupted intervals are definitively lost and do not appear in the current and future schedules). In order to evaluate the quality of an on-line scheduling algorithm, we introduce the follow-

ing definition of the competitive ratio (see [6] for references on competitive ratios).

Definition 1 (Competitive ratio) Let $\Gamma_1, \dots, \Gamma_n, \dots$ be any on-line sequence of jobs revealed in this order to on-line Algorithm A. Let S^n be the corresponding schedule on k machines constructed by Algorithm A at step $n \geq 1$. Let S^{n*} be the (optimal off-line) maximum size schedule on k machines of the set of jobs $\{\Gamma_1, \dots, \Gamma_n\}$. Algorithm A has a competitive ratio of c (or is c -competitive) if and only if:

$$\forall n \geq 1, c \cdot |S^n| \geq |S^{n*}|$$

Application to networks. Our model can be applied (but is not limited) to the following situation. Consider a link in a communication network, made of k sub-links of identical capacities (for example an optical fiber in which k independent frequencies can be used simultaneously). To schedule on-line requests (revealed one by one) on this link, an on-line algorithm has to choose which one is accepted and on which sub-link. In this application, one request is defined by a length (corresponding to the duration of transmission of the request on one sub-link), a release date and a deadline (after which completing the request is of no use). Here, we want to maximize the number of accepted requests.

Outline of the paper. In Section 2, we propose an on-line algorithm (called *OLUW*), solving TCSP on k identical machines. In Section 3, we prove it is $(4 \min(\beta, \lceil \log_2(\gamma) \rceil + 1))$ -competitive, where β is the number of different job lengths appearing in the on-line input sequence and γ is the ratio between the length of the longest job and the length of the shortest job in the input sequence. In the particular case of a single machine system (i.e. $k = 1$), our algorithm has (in order of magnitude) the best possible competitive ratio, since it is proved in [9] that even for the interval model, no on-line algorithm has a competitive ratio better than $\Omega(\log \gamma)$.

2 Our algorithm *OLUW*

We define Algorithm *OLUW* as follows.

On-line Unit Weight Algorithm - OLUW

Let S be the current schedule made of k sub-schedules S_j ($1 \leq j \leq k$), one on each machine number j and let $\Gamma = (l, r, p)$ be the new revealed job.

IF there exists a machine number j such that there exists an interval $\sigma \subseteq [l, r]$ of length p satisfying:
 $(\forall \sigma' \in S_j, \sigma \cap \sigma' = \emptyset)$ or
 $(\exists \sigma_0 \in S_j, \sigma \subset \sigma_0 \text{ and } 2p(\sigma) \leq p(\sigma_0))$
 THEN interrupt σ_0 (if necessary) and schedule Γ as interval σ on machine j
 ELSE reject Γ .

Note that at each step, Algorithm *OLUW* constructs a feasible schedule.

To simplify the notations, for every interval σ , we say that σ satisfies $\text{cond}_j(\sigma)$ if and only if $(\forall \sigma' \in S_j, \sigma \cap \sigma' = \emptyset)$ or $(\exists \sigma_0 \in S_j, \sigma \subset \sigma_0 \text{ and } 2p(\sigma) \leq p(\sigma_0))$. By using the following algorithm (called *IB* for Important Borders, running on one machine), finding an interval $\sigma \subseteq [l, r]$ of length p satisfying $\text{cond}_j(\sigma)$ if there exists one (corresponding to lines 4 and 5 of Algorithm *OLUW*) can be done in polynomial time for each machine j .

Important Borders Algorithm - IB

Let $\Gamma = (l, r, p)$ be the new revealed job.

Let $j, 1 \leq j \leq k$ be the number of the machine currently checked. On machine number j :

Let $\{[l_1, r_1), \dots, [l_n, r_n)\}$ be the set of intervals already scheduled on machine number j such that
 $l < r_1 \leq l_2 < r_2 \leq \dots \leq l_n < r_n < r$.

Let $l, l_1, r_1, l_2, r_2, \dots, l_n, r_n$ be the sequence of *important borders*.

Let d be the first important border satisfying $[d, d + p) \subseteq [l, r]$ and $\text{cond}_j([d, d + p))$.

