A Harmonic Elimination and Suppression Scheme for an Open-End Winding Induction Motor Drive

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Abstract-In this paper, a harmonic elimination and suppression scheme for a dual-inverter-fed open-end winding induction motor drive is presented. Two isolated dc-link sources with voltage ratio of approximately 1:0.366 are required for the present drive. These two isolated dc links feeding two inverters to drive the openend winding induction motor eliminate the triplen harmonic currents from the motor phase. The pulsewidth-modulation scheme proposed enables the cancellation of all the 5th- and 7th-order $(6n \pm 1)$, where n = 1, 3, 5, 7, etc.) harmonic voltages and suppresses the 11th- and 13th-order harmonic voltage amplitudes in the motor phase voltage, in all modulation ranges. The next higher order harmonics present in the motor phase voltages are 23rd, 25th, 35th, 37th etc. $(6n \pm 1, n = 4, 6, \text{ etc.})$. By using triangular carrier wave and proper modulating waves for each inverter, the open-end winding induction motor can be operated in the entire modulation range, eliminating all the $6n \pm 1$ harmonics (n = 1, 3, 5, 7, etc.) coupled with 11th and 13th harmonic suppression. The proposed scheme also gives a smooth transition to the overmodulation region.

Index Terms—Harmonic elimination, harmonic suppression, open-end induction motor, pulsewidth-modulation (PWM) drive.

I. INTRODUCTION

N ORDER to reduce inverter switching losses and limit the ripple currents in the motor phase multilevel inverters of the type three-level, five-level, etc., [1]-[3] are preferred to the conventional two-level inverter. However, this increase in number of levels enhances the power circuit complexities and in turn affects the cost of the system dearly. Another interesting and suitable topology called the open-end winding induction motor (IM) drive are presently being studied for high-power applications [4]–[7]. The neutral of the IM is disconnected in an open-end winding drive and two separate three-phase inverters feed the motor from both ends of the stator winding. The inverters are fed from dc-link sources of half the magnitude, when compared to the same in conventional two-level inverters [4]. In order to avoid the flow of triplen harmonic currents in the motor phase, isolated dc-link power sources or harmonic filters are required for these drives [4]–[6]. Similar to a conventional multilevel inverter, more voltage space phasor levels can be achieved by using asymmetric dc-link voltages for the two inverters [7]. In the present work, a technique to eliminate and suppress certain harmonics in an open-end winding induction motor drive is studied. There are

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well-established techniques to suppress and eliminate different harmonics in normal IM drives [8]. By using notches at suitable points in the square wave certain harmonics are eliminated from the output [8]. These schemes requires extensive off line computations to determine the notches for different speed ranges. One of the schemes uses staircase type modulating wave to suppress the harmonics [9]. The disadvantages of all those schemes are the need for lookup tables and large offline computation. This paper presents a unique and simple scheme for an open-end winding IM drive, where all the 5th- and 7th-order ($6n \pm 1$, where n = 1, 3, 5, 7, etc.) harmonics are eliminated and the 11thand 13th-order harmonics are suppressed to a significant extent for the entire modulation range. The other feature of the scheme is that speed control using triangle carrier is possible with low frequency harmonic elimination and suppression and without resorting to very high-frequency pulsewidth-modulation (PWM) switchings. A smooth transition to the overmodulation region is also possible from the proposed drive scheme.

II. POWER CIRCUIT FOR THE DRIVE SCHEME

In an open-end IM drive the neutral point of the induction motor is disconnected and two separate inverters feed the three-phase windings of the motor from both ends [4]. Fig. 1(a) shows the power circuit schematic of such a drive system. As shown in Fig. 1(a), inverter-1 and inverter-2 feed the motor from two isolated dc-link sources. The voltage ratio of the two dc-link sources is 1:0.366. In the present case the dc-link voltage of inverter-1 is $V_{\rm DC}$ and the dc-link voltage (Vdc) of inverter-2 is 0.366 $V_{\rm DC}$.

Fig. 1(b) shows the voltage space vector positions of the individual inverters (inverter-1 and inverter-2). It can be noted that the magnitude of voltage space vector of inverter-2 is 36.6% of that of inverter-1 [Fig. 1(b)]. Fig. 2(a) shows the resultant space vectors using only certain space vector combinations from inverter-1 and inverter-2. The voltage space vector amplitudes of inverter-1 and inverter-2 depends on the inverters dc-link voltages. To get a 12-sided voltage space vector combination, for the vector combinations 13', 15', 24', 26', 35', 31', 46', 42', 51', 53', 62', 64' [Fig. 2(a)], the switching vector magnitude of inverter-2 should be 0.366 times that of inverter-1 [Fig. 2(b)]. This can be calculated from the geometry of Fig. 2(b). Since the switching vector magnitude of a two-level inverter is proportional to the value of its dc-link voltage, a dc-link voltage ratio of 1:0.366 between inverter-1 and inverter-2 is needed to realize a resultant 12-sided polygonal voltage space phasor location of Fig. 2(a). The set of certain space vector combinations of 13', 15', 24', 26', 35', 31', 46', 42', 51', 53', 62',

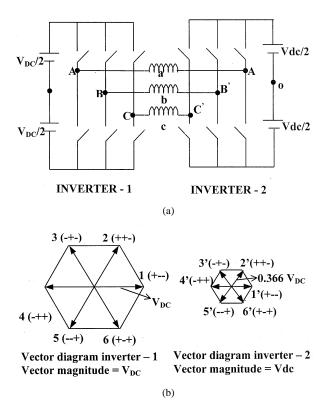


Fig. 1. (a) Schematic of power circuit for the proposed scheme. Vdc = $0.366\,\mathrm{V_{DC}}$. (b) Vector diagrams of individual inverters.

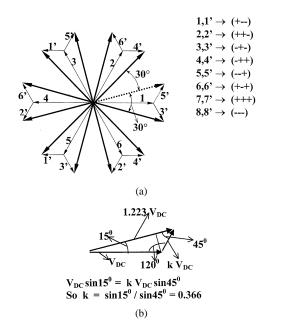


Fig. 2. (a) Selected combinations of the vector positions from inverter-1 and inverter-2. (b) Calculation of dc-link voltage ratio (k) for both inverters.

