

# Detection of Information Hiding at Anti-Copying 2D Barcodes

Ning Xie, *Senior Member, IEEE*, Ji Hu, Junjie Chen, Qiqi Zhang, and Changsheng Chen, *Member, IEEE*

**Abstract**—This paper concerns the problem of detecting the use of information hiding at anti-copying 2D barcodes. Prior hidden information detection schemes are either heuristic-based or Machine Learning (ML) based. The key limitation of prior heuristics-based schemes is that they do not answer the fundamental question of why the information hidden at a 2D barcode can be detected. The key limitation of prior ML-based information schemes is that they lack robustness because a printed 2D barcode is very much environmentally dependent, and thus an information hiding detection scheme trained in one environment often does not work well in another environment. In this paper, we propose two hidden information detection schemes at the existing anti-copying 2D barcodes. The first scheme is to directly use the pixel distance to detect the use of an information hiding scheme in a 2D barcode, referred as to the Pixel Distance Based Detection (PDBD) scheme. The second scheme is first to calculate the variance of the raw signal and the covariance between the recovered signal and the raw signal, and then based on the variance results, detects the use of information hiding scheme in a 2D barcode, referred as to the Pixel Variance Based Detection (PVBD) scheme. Moreover, we design advanced IC attacks to evaluate the security of two existing anti-copying 2D barcodes. We implemented our schemes and conducted extensive performance comparison between our schemes and prior schemes under different capturing devices, such as a scanner and a camera phone. Our experimental results show that the PVBD scheme can correctly detect the existence of the hidden information at both the 2LQR code and the LCAC 2D barcode. Moreover, the probability of successfully attacking of our IC attacks achieves 0.6538 for the 2LQR code and 1 for the LCAC 2D barcode.

**Index Terms**—Information hiding, anti-copying 2D barcode, detection, illegitimately copying attack, embedded locations.

## I. INTRODUCTION

### A. Background and Motivation

The Illegitimately-Copying (IC) attacks seriously hinder the application of Two-Dimensional (2D) barcodes as an anti-counterfeiting technique since a 2D barcode can be easily replicated with an off-the-shelf photocopier. The IC attacks introduce large economic and reputational loss for an authorized manufacturer. Some anti-copying 2D barcodes have been proposed to overcome the security risk of IC attacks but accompanying with some limitations, *e.g.*, special printing materials or techniques, and physical unclonable function. They have two limitations: high production cost and low universal applicability. Recently, some new anti-copying 2D barcodes were proposed utilizing the additional distortion on the received 2D barcode introduced by IC attacks to effectively overcome the above limitations, such as Two-Level QR (2LQR) [1] code and Low-Cost Anti-Copying (LCAC) [2] 2D barcode. Specifically, the 2LQR code [1] and the LCAC 2D

barcode [2] embed subtle patterns and authentication message into the 2D barcode, respectively, to realize the anti-copying purpose.

This paper concerns the problem of detecting the use of information hiding at anti-copying 2D barcodes. Such information hiding detection schemes have the following applications. First, it can be used to evaluate the security level of the existing anti-copying 2D barcodes. For example, if the existence of the hidden information in a printed 2D barcode can be detected by an attacker, advanced IC attacks can be launched by the attacker. Second, it can be used to detect illegal information that is hidden in a normal 2D barcode, *e.g.*, Trojan virus or phishing websites. Third, it can be used by military and law enforcement agencies to detect the leakage of confidential or even classified information. Thus, the objective of this paper is to detect the existence of the hidden information in a printed 2D barcode and further to design advanced IC attacks to evaluate the security level of the existing anti-copying 2D barcodes.

### B. System Model

In our system model, there are one sender and one receiver, where the sender prints a 2D barcode and sends it to the receiver two possible channels: a legal channel and an illegal channel. The legal channel describes the Single Print and Capture (SPC) process whereas the illegal channel describes the Double Print and Capture (DPC) process. The sender may or may not embed hidden information in the original message of a 2D barcode using the existing anti-copying 2D barcodes. If the 2D barcode is indeed embedded with hidden information, the sender and the receiver often share a secret so that the sender can hide information with this secret and the receiver can decode the hidden information with the same secret. We assume that our hidden information detector, which we call a monitor, captures the 2D barcode printed by the sender since a 2D barcode can be easily replicated with an off-the-shelf photocopier. We assume that the monitor does not know a prior whether the 2D barcode carries hidden information or not, and if they do, we assume that the monitor does not know the shared secret between the sender and the receiver.

### C. Limitations of Prior Art

Prior hidden information detection schemes are either heuristic-based or Machine Learning (ML) based [3]. The key limitation of prior heuristics-based schemes is that they do not answer the fundamental question of why the information hidden at a 2D barcode can be detected. The key limitation of prior ML-based information schemes is that they

The authors are with the Guangdong Key Laboratory of Intelligent Information Processing, College of Information Engineering, Shenzhen University, Shenzhen, 518060, China (e-mail: ningxie@szu.edu.cn; cschen@szu.edu.cn).

lack robustness because a printed 2D barcode is very much environmentally dependent, and thus an information hiding detection scheme trained in one environment often does not work well in another environment.

#### D. Proposed Approach

Our approach is based on the insight that embedding hidden information into a 2D barcode will inevitably have a negative impact on the decodability of the original message of a 2D barcode, such as the increase of the error probability at the receiver (as well as the monitor). If the total errors introduced by the channel noise and the embedding operation exceeds the error-correcting capability of the original message of a 2D barcode at the receiver under an SPC process, then the presence of the hidden information becomes obvious and such anti-copying 2D barcode should not be applied in practice. If the total errors introduced by the channel noise and the embedding operation does not exceed the error-correcting capability of the original message of a 2D barcode at the receiver, then the monitor can recover the original message. Based on the above insight, in our approach, after the monitor recovers the original message, it will re-encode and re-modulate the original message, and then compare the resulting signals, which we call recovered signals, with the raw signals that it received from the sender. The key technical challenge is how to compare the recovered signals with the raw received signals so that we can detect the presence of the hidden information. To address this challenge, we first propose our information detection approach at anti-copying 2D barcodes by comparing the recovered signals with the raw received signals. Second, we derive a rigorous theoretical analysis of the test statistics of our approach for different hypotheses, such as the probability of a false alarm (PFA) and the probability of detection (PD). Based on the theoretical analysis, we can calculate the optimal decision threshold using the Neyman-Pearson (NP) theorem by maximizing PD while ensuring that PFA does not exceed a threshold. Furthermore, we can measure the impact of existing anti-copying 2D barcodes or capturing devices, *e.g.*, scanner or camera phone, on the detectability of our approach.

#### E. Advantages over Prior Art

We advance the state-of-the-art on detecting the information hidden at the existing anti-copying 2D barcodes from two fronts. First, in comparison with prior heuristic-based schemes, our approach answers the fundamental question of why the information hidden at the existing anti-copying 2D barcodes can be detected. Second, in comparison with prior ML-based schemes, our approach is much more robust because our approach is environmentally independent.

#### F. Technical Challenges and Our Solution

The first technical challenge is to construct a reasonable test statistic, as it directly determines the final detection performance of our approach. To address this challenge, we propose two hidden information detection schemes at the existing anti-copying 2D barcodes. The first scheme is to directly use

the pixel distance to detect the use of an information hiding scheme in a 2D barcode, referred as to the Pixel Distance Based Detection (PDBD) scheme. The second scheme is first to calculate the variance of the raw signal and the covariance between the recovered signal and the raw signal, and then based on the variance results, detects the use of information hiding scheme in a 2D barcode, referred as to the Pixel Variance Based Detection (PVBD) scheme. The PVBD scheme has a better detection performance than the PDBD scheme because it magnifies the difference between the 2D barcode carrying no hidden information and the 2D barcode carrying hidden information. In comparison, the PDBD scheme has two key advantages over the PVBD scheme. First, the PDBD scheme runs faster than the PVBD scheme. Second, the PDBD scheme can pinpoint the exact locations on the received 2D barcode that are embedded with hidden information, whereas the PVBD scheme cannot.

The second technical challenge is to find the optimal test threshold for our detection schemes because either an SPC process or a DPC process is challenging to accurately be modeled and an inappropriate threshold inevitably introduces large false alarms or missed detections. To address this challenge, we first present a simplified theoretical model for the test statistic of two proposed detection schemes using a generalized Gaussian distribution (GGD). Then, based on the simplified theoretical, we obtain the optimal test thresholds of our detection schemes.

The third technical challenge is to effectively evaluate the security level of the existing anti-copying 2D barcodes because there are some limitations in the existing IC attacks. For example, a synthetic IC attack requires multiple versions of a legitimate 2D barcode. If a 2D barcode generated by the merchant is unique, a monitor cannot launch an effective IC attack. For another example, an ML-based IC attack requires sufficiently many trained samples to obtain a good attacking model, especially it is extremely challenging for a monitor to obtain the electronic version of a 2D barcode containing hidden information. To address this challenge, we design advanced IC attacks to evaluate the security of two existing anti-copying 2D barcodes: the 2LQR code and the LCAC 2D barcode.

