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Supervisory Control Implementation on Diesel-Driven Generator Sets

Jesper Knudsen, Jan D. Bendtsen, *Member, IEEE*, Palle Andersen, *Member, IEEE*, Kjeld K. Madsen, and Claes H. Sterregaard

Abstract—Diesel-driven generator sets (DGs) are widely utilized in distributed electrical power generation, due to their high reliability. This paper presents a tenth-order nonlinear state-space DG model, for which a supervisory Linear Quadratic Regulator is designed. The proposed model-based design reduces the time-consuming task of regulator tuning in comparison with current industry-standard solutions while demonstrating improved transient frequency and voltage performance, when subject to electrical load steps. These improvements are shown experimentally on two differently rated DGs.

Index Terms—Diesel engines, Generators, Linear feedback control systems, Power generation control, Rapid prototyping

I. INTRODUCTION

IN distributed electrical power generation, diesel-driven generator sets (DGs) are important components in a large range of applications, an importance only expected to increase in the coming years [1]–[3]. One vital quality of DGs is their high reliability. Typical DG applications vary from single DG solutions up to hundred-plus DG plants. Single DGs often provide, e.g., backup power at hospitals, television and radio broadcast stations, data centers, and process control facilities, whereas DG plants provide, e.g., temporary power at sporting events, musical festivals, or in remote areas [4], [5].

DG manufacturers continuously work to improve operating efficiency, including maintenance costs; however, during commissioning, that responsibility lies with the commissioning engineers and the control units they are to make use of. Unfortunately, human involvement may often lead to suboptimal and/or inconsistent tuning and performance.

In many applications, DGs are equipped with a supervisory control unit, denoted the Automatic Genset Controller (AGC), adding capabilities such as synchronization, active and reactive power control, and automatic mains failure response. As shown in Fig. 1, two primary controllers are always present; the governor for engine control and the Automatic Voltage

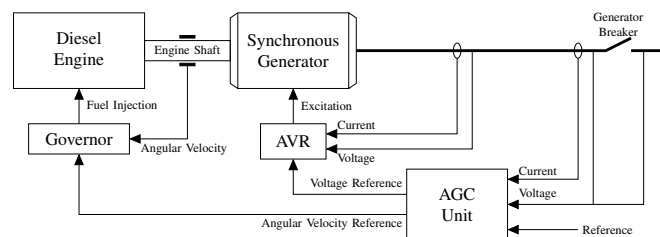


Fig. 1. DG control system with the fuel injection regulating governor, the excitation regulating AVR, and the supervisory AGC unit.

Regulator (AVR) for generator control [6]. The global market-leading manufacturers of AGC units all implement fundamentally equivalent regulation algorithms, based on classical proportional-integral-derivative (PID) regulators. Through many years of industrial use the PID regulator has proven its worth in terms of simplicity and reliability. A PID regulator is simple to implement, as it requires limited system information, and simple to adjust due to the straightforward interpretation of the regulation parameters. However simple, regulation parameter adjustments must be performed for each DG; a time-consuming task, which is critical to the performance.

Implementing a regulator that can reduce, or possibly remove, the need for time-consuming manual adjustments while keeping the commissioning engineering interface simple, could aid in improving DG efficiency in terms of commissioning costs and possibly operational efficiency through automated adjustment procedures.

Control of diesel-driven generator sets, in various applications, has been presented in works such as [7]–[21]. However, the vast majority concerns control design for governor and/or AVR, individually or in combination. To the best of the authors' knowledge, only [20] presents work that relates directly to AGC design. However, applying constant-gain PI regulation as [20] on complex and highly nonlinear systems, such as DGs, will in many cases yield suboptimal performance.

In the present work, AGC regulator design for frequency and voltage stabilization of an islanded DG exposed to load changes is considered. Demonstrating implementation results of an AGC design on two differently rated DGs, this paper extends and enhances the work in [22], [23]. The implemented AGC design utilizes Linear Quadratic Regulator (LQR) feedback of estimated system states for a tenth-order nonlinear control-oriented first principles-based state-space DG model. Certain model parameter values can be derived directly from

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engine and generator datasheets, whereas others must be identified from measurement data. The model complexity is sufficient to describe the dynamical behavior of actual DGs, while remaining suitable for control design; as demonstrated by experimental results. The structure of LQRs enables incorporation of well-known cross dependencies between frequency and voltage, and generally exploiting system knowledge, in the control design, which is not common practice in PID implementations. Additionally, designing the control system for a set of operating points improves the ability to handle varying system dynamics throughout the operating range.

