

Guest Editorial

Special Issue on Automation Science and Engineering for Smart and Interconnected Healthcare Delivery Systems

THERE has been growing interest in healthcare delivery systems worldwide coupled with a recent influx of funding into the area. Due to rapid development in information and network technology, smartness and interconnectivity have become a central issue in healthcare delivery. Automation is important for healthcare delivery systems engineering. In recent years, the significant changes in healthcare delivery and the rapid development in data analytics, artificial intelligence, robotics, and wearable devices have generated numerous opportunities for innovation in automation for smart and interconnected healthcare delivery systems. In addition, many new challenges have emerged in order to apply and implement these innovations. Such opportunities and challenges have significantly expanded the scopes of traditional automation science and engineering. Therefore, to show the state-of-the-art research and applications in the general area of healthcare delivery systems automation and to address the needs and challenges for the integration of new automation technologies in healthcare delivery, this Special Issue serves as a forum to bring together researchers, clinicians, and healthcare practitioners to present efficient scientific and engineering solutions and to provide visions for future research and development.

The central theme of this Special Issue is on emerging opportunities and future directions in automation science and engineering for healthcare delivery systems, which focuses on information technology-based modeling, analysis, control, and optimization, as well as broad aspects and issues in healthcare delivery. This Special Issue presents original, significant, and visionary automation papers describing scientific models, methods, and technologies with both solid theoretical development and practical importance that improve efficiency, quality, and safety in smart and interconnected healthcare systems.

The contributions in this Special Issue can be divided into the following categories in healthcare delivery: operation modeling and care improvement, scheduling problems, and clinical decision support through optimization and data analytics. Specifically, the following papers are included.

The first category addresses the issues related to modeling and analysis of patient care, including capacity planning and care management. For hospital management, Lee, Musa, Bain, Nelson, Baker, and Li, in their paper “A queueing network model for analysis of patient transitions within hospitals,” present a queueing network model-based iteration method to model and analyze patient transitions between emergency department, intensive care unit (ICU), and general ward within a hospital. Routings with feedback flows are considered under general arrival and service processes, and the effects of blocking on performance measures are presented for both the mean and the variability, which can provide a quantitative tool for hospital capacity management.

For post-hospital care, Lee, Wang, Bain, Kundinger, Sommers, Baker, and Li, in their paper “Modeling and analysis of postdischarge intervention process to reduce chronic obstructive pulmonary disease (COPD) readmissions,” introduce the modeling and analysis of intervention process for readmission reduction and propose an incentive policy to encourage COPD patients complying with a patient-specific intervention plan. An optimization model is developed to minimize a COPD readmission rate under incentive budget constraint and patients’ readmission risks.

Concerning long-term care, Keno, Lou, Kong, Landry, and Callahan, in their paper “A history embedded accelerated failure time model to estimate nursing home length of stay,” develop an accelerated failure time parametric survival model using the care transition data collected from a large cohort of older adults receiving coordinated care to characterize nursing home (NH) length of stay, which can aid in operational-level NH care transition and utilization policy development.

As referral is an important element in healthcare delivery systems to ensure appropriate and efficient care, optimizing the referral processes becomes critical. In this direction, Li, Teng, and Kong, in their paper, “Threshold control policy optimization for real-time reverse referral decision of Chinese comprehensive hospitals,” study reverse referral that promotes patient flows from upper level hospitals to lower level ones to alleviate the imbalanced utilization of medical resources, and propose an easy-to-implement threshold policy for reverse referral decision for patients receiving post-discharge care.

Zhong, Prakash, Petty, and James, in their paper “Bottleneck analysis to reduce primary care to specialty care referral delay,” introduce a semi-Markov process to describe information transition and use capacity constrained service queues to model operations of involved personnel at every stage of patients’ primary care to specialty care referral pathway. The information flow along the pathway is analyzed, and the system bottlenecks are identified to enhance the workflow design and workforce configuration.

Scheduling has been one of the center topics in the health-care operations management literature. Surgery, appointment, ambulance, and home service become the main application areas. In surgery scheduling direction, Pang, Xie, Song, and Luo, in their paper, “Surgery scheduling under case cancellation and surgery duration uncertainty,” develop a stochastic integer programming model for multiple operation rooms that simultaneously consider the uncertainties of case cancellation and surgery duration. The proposed model can significantly outperform the current practice, which leads to substantial cost reduction in the case study.

