# Verification of Parameterized Hierarchical State Machines Using Action Language Verifier \*

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#### **Abstract**

Action Language Verifier (ALV) is an infinite-state symbolic model checker. ALV can verify (or falsify, by generating counter-examples) temporal logic properties of systems that can be modeled using a combination of boolean logic and linear arithmetic expressions on boolean, enumerated and (possibly unbounded) integer variables and parameterized integer constants. In this paper, we apply ALV to the verification of parameterized hierarchical state machine specifications. We extend the standard notation for hierarchical state machines by introducing primitives for explicit specification of asynchronous processes and their finite and parameterized instantiations. We define the formal semantics of these primitives, where the states of the parameterized processes are mapped to integer variables using the counting abstraction technique. We apply the presented approach to the specification and analysis of an airport ground traffic controller and verify several correctness properties of this specification using ALV.

## 1 Introduction

Hierarchical state machines (HSMs) have been very influential in specification of computer systems after David Harel's seminal work on Statecharts [21]. Variations on HSMs have become part of popular object oriented design languages [5], are supported by commercial design support tools [22], influenced the requirements specification languages [23], and have been investigated from automated verification perspective [6, 7, 17, 18]. In this paper, we are focusing on automated verification of *parameterized* HSMs. In addition to including well-known concepts from Statecharts, we extend the HSMs using an instantiation operator as a way of specifying the asynchronous composition

of HSMs. A parameterized HSM contains parameterized components which can be instantiated arbitrary (unbounded but finite) number of times. In order to verify parameterized HSMs we translate them to the Action Language, and then use the Action Language Verifier to model check their CTL properties. Although the Action Language translation is done manually at this point, it can be automated based on the HSM semantics used in this paper.

Action Language is a specification language for reactive software systems [13]. The Action Language Verifier (ALV) [1, 16] consists of 1) a compiler that converts Action Language specifications to symbolic representations, and 2) an infinite-state symbolic model checker which verifies (or falsifies by generating counter-examples) CTL properties of Action Language specifications. ALV specializes on systems specified with linear arithmetic constraints on integer variables. It uses the Composite Symbolic Library [28, 29] as its symbolic manipulation engine. Composite Symbolic Library integrates multiple symbolic representations: BDDs for boolean and enumerated variables, polyhedral or automata representations for integer variables, and BDDs for bounded integer variables.

Since Action Language allows specifications with unbounded integer variables, fixpoint computations are not guaranteed to converge. ALV uses conservative approximation techniques, reachability and acceleration heuristics to achieve convergence. ALV uses the counting abstraction technique [19] for verification of parameterized systems [26, 27]. Counting abstraction generates a set of integer variables and a set of linear arithmetic constraints on these variables to represent the behavior of arbitrary number of finite state processes. In this paper we use this feature of ALV to verify parameterized HSMs.

Our results demonstrate that infinite state model checking tools can be effective in verifying properties of parameterized hierarchical state machines. Model checking infinite state systems specified by linear arithmetic constraints,

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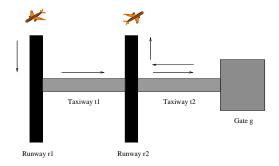


Figure 1. An airport ground network.

such as the ones targeted by ALV, has been an active research area in the automated verification community in recent years [8, 15, 20, 24, 25] Among the tools developed based on the results in this area [2, 3, 4], ALV is unique in combining multiple symbolic representations. Additionally, Action Language provides high level constructs such as the ability to declare parameterized processes, which is supported by ALV using automated counting abstraction.

We applied the approach presented in this paper to a simple airport ground network system shown in Figure 1. In this example, the ground network consists of two runways, two taxiways, and a single gate. An arriving airplane lands using runway r1, navigates on taxiway t1, crosses runway r2, and navigates on taxiway t2 to reach gate g, where it parks. A departing airplane starts from gate g, navigates on taxiway t2 and takes off using runway r2. The control logic for such a system must avoid accidents and deadlock. We specified the control logic for this example using parameterized HSMs as shown in Figure 2. We modeled the ground network as a hierarchical state machine which consists of synchronous state machines that model individual resources, e.g., state r1 models runway r1. Each airplane is modeled as a hierarchical state machine (Airplane) which consists of substates that model the status of the airplane, e.g., state landing models landing status. The state Airplane[\*] denotes asynchronous composition of arbitrary number of Airplane state machines. The whole system is modeled as the synchronous composition of Airplane[\*] and the state machines modelling the ground network resources (r1, r2, t1, t2, and q).

The rest of the paper is organized as follows. In Section 2 we define the HSM notation and its semantics. In Section 3 we define the parameterized HSMs. In Section 4 we discuss the verification of parameterized HSMs using ALV. Finally, in Section 5, we give our conclusions.

## 2 Hierarchical State Machines

In this section we define the Hierarchical State Machine (HSM) notation and its semantics.

