# Characterization of m-Sequences of Lengths $2^{2k} - 1$ and $2^k - 1$ with Three-Valued Crosscorrelation

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July 26, 2018

Abstract. Considered is the distribution of the crosscorrelation between *m*-sequences of length  $2^m - 1$ , where m = 2k, and *m*-sequences of shorter length  $2^k - 1$ . New pairs of *m*-sequences with three-valued crosscorrelation are found and the complete correlation distribution is determined. Finally, we conjecture that there are no more cases with a three-valued crosscorrelation apart from the ones proven here.

Keywords: *m*-sequences, crosscorrelation, linearized polynomials.

### 1 Introduction

Let  $\{a_t\}$  and  $\{b_t\}$  be two binary sequences of length n. The crosscorrelation function between these two sequences at shift  $\tau$ , where  $0 \le \tau < n$ , is defined by

$$C(\tau) = \sum_{t=0}^{n-1} (-1)^{a_t + b_{t+\tau}}$$

If the sequences  $\{a_t\}$  and  $\{b_t\}$  are the same we call it the autocorrelation.

Sequences with good correlation properties are important for many applications in communication systems. A relevant problem is to find the distribution of the crosscorrelation function (i.e., the set of values obtained for all shifts) between two binary *m*-sequences  $\{s_t\}$  and  $\{s_{dt}\}$  of the same length  $2^m - 1$  that differ by a decimation *d* such that  $gcd(d, 2^m - 1) = 1$ . A survey of some of the basic research on the crosscorrelation between *m*-sequences of the same length can be found in Helleseth [1] and more recent results in Helleseth and Kumar [2] and Dobbertin et. al. [3]. A basis for many applications is the family of Gold sequences with their three-valued crosscorrelation function.

In a recent paper [4], Ness and Helleseth studied the crosscorrelation between an *m*-sequence  $\{s_t\}$  of length  $n = 2^m - 1$  and an *m*-sequence  $\{u_{dt}\}$  of length  $2^k - 1$ , where m = 2k and  $gcd(d, 2^k - 1) = 1$ . Here  $\{u_t\}$  denotes the *m*-sequence used in constructing the small family of Kasami sequences [5]. Recall that this family consists of  $2^k$  sequences  $\{s_t\} + \{u_{t+\tau}\}$  for  $\tau = 0, \ldots, 2^k - 2$  plus the sequence  $\{s_t\}$ , where  $s_t$  and  $u_t$  are defined in (1) and (2). For the Kasami sequences, the crosscorrelation between  $\{s_t\}$  and  $\{u_t\}$  takes on only two different values. It is an open problem whether this is possible in other cases. Numerical results show several pairs of *m*-sequences with three-valued crosscorrelation function between  $\{s_t\}$  and  $\{u_{dt}\}$ , where  $gcd(d, 2^k - 1) = 1$  and k is odd. In addition to general results, Ness and Helleseth proved in [4] that the decimation  $d = \frac{2^{k+1}}{3}$  gives a three-valued crosscorrelation distribution and in [6] they proved the same distribution for  $d = 2^{(k+1)/2} - 1$  (in both cases k odd is needed). In this paper, we cover all the cases found by computer experiments that lead to a three-valued crosscorrelation distribution and completely determine this distribution. Speaking concretely, the decimation d such that  $d(2^l + 1) \equiv 2^i \pmod{2^k - 1}$  for some integer l and  $i \ge 0$  with  $\gcd(l, k) = 1$  and  $\operatorname{odd} k$  gives a three-valued crosscorrelation distribution. We conjecture that there are no other three-valued cases but these. This result includes the decimations proved in [4, 6] as a particular case that is obtained assuming l = 1 and  $l = \frac{k+1}{2}$ .

In Section 2, we present preliminaries needed for proving our main result. In Section 3, we analyze zeros of a particular affine polynomial  $A_a(v)$ . In Section 4, we find the distribution of the number of zeros of a special linearized polynomial  $L_a(z)$ . These two polynomials play a crucial role in finding the distribution of a new three-valued crosscorrelation function. In Section 5, we determine completely the crosscorrelation distribution of the new three-valued decimation.

### 2 Preliminaries

Let GF(q) denote a finite field with q elements and let  $GF(q)^* = GF(q) \setminus \{0\}$ . The trace mapping from  $GF(q^m)$  to GF(q) is defined by

$$\operatorname{Tr}_m(x) = \sum_{i=0}^{m-1} x^{q^i}$$

Let  $GF(2^m)$  be a finite field with  $2^m$  elements and m = 2k with k odd. Let  $\alpha$  be an element of order  $n = 2^m - 1$ . Then the *m*-sequence  $\{s_t\}$  of length n can be written in terms of the trace mapping as

$$s_t = \operatorname{Tr}_m(\alpha^t) \quad . \tag{1}$$

Let  $\beta = \alpha^{2^{k+1}}$ , then  $\beta$  is an element of order  $2^{k} - 1$ . The sequence  $\{u_t\}$  of length  $2^{k} - 1$  (which is used in the construction of the well-known Kasami family) is defined by

$$u_t = \operatorname{Tr}_k(\beta^t) \quad . \tag{2}$$

In this paper, we consider the crosscorrelation between the *m*-sequences  $\{s_t\}$ and  $\{v_t\} = \{u_{dt}\}$  at shift  $\tau$  defined by

$$C_d(\tau) = \sum_{t=0}^{n-1} (-1)^{s_t + v_{t+\tau}} , \qquad (3)$$

where  $gcd(d, 2^k - 1) = 1$  and  $\tau = 0, \ldots, 2^k - 2$ . One should observe that in this setting, by selecting all decimations d with this condition, we cover the cross-correlation function between all pairs of m-sequences having these two different

lengths. Using the trace representation, this function can be written as an exponential sum

$$C_d(\tau) = \sum_{t=0}^{n-1} (-1)^{s_t + u_{d(t+\tau)}}$$
$$= \sum_{x \in \mathrm{GF}(2^m)^*} (-1)^{\mathrm{Tr}_m(\alpha^{-\tau}x) + \mathrm{Tr}_k(x^{d(2^k+1)})}$$

Since the two subgroups of  $\operatorname{GF}(2^m)^*$  of order  $2^k - 1$  and  $2^k + 1$ , respectively, only contain the element 1 in common, it is straightforward to see that for any element, say  $\alpha^{-\tau} \in \operatorname{GF}(2^m)^*$ , there is a unique element u, where  $u^{2^k+1} = 1$  such that  $\alpha^{-\tau}u = a \in \operatorname{GF}(2^k)^*$ . Further, distinct values of  $\tau = 0, 1, \ldots, 2^k - 2$  lead to distinct values of  $a \in \operatorname{GF}(2^k)^*$ . Further, note that for any u with  $u^{2^k+1} = 1$  we have

$$\sum_{x \in \mathrm{GF}(2^m)^*} (-1)^{\mathrm{Tr}_m(\alpha^{-\tau}ux) + \mathrm{Tr}_k(x^{d(2^k+1)})} = \sum_{x \in \mathrm{GF}(2^m)^*} (-1)^{\mathrm{Tr}_m(\alpha^{-\tau}x) + \mathrm{Tr}_k(x^{d(2^k+1)})}$$

Therefore, the set of values of  $C_d(\tau) + 1$  for all  $\tau = 0, 1, \ldots, 2^k - 2$  is equal to the set of values of

$$S(a) = \sum_{x \in \mathrm{GF}(2^m)} (-1)^{\mathrm{Tr}_m(ax) + \mathrm{Tr}_k(x^{d(2^k+1)})}$$
(4)

when  $a \in \mathrm{GF}(2^k)^*$ .

The main result of this paper is formulated in the following corollary that gives a three-valued crosscorrelation function between new pairs of sequences of different lengths. This corollary immediately follows from Theorem 2.