The remainder of the paper is organized as follows. Section II presents the DG model while Section III provides the AGC regulator design, which builds on the design presented in [23]. Section IV introduces the utilized experimental setup while Section V presents the experimental results. Finally, Section VI provides concluding remarks.

II. DIESEL-DRIVEN GENERATOR SET MODEL

The explicit time dependency of variables is suppressed throughout the paper. Note that all variables, constants, etc., are assumed scalar and real unless stated otherwise.

A. Diesel Engine and Governor

In preparation for a generic LQR control design, the diesel engine model utilizes per unit values, in agreement with the synchronous generator model, such that all states of the model evolve within the same range. This entails utilizing a per unit swing equation to describe the rotary behavior of the synchronous machine given by

$$J\dot{\omega}_m\omega_m = \underline{T}_m T_m - \underline{T}_e T_e - D\omega_m\omega_m \quad (1)$$

where ω_m is the per unit angular velocity of the shaft, T_m and T_e are the per unit mechanical and electrical torques applied to the shaft, J and D are the total system inertia and damping, and $\underline{\omega}_m$, \underline{T}_m and \underline{T}_e are the mechanical angular velocity base, mechanical torque base, and electrical torque base, respectively. For clarity, these base values denote the nominal angular velocity of the crankshaft, the rated engine torque at rated angular velocity, and the rated electrical torque at rated voltage and frequency, respectively. Additionally, the dynamics of the per unit mechanical torque T_m delivered to the shaft by the diesel engine are given by

$$\dot{T}_m = \frac{1}{\tau_m(1 + T_m)}(\mu - T_m) \quad (2)$$

where τ_m is the constant term of the engine time constant and μ is the per unit fuel injection requested by the governor. This first-order differential equation with a varying time constant is introduced to accommodate observations of increased retarding from the time between load impact to mechanical torque changes at increased torque levels.

A governor is included in the DG model as a PI regulator attempting to maintain nominal per unit angular velocity of the shaft ω_m ; a control scheme referred to as isochronous mode. The governor control law is given by

$$\dot{e}_{i\omega} = r_\omega + u_\omega - \omega_m \quad (3a)$$

$$\mu = k_{p\omega}(r_\omega + u_\omega - \omega_m) + k_{i\omega}e_{i\omega} \quad (3b)$$

where $e_{i\omega}$ is the governor integral error state, r_ω is the per unit angular velocity internal governor reference, which in isochronous mode remains at nominal value, u_ω is the per unit angular velocity reference offset, which is one control variable of the supervisory AGC, and $k_{p\omega}$ and $k_{i\omega}$ are the proportional and integral governor regulator gains.

B. Synchronous Generator and AVR

The synchronous generator model is expressed in per unit dq -components [24], with subscripts indicating the specific dq -component. The per unit flux linkages ψ and their associated per unit time derivatives $\dot{\psi}$ are given by [6]

$$\psi_d = -L_d i_d + L_{ad} i_f + L_{ad} i_{1d}, \quad \dot{\psi}_d = v_d + \psi_q \omega_e + R_a i_d \quad (4a)$$

$$\psi_q = -L_q i_q + L_{aq} i_{1q}, \quad \dot{\psi}_q = v_q - \psi_d \omega_e + R_a i_q \quad (4b)$$

$$\psi_f = L_{ff} i_f + L_{f1d} i_{1d} - L_{ad} i_d, \quad \dot{\psi}_f = v_f - R_f i_f \quad (4c)$$

$$\psi_{1d} = L_{11d} i_{1d} + L_{f1d} i_f - L_{ad} i_d, \quad \dot{\psi}_{1d} = -R_{1d} i_{1d} \quad (4d)$$

$$\psi_{1q} = L_{11q} i_{1q} - L_{aq} i_q, \quad \dot{\psi}_{1q} = -R_{1q} i_{1q} \quad (4e)$$

