# Leveraging Smooth Deformation Augmentation for LiDAR Point Cloud Semantic Segmentation

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Abstract—Existing data augmentation approaches on LiDAR point cloud are mostly developed on rigid transformation, such 2 as rotation, flipping, or copy-based and mix-based methods, 3 lacking the capability to generate diverse samples that depict 4 smooth deformations in real-world scenarios. In response, we 5 propose a novel and effective LiDAR point cloud augmentation approach with smooth deformations that can enrich the diversity of training data while keeping the topology of instances and 8 scenes simultaneously. The whole augmentation pipeline can be separated into two different parts: scene augmentation and 10 instance augmentation. To simplify the selection of deformation 11 functions and ensure control over augmentation outcomes, we 12 13 propose three effective strategies: residual mapping, space decou-14 pling, and function periodization, respectively. We also propose an effective prior-based location sampling algorithm to paste 15 instances on a more reasonable area in the scenes. Extensive ex-16 periments on both the SemanticKITTI and nuScenes challenging 17 datasets demonstrate the effectiveness of our proposed approach 18 across various baselines. The codes are publicly available at 19 https://github.com/skyshoumeng/SmoothDA. 20

Index Terms—LiDAR Augmentation, Smooth Deformation,
 Semantic Segmentation.

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# I. INTRODUCTION

LiDAR point cloud semantic segmentation plays a cru-24 cial role in environment understanding for autonomous driv-25 ing [3]-[5]. It is also very important for downstream tasks 26 of autonomous driving, such as trajectory prediction [6] and 27 motion planning [7]. Data augmentation is proven to be one 28 of the most crucial and practical techniques in enhancing 29 model performance without additional computation costs in 30 the test phase [8]-[12]. This is especially true for tasks 31 such as LiDAR point cloud semantic segmentation [3], [13]-32 [16], where creating a large dataset is extremely difficult and 33 requires extensive labor work. 34

Data-driven deep models often require abundant data to sufficiently understand complex LiDAR point clouds in realworld scenarios. In contrast to images with lattice structures, point clouds are unordered sets of points without inherent structure [17]. Therefore, while data augmentation is relatively

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J. Pu is with the Institute of Science and Technology for Brain-Inspired Intelligence, Fudan University, Shanghai, 200433, China (e-mail: jianpu@fudan.edu.cn) common for images [18]–[21], it has been relatively underexplored for LiDAR point clouds [1], [22], [23].

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Most of the existing methods are based on rigid transfor-42 mations, such as the commonly used rotation and flipping. 43 Despite some great progress has been made in recent years, 44 such as the copy-paste based approaches [24] or Mix-based ap-45 proaches [1], [25]. PointMixup [26] proposed to produce new 46 examples through an interpolation between two scans of point 47 clouds. Copy-Paste [24] proposed to simply copy instances 48 from other scenes and then paste them into the current scenes 49 directly. PolarMix [1] proposed to enrich the diversity of the 50 point cloud through two cross-scan augmentation strategies. 51 They all lack consideration of cases where smooth deforma-52 tions happen in real-world scenarios [17], [27], such as walk-53 ing people or a winding road, which is also very important for 54 the diversity of the datasets. Only a few methods are based on 55 local deformations now, such as [17], [28], [29]. PointAugment 56 [28] proposed an adversarial learning framework to optimize 57 an augmented neural network and a task-specific network 58 jointly. PointWOLF [17] proposed to generate the augmented 59 results by applying locally weighted transformations centered 60 at multiple anchor points in the object. PA-AUG [29] proposed 61 to divide instances into partitions and then stochastically apply 62 five different augmentation methods to each local region. 63 However, the above approaches only apply to the point clouds 64 of objects well. This is attributable to the LiDAR point 65 clouds in the outdoor environments were distributed over a 66 wide range [30]–[32], the method should be effective and the 67 augmented results should be reasonable everywhere instead 68 of a single object. In addition, compared with the simple 69 rigid transformations, the augmentation with local deformation 70 transformation is more sophisticated and uncontrollable [17], 71 [27]. Overall, these factors result in augmentation approaches 72 based on deformations for the LiDAR point cloud have not 73 been fully investigated. 74