**Corollary 1** Let m = 2k and  $d(2^{l} + 1) \equiv 2^{i} \pmod{2^{k} - 1}$  for some odd k and integer l with 0 < l < k, gcd(l,k) = 1 and  $i \ge 0$ . Then the crosscorrelation function  $C_{d}(\tau)$  has the following distribution

$$\begin{array}{rrrr} -1-2^{k+1} & occurs & \frac{2^{k-1}-1}{3} & times \ , \\ -1 & occurs & 2^{k-1}-1 & times \ , \\ -1+2^k & occurs & \frac{2^k+1}{3} & times \ . \end{array}$$

The result will be proved in a series of lemmas. The outline of the proof is as follows. We have shown that we can write  $C_d(\tau) + 1$  for  $\tau = 0, 1, \ldots, 2^k - 2$ as an exponential sum S(a) for  $a \in \operatorname{GF}(2^k)^*$ . In the case when l is even, we can calculate the distribution of this sum directly as an exponential sum  $S_0(a)$ and obtain the result. In the case when l is odd, a different approach works. In this case, we need some r being a noncube in  $\operatorname{GF}(2^m)$  such that  $r^{2^k+1} = 1$  (for instance, we can take  $r = \alpha^{2^k - 1}$  with  $\alpha$  a primitive element of  $\mathrm{GF}(2^m)$ ) and we show that

$$S(a) = (S_0(a) + S_1(a) + S_2(a))/3$$

for three exponential sums  $S_0(a)$ ,  $S_1(a)$  and  $S_2(a)$  defined by

$$S_{i}(a) = \sum_{y \in \mathrm{GF}(2^{m})} (-1)^{\mathrm{Tr}_{m}(r^{i}ay^{2^{l}+1}) + \mathrm{Tr}_{k}(y^{2^{k}+1})} \quad \text{for} \quad i = 0, 1$$
$$S_{2}(a) = \sum_{y \in \mathrm{GF}(2^{m})} (-1)^{\mathrm{Tr}_{m}(r^{-1}ay^{2^{l}+1}) + \mathrm{Tr}_{k}(y^{2^{k}+1})} \quad .$$

We determine  $S_0(a)$  exactly in Corollary 2 and find  $S_1(a)^2$  (that is equal to  $S_2(a)^2$ ) in Lemma 9. Since S(a) is an integer, we can resolve the sign ambiguity of  $S_1(a)$  and  $S_2(a)$ . In order to determine  $S_0(a)$  we need to consider zeros in  $GF(2^k)$  of the affine polynomial

$$A_a(v) = a^{2^l} v^{2^{2l}} + v^{2^l} + av + 1$$

and this is done in Section 3. To determine the square sums  $S_1(a)^2$  and  $S_2(a)^2$ we need to find the number of zeros in  $GF(2^m)$  of the linearized polynomial

$$L_a(z) = z^{2^{k+l}} + r^{2^l} a^{2^l} z^{2^{2l}} + raz$$

and this task is completed in Section 4.

When finding the complete crosscorrelation distribution we make use of the following result from [4] that gives the sum of the crosscorrelation values as well as the sum of their squares.

**Lemma 1** ([4]) For any decimation d with  $gcd(d, 2^k - 1) = 1$  the sum (of the squares) of the crosscorrelation values defined in (3) is equal to

$$\sum_{\tau=0}^{2^{k}-2} C_{d}(\tau) = 1 ;$$
  
$$\sum_{\tau=0}^{2^{k}-2} C_{d}(\tau)^{2} = (2^{m}-1)(2^{k}-1) - 2 .$$

# **3** The Affine Polynomial $A_a(v)$

In this section, we take any k and consider zeros in  $GF(2^k)$  of the affine polynomial

$$A_a(v) = a^{2^l} v^{2^{2l}} + v^{2^l} + av + 1 \quad , \tag{5}$$

where l < k is an arbitrary but fixed positive integer with gcd(l,k) = 1 and  $a \in GF(2^k)^*$ . Let also  $l' = l^{-1} \pmod{k}$ . The distribution of the zeros in  $GF(2^k)$  of (5) will determine to a large extent the distribution of our crosscorrelation function.

We need the following sequences of polynomials that were introduced by Dobbertin in [7] (see also [8]):

$$F_{1}(v) = v ,$$
  

$$F_{2}(v) = v^{2^{l+1}} ,$$
  

$$F_{i+2}(v) = v^{2^{(i+1)l}} F_{i+1}(v) + v^{2^{(i+1)l} - 2^{il}} F_{i}(v) \text{ for } i \ge 1 ,$$
  

$$G_{1}(v) = 0 ,$$
  

$$G_{2}(v) = v^{2^{l-1}} ,$$
  

$$G_{i+2}(v) = v^{2^{(i+1)l}} G_{i+1}(v) + v^{2^{(i+1)l} - 2^{il}} G_{i}(v) \text{ for } i \ge 1 .$$

These are used to define the polynomial

$$R(v) = \sum_{i=1}^{l'} F_i(v) + G_{l'}(v) \quad . \tag{6}$$

As noted in [7], the exponents occurring in  $F_j(v)$  (resp. in  $G_j(v)$ ) are precisely those of the form

$$e = \sum_{i=0}^{j-1} (-1)^{\epsilon_i} 2^{il}$$
,

where  $\epsilon_i \in \{0, 1\}$  satisfy  $\epsilon_{j-1} = 0$ ,  $\epsilon_0 = 0$  (resp.  $\epsilon_0 = 1$ ) and  $(\epsilon_i, \epsilon_{i-1}) \neq (1, 1)$ .

Further, we will essentially need the following result proven in [7, Theorem 5] that the following polynomial

$$D(v) = \frac{\sum_{i=1}^{l'} v^{2^{il}} + l' + 1}{v^{2^{l+1}}}$$
(7)

is a permutation polynomial on  $\operatorname{GF}(2^k)^*$ . (To be formally more precise, we get a polynomial D(v) if  $v^{-(2^l+1)}$  is substituted by  $v^{(2^k-1)-(2^l+1)}$ .) Moreover, D(v)and  $R(v^{-1})$  are inverses of each other [7, Theorem 6], i.e., for any nonzero  $x, y \in$  $\operatorname{GF}(2^k)$  with  $D(x) = y^{-1}$  it always holds that R(y) = x. In (7) and in the rest of the paper, whenever a positive integer e is added to an element of  $\operatorname{GF}(2^k)$ , it means that added is the identity element of  $\operatorname{GF}(2^k)$  times  $e(\mod 2)$ .

Also note the fact that since  $l'l \equiv 1 \pmod{k}$  then

$$(2^{l}-1)(1+2^{l}+2^{2l}+\cdots+2^{(l'-1)l})=2^{ll'}-1\equiv 1 \pmod{2^{k}-1}$$
.

Therefore,  $x^{2^{l'l}} = x^2$  for any  $x \in GF(2^k)$  and this identity will be used repeatedly further in the proofs.

In the following lemmas, we always assume that l < k is a positive integer with gcd(l,k) = 1. We also take  $A_a(v)$  defined in (5) and R(v) defined in (6). Lemmas 2 and 3 here provide generalization for Lemmas 3, 4 and 6 in [6]. Theorem 1 is a generalization of Lemma 7 in [6].

**Lemma 2** For any  $a \in GF(2^k)^*$  the element  $v_0 = R(a^{-1})$  is a zero of  $A_a(v)$  in  $GF(2^k)^*$ .

**Proof.** Since D(v) in (7) is a permutation polynomial on  $GF(2^k)^*$ , then for any fixed  $a \in GF(2^k)^*$  the equation

$$av^{2^{l}+1} = \sum_{i=1}^{l'} v^{2^{il}} + l' + 1 \tag{8}$$

has exactly one solution  $v_0 = R(a^{-1})$  in  $GF(2^k)^*$ . Raising (8) to the power of  $2^k$  results in

$$a^{2^{l}}v^{2^{2l}+2^{l}} = \sum_{i=2}^{l'+1} v^{2^{il}} + l' + 1 = \sum_{i=2}^{l'} v^{2^{il}} + v^{2^{l+1}} + l' + 1 .$$

The latter identity, after being added to (8) and setting  $v = v_0$ , gives

$$av_0^{2^l+1} = a^{2^l}v_0^{2^{2^l}+2^l} + v_0^{2^l} + v_0^{2^{l+1}}$$

and consecutively, since  $v_0 \neq 0$ ,  $A_a(v_0) = a^{2^l} v_0^{2^{2l}} + v_0^{2^l} + av_0 + 1 = 0$ .

**Lemma 3** For any  $a \in GF(2^k)^*$  let z be a zero of  $A_a(v)$  in  $GF(2^k)$ . Then

$$\operatorname{Tr}_k(z) = \operatorname{Tr}_k(v_0)$$

and

$$\begin{aligned} \operatorname{Tr}_k(az^{2^{i+1}}) &= l'\operatorname{Tr}_k(v_0) + \operatorname{Tr}_k(l'+1) & \text{if } z = v_0 , \\ &= l'\operatorname{Tr}_k(v_0) + \operatorname{Tr}_k(l') & \text{if } z \neq v_0 , \end{aligned}$$

where  $v_0 = R(a^{-1})$ .