- 1) In the 2LQR code, the legitimate sender and receiver should share a pattern database in whole or in part for making an authentication decision. Thus, we propose two advanced IC attacks for the 2LQR code under two scenarios: Public Pattern Database (PPD) and Unknown Pattern Database (UPD). Under the PPD scenario, the goal of our IC attack is to first find the chosen patterns from the public pattern database and then based on the chosen patterns to launch an effective IC attack. Under the UPD scenario, our IC attack first estimates the size of a received pattern and then constructs an alternative pattern database through a binarization technique. At last, our IC attack finds the chosen patterns from the alternative pattern database and then based on the chosen patterns to launch an effective IC attack.
- 2) For the LCAC 2D barcode, based on the PDBD scheme, our IC attack should pinpoint the exact locations on the received 2D barcode that are embedded with hidden

information. Thus, we propose two advanced IC attacks for the LCAC 2D barcode under two cases: All Constellation Points (ACP) and Separate Constellation Points (SCP). In the ACP case, the detection of embedded locations is designed on all constellation points, where we treat all constellation points equally. In the SCP case, the detection of embedded locations is separately designed on different constellation points, where we treat different constellation points individually.

### G. Key Contributions and Results

In this paper, we make three key contributions. First, we propose two hidden information detection schemes at the existing anti-copying 2D barcodes. We explicitly analyze the PD and PFA of our schemes, derive their closed-form expressions, and obtain their optimal test thresholds. Second, we design advanced IC attacks to evaluate the security of two existing anti-copying 2D barcodes: the 2LQR code and the LCAC 2D barcode. In particular, our IC attacks can deal with the scenario of an unknown pattern database in the 2LQR code and can correctly pinpoint the embedded locations in the LCAC 2D barcode. Three, we implemented our schemes and conducted extensive performance comparison between our schemes and prior schemes under different capturing devices, such as a scanner and a camera phone. Our experimental results show that the PVBD scheme can correctly detect the existence of the hidden information at both the 2LQR code and the LCAC 2D barcode. Moreover, the probability of successfully attacking of our IC attacks achieves 0.6538 for the 2LQR code and 1 for the LCAC 2D barcode.

## II. RELATED WORK

### A. Anti-Copying 2D barcodes

1) *Special Printing Materials or Techniques*: These approaches exploit the special features of printing materials or printing techniques to defend against the IC attacks since the special features cannot be reproduced on purpose. Adams et al. used specific printers to print a 2D barcode for defending against IC attacks [4], [5]. Marguerettaz et al. used a polymerized liquid crystal material with unique optical characteristics to print an anti-copying 2D barcode [6]. Wang et al. used a special halftone printing technology to print an anti-copying 2D barcode that is invisible under visible light [7].

2) *Physical Unclonable Function (PUF)*: In the PUF, a stimulus is an input to a physical entity and we use the output as unique features. The PUF is an unclonable response function since these features are determined by the internal physical structure, *e.g.*, the certain unique texture of printing paper [8], [9]. Recently, some researchers constructed the PUF for mobile imaging devices under a semi-controlled condition to extract microscopic textural features [10]–[12].

However, there are two limitations to the first two approaches: high production cost and low universal applicability, which hinders their promotion in extensive applications. Actually, every P&C process inevitably introduces additional distortion on the received 2D barcode, which is an intrinsic channel feature of IC attacks. Thus, the channel feature can

be used to defend against IC attacks [13]–[15]. Recently, based on the channel feature, some researchers proposed new low-cost 2D barcodes to effectively overcome the limitations of the first two approaches, which are briefly introduced as follows.

3) *Two-Level QR (2LQR) Code*: Tkachenko et al. proposed the 2LQR code by replacing all black modules of a standard QR code with some black-and-white subtle patterns [1]. These subtle patterns have two requirements: unknown to the third party and sensitive to a P&C process. The 2LQR code has the following limitations. First, the 2LQR code introduces visually perceptual modification. Second, the 2LQR code requires a higher positioning accuracy of the capturing equipment or a higher proportion of the training sequence. Thus, it is difficult to apply the 2LQR code to the scenario that the camera of a mobile phone works as the capture device of the legitimate receiver. Third, the 2LQR code was designed for 2D barcodes with two-order modulation, which cannot straightforwardly be extended to 2D barcodes with higher-order modulation.

4) *Low-Cost Anti-Copying (LCAC) 2D barcode*: Xie et al. proposed the LCAC 2D barcode by exploiting the difference between the noise characteristics of legitimate and illegitimate channels [2]. Specifically, the sender of the LCAC 2D barcode embeds an authentication message into the original message to realize the anti-copying purpose. The LCAC 2D barcode effectively overcomes the aforementioned limitations of the 2LQR code.

### B. Existing IC Attacks

1) *Direct IC Attack*: A monitor first captures a legitimate 2D barcode. Then, the monitor directly prints it to spoof the legitimate receiver.

2) *Synthetic IC Attack*: A monitor first collects multiple versions of a legitimate 2D barcode. Second, the monitor makes a preprocessing to launch an IC attack, *e.g.*, a smoothing operation. At last, the monitor prints the output of the preprocessing to spoof the legitimate receiver. The basic idea of a synthetic IC attack is to suppress the distortion caused by a P&C process through the preprocessing.

3) *Machine Learning (ML) Based IC Attack*: Yadav et al. proposed an efficient IC attack by using a supervised ML approach to launch an IC attack [3]. This ML-based IC attack is realized through a neural network that consists of three parts: 5 layers of encoders, 5 layers of decoders, and one hidden layer that provides a useful compressed representation of the input [16]. First, a monitor collects sufficiently large numbers of legitimate 2D barcodes and their corresponding electronic versions for training the neural network to obtain an attacking model. Second, the monitor uses the attacking model to obtain the electronic version of an illegitimate 2D barcode. At last, the monitor prints the output of the neural network to spoof the legitimate receiver. The basic idea of an ML-based IC attack is to reconstruct the electronic version of a new 2D barcode containing hidden information.

## III. SYSTEM MODEL AND PROBLEM STATEMENT

### A. System Model

We illustrate the system model of a 2D barcode with two possible channels in Fig. 1, where the lower branch represents

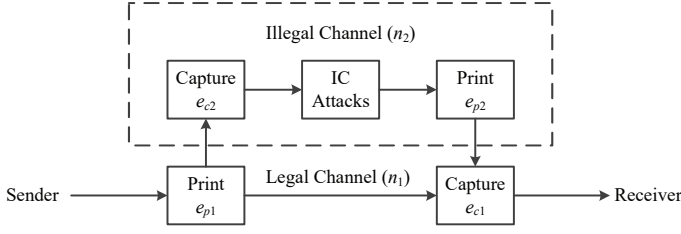


Fig. 1. System model of a 2D barcode with two possible channels, i.e., a legitimate channel and an illegitimate channel.

a legitimate channel (an SPC process) and the dashed box represents an illegitimate channel (a DPC process). Intuitively, the distortion and noise in a DPC process are more severe than those in an SPC process. Specifically, we model the total noise in a legitimate channel as

$$e_1 = e_{p1} \oplus e_{c1}, \quad (1)$$

where  $e_{p1}$  and  $e_{c1}$  represent the noise components of the first printing process and the legitimate capture process, respectively, and ' $\oplus$ ' represents the interaction of noise in different stages. Then, we model the total noise in an illegitimate channel as

$$e_2 = e_{p1} \oplus e_{c1} \oplus e_{p2} \oplus e_{c2}, \quad (2)$$

where  $e_{c2}$  and  $e_{p2}$  represent the noise components of the illegitimate capture process and the second printing process, respectively. Based on the results of [2], [17], we can easily conclude that the variance of  $e_2$  is significantly larger than that of  $e_1$ .

In the sender, an original message is generated, denoted as  $I_o$ . In the meantime, hidden information is generated, denoted as  $I_h$ , e.g., subtle patterns for the 2LQR code [1] or an authentication message for the LCAC 2D barcode [2]. Note that the hidden information can be simultaneously generated at both the sender and the receiver according to the shared secret  $K$ , which is unknown to the third party. Then, we embed the hidden information into the original message to obtain the electronic version of a legitimate 2D barcode with  $Q$ -order modulation, denoted as  $I$ . Specifically, in the 2LQR code, all black modules of  $I_o$  are replaced by the subtle patterns defined in  $I_h$ ; in the LCAC 2D barcode, certain bits of the source message in  $I_o$  are replaced by those of the authentication message defined in  $I_h$ .

Then, the sender prints  $I$  and a receiver captures the printed 2D barcode. In other words, through a P&C process, the receiver obtains a degraded version of  $I$ , denoted as  $R$ . Through an equalizer to compensate for the channel distortion, the receiver obtains an equalization output  $\hat{Y}_x$  ( $x = 1, 2$ ). Here,  $x = 1$  represents an SPC process that  $\hat{Y}_1$  is legitimate, whereas  $x = 2$  represents a DPC process that  $\hat{Y}_2$  is illegitimate.

For defending against IC attacks, the receiver performs an authentication test by comparing  $I_h$  with  $\hat{Y}_x$ . From the mathematical point of view, we formulate the authentication test as a threshold test with hypotheses, given as follows.

$$\begin{aligned} \mathcal{H}_0 : d(I_h; \hat{Y}_x) &\leq \theta_b \\ \mathcal{H}_1 : d(I_h; \hat{Y}_x) &> \theta_b \end{aligned} \quad (3)$$

where  $\mathcal{H}_0$  represents an SPC process that the received 2D

barcode is legitimate and  $\mathcal{H}_1$  represents the opposite case. Here,  $d(x; y)$  is a comparison function that first extracts the features from  $x$  and  $y$ , respectively, and then compares the two features to obtain a test statistic. Specifically, in the 2LQR code,  $d(I; \hat{Y}_x)$  is defined as a Pearson correlation between the patterns of  $I_h$  with the corresponding patterns of  $\hat{Y}_x$  [1]; in the LCAC 2D barcode,  $d(I; \hat{Y}_x)$  is defined as the Bit Error Ratio (BER) between the authentication message in  $I_h$  and that in  $\hat{Y}_x$  [2]. Here,  $\theta_b$  is a decision threshold that is determined according to the NP theorem. Specifically, the optimal threshold is determined by making the PFA less than a predetermined upper bound, where the PFA is defined as accepting  $\mathcal{H}_1$  when  $\mathcal{H}_0$  is true.