In this paper, we focus on LiDAR point cloud augmentation 75 with the aim of alleviating the issue of data scarcity for 3D 76 semantic segmentation. Specifically, we propose a novel and 77 effective augmentation approach with smooth deformations 78 for the LiDAR point clouds semantic segmentation task. 79 To simplify the selection and design of the deformation 80 functions, three strategies were proposed: residual mapping, 81 space decoupling, and function periodization. First, drawing 82 inspiration from the residual mapping in ResNet [33], instead 83 of designing a function that maps the source point to the 84 target point directly, we only need to design a function that 85 maps the source point to the offset between the source and 86 target point. Second, we decouple the raw transformation 87

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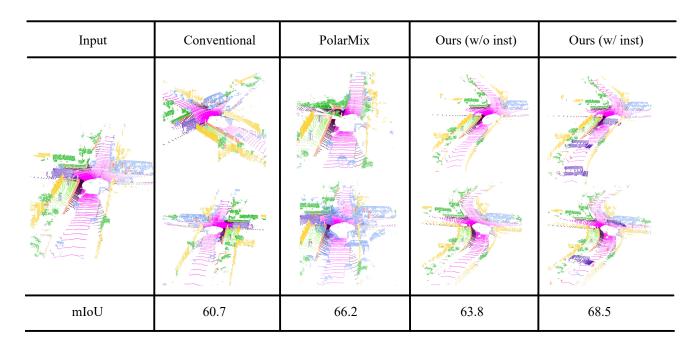


Fig. 1. The overall comparison between the conventional method, recent state-of-the-art (SOTA) method PolarMix [1], and our proposed augmentation approach with smooth deformation. The second row shows some augmented samples generated by the corresponding method. The third row is the final performance of the model trained with each augmentation approach on the SemanticKITTI validation set [2].

mapping  $\{x, y, z\} \rightarrow \{x', y', z'\}$  into three different mapping 88 pairs, making the design of the mapping functions easier and 89 the augmentation process more controllable. Third, given the 90 LiDAR point clouds were distributed over a wide range, we 91 adopt a periodization to the function, allowing concentration 92 on a localized area during augmentation function design. In 93 addition, to address the unbalanced class in the datasets [2], 94 [31], we further propose an effective prior-based location sam-95 pling algorithm, from which a more reasonable location can 96 97 be obtained when we perform the copy-paste-based instance augmentation operation. Finally, we evaluate the effective-98 ness of our proposed approach on both the challenging Se-99 manticKITTI and nuScenes datasets across different baselines 100 and the experimental results show significant improvement in 101 performance on both datasets. The overall comparison between 102 the conventional method, the recent SOTA method Polarmix 103 [1], and our proposed smooth deformation augmentation ap-104 proach on the Semantic KITTI val set is shown in Figure 1. 105

<sup>106</sup> Our contributions are summarized as follows:

1. We propose a novel LiDAR point cloud augmentation
 approach with smooth deformations for the semantic segmen tation task, our approach can enrich the training data diversity
 and boost the performance of baselines effectively.

2. We propose three different strategies: residual mapping, space decoupling, and function periodization, to simplify the selection and design of the deformation augmentation functions, and also make the augmentation results more flexible and controllable.

3. We propose a simple and effective prior-based location
sampling algorithm, which can place the augmented instances
in a more feasible area.

4. We conduct extensive experiments and show substantial

and consistent improvements in performance by adopting our proposed augmentation approach.

# II. RELATED WORK

In this section, we give a brief overview of the data augmentation approaches for point clouds and LiDAR semantic segmentation tasks. We further divide the data augmentation part into two different categories: Augmentation with Rigid Transformation and Augmentation with Deformation and divide the LiDAR semantic segmentation part into 2D-based methods, 3D-based methods, and Fusion-based Methods.

# A. Data Augmentation

Augmentation with Rigid Transformation. Conventional 131 augmentation methods including rotation, flipping, scaling, 132 and perspective transformation are widely used in many recent 133 works, such as [34]–[37], which are typically useful in many 134 cases. Inspired by Mixup [25], PointMixup [26] proposed an 135 interpolation method that produces new examples through an 136 optimal assignment of the path function between two point 137 clouds. Autoaugment [38] proposed to use of reinforcement 138 learning technologies to find the best choices and orders of 139 the augmentation actions to achieve the best performance. In 140 Fast AutoAugment [38], a more efficient search strategy is 141 proposed based on density matching, which does not require 142 any back-propagation for network training for each policy 143 evaluation. InstraBoost [39] proposed to generate a location 144 probability map to explore the feasible locations where in-145 stances can be placed. By sampling feasible locations from 146 the local contour similarity heatmap, a significant performance 147 improvement can be achieved. Lidar-Aug [40] proposed a 148 plug-and-play rendering-based LiDAR augmentation module 149