**Proof.** The first identity follows by observing that any zero of  $A_a(v)$  is obtained as a sum of the zero  $v_0$  of  $A_a(v)$  (see Lemma 2) and a zero of its homogeneous part  $a^{2^l}v^{2^{2l}} + v^{2^l} + av$ . To prove the identity it therefore suffices to show that  $\operatorname{Tr}_k(v_1) = 0$  for any  $v_1$  with  $a^{2^l}v_1^{2^{2l}} + v_1^{2^l} + av_1 = 0$ . This follows from

$$\begin{aligned} \operatorname{Tr}_{k}(v_{1}) &= \operatorname{Tr}_{k}(v_{1}^{2^{l+1}}) \\ &= \operatorname{Tr}_{k}(v_{1}^{2^{l}+2^{l}}) \\ &= \operatorname{Tr}_{k}(a^{2^{l}}v_{1}^{2^{2l}+2^{l}} + av_{1}^{2^{l}+1}) \\ &= 0 \end{aligned}$$

To prove the second identity for the case when  $z = v_0$  we use the fact presented in the proof of Lemma 2 that  $av_0^{2^l+1} = \sum_{i=1}^{l'} v_0^{2^{il}} + l' + 1$ . Then  $\operatorname{Tr}_k(av_0^{2^l+1}) = l'\operatorname{Tr}_k(v_0) + \operatorname{Tr}_k(l'+1)$ .

Now note that since  $A_a(v)$  is obtained by adding the 2<sup>l</sup>-th power of (8) to itself we have for  $z \neq 0$ 

$$A_a(z) = 0$$
 if and only if  $az^{2^l+1} + \sum_{i=1}^{l'} z^{2^{il}} + l' + 1 \in \{0,1\}$ .

Since  $v_0$  is the only solution of (8), then for  $z \neq v_0$  with  $A_a(z) = 0$  we have  $az^{2^l+1} + \sum_{i=1}^{l'} z^{2^{il}} + l' + 1 = 1$  and

$$\operatorname{Tr}_k(az^{2^l+1}) = l'\operatorname{Tr}_k(z) + \operatorname{Tr}_k(l') = l'\operatorname{Tr}_k(v_0) + \operatorname{Tr}_k(l')$$

using already proved identity that  $\operatorname{Tr}_k(z) = \operatorname{Tr}_k(v_0)$ .

Now we introduce a particular sequence of polynomials over  $GF(2^k)$  and prove some important properties of these that will be used further for getting the main result of this section about zeros of  $A_a(v)$ . Denote

$$e(i) = 1 + 2^{l} + 2^{2l} + \dots + 2^{(i-1)l}$$
 for  $i = 1, \dots, l'$ 

so, in particular,  $e(l') = (2^l - 1)^{-1} \pmod{2^k - 1}$ . Now take every additive term  $v^e$  with  $e \neq 0$  in the polynomial  $1 + (1 + v)^{e(i)}$  and replace the exponent e with the cyclotomic equivalent number obtained by shifting the binary expansion of e maximally (till you get an odd number) in the direction of the least significant bits. We call this *reduction* procedure. Recall that two exponents  $e_1$  and  $e_2$  are cyclotomic equivalent if  $2^i e_1 \equiv e_2 \pmod{2^k - 1}$  for some i < k. For instance,  $v^{2^{il}}$  is reduced to v and  $v^{2^{il+2^{jl}}}$  is reduced to  $v^{1+2^{(j-i)l}}$  if i < j and so on. The obtained reduced polynomials are denoted as  $H_i(v)$  and we use square brackets to denote application of the described reduction procedure to a polynomial, so  $H_i(v) = [1 + (1+v)^{e(i)}]$  for  $i = 1, \ldots, l'$ . The first few polynomials in the sequence (after eliminating all pairs of equal terms) are

$$H_{1}(v) = v$$

$$H_{2}(v) = [v + v^{2^{l}} + v^{1+2^{l}}] = v + v + v^{1+2^{l}} = v^{1+2^{l}}$$

$$H_{3}(v) = [v + v^{2^{l}} + v^{2^{2l}} + v^{1+2^{l}} + v^{1+2^{2l}} + v^{2^{l}+2^{2l}} + v^{1+2^{l}+2^{2l}}]$$

$$= v + v + v + v^{1+2^{l}} + v^{1+2^{l}} + v^{1+2^{l}} + v^{1+2^{l}+2^{2l}} = v + v^{1+2^{2l}} + v^{1+2^{l}+2^{2l}}$$

**Lemma 4** If polynomials  $H_i(v)$  are defined as above then

$$\operatorname{Tr}_k(H_i(v)) = \operatorname{Tr}_k(1 + (1+v)^{e(i)})$$

for any  $v \in GF(2^k)$  and i = 1, ..., l'. Also let  $Q(v) = (x_0^{2^l+1} + x_0)v^{2^l} + x_0^2v + x_0$ for any  $x_0 \in GF(2^k)^*$ . Then

$$Q(H_{l'}(x_0^{-1})) = (1+x_0)(1+x_0^{-1})^{e(l')}$$

**Proof.** The trace identity for  $H_{l'}(v)$  we get obviously from the definition. Further, for any  $i \in \{2, \ldots, l'\}$ 

$$H_{i}(v) = [1 + (1 + v)^{e(i)}]$$
  
=  $[1 + (1 + v)^{e(i-1)}(1 + v)^{2^{(i-1)l}}]$   
=  $[H_{i-1}(v) + v^{2^{(i-1)l}}(1 + v)^{e(i-1)}]$   
 $\stackrel{(*)}{=} v(1 + v)^{e(i)-1} + H_{i-1}(v) ,$ 

where (\*) follows from the following argumentation. First, note that the exponents of additive terms in  $v(1+v)^{e(i)-1}$  are exactly all  $2^{i-1}$  distinct integers of the form  $1 + t_1 2^l + \cdots + t_{i-1} 2^{(i-1)l}$  with  $t_j \in \{0,1\}$  for  $j = 1, \ldots, i-1$  and the reduction does not apply to any of these so

$$[v(1+v)^{e(i)-1}] = v(1+v)^{e(i)-1} .$$

On the other hand, the number of terms in  $[v^{2^{(i-1)l}}(1+v)^{e(i-1)}]$  is also equal to  $2^{i-1}$  since the exponents in these terms are exactly all the integers of the form  $t_0 + t_1 2^l + \cdots + t_{i-2} 2^{(i-2)l} + 2^{(i-1)l}$  with  $t_j \in \{0, 1\}$  for  $j = 0, \ldots, i-2$  and none of these become equal after the reduction. Moreover, every such an exponent, after reduction, can be found in  $v(1+v)^{e(i)-1}$  so

$$[v^{2^{(i-1)l}}(1+v)^{e(i-1)}] = v(1+v)^{e(i)-1} .$$

Also note that all terms of  $H_{i-1}(v)$  are also present in  $v(1+v)^{e(i)-1}$ . Thus, the number of terms in  $H_i(v)$  that remain after eliminating all pairs of equal terms and denoted as  $\#H_i$  is equal to  $2^{i-1} - \#H_{i-1}$ . Unfolding the obtained recursive expression for  $H_i(v)$  starting from  $H_1(v) = v$  we get that

$$H_i(v) = v(1 + (1 + v)^{2^l} + (1 + v)^{2^l + 2^{2l}} + \dots + (1 + v)^{e(i)-1}) .$$

Now we can evaluate

$$\begin{aligned} Q(H_{l'}(x_0^{-1})) &= \\ &= (x_0^{2^{l}+1} + x_0)H_{l'}(x_0^{-1})^{2^l} + x_0^2H_{l'}(x_0^{-1}) + x_0 \\ &= (x_0 + x_0^{-2^{l}+1})\left(1 + (1 + x_0^{-1})^{2^{2l}} + (1 + x_0^{-1})^{2^{2l}+2^{3l}} + \dots + (1 + x_0^{-1})^{2^{2l}+\dots+2^{l'l}}\right) \\ &+ x_0\left(1 + (1 + x_0^{-1})^{2^l} + (1 + x_0^{-1})^{2^{l}+2^{2l}} + \dots + (1 + x_0^{-1})^{e(l')-1}\right) + x_0 \\ &= \left((x_0 + x_0^{-2^{l}+1}) + x_0(1 + x_0^{-1})^{2^l}\right)\left(1 + (1 + x_0^{-1})^{2^{2l}} + \dots + (1 + x_0^{-1})^{2^{2l}+\dots+2^{(l'-1)l}}\right) \\ &+ (x_0 + x_0^{-2^{l}+1})(1 + x_0^{-1})^{2^{2l}+\dots+2^{l'l}} + x_0 + x_0 \\ &= x_0(1 + x_0^{-1})^{2^{l}+2^{2l}+\dots+2^{l'l}} \\ &= x_0(1 + x_0^{-1})^{2^{l+2^{2l}+\dots+2^{l'l-1}l}} \\ &= (1 + x_0)(1 + x_0^{-1})^{e(l')} \end{aligned}$$

as claimed.