### B. Problem Statements

Besides the receiver, a monitor also can capture the 2D barcode printed by the sender since a 2D barcode can be easily replicated with an off-the-shelf photocopier. If the existence of the hidden information in a printed 2D barcode can be detected by other parties, e.g., a monitor or an attacker, the security of the existing anti-copying 2D barcodes is challenged, e.g., advanced IC attacks can be launched by the monitor. Thus, we will propose two hidden information detection schemes at the existing anti-copying 2D barcodes in Section IV. Moreover, there are some limitations in the existing IC attacks described in Section II.

1) *Direct IC Attack*: Although this attack is the simplest, its attacking performance is poor.

2) *Synthetic IC Attack*: Although a synthetic IC attack has better-attacking performance than a direct IC attack, it has a stronger assumption, i.e., multiple versions of a legitimate 2D barcode. If a 2D barcode generated by the merchant is unique, a monitor cannot launch a synthetic IC attack.

3) *ML-Based IC Attack*: Although an ML-based IC attack can achieve better-attacking performance than the other IC attacks, there are some limitations in [3]. First, it requires sufficiently many trained samples to obtain a good attacking model, especially it is extremely challenging for a monitor to obtain the electronic version of a 2D barcode containing hidden information. Second, for different anti-copying 2D barcodes, an ML-based IC attack should re-train a new attacking model. Third, the approach in [3] was designed for 2D barcodes with two-order modulation, which cannot straightforwardly be extended to 2D barcodes with higher-order modulation.

## IV. TWO HIDDEN INFORMATION DETECTION SCHEMES AT ANTI-COPYING 2D BARCODES

### A. Description of Our Approach

The block diagram of our approach in the monitor is illustrated in Fig. 2. After obtained a printed 2D barcode  $R$  through an SPC process, the monitor first equalizes to compensate the channel distortion for a 2D barcode with higher-order modulation ( $Q > 2$ ) by using the training symbols described in [2], [17]. Specifically, the equalizer block trains a fitting function to reflect the channel distortion by comparing the gray-scale values of the scanned training symbols with those

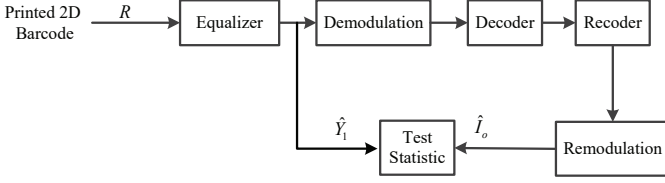


Fig. 2. Block diagram of our approach in the monitor.

of the considered ones. When the fitting function is trained, an inverse fitting function is further established to correct the channel distortion. Through the equalizer block, the monitor obtains an equalization output  $\hat{Y}_1$ . Then, through demodulation, decoder, recorder, and remodulation sequentially, the monitor obtains an estimated version of the original message, denoted as  $\hat{I}_o$ . If the capability of correcting errors provided by the modulation and the channel coding together is larger than the total errors introduced by an SPC process, we assume that  $\hat{I}_o = I_o$ . This assumption holds in practical situations since the errors introduced by an SPC process is relatively small as compared with a DPC process. In other words, a reliable anti-copying 2D barcode should provide an error-free bit sequence for the original message in an SPC process.

In our approach, the monitor performs a threshold test using the following hypotheses for detecting the presence of the hidden information, given as

$$\begin{aligned} \mathcal{H}_0 : & \hat{Y}_1 \text{ without containing } I_h \\ \mathcal{H}_1 : & \hat{Y}_1 \text{ with containing } I_h \end{aligned} \quad (4)$$

Thus, the equalization output under two hypotheses can be respectively expressed as

$$\hat{Y}_1 |_{\mathcal{H}_0} = I_o \oplus e_1, \quad (5)$$

$$\hat{Y}_1 |_{\mathcal{H}_1} = I \oplus e_1. \quad (6)$$

Based on (4), we propose two hidden information detection schemes at anti-copying 2D barcodes by comparing the gray value of each pixel in  $\hat{Y}_1$  and that in  $\hat{I}_o$ . For example, the monitor constructs a test statistic  $\delta$  and then makes a detection decision  $\varphi_m$  on  $\delta$  according to

$$\varphi_m = \begin{cases} 0, & \delta < \theta_m \\ 1, & \delta \geq \theta_m \end{cases}, \quad (7)$$

where  $\theta_m$  is the test threshold using the hypotheses defined in (4). Here,  $\varphi_m = 1$  represents the hypothesis  $\mathcal{H}_1$  in (4) while  $\varphi_m = 0$  represents the opposite hypothesis. The optimal value of  $\theta_m$  is determined by  $\varepsilon_{\text{PFA}}$  according to the NP theorem, where  $\varepsilon_{\text{PFA}}$  is the upper bound of the PFA allowed by the monitor.

1) *Pixel Distance Based Detection (PDBD) Scheme*: The first scheme is to directly use the pixel distance to detect the use of an information hiding scheme in a 2D barcode, referred as to the Pixel Distance Based Detection (PDBD) scheme. In the PDBD scheme, the test statistic is denoted as

$$\delta = \sum_{i=1}^{N_d} |\hat{I}_o(i) - \hat{Y}_1(i)|, \quad (8)$$

where  $N_d$  is the total number of pixels in a received 2D barcode. Here,  $\hat{I}_o(i)$  and  $\hat{Y}_1(i)$  represent the  $i$ th gray value

of each pixel in  $\hat{I}_o$  and  $\hat{Y}_1$ , respectively.

For different hypotheses defined in (4), the test statistic in (8) can be respectively expressed by

$$\delta|_{\mathcal{H}_0} = \sum_{i=1}^{N_d} |I_o(i) - (I_o(i) \oplus e_1(i))|, \quad (9)$$

$$\delta|_{\mathcal{H}_1} = \sum_{i=1}^{N_d} |I_o(i) - (I(i) \oplus e_1(i))|, \quad (10)$$

where  $I_o(i)$ ,  $I(i)$ , and  $e_1(i)$  represent the  $i$ th gray value of each pixel in  $I_o$ ,  $I$ , and  $e_1$ , respectively.

2) *Pixel Variance Based Detection (PVBD) Scheme*: The second scheme is first to calculate the variance of  $\hat{Y}_1$  and the covariance between  $\hat{I}_o$  and  $\hat{Y}_1$ , and then based on the variance results, detects the use of an information hiding scheme in a 2D barcode, referred as to the Pixel Variance Based Detection (PVBD) scheme. In the PVBD scheme, the test statistic is denoted as

$$\delta = 1 - \frac{\text{cov}(\hat{I}_o, \hat{Y}_1)}{\text{var}(\hat{Y}_1)}, \quad (11)$$

where  $\text{var}(\hat{Y}_1)$  and  $\text{cov}(\hat{I}_o, \hat{Y}_1)$  represent the sample variance of  $\hat{Y}_1$  and the sample covariance between  $\hat{I}_o$  and  $\hat{Y}_1$ , expressed as

$$\text{var}(\hat{Y}_1) = \frac{1}{N_d} \sum_{i=1}^{N_d} \left( \hat{Y}_1(i) - \frac{1}{N_d} \sum_{i=1}^{N_d} \hat{Y}_1(i) \right)^2, \quad (12)$$

$$\begin{aligned} \text{cov}(\hat{I}_o, \hat{Y}_1) = & \frac{1}{N_d} \sum_{i=1}^{N_d} \left( \hat{I}_o(i) \hat{Y}_1(i) \right. \\ & \left. - \frac{1}{N_d} \sum_{i=1}^{N_d} \hat{Y}_1(i) - \frac{1}{N_d} \sum_{i=1}^{N_d} \hat{I}_o(i) \right). \end{aligned} \quad (13)$$

The basic idea of the PVBD scheme is to utilize the fact that the variance of  $\hat{Y}_1$  under different hypotheses has a significant difference. For different hypotheses defined in (4), the test statistic in (11) can be respectively expressed by

$$\delta|_{\mathcal{H}_0} = 1 - \frac{\text{cov}(I_o, I_o \oplus e_1)}{\text{var}(I_o \oplus e_1)}, \quad (14)$$

$$\delta|_{\mathcal{H}_1} = 1 - \frac{\text{cov}(I_o, I \oplus e_1)}{\text{var}(I \oplus e_1)}. \quad (15)$$

The PVBD scheme has a better detection performance than the PDBD scheme because it magnifies the difference between  $I_o$  and  $I$ . In comparison, the PDBD scheme has two key advantages over the PVBD scheme. First, the PDBD scheme runs faster than the PVBD scheme. Second, the PDBD scheme can pinpoint the exact locations on the received 2D barcode that are embedded with hidden information, whereas the PVBD scheme cannot, which will be verified in the next section. In the next subsection, we present a simplified theoretical model for the test statistic of two proposed schemes for obtaining their optimal test thresholds. In the last subsection, we will present experimental results to verify the theoretical analysis

of our approach.