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to enrich the training data and boost the performance of the 150 model. By leveraging the rendering technique to compose 151 the augmented objects into the real background frames, the 152 occlusion constraints are automatically enforced. RS-Aug [41] 153 proposed a Realistic Simulator based data augmentation ap-154 proach, and a heuristic search based object insertion scheme 155 is also proposed to enhance rendering quality with collision 156 and distance constraints. Both of the above methods require the 157 use of simulators to enrich the diversity of objects. SageMix 158 [42] proposed a saliency-guided Mixup augmentation for point 159 clouds to make sure that the salient local structures are 160 preserved. Polarmix [1] proposed to enrich the distribution 161 of the point cloud and preserve the fidelity of the point cloud 162 through two cross-scan augmentation strategies: scene-level 163 and instance-level respectively. For the scene-level augmen-164 tation, points within the same azimuth angle range are ex-165 changed, while for the instance-level augmentation, the points 166 selected from another scan were rotated for multiple copies 167 and then pasted into another scan. In [43], the authors proposed 168 Point Augmentation (PA)-RCNN which aim for small object 169 detection task through generating complementary features. 170 In [44], the authors proposed to improve the robustness of 171 LiDAR-based perception methods in adverse weather with 172 different data augmentation techniques, which demonstrates 173 that data augmentation can effectively enhance the model's 174 generalization ability in different scenarios. LidarAugment 175 [45] introduced a search-based approach for LiDAR point 176 clouds augmentation. However, the augmentation policies in 177 the search space still come from conventional augmentation 178 methods. They all lack consideration of cases where smooth 179 deformation happens in real-world scenarios. 180

Augmentation with Deformation. PointAugment [28] pro-181 posed an adversarial learning strategy to jointly optimize an 182 augmented network and a task-specific network. The learnable 183 point augmentation function was formulated with a shape-wise 184 transformation and a point-wise displacement, and a specific 185 loss function was carefully designed to enable the model to 186 adjust the augmentation magnitude based on the learning state 187 of the model for the main task dynamically, which allowed 188 the generation augmented samples that are more suitable for 189 any training stage of the task. In PointWOLF [17], it pro-190 posed to generate the augmented samples by locally weighted 191 transformations centered at multiple anchor points, the method 192 can produce diverse and realistic augmented samples with 193 smoothly varying deformations formulated as a kernel re-194 gression. PatchAugment [27] proposed a new augmentation 195 framework, in which different augmentation techniques were 196 applied to different local neighborhoods. In PA-AUG [29], it 197 also divides instances into partitions and stochastically applies 198 five augmentation methods to each local region, then the rich 199 information of labels can be better utilized in augmentation. 200 3D-VField [46] introduced a new data augmentation approach 201 that generates reasonably deformed objects via vector fields 202 learned in an adversarial fashion. The method is targeted 203 for object detection task and therefore operates only on the 204 instances level. Therefore, these methods are specifically de-205 signed for the objects, and not suitable for large-scale LiDAR 206 point clouds. 207

# B. LiDAR Semantic Segmentation

Point cloud semantic segmentation is one of the most 209 fundamental tasks for autonomous driving [3], [15], [16], 210 which aims to provide precise semantic information about 211 the surrounding environment. As the 3D Light Detection and 212 Ranging (LiDAR) sensor can capture more precise and farther-213 away distance measurements of the surrounding environment 214 than conventional visual cameras, it has gradually become an 215 indispensable device in many other scenarios. Currently, the 216 methods for LiDAR semantic segmentation can be categorized 217 into three categories: 2D-based, 3D-based, and fusion-based 218 methods. 219