where i 's are per unit generator currents, L 's are per unit self and mutual inductances, R 's are per unit resistances, ω_e is the per unit electrical angular velocity, which is assumed equal to ω_m , v_d and v_q are per unit terminal voltages, and v_f is the per unit field excitation voltage set by the AVR. The control law of the included AVR, modeled as a PI regulator, attempting to maintain nominal per unit three-phase phase-to-neutral RMS voltage v_{rms} is given by

$$\dot{e}_{iv} = r_v + u_v - v_{rms} \quad (5a)$$

$$v_f = k_{pv}(r_v + u_v - v_{rms}) + k_{iv}e_{iv} \quad (5b)$$

where e_{iv} is the AVR integral error state, r_v is the per unit three-phase phase-to-neutral RMS internal AVR voltage reference, which in isochronous mode remains at nominal value, u_v is the per unit three-phase phase-to-neutral RMS voltage offset, which is the second control variable of the supervisory AGC, and k_{pv} and k_{iv} are the proportional and integral AVR regulator gains. Calculating RMS values are in practice based on measurements of the latest full period of the alternating signal. For balanced systems, this effectively amounts to a filtering of an instantaneous RMS value. This filtering is approximated as a first-order low-pass filter, providing

$$\dot{v}_{rms} = \frac{1}{\tau_v} \sqrt{\frac{1}{2}(v_d^2 + v_q^2)} - \frac{1}{\tau_v} v_{rms} \quad (6)$$

where τ_v is the filter time constant of twice the per unit time period of the nominal frequency.

C. Electrical Load

The dynamics of an islanded DG are significantly impacted by the connected electrical load. In preparation for applying LQR, the electrical load is modeled as a pure resistance. This simplifying choice aligns with the possibilities in the available

experimental setup, which is described in Section IV, and is challenged to the extent possible in Section V. The terminal voltages are then given by

$$\begin{bmatrix} v_d \\ v_q \end{bmatrix} = R_L \Lambda [\psi_d \ \psi_q \ \psi_f \ \psi_{1d} \ \psi_{1q}]^T \quad (7)$$

where R_L is the per unit per phase load resistance and the non-zero elements of $\Lambda \in \mathbb{R}^{2 \times 5}$ contain inductances [6].

D. Complete State-Space Model

Putting the above together, a tenth-order nonlinear state-space model on the form

$$\dot{x} = A(x_2)x + B_1w + B_2\sqrt{\frac{1}{2}(w_1^2 + w_2^2)} + B_3(x_2)(r + u) + x_1F_1x + x_3F_3x + x_4F_4x \quad (8a)$$

$$y = Cx \quad (8b)$$

is obtained, where x , w , r , u , and y are the state, terminal voltage, internal reference, supervisory control variable, and output vectors, respectively, given by

$$x = [\omega_m \ T_m \ \psi_d \ \psi_q \ \psi_f \ \psi_{1d} \ \psi_{1q} \ e_{i\omega} \ e_{iv} \ v_{rms}]^T \quad (9a)$$

$$w = [v_d \ v_q]^T, \quad r = [r_\omega \ r_v]^T \quad (9b)$$

$$u = [u_\omega \ u_v]^T, \quad y = [\omega_m \ v_{rms}]^T \quad (9c)$$

and the matrices $A(x_2) \in \mathbb{R}^{10 \times 10}$, $B_1 \in \mathbb{R}^{10 \times 2}$, $B_2 \in \mathbb{R}^{10 \times 1}$, $B_3(x_2) \in \mathbb{R}^{10 \times 2}$, $C \in \mathbb{R}^{2 \times 10}$, $F_1 \in \mathbb{R}^{10 \times 10}$, $F_3 \in \mathbb{R}^{10 \times 10}$, and $F_4 \in \mathbb{R}^{10 \times 10}$ follow from the introduced relations.