IF such a d exists

THEN there exists an interval

$\sigma = [d, d + p) \subseteq [l, r]$ satisfying $\text{cond}_j(\sigma)$

ELSE there is no interval $\sigma \subseteq [l, r]$

of length p satisfying $\text{cond}_j(\sigma)$.

The following Theorem proves the correctness of Algorithm *IB*.

Theorem 1 For every machine number j ($1 \leq j \leq k$), Algorithm *IB* finds an interval $\sigma = [d, d + p) \subseteq [l, r]$ of length p satisfying $\text{cond}_j([d, d + p))$ if and only if there exists one.

Proof. Of course, if Algorithm *IB* finds an interval $\sigma = [d, d + p) \subseteq [l, r]$ of length p satisfying $\text{cond}_j([d, d + p))$, then there exists one.

We now prove that if there exists an interval $\sigma \subseteq [l, r]$ of length p satisfying $\text{cond}_j(\sigma)$, then Algorithm *IB* finds one. Indeed, suppose, by contradiction, that there exists an interval $[l_0, r_0) \subseteq [l, r]$ of length p (i.e. with $r_0 - l_0 = p$) satisfying $\text{cond}_j([l_0, r_0))$ and that Algorithm *IB* finds no interval. Let $d_0 \in \{l, l_1, r_1, l_2, r_2, \dots, l_n, r_n\}$ be the largest important border such that $d_0 \leq l_0$. By definition of Algorithm *IB*, the interval $[d_0, d_0 + p)$ is checked. Let us now consider the two following cases:

- If $[l_0, r_0)$ intersects no interval scheduled on machine j . As d_0 is the largest important border such that $d_0 \leq l_0$ and as $[l_0, r_0)$ and $[d_0, d_0 + p)$ both have length p , $[d_0, d_0 + p)$ intersects no interval scheduled

on machine j . This means that $[d_0, d_0 + p)$ satisfies $\text{cond}_j([d_0, d_0 + p))$. Thus, Algorithm *IB* chooses this interval (contradiction).

- Otherwise, there exists an interval σ scheduled on machine j intersecting $[l_0, r_0)$. As $[l_0, r_0)$ satisfies $\text{cond}_j([l_0, r_0))$, we have $[l_0, r_0) \subseteq \sigma$. Moreover, as d_0 is the largest important border such that $d_0 \leq l_0$ and as $[l_0, r_0)$ and $[d_0, d_0 + p)$ both have length p , we also have $[d_0, d_0 + p) \subseteq \sigma$. This means that $[d_0, d_0 + p)$ satisfies $\text{cond}_j([d_0, d_0 + p))$. Thus, Algorithm *IB* chooses this interval (contradiction).

□

3 Analysis of Algorithm *OLUW*

In the following, we consider any on-line sequence $\Gamma_1, \dots, \Gamma_n, \dots$ as input. In order to analyze its competitive ratio, we will compare S (the schedule given by Algorithm *OLUW* on k machines at step n for the input sequence $\Gamma_1, \dots, \Gamma_n$) with S^* (the optimal schedule given on k machines at step n for the input set $\{\Gamma_1, \dots, \Gamma_n\}$).

Main result. In order to express our main result, we need the following definitions of the parameters β and γ .

Definition 2 For every on-line sequence $\Gamma_1, \dots, \Gamma_n$, we define β as the number of different job lengths appearing in $\Gamma_1, \dots, \Gamma_n$:

$$\beta = \left| \bigcup_{\Gamma \in \Gamma_1, \dots, \Gamma_n} \{p(\Gamma)\} \right|$$

Definition 3 For every on-line sequence $\Gamma_1, \dots, \Gamma_n$, we define γ as the ratio between the length of the longest job and the length of the shortest job in $\Gamma_1, \dots, \Gamma_n$:

$$\gamma = \max \left\{ \frac{p(\Gamma)}{p(\Gamma')} : \Gamma, \Gamma' \in \{\Gamma_1, \dots, \Gamma_n\} \right\}$$

Our main result is the following theorem, expressed with the previous notations, proving that Algorithm *OLUW* is $(4 \min(\beta, \lfloor \log_2(\gamma) \rfloor + 1))$ -competitive.

Theorem 2 $4 \min(\beta, \lfloor \log_2(\gamma) \rfloor + 1) \cdot |S| \geq |S^*|$

In order to prove Theorem 2, we need the following definitions.