2D-based Methods. 2D-based Methods can also be referred 220 aa projection-based methods, which can be further divided 221 projection-based methods into two different categories: Range 222 View projection (RV) and Bird's-Eye-View projection (BEV). 223 For the range-based methods: RangeNet++ [47] proposed a 224 deep-learning-supported approach to exploit the potential of 225 range images and 2D convolutions, and a GPU-accelerated 226 post-processing K-Nearest-Neighbor (KNN) approach is fur-227 ther proposed to recover consistent semantic information dur-228 ing inference for entire LiDAR scans. KPRNet [48] improved 229 the convolutional neural network architecture for the feature 230 extraction of the range image, and the commonly used post-231 processing techniques such as KNN were replaced with KP-232 Conv [49], which is a learnable pointwise component and 233 allows for more accurate semantic class prediction. For BEV-234 based approaches, which are consistent with the currently 235 popular representation in BEV space [50], [51]. PolarNet [52] 236 proposed to use the polar Bird's-Eye-View representation to 237 balance the spatial distribution of points in the coordinate 238 system, and a ring convolution operation was also devel-239 oped that was more suitable for the polar Bird's-Eye-View 240 representation. Panoptic-PolarNet [34] was proposed based 24 on PolarNet, which is a proposal-free LiDAR point cloud 242 panoptic segmentation network and can cluster instances on 243 top of the semantic segmentation efficiently. 244

3D-based Methods. 3D-based Methods can be further 245 divided into point-based methods and voxel-based methods. 246 For the point-based method, KPConv [49] proposed a new kind 247 of 3D point convolution that operates on point clouds without 248 any intermediate representation. RandLA-Net [53] proposed 249 an efficient network architecture for directly inferring per-point 250 semantics on large-scale 3D point clouds. It uses random point 25 sampling instead of more complex point sampling approaches 252 for efficiency. it also introduced a local feature aggregation 253 module to preserve geometric details by progressively in-254 creasing the receptive field for each 3D point. For voxel-255 based methods, which generally achieve better performance 256 than point-based methods. MinkNet [54] proposed a general-257 ized 3D sparse convolution and an auto-differentiation library 258 for sparse tensors was proposed. SPVCNN [55] proposed a 259 lightweight 3D module that can boost the performance on 260 the 3D scene understanding tasks effectively. In Cylinder3D 261 [56], it introduced a novel Cylindrical and Asymmetrical 3D 262 Convolution framework, which can effectively and robustly 263 explore the 3D geometric pattern and tackle the difficulties 264

caused by sparsity and varying density of point clouds. (AF)2S3Net [36] proposed a multibranch attentive feature fusion
module in the encoder and an adaptive feature selection
module with feature map re-weighting in the decoder. It fuses
the voxel-based learning and point-based learning methods
into a unified framework to process the potentially large 3D
scene effectively.

Fusion-based Methods. As 2D-based (range and BEV) 272 methods and 3D-based (point and voxel) methods have differ-273 ent advantages while suffering from their own shortcomings 274 in the semantic segmentation task [57]. So it is intuitive 275 to fuse information from different views together for bet-276 ter segmentation performance. AMVNet [58] proposed an 277 assertion-based multiview(range, BEV) fusion network for Li-278 DAR semantic segmentation, where the features of individual 279 views were fused later with an assertion-guided sampling 280 strategy. RPVNet [57] devised a deep fusion framework with 281 multiple and mutual information interactions among three 282 (range, point, and voxel) different views to make feature fusion 283 more effective and flexible. GFNet [59] introduced a geometric 284 flow network to better explore the geometric correspondence 285 between two different views(range, BEV) in an align-before-286 fuse manner. CPGNet [60] proposed a cascade Point-Grid 287 Fusion Network (CPGNet) effective feature extraction with 288 minimal information loss and a consistency loss for better 289 inference performance. 290

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# III. METHOD

In this section, we first provided the details of smooth 292 deformation augmentation function selection and design in 293 Section III-A, including three strategies: residual mapping, 294 space decoupling, and function periodization. Then we de-295 scribe the whole non-rigid augmentation pipeline for LiDAR 296 point clouds in Section III-B, which also contains three differ-297 ent modules: the instance augmentation module, prior-based 298 location sampling module, and scene augmentation module. 299

# 300 A. Deformation Function Design

The deformation augmentation function presents an ex-301 pansive search space [61], [62], making the selection of 302 appropriate augmentation functions a considerable challenge. 303 To address this issue, we identify specific desired properties 304 to narrow down the search space. Specifically, we categorized 305 the desired properties from three different aspects, namely, 306 continuity of function, scale consistency, and computational 307 efficiency. 308

First, it is imperative that augmentation functions exhibit 309 continuity or smoothness; without this attribute, instances risk 310 disintegration after augmentation. Second, the size of the 311 augmented instance should remain relatively consistent with 312 its original dimensions, as the size is a critical attribute of an 313 object. For instance, it would be incongruous to encounter a 314 five-meter giant or a mere ten-centimeter individual. Finally, 315 the function should preferably be computationally efficient in 316 practice. 317

