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# Control in o-minimal hybrid systems

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Abstract. In this paper, we consider the control of general hybrid systems. Surprisingly, time-abstract bisimulation is not fine enough for solving such a problem. Conversely, we show that suffix equivalence is a correct abstraction for that problem. We apply this equivalence to ominimal hybrid systems and get decidability and computability results in this framework.

# 1 Introduction

Control of hybrid systems. Hybrid systems are finite-state machines equipped with a continuous dynamics. In the last thirty years, formal verification of such systems has become a very active field of research in computer science, with numerous success stories. In this context, hybrid automata, an extension of timed automata [3], have been intensively studied [2, 20, 17, 18], and decidable subclasses of hybrid systems have been drawn like initialized rectangular hybrid automata [18] or o-minimal hybrid automata [24]. More recently, the control of hybrid systems has appeared as a new interesting and active field of research, and many results have already been obtained, like the (un)decidability of control problems for hybrid automata [19], or (semi-)algorithms for solving such problems [14]. Given a system S (with controllable and uncontrollable actions) and a property  $\varphi$ , controlling the system means building an other system C (which can only enforce controllable actions), called the controller, such that  $S \parallel C$  (the system S guided by the controller C) satisfies the property  $\varphi$ . In our context, the property is a reachability property and our aim is to build a controller enforcing a given location of the system, whatever does the environment (playing the uncontrollable actions).

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*O-minimal hybrid systems.* O-minimal hybrid systems have been first proposed in [24] as an interesting class of systems (see [30] for an overview of properties of o-minimal structures). They have a very rich continuous dynamics, but limited discrete steps (at each discrete step, all variables have to be reset, independently from their initial values). This allows to decouple the continuous and discrete components of the hybrid system (see [24]). Thus, properties of a global o-minimal system can be deduced directly from properties of the continuous behaviors of the system. Since the introductory paper [24], several works have considered o-minimal hybrid systems [13, 10, 9, 22, 23, 15], mostly focusing on abstractions of such systems, on reachability properties, and on bisimulation properties.

Word encoding. In [10], an encoding of trajectories with words has been proposed in order to prove the existence of finite bisimulations for o-minimal hybrid systems (see also [9]). Let us mention that this technique has been used in [22, 23] in order to provide an exponential bound on the size of the finite bisimulation in the case of pfaffian hybrid systems. Different word encoding techniques have been studied in a wider context in [8]. In this paper we use the so-called suffix encoding, which was shown to be in general too fine in order to provide the coarsest time-abstract bisimulation. However, based on this encoding, a semialgorithm has been proposed in [8] for computing a time-abstract bisimulation, and it terminates in the case of o-minimal hybrid systems (under some word uniqueness hypothesis<sup>1</sup>).

Contributions of this paper. In this paper, we focus on the control of hybrid systems, and use the above-mentioned suffix word encoding of trajectories for giving sufficient computability conditions for the winning states of a game. Timeabstract bisimulation is an equivalence relation which is correct with respect to reachability properties [4, 27]. Game bisimulation is correct for discrete infinitestate games [14]. On the contrary, we show that the time-abstract bisimulation is not correct for solving control problems (with a reachability objective): we exhibit a system where two states are time-abstract bisimilar, but one of the states is winning and the other is not winning. Using the word encoding of trajectories of [8], we prove that two states having the same suffixes in this encoding are equivalently winning or losing (this is a stronger condition than for the time-abstract bisimulation). We finally focus on o-minimal hybrid games and prove that under the assumption that the theory of the underlying o-minimal structure is decidable and assuming that each state has a unique suffix, then the control problem can be solved, winning states and strategies can be computed. Note that this unique suffix assumption is not that restrictive as it encompasses the assumptions of [24] where continuous dynamics are time-deterministic.

*Plan of the paper.* In Section 2, we recall that, in classical untimed games, bisimulation can be used for computing winning states. In Section 3, we define

<sup>&</sup>lt;sup>1</sup> Notice that when this word uniqueness assumption is relaxed, the reachability problem becomes undecidable for o-minimal hybrid systems (see [7]).

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the hybrid games we will consider, and we show that time-abstract bisimulation is not correct for solving them. The word encoding technique is presented in Section 4 and used in Section 5 to present a general frame for solving hybrid games. We apply these results in Section 6 for computing winning states and winning strategies in o-minimal hybrid games.

## 2 Classical games

In this section, we recall some basic definitions and results concerning bisimulations on a transition system (see [1, 12, 17] for general references).

### 2.1 Classical games

We present here the definitions of the problem of control on a finite graph (also called finite game) and the notion of strategy (see [16] for an overview on games). These definitions are classical and will be extended to real-time systems in the next section.

**Definition 2.1.** A *finite automaton* is a tuple  $\mathcal{A} = (Q, \mathsf{Goal}, \Sigma, \delta)$  where Q is a finite set of locations,  $\mathsf{Goal} \subseteq Q$  is a subset of winning locations,  $\Sigma$  is a finite set of actions, and  $\delta$  consists of a finite number of transitions  $(q, a, q') \in Q \times \Sigma \times Q$ .

**Definition 2.2.** A transition system  $T = (Q, \Sigma, \rightarrow)$  consists of a set of states Q (which may be uncountable),  $\Sigma$  an alphabet of events, and  $\rightarrow \subseteq Q \times \Sigma \times Q$  a transition relation.

A transition  $(q_1, a, q_2) \in \to$  is also denoted by  $q_1 \xrightarrow{a} q_2$ . A transition system is said finite if Q is finite. Note that a finite automaton canonically defines a transition system  $T_{\mathcal{A}}$ .

A run of  $\mathcal{A}$  is a finite or infinite sequence  $q_0 \xrightarrow{a_1} q_1 \xrightarrow{a_2} \ldots$  of the transition system  $T_{\mathcal{A}}$ . Such a run is said winning if  $q_i \in \mathsf{Goal}$  for some *i*. We note  $\mathsf{Runs}(\mathcal{A}, q)$ the set of runs which start in q, and  $\mathsf{Runs}(\mathcal{A}) = \bigcup_{q \in Q} \mathsf{Runs}(\mathcal{A}, q)$ . Similarly we define the set of finite runs  $\mathsf{Runs}_f(\mathcal{A})$ .

**Definition 2.3.** A finite game is a finite automaton  $(Q, \mathsf{Goal}, \Sigma, \delta)$  where  $\Sigma$  is partitioned into two subsets  $\Sigma_c$  and  $\Sigma_u$  corresponding to controllable and uncontrollable actions.

We will consider *control games*, informally there are two players in such a game: the *controller* and the *environment*. The actions of  $\Sigma_c$  belong to the controller and the actions of  $\Sigma_u$  belong to the environment. At each step, the controller proposes a controllable action which corresponds to the action it wants to perform; then either this action or an uncontrollable action is done and the automaton goes into one of the next states<sup>2</sup>.

<sup>&</sup>lt;sup>2</sup> There may be several next states as the game is not supposed to be deterministic. We indeed assume that the environment chooses the next state in case there are several.

**Definition 2.4.** A strategy is a partial function  $\lambda$  from  $\operatorname{Runs}_f(\mathcal{A})$  to  $\Sigma_c$ .

Let  $\rho = q_0 \xrightarrow{a_1} q_1 \dots$  be a run, and set for every  $i, \rho_i$  the prefix of length i of  $\rho$ . The run  $\rho$  is said *consistent with a strategy*  $\lambda$  when for all  $i, a_{i+1} = \lambda(\rho_i)$  or  $a_{i+1} \in \Sigma_u$ .

A strategy  $\lambda$  is winning from a state q if all infinite runs starting in q consistent with  $\lambda$  are winning.

## 2.2 Bisimulation

We recall now the definition of bisimulation of transition systems:

**Definition 2.5 ([12]).** Given a transition system  $T = (Q, \Sigma, \rightarrow)$ , a bisimulation for T is an equivalence relation  $\sim \subseteq Q \times Q$  such that  $\forall q_1, q'_1, q_2 \in Q$ ,

$$\forall a \in \Sigma \ \left(q_1 \sim q'_1 \text{ and } q_1 \xrightarrow{a} q_2\right) \Rightarrow \left(\exists q'_2 \ q_2 \sim q'_2 \text{ and } q'_1 \xrightarrow{a} q'_2\right)$$

## 2.3 Game and bisimulation in the untimed case

In the untimed framework bisimulation is a commonly used technique to abstract games: bisimilar states can be identified in the control problem as stated by the next theorem.

**Theorem 2.6.** Let  $\mathcal{A} = (Q, \text{Goal}, \Sigma, \delta)$  be a finite game,  $q, q' \in Q$  and  $\sim a$  bisimulation compatible with Goal. Then, there is a winning strategy from q iff there is a winning strategy from q'.

This theorem remains true for infinite-state discrete games [19, 14] and can be used to solve these games: if an infinite-state game has a bisimulation of finite index, the control problem can be reduced to a control problem over a finite graph. Real-time control problems cannot be seen as classical infinite-state games because of the special nature of the time-elapsing action. which does not belong to one of the players. It seems nevertheless natural to try to adapt the bisimulation approach to solve real-time control problems.