Datasheet values are utilized for parameters concerning the system inertia, the torque bases, the armature resistance of the generator stator, and the self and mutual stator inductances. Alternatively, the system damping is determined in accordance with [22], while the generator rotor parameters are chosen to match the per unit values of the ‘Synchronous Machine Salient Pole (fundamental)’ block [25] from the Simscape Power Systems toolbox of MATLAB Simulink[®], which are based on models described in [6], [26]. In general, parameters related to the rotor cannot be assumed available, since the standards applicable to the test procedures relevant for determining datasheet content do not include methods for determining all those parameters [27], [28]. Datasheets [29], [30] represent typical datasheets with the amount of content that can be assumed available. Finally, τ_m , $k_{p\omega}$, $k_{i\omega}$, k_{pv} , and k_{iv} must be found based on system measurements using a parameter identification method. In the present work, the parameters were determined without much effort through trial and error; however, a more sophisticated approach would be beneficial for future implementations. All model parameters utilized to obtain the experimental results are provided in Table I.

III. AUTOMATIC GENSET CONTROLLER DESIGN

The LQR method is chosen due to its generic design nature, which can provide a simple regulator tuning interface. Applying the traditional LQR method requires a linear model of the system and internal state information, which is not immediately measurable in typical DG systems. Thus, model linearization and state estimation is required before such a regulation scheme can be employed.

TABLE I
MODEL PARAMETER SETS FOR BOTH DGs UTILIZED.

Parameter	Unit	Diesel Generator 1	Diesel Generator 2
J	kg·m ²	1.8015	2.1654
D	N·m·s/rad	0.1	0.1
ω_m	rad/s	50 π	50 π
T_m	N·m	390	265
T_e	N·m	381.97	254.65
τ_m	s	0.1	0.075
$k_{p\omega}$	-	8	13
$k_{i\omega}$	-	15	31
L_d	p.u.	2.83	1.9
L_q	p.u.	1.69	0.98
L_{ad}	p.u.	2.38	1.6
L_{aq}	p.u.	1.24	0.68
L_l	p.u.	0.45	0.3
R_a	p.u.	0.0354	0.0208
L_{ffd}	p.u.	2.6371	1.8571
L_{11d}	p.u.	2.58	1.8
L_{11q}	p.u.	1.4967	0.9367
L_{f1d}	p.u.	2.38	1.6
R_f	p.u.	0.0006	0.0006
R_{1d}	p.u.	0.0354	0.0354
R_{1q}	p.u.	0.0428	0.0428
k_{pv}	-	0.03	0.011
k_{iv}	-	0.06	0.009
τ_v	p.u.	0.0064	0.0064

A. Model Linearization

The nonlinear DG model is linearized using first-order Taylor series expansion, treating R_L as a disturbance input rather than v_d and v_q , following the relation given by (7). Additionally, R_L is chosen as the variable that determines the active operating point; its value is found utilizing voltage and current measurements and will therefore at all times equal the true load resistance (within measurement accuracies) assuming a balanced three-phase load. The set of operating points is selected for a specific DG as detailed in Section V. Derivations of the linear models are presented in [23].

B. Large-Signal State Estimation

In an effort to circumvent potential issues related to state discontinuities of classical Luenberger small-signal state estimators [31], a large-signal state estimator, as formulated in [23], is utilized. In the present work, the operating point values of the control variables u are at all times zero, because they represent offsets. The large-signal state estimator is given by

$$\dot{\hat{x}} = \bar{A}_i \hat{x} + \bar{B}_i u + \bar{B}_{di} \hat{d} + L_i (y - \bar{C}_i \hat{x}) - \bar{A}_i \bar{x}_i - \bar{B}_{di} \bar{d}_i \quad (10)$$

where \hat{x} is the estimated large-signal per unit states, \hat{d} is the estimated electrical load given by the calculated R_L , \hat{y} is the estimated large-signal per unit output, L_i is the estimator gain matrix of the i -th operating point, \bar{x}_i and \bar{d}_i are the operating point values of the estimated states and electrical load for the i -th operating point, matrices $\bar{A}_i \in \mathbb{R}^{10 \times 10}$, $\bar{B}_i \in \mathbb{R}^{10 \times 2}$, $\bar{B}_{di} \in \mathbb{R}^{10 \times 1}$, and $\bar{C}_i \in \mathbb{R}^{2 \times 10}$ are the linearized system matrices of the i -th operating point, found according to the procedure shown in [23], and $i \in \{1, \dots, n_{op}\}$ where n_{op} is the number of operating points for the specific DG.