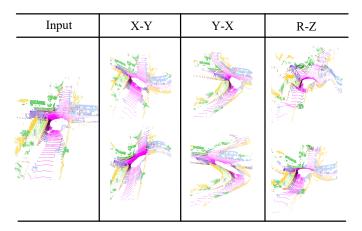


Fig. 2. The visualization of the space decoupling strategy. Here, we assume that the horizontal direction is the x-axis, and the vertical direction is the y-axis. For the second (X-Y) column, the y-coordinate is determined as a function of the x-coordinate. In the third column (Y-X), the x-coordinate is derived as a function of the y-coordinate. Lastly, in the column labeled (R-Z), the x-coordinate is defined as a function of the radius r.

1) Residual Mapping: For a deformation augmentation operation, establishing a direct mapping between the raw points and augmented points is challenging due to the vast number of points and their expansive range.

Inspired by the deep residual network [33], we propose 122 to focus on generating the residual coordinates for each 123 point rather than directly computing the final augmented 124 coordinates. Specifically, for a given point cloud scan P = 125 $\{p_0, p_1, \ldots, p_{N-1}\}$ , the deformation augmentation function 126  $\phi()$  doesn't aim to yield the target coordinates P' = 127 $\{p_0, p_1', \ldots, p_{N-1}'\}$ , 128

we only need to compute the residual coordinates, represented by P' - P, thus the augmentation process becomes:

$$P' = P + \alpha \phi(P), \tag{1}$$

where  $\alpha$  represents a scale parameter. The residual coordinate generation has the following advantages: first, as mentioned in [33], the residual function is relatively simple and easier to learn, which also makes the design of the augmentation function easier. Second, the augmented samples were only affected by the residual branch, so the magnitude of augmentation can be easily controlled by  $\alpha$ .

2) Space Decoupling: While the residual mapping strategy 338 can make the design of the augmentation function much 339 easier, the task remains complex due to the interdependence 340 of spatial coordinates. Generally, the augmentation function 341 takes the coordinates  $\{x, y, z\}$  of each point as input, and the 342 corresponding offset  $\{x' - x, y' - y, z' - z\}$  is generated. 343 The coupling between three coordinates makes controlling 344 augmented results more difficult. However, in the real-world 345 scenario, the  $\{x, y, z\}$  three-dimensional space is not always 346 coupled. For instance, the road may be undulating while 347 straightforward, or a winding and twisting road but very 348 smooth at the same time. Based on the above observations, we 349 propose space decoupling to further simplify the augmentation 350 function design. Specifically, for the raw coupled mapping: 35

$$\{x, y, z\} \to \{x', y', z'\},$$
 (2)

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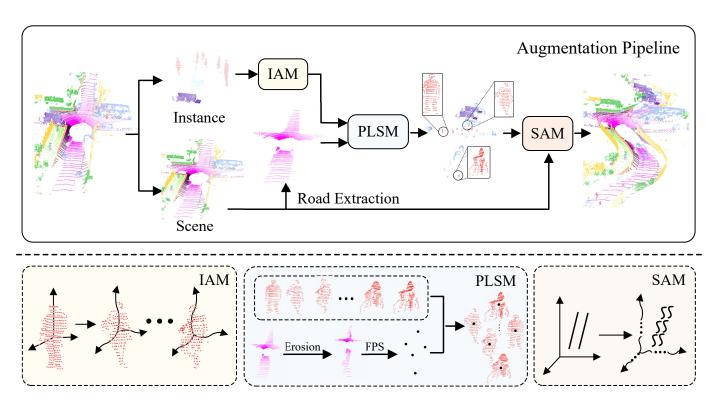


Fig. 3. The pipeline of our proposed augmentation approach. Given a scan of a point cloud, it is first divided into two different parts: 'scene' and 'things' [63]. Then Instance Aug Module (IAM) takes the instances as input, the augmented instances are input into the prior-based Location Sampling (PLSM) module and then they are placed in the sampled locations on the road area. Next, the augmented instances and the scene are combined to form a new scan with more instances. Finally, the new scan is input into the Scene Aug Module (SAM) to obtain the final augmented result. The augmented point clouds are taken as input for model training.