64' [Fig. 2(a)] makes a 12–sided polygon at their vertices with a dc-link voltage ratio of 1:0.366 between the inverters. By using vector positions at the vertices of the 12–sided polygon (adjacent vectors are 30° separate), appropriately for PWM operation, all the 5th- and 7th- $(6n \pm 1, n = 1, 3, 5,$ etc.)-order harmonics can be cancelled from the motor phase voltage. This set of 12 space vectors can be divided into two sets, one consisting of vectors 13', 24', 35', 46', 51', 62' and the other

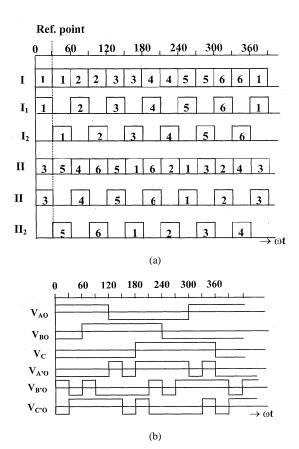


Fig. 3. Individual voltage space-vector switching pattern and its duration for inverter-1 and inverter-2 for a resultant 12–sided polygonal space vector switching. (b) Pole voltage ($V_{\rm AO},V_{\rm BO},V_{\rm CO}$) of inverter-1 and pole voltage ($V_{\rm A'O},V_{\rm B'O},V_{\rm C'O}$) of inverter-2.

consisting of vectors 15', 26', 31', 42', 53', 64', separated by 30°. Therefore, if these two sets of vectors are switched (clockwise direction) with a 30° phase delay in time, the fundamental component of both these sets add up because the fundamental of the leading set of vectors move by 30° clockwise in space when lagging set of vectors are switched. However, the 5th-order harmonics (negative-sequence components 6n-1, n=1,3,5, etc.) of the leading set of vectors move by 150° anticlockwise in space when lagging set of vectors are switched, and comes exactly in opposition to the 5th harmonics of the lagging set of switching vectors and cancel each other. Hence, the 5th order harmonics (6n-1, n=1,3,5, etc.) of both sets of vectors cancel each other. This is also true with the 7th-order harmonic components (6n + 1, n = 1, 3, 5, etc.) produced by the leading set of vectors, which rotate by 210° clockwise and comes in exact opposition to that of the lagging set and, hence, cancel each other. Thus, a 30° vector disposition of switching vectors [Fig. 2(a)], cancels all the 5th- and 7th-order $(6n\pm 1, n = 13, 5,$ etc.) voltage harmonics from the motor phase.

III. HARMONIC ANALYSIS FOR THE $(6n \pm 1, n = 1, 3, 5,$ ETC.)-ORDER HARMONIC ELIMINATION SCHEME

The individual inverter voltage space vector switching and its duration for the two inverters are presented in Fig. 3(a). It can be noted from Fig. 3(a) that for inverter-1 the 60° duration of individual vectors from 1 to 6 (I) are separated into 30° intervals as

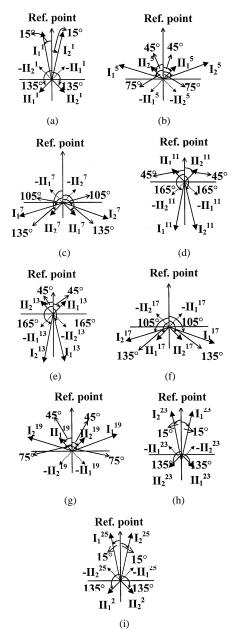


Fig. 4. Relative position of different harmonics of the motor phase from both inverter-1 and inverter-2. (a) Fundamental. (b) 5th harmonics. (c) 7th harmonics. (d) 11th harmonics. (e) 13th harmonics. (f) 17th harmonics. (g) 19th harmonics. (h) 23rd harmonics. (i) 25th harmonics.

 I_1 and I_2 . The corresponding space vector switching pattern for inverter-2 (II of Fig. 3(a) is separated into 30° interval space vector switching pattern and are shown in Fig. 3(a) as II_1 and II_2 . From Fig. 3(a) it can be seen that there is a 30° phase difference between the two sequences (i.e., between the switching vector patterns I_1 and I_2 of Fig. 3(a). Similarly the switching vector pattern II_1 leads by 120° from I_1 [Fig. 3(a)] and the switching vector pattern II_2 lags by 120° from I_2 [Fig. 3(a)]. The corresponding pole voltage waveforms for the two inverters are presented in Fig. 3(b).

The phasor diagram of the fundamental and different harmonic components of switching vector patterns I_1 , I_2 , II_1 and II_2 of Fig. 3(a) are shown in Fig. 4. There is a phase separation of 30° between the vectors I_1^1 and I_2^1 of Fig. 4(a). Fig. 4(a)

also shows that the fundamental component II_1^1 of the switching vector pattern II_1 of Fig. 3(a) leads by 135° from the reference point (i.e., vector II_1^1 leads I_1^1 by 120° [Fig. 4(a)] and fundamental component II_2^1 of the switching vector pattern II_2 of Fig. 3(a) lags by 135° from the reference point (i.e., vector II_2^1 lags I_2^1 by 120° [Fig. 4(a)]. Fig. 4(b) shows the phasor diagram of the 5th harmonics. The 5th harmonic component I_1^5 of the switching vector pattern I_1 of Fig. 3(a) leads by 75° (15°×5) from the reference point [Fig. 4(b)] and 5th harmonic component I_2^5 of the switching vector pattern I_2 of Fig. 3(a) lags by 75° $(15^{\circ} \times 5)$ from the reference point [Fig. 4(b)]. The 5th harmonic component II_1^5 of the switching vector pattern II_1 [Fig. 3(a)] lags by 45° ($135^{\circ} \times 5$ lead) from the reference point [Fig. 4(b)] and 5th harmonic component II_2^5 of the switching vector pattern II_2 of Fig. 3(a) leads by 45° ($135^{\circ} \times 5$ lag) from the reference point [Fig. 4(b)], or, in other words, II_1^5 leads by 240° (120 \times 5 = 600) from I_1^5 and II_2^5 lags by 240° (120 \times 5 = 600) from I_2^5 [Fig. 4(b)]. Table I gives the relative angular positions (Fig. 4), of the different harmonics phasors of the switching vector patterns of I_1 , I_2 , II_1 and II_2 of Fig. 3(a), with respect to the reference point. Because inverter-1 and inverter-2 are feeding from opposite ends, the space vector of inverter-2 should be subtracted from that of inverter-1 to get the resultant motor phase voltage vector. As shown in Fig. 4 and Table I it can be noted that all the $6n \pm 1$, n = 0, 2, 4, etc.-order harmonics of the pole voltage of inverter-1 and inverter-2 support each other, and all the $6n \pm 1$, n = 1, 3, 5, etc.-order harmonics of the pole voltages of both inverters (inverter-1 and inverter-2) oppose each other. Hence, by selecting the dc-link voltages of both inverters in proper ratio (i.e., the dc-link voltage of inverter-1 (V_{Dc}) to the dc-link voltage of inverter-2 (Vdc) equal to 1:0.366) all the $6n \pm 1$, n = 1, 3, 5, etc.-order harmonics can be cancelled from the motor phase voltage. The calculation of amplitude of different harmonics are given below.