### B. Performance Analysis of Our Approach

Through observing the experimental results, we find that we can use a generalized Gaussian distribution (GGD) [18] to well describe the behavior of the test statistics of our approach, which will be verified in Section VI.B. A GGD random variable (RV) is denoted as  $x \sim \mathcal{GGD}(\mu, \sigma^2, \gamma)$ , where  $\mu$  is the mean,  $\sigma^2$  is the variance, and  $\gamma$  is the shape factor. According to [19], we respectively express the Probability Distribution Function (PDF) and the cumulative distribution function (CDF) of  $x$  as

$$f_X(x) = \frac{\gamma \eta(\sigma, \gamma)}{2\Gamma(1/\gamma)} \exp[-(\eta(\sigma, \gamma)|x - \mu|)^\gamma], \quad (16)$$

$$F_X(x) = \frac{1}{2} + \text{sgn}(x - \mu) \frac{\kappa[1/\gamma, (|x - \mu| \eta(\sigma, \gamma))^\gamma]}{2\Gamma(1/\gamma)}, \quad (17)$$

where  $\eta(\sigma, \gamma) = \frac{1}{\sigma} \sqrt{\frac{\Gamma(3/\gamma)}{\Gamma(1/\gamma)}}$ ,  $\kappa(\cdot)$  is the lower incomplete gamma function,  $\Gamma(\cdot)$  is the gamma function, and  $\text{sgn}(x)$  represents a symbol decision function, *i.e.*,  $\text{sgn}(x) = 1$ , if  $x \geq 0$ , and  $\text{sgn}(x) = -1$  otherwise.

According to [2], [20], we can estimate three parameters of a GGD distribution for the test statistic of our approach from experimental results. We assume that there are  $M_s$  samples of  $\delta(j)$ ,  $j = 1, \dots, M_s$  for different hypotheses to estimate parameters of a GGD distribution. First, the sample mean and the sample variance are respectively obtained as

$$\mu(\delta) = \frac{1}{M_s} \sum_{j=1}^{M_s} \delta(j), \quad (18)$$

$$\sigma^2(\delta) = \frac{1}{M_s} \sum_{j=1}^{M_s} (\delta(j) - \mu(\delta))^2. \quad (19)$$

Second, according to the results of [19], [21], we construct a generalized Gaussian ratio function  $r(\gamma(\delta))$ , defined as

$$r(\gamma(\delta)) = \frac{\sigma^2(\delta)}{\left( \frac{1}{M_s} \sum_{j=1}^{M_s} |\delta(j) - \mu(\delta)| \right)^2} = \rho, \quad (20)$$

where

$$\rho = \frac{\Gamma(1/\gamma(\delta)) \Gamma(3/\gamma(\delta))}{\Gamma^2(2/\gamma(\delta))}. \quad (21)$$

Then, a feasible solution of  $\gamma(\delta)$  can be found as,

$$\gamma(\delta) = r^{-1}(\rho), \quad (22)$$

where we use an exhausted search approach for solving (22) to obtain an estimate of  $\gamma(\delta)$ . We calculate the PFA of our approach as

$$P_{\text{FA}} = \Pr\{\delta|_{\mathcal{H}_0} > \theta_m\} = 1 - F_X(\theta_m). \quad (23)$$

By setting  $P_{\text{FA}} \leq \varepsilon_{\text{PFA}}$ , we obtain the optimal value of  $\theta_m$  as

$$\theta_m^0 = \frac{\kappa^{-1}\left[\frac{1}{\gamma(\delta)}, (1 - 2\varepsilon_{\text{PFA}}) \Gamma\left(\frac{1}{\gamma(\delta)}\right)\right]}{\eta(\sigma(\delta), \gamma(\delta)) \gamma(\delta)} + \mu(\delta), \quad (24)$$

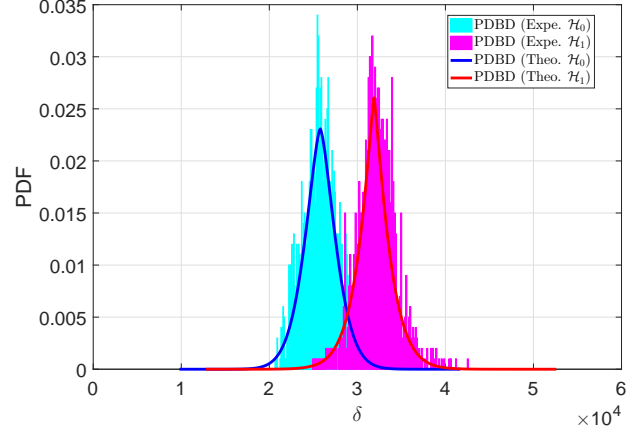


Fig. 3. Comparison of histograms and theoretical results about the test statistic of the PDBD scheme on the LCAC 2D barcode, where a camera phone is used as the capturing device.

where  $\kappa^{-1}(\cdot)$  is the inverse of the incomplete lower gamma function. At last, we calculate the Probability of Detection (PD) of our approach as

$$P_D = \Pr\{\delta|_{\mathcal{H}_1} > \theta_m^0\} = 1 - F_X(\theta_m^0). \quad (25)$$

### C. Experimental Results of Our Approach

In this subsection, we compare the experimental results of our proposed detection schemes with their theoretical results, where two hypotheses defined in (4) are considered. In our experiment, we put the same 2D barcodes on an A4 paper 20 times and print it with a printer, and then scan each 2D barcode with a scanner 50 times or with a camera phone 50 times. Thus, each 2D barcode is captured by 1000 times. We set  $\varepsilon_{\text{PFA}}=0.01$ . The experimental settings are given as follows:

- Printer: HP LaserJet P1108 with 1200 DPI;
- Printing Material: A4 paper with weight 120 g/m<sup>2</sup> from the Xerox;
- Scanner: BENQ K810 scanner in 1200 DPIE;
- Camera Phone: HONOR V20 with 4800MP pixels;
- Capture Angle: Within 10 degrees between the barcode image plane and the camera sensor plane;
- Capture Distance: About 15 cm in the in-focus case;
- Lighting: 300-350 lux for the bright case and 100-150 lux for the dim case.

Based on the description in [1] and [2], the parameters of two existing anti-copying 2D barcodes are respectively given as follows:

- 2LQR Code Design: A barcode with  $25 \times 25$  modules with actual size  $1.2 \times 1.2$  cm<sup>2</sup>,  $b = 42\%$ , and  $L_b=225$ ; Since the size of each pattern is  $12 \times 12$  pixels,  $N_d=25 \times 25 \times 12 \times 12=90000$  pixels;
- LCAC 2D Barcode Design: A multilevel 2D barcode with  $47 \times 47$  modules with actual size  $3.2 \times 3.2$  cm<sup>2</sup>; The modulation order is 4, *i.e.*,  $Q = 4$  and the constellation points are set as  $\{40, 100, 160, 220\}$ . Since the size of each module is  $32 \times 32$  pixels,  $L_d=2209$ ,  $N_d=2209 \times 32 \times 32=2262016$  pixels.



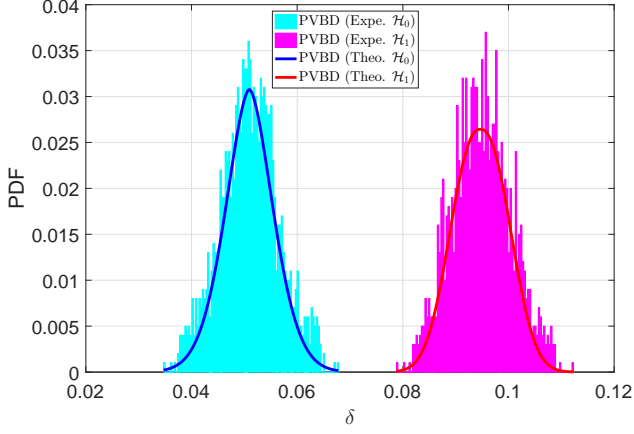


Fig. 4. Comparison of histograms and theoretical results about the test statistic of the PVBD scheme on the LCAC 2D barcode, where a camera phone is used as the capturing device.

TABLE I  
COMPARISON OF THEORETICAL RESULTS, SIMULATION RESULTS AND EXPERIMENTAL RESULTS OF THE PD OF THE PDBD SCHEME UNDER DIFFERENT CAPTURING DEVICES.

Anti-Copying 2D Barcode	2LQR		LCAC	
Capturing Device	Scanner	Camera Phone	Scanner	Camera Phone
Theoretical	1	1	0.67	0.68
Simulation	1	1	0.67	0.68
Experimental	1	1	0.63	0.65

We first present the distribution of the test statistic of our two schemes for the LCAC 2D barcode, as illustrated in Fig. 3 and Fig. 4, respectively, where both histograms and theoretical results are presented. From Fig. 3 and Fig. 4, we observe that the experimental results match well with GGD approximations. By comparing the results of Fig. 3 and those of Fig. 4, we observe that the PVBD scheme has much better detection performance than the PDBD scheme since the distribution distance between two hypotheses in the PVBD scheme is significantly larger than that in the PDBD scheme. This is because the operation divided by the variance of different hypotheses in (11) magnified the difference between the 2D barcode carrying no hidden information  $I_o$  and the 2D barcode carrying hidden information  $I$ , which verifies the aforementioned conclusion. Moreover, since the 2LQR code introduces visually perceptual modification, we do not present the histograms and theoretical results of our schemes on the 2LQR code to save the page space. In other words, the existence of the hidden information in the 2LQR code is even visually detectable.