# 3 Games over dynamic systems

#### 3.1 Dynamical systems

Let  $\mathcal{M}$  be a structure. In this paper when we say that some relation, subset or function is *definable*, we mean it is first-order definable in the sense of the structure  $\mathcal{M}$ . As usual we denote by  $\mathsf{Th}(\mathcal{M})$  the theory of  $\mathcal{M}$ . A general reference for first-order logic is [21]. In this paper we only consider structures  $\mathcal{M}$  that are expansion of ordered groups, we also assume that the structure  $\mathcal{M}$  contains two symbols of constants, *i.e.*  $\mathcal{M} = \langle M, +, 0, 1, <, ... \rangle$  and without loss of generality we assume that 0 < 1. **Definition 3.1.** A dynamical system is a pair  $(\mathcal{M}, \gamma)$  where:

- $\mathcal{M} = \langle M, +, 0, 1, <, ... \rangle$  is an expansion of an ordered group,
- $-\gamma: V_1 \times M^+ \to V_2$  is a function
  - (where  $M^+ = \{m \in M \mid m \ge 0\}, V_1 \subseteq M^{k_1}, \text{ and } V_2 \subseteq M^{k_2}$ ).

The function  $\gamma$  is called the *dynamics* of the dynamical system.

Classically, when M is the field of the reals, we see  $M^+$  as the time,  $V_1 \times M^+$ as the space-time,  $V_2$  as the (output) space and  $V_1$  as the input space. We keep this terminology in the more general context of a structure  $\mathcal{M}$ .

The definition of *dynamical systems* encompasses a lot of different behaviors. Let us give some examples.

Example 3.2. We can recover the continuous dynamics of timed automata (see [3]). In this case, we have that  $\mathcal{M} = \langle \mathbb{R}, <, +, 0, 1 \rangle$  and the dynamics  $\gamma : \mathbb{R}^n \times [0, +\infty[ \to \mathbb{R}^n \text{ is defined as follows.}]$ 

$$\gamma(x_1, ..., x_n, t) = (x_1 + t, ..., x_n + t)$$

**Definition 3.3.** If we fix a point  $x \in V_1$ , the set  $\Gamma_x = \{\gamma(x,t) \mid t \in M^+\} \subseteq V_2$  is called the *trajectory* determined by x.

We define a transition system associated with the dynamical system, this definition is an adaptation to our context of the classical *continuous transition* system in the case of hybrid systems (see [24] for example).

**Definition 3.4.** Given  $(\mathcal{M}, \gamma)$  a dynamical system, we define a transition system  $T_{\gamma} = (Q, \Sigma, \rightarrow_{\gamma})$  associated with the dynamical system by:

- the set Q of states is  $V_2$ ;
- the set  $\Sigma$  of events is  $M^+ = \{m \in M \mid m \ge 0\};$
- the transition relation  $y_1 \xrightarrow{t} y_2$  is defined by:

$$\exists x \in V_1, \ \exists t_1, t_2 \in M^+, \ (t_1 \leq t_2, \ \gamma(x, t_1) = y_1, \ \gamma(x, t_2) = y_2 \ \text{and} \ t = t_2 - t_1)$$

## 3.2 *M*-games

In this subsection, we define  $\mathcal{M}$ -automata, which are automata with guards, resets and continuous dynamics definable in the  $\mathcal{M}$ -structure. We then introduce our model of real-time games which is an  $\mathcal{M}$ -automaton with two sets of actions, one for each player; we finally express in terms of winning strategy the main problem we will be interested in: the control problem in an  $\mathcal{M}$ -structure.

 $\mathcal{M}$ -automata.

**Definition 3.5 (** $\mathcal{M}$ **-automaton).** An  $\mathcal{M}$ -automaton is a tuple  $\mathcal{A} = (\mathcal{M}, Q, \mathsf{Goal}, \Sigma, \delta, \gamma)$  where  $\mathcal{M} = (\mathcal{M}, +, <, \cdots)$  is an expansion of an ordered group, Q is a finite set of locations,  $\mathsf{Goal} \subseteq Q$  is a subset of winning locations,  $\Sigma$  is a finite set of actions,  $\delta$  consists in a finite number of transitions  $(q, g, a, R, q') \in Q \times 2^{V_2} \times \Sigma \times (V_2 \to 2^{V_2}) \times Q$  where g and R are definable in  $\mathcal{M}$ , and  $\gamma$  maps every location  $q \in Q$  to a dynamic  $\gamma_q : V_1 \times M \to V_2$  definable in  $\mathcal{M}$ .

We use a general definition for resets: a reset R is indeed a general function from  $V_2$  to  $2^{V_2}$ , which may for example correspond to a non-deterministic update. If the current state is (q, y) the system will jump to some (q', y') with  $y' \in R(y)$ .

An  $\mathcal{M}$ -automaton  $\mathcal{A} = (\mathcal{M}, Q, \mathsf{Goal}, \Sigma, \delta, \gamma)$  defines a mixed transition system  $T_{\mathcal{A}} = (S, \Gamma, \rightarrow)$  as follows:

- the set S of states is  $Q \times V_2$ ;
- the set  $\Gamma$  of labels is  $M^+ \cup \Sigma$ ;
- the transition relation  $(q, y) \xrightarrow{e} (q', y')$  is defined when:
  - $e \in \Sigma$  and there exists  $(q, g, e, R, q') \in \delta$  with  $y \in g$  and  $y' \in R(y)$ ,
  - $e \in M^+$ , q = q', and  $y \xrightarrow{e}{\rightarrow}_{\gamma_q} y'$  where  $\gamma_q$  is the dynamic in location q.

In the sequel, we will focus on behaviors of  $\mathcal{M}$ -automata which alternate between continuous transitions and discrete transitions, like classically in timed automata. We will also need more precise notions of transitions. When  $(q, y) \xrightarrow{t'} (q, y')$  with  $t' \in \mathcal{M}^+$ , this is due to some choice of  $(x, t) \in V_1 \times \mathcal{M}^+$  such that  $\gamma_q(x, t) = y$ . We say that  $(q, y) \xrightarrow{t'}_{x,t} (q, y')$  if  $(q, y) \xrightarrow{t'} (q, y')$  and  $\gamma_q(x, t) = y$ . To ease the reading of the paper, we will sometimes write  $(q, x, t, y) \xrightarrow{t'} (q, x, t, y')$ for  $(q, y) \xrightarrow{t'}_{x,t} (q, y')$ . We say that an action  $(d, a) \in \mathcal{M}^+ \times \Sigma$  is enabled in a state (q, x, t, y) if there exists a (q', x', t', y') such that  $(q, x, t, y) \xrightarrow{d,a} (q', x', t', y')$ .

A run of  $\mathcal{A}$  is a finite or infinite sequence  $(q_0, x_0, t'_0, y_0) \xrightarrow{t_1, a_1} (q_1, x_1, t'_1, y_1) \dots$ where for every  $i, (q_i, y_i) \xrightarrow{t_i}_{t'_i, x_i} (q_i, y'_i) \xrightarrow{a} (q_{i+1}, y_{i+1})$ . Such a run is said winning if  $q_i \in \text{Goal}$  for some i. We note  $\text{Runs}(\mathcal{A}, (q, x, t, y))$  (resp.  $\text{Runs}_f(\mathcal{A}, (q, x, t, y))$ ) the set of (finite) runs starting in (q, x, t, y), and we note  $\text{Runs}(\mathcal{A})$  the set  $\bigcup_{(q, x, t, y) \in Q \times V_1 \times M^+ \times V_2} \text{Runs}(\mathcal{A}, (q, x, t, y))$ . If  $\rho$  is a finite run  $(q_0, x_0, t'_0, y_0) \xrightarrow{t_1, a_1} \dots \xrightarrow{t_n, a_n} (q_n, x_n, t'_n, y_n)$  we define  $last(\rho) = (q_n, x_n, t'_n, y_n)$ .

### $\mathcal{M}$ -games.

**Definition 3.6** ( $\mathcal{M}$ -game). An  $\mathcal{M}$ -game is an  $\mathcal{M}$ -automaton ( $\mathcal{M}, Q, \text{Goal}, \Sigma, \delta, \gamma$ ) where  $\Sigma$  is partitioned into two subsets  $\Sigma_c$  and  $\Sigma_u$  corresponding to controllable and uncontrollable actions.

Without loss of generality, we suppose that there is a loop labeled by a controllable action on every state of Goal.

**Definition 3.7 (Strategy).** A strategy<sup>3</sup> is a partial function  $\lambda$  from  $\operatorname{Runs}_f(\mathcal{A})$  to  $M^+ \times \Sigma_c$  such that for all runs  $\rho$  in  $\operatorname{Runs}(\mathcal{A})$ ,  $\lambda(\rho)$  is enabled in  $last(\rho)$ .

Let  $\rho = (q_0, x_0, t'_0, y_0) \xrightarrow{t_1, a_1} \dots$  be an run, and set for every i,  $\rho_i$  the prefix of length i of  $\rho$ . The run  $\rho$  is said *consistent with a strategy*  $\lambda$  when for all i, if  $\lambda(\rho_i) = (t, a)$  then either  $t_{i+1} = t$  and  $a_{i+1} = a$ , or  $t_{i+1} \leq t$  and  $a_{i+1} \in \Sigma_u$ .