#### 2.1 Modes

A mode<sup>1</sup> denotes a control point. It is represented by an annotated rectangle. A mode is called *active* if the HSM is currently at that mode. There are two types of modes: *basic modes* and *complex modes*. A complex mode describes a hierarchy and it is composed of submodes which can be either basic modes or complex modes. A complex mode can be one of two types: an *OR-mode* or an *AND-mode*. An OR-mode is constructed by connecting its submodes via transitions. If an OR-mode is active, then exactly one of its submodes is also active. One of the submodes of an OR-mode is identified as the *initial mode* and represented by an incoming curved arc. An AND-mode is constructed by separating its submodes by dashed lines. An AND-mode denotes concurrent execution of its submodes. If an AND-mode is active, then all of its submodes are also active.

We denote the set of all mode names in an HSM as Mode. Given a mode m, Sub(m) denotes the set of modes which are the submodes of m. A mode  $m_1$  is the supermode of the mode  $m_2$  ( $Sup(m_2) = m_1$ ) if  $m_2$  is a submode of  $m_1$ , i.e.,  $m_2 \in Sub(m_1)$ . A mode  $m_1$  is said to be an ancestor of the mode  $m_2$  if either  $m_1$  is a super-mode of  $m_2$  or  $m_1$  is an ancestor of the super-mode of  $m_2$ . Similarly,  $m_1$  is said to be a descendant of  $m_2$  if either  $m_1$  is a submode of  $m_2$  or  $m_1$ 's super-mode is a descendant of  $m_2$ . Desc(m) denotes the set m's descendants. Two modes  $m_1$ and  $m_2$  are called *peer modes*, if they are submodes of the same node. The *lowest-common ancestor* of  $m_1$  and  $m_2$ ,  $LCA(m_1, m_2)$ , is defined as the mode that is an ancestor of both  $m_1$  and  $m_2$  and which does not have a descendant that is the ancestor of both  $m_1$  and  $m_2$ . The top-most peer ancestor of  $m_1$  with respect to  $m_2$ ,  $TPA(m_1, m_2)$ , is the ancestor of  $m_1$  that is a submode of the lowest-common ancestor of  $m_1$  and  $m_2$ . A mode m is active if and only if m.act = true.

We define three functions  $S,SA,SD:Mode \to Formula$  which map modes to formulas. Given a mode m,S(m) is the formula denoting that m is currently active. We define S(m) as  $S(m)=SA(m)\wedge SD(m)$  where the formulas SA(m) and SD(m) denote the constraints on the ancestors and the descendants of m, respectively. They are recursively defined as follows:

$$SA(m) = \left\{ \begin{array}{ll} m_1.act \wedge SA(m_1) & \text{if } m_1 = Sup(m) \text{ and } \\ m_1 \text{ is an OR-mode} \end{array} \right.$$
 
$$SA(m) = \left\{ \begin{array}{ll} m_1.act \wedge SA(m_1) & \text{if } m_1 = Sup(m) \text{ and } \\ \bigwedge_{m_2 \in Sub(m_1), m_2 \neq m} & m_1 \text{ is an AND-mode} \\ SD(m_2) & \\ true & \text{if } m \text{ is the root mode} \end{array} \right.$$

<sup>&</sup>lt;sup>1</sup>We use the word *mode* here since we use the word *state* to refer to the confi gurations of the hierarchical state machines.

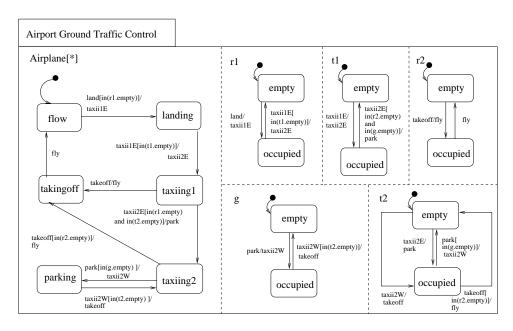


Figure 2. Hierarchical state machine specification of the airport ground network control system.

$$SD(m) = \left\{ \begin{array}{ll} m.act & \text{if m is a basic mode} \\ (\bigoplus_{m_1 \in Sub(m)} m_1.act) & \text{if m is an} \\ \land (\bigwedge_{m_1 \in Sub(m)} m_1.act & \text{OR-mode} \\ \Rightarrow SD(m_1)) \land m.act & \\ (\bigwedge_{m_1 \in Sub(m)} SD(m_1)) & \text{if m is an} \\ \land m.act & \text{AND-mode} \end{array} \right.$$

where the expression with the exclusive-or operator ( $\bigoplus$ ) denotes that exactly one of the submodes of an active OR-mode should be active.

# 2.2 Transitions

A transition models an atomic mode change and is represented by a solid arrow connecting two modes. Let  $t_{m_s}^{m_d}$  denote a transition from  $m_s$  to  $m_d$ , where  $m_s$  and  $m_d$  denote the source mode and the destination mode of t, respectively. When a transition  $t_{m_s}^{m_d}$  is taken, the source mode  $m_s$ , some of its ancestors and all of its descendants become inactive, and the destination mode  $m_d$  and all of its ancestors and some of its descendants become active. We restrict the transitions so that, if a mode m is the source or destination mode of a transition, then Sup(m) must be an OR-mode, i.e., there are no transitions from or to the submodes of ANDmodes. Moreover, given a transition  $t_{m_s}^{m_d}$ , if  $m_s$   $(m_d)$  is a descendant of a submode of an AND-mode then  $m_d$   $(m_s)$ must be a descendant of the same submode of that ANDmode, i.e., for all  $t_{m_s}^{m_d}$ , for all m, if Sup(m) is an ANDmode, then  $m_s \in Desc(m)$  if and only if  $m_d \in Desc(m)$ .