For evaluating the accuracy of our theoretical analysis, we compare the theoretical results, simulation results and experimental results of the PD of our two schemes under different capturing devices, as presented in Tab. I and Tab. II, respectively, where we set  $\varepsilon_{\text{PFA}}=0.01$ . Here, the theoretical results are calculated through (25). For obtaining the simulation results, we first obtain the parameters of a GGD through (18), (19), and (22); Second, based on these parameters,

TABLE II  
COMPARISON OF THEORETICAL RESULTS, SIMULATION RESULTS AND EXPERIMENTAL RESULTS OF THE PD OF THE PVBD SCHEME UNDER DIFFERENT CAPTURING DEVICES.

Anti-Copying 2D Barcode	2LQR		LCAC	
Capturing Device	Scanner	Camera Phone	Scanner	Camera Phone
Theoretical	1	1	1	1
Simulation	1	1	1	1
Experimental	1	1	1	1

we generate a GGD sequence to simulate an SPC process; Third, through the simulated SPC process, we obtain different equalization outputs under two hypotheses; At last, we obtain the simulation results through (7). From Tab. I and Tab. II, we observe the following conclusions. First, the theoretical results perfectly match the simulation results as we expected while the theoretical results very close to the experimental results; Second, the existence of the hidden information in the 2LQR code is easier detected than that in the LCAC 2D barcode; Third, the PVBD scheme has much better detection performance than the PDBD scheme; At last, the detection performance under the camera phone is better than that under the scanner since the camera phone has better capturing resolution than the scanner in our experiment setup.

## V. ADVANCED IC ATTACKS

### A. Our IC Attack for the 2LQR Code

To facilitate the introduction of our IC attack for the 2LQR code, we first introduce some notations about the 2LQR code. In the 2LQR code, each black-and-white subtle pattern consists of  $N_p \times N_p$  pixels and has the same black pixel density, denoted by  $b$ . Specifically, if there are  $N_k$  black pixels in a subtle pattern, the value of  $b$  is calculated by  $b=N_k/N_p^2$ . We denote the  $j$ th patterns in  $I_h$  and  $\hat{Y}_1$  as  $P_j$  and  $S_j$ , respectively, where  $j = 1, \dots, L_b$  and  $L_b$  is the total number of black modules in a 2LQR code. We calculate the Pearson correlation between  $P_j$  and  $S_j$  as

$$\text{pcor}(P_j, S_j) = \frac{\sum_{w=1}^{N_p} \sum_{h=1}^{N_p} \bar{P}_j(w, h) \bar{S}_j(w, h)}{\sqrt{\sum_{w=1}^{N_p} \sum_{h=1}^{N_p} (\bar{P}_j(w, h))^2} \sqrt{\sum_{w=1}^{N_p} \sum_{h=1}^{N_p} (\bar{S}_j(w, h))^2}}, \quad (26)$$

Here, we denote  $\bar{P}_j(w, h)$  and  $\bar{S}_j(w, h)$  as follows, respectively,

$$\bar{P}_j(w, h) = P_j(w, h) - \frac{1}{N_p^2} \sum_{w=1}^{N_p} \sum_{h=1}^{N_p} P_j(w, h), \quad (27)$$

$$\bar{S}_j(w, h) = S_j(w, h) - \frac{1}{N_p^2} \sum_{w=1}^{N_p} \sum_{h=1}^{N_p} S_j(w, h), \quad (28)$$

where  $P_j(w, h)$  and  $S_j(w, h)$  are the gray value at the  $w$ th row and the  $h$ th column of  $P_j$  and  $S_j$ , respectively.

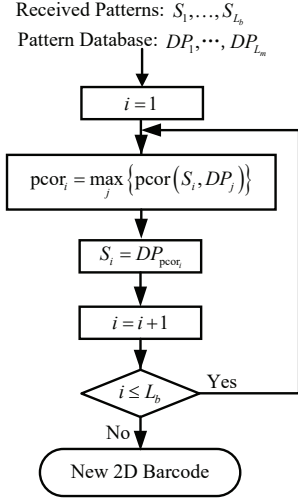


Fig. 5. Flow chart of our IC attack for the 2LQR code.

In the 2LQR code, the legitimate sender and receiver should share a pattern database in whole or in part for making an authentication decision. Thus, we propose two advanced IC attacks for the 2LQR code under two scenarios: Public Pattern Database (PPD) and Unknown Pattern Database (UPD). The PPD scenario is possible in a practical situation because a monitor can purchase different 2LQR codes multiple times to recover the pattern database. Under the PPD scenario, the goal of our IC attack is to first find the chosen patterns from the public pattern database and then based on the chosen patterns to launch an effective IC attack. Then, under the UPD scenario, the design of our IC attack becomes more challenging as compared with the PPD scenario since the pattern database is unknown and a monitor has only one 2LQR code. Under the UPD scenario, our IC attack first estimates the size of a received pattern and then constructs an alternative pattern database through a binarization technique. At last, our IC attack finds the chosen patterns from the alternative pattern database and then based on the chosen patterns to launch an effective IC attack.

1) *PPD Scenario*: For comparing the difference between the public pattern database and the alternative pattern database, based on the description in [1], we first introduce the characteristics of the patterns in the public pattern database. The patterns in the public pattern database have the following characteristics:

- They have the pixels with the same size;
- They are binary;
- They have the same black pixel density, *i.e.*, the value of  $b$  keeps the same for each pattern;
- They have spectra related to them.

We assume  $L_b$  received patterns, denoted as  $S_i$  ( $i = 1, \dots, L_b$ ), and  $L_m$  patterns in the public pattern database, denoted as  $DP_j$  ( $j = 1, \dots, L_m$ ). We illustrate the flow chart of our IC attack for the 2LQR code in Fig. 5. We introduce the detailed processes of our approach in **Algorithm 1**.

2) *UPD Scenario*: In this scenario, although the pattern database is unknown and only one 2LQR code is available, the size of a received pattern is relatively easily estimated. For example, after observed multiple received patterns with a

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**Algorithm 1:** Our IC attack for the 2LQR code

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**Input:**  $S_i$  ( $i = 1, \dots, L_b$ );  $DP_j$  ( $j = 1, \dots, L_m$ )

**Output:** new 2D barcode

**for**  $i = 1; i \leq L_b$  **do**  
      $pcor_i = \max_j \{pcor(S_i, DP_j)\}$   
     Replace  $S_i$  by the chosen pattern  $DP_{pcor_i}$   
**end**  
 return new 2D barcode;

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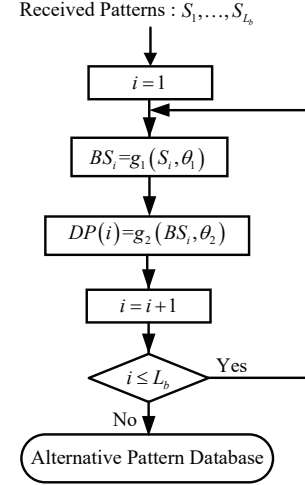


Fig. 6. Flow chart of constructing an alternative pattern database for the 2LQR code.

high-resolution scanner, we look for some pixels with strict square shapes. Based on these chosen pixels, we can estimate the size of a pixel and then can calculate the size of a received pattern, *i.e.*, the ratio between the length of the received pattern to the length of a pixel. Through multiple experiments based on the aforementioned public pattern database, the estimation accuracy on the size of a received pattern is perfect. However, if we straightforwardly construct an entire pattern database only based on the size of a received pattern, the dimensionality of the entire pattern database becomes huge, *i.e.*,  $2^{N_p^2}$ . The dimensionality of the entire pattern database inevitably introduces prohibitive requirements on the storage space and the computational complexity, which hinders the application of our approach in practice. Thus, it is a wise option to construct an alternative pattern database, whose dimensionality is significantly smaller than that of the entire pattern database.

We illustrate the flow chart of constructing an alternative pattern database for the 2LQR code in Fig. 6. Here, we denote two functions. First,  $g_1(S_i, \theta_1)$  represents a binarization function that binarizes the received pattern  $S_i$  with a threshold  $\theta_1$  to obtain a binary pattern  $BS_i$ . Second,  $g_2(BS_i, \theta_2)$  is to construct a sub-database of the alternative pattern database  $DP(i)$  ( $i = 1, \dots, L_b$ ) based on a binary pattern  $BS_i$  and a threshold  $\theta_2$ , where the size of the previous  $L_b - 1$  sub-databases is denoted by  $\lfloor L_m/L_b \rfloor$ , and that of the last sub-database pattern is  $L_m - (L_b - 1) \lfloor L_m/L_b \rfloor$ , and  $\lfloor \cdot \rfloor$  is a floor function. Specifically, we randomly modify the gray values of some pixels in  $BS_i$  to obtain a new binary pattern, *e.g.*,



black pixels replaced by white pixels and vice versa. Then we calculate the Pearson correlation between the new binary pattern and  $BS_i$ . If the value of the Pearson correlation is no less than  $\theta_2$  and the new binary pattern does not exist in the sub-database  $DP(i)$ , we put the new binary pattern into  $DP(i)$ . The above processes continue until  $DP(i)$  is filled up. Then we finish the construction of  $DP(i)$ . Now, we introduce the detailed processes of constructing an alternative pattern database in **Algorithm 2**.