Bargetto, Garaix, and Xie, in their paper “Dynamic insertion of emergency surgeries with different waiting time targets,” address the problem of emergency surgery insertion into a given elective surgery schedule of an operating theater composed of multiple operating rooms. A stochastic optimization approach is proposed to dynamically prioritize emergency and elective surgeries in order to best balance meeting emergency surgery requirement, perturbation of elective schedule, and surgery team overtime.

For appointment scheduling, Song, Bai, and Wen, in their paper “Optimal appointment rule design in an outpatient department,” use a renewal process model to evaluate inter-day appointment planning and design improved appointment rules, such as the length of booking window, block capacity, and block service, for hospitals with limited or insufficient resources to balance the waiting time and probability of access.

Pan, Song, and Zhang, in their paper “Dynamic recommendation of physician assortment with patient preference learning,” present the model of recommending physicians to patients on a Web-based appointment system to achieve efficient and effective utilization of physician resources. A preference learning algorithm considering the heterogeneous illness conditions is proposed to learn the patient preference and optimize the recommendation at the same time.

For home care scheduling, Riazi, Wigstrom, Bengtsson, and Lennartson, in their paper “A column generation-based gossip algorithm for home healthcare routing and scheduling problems,” employ a heuristic distributed gossip algorithm to solve home healthcare routing and scheduling problem, and integrate with a local solver based on column generation, which makes it an effective algorithm for larger problem instances.

Moreover, Zhang and Zeng, in their paper “Ambulance deployment with relocation through robust optimization,” investigate the deployment of emergency medical service system to maintain preferred service coverages under two coverage levels for regular situation and situation with ambulance unavailable. Two-stage robust optimization models using a

column and constraint generation method are introduced to design a reliable ambulance system subject to unavailability of the ambulances, with and without ambulance relocation.

Clinical decision support has been a prevailing area in healthcare systems research. Various optimization and analysis methods have been developed to reduce cost, predict disease, and help diagnosis. In these directions, Xie, Li, Liu, and Geng, in their paper “Optimal ICU admission control with premature discharge,” develop an analytical framework to quantify the impact of a number of reserved beds in ICU for potential patients with most critical conditions, and suggest when to prematurely discharge current patients. A Markov decision process model is established to strike a balance between the rejection of incoming patient and the potential premature discharge.

Wang, Liu, Jiang, Yao, and Shen, in their paper “The optimization of combination chemotherapy schedules in the presence of drug resistance,” devise efficient combination chemotherapy schedules using mathematical modeling and memetic algorithms with an advanced local search strategy to determine the dosages of drugs administered to cancer patients with drug resistance that may weaken the efficacy of chemotherapy.

To apply data analytic methods in healthcare systems to predict disease status, Yoon and Li, in their paper “A novel positive transfer learning approach for telemonitoring of Parkinson’s disease,” introduce a positive transfer learning method to leverage other patients’ information when building a predictive model for a target patient. Such an approach can take into account of patient heterogeneity by intelligently selecting with patient to transfer from and, thus, preventing negative transfer.

In addition, Chehade and Liu, in their paper “Structural degradation modeling framework for sparse data sets with an application on Alzheimer’s disease,” propose a framework for modeling and predicting the degradation level and/or condition of units with time and apply it in a case study that involves the Alzheimer’s disease neuroimaging initiative data set with satisfactory performance.

Finally, Hu, Hou, Ning, Guo, Deng, Yang, and Kwok, in their paper “On-chip hardware accelerator for automated diagnosis through human-machine interactions in healthcare delivery,” address the issue of automated diagnosis, where they propose a novel optical network-on-chip (ONoC) solution of designing discrete cores to quickly understand biomarkers for early detecting abnormal pathophysiology, and analyze the performance of ONoC-based automated cyber-physical system accelerator for personalized healthcare.

The Guest Editors would like to thank all the authors and the reviewers for their outstanding work. We would also like to thank Michael Wang, Editor-in-Chief, Samantha Jacobs, Antonia Carl, and Rebecca Hytowitz, Editorial Assistants, and many others for their efforts devoted to this Special Issue.