A. Amplitude of Fundamental and all the 24n + 1, n = 1, 2, etc., Harmonics (1st, 25th, 49th, etc.)

The dc-link voltage of inverter-2,

$$Vdc = 0.366V_{DC}$$
. (1)

Now, the fundamental component $[II_1^1 \text{ and } II_2^1 \text{ of Fig. 4(a)}]$ of sequence II_1 and II_2 of Fig. 3(a), can be written in relation to that of sequence [Fig. 3(a)] I_1 and I_2 as

$$II_1^1 = 0.366I_1^1 \quad II_2^1 = 0.366I_2^1$$
 (2)

where I_1^1 and I_2^1 [Fig. 4(a)] are the fundamental components of pole voltage of inverter-1 for sequences I_1 and I_2 [Fig. 3(a)]. The combination of vector patterns I_1 and I_2 of Fig. 3(a) generates square-wave pole voltages [Fig. 3(b)] with the fundamental component E_1^1 (square wave) is [Fig. 4(a)]

$$E_1^1 = 0.637 \,\mathrm{V_{DC}} = 2I_1^1 \cos 15^{\circ}.$$
 (3)

Hence, total fundamental voltage (I^1) for the motor phase (resultant of both the inverters) voltage is

$$I^{1} = 2I_{1}^{1}\cos 15^{\circ} + 2II_{1}^{1}\cos 45^{\circ} = E_{1}^{1} + E_{2}^{1}.$$
 (4)

Switching vector patterns (Fig.3a)	Fundamental and (24n + 1, n= 1,2)	5 th -harmonic and (24n + 5, n= 1,2,)	7 th -harmonic and (24n + 7, n= 1,2,)	11 th -harmonic and (24n + 11, n= 1,2,)	13 th -harmonic and (24n + 13, n= 1,2,)
(115.34)	harmonics w.r.t.	harmonics w.r.t. ref point	harmonics w.r.t. ref point	harmonics w.r.t. ref point	harmonics w.r.t. ref point
I ₁	15° lead	(15°×5) lead = 75° lead	(15°×7) lead = 105° lead	(15°×11) lead = 165° lead	(15°×13) lead = 105° lag
I ₂	15° lag	(15°×5) lag = 75° lag	(15°×7) lag = 105° lag	(15°×11) lag = 165° lag	(15°×13) lag = 105° lead
П1	135° lead	(135°×5) lead = 45° lag	(135°×7) lead = 135° lag	(135°×11) lead = 45° lead	(135°×13) lead = 45° lag
Π_2	135° lag	(135°×5) lag = 45° lead	(135°×7) lag = 135° lead	(135°×11) lag = 45° lag	$(135^{\circ} \times 5)$ lag = 45° lead

TABLE I
PHASOR POSITIONS OF DIFFERENT HARMONICS OF SWITCHING VECTOR PATTERNS OF THE TWO INVERTERS

Switching vector patterns (Fig.3a)	17 th -harmonic and (24n + 17, n= 1,2,) harmonic w.r.t. ref point	19 th -harmonic and (24n + 19, n= 1,2,) harmonics w.r.t. ref point	23 rd -harmonic and (24n + 23, n= 1,2,) harmonics w.r.t. ref point
I ₁	(15°×17) lead	(15°×19) lead	(15°×23) lead
	= 105° lag	= 75° lag	= 15° lag
I_2	(15°×17) lag	(15°×19) lag	(15°×23) lag
	= 105° lead	= 75° lead	= 15° lead
II_1	$(135^{\circ} \times 17)$ lead	(135°×19) lead	(135°×23) lead
	= 135° lead	= 45° lead	= 135° lag
II ₂	(135°×17) lag	(135°×19) lag	(135°×23) lag
	= 135° lag	= 45° lag	= 135° lead

Substituting (2) and (3) in (4),

$$I^{1} = 2.499I_{1}^{1} = \frac{2.499(0.637 \,\mathrm{V_{DC}})}{(2\cos 15^{\circ})} = 0.807 \,\mathrm{V_{DC}}.$$
 (5)

Similarly, all the 24n+1, n=1,2, etc., harmonics amplitudes in motor phase voltage can be calculated and is found to be equal to $0.807\,\mathrm{V_{Dc}}/(24n+1)$, n=1,2, etc.

B. Amplitude of all the 24n + 5, n = 0, 1, 2, etc., Harmonics (5th, 29th, 53rd, etc.)

Total 5th harmonic amplitude of the motor phase voltage can be calculated from Fig. 4(b) (Table I)

$$I^{5} = 2I_{1}^{5} \cos 75^{\circ} - 2II_{1}^{5} \cos 45^{\circ}$$

= $2(I_{1}^{5} \cos 75^{\circ} - 0.366I_{1}^{5} \cos 45^{\circ}) = 0.$ (6)

Similarly, using the phasor diagram of Fig. 4 all the 24n+5, n=1,2, etc., harmonics amplitudes in motor phase voltage can be calculated and are found to be equal to zero.

C. Amplitude of all the 24n + 7, n = 0, 1, 2, etc., Harmonics (7th, 31st, 55th, etc.)

Total 7th harmonic amplitude (from both the inverters) can be calculated from Fig. 4(c) (Table I)

$$I^7 = -2I_1^7 \cos 75^\circ - 2II_1^7 \cos 45^\circ = 0 \tag{7}$$

and all the 24n + 7, n = 1, 2, etc., harmonics amplitudes in motor phase voltage can be calculated in a similar way and are found to be equal to zero.

D. Amplitude of all the 24n + 11, n = 0, 1, 2, etc., Harmonics (11th, 35th, 59th, etc.)

Total 11th harmonic amplitude (motor phase voltage) from Fig. 4(d) and Table I is

$$I^{11} = -2I_1^{11} \cos 15^{\circ} - 2II_1^{11} \cos 15^{\circ}$$

= $2I_1^{11} (\cos 15^{\circ} + 0.366) \cos 45^{\circ}$
= $-2.449(I_1^{11}).$ (8)

The amplitude of the 11th harmonic component of the square wave [combination of vector pattern I_1 and I_2 of Fig. 3(a)] is (0.637/11)

$$V_{DC} = -2I_1^{11} \cos 165^{\circ}. \tag{9}$$

From (8) and (9) the total 11th harmonic for the motor phase voltage [for the switching pattern of Fig. 3(a)] is

$$I^{11} = \frac{0.807 \,\mathrm{V_{DC}}}{11} = 0.073 \,\mathrm{V_{DC}} \tag{10}$$

and all the 24n+11, n=1,2, etc., harmonics amplitudes in motor phase voltage can be calculated to be equal to $0.807\,\mathrm{V_{Dc}}/(24n+11)$, n=1,2, etc. In a similar way amplitudes of all the other harmonics in the motor phase voltage can be calculated for the inverters switching pattern of Fig. 3(a) (Fig. 4 and Table I), and the calculated values are given in Table II.