Lemma 5 For any  $a \in GF(2^k)^*$  let  $x_0 \in GF(2^k)$  satisfy  $x_0^{2^l+1} + x_0 = a$ . Then  $\operatorname{Tr}_k(1 + (1 + x_0^{-1})^{e(l')}) = \operatorname{Tr}_k(R(a^{-1}))$ .

**Proof.** Denote  $\Gamma = x_0^{2^l-1} + x_0^{-1}$  (obviously  $\Gamma \neq 0$  since  $x_0 \neq 1$ ),  $\Delta = \Gamma^{-e(l')}$  and further, using Lemma 4, evaluate

$$Q(H_{l'}(x_0^{-1}))x_0^{e(l')} = (1+x_0)(1+x_0)^{e(l')} = (1+x_0^{2^l})^{e(l')}$$

and thus,  $Q(H_{l'}(x_0^{-1}))^{2^l-1} = \Gamma$  or, equivalently,

$$Q(H_{l'}(x_0^{-1})) = \Delta^{-1} . (9)$$

In what follows, we use the technique suggested by Dobbertin for proving [7, Theorem 1]. Note that

$$A_{a}(v) = a^{2^{l}}v^{2^{2l}} + x_{0}^{2^{l+1}}v^{2^{l}} + x_{0}^{2^{l}} + (x_{0}^{2^{l}-1} + x_{0}^{-1})\left((x_{0}^{2^{l}+1} + x_{0})v^{2^{l}} + x_{0}^{2}v + x_{0}\right)$$
  
=  $Q(v)^{2^{l}} + \Gamma Q(v) = Q(v)(Q(v)^{2^{l}-1} + \Delta^{-(2^{l}-1)})$ 

for  $x_0^{2^l+1} + x_0 = a$  and therefore, by (9),  $A_a(H_{l'}(x_0^{-1})) = 0$ . Consider the equation  $Q(v) + \Delta^{-1} = 0$  (10)

whose roots are also the zeros of  $A_a(v)$ . We will show that (10) has exactly two roots with  $H_{l'}(x_0^{-1})$  and  $R(a^{-1})$  being among them (however, we do not claim that  $R(a^{-1}) \neq H_{l'}(x_0^{-1})$ . Multiplying (10) by  $\mu = (x_0^2 \Delta)^{-1}$  and using that  $(x_0^{2^l+1} + x_0)\Delta^{2^l-1} = x_0^2$  gives

$$\mu((x_0^{2^l+1}+x_0)v^{2^l}+x_0^2v+x_0+\Delta^{-1})=(v/\Delta)^{2^l}+v/\Delta+x_0\mu+x_0^2\mu^2=0$$

which has exactly two solutions  $z_0 = H_{l'}(x_0^{-1})$  (see (9)) and  $z_1 = H_{l'}(x_0^{-1}) + \Delta$ since its linearized homogeneous part  $(v/\Delta)^{2^l} + v/\Delta$  has exactly two roots v = 0and  $v = \Delta$ . Thus,  $z_0 + z_1 = \Delta = \left(\frac{x_0}{1+x_0^{2^l}}\right)^{e(l')}$ . Using  $(x_0^{2^l} + 1)\Delta^{2^l-1} = x_0$  it is easy to see that  $\Delta^{2^l} = x_0\Delta + (x_0\Delta)^{2^l}$  and we have  $\operatorname{Tr}_k(\Delta) = 0$ .

Now we show that none of the possible roots of Q(v) = 0 is a solution of (8). In fact, suppose that Q(z) = 0. Then, since  $x_0 \neq 0$ , we have  $z^{2^l} = (x_0 z)^{2^l} + x_0 z + 1$ and  $az^{2^l} = x_0^2 z + x_0$  (since  $a = x_0^{2^l+1} + x_0$ ). We put such a z into (8) and compute

$$az^{2^{l}+1} + \sum_{i=1}^{l'} z^{2^{il}} + l' + 1$$
  
=  $(x_0^2 z + x_0)z + \sum_{i=0}^{l'-1} (x_0 z)^{2^{il}} + \sum_{i=1}^{l'} (x_0 z)^{2^{il}} + l' + l' + 1$   
= 1.

Therefore, recalling the proved identity  $A_a(v) = Q(v)(Q(v)^{2^{l-1}} + \Delta^{-(2^{l-1})})$ and keeping in mind that  $gcd(2^l - 1, 2^k - 1) = 1$  we see that  $v_0 = R(a^{-1})$  which is the unique solution of (8) and, by Lemma 2, also the root of  $A_a(v) = 0$ , satisfies  $Q(v_0) = \Delta^{-1}$ . Recall that (10) has exactly two solutions  $z_0 = H_{l'}(x_0^{-1})$  and  $z_1 = H_{l'}(x_0^{-1}) + \Delta$ . Thus,  $R(a^{-1}) + H_{l'}(x_0^{-1}) = \Delta$  or  $R(a^{-1}) = H_{l'}(x_0^{-1})$  (although we do not need in our proof that  $R(a^{-1}) \neq H_{l'}(x_0^{-1})$ , we believe that this holds) and, by Lemma 4,

$$\operatorname{Tr}_k(R(a^{-1})) = \operatorname{Tr}_k(H_{l'}(x_0^{-1})) = \operatorname{Tr}_k(1 + (1 + x_0^{-1})^{e(l')})$$

as claimed.

**Theorem 1** For any  $a \in GF(2^k)^*$  and a positive integer l < k with gcd(l, k) = 1, let  $A_a(v)$  be defined as in (5). Also let

$$M_i = \{a \mid A_a(v) \text{ has exactly } i \text{ zeros in } \operatorname{GF}(2^k)\}$$
(11)

Then  $A_a(v)$  has either one, two or four zeros in  $GF(2^k)$ . For  $i \in \{1, 2, 4\}$ , we have  $a \in M_i$  if and only if  $p_a(x) = x^{2^l+1} + x + a$  has exactly i - 1 zeros in  $GF(2^k)$ . The following distribution holds for k odd (resp. k even)

$$\begin{array}{ll} |M_1| = \frac{2^k + 1}{3} & (resp. \ \frac{2^k - 1}{3}) \ , \\ |M_2| = 2^{k-1} - 1 & (resp. \ 2^{k-1}) \ , \\ |M_4| = \frac{2^{k-1} - 1}{3} & (resp. \ \frac{2^{k-1} - 2}{3}) \ . \end{array}$$

Furthermore,  $a \in M_2$  if and only if  $\operatorname{Tr}_k(R(a^{-1}) + 1) = 1$ , where R(v) is defined in (6).

**Proof.** In Lemma 2 it was shown that  $v_0 = R(a^{-1})$  is a zero of  $A_a(v)$  in  $GF(2^k)^*$ . Let  $N_a$  be the number of zeros of  $A_a(v)$  in  $GF(2^k)$ . Since  $A_a(v)$  has a zero in  $GF(2^k)$ ,  $N_a$  is equal to the number of zeros of its homogeneous part  $a^{2^l}v^{2^{2l}} + v^{2^l} + av$  in  $GF(2^k)$ . Dividing the latter polynomial by  $a^{-1}v$ , then raising it to power  $2^{k-1}$  and replacing  $(av^{2^l-1})^{2^{k-1}}$  by x leads to

$$p_a(x) = x^{2^l + 1} + x + a$$

which, since  $gcd(2^{l} - 1, 2^{k} - 1) = 1$ , has  $N_{a} - 1$  zeros in  $GF(2^{k})$ . It is therefore sufficient to study the number of zeros of this polynomial in  $GF(2^{k})$ .