JINGSHAN LI, *Lead Guest Editor*
University of Wisconsin–Madison
Madison, WI 53706 USA
jingshan.li@wisc.edu

XIAOLAN XIE, *Guest Editor*
Ecole Nationale Supérieure des Mines
42023 Saint-Étienne, France
xie@emse.fr

JIE SONG, *Guest Editor*
Peking University
Beijing 100871, China
songjie@coe.pku.edu.cn

HUI YANG, *Guest Editor*
Pennsylvania State University
University Park, PA 16801 USA
huy25@engr.psu.edu

GREGORY FARAUT, *Guest Editor*
Ecole Normale Supérieure Paris-Saclay
94230 Cachan, France
gregory.faraud@ens-paris-saclay.fr



Jingshan Li (S'97–M'00–SM'06–F'17) received the B.S. degree from the Department of Automation, Tsinghua University, Beijing, China, in 1989, the M.S. degree from the Institute of Automation, Chinese Academy of Sciences, Beijing, in 1992, and the Ph.D. degree in electrical engineering-systems from the University of Michigan, Ann Arbor, MI, USA, in 2000.

From 2000 to 2006, he was a Staff Research Engineer with the Manufacturing Systems Research Laboratory, General Motors Research and Development Center, Warren, MI, USA. From 2006 to 2010, he was with the Department of Electrical and Computer Engineering and the Center for Manufacturing, University of Kentucky, Lexington, KY, USA. He is currently a Professor with the Department of Industrial and Systems Engineering, University of Wisconsin–Madison, Madison, WI, USA. His primary research interests are in the modeling, analysis, and control of manufacturing and healthcare systems.

Dr. Li received the 2005 IEEE TRANSACTIONS ON AUTOMATION SCIENCE AND ENGINEERING Best Paper Award, the 2006 IEEE Early Industry/Government Career Award in Robotics

and Automation, the 2009 IIE Transactions Best Application Paper Award, the 2010 National Science Foundation Career Award, and multiple awards in flagship international conferences. He is a Senior Editor of the IEEE TRANSACTIONS ON AUTOMATION SCIENCE AND ENGINEERING and the IEEE ROBOTICS AND AUTOMATION LETTERS, a Department Editor of *IIE Transactions*, an Area Editor of *Flexible Service and Manufacturing Journal*, and an Associate Editor of the *International Journal of Production Research* and the *International Journal of Automation Technology*.



Xiaolan Xie (F'15) received the Ph.D. degree from the University of Nancy I, Nancy, France, in 1989, and the Habilitation à Diriger des Recherches degree from the University of Metz, Metz, France, in 1995.

He was a Senior Research Scientist at the Institut National de Recherche en Informatique et en Automatique (INRIA) from 1990 to 1999, a Full Professor with the Ecole Nationale d'Ingénieurs de Metz from 1999 to 2002, and a Research Director with INRIA from 2002 to 2005. He is currently a Professor of Exceptional Class of Industrial Engineering and the Head of the Center for Biomedical and Healthcare Engineering, Department of Healthcare Engineering, Ecole Nationale Supérieure des Mines, Saint Etienne, France. He is also a Chair Professor with Shanghai Jiao Tong University, Shanghai, China. He has rich industrial application experiences with European industries. He is a principal investigator for various national and international projects, including ANR-TECSAN HOST on management of winter epidemics, the National Science Foundation China Key Project on planning and optimization of health care

resources, the French Labex IMOBS3 Project on home health cares, FP6-IST6 IWARD on swarm robots for health services, FP6-NoE I*PROMS on intelligent machines and production systems, the FP5-GROWTH-ONE Project for the strategic design of supply chain networks, the FP5-GRWOTH thematic network TNEE on extended enterprises. He has authored/co-authored of about 300 publications, including over 100 journal articles and six books. His research interests include the design, planning, and scheduling, supply chain optimization, and performance evaluation of healthcare and manufacturing systems.