Transitions can be labeled with three fields

trigger[cond]/generate where trigger is the trigger event of the transition, generate is the event generated by the transition, and cond is a boolean combination of predicates in the form in(m.sm). The cond and generate fields are optional. The predicate in(m.sm) denotes that the submode sm of the OR-mode m is currently active. We model the events using boolean variables. A transition can be taken if the trigger event and the cond are true (if cond is not given, it is assumed to be true by default). Taking the transition sets the trigger event to false and sets the generated event (if it exists) to true. We call the formula which denotes this transition semantics the transition formula. Let  $f(t_{m_s}^{m_d})$  denote the transition formula for the transition  $t_{m_s}^{m_d}$ . We write the transition formula as  $f(t_{m_s}^{m_d}) = g(t_{m_s}^{m_d}) \wedge u(t_{m_s}^{m_d})$  where  $g(t_{m_s}^{m_d})$  is called the guard and states that the trigger event and the cond are true, and  $u(t_{m_s}^{m_d})$  is called the *update* and sets the value of the trigger event to false and the generated event (if it exists) to true in the next state. A transition preserves the values of all the events that it does not update. When the mode  $m_s$  is active, the transition  $t_{m_s}^{m_d}$  can be taken provided that the  $g(t_{m_s}^{m_d})$  evaluates to *true*. If there is more than one transition originating from the same mode and if the guards of more than one of them evaluate to true at the same time, then one of the transitions is taken nondeterministically.

Now, we will define the semantics of executing a transition. Let  $LU: Mode \times Mode \rightarrow Formula$  denote the mapping from a pair of modes  $(m_1, m_2)$ , where  $m_2$  is a descendant of  $m_1$ , to a formula which denotes the state of  $m_2$  and its ancestors up to  $m_1$  after a transition exits  $m_2$ . We use primed modes to denote the values of the attributes after

the transition is taken (m.act is the value of the act attribute of mode m at the current state, whereas m'.act is the value of the act attribute of mode m at the next state, after the transition is taken).

$$LU(m_1, m_2) = \begin{cases} \neg m_1' . act \land \neg m_2' . act & \text{if } m_2 \in Sub(m_1) \\ \neg m_2' . act \land & \text{if } m_2 \notin Sub(m_1) \\ LU(m_1, Sup(m_2)) & \end{cases}$$

Let  $LD: Mode \rightarrow Formula$  denote the mapping from a mode m to a formula which denotes the state of mode mand all its descendants after m is exited by a transition.

$$LD(m) = \begin{cases} \neg m'.act & \text{if } m \text{ is a basic mode} \\ \neg m'.act \land & \text{if } m \text{ is an OR-mode} \\ \bigwedge_{m_1 \in Sub(m)} \\ (m_1.act \Rightarrow LD(m_1)) & \\ \neg m'.act \land & \text{if } m \text{ is an AND-mode} \\ \bigwedge_{m_1 \in Sub(m)} LD(m_1) & \end{cases}$$

Let  $Left: Mode \times Mode \rightarrow Formula$  denote the mapping from a pair of modes  $(m_1, m_2)$  to a formula which denotes the state of  $m_2$ , its descendants, and its ancestors up to  $m_1$ , after a transition exits  $m_2$ .

$$Left(m_1, m_2) = \begin{cases} LD(m_2) & \text{if } m_1 = m_2 \\ LU(m_1, Sup(m_2)) & \text{if } m_2 \in \\ \land LD(m_2) & Desc(m_1) \end{cases}$$

When a transition  $t_{m_s}^{m_d}$  is taken, the destination mode  $m_d$ , all of its ancestors, and some of its descendants become active. Below we define the effect of executing a transition on the destination mode and its ancestors and its descendants.

Let  $EnU: Mode \times Mode \rightarrow Formula$  denote the mapping from a pair of modes  $(m_1, m_2)$ , where  $m_2$  is a descendant of  $m_1$ , to a formula which denotes the state of mode  $m_2$  and its ancestors up to  $m_1$  after a transition enters  $m_2$ .

$$EnU(m_1, m_2) = \begin{cases} m'_1.act \land m'_2.act & \text{if } m_2 \in \\ Sub(m_1) \\ \\ EnU(m_1, Sup(m_2)) & \text{if } m_2 \notin \\ \land m'_2.act & Sub(m_1) \end{cases}$$

from a mode m to a formula which denotes the state of mode m and all its descendants after m is entered by a transition.

$$EnD(m) = \begin{cases} m'.act & \text{if } m \text{ is a} \\ & \text{basic mode} \end{cases}$$

$$m'.act \land EnD(m.init) & \text{if m is an} \\ & \text{OR-mode}$$

$$m'.act \land \\ & \bigwedge_{m_1 \in Sub(m)} EnD(m_1) & \text{AND-mode} \end{cases}$$