A run  $\rho$  is said maximal if it is infinite or if it is finite ending in (q, x, t, y)and satisfies that for all  $t' \ge 0$ , for all  $a \in \Sigma$ , " $(q, x, t, y) \xrightarrow{t', a}$ " implies  $a \in \Sigma_u$ . A strategy  $\lambda$  is winning from a state (q, x, t, y) if all maximal runs starting in (q, x, t, y) compatible with  $\lambda$  are winning.

We can now define the control problem we will study.

**Problem 3.8 (Control problem in a class** C of M-automata). Given an M-game  $A \in C$ , and a definable initial state (q, y), determine if there is a winning strategy in A from (q, y).

## 3.3 Time-abstract bisimulation

A classical behavioral characterization of dynamical systems is the so-called *time-abstract bisimulation* [17]. We assume w.l.o.g. that the bisimulation relation is an equivalence relation.

**Definition 3.9.** Given a mixed transition system  $T = (S, \Gamma, \rightarrow)$ , a *time-abstract* bisimulation for T is an equivalence relation  $\sim \subseteq Q \times Q$  such that  $\forall q_1, q'_1, q_2 \in Q$ , the two following conditions are satisfied:

$$\forall a \in \Sigma, \ \left(q_1 \sim q'_1 \text{ and } q_1 \xrightarrow{a} q_2\right) \Rightarrow \left(\exists q'_2 \in Q \text{ s.t. } q_2 \sim q'_2 \text{ and } q'_1 \xrightarrow{a} q'_2\right)$$
  
$$\forall t \in M^+, \ \left(q_1 \sim q'_1 \text{ and } q_1 \xrightarrow{t} q_2\right) \Rightarrow$$
  
$$\left(\exists t' \in M^+, \ \exists q'_2 \in Q \text{ s.t. } q_2 \sim q'_2 \text{ and } q'_1 \xrightarrow{t'} q'_2\right)$$

#### 3.4 *M*-game and bisimulation

Time-abstraction bisimulation is a sufficient behavioral relation to check reachability properties of timed systems, and in particular of  $\mathcal{M}$ -automata [8]. When considering control problems, we will see that this is no more the case in general.

Example 3.10. Let us consider the  $\mathcal{M}$ -game  $\mathcal{A} = (\mathcal{M}, Q, \mathsf{Goal}, \Sigma, \delta, \gamma)$  where  $\mathcal{M} = \langle \mathbb{R}, <, +, 0, 1, \equiv_2 \rangle$  ( $\equiv_2$  denotes the "modulo 2" relation),  $Q = \{q_1, q_2, q_3\}$ ,  $\mathsf{Goal} = \{q_2\}, \Sigma = \{c, u\}$ . The dynamic in  $q_1, \gamma_{q_1} : \mathbb{R}^+ \times \{0, 1\} \times \mathbb{R}^+ \to \mathbb{R}^+ \times \{0, 1\}$  is defined as  $\gamma_{q_1}(x_1, x_2, t) = (x_1 + t, x_2)$ .

 $<sup>^{3}</sup>$  In the context of control problems, a strategy is also called a *controller*.

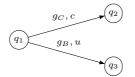
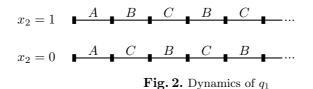


Fig. 1. The *M*-game



We consider the partition depicted on Figure 2. The guard  $g_C$  is satisfied on C-states and the guard  $g_B$  is satisfied on B-states. Note that this partition is compatible with Goal and w.r.t. discrete transitions.

In this game, the controller can win when it enters a C-state by performing action c and it looses when entering a B-state because it cannot prevent the environment from performing a u and going in the losing state  $q_3$ .

It follows that the state  $s_1 = (q_1, (0, 1))$  is losing, whereas the state  $s_2 = (q_1, (0, 0))$  is winning. However the equivalence relation induced by the partition  $\{A, B, C\}$  is a time-abstract bisimulation: the two states  $s_1$  and  $s_2$  are thus timeabstract bisimilar, but not equivalent for the game. It follows that time-abstract bisimulation is not correct for solving control problems, in the sense that it may happen that a time-abstract bisimulation cannot distinguish between winning and losing states.

**Proposition 3.11.** Let  $\mathcal{M}$  be a structure and  $\mathcal{A}$  be an  $\mathcal{M}$ -game. A partition respecting Goal and inducing a time-abstract bisimulation on  $Q \times V_2$  does not necessarily respect the set of winning states of  $\mathcal{A}$ .

## 4 Words and dynamics

In this section we first explain how to encode trajectories of dynamical systems through words. This technique was introduced in [10, 9] in order to study ominimal hybrid systems. Then we focus on the *suffix partition* introduced in [8]. We closely follow the lines of [8] in this section.

## 4.1 Encoding trajectories by words

First let us define the notion of *word* in this general (possibly uncountable) context. This definition is inspired from [28, 11, 26].

**Definition 4.1.** Given  $\mathcal{P}$  a finite set (called the *alphabet*), M a totally ordered set, a word  $\omega$  on  $\mathcal{P}$  is a function from M to  $\mathcal{P}$ ; the word  $\omega$  is also denoted in a sequence-like notation by  $(\omega_i)_{i \in M}$  where  $\omega_i \in \mathcal{P}$  is the image of the element i under the function  $\omega$ .

**Definition 4.2.** Given  $\omega : M \to \mathcal{P}$  a word on  $\mathcal{P}$ , a *suffix of*  $\omega$  is a sub-word  $\omega_s : M' \to \mathcal{P}$  of  $\omega$  such that  $M' = \{t \mid t \ge t_0\}$  or  $M' = \{t \mid t > t_0\}$  for some  $t_0 \in M$ . The prefix of a word can be defined in a similar way.

We are now ready to build words associated with trajectories. Given  $(\mathcal{M}, \gamma)$ a dynamical system and  $\mathcal{P}$  a finite partition of  $V_2$ , given  $x \in V_1$  we associate a word with the trajectory  $\Gamma_x$  in the following way. We consider the sets  $\{t \in M^+ \mid \gamma(x,t) \in P\}$  for  $P \in \mathcal{P}$ . This gives a partition of the time  $M^+$ . In order to define a word on  $\mathcal{P}$  associated with the trajectory determined by x, we need to define the set of intervals  $\mathcal{F}_x$ .

$$\mathcal{F}_x = \{ I \mid I \text{ is a time interval or a point and is maximal for the property} \\ \exists P \in \mathcal{P}, \ \forall t \in I, \ \gamma(x,t) \in P \}.$$

For each x, the set  $\mathcal{F}_x$  is totally ordered by the order induced from M. This allows us to define the word on  $\mathcal{P}$  associated with  $\Gamma_x$  denoted  $\omega_x$ .

**Definition 4.3.** Given  $x \in V_1$ , the word associated with  $\Gamma_x$  is given by the function  $\omega_x : \mathcal{F}_x \to \mathcal{P}$  defined by:

$$\omega_x(I) = P$$
 where  $I \in \mathcal{F}_x$  is such that  $\forall t \in I, \ \gamma(x,t) \in P$ .

Let us note that given  $x \in V_1$ , there exists a unique word  $\omega_x$  on  $\mathcal{P}$  associated with the trajectory  $\Gamma_x$ .

**Definition 4.4.** We denote by  $\Omega_{\mathcal{P}}$  the set of words associated with  $(\mathcal{M}, \gamma)$  over  $\mathcal{P}$ . We have that  $\Omega_{\mathcal{P}}$  is a set of words on  $\mathcal{P}$ .

The set  $\Omega_{\mathcal{P}}$  gives in some sense a complete *static* description of the dynamical system  $(\mathcal{M}, \gamma)$  through the partition  $\mathcal{P}$ . In order to recover the *dynamics*, we need further information. This is the object of the following subsection.

## 4.2 Dynamical type

Given a point x of the input space  $V_1$ , we have associated with x a trajectory  $\Gamma_x$  and a word  $\omega_x$ . If we consider (x,t) a point of the space-time  $V_1 \times M^+$ , it corresponds to a point  $\gamma(x,t)$  lying on  $\Gamma_x$ . To recover in some sense the position of  $\gamma(x,t)$  on  $\Gamma_x$  from  $\omega_x$ , we associate with (x,t) a suffix of the word  $\omega_x$  denoted  $\omega_{(x,t)}$ . The construction of  $\omega_{(x,t)}$  is similar to the construction of  $\omega_x$ . We need to introduce the set of intervals

$$\mathcal{F}_{(x,t)} = \left\{ I \cap \{ t' \in M \mid t' \ge t \} \mid I \in \mathcal{F}_x \right\}.$$

In the sequel we also have to consider special sub-words of  $\omega_x$ , in fact the prefixes of the  $\omega_{x,t}$ . The construction is done as previously. First we consider the set of intervals  $\mathcal{F}_{(x,t_1,t_2)} = \{I \cap \{t \in M \mid t_1 \leq t \leq t_2\} \mid I \in \mathcal{F}_x\}$ . Then we define the word  $\omega_{(x,t_1,t_2)}$  as a function from  $\mathcal{F}_{(x,t_1,t_2)}$  to  $\mathcal{P}$ . For each (x,t), the set  $\mathcal{F}_{(x,t)}$  is totally ordered by the order induced from M.