**Algorithm 2:** Constructing an alternative pattern database for the 2LQR code

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**Input:**  $S_i$  ( $i = 1, \dots, L_b$ );  $\theta_1; \theta_2$   
**Output:** alternative pattern database  
**for**  $i = 1; i \leq L_b$  **do**  
    Binarize  $S_i$  through  $g_1(S_i, \theta_1)$  to obtain a binary pattern  $BS_i$ ;  
    Construct a sub-database of the alternative pattern database  $DP(i)$  through  $g_2(BS_i, \theta_2)$ .  
**end**  
**return** alternative pattern database;

---

### B. Our IC Attack for the LCAC 2D Barcode

Based on the PDBD scheme described in Section IV, we further propose an advanced IC attack for the LCAC 2D barcode. Unlike the detection problem in (4), our IC attack should pinpoint the exact locations on the received 2D barcode that are embedded with hidden information. Thus, we perform a threshold test using the following hypotheses for detecting the presence of the hidden information on the current module, given as

$$\begin{aligned} \mathcal{H}_0 &: \text{Current module without containing } I_h \\ \mathcal{H}_1 &: \text{Current module with containing } I_h \end{aligned} \quad (29)$$

Specifically, we construct a test statistic for the  $j$ th module ( $j = 1, \dots, L_d$ ) as

$$\delta(j) = \bar{I}_o(j) - \bar{Y}_1(j), \quad (30)$$

where  $L_d$  is the number of modules in the received 2D barcode. We assume that each module consists of  $N_p \times N_p$  pixels in the LCAC 2D barcode. Here,  $\bar{I}_o(j)$  and  $\bar{Y}_1(j)$  are the average gray values of the  $j$ th module in  $\hat{I}_o$  and  $\hat{Y}_1$ , respectively. By considering an SPC process, it is reasonable to assume  $\hat{I}_o = I_o$ . Then, we make a test decision  $\varphi_a(j)$  on  $\delta$  according to

$$\varphi_a(j) = \begin{cases} 0, & \delta \in \theta_a \\ 1, & \delta \notin \theta_a \end{cases}, \quad (31)$$

where  $\theta_a$  is the range of the test threshold using the hypotheses defined in (29). Here,  $\varphi_a(j) = 1$  represents the hypothesis  $\mathcal{H}_1$  in (29) while  $\varphi_a(j) = 0$  represents the opposite hypothesis.

The basic idea of our IC attack is derived from a fundamental fact, *i.e.*, provided that the channel noise is small, the received modules should appear around the constellation point, as illustrated in Fig. 7, where 4-order modulation is considered, *i.e.*,  $Q = 4$ . In Fig. 7, the dashed lines represent the

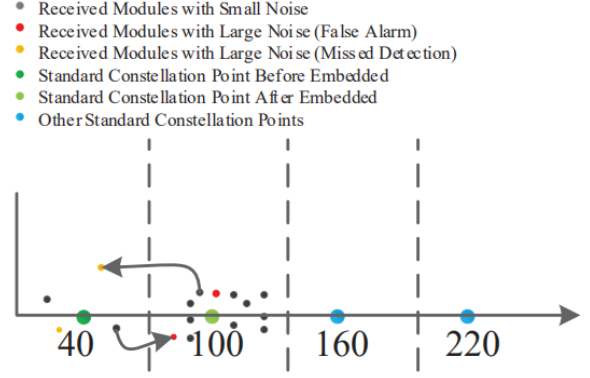


Fig. 7. Diagram of our IC attack for the LCAC 2D barcode with 4-order modulation.

decision boundary of demodulation in a multilevel 2D barcode. We take one constellation point as an example to illustrate the idea behind our IC attack for the LCAC 2D barcode, *e.g.*, 40 is a standard constellation point in  $I_o$  and it is changed to 100 in  $I$ . If the channel noise is large, there are two types of errors to occur. The first type is the false alarm, as illustrated by the red dots in Fig. 7, where the modules belonging to the constellation point of 40 is mistakenly classified to the constellation point of 100. The second type is the missed detection, as illustrated by the yellow dots in Fig. 7, where the modules belonging to the constellation point of 100 are mistakenly classified to the constellation point of 40. Thus, the optimal value of  $\theta_a$  in (31) is also determined by making the PFA less than a predetermined upper bound,  $\varepsilon_{a,\text{PFA}}$ , according to the NP theorem.

If we can correctly find most of the embedded locations, we can launch an effective IC attack. Specifically, our IC attack first recovers the original message  $I_o$ . Second, our IC attack directly demodulates the received modules at the embedded locations ( $\varphi_a(j) = 1$ ) and then replaces the modules of  $I_o$  at corresponding locations ( $\varphi_a(j) = 1$ ) by the demodulated modules. At last, our IC attack prints it to launch an effective IC attack. Moreover, according to the results of [2], [22], we know the fact that the channel noises around different constellation points have different distributions. Thus, we propose two advanced IC attacks for the LCAC 2D barcode under two cases: All Constellation Points (ACP) and Separate Constellation Points (SCP). In the ACP case, the detection on embedded locations is designed on all constellation points, where we treat all constellation points equally. In the SCP case, the detection on embedded locations is separately designed on different constellation points, where we treat different constellation points individually. Similar to the previous section, we also use GGD RVs to describe the behavior of the test statistics of our IC attacks for obtaining their optimal test thresholds, which are introduced as follows, respectively.

*1) ACP Case:* We present the distribution of the test statistic of our IC attack with the ACP case for the LCAC 2D barcode, as illustrated in Fig. 8, where both histograms and theoretical results are presented. The experimental settings and the parameters of the LCAC 2D barcode are the same as those of Fig. 3. From Fig. 8, we observe that the experimental

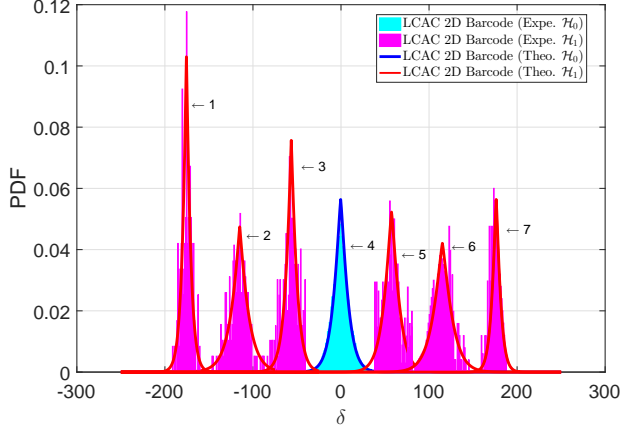


Fig. 8. Comparison of histograms and theoretical results about the test statistic of our IC attack with the ACP case for the LCAC 2D barcode, where a camera phone is used as the capturing device.

results match well with seven GGD approximations. We index all GGD RVs as  $i = 1, \dots, 7$  from left to right, where the 4th GGD RV describes the behavior of  $\delta$  under  $\mathcal{H}_0$  and the remaining GGD RVs together describe the behavior of  $\delta$  under  $\mathcal{H}_1$ . In comparison with the number of standard constellation points, the number of GGD RVs under  $\mathcal{H}_1$  is large because the gray value of each constellation point after embedded will generate three different gray values. We take the constellation of 40 as an example. When it is embedded by hidden information, its gray value may become to 100, 160, or 220. Correspondingly, the value of  $\delta$  in (30) has three different values: -60, -120, and -180, respectively.

Similar to (18)-(22), we obtain the parameters of each GGD RV, i.e., the mean  $\mu_i$ , variance  $\sigma_i^2$ , and shape factor  $\gamma_i$ . Fig. 8 shows that the PFA is generated under two situations: left and right. Under the left situation, when we observe the distribution from the left of the 4th GGD RV to the right, we can calculate the PFA as

$$P_{FA_L} = \Pr \{ \delta |_{\mathcal{H}_0} < \theta_{a(all_L)} \} = F_X(\theta_{a(all_L)}), \quad (32)$$

where  $\theta_{a(all_L)}$  is the left threshold. Similarly, under the right situation, when we observe the distribution from the right of the 4th GGD RV to the left, we can calculate the PFA as

$$P_{FA_R} = \Pr \{ \delta |_{\mathcal{H}_0} > \theta_{a(all_r)} \} = 1 - F_X(\theta_{a(all_r)}), \quad (33)$$

where  $\theta_{a(all_r)}$  is the right threshold. According to the NP theorem, we have  $P_{FA_L} + P_{FA_R} \leq \varepsilon_{a,PFA}$ . For convenience, we assume that the PFAs under two situations are similar and both are less than  $\frac{\varepsilon_{a,PFA}}{2}$ , i.e.,  $P_{FA_L} \leq \frac{\varepsilon_{a,PFA}}{2}$  and  $P_{FA_R} \leq \frac{\varepsilon_{a,PFA}}{2}$ . Then, we respectively obtain the optimal value of  $\theta_{a(all_L)}$  and  $\theta_{a(all_r)}$  as

$$\theta_{a(all_L)}^0 = -\frac{\kappa^{-1} \left[ \frac{1}{\gamma_4}, (1 - \varepsilon_{a,PFA}) \Gamma(1/\gamma_4) \right]}{\eta(\sigma_4, \gamma_4)\gamma_4} + \mu_4, \quad (34)$$

$$\theta_{a(all_r)}^0 = \frac{\kappa^{-1} \left[ \frac{1}{\gamma_4}, (1 - \varepsilon_{PFA}) \Gamma(1/\gamma_4) \right]}{\eta(\sigma_4, \gamma_4)\gamma_4} + \mu_4. \quad (35)$$