Let  $En: Mode \times Mode \rightarrow Formula$  denote the mapping from a pair of modes  $(m_1, m_2)$ , where  $m_2$  is a descendant of  $m_1$ , to a formula which denotes the state of  $m_2$ , its descendants, and its ancestors up to  $m_1$ , after a transition enters  $m_2$ .

$$En(m_1, m_2) = \begin{cases} EnD(m_2) & \text{if } m_1 = m_2 \\ EnU(m_1, Sup(m_2)) & \text{if } m_2 \in \\ \land EnD(m_2) & Desc(m_1) \end{cases}$$

Let  $IdND: Mode \rightarrow Formula$  denote the mapping from a mode m to a formula which denotes that for all the modes which are not descendants of m, the act attribute remains the same in the next state.

$$IdND(m) = \bigwedge_{m \notin Desc(m)} m'.act = m.act$$

Similarly,  $IdD: Mode \rightarrow Formula$  is the mapping from a mode m to a formula which denotes that for all the modes which are the descendants of m, the act attribute remains the same in the next state.

$$IdD(m) = \bigwedge_{m \in Desc(m)} m'.act = m.act$$

Now, we can define the semantics of a transition  $t_{m_1}^{m_2}$  as

$$\begin{bmatrix} t_{m_1}^{m_2} \end{bmatrix} = S(m_1) \wedge Left(m_3, m_1) \wedge En(m_4, m_2) \\
 IdND(m_5) \wedge f(t_{m_1}^{m_2})$$

where  $m_3 = TPA(m_1, m_2), m_4 = TPA(m_2, m_1),$  and  $m_5 = LCA(m_1, m_2)$ . Then, the semantics of a mode m is defined as follows:

$$EnU(m_1,m_2) = \begin{cases} m'_1.act \land m'_2.act & \text{if } m_2 \in \\ Sub(m_1) \\ EnU(m_1,Sup(m_2)) & \text{if } m_2 \not \in \\ \land m'_2.act & Sub(m_1) \end{cases} \\ \text{Let } EnD : Mode \rightarrow Formula \text{ denote the mapping on a mode } m \text{ to a formula which denotes the state of ode } m \text{ and all its descendants after } m \text{ is entered by a tran-} \end{cases} \\ \llbracket m \rrbracket = \begin{cases} false & \text{if } m \text{ is a basic mode} \\ \bigvee_{t_{m_1}^{m_2}, m = LCA(m_1, m_2)} \llbracket t_{m_1}^{m_2} \rrbracket & \text{if } m \text{ is an OR-mode} \\ \bigvee_{t_{m_1}^{m_2}, m \in Sub(m)} \llbracket m_1 \rrbracket & \bigwedge_{t_{m_1}^{m_2}, m_3 = LCA(m_1, m_2)} \\ \bigvee_{t_{m_1}^{m_2}, m_3 = LCA(m_1, m_2)} \\$$

## 3 Parameterized HSMs

We incorporate an instantiation operator to HSMs as a way of specifying asynchronous composition of a set of identical state machines. The instantiation operator is represented by the suffix "[n]" that is appended to a complex mode name where n can be a number denoting the number of instantiations or it can be the "\*" character denoting the arbitrary number of instantiations. We call a mode with arbitrary number of instantiations a parameterized mode. For instance, in Figure 2, Airplane is a parameterized mode. The semantics of the mode instantiation is defined as

$$\llbracket M[n] \rrbracket = \bigvee_{1 \le i \le n} \llbracket M_i \rrbracket$$

where  $M_i$  denotes the HSM that is obtained from M by appending i to each mode name. We explain the semantics of parameterized modes below.

## 3.1 Parameterized Modes

We use an abstraction technique called *counting abstraction* [19] to define the semantics of parameterized modes. Counting abstraction is used to abstract the local states of a set of identical components. The abstracted system does not keep track of the state of each component, rather, it keeps track of the number of components in each state. This is achieved by introducing a set of integer variables, one per state, counting the number of components in that state.

We extend the notation we introduced so far by introducing the superscript P to denote the parameterized case. We associate a counter m.c with each mode m, which denotes the number of instances of the parameterized state machine that are active in m. We define  $S^P, SA^P, SD^P: Mode \rightarrow Formula$  as  $S^P(m) = SA^P(m) \wedge SD^P(m)$  where SA and SD are recursively defined as follows:

$$SA^{P}(m) = \begin{cases} m_{1}.c > 0 \land SA^{P}(m_{1}) & \text{if } m_{1} = Sup(m) \\ & \text{and } m_{1} \text{ is an} \\ & \text{OR-mode} \end{cases}$$

$$SA^{P}(m) = \begin{cases} m_{1}.c > 0 \land SA^{P}(m_{1}) & \text{if } m_{1} = Sup(m) \\ \bigwedge_{m_{2} \in Sub(m_{1}), m_{2} \neq m} & \text{and } m_{1} \text{ is an} \\ SD^{P}(m_{2}) & \text{AND-mode} \end{cases}$$

$$true \qquad \text{if } m \text{ is the root mod}$$

$$SD^P(m) = \left\{ \begin{array}{ll} m.c > 0 & \text{if m is a} \\ & \text{basic mode} \end{array} \right. \\ \left(\bigvee_{m_1 \in Sub(m)} m_1.c > 0 & \text{if m is an} \\ \wedge SD^P(m_1)\right) \wedge m.c > 0 & \text{OR-mode} \end{array} \\ \left(\bigwedge_{m_1 \in Sub(m)} m_1.c > 0 & \text{if m is an} \\ \wedge SD^P(m_1)\right) \wedge m.c > 0 & \text{AND-mode} \end{array}$$