V. EXPERIMENTAL RESULTS

This section presents experimental results obtained implementing the proposed AGC LQR design by use of the RCP system; both on DG 1 and DG 2, in separate experiments, to demonstrate the applicability of the design.

As DG 1 and DG 2 are given by different sets of model parameters, unique regulator and estimator gains have been calculated for every operating point of each DG. However, all those gains have been calculated using similar tuning parameter values. That is, the LQR feedback gain matrices K_i for all operating points i have been found by applying the diagonal weighting matrices

$$Q = \text{diag}([25 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1]) \quad (12a)$$

$$R = \rho I_{2 \times 2} \quad (12b)$$

where ρ is a scalar regulator tuning knob, analyzed in the following. Equations (12) penalize deviations in the per unit mechanical angular velocity ω_m and, for $\rho > 1$, the two inputs higher than deviations in the remaining states. Further, calculation of the large-signal state estimator gain matrices L_i for all operating points i for both DGs has been done identically. That is, the poles of each $\bar{A}_i - L_i \bar{C}_i$ are placed at the values given by the multiplication of the pole values of the corresponding $\bar{A}_i - \bar{B}_i K_i$ by three. This is done using MATLAB's implementation of the robust pole assignment algorithm presented in [36], i.e., the function `place()`.

The operating point sets for DG 1 and DG 2 are chosen to coincide with the available resistive load elements, accommodating the rating of each DG. That is, the operating points for DG 1 are 10, 20, 30, 40, and 50 kW, while for DG 2 the operating points are 10, 20, and 30 kW.

The frequency and voltage transients in response to steps in active load are shown in Figs. 5 and 6 for DG 1 with $\rho = 200$. In these figures, measurements of an open-loop implementation, i.e., constant nominal references with no offset for the governor and AVR, and of an industry-standard PID regulator AGC implementation is provided for comparison. The PID regulator has been tuned by an independent commissioning engineer, in order to achieve realistic industry performance. The transient dynamics are inherently restricted by the engine in particular, but also the generator. Hence, striking transient improvements should not be expected since the governor and AVR of the open-loop implementation are already optimized by the manufacturers to deal with this scenario.

In general, an improvement in transient response on the open-loop implementation is observed for the LQR implementation in both frequency and voltage on DG 1 with less overshoot and shorter settling times. Note that the PID implementation, according to most performance criteria, delivers worse transient responses than both the LQR and the open-loop implementations. However, the integral action of the PID regulator means the PID implementation is the only implementation able to sustain nominal frequency and voltage in any feasible steady-state condition; implying that the integral action of the governor and AVR is, in practice, insufficient.

The main objective of the proposed supervisory controller is to reduce tuning complexity. As demonstrated in Figs. 7 and 8,