In summary, the range of the optimal threshold in our IC attack

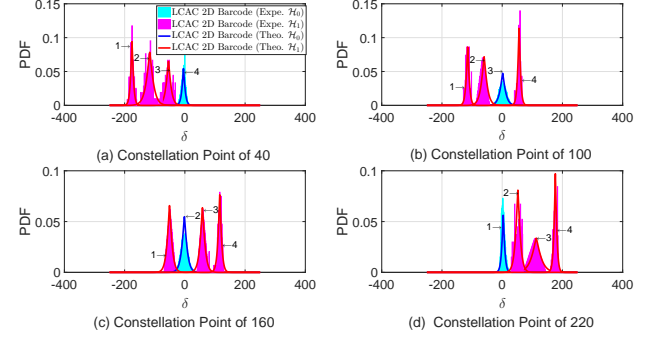


Fig. 9. Comparison of histograms and theoretical results about the test statistic of our IC attack with the SCP case for the LCAC 2D barcode under four scenarios: (a) Constellation Point of 40; (b) Constellation Point of 100; (c) Constellation Point of 160; (4) Constellation Point of 220, where a camera phone is used as the capturing device.

with the ACP case is denoted as

$$\theta_{a(all)}^0 = \left[ \theta_{a(all_L)}^0, \theta_{a(all_r)}^0 \right]. \quad (36)$$

When the value of  $\delta$  falls into  $\theta_{a(all)}^0$ , we accept  $\mathcal{H}_0$  in (29) and we set  $\varphi_a(j) = 0$ ; otherwise, we accept  $\mathcal{H}_1$  in (29) and we set  $\varphi_a(j) = 1$ .

2) *SCP Case*: Since the channel noises around different constellation points have different distributions, we present the distribution of the test statistic of our IC attack with the SCP case for the LCAC 2D barcode, as illustrated in Fig. 9, where both histograms and theoretical results are presented. The experimental settings and the parameters of the LCAC 2D barcode are the same as those of Fig. 3. From each subfigure of Fig. 9, we observe that the experimental results match well with four GGD approximations. We index all GGD RVs as  $i = 1, \dots, 4$  from left to right. Then, similar to (18)-(22), we obtain the parameters of each GGD RV, i.e., the mean  $\mu_i$ , variance  $\sigma_i^2$ , and shape factor  $\gamma_i$ . Based on the distribution of different scenarios illustrated in each subfigure of Fig. 9, we perform a threshold test to detect the presence of the hidden information on the current module under different scenarios.

Similar to our IC attack with the ACP case, we derive the optimal value of  $\theta_a$  for our IC attack with the SCP case under different scenarios by making  $P_{FA} \leq \varepsilon_{a,PFA}$ . Specifically, for the scenario of the constellation point of 40, as illustrated in Fig. 9(a), we obtain the range of the optimal threshold as

$$\theta_{a(40)}^0 \geq -\frac{\kappa^{-1} \left[ \frac{1}{\gamma_4}, (1 - 2\varepsilon_{a,PFA}) \Gamma(1/\gamma_4) \right]}{\eta(\sigma_4, \gamma_4)\gamma_4} + \mu_4. \quad (37)$$

For the scenario of the constellation point of 100, as illustrated in Fig. 9(b), we obtain the optimal range of  $\theta_{a(100_L)}$  and  $\theta_{a(100_r)}$  as

$$\theta_{a(100_L)}^0 = -\frac{\kappa^{-1} \left[ \frac{1}{\gamma_3}, (1 - \varepsilon_{a,PFA}) \Gamma(1/\gamma_3) \right]}{\eta(\sigma_3, \gamma_3)\gamma_3} + \mu_3, \quad (38)$$

$$\theta_{a(100_r)}^0 = \frac{\kappa^{-1} \left[ \frac{1}{\gamma_3}, (1 - \varepsilon_{a,PFA}) \Gamma(1/\gamma_3) \right]}{\eta(\sigma_3, \gamma_3)\gamma_3} + \mu_3. \quad (39)$$

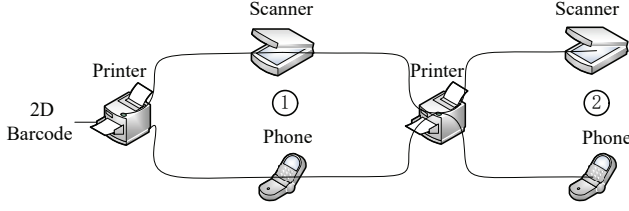


Fig. 10. Equipment settings for emulating a DPC process.

Then, we obtain the range of the optimal threshold as

$$\theta_{a(100)}^0 = [\theta_{a(100_l)}^0, \theta_{a(100_r)}^0]. \quad (40)$$

For the scenario of the constellation point of 160, as illustrated in Fig. 9(c), we obtain the optimal range of  $\theta_{a(160_l)}$  and  $\theta_{a(160_r)}$  as

$$\theta_{a(160_l)}^0 = -\frac{\kappa^{-1} \left[ \frac{1}{\gamma_2}, (1 - \varepsilon_{a,PFA}) \Gamma(1/\gamma_2) \right]}{\eta(\sigma_2, \gamma_2) \gamma_2} + \mu_2, \quad (41)$$

$$\theta_{a(160_r)}^0 = \frac{\kappa^{-1} \left[ \frac{1}{\gamma_2}, (1 - \varepsilon_{a,PFA}) \Gamma(1/\gamma_2) \right]}{\eta(\sigma_2, \gamma_2) \gamma_2} + \mu_2. \quad (42)$$

Then, we obtain the range of the optimal threshold as

$$\theta_{a(160)}^0 = [\theta_{a(160_l)}^0, \theta_{a(160_r)}^0]. \quad (43)$$

For the scenario of the constellation point of 220, as illustrated in Fig. 9(d), we obtain the range of the optimal threshold as

$$\theta_{a(220)}^0 \leq \frac{\kappa^{-1} \left[ \frac{1}{\gamma_1}, (1 - 2\varepsilon_{a,PFA}) \Gamma(1/\gamma_1) \right]}{\eta(\sigma_1, \gamma_1) \gamma_1} + \mu_1. \quad (44)$$

When the value of  $\delta$  falls into  $\theta_{a(40)}^0$ , or  $\theta_{a(100)}^0$ , or  $\theta_{a(160)}^0$ , or  $\theta_{a(220)}^0$ , we accept  $\mathcal{H}_0$  in (29) and we set  $\varphi_a(j) = 0$ ; otherwise, we accept  $\mathcal{H}_1$  in (29) and we set  $\varphi_a(j) = 1$ .

## VI. EXPERIMENT RESULTS OF ADVANCED IC ATTACKS

### A. Experimental Setup

Note that in both Section IV and Section V, we consider an SPC process in the experimental results. However, since this section emulates IC attacks for two existing anti-copying 2D barcodes, we should consider a DPC process in the experimental results. We illustrate the equipment setting for emulating a DPC process in Fig. 10. Specifically, first, a sender prints a legitimate 2D barcode according to the principle defined in existing anti-copying 2D barcodes. Second, a monitor captures it by using the first capturing device, *e.g.*, a scanner or a camera phone, and then prints an illegitimate 2D barcode by launching our advanced IC attacks. At last, a receiver captures the illegitimate 2D barcode by using the second capturing device, *e.g.*, a scanner or a camera phone, and then makes an authentication test defined in (3).

We consider two existing anti-copying 2D barcodes:

- 1) 2LQR code, where we set the authentication threshold of the Pearson correlation as  $\theta_b = 0.12$ , just like in [1];
- 2) LCAC 2D barcode, where we set the error correction capability of authentication message as  $t_a = 10$  and

TABLE III  
COMPARISON OF  $P_s$  OF VARIOUS IC ATTACKS FOR THE 2LQR CODE UNDER THE PPD SCENARIO.

IC Attacks	Direct	Synthetic	ML-Based	Our
$P_s$	0	0	0.40	0.6538

we set the authentication threshold of the BER as  $\theta_b = 1.2\%$ , just like in [2].

Moreover, we consider five IC attacks:

- 1) Direct IC attack;
- 2) Synthetic IC attack, where 6 versions of a legitimate 2D barcode are used to synthesize an illegitimate 2D barcode;
- 3) ML-based IC attack [3], where for the 2LQR code, we use the equalization outputs of  $L_m \log 3$  ML-based IC attack [3], where for the 2LQR code, we use the equalization outputs of  $L_m$  legitimate 2D barcodes, *i.e.*,  $\hat{Y}_1$ , and the electronic version of  $L_m$  corresponding legitimate 2D barcode, *i.e.*,  $I$ , to obtain an attacking model; Similarly, for the LCAC 2D barcode, we use the equalization outputs of 60 legitimate 2D barcodes, and the electronic version of 60 corresponding legitimate 2D barcodes to obtain an attacking model.
- 4) Our IC attacks for the 2LQR code under the PPD and UPD scenarios, where we set  $\theta_1 = 127$  and  $\theta_2 = 0.8$  for the UPD scenario;
- 5) Our IC attack for the LCAC 2D barcode, where we set  $\varepsilon_{a,PFA} = 0.01$ .