From now on assume that  $N_a \ge 2$ . Then  $p_a(x)$  has a zero  $x_0 \in GF(2^k)$ . Now we replace x in  $p_a(x)$  with  $x + x_0$  to get

$$(x+x_0)^{2^{\iota}+1} + (x+x_0) + a = 0$$

or

$$x^{2^{l}+1} + x_0 x^{2^{l}} + x_0^{2^{l}} x + x_0^{2^{l}+1} + x + x_0 + a = 0$$

which implies

$$x^{2^{l}+1} + x_0 x^{2^{l}} + (x_0^{2^{l}} + 1)x = 0 .$$

Since x = 0 corresponds to  $x_0$  being the zero of  $p_a(x)$ , we can divide the latter equation by x and after substituting  $y = x^{-1}$  we note that if  $p_a(x)$  has a zero then the reciprocal equation, given by

$$(x_0^{2^l} + 1)y^{2^l} + x_0y + 1 = 0 (12)$$

has  $N_a - 2$  zeros. This affine equation has either zero roots in  $GF(2^k)$  or the same number of roots as its homogeneous part  $(x_0^{2^l} + 1)y^{2^l} + x_0y$  which is seen to have exactly two solutions, the zero solution and a unique nonzero solution, since  $gcd(2^l - 1, 2^k - 1) = 1$ . Therefore, it can be concluded that  $p_a(x) = 0$  can have either zero, one or three solutions or, equivalently,  $A_a(v)$  has either one, two or four zeros in  $GF(2^k)$ .

Now we need to find the conditions when there exists a solution of (12). Let y = tw, where  $t^{2^l-1} = c$  and  $c = \frac{x_0}{x_0^{2^l}+1}$ . Since  $gcd(2^l - 1, 2^k - 1) = 1$ , there is a one-to-one correspondence between t and c. Then (12) is equivalent to

$$w^{2^{l}} + w + \frac{1}{ct(x_{0}^{2^{l}} + 1)} = 0$$
.

Hence, (12) has no solutions if and only if

$$\operatorname{Tr}_k\left(\frac{1}{ct(x_0^{2^l}+1)}\right) = 1 \ .$$

This easily follows from the fact that the linear operator  $L(\omega) = \omega^{2^l} + \omega$  on  $\operatorname{GF}(2^k)$  has the kernel of dimension one and, thus, the number of elements in the image of L is  $2^{k-1}$ . Since all the elements  $\omega^{2^l} + \omega$  have the trace zero and the total number of such elements in  $\operatorname{GF}(2^k)$  is  $2^{k-1}$ , we conclude that the image of L contains all the elements in  $\operatorname{GF}(2^k)$  having trace zero.

Since  $c = t^{2^{l-1}}$  then  $t = c^{e(l')}$ . Thus, from the definition of c and t we get

$$\operatorname{Tr}_{k}\left(\frac{1}{ct(x_{0}^{2^{l}}+1)}\right) = \operatorname{Tr}_{k}\left(\left(\frac{x_{0}^{2^{l}}+1}{x_{0}}\right)^{1+e(l')}\left(\frac{1}{x_{0}^{2^{l}}+1}\right)\right)$$
$$= \operatorname{Tr}_{k}\left(\frac{(x_{0}^{2^{l}}+1)^{e(l')}}{x_{0}^{1+e(l')}}\right) = \operatorname{Tr}_{k}\left(\frac{(x_{0}+1)^{2^{l}e(l')}}{x_{0}^{2^{l}e(l')}}\right) = \operatorname{Tr}_{k}\left((1+x_{0}^{-1})^{e(l')}\right) .$$

We conclude that  $p_a(x)$  has exactly one zero (which is  $x_0$ ) if and only if

$$\operatorname{Tr}_k((1+x_0^{-1})^{e(l')}) = 1$$
 (13)

It means that  $A_a(v)$  has exactly two zeros in  $GF(2^k)$  (i.e.,  $N_a = 2$ ) only for such a that  $a = x_0^{2^l+1} + x_0$  with (13) holding. Combining this with the result of Lemma 5, we conclude that  $A_a(v)$  has exactly two zeros in  $GF(2^k)$  if and only if

$$\operatorname{Tr}_k(R(a^{-1})+1) = 1$$
.

In the case of one or four zeros,  $\operatorname{Tr}_k(R(a^{-1})+1)=0$ .

Now note that since  $e(l') = 1 + 2^l + 2^{2l} + \cdots + 2^{(l'-1)l}$  is invertible modulo  $2^k - 1$ with the multiplicative inverse equal to  $2^l - 1$  then  $gcd(e(l'), 2^k - 1) = 1$  and thus,  $(1 + v^{-1})^{e(l')}$  is a one-to-one mapping of  $GF(2^k)^*$  onto  $GF(2^k) \setminus \{1\}$ . Therefore, if k is odd (resp. k is even) then the number of  $x_0 \in GF(2^k)^*$  satisfying (13) is equal to  $2^{k-1} - 1$  (resp.  $2^{k-1}$ ) and obviously  $x_0 \neq 1$ . On the other hand, if  $N_a = 2$ then  $x^{2^l+1} + x = a$  has a unique solution  $x_0$  and so the number of nonzero values  $a \in GF(2^k)^*$  with  $N_a = 2$  for k odd (resp. k even) is  $|M_2| = 2^{k-1} - 1$  (resp.  $2^{k-1}$ ). Now note that if a = 0 then  $p_a(x) = x^{2^l+1} + x + a$  has exactly two zeros  $x = \{0, 1\}$ . Thus, considering the mapping  $x \mapsto x^{2^l+1} + x$  for x running through  $GF(2^k) \setminus \{0, 1\}$  it is easy to see that  $|M_2| + 3|M_4| = 2^k - 2$  and, knowing  $|M_2|$ , we can find  $|M_4|$ . Finally, the last remaining unknown  $|M_1|$  can be evaluated from the obvious equation  $|M_1| + |M_2| + |M_4| = |GF(2^k)^*| = 2^k - 1$ .

Note the paper [9] by Bluher where  $x^{p^{l}+1} + ax + b$  and the related polynomials similar to the linearized part of  $A_a(v)$  over an arbitrary field of characteristic p are studied. In particular, the possible number of zeros and corresponding values of  $|M_i|$ , in the notations of our Theorem 1, were found (see [9, Theorems 5.6, 6.4]). This was also done earlier for odd k in [10, Lemma 9].

# 4 The Linearized Polynomial $L_a(z)$

The distribution of the three-valued crosscorrelation function to be determined in Section 5 depends on the detailed distribution of the number of zeros in  $GF(2^m)$ of the linearized polynomial

$$L_a(z) = z^{2^{k+l}} + r^{2^l} a^{2^l} z^{2^{2l}} + raz \quad , \tag{14}$$

where  $a \in GF(2^k)$ ,  $r \in GF(2^m)$  and m = 2k. Some additional conditions on the parameters will be imposed later. For the details on linearized polynomials in general, the reader is referred to Lidl and Niederreiter [11]. In the following lemmas, we always take  $L_a(z)$  defined in (14).

**Lemma 6** Let l and k be integers with gcd(l,k) = 1,  $a \in GF(2^k)$  and  $r \in GF(2^m)$ . If  $L_a(z) = 0$  for some  $z \in GF(2^m)$  then

$$a \operatorname{Tr}_{k}^{m}(rz^{2^{l+1}}) \in \{0,1\}$$

where  $\operatorname{Tr}_k^m(x) = x + x^{2^k}$  is a trace mapping from  $\operatorname{GF}(2^m)$  to  $\operatorname{GF}(2^k)$ .

**Proof.** For any  $z \in GF(2^m)$  with  $L_a(z) = 0$  we have

$$z^{2^{l}}L_{a}(z) = raz^{2^{l}+1} + (raz^{2^{l}+1})^{2^{l}} + z^{2^{l}(2^{k}+1)} = 0$$

and  $z^{2^{l}(2^{k}+1)} \in \operatorname{GF}(2^{k})$ . Thus,  $\operatorname{Tr}_{k}^{m}(raz^{2^{l}+1}) + (\operatorname{Tr}_{k}^{m}(raz^{2^{l}+1}))^{2^{l}} = 0$  meaning that  $a\operatorname{Tr}_{k}^{m}(rz^{2^{l}+1}) \in \operatorname{GF}(2^{l}) \cap \operatorname{GF}(2^{k}) = \{0,1\}.$ 

**Lemma 7** Let l and k be odd with gcd(l, k) = 1,  $a \in GF(2^k)$  and r be a noncube in  $GF(2^m)$  such that  $r^{2^k+1} = 1$ . Then the following holds.