Below, we present the transition semantics for parameterized modes, based on the notation for the non-parameterized case in Section 2. Let  $Dec(c) \equiv c' = c - 1$  and  $Inc(c) \equiv c' = c + 1$ .

$$LU^{P}(m_{1}, m_{2}) = \begin{cases} Dec(m_{1}.c) \land & \text{if } m_{2} \in \\ Dec(m_{2}.c) & Sub(m_{1}) \end{cases}$$
$$Dec(m_{2}.c) \land & \text{if } m_{2} \notin \\ LU^{P}(m_{1}, Sup(m_{2})) & Sub(m_{1}) \end{cases}$$

$$LD^P(m) = \left\{ \begin{array}{ll} Dec(m.c) & \text{if } m \text{ is a} \\ & \text{basic mode} \end{array} \right.$$
 
$$LD^P(m) = \left\{ \begin{array}{ll} Dec(m.c) \wedge & \text{if } m \text{ is an} \\ (\bigoplus_{m_1 \in Sub(m)} m_1.c > 0 \wedge & \text{OR-mode} \\ LD^P(m_1)) & \\ Dec(m.c) \wedge & \text{if } m \text{ is an} \\ \bigwedge_{m_1 \in Sub(m)} LD^P(m_1) & \text{AND-mode} \end{array} \right.$$

$$Left^{P}(m_{1}, m_{2}) = \begin{cases} LD^{P}(m_{2}) & \text{if } m_{1} = m_{2} \\ LU^{P}(m_{1}, Sup(m_{2})) & \text{if } m_{2} \in \\ \land LD^{P}(m_{2}) & Desc(m_{1}) \end{cases}$$

$$EnU^{P}(m_{1}, m_{2}) = \begin{cases} Inc(m_{1}.c) \land & \text{if } m_{2} \in \\ Inc(m_{2}.c) & Sub(m_{1}) \end{cases}$$
$$EnU^{P}(m_{1}, Sup(m_{2})) & \text{if } m_{2} \notin \\ \land Inc(m_{2}.c) & Sub(m_{1}) \end{cases}$$

$$EnD^P(m) = \begin{cases} Inc(m.c) & \text{if } m \text{ is a} \\ & \text{basic mode} \end{cases}$$
 
$$EnD^P(m.init) & \text{OR-mode}$$
 
$$Inc(m.c) \land & \text{if m is an} \\ OR\text{-mode} & \text{Inc}(m.c) \land & \text{if m is an} \\ \land m_1 \in Sub(m) EnD^P(m_1) & \text{AND-mode} \end{cases}$$

$$En^{P}(m_{1}, m_{2}) = \begin{cases} EnD^{P}(m_{2}) & \text{if } m_{1} = m_{2} \\ EnU^{P}(m_{1}, Sup(m_{2})) & \text{if } m_{2} \in \\ \wedge EnD^{P}(m_{2}) & Desc(m_{1}) \end{cases}$$

$$IdND^{P}(m) = \bigwedge_{m \notin Desc(m)} m'.c = m.c$$
  
 $IdD^{P}(m) = \bigwedge_{m \in Desc(m)} m'.c = m.c$ 

$$\begin{bmatrix} t_{m_1}^{m_2} \end{bmatrix}^P = S^P(m_1) \wedge Left^P(m_3, m_1) \wedge En^P(m_4, m_2)$$
$$IdND^P(m_5) \wedge f(t_{m_1}^{m_2})$$

where  $m_3 = TPA(m_1, m_2)$ ,  $m_4 = TPA(m_2, m_1)$ , and  $m_5 = LCA(m_1, m_2)$ .

$$\llbracket m \rrbracket^P = \left\{ \begin{array}{ll} false & \text{if $m$ is a} \\ & \text{basic mode} \end{array} \right. \\ \bigvee_{t_{m_1},m=LCA(m_1,m_2)} \llbracket t_{m_1}^{m_2} \rrbracket^P & \text{if $m$ is an} \\ \vee \bigvee_{m_1 \in Sub(m)} \llbracket m_1 \rrbracket^P & \text{OR-mode} \end{array} \\ \bigwedge_{m_3 \in Sub(m)} (\llbracket m_3 \rrbracket^P \vee & \text{if $m$ is an} \\ (IdD^P(m_3) \wedge & \text{AND-mode} \\ \bigwedge_{t_{m_1}^m,m_3 = LCA(m_1,m_2)} \\ \neg g(t_{m_1}^{m_2})) \end{array} \right.$$