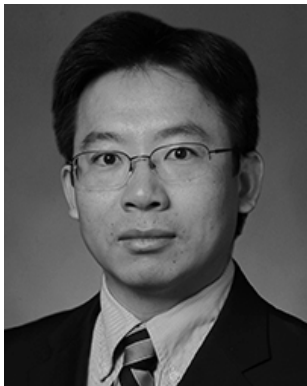
Dr. Xie was a Founding Chair of the Technical Committee on Automation in Health Care Management of the IEEE Robotics and Automation Society. He is a General Chair of ORAHS 2007 and an IPC Chair of the IEEE Workshop on Health Care Management in 2010. He has been an Associate Editor of the IEEE TRANSACTIONS ON AUTOMATION SCIENCE AND ENGINEERING, the IEEE TRANSACTIONS ON AUTOMATIC CONTROL, the IEEE TRANSACTIONS ON ROBOTICS AND AUTOMATION, and the *International Journal of Production Research*. He has also been a guest editor of various special issues on healthcare engineering and manufacturing systems.



Jie Song (SM'17) received the B.S. degree in applied mathematics from Peking University, Beijing, China, in 2004, and the M.S. and Ph.D. degrees in industrial engineering from Tsinghua University, Beijing, in 2007 and 2010, respectively.

She has been a Research Fellow with the Georgia Institute of Technology, Atlanta, GA, USA, and the University of Wisconsin–Madison, Madison, WI, USA. She is currently an Associate Professor with the Department of Industrial Engineering and Management, Peking University. Her current research interest is to develop novel methods/tools from an industrial engineering's perspective by sufficiently understanding the dynamic nature of the complex service engineering system in an information-rich environment and appropriately integrating online learning knowledge to make real-time decision with purpose to improve the efficiency and effectiveness of service engineering systems. Her research is supported by the National Science Foundation of China.

Dr. Song is a member of the Institute for Operations Research and the Management Sciences. She was a recipient of the Chang Jiang Youth Scholar Award by the Ministry of Education in China and many other faculty awards from Peking University. She was also a recipient of the Best Paper Award of the 2014 IEEE CASE. She is an Associate Editor of the IEEE TRANSACTIONS ON AUTOMATION SCIENCE AND ENGINEERING, *Flexible Services and Manufacturing*, and the *Asia-Pacific Journal of Operational Research*.



Hui Yang (SM'17) is currently the Harold and Inge Marcus Career Associate Professor with the Harold and Inge Marcus Department of Industrial and Manufacturing Engineering, Pennsylvania State University, University Park, PA, USA. His research interests are sensor-based modeling and analysis of complex systems for process monitoring, process control, system diagnostics, condition prognostics, quality improvement, and performance optimization.

Dr. Yang received the National Science Foundation CAREER Award in 2015 and multiple best paper awards from the international IEEE, IISE, and INFORMS conferences. He was the President of the INFORMS Quality, Statistics and Reliability Society from 2015 to 2016, a Program Chair of the 2016 Industrial and Systems Engineering Research Conference, and the President of the IISE Data Analytics and Information Systems Society from 2017 to 2018. He is an Associate Editor of the *IISE Transactions*, the IEEE JOURNAL OF BIOMEDICAL AND HEALTH INFORMATICS, and the IEEE ROBOTICS AND AUTOMATION LETTERS.



Gregory Faraut (M'07) received the B.S. degree in electrical engineering and the M.S. degree in computer science from the University of Nice Sophia Antipolis, Nice, France, in 2004 and 2006, respectively, and the Ph.D. degree in automatic control from the Ampere Lab, INSA Lyon (Top 1 Engineering school post-bac), Villeurbanne, France, in 2010.

During the Ph.D. degree, he was a Research Scholar with the of Discrete Event Systems (DES) Group, Computer Science Department, University of Michigan, Ann Arbor, MI, USA, from 2008 to 2009. Since 2011, he has been an Associate Professor of Automatic Control with LURPA, Ecole Normale Supérieure Paris-Saclay, Cachan, France. His research interests concern the field of formal methods and models of DES with applications to manufacturing systems and energy production systems. More specifically, he is interested in identification technique and ambient-assisted living approaches based on machine learning.

Dr. Faraut was a Founding Co-Chair of the Technical Committee on Automation in Health Care Management of the IEEE Robotics and Automation Society. His expertise in the TC concerns optimization methods to control buildings/smart homes and approaches concerning activities daily living in order to recognize and predict the deviations of behavior. He also has a particular expertise about healthcare emergency procedures and regularly works with emergency services (SAMU) in France. The most recent works concern the detection and evaluation of behavior's deviations in collaboration with medical doctors and French Social Security Organisation, and improvement of identification technique by digital twin for manufacturing and transport systems.