The experimental settings and the parameters of two existing anti-copying 2D barcodes are the same as those given in Section IV.C. Here we consider two performance metrics:

- 1) The first metric is the probability of successfully attacking, which is defined as

$$P_s = \frac{M_a}{M_r}, \quad (45)$$

where  $M_r$  is the number of received illegitimate 2D barcodes and  $M_a$  is the number of illegitimate 2D barcodes mistakenly accepted by the receiver as legitimate ones;

- 2) The second metric is the probability of correctly pinpointing the embedded locations in the LCAC 2D barcode, which is defined as

$$P_r = \frac{L_c}{L_h}. \quad (46)$$

We define  $\mathcal{C}_h$  as the set of actual embedded locations in hidden information and  $L_h$  is the corresponding set length. We define  $\mathcal{C}_e$  as the set of estimated embedded locations,  $\mathcal{C}_c$  is the intersection set of  $\mathcal{C}_e$  and  $\mathcal{C}_h$ , *i.e.*,  $\mathcal{C}_c = \mathcal{C}_e \cap \mathcal{C}_h$ ,  $L_c$  is the set length of  $\mathcal{C}_c$ .

### B. Experimental Results for the 2LQR Code

We present the experimental results for the 2LQR Code under the PPD and UPD scenarios, respectively, where we use a scanner as both the first and second capturing devices.

TABLE IV

COMPARISON OF  $P_s$  OF OUR IC ATTACK VERSUS DIFFERENT  $L_m$  FOR THE 2LQR CODE UNDER THE PPD SCENARIO.

$L_m$	100	1000	10000
$P_s$	0.6538	0.6226	0.5637

TABLE V

COMPARISON OF  $P_s$  OF VARIOUS IC ATTACKS FOR THE 2LQR CODE UNDER THE UPD SCENARIO.

IC Attacks	Direct	Synthetic	ML-Based	Our
$P_s$	0	0	0.281	0.294

1) *PPD Scenario*: We first compare the values of  $P_s$  of various IC attacks for the 2LQR code under the PPD scenario, as presented in Tab. III, where we set  $L_m=100$ . From Tab. III, we observe that our IC attack has the best performance but both the direct and synthetic IC attacks have the poorest performance. Although the ML-based IC attack requires a training stage, its performance is inferior to our IC attack since the output patterns of the ML-based IC attack may not be exactly the same as those used in the 2LQR code.

Now, we investigate the impact of  $L_m$  on the performance of our IC attack under the PPD scenario, as presented in Tab. IV, where we use the same experimental settings of Tab. III except different  $L_m$ . From Tab. IV, we observe that the performance of our IC attack declines as the value of  $L_m$  increasing. This is because, given the size of a pattern, the distance between different patterns reduces as the value of  $L_m$  increasing, which lowers the discriminability of the way of comparing the Pearson correlation.

2) *UPD Scenario*: We first compare the values of  $P_s$  of various IC attacks for the 2LQR code under the UPD scenario, as presented in Tab. V, where we use the same experimental settings of Tab. III except that the public pattern database is replaced by an alternative pattern database. The ML-based IC attack straightforwardly uses the alternative pattern database to train an attacking model. By comparing the results of Tab. III with those of Tab. V, we observe that the performances of all IC attacks become worse since the patterns used in the 2LQR code may not exist in the alternative pattern database. Moreover, from Tab. V, we can obtain the same conclusions of Tab. III because of the same reasons. Specifically, our IC attack has the best performance, the ML-based IC attack is the second, and both the direct and synthetic IC attacks have the poorest performance.

Now, we investigate the impact of  $L_m$  on the performance of our IC attack under the UPD scenario, as presented in Tab. VI, where we use the same experimental settings of Tab. IV except that the public pattern database is replaced by an

TABLE VI

COMPARISON OF  $P_s$  OF OUR IC ATTACK VERSUS DIFFERENT  $L_m$  FOR THE 2LQR CODE UNDER THE UPD SCENARIO.

$L_m$	100	1000	10000
$P_s$	0.294	0.309	0.325

TABLE VII

COMPARISON OF  $P_r$  OF OUR IC ATTACKS FOR THE LCAC 2D BARCODE UNDER THE ACP AND SCP CASES.

First Capturing Devices	Scanner		Camera Phone	
Cases	ACP	SCP	ACP	SCP
$P_r$	0.9701	0.9830	0.9725	0.9852

TABLE VIII

COMPARISON OF  $P_s$  OF VARIOUS IC ATTACKS FOR THE LCAC 2D BARCODE UNDER THE ACP AND SCP CASES WITH DIFFERENT  $t_a$ .

$t_a$	10		8	
Second Capturing Device	Scanner	Camera Phone	Scanner	Camera Phone
Direct	0.0909	0.1102	0.0872	0.1023
Synthetic	0.8051	0.8549	0.7835	0.8325
Our (ACP)	1	1	0.9732	0.98
Our (SCP)	1	1	1	1

alternative pattern database. By comparing the results of Tab. IV with those of Tab. VI, we observe that the performances of all IC attacks become worse because of the same reasons of Tab. V. Moreover, from Tab. VI, we observe an opposite conclusion of Tab. IV. Specifically, the performance of our IC attack improves as the value of  $L_m$  increasing. This is because the probability of the patterns used in the 2LQR code occurring in the alternative pattern database increases as the value of  $L_m$  increasing.

### C. Experimental Results for the LCAC 2D Barcode

We first compare the values of  $P_r$  of our IC attacks for the LCAC 2D barcode under the ACP and SCP cases, as presented in Tab. VII, where we use both a scanner and a camera phone as the first capturing devices and we set  $\varepsilon_{a,PFA} = 0.01$ . From Tab. VII, we observe that our IC attack with the SCP case has better pinpointing accuracy than that of our IC attack with the ACP case. Moreover, the pinpointing accuracy under the camera phone is better than that under the scanner since the camera phone has better-capturing resolution than the scanner in our experimental setup.

Now we compare the values of  $P_s$  of various IC attacks for the LCAC 2D barcode under the ACP and SCP cases with different  $t_a$ , as presented in Tab. VIII, where we use the same experimental settings of Tab. VII except that we use a scanner as the first capturing devices, and use both a scanner and a camera phone as the second capturing devices. From Tab. VIII, we observe the following conclusions. First, when  $t_a = 10$ , our IC attacks under both the ACP and SCP cases have the best performance, the synthetic IC attack is the second one, and the direct IC attack is the last one. Second, when the value of  $t_a$  reduces, i.e., the error correction capability of authentication message in the LCAC 2D barcode declines, the performance of all IC attacks declines except our IC attack under the SCP case, which highlights the advantage of our IC attack under the SCP case. Third, the attacking performance of all IC attacks under the camera phone is better than that under the scanner since the camera phone has better-capturing resolution than the scanner in our experimental setup.

TABLE IX  
COMPARISON OF  $P_s$  OF VARIOUS IC ATTACKS FOR BOTH THE 2LQR CODE  
AND THE LCAC 2D BARCODE.

2D Barcode	2LQR Code		LCAC 2D Barcode ( $Q = 2$ )	
	Scanner	Camera Phone	Scanner	Camera Phone
Direct	0	-	0.9630	0.9850
Synthetic	0	-	1	1
ML Based	0.40	-	1	1
Our	0.6538	-	1	1

### D. Discussion

Since the ML-based IC attack [3] was designed for standard 2D barcode, *i.e.*,  $Q = 2$ , we did not present the corresponding experimental results in Tab. VIII. For fairly comparing the performance of various IC attacks on both the 2LQR code and the LCAC 2D barcode, we extend the LCAC 2D barcode to the scenario of  $Q = 2$ , where we set  $t_a = 1$ , just like in [2]. We present the experimental results in Tab. IX, where the PPD scenario is considered and  $L_m=100$  for the 2LQR code, the SCP case is considered for the LCAC 2D barcode, and the remaining experimental settings are the same as those of Tab. VIII. From Tab. IX, we observe the following conclusions. First, our IC attack has the same attacking performance as that of the ML-based IC attack for the LCAC 2D barcode whereas our IC attack has better attacking performance as that of the ML-based IC attack for the 2LQR code. Second, the performance of all IC attacks improves at the modulation order reducing for the LCAC 2D barcode as compared with the results of Tab. VIII. Third, our IC attack has better attacking performance for the LCAC 2D barcode than that for the 2LQR code, however, the 2LQR code cannot be applied to the scenario of a camera phone since the 2LQR code requires higher stability of the capturing equipment.

## VII. CONCLUSION

In this paper, we proposed two hidden information detection schemes at the existing anti-copying 2D barcodes. We explicitly analyzed the PD and PFA of our schemes, derived their closed-form expressions, and obtained their optimal test thresholds. Besides we provided the experimental results of our detection schemes under different capturing devices, we verified the above theoretical results with simulation results. Moreover, we designed advanced IC attacks to evaluate the security of two existing anti-copying 2D barcodes. Note that our IC attacks can deal with the scenario of an unknown pattern database in the 2LQR code and can correctly pinpoint the embedded locations in the LCAC 2D barcode. We implemented our IC attacks and conducted extensive performance comparison between our schemes and prior schemes under different capturing devices. Interesting future research is to detect the existence of the hidden information in a printed 2D barcode when a monitor does not know the parameters of modulation and channel coding of the original message, which becomes more challenging.

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