Notation 1 For every j , ($1 \leq j \leq k$), let S_j (resp. S_j^*) be the sub-schedule of S (resp. S^*) on machine number j .

Notation 2 For every machine number j ($1 \leq j \leq k$), let T_j be the set of associated intervals scheduled by Algorithm *OLUW* on machine number j , including the intervals that have been scheduled and later interrupted.

Note that two intervals in T_j can overlap, since in general, T_j is not a feasible schedule.

Definition 4 For every machine number j ($1 \leq j \leq k$), we define S_j^{*A} and S_j^{*B} as follows:

- Let S_j^{*A} be the sub-schedule of S_j^* such that $S_j^{*A} \subseteq S_j^*$ and for every $\sigma_a \in S_j^{*A}$, there exists $\sigma_b \in \bigcup_{1 \leq j \leq k} T_j$ such that σ_a and σ_b are associated to the same job Γ (i.e. Γ is scheduled in S_j^{*A} as interval σ_a and has been accepted and scheduled by Algorithm *OLUW* as interval σ_b).
- Let S_j^{*B} be the sub-schedule of S_j^* such that $S_j^{*B} \subseteq S_j^*$ and for every $\sigma_a \in S_j^{*B}$, there is no $\sigma_b \in \bigcup_{1 \leq j \leq k} T_j$ such that σ_a and σ_b are associated to the same job Γ (i.e. Γ is scheduled in S_j^{*B} as interval σ_a but has been rejected by Algorithm *OLUW*).

Note that we have $S_j^{*A} \cap S_j^{*B} = \emptyset$ and $S_j^{*A} \cup S_j^{*B} = S_j^*$.

Definition 5 (The function f) Let j be any machine number ($1 \leq j \leq k$). Let Γ_x be the new revealed job scheduled in the optimal solution as interval x on machine j and rejected by *OLUW* (i.e. $x \in S_j^{*B}$). Let S_j be the current sub-schedule of S on machine j when Γ_x is revealed and let T_j be the set of all associated intervals scheduled by Algorithm *OLUW* on machine number j before Γ_x is revealed (including the intervals that have been scheduled and later interrupted). Note that both S_j and T_j are considered here as they currently are when job Γ_x is revealed. Let $Y_x = \{y \in S_j : (p(y) < 2p(x) \text{ and } x \subseteq y) \text{ or } (x \cap y \neq \emptyset \text{ and } x \not\subseteq y)\}$. We define the function f as follows

$$\begin{aligned} f : S_j^{*B} &\rightarrow T_j \\ x &\mapsto y = [l_y, r_y) \text{ such that } y \in Y_x \\ &\text{and } l_y = \min\{l_{y'} : y' \in Y_x\} \end{aligned}$$

Note that we want y be such that $l_y = \min\{l_{y'} : y' \in Y_x\}$ just to ensure that there is only one $y = f(x)$. We now introduce some technical Lemmas in order to prove Theorem 2.

Lemma 1 For every machine number j ($1 \leq j \leq k$), for every interval $x \in S_j^{*B}$, we have

$$|\{y : y = f(x)\}| = 1$$

Proof. Let Γ_x be the new revealed job scheduled in S_j^{*B} as interval x . Let S_j be the current sub-schedule of S on machine j when Γ_x is revealed. Since $x \in S_j^{*B}$, Γ_x has been rejected by Algorithm *OLUW*. In particular, this means that Algorithm *OLUW* has rejected Γ_x of machine j . Thus, by definition of *OLUW* and by Theorem 1, there exists $y_0 \in S_j$ such that $(p(y_0) < 2p(x) \text{ and } x \subseteq y_0) \text{ or } (x \cap y_0 \neq \emptyset \text{ and } x \not\subseteq y_0)$. If there exist several such y_0 , choose the one with the minimum left border. By definition of f , we have $y_0 = f(x)$, thus $|\{y : y = f(x)\}| = 1$. □