# 3.2 Avoiding Redundancy

It is possible to determine all the active modes in an HSM just by looking at the basic modes, i.e., the set of basic modes that are active determines all the active modes. For the parameterized HSMs this results in the following property: Given a complex mode m, the counter m.c can be defined in terms of the counters of its submodes based on the following equivalences:

$$m.c = \left\{ \begin{array}{ll} \sum_{m_1 \in Sub(m)} m_1.c & \text{if } m \text{ is an OR-mode} \\ \\ m_1.c & \text{if } m \text{ is an AND-mode} \\ \\ & \text{and } m_1 \in Sub(m) \end{array} \right.$$

We can reduce the number of counters by eliminating the counters for the complex modes and inferring their values from the counters of their submodes based on the equivalences given above. To achieve this, in the functions  $SA^P$  and  $SD^P$ , we replace the constraints in the form m.c>0, where m is a complex mode, with Gt(m.c) which is defined as:

$$Gt(m.c) = \left\{ \begin{array}{ll} m.c > 0 & \text{if } m \text{ is a basic mode} \\ \bigvee_{m_1 \in Sub(m)} Gt(m_1.c) & \text{if } m \text{ is an OR-mode} \\ \\ Gt(m_1.c) & \text{if } m \text{ is an} \\ & \text{AND-mode and} \\ & m_1 \in Sub(m) \end{array} \right.$$

Additionally, in the functions  $LU^P$ ,  $LD^P$ ,  $EnU^P$ , and  $EnD^P$ , for every complex mode m, we get rid of the Inc(m.c) and Dec(m.c) constraints. This reduction improves the efficiency of the verification by reducing the number of integer variables in the parameterized system.

# 4 Verifi cation of HSMs Using ALV

Figure 3 shows the translation of the HSM for the airport ground control given in Figure 2 to Action Language. An Action Language specification consists of integer, boolean and enumerated variables, parameterized integer constants and a set of modules and actions which are composed using synchronous and asynchronous composition operators [13, 16]. Note that, a parameterized integer constant is an unspecified constant which can take an arbitrary integer value. Semantically, each Action Language module corresponds to a transition system with a set of states, a set of initial states and a transition relation. The top level module is always called the main module. The variable declarations of a module define the set of states of that module. The initial expression of a module defines the set of initial states of that module. A module expression (which starts with the name of the module) defines the transition relation of the module in terms of its actions and submodules using asynchronous and synchronous composition operators. Each action in an Action Language specification defines a single execution step. In an action expression primed variables denote the next-state values for the variables and unprimed variables denote the current-state values.

In Action Language asynchronous composition of two actions  $a_1$  and  $a_2$ , denoted  $a_1 \mid a_2$ , is defined as the disjunction of their transition relations. However, an action preserves the values of the variables which are not modified by itself. Two actions  $a_1$  and  $a_2$  can also be combined with synchronous composition  $a_1 \& a_2$ . Semantics of synchronous composition corresponds to conjunction if two actions are always enabled. However, if one component of synchronous composition is not enabled in a state, this would deadlock the composed system if conjunction is used as its semantics. To prevent this, in such a state, the disabled component makes a synchronous idle transition and stays in the same state which allows other components to progress. Formal semantics of Action Language is given in [26].