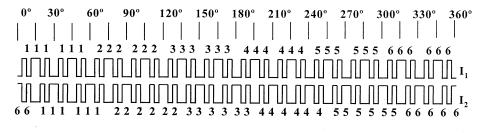
It can be noted that all the $6n \pm 1$, n = 1,3,5, etc.-order harmonics in the motor phase voltage are totally absent and all the $6n\pm 1$, n = 2,4,6, etc.-order harmonic amplitudes are 1.267

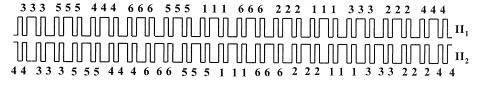
n = 0,1,2 etc. harmonics $(23^{rd},47^{th},71^{th})$

Order of harmonics in phase voltage	Amplitude of the harmonic in phase voltage		
$24n + 1$, $n = 0,1,2$ etc. harmonics $(1^{st},25^{th},49^{th})$	$0.807 V_{DC} / (24n + 1), n = 0,1,2 etc.$		
$24n + 5$, $n = 0,1,2$ etc. harmonics $(5^{th}, 29^{th}, 53^{rd})$	0		
$24n + 7$, $n = 0,1,2$ etc. harmonics $(7^{th}, 31^{st}, 55^{th})$	0		
$24n + 11$, n= 0,1,2 etc. harmonics $(11^{th}, 35^{th}, 59^{th})$	$0.807 V_{DC} / (24n + 11), n = 0.1, 2 etc$		
$24n + 13$, $n = 0,1,2$ etc. harmonics $(13^{th},37^{th},61^{st})$	$0.807 V_{DC} / (24n + 13), n = 0.1, 2 etc.$		
$24n + 17$, $n = 0,1,2$ etc. harmonics $(17^{th},41^{st},65^{th},)$	0		
24n + 19 n= 0.1.2 etc. harmonics (19 th 43 rd 67 th)	0		

 $0.807 \text{ V}_{DC} / (24n + 23), n = 0.1,2 \text{ etc.}$

TABLE II
HARMONIC AMPLITUDES OF MOTOR PHASE VOLTAGE





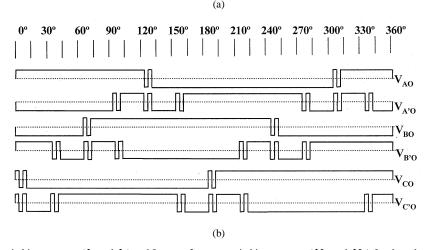


Fig. 5. (a) Inverter-1 vector switching patterns (I_1 and I_2) and Inverter-2 vector switching patterns (II_1 and II_2) for the scheme of 11th and 13th harmonic suppression with 15° phase shift. (b) Pole voltage waveforms for Inverter-1 and Inverter-2 with the inverter switching patterns of (a) for the scheme of 11th and 13th harmonic suppression with 15° phase shift.

times (0.807/0.637) amplitude of the same order harmonic of a conventional inverter with square-wave pole voltage waveform with a dc-link voltage of $V_{\rm DC.}$

IV. SUPPRESSION OF 11TH- AND 13TH-ORDER HARMONICS

For an open-end winding drive with two inverters of dc-link voltage ratio of (1:0.366) all the ($6n\pm1$, n=1,3,5, etc.) harmonics get cancelled for a switching pattern shown in Fig. 3(a). In Fig. 3(a) the switching pattern of inverter-1 is separated into I_1 and I_2 (with an angular difference of 30°), and the switching pattern of inverter-2 is separated into II_1 and II_2 (with an angular difference of 90° between them). Keeping

the same symmetry in switching pattern [Fig. 3(a)], of both the inverters, the 11th- and 13th-order harmonics can be suppressed in the individual inverters by adding additional notches in the individual inverter pole voltages. For the new pole voltage waveforms [Fig. 5(b)] the individual inverter vector switching pattern I_1 and I_2 of inverter-1, and II_1 and II_2 of inverter-2 are presented in Fig. 5(a). The vector switching pattern I_1 again consists of two similar patterns separated by 30° and the pattern I_2 of inverter-1 is similar to the switching pattern I_1 , but delayed by 15°. This 15° separation is responsible for suppression of 11th and 13th harmonics in the inverter-1 pole voltage waveform. The corresponding vector switching pattern II_1 and II_2 of inverter-2 are also shown in Fig. 5(a). The leading

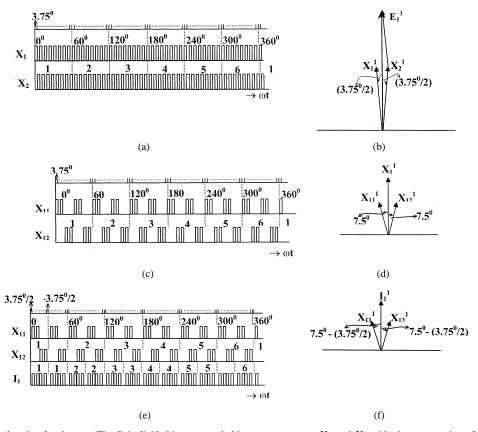


Fig. 6. Switching vector duration for six-step (Fig. 5) is divided into two switching vector patterns X_1 and X_2 with phase separation of 3.75° . (b) Fundamental component of the square wave is decomposed into X_1^1 and X_2^1 separated by 3.75° . (c) Inverter switching vector pattern X_1 decomposed into patterns X_{11} and X_{12} , respectively. (d) X_1^1 , fundamental vector of switching pattern X_1 is decomposed into X_{11}^1 (fundamental vector of vector pattern X_{11}) and X_{12}^1 (fundamental vector of vector pattern X_{12}). (e) Resultant switching vector pattern (I_1) is obtained from X_{11} (shifted by $3.75^{\circ}/2$) and X_{12} (shifted by $-3.75^{\circ}/2$). (f) Relative position of fundamental component for the inverter switching pattern of (e).

inverter vector switching pattern (1-6) of I_1 of inverter-1 is lagging by 120° from the corresponding switching (same time instants) vector pattern of II_1 of inverter-2. The lagging inverter vector switching pattern (1 to 6) of I_1 of inverter-1 is leading by 120° from the corresponding switching vector pattern of II_1 of inverter-2. Similar phase shift between vector switching pattern I_2 of inverter-1 and II_2 of inverter-2 can be seen from Fig. 5(a). This 120° phase shift between switching patterns of inverter-1 and inverter-2 [Fig. 3(a) and with a dc link voltage ratio 1:0.366] will ensure the cancellation of all the $6n \pm 1$, n = 1,3,5, etc.-order harmonics as explained previously (Section III). For inverter-2 patterns II_1 and II_2 are also 15° separated [Fig. 5(a)], ensuring the suppression of 11thand 13th-order harmonics from the inverter-2 pole voltage. Fig. 5(b) shows the resultant pole voltages for the inverters for suppressing the 11th and 13th harmonics.