For each (x, t), the set  $\mathcal{F}_{(x,t)}$  is totally ordered by the order induced from M. This allows us to define the suffix of the word  $\omega_x$  associated with time t denoted  $\omega_{(x,t)}$ .

**Definition 4.5.** Given  $(x,t) \in V_1 \times M^+$ , the suffix of the word  $\omega_x$  associated with time t is given by the function  $\omega_{(x,t)} : \mathcal{F}_{(x,t)} \to \mathcal{P}$  defined by:

$$\omega_{(x,t)}(I) = P$$
 where  $I \in \mathcal{F}_{(x,t)}$  is such that  $\forall t' \in I, \ \gamma(x,t') \in P$ .

Let us notice that given (x,t) a point of the space-time  $V_1 \times M^+$  there is a unique suffix  $\omega_{(x,t)}$  of  $\omega_x$  associated with (x,t). Given a point  $y \in V_2$  it may have several (x,t) such that  $\gamma(x,t) = y$  and so several suffixes are associated with y. In other words, given  $y \in V_2$ , the *future* of y is non-deterministic, and a single suffix  $\omega_{(x,t)}$  is thus not sufficient to recover the dynamics of the transition system through the partition  $\mathcal{P}$ . To encode the dynamical behavior of a point yof the output space  $V_2$  through the partition  $\mathcal{P}$ , we introduce several notions of *dynamical type* of a point y w.r.t.  $\mathcal{P}$ .

**Definition 4.6.** Given a dynamical system  $(\mathcal{M}, \gamma)$ , a finite partition  $\mathcal{P}$  of  $V_2$ , a point  $y \in V_2$  the suffix dynamical type of y w.r.t.  $\mathcal{P}$  is denoted  $\mathsf{Suf}_{\mathcal{P}}(y)$  and defined by:

$$\mathsf{Suf}_{\mathcal{P}}(y) = \{\omega_{(x,t)} \mid \gamma(x,t) = y\}.$$

We have that  $\mathsf{Suf}_{\mathcal{P}}(y)$  is a subset of suffixes of words of  $\Omega_{\mathcal{P}}$ .

This allows us to define an equivalence relation on  $V_2$ .

**Definition 4.7.** Given  $y_1, y_2 \in V_2$ , we say that they are *suffix equivalent* if and only if

$$\operatorname{Suf}_{\mathcal{P}}(y_1) = \operatorname{Suf}_{\mathcal{P}}(y_2)$$

and we note  $y_1 \equiv_{\mathcal{P}} y_2$ .

Notation 4.8. We denote by  $Suf(\mathcal{P})$  the partition induced by the suffix equivalence  $(\equiv_{\mathcal{P}})$ .

**Definition 4.9.** We say that a partition  $\mathcal{P}$  is *suffix-stable* if  $Suf(\mathcal{P}) = \mathcal{P}$ .

In order to understand the word encoding technique, let us illustrate it on two examples.

Example 4.10. First let us consider a two dimensional timed automata dynamics (see Example 3.2). In this case we have that  $\gamma(x_1, x_2, t) = (x_1 + t, x_2 + t)$ . We associate with this dynamics the partition  $\mathcal{P} = \{A, B\}$  where  $B = [1, 2]^2$  and  $A = (\mathbb{R})^2 \setminus B$ . In this example the suffix partition is made of three pieces (see Figure 3).

### Control in o-minimal hybrid systems 11

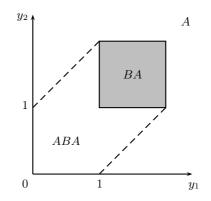


Fig. 3. Suffixes in the timed automata dynamics

*Example 4.11.* We now consider the dynamical system  $(\mathcal{M}, \gamma)$  where  $\mathcal{M} = \langle \mathbb{R}, +, \cdot, 0, 1, <, \sin_{|_{[0,2\pi]}}, \cos_{|_{[0,2\pi]}} \rangle^4$  and  $\gamma : \mathbb{R}^2 \times [0, 2\pi] \times \mathbb{R} \to \mathbb{R}^2$  is defined as follows.

$$\gamma(x_1, x_2, \theta, t) = \begin{cases} (t.\cos(\theta), t.\sin(\theta)) & \text{if } (x_1, x_2) = (0, 0) \\ (x_1 + t.x_1, x_2 + t.x_2) & \text{if } (x_1, x_2) \neq (0, 0) \end{cases}$$

We associate with this dynamical system the partition  $\mathcal{P} = \{A, B, C\}$  where  $A = \{(0,0)\}, B = \{(\theta \cos(\theta), \theta \sin(\theta)) \mid 0 < \theta \leq 2\pi\}$  and  $C = (\mathbb{R})^2 \setminus (A \cup C)$ . Let us call piece *B* the spiral. There are four dynamical types for this system:  $\{ACBC\}, \{CBC\}, \{BC\}$  and  $\{C\}$ . Let us notice that though the dynamical system is infinitely branching in (0,0), there is a unique suffix associated with each point y of the output space.

# 5 Solving an $\mathcal{M}$ -game

In this section we present a procedure to compute the set of winning states for an  $\mathcal{M}$ -game. We then show that if a partition is *suffix-stable*, the procedure can be performed symbolically on pieces of the partition. The procedure described is not always effective and we will point out specific  $\mathcal{M}$ -structures for which each step of the procedure is computable.

## 5.1 Controllable Predecessors

As for classical reachability games [16], one way of computing winning states is to compute the *attractor* of goal states by iterating a *controllable predecessor* operator.

<sup>&</sup>lt;sup>4</sup>  $\sin_{[0,2\pi]}$  and  $\cos_{[0,2\pi]}$  correspond to the sinus and cosinus functions restricted to the segments  $[0, 2\pi]$ .

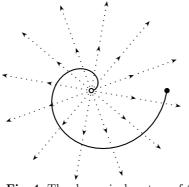


Fig. 4. The dynamical system of the spiral

Let  $\mathcal{A} = (\mathcal{M}, Q, \mathsf{Goal}, \Sigma, \delta, \gamma)$  be an  $\mathcal{M}$ -game. For  $A \subseteq Q \times V_2$  and  $a \in \Sigma$  we define the controllable and uncontrollable discrete predecessors as follows:

$$\mathsf{cPred}(A) = \left\{ (q, y) \in Q \times V_2 \mid \begin{array}{l} \exists c \in \Sigma_c, \text{ c is enabled in } (q, y), \\ \text{and } \forall (q', y') \in Q \times V_2, \\ \left( (q, y) \xrightarrow{c} (q', y') \Rightarrow (q', y') \in A \right) \end{array} \right\}$$
$$\mathsf{uPred}(A) = \left\{ (q, y) \in Q \times V_2 \mid \begin{array}{l} \exists u \in \Sigma_u, \ \exists (q', y') \in Q \times V_2 \\ \text{s.t. } (q, y) \xrightarrow{u} (q', y') \text{ and } (q', y') \in A \end{array} \right\}$$

As for timed and hybrid games [5, 19], we also define a *safe* time predecessor of a set A w.r.t. a set B: a state (q, y) is in  $\mathsf{Pred}_t(A, B)$  if, by letting time elapse, one reaches  $(q', y') \in A$ , avoiding B. Formally the operator  $\mathsf{Pred}_t$  is defined as follows:

$$\mathsf{Pred}_t(A,B) = \left\{ (q,y) \in Q \times V_2 \; \middle| \; \begin{array}{l} \forall (x,t) \in V_1 \times M^+, \; \exists t' \in M^+ \text{ s.t.} \\ (q,y) \xrightarrow{t'}_{x,t} (q',y'), \; (q',y') \in A, \\ \text{and } \mathsf{Post}_{[t,t+t']}^{q,x} \subseteq \overline{B} \end{array} \right\}$$

where  $\mathsf{Post}_{[t,t+t']}^{q,x} = \{\gamma_q(x,t'') \mid t \leqslant t'' \leqslant t+t'\}.$ 

The *controllable predecessor* operator is then defined as:

 $\pi(A) = A \cup \mathsf{Pred}_t(\mathsf{cPred}(A), \mathsf{uPred}(\overline{A}))$ 

Intuitively, a point a is in  $\pi(A)$  whenever either it is already in A or there is a way of waiting some amount of time, and of performing a controllable action to enter A, and no uncontrollable action leads outside A.

Remark 5.1. Note that the operator  $\pi$  is definable in any expansion of an ordered group. Moreover if A is definable, so is  $\pi(A)$ .

We will compute the set of winning states by iterating the operator  $\pi$ : denoting  $\pi^*(\text{Goal}) = \bigcup_{k \ge 0} \pi^k(\text{Goal})$ , we will show that the set of winning states for the game is precisely  $\pi^*(\text{Goal})$ . This will help getting further effective definability and computability results of winning states and winning strategies under some assumption on the underlying structure.

**Proposition 5.2.** Let  $\mathcal{A} = (\mathcal{M}, Q, \mathsf{Goal}, \Sigma, \delta, \gamma)$  be an  $\mathcal{M}$ -game, and  $(q, y) \in Q \times V_2$ . Then:

 $(q, y) \in \pi^*(\text{Goal})$  iff there is a winning strategy in  $\mathcal{A}$  from (q, y)

*Proof.* We first prove that if  $(q, y) \in \pi^*(\mathsf{Goal})$  then there exists a winning strategy from (q, y). To this aim, we define a state-based winning strategy from any  $(q, y) \in \pi^*(\mathsf{Goal})$  (a strategy is state-based when its value on an execution only depends on the last configuration of this execution). By notation misuse, we define the strategy  $\lambda$  on states (q, x, t, y) instead of executions.