- (i) The number of zeros of  $L_a(z)$  in  $GF(2^m)$  is 1 or 4.
- (ii) If, additionally,  $a \neq 0$  and  $\operatorname{Tr}_k(v_0) = 0$  (where  $v_0 = R(a^{-1})$  and R(v) is defined in (6)) then  $L_a(z)$  has z = 0 as its only zero in  $\operatorname{GF}(2^m)$ .

**Proof.** First of all, let  $\overline{z} = z^{2^k}$  for any  $z \in \operatorname{GF}(2^m)$  and also let  $U = rz^{2^{l+1}}$ . If  $z \neq 0$  and  $L_a(z) = 0$  then, since l is odd and r is a noncube in  $\operatorname{GF}(2^m)$  with  $r^{2^{k+1}} = 1$  we have that  $U \neq \overline{U}$  and thus, by Lemma 6, and denoting V = aU

$$a \operatorname{Tr}_{k}^{m}(U) = V + V^{2^{k}} = 1$$
 . (15)

(i) If a = 0 then  $L_a(z)$  has a unique zero root so we further assume that  $a \neq 0$ . The polynomial  $L_a(z)$  is a linearized polynomial and its zeros form a vector subspace over GF(2) (and even over GF(2<sup>2</sup>) since k + l is even). We will

study the number of solutions of  $L_a(z) = 0$  in  $GF(2^m)$ . Note that  $L_a(z) = 0$  is equivalent to

$$\overline{z}^{2^{l}} = r^{2^{l}}a^{2^{l}}z^{2^{2l}} + raz$$
.

Further, we obtain

$$\begin{split} \overline{U}^{2^{l}} &= r^{-2^{l}} \overline{z}^{2^{l}(2^{l}+1)} \\ &= r^{-2^{l}} (r^{2^{l}} a^{2^{l}} z^{2^{2l}} + raz)^{2^{l}+1} \\ &= r^{-2^{l}} (r^{2^{l}(2^{l}+1)} a^{2^{l}(2^{l}+1)} z^{2^{2l}(2^{l}+1)} + r^{2^{2l}+1} a^{2^{2l}+1} z^{2^{3l}+1}) \\ &\quad + r^{-2^{l}} (r^{2^{l+1}} a^{2^{l+1}} z^{2^{2l}+2^{l}} + r^{2^{l}+1} a^{2^{l}+1} z^{2^{l}+1}) \\ &= r^{2^{2l}} a^{2^{l}(2^{l}+1)} z^{2^{2l}(2^{l}+1)} + r^{2^{2l}-2^{l}+1} a^{2^{2l}+1} z^{2^{3l}+1} + r^{2^{l}} a^{2^{l+1}} z^{2^{2l}+2^{l}} + ra^{2^{l}+1} z^{2^{l}+1} \\ &= a^{2^{l}(2^{l}+1)} U^{2^{2l}} + a^{2^{2l}+1} U^{2^{2l}-2^{l}+1} + a^{2^{l+1}} U^{2^{l}} + a^{2^{l}+1} U \end{split}$$

From now on assume  $z \neq 0$ . Since  $a^{-2^l} = U^{2^l} + \overline{U}^{2^l}$  we have

$$1 = a^{2^{l}}U^{2^{l}} + a^{2^{l}}\overline{U}^{2^{l}}$$
  
=  $a^{2^{l}}U^{2^{l}} + a^{2^{2^{l}}+2^{l+1}}U^{2^{2^{l}}} + a^{2^{2^{l}}+2^{l}+1}U^{2^{2^{l}}-2^{l}+1} + a^{2^{l+1}+2^{l}}U^{2^{l}} + a^{2^{l+1}+1}U^{2^{l}}$ 

which leads to

$$a^{2^{2l}+2^{l+1}}U^{2^{2l}} + a^{2^{2l}+2^{l+1}}U^{2^{2l}-2^{l+1}} + (a^{2^{l}}+a^{2^{l+1}+2^{l}})U^{2^{l}} + a^{2^{l+1}+1}U + 1 = 0$$

Substituting V = aU and multiplying by  $b = a^{-2^{l+1}}$ , simplifies the equation to

$$V^{2^{2l}} + V^{2^{2l}-2^{l}+1} + (1+b)V^{2^{l}} + V + b = 0$$

which after multiplying by  $V^{2^l}$  gives

$$(V^{2^{l}} + V)^{2^{l}+1} + bV^{2^{l}}(V^{2^{l}} + 1) = 0 .$$
(16)

Since

$$\frac{(V^{2^l}+V)^{2^l+1}}{V^{2^l}(V^{2^l}+1)} = (V+1)^{2^{2l}-2^l+1} + V^{2^{2l}-2^l+1} + 1$$

we obtain

$$(V+1)^{2^{2l}-2^l+1} + V^{2^{2l}-2^l+1} + 1 = b .$$

As proved in [7, Corollary 2], the monomial function  $f(x) = x^{2^{2l}-2^{l}+1}$  is almost perfect nonlinear (APN) when gcd(l,m) = 1, which is the case here since l is odd and gcd(l,k) = 1. This means that the number of solutions  $V \in GF(2^m)$ of the latter equation is at most 2 for any b in  $GF(2^m)$ . Since  $V = raz^{2^l+1}$  and  $gcd(2^l + 1, 2^m - 1) = 3$  it follows that the number of zeros in  $GF(2^m)^*$  of the linearized polynomial  $L_a(z)$  is at most 6, which implies that the number of zeros in  $GF(2^m)$  is 1 or 4 since the zeros of  $L_a(z)$  form a vector subspace over  $GF(2^2)$ . (ii) Let  $x = V^{2^l} + V$  and assume  $z \neq 0$ . After rewriting  $z^{2^l} L_a(z) = z^{2^l(2^k+1)} + x = 0$  observe that this implies that  $x \in GF(2^k)$ .

Using (16) we have

$$b^{-1}x^{2^l+1} = \sum_{i=1}^{\tilde{l}} x^{2^{il}}$$

where  $\tilde{l}l = 1 \pmod{m}$ . Such an  $\tilde{l}$  exists since l is odd, gcd(l, k) = 1 and therefore, gcd(l, m) = 1. Raising the latter identity to the power  $2^l$  and adding to itself implies

$$c^{2^{l}}x^{2^{2^{l}}+2^{l}} + cx^{2^{l}+1} = x^{2^{(\tilde{l}+1)l}} + x^{2^{l}}$$
,

where  $c = b^{-1} = a^{2^{l+1}}$ . Dividing by  $x^{2^l}$  ( $x \neq 0$  since otherwise the only zero of  $L_a(z)$  is z = 0) implies

$$c^{2^{l}}x^{2^{2l}} + x^{2^{l}} + cx + 1 = 0$$

By Theorem 1, the latter equation has exactly two roots in  $\operatorname{GF}(2^k)$  if and only if  $\operatorname{Tr}_k(R(c^{-1})) = \operatorname{Tr}_k(R(a^{-2^{l+1}})) = \operatorname{Tr}_k(v_0) = 0$  and  $R(a^{-2^{l+1}}) = v_0^{2^{l+1}}$  is one of its roots. From Lemma 3 it also follows that all the roots of this equation have the same trace as  $v_0$ . Therefore, in the case when  $\operatorname{Tr}_k(v_0) = 0$  both roots have trace zero. However, since  $x = V^{2^l} + V \in \operatorname{GF}(2^k)$  and  $V \notin \operatorname{GF}(2^k)$  (recall that V = aUand  $U \neq \overline{U}$ ) we have

$$\operatorname{Tr}_{k}(x) = \sum_{i=0}^{k-1} x^{2^{i}} = \sum_{i=0}^{k-1} x^{2^{li}} = \sum_{i=0}^{k-1} (V^{2^{l(i+1)}} + V^{2^{li}})$$
$$= V^{2^{kl}} + V \stackrel{(*)}{=} 1 \neq \operatorname{Tr}_{k}(v_{0}) ,$$

where (\*) holds since  $V^{2^{lk}} = V^{2^k}$  for odd l and  $V^{2^k} + V \neq 0$  if  $V \notin GF(2^k)$ . Therefore, if  $\operatorname{Tr}_k(v_0) = 0$  then there is no solutions  $x \in GF(2^k)$  having the form  $x = V^{2^l} + V$ . We have therefore shown that in the case  $\operatorname{Tr}_k(v_0) = 0$  there are no nonzero solutions of  $L_a(z) = 0$  in  $GF(2^m)$ .