The procedure to obtain the switching vector patterns shown in Fig. 5(a) and their harmonic contents are presented using Fig. 6(a)–(f). In Fig. 6(a) the inverter vector switching duration for a six-step mode operation (vector 1–6 of switching vector pattern I of Fig. 3(a) is split into two patterns X_1 and X_2 with a 3.75° duration. The addition of X_1 and X_2 will produce the inverter vector switching pattern I (six-step operation) of Fig. 3(a). The fundamental components of individual vector switching patterns, $(X_1^1$ and X_2^1) are shown in Fig. 6(b) which are also separated by 3.75°. The vector addition of these two components $(X_1^1$ and X_2^1) will give the resultant fundamental

component which is equal to that of a six-step mode operation. Now, to generate the inverter switching pattern I_1 and I_2 of Fig. 5(a), the X_1 or X_2 component of Fig. 6(a) can again be separated into two patterns X_{11} and X_{12} which are 15° separated as shown in Fig. 6(c). Fig. 6(d) shows the phasor diagram for the fundamental components of the inverter switching vector pattern of Fig. 6(c). Fig. 6(e) shows the generation of inverter switching vector pattern of I_1 of Fig. 5(a) by proper phase shifting of X_{11} and X_{12} (X_{11} shifted right by 3.75°/2 and X_{12} shifted left by 3.75°/2) of Fig. 6(c). Fig. 6(f) shows the relative position of fundamental components of the inverter switching pattern of Fig. 6(e). From Fig. 6(a), it can be noted that addition of inverter switching pattern X_1 and X_2 will result in square-wave switching for inverter pole voltage. Hence, the fundamental component E_1^1 (six-step mode) of Fig. 6(b) is

$$E_I^1 = 0.637 \,\mathrm{V_{DC}} \text{ and } X_I^1 = \left(\frac{E_I^1}{2\cos 1.875^\circ}\right).$$
 (11)

With reference to Fig. 6(d),

$$X_{II}^{1} = \left(\frac{X_{I}^{1}}{2\cos 7.5^{\circ}}\right) \frac{E_{I}^{1}}{(2\cos 7.5^{\circ}) \times (2\cos 1.875^{\circ})}.$$
 (12)

Now from Fig. 6(f),

$$I_I^1 = X_{II}^1 \times 2\cos(7.5^\circ - 1.875^\circ)$$

$$= \frac{E_I^1 \times (2\cos(7.5^\circ - 1.875^\circ)}{(2\cos7.5^\circ) \times (2\cos1.875^\circ)}.$$
(13)

Switching vector patterns I_1 and I_2 of Fig. 5(a) for inverter-1 are similar to switching vector pattern I_1 of Fig. 6(e) and are 15° separated. Therefore, the fundamental vectors corresponding to these switching vector patterns are also 15° separated. The resultant fundamental vector V_I^1 of inverter-1 [for the inverter switching sequence Fig. 5(b)] can be obtained from (14)

$$V_I^1 = \frac{E_I^1 \times (2\cos(7.5^\circ - 1.875^\circ) \times (2\cos7.5^\circ)}{(2\cos7.5^\circ) \times (2\cos1.875^\circ)}$$
$$= 0.637 V_{DC} \times 0.9957$$
(14)

where $0.637\,{\rm V_{Dc}}$ is the fundamental component of the vector switching pattern of I of Fig. 3(a) (six-step operation). Similarly, the 11th harmonic vector V_I^{11} of inverter-1 can also be written as (15), shown at the bottom of the page, and the 13th harmonic vector V_I^{13} of inverter-1 is equal to (16), shown at the bottom of the page.

A similar approach can be used for the switching vector patterns II_1 and II_2 of Fig. 5(a) for inverter-2, and the fundamental vector V_{II}^1 of inverter-2 is obtained from the fundamental components of the switching patterns II_1 and II_2 of Fig. 5(a). From Fig. 5(a) it can be noted that the switching patterns for inverter-1 and inverter-2 are similar and also the switching vector sequences follow the pattern of Fig. 3(a). Therefore,

$$V_{II}^{1} = \frac{E_{2}^{1} \times (2\cos(7.5^{\circ} - 1.875^{\circ}) \times (2\cos7.5^{\circ})}{(2\cos7.5^{\circ}) \times (2\cos1.875^{\circ})}$$
$$= E_{2}^{1} \times 0.9957 \tag{17}$$

where E_2^1 is the fundamental component of the vector pattern of II of Fig. 3(a). Referring to (2), (3), and (4),

$$E_2^1 = 2II_1^1 \cos 45^\circ$$

$$= 2 \times \frac{0.366 \times (0.637 \,\mathrm{V_{DC}})}{2 \cos 15^\circ} \times \cos 45^\circ$$

$$= 0.1706 \,\mathrm{V_{DC}}. \tag{18}$$

Similarly, the 11th-order harmonic vector V_{II}^{11} of inverter-2 is obtained from the 11th-order harmonic components of the switching patterns II_1 and II_2 of Fig. 5(a) and is equal to (19), shown at the bottom of the page, where E_2^{11} is the 11th-order harmonic component of the vector pattern of II of Fig. 3(a) and is equal to $0.1706 \, \mathrm{V_{DC}}/11$, and the 13th harmonic vector V_{II}^{13} of inverter-2 is obtained from the 13th-order harmonic components of the switching patterns II_1 and II_2 of Fig. 5(a) and is equal to (20), shown at the bottom of the page, where E_2^{13} is the 13th harmonic component of the vector pattern of II of Fig. 3(a) and is equal to $0.1706 \, \mathrm{V_{DC}}/13$. Therefore, by adding (14) and (17) the total fundamental of the motor phase voltage from inverter-1 and inverter-2 for the inverter switching pattern of Fig. 5(a) is

$$V^{1} = (E_{1}^{1} + E_{2}^{1}) \times 0.9957$$

$$= (0.637 V_{DC} + 0.1706 V_{DC}) \times 0.9957$$

$$= 0.807 V_{DC} \times 0.9957.$$
(21)

Similarly, the 11th-order harmonic of the motor phase voltage is

$$V^{11} = (E_1^{11} + E_2^{11}) \times 0.50$$

$$= \left(\frac{0.1706 \,\mathrm{V_{DC}}}{11} + \frac{0.637 \,\mathrm{V_{DC}}}{11}\right) \times 0.56$$

$$= 0.073 \,\mathrm{V_{DC}} \times 0.50. \tag{22}$$

The total 13th-order harmonic of the motor phase voltage is

$$\begin{split} V^{13} &= (E_1^{13} + E_2^{13}) \times 0.3186 \\ &= \left\{ \frac{0.1706 \,\mathrm{V_{DC}}}{13} + \frac{0.637 \,\mathrm{V_{DC}}}{13} \right\} \times 0.3186 \\ &= 0.062 \,\mathrm{V_{DC}} \times 0.3186. \end{split} \tag{23}$$