Lemma 2 Let j be any machine number ($1 \leq j \leq k$). Let Γ_x be the new revealed job scheduled in S_j^{*B} as interval x . Let S_j be the current sub-schedule of S on machine j when Γ_x is revealed and let T_j be the set of all associated intervals scheduled by Algorithm OLUW on machine number j before that Γ_x is revealed (including the intervals that have been scheduled and later interrupted). For every interval $y \in T_j$, we have

$$|\{x : y = f(x)\}| \leq 3$$

Proof. By contradiction, suppose that there exists $y \in T_j$ such that $|\{x : y = f(x)\}| \geq 4$. We denote by $\{x_1 = [l_1, r_1), x_2 = [l_2, r_2), \dots, x_n = [l_n, r_n)\}$ (with $n \geq 4$) the set of intervals such that $\bigcup_{1 \leq l \leq n} \{x_l\} = \{x : y = f(x)\}$, ordered by increasing left borders (i.e. $l_1 < l_2 < \dots < l_n$). As $y = f(x)$, we have

$$x \cap y \neq \emptyset \quad (1)$$

Moreover, since $x \in S_j^{*B} \subseteq S_j^*$, for every a, b ($1 \leq a < b \leq n$), we have $x_a \cap x_b = \emptyset$ (because S_j^* is a valid schedule on one machine). Thus, we have

$$r_1 \leq l_2 < r_2 \leq l_3 < \dots < r_{n-1} \leq l_n \quad (2)$$

By (1) and (2), we obtain

$$\forall l, 2 \leq l \leq n-1, x_l \subset y \quad (3)$$

Without loss of generality, suppose that $p(x_2) = \min \{p(x) : x \in \bigcup_{2 \leq l \leq n-1} \{x_l\}\}$. Thus, we have

$$\begin{aligned} (n-2)p(x_2) &\leq \sum_{l=2}^{n-1} p(x_l) \\ \Rightarrow 2p(x_2) &\leq \sum_{l=2}^{n-1} p(x_l) \\ &\quad (\text{because } n \geq 4) \\ \Rightarrow 2p(x_2) &\leq p(y) \\ &\quad (\text{by (3)}) \end{aligned}$$

This contradicts the fact that $y = f(x_2)$ (indeed, by definition of f , we have $p(y) < 2p(x_2)$). \square

Lemma 3 $|S^*| \leq 4 \sum_{j=1}^k |T_j|$

Proof. By Lemma 1, for every machine j ($1 \leq j \leq k$), we have $\{x : y \in T_j \text{ and } y = f(x)\} = S_j^{*B}$. Thus, we have

$$|\{x : y \in T_j \text{ and } y = f(x)\}| = |S_j^{*B}| \quad (4)$$

By Lemma 2, we have

$$|\{x : y \in T_j \text{ and } y = f(x)\}| \leq 3|T_j| \quad (5)$$

By (4) and (5), we obtain

$$|S_j^{*B}| \leq 3|T_j| \quad (6)$$

Two cases may happen:

- If $|S^*| \leq 4 \sum_{j=1}^k |S_j^{*A}|$. By definition of S_j^{*A} , $\forall \sigma_a \in S_j^{*A}$, $\exists \sigma_b \in \bigcup_{1 \leq j \leq k} T_j$ such that σ_a and σ_b come from the same job Γ . Thus, we have $\sum_{j=1}^k |S_j^{*A}| \leq \sum_{j=1}^k |T_j|$. We obtain:

$$|S^*| \leq 4 \sum_{j=1}^k |T_j|$$

- If $|S^*| \geq 4 \sum_{j=1}^k |S_j^{*A}|$. By definition of S_j^{*A} and S_j^{*B} , we have $S_j^{*A} \cap S_j^{*B} = \emptyset$ and $S_j^{*A} \cup S_j^{*B} = S_j^*$. Thus, we obtain:

$$\begin{aligned} |S^*| &= \sum_{j=1}^k |S_j^{*A}| + \sum_{j=1}^k |S_j^{*B}| \\ \Rightarrow |S^*| &\leq \frac{|S^*|}{4} + \sum_{j=1}^k |S_j^{*B}| \\ \Rightarrow \frac{3}{4}|S^*| &\leq \sum_{j=1}^k |S_j^{*B}| \\ \Rightarrow |S^*| &\leq \frac{4}{3} \sum_{j=1}^k |S_j^{*B}| \\ \Rightarrow |S^*| &\leq 4 \sum_{j=1}^k |T_j| \quad (\text{by (6)}) \end{aligned}$$