The Action Language translation of the HSM specification in Figure 2, shown in Figure 3, follows the HSM semantics we described in the previous sections. There is one enumerated variable to encode each OR-mode in the HSM specification. Each complex mode is represented with a module. Each transition in the HSM specification is represented by one action in the Action Language translation. Then, the overall transition relation is defined by combin-

```
module main()
  enumerated sr1, sr2, st1, st2, sg {empty, occupied};
  open boolean land, taxiilE, taxii2E, taxii2W, fly, park, takeoff;
  initial: land and !taxii1E and !taxii2E and !taxii2W and !fly and !park and !takeoff;
  module Airplane()
    enumerated state {flow, landing, taxiing1, taxiing2, takingoff, parking};
    initial: state=flow;
   al: state=flow and srl=empty and land and state'=landing and !land' and taxii1E';
   a2: state=landing and st1=empty and taxii1E and state'=taxiing1 and !taxii1E' and taxii2E';
   a3: state=taxiing1 and sr2=empty and st2=empty and sg=empty and taxii2E
       and state'=taxiing2 and !taxii2E' and park';
    a4: state=taxiing2 and sr2=empty and takeoff and state'=takingoff and fly' and !takeoff';
    a5: state=taxiing2 and sg=empty and park and state'=parking and taxii2W' and !park';
   a6: state=parking and st2=empty and taxii2W and state'=taxiing2 and takeoff' and !taxii2W';
   a7: state=takingoff and fly and state'=flow and !fly';
   Airplane: a1 | a2 | a3 | a4 | a5 | a6 | a7;
  endmodule
  module r1()
    initial: srl=empty;
   r11: srl=empty and land and !land' and taxiilE' and srl'=occupied;
   r12: sr1=occupied and taxii1E and st1=empty and sr1'=empty and !taxii1E' and taxii2E';
   r1: r11 | r12;
  endmodule
  module t1()
   initial: st1=empty;
    tl1: stl=empty and taxiilE and stl'=occupied and taxii2E' and !taxiilE';
   t12: st1=occupied and taxii2E and sr2=empty and st2=empty and
         sg=empty and st1'=empty and park' and !taxii2E';
   t1: t11 | t12;
  endmodule
  module r2()
   initial: sr2=empty;
   r21: sr2=empty and takeoff and sr2'=occupied and fly' and !takeoff';
   r22: sr2=occupied and fly and sr2'=empty and !fly';
   r2: r21 | r22;
  endmodule
  module t2()
    initial: st2=empty;
   t21: st2=empty and taxii2E and sr2=empty and st2'=occupied and park' and !taxii2E';
   t22: st2=occupied and park and sg=empty and st2'=empty and taxii2W' and !park';
   t23: st2=occupied and takeoff and sr2=empty and st2'=empty and fly' and !takeoff';
    t24: st2=empty and taxii2W and st2'=occupied and takeoff' and !taxii2W';
   t2: t21 | t22 | t23 | t24;
  endmodule
  module g()
   initial: sq=empty;
   g1: sg=empty and park and sg'=occupied and taxii2W' and !park';
   g2: sg=occupied and taxii2W and st2=empty and sg'=empty and takeoff' and !taxii2W';
   g: g1 | g2;
  endmodule
  module EnvEvent()
    // this module generates the environment events nondeterministically
   EnvEvent: land'=land or land';
  endmodule
  module EventConstraint()
    // this module specifies that at any execution step at most one event
    // can be consumed and at most one event can be generated
  endmodule
  main: (Airplane()* & r1() & t1() & r2() & t2() & g() | EnvEvent()) & EventConstraint();
  spec: AG(EX(true))
  spec: AG(sr1=occupied and st1=occupied => AX(sr1=occupied))
  spec: AG(st1=occupied and (sr2=occupied or sg=occupied) => AX(st1=occupied))
endmodule
```

Figure 3. Action Language specification for the airport ground control model given in Figure 2.

ing the transitions (or submodes) of OR-modes with asynchronous composition |, and the submodes of AND-modes with synchronous composition &.

In the Action Language translation, the events are represented as boolean variables. The event variables are declared to be *open* which means that their updates have to be explicitly stated (i.e., they do not preserve their value unless it is explicitly stated). We declare an event constraint module which is synchronously composed with the rest of the system. This module restricts the transition relation so that at each execution step at most one event can be consumed and at most one event can be generated. We also declare an environment event module which is asynchronously composed with the HSM. This module nondeterministically generates the events that are generated by the environment. Although the Action Language specification in Figure 3 is generated manually, we believe that it can be automated based on the translation approach we followed for the example in Figure 2.

#### 4.1 Parameterized Verification

The counting abstraction technique [19] is integrated to ALV in order to verify properties of parameterized systems with arbitrary number of finite state modules. In Action Language, a module can be marked to be parameterized which is denoted by the suffix "\*". Note that, in the Action Language translation shown in Figure 3 the parameterized mode in the HSM specification in Figure 2 is marked to be parameterized. When a module is marked to be parameterized, ALV generates an abstract transition system in which the local variables of the parameterized module is replaced by a set of integer variables, one integer variable for each valuation of the local variables of the parameterized module. These integer variables keep track of the number of instances of the parameterized module in each local state (which corresponds to a valuation of the local variables). An additional parameterized constant is introduced to denote the number of instances of the parameterized module. Counting abstraction preserves the CTL properties that do not involve the local states of the abstracted processes. When properties of a system are verified using the counting abstraction, the result will hold for any number of instances of the parameterized module and if a counterexample is generated it corresponds to a concrete counterexample. Note that counting abstraction technique works only for modules with finite number of local states.

Counting abstraction technique may generate a large number of (unbounded) integer variables to encode the local states of the parameterized modules. Hence, efficient verification with integer variables is crucial for the scalability of parameterized verification. ALV specializes in verification of such systems. ALV is a symbolic model checker for CTL which uses efficient symbolic representations for integer variables. ALV computes the truth set of a given temporal property based on the least and greatest fixpoint characterizations of CTL operators. It uses iterative fixpoint computations starting from the fixpoint for the innermost temporal operator in the formula.

ALV uses the Composite Symbolic Library [28, 29] as its symbolic manipulation engine. Composite Symbolic Library integrates multiple symbolic representations: BDDs for boolean and enumerated variables, polyhedral or automata representations for integer variables, and BDDs for bounded integer variables. Composite Symbolic Library provides an abstract interface which is inherited by every symbolic representation that is integrated to the library. Originally, ALV was developed using a Polyhedral representation for linear arithmetic constraints [15, 28]. Recently, it has been extended with an automata representation for linear arithmetic constraints [10, 11]. ALV also uses BDDs to encode boolean and enumerated variables. These symbolic representations can be used in different combinations. For example, polyhedral and automata representations can be combined with BDDs using a disjunctive representation. ALV also supports efficient representation of bounded arithmetic constraints using BDDs [9].