Equations (21)–(23) show that the resultant motor phase voltage fundamental is reduced to 99.57%, 11th harmonic is reduced to 50%, and 13th harmonic is reduced to 31.86% for the new

$$V_I^{11} = \frac{E_I^{11} \times \{2\cos(11 \times 7.5^\circ - 11 \times 1.875^\circ)\} \times \{2\cos(11 \times 7.5^\circ)\}}{\{2\cos(11 \times 7.5^\circ)\} \times \{2\cos(11 \times 1.875^\circ)\}} = E_I^{11} \times 0.50$$
(15)

$$V_I^{13} = \frac{E_I^{13} \times \{2\cos(13 \times 7.5^\circ - 13 \times 1.875^\circ)\} \times \{2\cos(13 \times 7.5^\circ)\}}{\{2\cos(13 \times 7.5^\circ)\} \times \{2\cos(13 \times 1.875^\circ)\}} = \frac{0.637V_{DC}}{13} \times 0.3186$$
(16)

$$V_{II}^{11} = \frac{E_2^{11} \times \{2\cos(11 \times 7.5^{\circ} - 11 \times 1.875^{\circ})\} \times \{2\cos(11 \times 7.5^{\circ})\}}{\{2\cos(11 \times 7.5^{\circ})\} \times \{2\cos(11 \times 1.875^{\circ})\}} = E_2^{11} \times 0.50$$
(19)

$$V_{II}^{13} = \frac{E_2^{13} \times \{2\cos(13 \times 7.5^{\circ} - 13 \times 1.875^{\circ})\} \times \{2\cos(13 \times 7.5^{\circ})\}}{\{2\cos(13 \times 7.5^{\circ})\} \times \{2\cos(13 \times 1.875^{\circ})\}} = E_2^{13} \times 0.3186.$$
 (20)

switching pattern of Fig. 5(a), when compared to the respective harmonics in the previous switching pattern of Fig. 3(a).

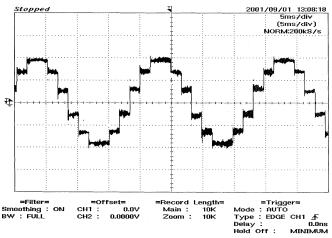
In Fig. 5(a) it is shown that the switching vector pattern I_1 consists of two vector patterns separated by 30°. The corresponding switching vector pattern of inverter-2, shown in II_1 of Fig. 5(a) consists of two vector patterns: one pattern lagging by 120° from the lagging switching vector pattern of inverter-1 and the other pattern leading by 120° from the leading switching vector pattern of inverter-1. Hence, the different harmonic contents (1, 5, 7, 11, 13, 17, 19, 23, 25, etc.) of the pole voltage of inverter-1 and inverter-2 for the new switching scheme (11th and 13th suppression) will have the same phase relationship as presented in Fig. 4 and Table I (inverter switching scheme of Fig. 3(a) with the magnitude of different components scaled down proportionally.

Hence, all $6n \pm 1$, n=1,3,5, etc.-order harmonics are cancelled for the present scheme, with 11th- and 13th-order harmonic suppressed (11th is reduced by 50% and 13th by 31.86%) with the switching waveforms of Fig. 5(b). The combined effect of all the patterns with the phase voltage [i.e., I_1 , II_1 , I_2 , II_2 of Fig. 5(a)] will give the fundamental, 11th harmonic, and 13th harmonic amplitudes equal to the value shown in (21)–(23), respectively. The resultant pole voltages for the two inverters are presented in Fig. 5(b).

The two inverters are operated with different dc-link voltages with the ratio 1:0.366. The fundamental component contribution from both the inverters toward the resultant machine phase voltage can be derived from Fig. 4(a). The fundamental voltage component magnitude contributed by inverter-1 and inverter-2 are in the ratio $(\cos 45^{\circ}/\cos 15^{\circ}) 0.366$ [Fig. 4(a), (2), and (3)]. This will produce a resultant voltage space phasor magnitude of $1.223\,V_{\mathrm{Dc}}$ (Fig. 2), when compared to a conventional two-level inverter with a voltage space phasor amplitude of V_{Dc} . The inverter voltages for the two inverters (to produce a resultant space vector amplitude of V_{Dc}) of the present scheme are in the ratio (inverter-1 to inverter-2) 0.79: 0.21. Current being the same, the voltage ratio of 0.79: 0.21 also indicates the resultant power sharing between the inverters. Therefore, the additional low power inverter (inverter-2) of the present scheme can be realized with low-voltage devices such as MOSFETs. For the experimental verifications, a dc-link voltage of 200 V is used for the inverter-1 and for the low-voltage inverter $(0.366 \times 200 \text{ V})$ the appropriate ratio is achieved using a step-down transformer and then rectifying the mains for the dc link.

V. EXPERIMENTAL RESULTS

The above-described method is simulated and experimentally verified for a 1-hp induction motor with open-end winding. For the PWM operation, triangular wave is compared with appropriate modulating waves, which are generated from the corresponding pole voltages for the inverters. First, the $6n \pm 1$, n = 1,3,5, etc.-order harmonics elimination method with overmodulation. Switching vector patterns of Fig. 3(a) are experimentally verified [modulating waves derived from pole voltages of Fig. 3(b)] and the results are shown in Fig. 7. Fig. 7(a) shows the phase voltage of the motor. The phase voltage is analyzed for harmonic contents and its Fourier spectrum is given in Fig. 7(b). It can be noted that all the



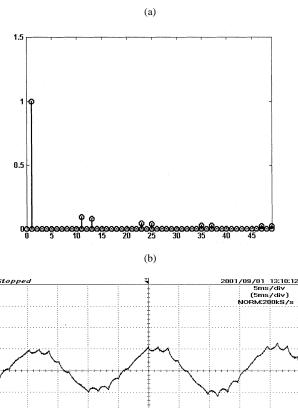


Fig. 7. Phase voltage—modulation index = 1.0 (overmodulation). Without 11th and 13th suppression. Y axis: 75 v/div; X axis: 5 ms/div. (b) Fourier spectrum of phase voltage—modulation index = 1.0 (overmodulation). Without 11th and 13th suppression. (c) Phase current (no-load operation)—modulation index = 1. Without 11th and 13th suppression. Y axis: 1 A/div; X axis: 5 ms/div.

(c)

=Filter= Smoothing : BW : FULL

 $6n \pm 1$, n = 1,3,5, etc.-order harmonics are absent in the Fourier spectrum, with 11th and 13th as the next higher order harmonics in the motor phase voltage. Fig. 7(c) shows the motor phase current waveform under no-load operation.