# 5 Three-Valued Crosscorrelation

In this section, we prove our main result formulated in Corollary 1. We start by considering the following exponential sum denoted  $S_0(a)$  that to some extent is determined by the following lemma that repeats Lemma 10 in [6]. It is assumed everywhere that m = 2k.

**Lemma 8 ([6])** For an odd k, integer l < k and  $a \in GF(2^k)$  let  $S_0(a)$  be defined by

$$S_0(a) = \sum_{y \in \mathrm{GF}(2^m)} (-1)^{\mathrm{Tr}_m(ay^{2^l+1}) + \mathrm{Tr}_k(y^{2^k+1})} .$$

Then

$$S_0(a) = 2^k \sum_{v \in \mathrm{GF}(2^k), A_a(v) = 0} (-1)^{\mathrm{Tr}_k(a(l+1)v^{2^l+1} + v)}$$

,

where  $A_a(v)$  is defined in (5).

We can now determine  $S_0(a)$  completely in the following corollary.

**Corollary 2** Under the conditions of Lemma 8 and, additionally, assuming  $a \neq 0$  and gcd(l,k) = 1 let  $M_i$  be defined as in (11). Then the distribution of  $S_0(a)$  for l even is as follows:

$$\begin{array}{rrrr} -2^{k+1} & if & a \in M_4 \ , \\ 0 & if & a \in M_2 \ , \\ 2^k & if & a \in M_1 \end{array}$$
$$\begin{array}{rrrr} -2^{k+2} & if & a \in M_4 \ , \\ 2^{k+1} & if & a \in M_2 \ , \\ -2^k & if & a \in M_1 \end{array}$$

and for l odd

**Proof.** Let  $l' = l^{-1} \pmod{k}$ . The distribution follows directly from Lemmas 3 and 8 since these imply that for l even

$$S_0(a) = 2^k (-1)^{(l'+1)\operatorname{Tr}_k(v_0)+l'} (N_a - 2)$$

and for l odd

$$S_0(a) = 2^k (-1)^{\operatorname{Tr}_k(v_0)} N_a$$

where  $N_a$  is the number of zeros of  $A_a(v)$  in  $GF(2^k)$  and  $v_0 = R(a^{-1})$ . Finally, using Theorem 1, we get the claimed result.

**Lemma 9** Let k be odd and r be a noncube in  $GF(2^m)$  such that  $r^{2^k+1} = 1$ . Let also  $a \in GF(2^k)$  and

$$S_{1}(a) = \sum_{y \in \mathrm{GF}(2^{m})} (-1)^{\mathrm{Tr}_{m}(ray^{2^{l}+1}) + \mathrm{Tr}_{k}(y^{2^{k}+1})},$$
  
$$S_{2}(a) = \sum_{y \in \mathrm{GF}(2^{m})} (-1)^{\mathrm{Tr}_{m}(r^{-1}ay^{2^{l}+1}) + \mathrm{Tr}_{k}(y^{2^{k}+1})}$$

Then

- (i)  $S_1(a) = S_2(a)$ .
- (ii) Furthermore, if, additionally, l is odd with gcd(l,k) = 1 then for i = 1, 2 holds

$$S_i(a)^2 = 2^m T_a \quad ,$$

where  $T_a$  is the number of zeros in  $GF(2^m)$  of  $L_a(z)$  defined in (14).

**Proof.** (i) Using definitions, straightforward calculations lead to

$$S_{1}(a) = \sum_{y \in \mathrm{GF}(2^{m})} (-1)^{\mathrm{Tr}_{m}(ray^{2^{l}+1}) + \mathrm{Tr}_{k}(y^{2^{k}+1})}$$
  
$$= \sum_{y \in \mathrm{GF}(2^{m})} (-1)^{\mathrm{Tr}_{m}(r^{2^{k}}a^{2^{k}}y^{(2^{l}+1)2^{k}}) + \mathrm{Tr}_{k}(y^{(2^{k}+1)2^{k}})}$$
  
$$= \sum_{z \in \mathrm{GF}(2^{m})} (-1)^{\mathrm{Tr}_{m}(r^{-1}az^{2^{l}+1}) + \mathrm{Tr}_{k}(z^{2^{k}+1})}$$
  
$$= S_{2}(a) .$$

(ii) First, it can be noticed that here we are with the hypothesis of Lemma 7 Item (i). Using substitution z = x + y we obtain

$$S_{1}(a)^{2} = \sum_{x,y \in \mathrm{GF}(2^{m})} (-1)^{\mathrm{Tr}_{m}(ra(x^{2^{l}+1}+y^{2^{l}+1}))+\mathrm{Tr}_{k}(x^{2^{k}+1}+y^{2^{k}+1})}$$

$$= \sum_{y,z \in \mathrm{GF}(2^{m})} (-1)^{\mathrm{Tr}_{m}(ra((z+y)^{2^{l}+1}+y^{2^{l}+1}))+\mathrm{Tr}_{k}((z+y)^{2^{k}+1}+y^{2^{k}+1})}$$

$$= \sum_{y,z \in \mathrm{GF}(2^{m})} (-1)^{\mathrm{Tr}_{m}(ra(z^{2^{l}}y+zy^{2^{l}}+z^{2^{l}+1})+yz^{2^{k}})+\mathrm{Tr}_{k}(z^{2^{k}+1})}$$

$$= \sum_{z \in \mathrm{GF}(2^{m})} (-1)^{\mathrm{Tr}_{m}(raz^{2^{l}+1})+\mathrm{Tr}_{k}(z^{2^{k}+1})} \sum_{y \in \mathrm{GF}(2^{m})} (-1)^{\mathrm{Tr}_{m}(y^{2^{l}}(z^{2^{k+l}}+r^{2^{l}}a^{2^{l}}z^{2^{l}}+raz))}$$

$$= 2^{m} \sum_{z \in \mathrm{GF}(2^{m}), \ L_{a}(z)=0} (-1)^{\mathrm{Tr}_{m}(raz^{2^{l}+1})+\mathrm{Tr}_{k}(z^{2^{k}+1})} ,$$

where  $L_a(z) = z^{2^{k+l}} + r^{2^l} a^{2^l} z^{2^{2l}} + raz.$ 

It remains to show that  $f(z) = \operatorname{Tr}_m(raz^{2^l+1}) + \operatorname{Tr}_k(z^{2^k+1}) = 0$  for any root z of  $L_a$ . If z = 0 then this fact is obvious. If  $z \neq 0$  then, by (15) from Lemma 7,  $\operatorname{Tr}_k^m(V) = V + V^{2^k} = 1$ , where  $V = raz^{2^l+1}$  implying that  $\operatorname{Tr}_m(V) = 1$ . Moreover, multiplying  $L_a(z) = 0$  by  $z^{2^l}$  we obtain  $V + V^{2^l} + z^{2^l(2^k+1)} = 0$ . Thus,

$$f(z) = 1 + \operatorname{Tr}_k(z^{2^k+1}) = 1 + \operatorname{Tr}_k(V + V^{2^l})$$
.

$$Tr_k(V + V^{2^l}) =$$

$$= (V + \dots + V^{2^l} + \dots + V^{2^{k-1}}) + (V^{2^l} + \dots + V^{2^{k-1}} + \dots + V^{2^{l+k-1}})$$

$$= (V + V^{2^k}) + (V + V^{2^k})^2 + \dots + (V + V^{2^k})^{2^{l-1}} = l \pmod{2} = 1$$

and thus, f(z) = 0.

In particular, since  $S_1(a) = \sum_{y \in GF(2^m)} (-1)^{f(y)} \neq 0$  the Boolean function f(z) can not be balanced. Quadratic functions including those similar to f(z) are studied in [12].

We are now in position to completely determine the distribution of S(a) defined in (4) for  $a \in \operatorname{GF}(2^k)^*$ . Since this is equivalent to the distribution of  $C_d(\tau) + 1$  for  $\tau = 0, 1, \ldots, 2^k - 2$ , our main result in Corollary 1 is a consequence of the theorem below. Note that for any d with the prescribed property we have  $\operatorname{gcd}(d, 2^k - 1) = 1$ .