We define a strategy  $\lambda$  on all sets  $\bigcup_{0 \leq i \leq k} \pi^i(\text{Goal})$  by induction on k, and prove that it is a winning strategy. If k = 0, we define  $\lambda$  to be any controllable action looping on Goal; it is winning by definition.

Suppose now that  $\lambda$  is already defined on  $W = \bigcup_{0 \leq i \leq k} \pi^i(\text{Goal})$  and is winning on these states. We now define  $\lambda$  on  $\pi(W)$ . Let  $(q, x, t, y) \in Q \times V_1 \times M^+ \times V_2$ : if  $(q, y) \in W$ ,  $\lambda$  is already defined; if  $(q, y) \in \pi(W) \setminus W$ , then  $(q, y) \in \text{Pred}_t \left( \operatorname{cPred}(W), \operatorname{uPred}(\overline{W}) \right)$ . For every (x, t) such that  $\gamma_q(x, t) = y$ , there exists  $t' \in M^+$  and  $c \in \Sigma_c$  with (t', c) enabled in (q, y) and  $(q, y) \xrightarrow{t', c}_{x,t} (q', y')$  implies  $(q', y') \in W$  and  $\operatorname{Post}_{[t, t+t']}^{q,x}(W) \subseteq \overline{\operatorname{uPred}(\overline{W})}$ . We set  $\lambda(q, x, t, y) = (t', c)$  and show that this is a winning choice.

Let  $\rho = (q, x, t, y) \xrightarrow{t_1, a_1} (q_1, x_1, t_1, y_1) \xrightarrow{t_2, a_2} \dots$  be an execution compatible with  $\lambda$ . We have that either  $t_1 = t'$  and  $a_1 = c$ , in which case  $(q_1, y_1) \in W$ , or  $t_1 \leq t'$  and  $a_1 \in \Sigma_u$ , in which case  $(q, y) \xrightarrow{t_1}_{x,t} (q', y') \xrightarrow{a_1} (q_1, y_1)$  with  $(q', y') \notin \mathsf{uPred}(\overline{W})$  so  $(q_1, y_1) \in W$ . In both cases,  $(q_1, y_1) \in W$  so by induction hypothesis,  $\rho$  is winning.

We now show that if there exists a strategy  $\lambda$  winning from (q, y) then  $(q, y) \in \pi^*(\text{Goal})$ . Set  $W = \pi^*(\text{Goal})$ , by contradiction suppose that  $(q, y) \notin W$ , we will construct a non-winning execution compatible with  $\lambda$ . As  $(q, y) \notin W$ , there exists  $(x,t) \in V_1 \times M^+$  such that  $\gamma_q(x,t) = y$ , and for all  $t' \in M^+$ ,  $(q, y) \to_{x,t}^{t'}(q', y')$  implies  $(q', y') \notin \text{cPred}(W)$  or  $\text{Post}_{[t,t+t']}^{q,x}(W) \cap \text{uPred}(\overline{W}) \neq \emptyset$ . Fix such an  $(x,t) \in V_1 \times M^+$ , and set  $(t',c) = \lambda(q,x,t,y)$ . There exists  $(q_1, x_1, t_1, y_1)$  with  $(q_1, y_1) \notin W$  such that either  $(q, x, t, y) \xrightarrow{t',c} (q_1, x_1, t_1, y_1)$  or there exists  $t'' \leq t'$  and  $u \in \Sigma_u$  such that  $(q, x, t, y) \xrightarrow{t'',u} (q_1, x_1, t_1, y_1)$ . In both cases, the constructed execution is compatible with  $\lambda$ . As  $(q_1, y_1) \notin W$  we can repeat the same argument and construct inductively an execution  $\rho = (q, x, t, y) \xrightarrow{t_{1,a_1}} (q_1, x_1, t_1, y_1) \xrightarrow{t_{2,a_2}} \dots$  compatible with  $\lambda$  and such that for every i,  $(q_i, x_i, t_i, y_i) \notin W$ . By definition of W, for every i,  $q_i \notin$  Goal, which contradicts the assumption that  $\lambda$  is a winning strategy.

We now deduce an algorithmic result from proposition 5.2. The set of winning states is  $\pi^*(\text{Goal})$  but this does not imply that we can compute this set as many  $\mathcal{M}$ -structure structures are already intrinsically undecidable. The following corollary states that if some conditions on the structure and  $\pi$  are satisfied, then this procedure provides an algorithmic solution to the control problem:

**Corollary 5.3.** Let  $\mathcal{M}$  be a structure such that  $\mathsf{Th}(\mathcal{M})$  is decidable. Let  $\mathcal{C}$  be a class of  $\mathcal{M}$ -games such that for every  $\mathcal{A}$  in  $\mathcal{C}$ , there exists a finite partition  $\mathcal{P}$  of  $Q \times V_2$  definable in  $\mathcal{M}$ , respecting  $\mathsf{Goal}^5$ , and stable by  $\pi$ . Then the control problem in the class  $\mathcal{C}$  is decidable.

Moreover if  $A \in C$ , the set of winning states of A is computable.

*Proof.* Let  $\mathcal{M}$  be a structure and  $\mathcal{C}$  a class of automata satisfying the hypotheses and take  $\mathcal{A} \in \mathcal{C}$ .

By proposition 5.2 the set of winning states is  $\pi^*(\text{Goal})$ . As  $\mathcal{P}$  is stable under  $\pi$ ,  $\pi^*(\text{Goal})$  is a finite union of pieces of  $\mathcal{P}$ . Hence there exists  $n \in \mathbb{N}$  such that  $\pi^*(\text{Goal}) = \pi^n(\text{Goal})$ . As  $\pi$  and Goal are definable, we have that  $\pi^i(\text{Goal})$  is definable and as  $\text{Th}(\mathcal{M})$  is decidable we can test if  $\pi^i(\text{Goal}) = \pi^{i+1}(\text{Goal})$ , we can thus effectively find a representation of  $\pi^*(\text{Goal})$ .

As  $\mathsf{Th}(\mathcal{M})$  is decidable, if a state (q, y) is definable we can test if  $(q, y) \in \pi^*(\mathsf{Goal})$ . It follows that the control problem in an  $\mathcal{M}$ -structure is decidable.  $\Box$ 

## 5.2 Stability of $Suf(\mathcal{P})$

In section 3.4, we have presented a counter-example which showed that bisimulation was not correct to solve control problems; the main reason was that the partition induced by bisimilarity was not stable under operator  $\pi$ .

We now present a sufficient condition for a partition to be stable under the operator  $\pi$ : we require that the partition is stable under cPred and uPred to handle the discrete part of the automaton and we show that the stability by suffix is fine enough to ensure a good continuous behavior w.r.t. control problems.

**Proposition 5.4.** Let  $\mathcal{A}$  be an  $\mathcal{M}$ -game,  $\mathcal{P}$  be a partition of  $Q \times V_2$  and  $\pi$  be the controllable predecessor operator. If  $\mathcal{P}$  respects Goal, is stable under cPred, uPred, and  $\mathcal{P} = Suf(\mathcal{P})$ , then  $\mathcal{P}$  is stable under the operator  $\pi$ .

*Proof.* We fix a location q of the automaton and we take  $y_1, y_2 \in V_2$  such that there exists  $A \in \mathcal{P}$  with  $y_1, y_2 \in A$ . We now show that if  $y_1 \in \pi(X)$ , for some  $X \in \mathcal{P}$  then  $y_2 \in \pi(X)$ .

Since  $y_1 \in \pi(X)$ , for all  $(x, t) \in V_1 \times M^+$  such that  $\gamma_q(x, t) = y_1$ , there exists  $y'_1$  such that:

 $\begin{array}{l} - \text{ there exists } t'_1 \in M^+ \text{ such that } y_1 \xrightarrow{t'_1}_{X,t} y'_1, \\ - y'_1 \in \mathsf{cPred}(X), \text{ and} \\ - \mathsf{Post}^{q,x}_{[t,t+t'_1]}(X) \subseteq \overline{\mathsf{uPred}(\overline{X})}. \end{array}$ 

<sup>&</sup>lt;sup>5</sup> *i.e.* Goal is a union of pieces of  $\mathcal{P}$ 

Let  $\omega_{(x,t)}(y_1)$  be a suffix of  $y_1$  associated to x and t. In term of words the three previous conditions mean that there exists a prefix of  $\omega_{(x,t)}(y_1)$  whose last letter is in  $\mathsf{cPred}(X)$  and with no occurrence of letters of  $\mathsf{uPred}(\overline{X})$  (this has a meaning as by hypothesis  $\mathsf{cPred}(X)$  and  $\mathsf{uPred}(\overline{X})$  are unions of pieces of  $\mathcal{P}$ ). Let us call  $\omega_{(x,t)}^p(y_1)$  this prefix.