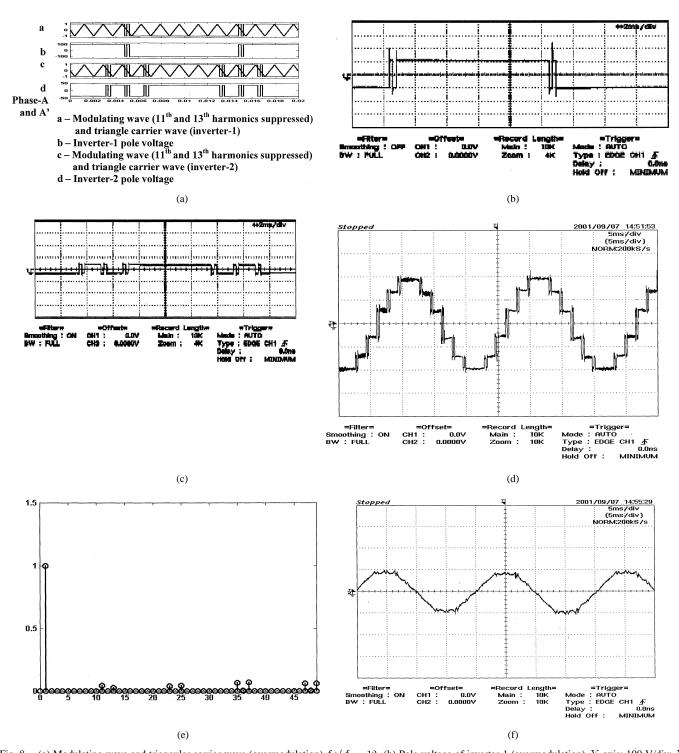


Fig. 8. (a) Modulating wave and triangular carrier wave (overmodulation) fc/f=12. (b) Pole voltage of inverter-1 (overmodulation). Y axis: 100 V/div; X axis: 5 ms/div (experimental results). (c) Pole voltage of inverter-2 (overmodulation). Y axis: 100 V/div; X axis: 5 ms/div (experimental results). (d) Modulation index = 1.0 Overmodulation. Phase voltage. With 11th and 13th suppressed. Y axis: 75 V/div; X axis: 5 ms/div. (e) Modulation index = 1.0. Overmodulation. Fourier spectrum. With 11th and 13th suppressed. Y axis: 1 A/div; Y axis: 5 ms/div.

Then, the 11th- and 13th-order harmonic suppression with $6n \pm 1$, n = 1,3,5, etc.-order harmonics elimination method (modulating waves derived from pole voltages of Fig. 5(b) is experimentally verified. Fig. 8(a) shows the simulated pole voltage waveforms and triangle waveforms used for PWM operation of both the inverters (inverter-1 and inverter-2) in the case of overmodulation (amplitude of the modulating wave is

greater than the triangular carrier wave amplitude) for phase A phase-A'. The corresponding experimental waveforms of pole voltage of both inverters and the motor phase voltage are shown in Fig. 8(b)–(d), respectively. The Fourier spectrum [Fig. 8(e)] shows the absence of $6n \pm 1$, n = 1, 3, 5, etc.-order harmonics and the 11th- and 13th-order harmonics are suppressed compared to the same given in Fig. 7(b). The phase

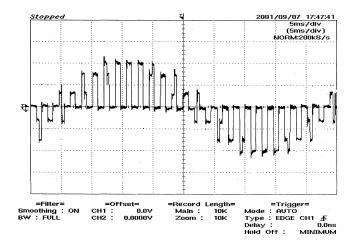
current waveform (under no-load operation) obtained from experiment is shown in Fig. 8(f).

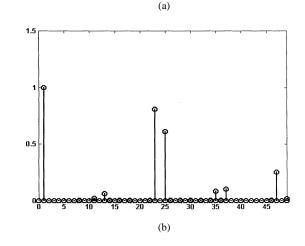
To operate the IM in the entire speed range (from zero to rated speed), it is required to vary the input voltage with frequency. Therefore, a PWM scheme in which a triangular wave is compared with the pole voltages of Fig. 5(b) as the modulating wave is used for the operation of the inverters under variable modulation indexes.

In order to retain the elimination of all the $6n\pm 1$, n=1,3,5, etc.-order harmonics in the harmonic spectrum a triangle carrier frequency of 6n, n = 1, 2, 3, etc., is required [11]. In the case of a modulation index less than one, if we compare the modulating wave with a triangle carrier with six times the frequency (fc = 6f), then the effect of 11th- and 13th-order harmonic suppression is nullified because of the additional 11thand 13th-order harmonic generation $(2fc \pm f)$ due to the triangle carrier wave [11]. Hence, a triangle frequency of 12 times the fundamental is used so that the next higher order harmonic contributed by the triangular carrier are 23rd and 25th [11]. Fig. 9(a) shows the phase voltage waveform obtained from experiment for the modulation index 0.45. Its harmonic content is shown in Fig. 9(b). It can be noted that the 11th and 13th harmonics are suppressed and the next higher harmonic due to triangle carrier are at 23rd and 25th order. There is also no presence of any $6n \pm 1$, n = 1, 3, 5, etc.-order harmonic in the harmonic spectrum [Fig. 9(b)]. Fig. 9(b) also shows that a slight increase in 23rd- and 25th-order harmonics compared to that of square-wave operation of Fig. 8(c). This is because of the PWM action where additional harmonics of $(2fc \pm f)$ are generated due to the PWM action [11]. The phase current waveform of the experiment is shown in Fig. 9(c). Another set of experimental results is given in Fig. 10 for a modulation index of 0.9 $(6n \pm 1, n = 1, 3, 5, \text{ etc.})$, are completely eliminated with 11thand 13th-order suppression).

Therefore, with the proposed PWM scheme all the $6n\pm 1$, n=1,3,5, etc.-order harmonics can be eliminated along with suppression of 11th- and 13th-order harmonics for the entire modulation range. With the square wave with symmetric notches type modulating waves for both inverters a smooth transition from PWM mode to overmodulation mode is also possible for the present scheme.