**Theorem 2** Let m = 2k and  $d(2^{l} + 1) \equiv 2^{i} \pmod{2^{k} - 1}$  for some odd k and integer l with 0 < l < k, gcd(l, k) = 1 and  $i \ge 0$ . Then the exponential sum S(a)defined in (4) for  $a \in GF(2^{k})^{*}$  (and  $C_{d}(\tau) + 1$  for  $\tau = 0, 1, \ldots, 2^{k} - 2$ ) have the following distribution

$$\begin{array}{cccc} -2^{k+1} & occurs & \frac{2^{k-1}-1}{3} & times \ , \\ 0 & occurs & 2^{k-1}-1 & times \ , \\ 2^k & occurs & \frac{2^k+1}{3} & times \ . \end{array}$$

**Proof:** To determine the distribution of the crosscorrelation function  $C_d(\tau)+1$  we need to compute the distribution of S(a) as in (4) for  $a \in GF(2^k)^*$ . We divide the proof into two cases depending on the parity of l.

#### Case 1: (l even)

In this case,  $gcd(2^{l}+1, 2^{m}-1) = 1$ . Therefore, substituting  $x = y^{2^{l}+1}$  in the expression for S(a) and since  $d(2^{l}+1)(2^{k}+1) \equiv 2^{i}(2^{k}+1) \pmod{2^{m}-1}$ , we are lead to

$$S(a) = \sum_{y \in \mathrm{GF}(2^m)} (-1)^{\mathrm{Tr}_m(ay^{2^l+1}) + \mathrm{Tr}_k(y^{2^k+1})} = S_0(a) \ ,$$

where  $S_0(a)$  is defined in Lemma 8. The distribution of S(a) for even values of l follows, therefore, from the distribution of  $S_0(a)$  given in Corollary 2.

#### Case 2: (l odd)

To calculate S(a), we first observe that  $gcd(2^{l}+1, 2^{m}-1) = 3$ . Therefore, if we let  $x = y^{2^{l}+1}$ , then x runs through all cubes in  $GF(2^{m})$  three times when y runs through  $GF(2^{m})$ . Thereafter, let  $x = ry^{2^{l}+1}$ , where r is a noncube in  $GF(2^{m})$ and finally  $x = r^{-1}y^{2^{l}+1}$ . When y runs through  $GF(2^{m})$  then x will run through

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But

 $GF(2^m)$  three times. We select r as a noncube in  $GF(2^m)$  such that  $r^{2^k+1} = 1$ . Further, since  $d(2^l+1)(2^k+1) \equiv 2^i(2^k+1) \pmod{2^m-1}$ , we obtain

$$3S(a) = \sum_{y \in \mathrm{GF}(2^m)} (-1)^{\mathrm{Tr}_m(ay^{2^l+1}) + \mathrm{Tr}_k(y^{2^{k+1}})} + \sum_{y \in \mathrm{GF}(2^m)} (-1)^{\mathrm{Tr}_m(ray^{2^l+1}) + \mathrm{Tr}_k(y^{2^{k+1}})} + \sum_{y \in \mathrm{GF}(2^m)} (-1)^{\mathrm{Tr}_m(r^{-1}ay^{2^l+1}) + \mathrm{Tr}_k(y^{2^{k+1}})} = \sum_{i=0}^2 S_i(a) ,$$

where  $S_i(a)$  are defined as in Lemmas 8 and 9.

By Lemma 9 we also have that  $S_1(a) = S_2(a)$  and

$$S_1(a)^2 = 2^m T_a \quad ,$$

where  $T_a$  is the number of zeros in  $GF(2^m)$  of  $L_a(z) = z^{2^{k+l}} + r^{2^l}a^{2^l}z^{2^{2l}} + raz$ . From Lemma 7 Item (i) it follows that  $T_a = 1$  or  $T_a = 4$  and, therefore, by Lemma 9, we have  $S_1(a) = S_2(a) = \pm 2^k$  or  $S_1(a) = S_2(a) = \pm 2^{k+1}$ .

**Case a:** In the case when  $\operatorname{Tr}_k(v_0) = 0$ , where  $v_0 = R(a^{-1})$  and R(v) is defined in (6), which by Theorem 1, occurs for  $2^{k-1} - 1$  distinct values of  $a \in M_2$ , it follows from Lemma 7 Item (ii) that  $T_a = 1$ . Therefore, by Lemma 9 we have  $S_1^2(a) = 2^m$ , i.e.,  $S_1(a) = S_2(a) = \pm 2^k$ . Since  $a \in M_2$  and by Corollary 2,  $S_0(a) = 2^{k+1}$ . Furthermore, since  $S(a) = (S_0(a) + S_1(a) + S_2(a))/3$  is an integer, it follows that only  $S_1(a) = S_2(a) = -2^k$  is possible and, therefore, S(a) = 0.

**Case b:** In the case when  $\operatorname{Tr}_k(v_0) = 1$  and  $A_a(v) = a^{2^l}v^{2^{2l}} + v^{2^l} + av + 1$  has four zeros in  $\operatorname{GF}(2^k)$ , which by Theorem 1, occurs for  $(2^{k-1}-1)/3$  distinct values of  $a \in M_4$ , by Corollary 2 we have  $S_0(a) = -2^{k+2}$ . Since  $S_1(a) = S_2(a) = \pm 2^k$ or  $S_1(a) = S_2(a) = \pm 2^{k+1}$  and S(a) is an integer, only two of the four sign combinations are possible, leading in this case to S(a) = 0 or  $S(a) = -2^{k+1}$ .

**Case c:** In the case when  $\text{Tr}_k(v_0) = 1$  and  $A_a(v)$  has one zero in  $\text{GF}(2^k)$ , which by Theorem 1, occurs for  $(2^k + 1)/3$  distinct values of  $a \in M_1$ , Corollary 2 gives  $S_0(a) = -2^k$ . Since  $S_1(a) = S_2(a) = \pm 2^k$  or  $S_1(a) = S_2(a) = \pm 2^{k+1}$  and S(a) is an integer, only two of the four sign combinations are possible, leading to  $S(a) = -2^k$  or  $S(a) = 2^k$ .

The three cases above give in total the possible values  $0, \pm 2^k, -2^{k+1}$  for S(a). We next use the expressions for the sum and the square sum of  $C_d(\tau) + 1$  to obtain a set of equations to determine the complete correlation distribution.

Suppose the crosscorrelation function  $C_d(\tau) + 1$  takes on the value zero r times, the value  $2^k$  is taken on s times, the value  $-2^k$  occurs t times and the

m	Proved in [4]	Proved in [6]	Newly found
6	3	3	
10	11	7	
14	43	15	27
18	171	31	103
22	683	63	231,  365,  411
26	2731	127	911,1243,1639

Table 1: Exponents d giving three-valued crosscorrelation

value  $-2^{k+1}$  occurs v times. From Lemma 1 it follows that

$$r + s + t + v = 2^{k} - 1 
 2^{k}s - 2^{k}t - 2^{k+1}v = 2^{k} 
 2^{2k}s + 2^{2k}t + 2^{2k+2}v = 2^{m}(2^{k} - 1)$$

This implies

Since  $S(a) = \pm 2^k$  is only possible in Case 3, when  $\operatorname{Tr}_k(v_0) = 1$  and  $A_a(v)$  has one zero in  $\operatorname{GF}(2^k)$ , which occurs  $(2^k + 1)/3$  times, we get  $s + t = (2^k + 1)/3$ . From the last equation this leads to  $v = (2^{k-1} - 1)/3$  and therefore from the first equation  $r = 2^{k-1} - 1$ . Finally, using the second equation, we get t = 0 and  $s = (2^k + 1)/3$ .

In the following, we conjecture that all the cases with the three-valued crosscorrelation fall under the conditions of our main theorem. The conjecture has been verified numerically for all  $m \leq 26$  and these results are presented in Table 1.

**Conjecture 1** Only those cases described in Corollary 1 lead to the three-valued crosscorrelation between two m-sequences of different lengths  $2^m - 1$  and  $2^k - 1$ , where m = 2k.

# 6 Conclusion

We have identified new pairs of *m*-sequences having different lengths  $2^m - 1$  and  $2^k - 1$ , where m = 2k, with three-valued crosscorrelation and we have completely determined the crosscorrelation distribution. These pairs differ from the

sequences in the Kasami family by the property that instead of the decimation d = 1 we take such a d that  $d(2^{l} + 1) \equiv 2^{i} \pmod{2^{k} - 1}$  for some integer l and  $i \ge 0$ , where k is odd and gcd(l, k) = 1. We conjecture that our result covers all the three-valued cases for the crosscorrelation of m-sequences with the described parameters.

# Acknowledgment

The authors would like to thank the anonymous reviewers for suggesting a shorter proof of Lemmas 6 and 9 and for thorough reviews containing constructive comments and valuable suggestions that helped to improve the manuscript significantly.

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