Since  $\mathcal{P} = \mathsf{Suf}(\mathcal{P})$  and  $y_1$  and  $y_2$  belong to the same piece of  $\mathcal{P}$ , we have that  $\mathsf{Suf}_{\mathcal{P}}(y_1) = \mathsf{Suf}_{\mathcal{P}}(y_2)$ . Let  $(x,t) \in V_1 \times M^+$  such that  $\gamma(x,t) = y_2$  and note  $\omega_{(x,t)}(y_2)$  be the suffix of  $y_2$  associated to x and t. As  $\mathsf{Suf}_{\mathcal{P}}(y_1) = \mathsf{Suf}_{\mathcal{P}}(y_2)$ ,  $\omega_{(x,t)}(y_2) = \omega_{(x',t')}(y_1)$  for some (x',t') such that  $\gamma_q(x',t') = y_1$ , so the prefix  $\omega_{(x',t')}^p(y_1)$  is a prefix of  $\omega_{(x,t)}(y_2)$ . So we can find  $y'_2 \in \mathsf{cPred}(X)$  such that:

- there exists  $t'_2 \in M^+$  such that  $y_2 \xrightarrow{t'_2}_{x,t} y'_2$ , -  $y'_2 \in \operatorname{cPred}(X)$ , and -  $\operatorname{Post}^{q,x}_{[t,t+t'_2]}(X) \subseteq \overline{\operatorname{uPred}(\overline{X})}$ . Thus  $y_2 \in \pi(X)$ .

Remark 5.5. The results of this section permit to recover the results of [5] about control of timed automata. We consider the classical finite partition of timed automata that induces the region graph (see [3]). Let us call  $\mathcal{P}_R$  this partition, and notice that  $\mathcal{P}_R$  is definable in  $\langle \mathbb{R}, <, +, 0, 1 \rangle$ . The equivalence relation induced by  $\mathcal{P}_R$  is a time-abstract bisimulation. Hence in particular  $\mathcal{P}_R$  is stable under the action of cPred and uPred. By Example 3.2 the continuous dynamics of timed automata is definable in  $\langle \mathbb{R}, <, +, 0, 1 \rangle$ . Hence it makes sense to encode continuous trajectories of timed automata as words (see Figure 3). One can easily be convinced that  $Suf(\mathcal{P}_R) = \mathcal{P}_R$ . We thus conclude that  $\mathcal{P}_R$  is stable under the action of  $\pi$  (see Proposition 5.4). Hypotheses of corollary 5.3 are thus satisfied and we get the computability of winning states in timed games [5] as a side result by computing  $\pi^*(Goal)$ .

## 6 Case of o-minimal games

In this section, we focus on the particular case of o-minimal games (*i.e.*  $\mathcal{M}$ -games where  $\mathcal{M}$  is an o-minimal structure and in which extra assumptions are made on the resets) [24].

We first briefly recall definitions and results related to o-minimality. The reader interested in o-minimality should refer to [30] for further results and an extensive bibliography on this subject. Then we focus on o-minimal structures with a decidable theory in order to obtain decidability results.

**Definition 6.1.** An extension of an ordered structure  $\mathcal{M} = \langle M, <, ... \rangle$  is *o-minimal* if every definable subset of M is a finite union of points and open intervals (possibly unbounded).

In other words the definable subsets of M are the simplest possible: the ones which are definable in  $\langle M, \langle \rangle$ . The following are examples of o-minimal structures.

*Example 6.2.* There are many examples of o-minimal structures, for example the ordered group of rationals  $\langle \mathbb{Q}, <, +, 0, 1 \rangle$ , the ordered field of reals  $\langle \mathbb{R}, <, +, \cdot, 0, 1 \rangle$ , the field of reals with exponential function, the field of reals expanded by restricted pfaffian functions and the exponential function, and many more interesting structures.

## 6.1 Generalities on o-minimal games

**Definition 6.3.** Given  $\mathcal{A}$  an  $\mathcal{M}$ -game, we say that  $\mathcal{A}$  is an *o-minimal game* if the structure  $\mathcal{M}$  is o-minimal and if all transitions (q, g, a, R, q') of  $\mathcal{A}$  belong to<sup>6</sup>  $Q \times 2^{V_2} \times \Sigma \times 2^{V_2} \times Q$ .

Let us notice that the previous definition implies that given  $\mathcal{A}$  an o-minimal game, the guards, the resets and the dynamics are definable in the underlying o-minimal structure.

On each location  $q \in Q$ , let us denote by  $\mathcal{P}_q$  the partition induced by the guards and the resets associated with location q. We denote by  $\mathcal{P}_A$  the partition of state space  $S = Q \times V_2$  induced by the  $\mathcal{P}_q$ 's. Let us notice that  $\mathcal{P}_A$  is a finite definable partition of S.

Clearly the partition  $\mathcal{P}_{\mathcal{A}}$  respects the guards, the resets and Goal. Moreover due to the strong reset condition we have that  $\mathcal{P}_{\mathcal{A}}$  is stable under the action of cPred and uPred. This holds by the same argument that allows to decouple the continuous and discrete components of the hybrid system in [24]. Let us also notice that, in the framework of o-minimal games, any refinement of  $\mathcal{P}_{\mathcal{A}}$  is stable under the action of cPred and uPred.

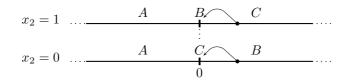
In Example 3.10 we have seen that the time-abstract bisimulation was not a good notion for solving control problems. This example has motivated the introduction of the suffix partition in this context. Let us first notice that Example 3.10 is not o-minimal. However we now adapt Example 3.10 in order to turn it into an o-minimal game.

Example 6.4. Let us consider the game  $\mathcal{A}$  of Example 3.10. We now define from  $\mathcal{A}$  an o-minimal game  $\mathcal{A}_o$ . The underlying o-minimal structure  $\mathcal{M}$  is  $\langle \mathbb{R}, <, +, 0, 1 \rangle$ . The o-minimal game  $\mathcal{A}_o$  has same set of locations, same Goal, same set of actions and same underlying finite automaton as  $\mathcal{A}$  (*i.e.* Figure 1 represents also  $\mathcal{A}_o$ ). The two differences between  $\mathcal{A}$  and  $\mathcal{A}_o$  are the guards and the continuous dynamics in  $q_1$ . Let us first define the guards. We have that  $g_B = \{(y,0) \mid y > 0\} \cup \{(0,1)\}$  and  $g_C = \{(0,0)\} \cup \{(y,1) \mid y > 0\}$ . Let us now define the continuous dynamics in  $q_1$  (see Figure 5). We have that  $\gamma_{q_1} : \mathbb{R} \times \{0,1\}^2 \times \mathbb{R}^+ \to \mathbb{R} \times \{0,1\}$  is defined as follows:

$$\gamma_{q_1}(x_1, x_2, p, t) = \begin{cases} (x_1 + t, x_2) & \text{if } p = 0\\ (x_1, x_2) & \text{if } p = 1 \text{ and } x_1 \leq 0\\ (x_1, x_2) & \text{if } p = 1 \text{ and } x_1 > 0 \text{ and } t = 0\\ (0, x_2) & \text{if } p = 1 \text{ and } x_1 > 0 \text{ and } t > 0 \end{cases}$$

 $<sup>^{6}</sup>$  This is a particular case of reset for  $\mathcal{M}$ -game where we consider only constant functions for resets.

Clearly  $g_B$ ,  $g_C$  and  $\gamma_{q_1}$  are definable in  $\mathcal{M}$ . As in Example 3.10, one can easily check that the equivalence relation induced by the partition  $\mathcal{P} = \{A, B, C\}$ (where  $B = g_B$ ,  $C = g_C$  and  $A = V_2 \setminus (g_B \cup g_C)$ ) is a time-abstract bisimulation on  $\mathcal{A}_o$ . However if we consider the states  $s_1 = (q_1, (-1, 0))$  and  $s_2 = (q_1, (-1, 1))$ , we have that they are time-abstract bisimilar but  $s_1$  is winning and  $s_2$  is not.



**Fig. 5.** An o-minimal game where time-abstract bisimulation is not correct w.r.t. control

O-minimal games can be seen as *o-minimal hybrid systems* (as defined in [9]). With slight adaptations of Lemma 4.13 and Theorem 4.18 of [9], we can easily deduce the following result.

**Theorem 6.5 ([9]).** Let  $\mathcal{A}$  be an o-minimal game. If there exists a unique suffix on  $\mathcal{P}_{\mathcal{A}}$  associated with each  $(q, y) \in Q \times V_2$  then the partition  $\mathsf{Suf}(\mathcal{P}_{\mathcal{A}})$  is finite and definable. Moreover  $\mathsf{Suf}(\mathcal{P}_{\mathcal{A}})$  is a time-abstract bisimulation.

In particular, every piece  $A \in Suf(\mathcal{P})$  is definable in the structure  $\mathcal{M}$ .

Remark 6.6. Note also that this "unique suffix" assumption is reasonable as there already exists infinite time-abstract bisimulations when two suffixes are allowed (see the *torus example* in [10]), and reachability in o-minimal automata is undecidable when several suffixes are allowed [7].

We are now going to prove that in the particular context of Theorem 6.5, time-abstract bisimulation is fine enough to solve control problems.