In the conventional sine-triangle PWM technique, a very high-frequency carrier wave is needed to suppress the low-frequency harmonic amplitudes. This can also increase the inverter switching losess. Moreover, to fully utilize the inverter dc-link capability, the PWM scheme has to go through the nonlinear overmodulation regions. Therefore, extra complicated computations and lookup tables are needed for linearizing the overmodulation regions. In the present scheme, the triangular carrier wave is compared with dc levels of the square waves (with additional notches to suppress the 11th and 13th harmonics), and the height of this dc level can be adjusted (compared to the fixed triangular amplitude) in proportion to the modulation indexes. At higher speed range (higher modulation index) by increasing the square-wave amplitude the output voltage level can be increased proportionally, with smooth transition to the six-step mode in which the dc levels are just above the triangular amplitude. At the same time





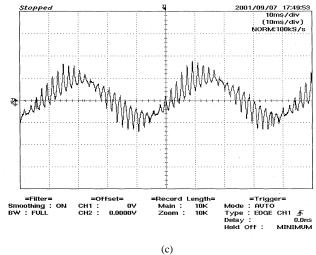


Fig. 9. (a) Modulation index = 0.45. Phase voltage. fc=12f. With 11th and 13th suppressed. Y axis: 75 V/div; X axis: 5 ms/div. (b) Modulation index = 0.45. Fourier spectrum. fc=12f. With 11th and 13th suppressed. (c) Modulation index = 0.45. Phase current. fc=12f. With 11th and 13th suppressed. Y axis: 1 A/div; X axis: 10 ms/div.

high-frequency carrier waves are not needed, in the proposed scheme, for the low-frequency harmonic amplitudes reduction, when compared to a sine-triangle comparison technique. The present PWM scheme with open-end winding drive is analyzed for its harmonic contents in the entire speed range for different modulation indices. (fc/f=12,24,48). The amplitude of

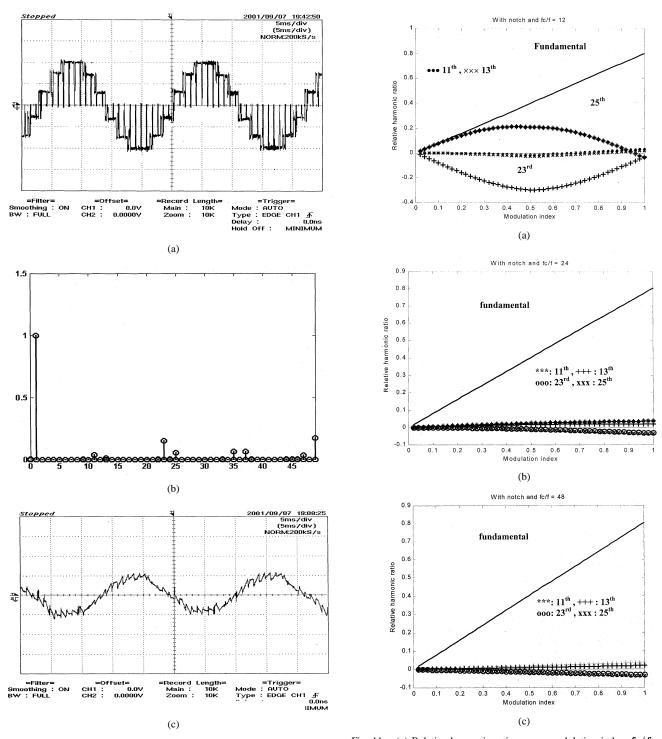


Fig. 10. (a) Modulation index = 0.9. Phase voltage. fc = 12f. With 11th and 13th suppressed. Y axis: 75 V/div; X axis: 5 ms/div. (b) Modulation index = 0.9. Fourier spectrum. fc = 12f. With 11th and 13th suppressed. (c) Modulation index = 0.9. Phase current. fc = 12f. With 11th and 13th suppressed. Y axis: 1 A/div; X axis: 5 ms/div.

low-order harmonics and fundamental for the entire modulation range (fc/f=12) is computed and the relative harmonic amplitudes with respect to fundamental are shown in Fig. 11(a). It can be noted that all the $6n\pm1,\ n=1,3,5,$ etc.-order harmonics are absent in the entire speed range, with fc/f equal to 12 the next high-order harmonics are at 23rd and 25th times the frequency of the fundamental in Fig. 11(b). With fc/f equal

Fig. 11. (a) Relative harmonic ratio versus modulation index. $\mathbf{fc/f}=12$. (b) Relative harmonic ratio versus modulation index. $\mathbf{fc/f}=24$. (c) Relative harmonic ratio versus modulation index. $\mathbf{fc/f}=48$.

to 24 the high-amplitude harmonics will be shifted to 47th and 49th times the frequency of the fundamental and at the same time eliminate all the $6n\pm 1, n=1,3,5$, etc.-order harmonics. In case of fc/f equal to 48, the amplitude of fundamental and harmonics for the entire speed range for the high-amplitude low-order harmonics are also computed and are shown in Fig. 11(c). Here, the high amplitude will be shifted to 95th and 97th times the frequency of the fundamental $(2fc\pm f)$ [11]. Therefore, from Fig. 11 it can be noted that the fundamental varies linearly

for the entire modulation range. Also, by properly choosing fc/f (12, 24, 48) at different speed ranges (0–50 Hz) the inverter switching frequency can be kept within 600 Hz and as well the harmonic current amplitude can be controlled within an acceptable limit for variable-speed applications.

VI. CONCLUSION

A harmonic elimination $(6n\pm 1,\ n=1,3,5\ldots)$ and suppression scheme (11th and 13th) with v/f control was proposed for an open-end winding IM drive. The scheme is experimentally verified for a 1-hp IM drive. For the present scheme the neutral of a normal induction motor is opened (open-end winding IM) and is fed from both sides using two two-level inverters. The salient features of the proposed scheme are as follows.

- Two inverters with asymmetrical dc-link voltage of ratio 1:0.366 are needed.
- For the high-voltage inverter a square-wave modulating wave with two symmetric notches per half cycle is used for PWM control and for the other low dc-link voltage inverter a square wave with four symmetric notches per half cycle is used as a modulating wave for PWM control.
- A carrier wave of frequency 12 times the frequency of the modulating wave is used experimentally for v/f control for the entire speed range.
- All the $6n \pm 1$, n = 1, 3, 5, etc.-order harmonics are eliminated from the motor phase voltage in the entire speed range and 11th- and 13t-order harmonics are suppressed.
- A smooth and linear transition to the overmodulation region is possible for the present drive.
- The relative harmonic amplitudes with respect to fundamental are computed for the entire speed range for frequency-modulation ratios of (fc/f) 12, 24, and 48 and the results are presented.
- By properly choosing the frequency modulation ratio (12, 24, 48) at different speed ranges, theswitching frequency of both inverters can be controlled within 600 Hz.
- Since two inverters with asymmetric dc-link voltage are needed, transformer-isolated dc-link power supplies are needed for the scheme, which will also ensure the isolation of triplen harmonic currents from the motor phase.

APPENDIX

MOTOR PARAMETERS: 1-hp Three-Phase IM—Four Poles

 $R_s = 2.08 \Omega$

 $R_r = 4.19 \Omega$

 $L_s = 0.28 \text{ H}$

 $L_r = 0.28 \text{ H}$

M = 0.272 H.

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