In the presence of unbounded integer variables (such as the ones generated by the counting abstraction) model checking is undecidable. Hence, ALV uses conservative approximation techniques during verification. There are three possible outcomes when one uses ALV to verify a parameterized system: 1) ALV verifies the property which means that the property is provably correct, 2) ALV generates a counter-example which means that the property is provably incorrect, and 3) ALV states that it is unable to verify or falsify the property. ALV uses several heuristics to minimize the occurrence of the third outcome as much as possible.

The undecidability of the model checking problem for unbounded systems implies that the fixpoint computations are not guaranteed to converge. ALV uses several conservative approximation heuristics to achieve convergence [12, 14, 15, 16]: 1) Truncated fixpoint computations to compute lower bounds for least fixpoints and upper bounds for greatest fixpoints, 2) Widening heuristics both for polyhedra [15] and automata representations [12] to compute upper bounds for least fixpoints (and their duals to compute lower bounds for greatest fixpoints), 3) Approximate reachability analysis using a forward fixpoint computation and widening heuristics, 4) Accelerations based on loopclosures which extract disjuncts from the transition relation that preserve the boolean and enumerated variables but modify the integer variables, and then compute approximations of the transitive closures of the integer part.

# 4.2 Experiments

After we translated the HSM model of the airport ground network traffic control in Figure 2 to the Action Language specification shown in Figure 3, we verified several correctness properties using ALV. The first five properties we verified were:

We verified the five properties listed above both for concrete number of airplanes (2, 4, 8, 16, 32 and 64) and arbitrary number of (parameterized) airplanes. The first property denotes absence of deadlock. Other properties make sure that the airplanes follow the rules of the airport topology when they are moving across the runways and taxiways. We verified one more property indicating that at any reachable state of the system there is at most one airplane in the taxiing2 state. To specify that property for the concrete cases we wrote invariants in the following form:

```
AG(state1=taxiing2 => state2!=taxiing2 and state3!=taxiing2 and ...)
```

where state *i* denotes the state variable of the *i*'th instance of the Airplane module. Note that since each instantiation of the Airplane module is identical, proving this property ensures that the property holds for any instantiation. For the parameterized case, we declared an auxiliary integer variable count which is initialized to 0, and is incremented when an Airplane module enters the taxiing2 state and is decremented when an Airplane module exits the taxiing2 state. We then verified the property AG(count<=1).

Table 1 shows the transition system construction time, verification time and memory usage. The first five rows show the results for the concrete cases with 2, 4, 8, 16, 32 and 64 instances of the Airplane module and the bottom row (denoted by P) shows the results for the parameterized case. For the experiments we used a machine with a 2.8 GHertz Pentium 4 processor and 2 GBytes of main memory.

For the concrete cases the specification is a finite state model and ALV works as a BDD based model checker (i.e., does not use any arithmetic constraint manipulation). The fixpoint computations for the first five properties converged in the first iteration for all cases. The fixpoint computation for the last property took 11 iterations for the case with 2

Number of	Construction	Verifi cation	Memory (MB)
Airplanes	Time (sec)	Time (sec)	
2	0.08	0.02	1.68
4	0.21	0.16	4.63
8	0.56	1.08	15.75
16	1.34	3.24	39.80
32	3.25	9.69	64.45
64	10.25	26.21	124.35
P	41.32	13.85	15.15

Table 1. Verification results.

airplanes, 18 iterations for the case with 4 airplanes, 22 iterations for the cases with 8, 16, 32 and 64 airplanes, and 23 iterations for the parameterized case.

Note that the transition system construction time for the parameterized case takes longer than the concrete cases. There are two reasons 1) for the parameterized case the counting abstraction is being computed during the transition system construction and 2) the parameterized case is using linear arithmetic constraint manipulation during the transition system construction which is more expensive than boolean logic manipulation.

The verification time for the parameterized case is between the verification times for the concrete cases with 32 airplanes and 64 airplanes. In terms of memory usage parameterized case performs even better and uses less memory than the concrete case with 8 airplanes. More importantly, the result we obtain for the parameterized case is much stronger than any of the concrete cases. From the verification results for the parameterized case we can deduce that the airport ground traffic control model given in Figure 2 satisfies the properties listed above for any number of airplanes.

## 5 Conclusions

In this paper, we extended the standard notation for the hierarchical state machines by introducing primitives for explicit specification of asynchronous processes and their finite and parameterized instantiations. We used the counting abstraction technique in defining the semantics of the parameterized instantiation operator. We showed that hierarchical state machine specifications can be translated to the Action Language and their properties can be verified using the Action Language Verifier. The Action Language Verifier is an infinite-state symbolic model checker which can verify parameterized Action Language specifications using automated counting abstraction. We showed that this feature of the Action Language Verifier can be used to verify parameterized hierarchical state machine specifications automatically. As a case study, we modeled an airport ground traffic control system using the hierarchical state machines, translated the specification to the Action Language, and verified its properties both for different, concrete number of instantiations and for arbitrary number of instantiations using the Action Language Verifier.

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