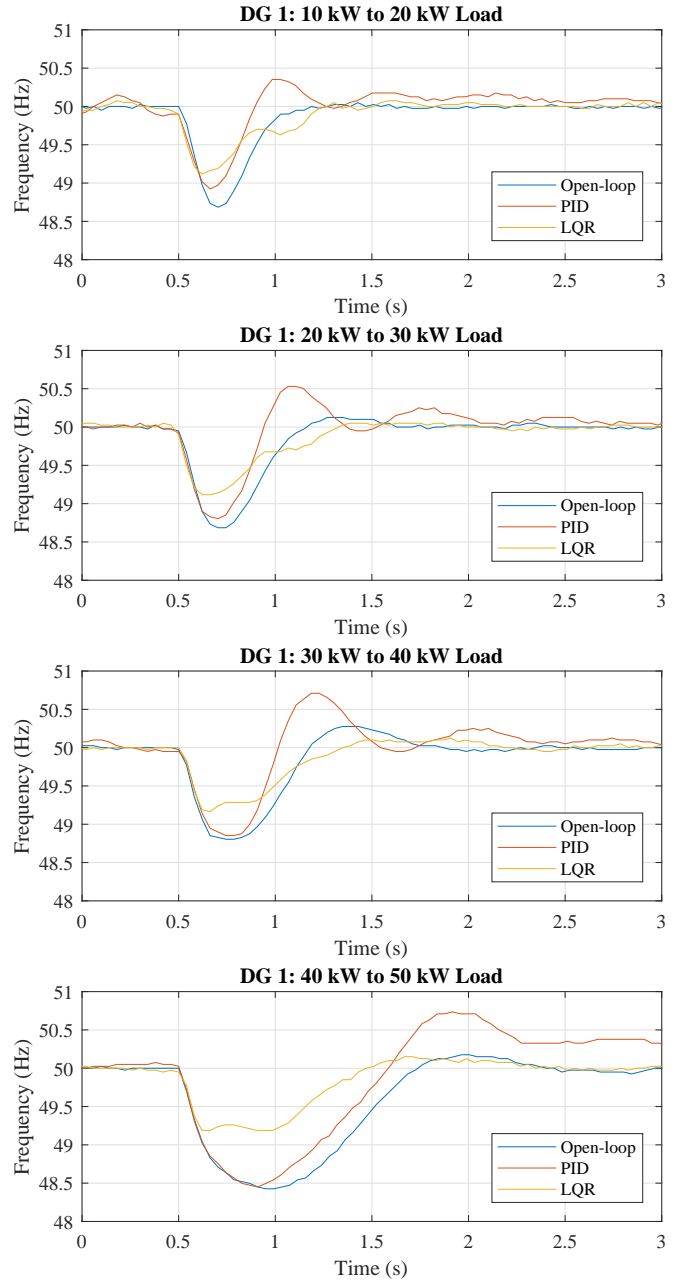


Fig. 5. Frequency transients following steps in active load with industry-standard PID, the proposed LQR, and no supervisory controller on the 60 kVA DG 1 with isochronous governor and AVR.

this is accomplished through one intuitive tuning handle; the parameter ρ in (12b). Alterations of R entail different deviation penalties on the inputs, which lead to increased or reduced regulator activity. Utilizing $\rho = 100$ yields a more aggressive regulation, referred to as Fast LQR in Figs. 7 and 8. Using $\rho = 200$ obtains the regulation performance presented previously in Figs. 5 and 6, now referred to as Mild LQR. Finally, $\rho = 300$ yields the regulation referred to as Slow LQR in Figs. 7 and 8, which in general approaches the regulation performance of the open-loop implementation. Although demonstrated here only on DG 1, due to space considerations, the procedure has been applied equally successfully on DG 2 using a similar range of