**Lemma 6.7.** Let  $\mathcal{A}$  be an o-minimal game,  $\mathcal{P}$  a partition inducing a timeabstract bisimulation. If there exists a unique suffix on  $\mathcal{P}$  associated with each  $(q, y) \in Q \times V_2$  then  $\mathcal{P} = \mathsf{Suf}(\mathcal{P})$ .

*Proof.* We work in a given location  $q \in Q$  and for convenience we denote by y the state (q, y). We also use the shortcut  $y \to_{\gamma} y'$  for  $\exists t \ge 0 \ y \xrightarrow{t}_{\gamma} y'$ .

Let  $y_1, y'_1 \in A_1$  for some  $A_1 \in \mathcal{P}$ . We will prove that  $\mathsf{Suf}_{\mathcal{P}}(y_1) = \mathsf{Suf}_{\mathcal{P}}(y'_1)$ .

In the context of o-minimal systems, the suffix associated to a point is a finite word [9], so let  $\omega_1 = A_1...A_n$  be the unique suffix associated with  $y_1$ , we can build the following sequence of transitions.

$$y_1 \rightarrow_{\gamma} y_2 \rightarrow_{\gamma} \dots \rightarrow_{\gamma} y_n,$$

with  $y_i \in A_i$  for i = 1, ..., n. Since  $y_1$  and  $y'_1$  are time-abstract bisimilar, we can build a similar sequence of transitions.

$$y'_1 \to_{\gamma} y'_2 \to_{\gamma} \dots \to_{\gamma} y'_n,$$

with  $y'_i \in A_i$  for i = 1, ..., n. Let us now prove that the suffix uniqueness hypothesis implies that there exists  $x \in V_1$  and  $t_1, ..., t_n \in M$  with  $t_1 \leq ... \leq t_n$  such that  $\gamma(x, t_1) = y'_1$ , and  $\gamma(x, t_i) \in A_i$  for i = 1, ..., n; meaning that  $\omega_1$  is a sub-word of  $\omega'_1$  (the unique suffix associated with  $y'_1$ ). Clearly we can find  $x, t_1, t_2$  with  $t_1 \leq t_2, y'_1 = \gamma(x, t_1) \in A_1$  and  $\gamma(x, t_2) \in A_2$  (since  $y'_1 \to \gamma y'_2$ ). Let us suppose, for a contradiction, that given  $x, t_1, t_2$  such that  $t_1 \leq t_2, \gamma(x, t_1) \in A_1$  and  $\gamma(x, t_3) \notin A_3$  for all  $t_3 \geq t_2$ . In particular using the suffix uniqueness hypothesis, this means that the unique suffix associated with  $y'_2$  does not contain the letter  $A_3$ . This contradicts the existence of the transition  $y'_2 \to_{\gamma} y'_3$  where  $y'_3 \in A_3$ . Thus we can find  $t_3$  with the desired conditions. Iterating the same argument we find the other  $t_i$ 's.

Similarly, we can prove that  $\omega'_1$  is a sub-word of  $\omega_1$ . Hence  $\omega_1 = \omega'_1$  since they are finite words by o-minimality assumptions. Thus  $\mathsf{Suf}_{\mathcal{P}}(y_1) = \mathsf{Suf}_{\mathcal{P}}(y'_1)$ .  $\Box$ 

By Theorem 6.5 and Lemma 6.7 we get that  $Suf(\mathcal{P}_{\mathcal{A}})$  is suffix-stable, and using Proposition 5.4 we obtain that it is stable under  $\pi$ :

**Theorem 6.8.** Let  $\mathcal{A}$  be an o-minimal game. If there exists a unique suffix on  $\mathcal{P}_{\mathcal{A}}$  associated with each  $(q, y) \in Q \times V_2$  then  $\mathsf{Suf}(\mathcal{P}_{\mathcal{A}}) = \mathsf{Suf}(\mathsf{Suf}(\mathcal{P}_{\mathcal{A}}))$ .

**Corollary 6.9.** Let  $\mathcal{A}$  be an o-minimal game. If there exists a unique suffix on  $\mathcal{P}_{\mathcal{A}}$  associated with each  $(q, y) \in Q \times V_2$  then  $\mathsf{Suf}(\mathcal{P}_{\mathcal{A}})$  is finite and stable under the action of  $\pi$ .

## 6.2 Synthesis of winning strategies

We now prove that under the hypotheses of Theorem 6.5, we can construct a *definable* strategy for the winning states. The effectiveness of this construction will be discussed in subsection 6.3. We first define the notion of *uniform* strategy and prove that under the hypotheses of Theorem 6.5 such a strategy always exists.

**Definition 6.10.** Given  $\mathcal{A}$  an  $\mathcal{M}$ -game,  $a \in \Sigma_c$ ,  $\mathcal{P}$  a partition of  $Q \times V_2$  and  $P \in \mathcal{P}$ . We say that a strategy  $\lambda$  is *a*-uniform on P if the following condition holds:

$$\forall (q, x, t) \in Q \times V_1 \times M^+ (q, \gamma(x, t)) \in P \implies \exists t' \in M^+ \text{ s.t. } \lambda(q, x, t, \gamma(x, t)) = (t', a)$$

We say that a strategy  $\lambda$  is uniform if for all  $P \in \mathcal{P}$ , there exists  $a \in \Sigma_c$  such that  $\lambda$  is *a*-uniform on P.

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**Lemma 6.11.** Under the hypotheses of Theorem 6.5, there exists a uniform winning strategy on each piece of  $P \in Suf(\mathcal{P}_{\mathcal{A}})$  such that  $P \subseteq \pi^*(Goal)$ .

*Proof.* Given  $y \in \pi^*(\text{Goal})$ , by Proposition 5.2 we know that there exists a winning strategy from y. We now have to point out a uniform winning strategy. Following the lines of the proof of Proposition 5.2, we build the definable strategy by induction on the number of iterations of  $\pi$ . Let us suppose we already built a uniform strategy on each piece of W, let us now consider  $\pi(W) \setminus W$  (where  $W = \bigcup_{0 \le i \le k} \pi(\text{Goal})$ ).

By Corollary 6.9 we know that  $\pi(W) \setminus W$  is a union of pieces of  $\mathsf{Suf}(\mathcal{P}_{\mathcal{A}})$ . Let P be one of these pieces. Given  $(q, x_0, t_0)$  such that  $\gamma(x_0, t_0) = y_0 \in P$ , by Proposition 5.2 we know there exists  $(t'_0, a) \in \Sigma_c \times M^+$  such that defining  $\lambda(q, x_0, t_0, y_0)$  by  $(t'_0, a)$  will make  $\lambda$  a winning strategy on  $(q, y_0)$ . We now prove that given any (q, x, t) such that  $\gamma(x, t) = y \in P$  there exists  $t' \in M^+$  such that defining  $\lambda(q, x, t, y)$  to be (t', a) will make  $\lambda$  a winning strategy on (q, y).

The previous statement holds by the suffix uniqueness hypothesis. Indeed given given any (q, x, t) such that  $\gamma(x, t) = y \in P$ , there exists  $t' \in M^+$  such that  $\omega_{(x,t,t')} = \omega_{(x_0,t_0,t'_0)}$  (for the dynamics  $\gamma_q$ ). By choosing this t' we obtain the desired result. In particular, with this choice, there exists a piece  $P' \in \text{Suf}(\mathcal{P}_{\mathcal{A}})$  such that for all (q, x, t) such that  $\gamma(x, t) = y \in P$  we have that  $(q, \gamma(x, t+t')) \in P'$ .

**Theorem 6.12.** Let  $\mathcal{A}$  be an o-minimal game. If there exists a unique suffix associated with each  $y \in V_2$  on  $\mathcal{P}_{\mathcal{A}}$ , then there exists a definable winning strategy for each  $y \in \pi^*(\text{Goal})$ .

Proof. Given  $P \subseteq \pi^*(\text{Goal})$ , by Proposition 5.2 we know there exists a winning strategy on P. We now point out a definable memoryless winning strategy, *i.e.* we build a definable function  $\lambda : \{(q, x, t, y) \mid \gamma_q(x, t) = y\} \to M^+ \times \Sigma_c$ . Again following the lines of the proof of Proposition 5.2, we define  $\lambda$  by induction on the number of iterations of  $\pi$ .

Suppose we have already built a definable strategy on  $W = \bigcup_{0 \leq i \leq k} \pi(\text{Goal})$ , and let us now consider  $\pi(W) \setminus W$ .

By Corollary 6.9 we know that  $\pi(W) \setminus W$  is a finite union of pieces of  $Suf(\mathcal{P})$ . Let P be one of these pieces. By Lemma 6.11 there exists an *a*-uniform winning strategy on P for some  $a \in \Sigma_c$ . Let P' be the piece of  $Suf(\mathcal{P})$  appearing at the end of the proof of Lemma 6.11.

Given (q, x, t, y) such that  $\gamma_q(x, t) = y$ , let us consider  $\mathsf{Time}(x, t)$  the subset of  $M^+$  defined as follows:

Time
$$(x, t) = \{t' \in M^+ \mid \gamma(x_0, t + t') \in P'\}.$$

This set is definable since P' is definable by Theorem 6.5.