\square

Lemma 4 For every machine number j ($1 \leq j \leq k$), we have

$$\min(\beta, \lfloor \log_2(\gamma) \rfloor + 1) \cdot |S_j| \geq |T_j|$$

Proof. For every $\sigma \in S_j$, we define the sequence of associated intervals “rooted” in σ by $R(\sigma) = x_1, x_2, \dots, x_i$ where $\sigma = x_i$ and i is the largest integer such that for every l ($1 \leq l \leq i-1$), x_l has been replaced by x_{l+1} during the execution of Algorithm OLUW. By definition of OLUW, we have

$$\begin{aligned} p(x_1) &\geq 2p(x_2) \geq 2^2p(x_3) \geq \dots \geq 2^{i-1}p(x_i) \\ \Rightarrow \frac{p(x_1(\sigma))}{p(x_i(\sigma))} &\geq 2^{i-1} \\ \Rightarrow \gamma &\geq 2^{i-1} \end{aligned}$$

(because $\gamma = \max \left\{ \frac{p(\Gamma)}{p(\Gamma')} : \Gamma, \Gamma' \in \Gamma_1, \dots, \Gamma_n \right\}$ and x_1 and x_i are intervals associated to jobs in $\{\Gamma_1, \dots, \Gamma_n\}$)

$$\Rightarrow \lfloor \log_2(\gamma) \rfloor \geq |R(\sigma)| - 1$$

(because $|R(\sigma)| = i$ is an integer)

Thus, we obtain

$$\lfloor \log_2(\gamma) \rfloor + 1 \geq |R(\sigma)| \quad (7)$$

By definition of β , we have

$$\begin{aligned}
\beta &= \left| \bigcup_{\Gamma \in \{\Gamma_1, \dots, \Gamma_n\}} \{p(\Gamma)\} \right| \\
&\geq \left| \bigcup_{\sigma' \in R(\sigma)} \{p(\sigma')\} \right| \\
&= |R(\sigma)| \quad (\text{because, by definition of } OLUW, \\
&\quad p(x_1) > p(x_2) > \dots > p(x_i))
\end{aligned}$$

Thus, we obtain

$$\beta \geq |R(\sigma)| \quad (8)$$

By definition of S_j, T_j and $R(\sigma)$, we have:

$$\begin{aligned}
\bigcup_{\sigma \in S_j} R(\sigma) &= T_j \\
\Rightarrow \left| \bigcup_{\sigma \in S_j} R(\sigma) \right| &= |T_j| \\
\Rightarrow \sum_{\sigma \in S_j} |R(\sigma)| &\geq |T_j| \\
\Rightarrow \sum_{\sigma \in S_j} \min(\beta, \lfloor \log_2(\gamma) \rfloor + 1) &\geq |T_j| \\
&\quad (\text{by (7) and (8)}) \\
\Rightarrow \min(\beta, \lfloor \log_2(\gamma) \rfloor + 1) \cdot |S_j| &\geq |T_j|
\end{aligned}$$

□

We are now able to give a proof of Theorem 2.

Proof of Theorem 2. By Lemmas 3 and 4, we have

$$\begin{aligned}
|S^*| &\leq 4 \sum_{j=1}^k |T_j| \\
&\leq 4 \sum_{j=1}^k \min(\beta, \lfloor \log_2(\gamma) \rfloor + 1) \cdot |S_j| \\
&= 4 \min(\beta, \lfloor \log_2(\gamma) \rfloor + 1) \cdot |S|
\end{aligned}$$

□

3.1 Conclusion

We have proposed a $(4 \min(\beta, \lfloor \log_2(\gamma) \rfloor + 1))$ -competitive on-line algorithm (called *OLUW*), solving TCSP on k identical machines, where β is the number of different job lengths appearing in the on-line input sequence and γ is the ratio between the length of the longest job and the length of the shortest job in the input sequence (note that Algorithm *OLUW* do not need to know neither β nor γ beforehand). We underline the fact that in many situations (corresponding to “homogeneous” jobs), this competitive ratio is constant. For example, when $\beta = c$ (with c any constant), Algorithm *OLUW* is $4c$ -competitive. When $\gamma = 2^{c'}$ (with c' any constant), Algorithm *OLUW* is $(4c' + 4)$ -competitive.

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