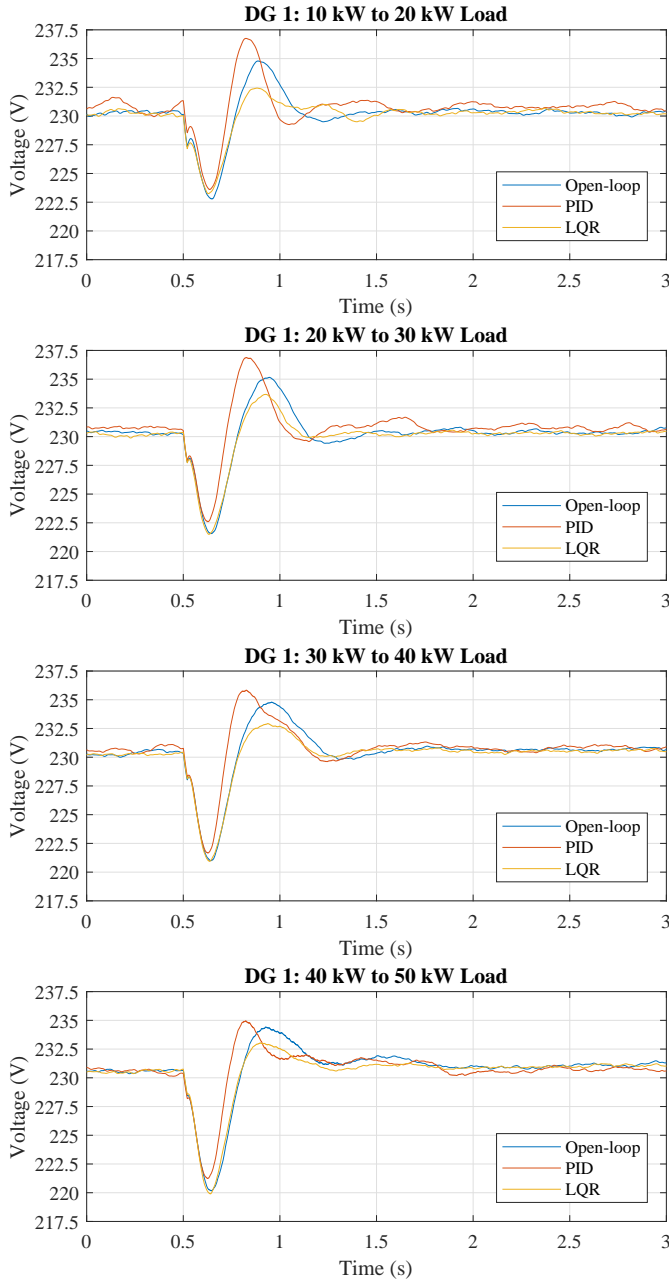


Fig. 6. Voltage transients following steps in active load with industry-standard PID, the proposed LQR, and no supervisory controller on the 60 kVA DG 1 with isochronous governor and AVR.

variation for ρ in the regulator design.

As a part of the modeling and linearization approach presented in Sections II-C and III-A, the effects of any non-resistive electrical load elements has been neglected. In an effort to challenge this approach, DG 2 has also been exposed to steps in apparent power, i.e., simultaneous steps in active and reactive load. Figs. 9 and 10 present the obtained frequency and voltage transients with the same LQR implementation for steps in load of active power and apparent power. The extraordinary initial drop in voltage for steps in apparent power is similar to the drops obtained with the industry-standard PID and open-loop implementations. These results clearly

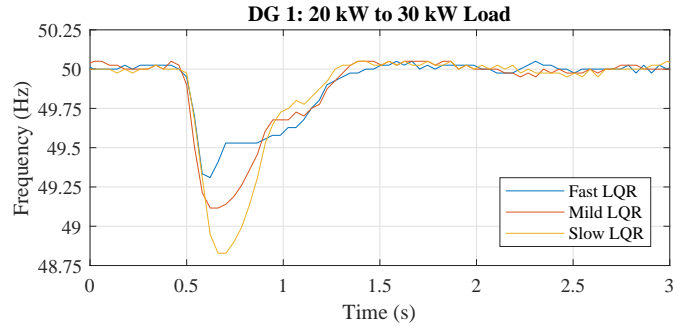


Fig. 7. Frequency transients following steps in active load using the proposed supervisory LQR design with Fast ($\rho = 100$), Mild ($\rho = 200$), and Slow ($\rho = 300$) tuning on the 60 kVA DG 1.

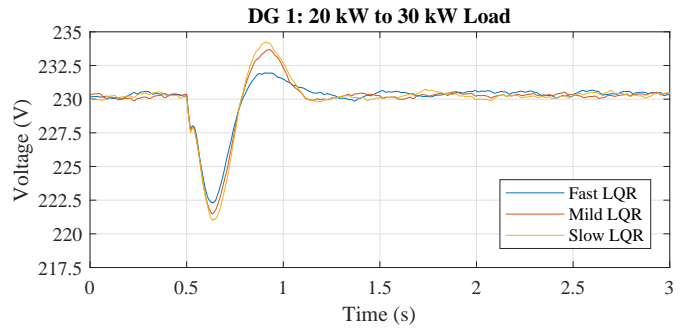


Fig. 8. Voltage transients following steps in active load using the proposed supervisory LQR design with Fast ($\rho = 100$), Mild ($\rho = 200$), and Slow ($\rho = 300$) tuning on the 60 kVA DG 1.

demonstrate the applicability of the proposed supervisory controller on differently rated DGs and during alternative load conditions not included in the model.

The final remarks on the results concern the complexity of DGs. The nature of DG 2, with all its components, is one that dictates a rather conservative regulation, something even a highly experienced commissioning engineer cannot know a priori, whereas DG 1 is more lenient towards aggressive regulation. Such observations encourage a self-tuning scheme that sets off from a conservative starting point. Lastly, comparing the open-loop measurements of Figs. 5 and 6 with the open-loop measurements presented from DG 1 in [22], a significant difference in the time it takes to return to nominal frequency following the step from 40 kW to 50 kW is noted. Possibly owing to general engine wear and tear, such variations in dynamic behavior occur frequently in real-life systems and must be anticipated. The current approach, evidently, has a certain robustness against this degree of parameter variation, since no adjustments were made to accommodate it. That is, the parameter identification was completed using the measurements in [22], while for the control design experiments presented here, which were carried out at a later point in time, the system exhibits different dynamics.