By o-minimality we have that  $\mathsf{Time}(x,t)$  is a finite union of points and open intervals. Let us denote by I the leftmost point or interval. Let us notice that I is definable. If I has a minimum m, we define  $\lambda(q, x, t, y) = (m, a)$ . Otherwise two cases may occur. If I is bounded then it is of the form (m, m') or (m, m')

in this case we define  $^{7}\lambda(q, x, t, y) = (\frac{m+m'}{2}, a)$ . Finally if *I* has no minimum and is unbounded it is of the form  $(m, \infty)$  and in this case we define  $\lambda(q, x, t, y) = (m+1, a)$ . We summarize<sup>8</sup> the definition of  $\lambda$  on *P* as follows:

$$\lambda(q, x, t, y) = \begin{cases} \left(\min(I), a\right) & \text{if } \varphi_1(x, t) \\ \left(\frac{1}{2} \left(\inf(I) + \sup(I)\right), a\right) & \text{if } \varphi_2(x, t) \\ \left(\inf(I) + 1, a\right) & \text{otherwise} \end{cases}$$

where  $\varphi_1(x,t)$  is a formula which is true if and only if I (or Time(x,t)) has a minimum and  $\varphi_2(x,t)$  is a formula which is true if and only if I has no minimum and is bounded. Thus clearly  $\lambda$  is definable on P.

Since there are finitely many  $P \in Suf(\mathcal{P}_{\mathcal{A}})$  (see Theorem 6.5), we can conclude that  $\lambda$  is definable on  $\pi^*(Goal)$ .

Let us now illustrate Theorem 6.12 on two examples.

Example 6.13. Let us consider again the automaton shape of Example 3.10. We now define from  $\mathcal{A}$  an o-minimal game  $\mathcal{A}_s$  related to the spiral example (Example 4.11). The underlying o-minimal structure<sup>9</sup>  $\mathcal{M}$  is  $\langle \mathbb{R}, +, \cdot, 0, 1, < , \sin_{|_{[0,2\pi]}}, \cos_{|_{[0,2\pi]}} \rangle$ . The o-minimal game  $\mathcal{A}_s$  has the same set of locations, same Goal, same set of actions and same underlying finite automaton than  $\mathcal{A}$  (*i.e.* Figure 1 represents also  $\mathcal{A}_s$ ). The two differences between  $\mathcal{A}$  and  $\mathcal{A}_s$  are the guards and the continuous dynamics. Let us first define the guards. We have that  $g_B$  can be taken on B-states (*i.e.* points on the spiral) and  $g_C$  on C-states (points not on the spiral and different from the origin). The continuous dynamics in  $q_1$  is the one described by the dynamical system of Example 4.11 (the continuous dynamics in  $q_2$  and  $q_3$  does not play any role). Clearly  $g_B$ ,  $g_C$  and  $\gamma_{q_1}$  are definable in  $\mathcal{M}$ .

The winning strategy in point (0,0) obtained by Theorem 6.12 is defined by  $\lambda(0,0,\theta,t) = (\frac{\theta}{2},c)$  where c consists in taking the transition leading to state  $q_2$  (which is winning).

*Example 6.14.* Let us notice that in the case of timed automata dynamics (described in Example 3.2), our definable strategies correspond in some sense to the realizable strategies obtained in [6].

### 6.3 Decidability result

Theorem 6.12 is an existential result. It claims that given an o-minimal game with suffix uniqueness hypothesis, there exists a definable strategy for each  $y \in$ 

<sup>&</sup>lt;sup>7</sup> Let us recall that every o-minimal ordered group is torsion free and divisible (see [25]), this implies there exists a unique y satisfying y + y = (m + m'), which we note  $\frac{m+m'}{2}$ .

<sup>&</sup>lt;sup>8</sup> Let us notice that the way we extract a single point from  $\mathsf{Time}(x,t)$  is nothing more than the *curve selection* for o-minimal expansion of ordered abelian group see [30, chap.6].

<sup>&</sup>lt;sup>9</sup> This structure is o-minimal (see [29]).

 $\pi^*(\mathsf{Goal})$ . Moreover by Lemma 6.11 we know that this strategy is uniform on each piece of  $\mathsf{Suf}(\mathcal{P})$  and by Theorem 6.5 we know that  $\mathsf{Suf}(\mathcal{P})$  is finite. The conclusion of the previous subsection is that under hypothesis of Theorem 6.5 there exists a definable uniform memoryless winning strategy on each  $P \in \mathsf{Suf}(\mathcal{P})$  such that  $P \subseteq \pi^*(\mathsf{Goal})$ .

We say that a theory  $\mathsf{Th}(\mathcal{M})$  is decidable iff there is an algorithm which can determine whether or not any sentence<sup>10</sup> is a member of the theory (*i.e.* is true). We suggest to readers interested in general decidability issues on o-minimal hybrid systems to refer to Section 5 of [9].

In general Theorem 6.12 does not allow to conclude that the control problem in an  $\mathcal{M}$ -structure is decidable. Indeed it depends on the decidability of  $\mathsf{Th}(\mathcal{M})$ .

However we can state the following theorem:

**Theorem 6.15.** Let  $\mathcal{M}$  be an o-minimal structure such that  $\mathsf{Th}(\mathcal{M})$  is decidable and  $\mathcal{C}$  the class of  $\mathcal{M}$ -automata  $\mathcal{A}$  such that there exists a unique suffix on  $\mathcal{P}_{\mathcal{A}}$ associated with each  $(q, y) \in Q \times V_2$ . Then the control problem in class  $\mathcal{C}$  is decidable. Moreover if  $\mathcal{A} \in \mathcal{C}$ , the set of winning states  $\pi^*(\mathsf{Goal})$  is computable and a strategy can be effectively computed for each  $(q, y) \in \pi^*(\mathsf{Goal})$ .

*Proof.* By Theorem 6.5, for each  $\mathcal{A} \in \mathcal{C}$ ,  $\mathsf{Suf}(\mathcal{P}_{\mathcal{A}})$  is a definable finite partition respecting Goal; Corollary 6.9 ensures that this partition is stable under  $\pi$ . Hypothesis of Corollary 5.3 are thus satisfied and we get that the control problem in class  $\mathcal{C}$  is decidable and that the winning states of a game  $\mathcal{A} \in \mathcal{C}$  are computable.

The proof of Theorem 6.12 gives a way to compute a winning strategy.  $\hfill\square$ 

*Remark 6.16.* Let us notice that  $\langle \mathbb{R}, <, +, 0, 1 \rangle$  and  $\langle \mathbb{R}, <, +, \cdot, 0, 1 \rangle$  are examples of o-minimal structures with decidable theory.

Remark 6.17. Let us notice that the "unique suffix" assumption of Theorem 6.15 encompasses the continuous behavior allowed in [24] (where the dynamics  $\gamma$  is the flow of a vector field that does not depend on the time, and is thus time-deterministic). More general systems can also be handled, for example the spiral dynamics (6.13) which is an infinitely branching system with unique suffix.

Remark 6.18. Let us notice that given  $\mathcal{A}$  an o-minimal  $\mathcal{M}$ -game such that  $\mathsf{Th}(\mathcal{M})$  is decidable, we can effectively decide if there exists a unique suffix  $\mathcal{P}_{\mathcal{A}}$  associated with each point  $(q, y) \in Q \times V_2$ .

Remark 6.19. Conversely if we suppose that the control problem in an  $\mathcal{M}$ -structure is decidable, we can decide any sentence of  $\mathcal{M}$ . Let us illustrate this fact. Given  $\theta$  any sentence of  $\mathcal{M}$ , we build a simple game  $\mathcal{A}_{\theta}$  as follows: the underlying automaton is presented in Figure 6,  $\text{Goal} = \{q_2\}$  and we can take any dynamic in  $q_1$  and  $q_2$ .

 $<sup>^{10}</sup>$  i.e. a formula with no free variable.



Fig. 6. A control problem which corresponds to the satisfiability of  $\theta$ 

It is easy to see that  $\theta$  is evaluated to *true* iff there is a winning strategy from  $(q_1, y)$  (and so for any y). Indeed if  $\theta$  is evaluated to *true* the controller can take immediately the transition and reach Goal, and if  $\theta$  is evaluated to *false* state  $q_2$  cannot be reached.

Remark 6.20. In fact Theorem 6.15 can be proved for a wider class than ominimal systems: the condition that every variable is reset on every transition is only used to get that  $\mathcal{P}_{\mathcal{A}}$  is stable under the action of cPred and uPred; if this condition is satisfied (and the dynamic in every state is o-minimal) the resets can be arbitrary.

Timed automata can be treated in this framework. Theorem 6.15 thus provides in particular a way to compute winning strategies for timed games.

## 7 Conclusion

In this paper we have studied the control problem of hybrid systems with general dynamics. We have shown that time-abstract bisimulation is not fine enough to solve them, which is a major difference with the discrete case [14]. Using an encoding of trajectories by words [8], we have proved that the so-called suffix partition is a good abstraction for control. We have finally provided decidability and computability results for o-minimal hybrid systems. Our technique applies to timed automata, and we get the decidability of timed games [5], as well as the construction of winning strategies [6] as side results.

There are several interesting further research directions: we could try to relax the suffix uniqueness hypothesis, or assume only partial observability of the system.

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