VI. CONCLUSION

The model-based supervisory AGC design developed and experimentally demonstrated in this paper, shows promising features in terms of achieving improvements to the current

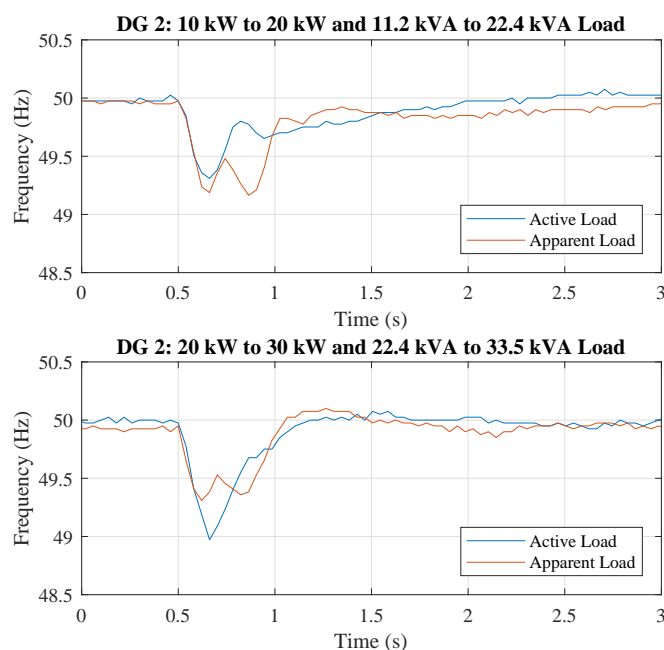


Fig. 9. Frequency transients following steps in active or apparent load with the proposed supervisory LQR on the 40 kVA DG 2 with isochronous governor and AVR.

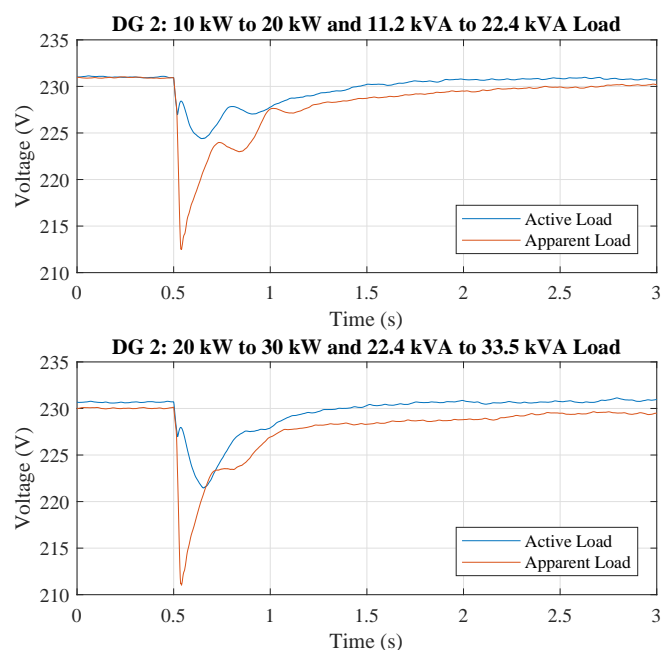


Fig. 10. Voltage transients following steps in active or apparent load with the proposed supervisory LQR on the 40 kVA DG 2 with isochronous governor and AVR.

industry-standard solutions. As shown in Section V, replacing the current PID-based design with an LQR-based design can offer a simpler regulator tuning interface for commissioning engineers in addition to obtaining improved transient performance following changes of supplied electrical load.

Through the generic nature of the model and control design, the proposed supervisory control approach facilitates a self-tuning implementation; thus, designing a reliable automated parameter identification method would be a natural next step in any future development. Additional future work could include an investigation of, e.g., feedback linearization or genuine nonlinear control algorithms, in an attempt to remove the need for operating point specifications and calculations.

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