we decouple it into three different mapping pairs:

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$$\{x \to y' - y\}; \{y \to x' - x\}; \{r \to z' - z\}, \qquad (3)$$

where  $r = \sqrt{(x^2 + y^2)}$ . The above equation shows that for 353 each mapping pair, we only need to consider the impact of one 354 dimension on another dimension, without taking into account 355 the impact of all dimensions, which simplifies the design and 356 selection of the augmentation function. The concept of space 357 decoupling is illustrated in Figure 2. From the visualization, it 358 can be seen that for each decoupled pair, the generated samples 359 are both intuitive and plausible within real-world contexts. 360

3) Function Periodization: With residual mapping and 361 space decoupling, the selection and design of the augmen-362 tation function have been largely simplified. However, as the 363 coordinates of the input points were distributed over a wide 364 range in the real-world scenario [2], [31], the functions for 365 augmentations should be under control over a wide range 366 of inputs. The commonly used functions, such as functions 367 from the binomial family or gaussian family may not handle 368 this situation well, as their outputs could exhibit significant 369 variations across different regions of a point cloud. 370

To overcome the aforementioned challenges, we propose periodizing a simple augmentation function which tailored for a localized area to encompass the entire scan of points. Despite its simplicity, the periodization strategy greatly facilitates the selection and design of the augmentation. which also means that the selected function can be adapted to both the instances and scenes, making our method more concise and unified. Finally, the overall deformation augmentation for the point 378 cloud can be expressed as: 379

$$x' = x + \phi(y, f_y * \xi(.), \beta_y * \xi(.)) * \alpha_y * \xi(.),$$
  

$$y' = y + \phi(x, f_x * \xi(.), \beta_x * \xi(.)) * \alpha_x * \xi(.),$$
  

$$z' = z + \phi(r, f_z * \xi(.), \beta_z * \xi(.)) * \alpha_z * \xi(.),$$
(4)

where  $\phi(.)$  is a periodic function,  $\xi(.)$  generates random numbers between 0-1, r is the radius distance,  $f_x * \xi(.)$ ,  $f_y * \xi(.)$  and  $f_z * \xi(.)$  controlling the frequency of deformation augmentation function,  $\beta_x * \xi(.)$ ,  $\beta_y * \xi(.)$  and  $\beta_z * \xi(.)$ controlling the phase of the periodic function,  $\alpha_x$ ,  $\alpha_y$ , and  $\alpha_z$  is the amplitude of augmentation, with distinct values designated for instance and scene augmentation.

Next, we provide further descriptions and explanations 387 about Equation 4. First, we observe that the augmentation is 388 applied in a residual manner. Specifically, for each dimension 389 x, y, and z, the change before and after augmentation can be 390 simply represented as  $x' = x + x_{\text{offset}}, y' = y + y_{\text{offset}}$ , and 391  $z' = z + z_{\text{offset}}$ , respectively. Then, for the offset generation, 392 taking  $x_{offset}$  as an example, from the first line of Equation 4, 393 we can see that  $x_{\text{offset}}$  only depends on y among the three x, y, 394 and z dimensions. This simplifies the generation of  $x_{\text{offset}}$  as we 395 only need to consider the y dimension rather than interference 396 from x and z. It should be noted that the simplification 397 remains consistent with potential real-world scenarios like a 398 winding, twisting, yet smooth road. Finally, since we chose 399  $\phi(.)$  as a periodic function, we only need to ensure the offset 400 output from  $\phi(.)$  is reasonable within one period. This frees us 401

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	car	bicycle	person	motorcyclist	truck
Raw	<u>g</u>				
Aug			IN THE REAL		

Fig. 4. Visualization of augmented instances.

from considering the large-scale distribution of LiDAR point 402 cloud scenes when designing and selecting parameters for the 403 augmentation function. 404

### **B.** Augmentation Pipeline 405

The overall framework of our proposed approach is illus-406 trated in Figure 3. It consists of three main modules: an 407 Instance Augmentation Module (IAM), a Prior-based Location 408 Sampling Module (PRLS), and a Scene Augmentation Module 409 (SAM). First, the raw point cloud is separated into two 410 different parts through the ground truth label: instance and 411 scene [63]. For the instance branch, the separated instances are 412 fed to the instance augmentation module for the deformation 413 augmentation. Next, we use the prior-based location sampling 414 module to generate multiple candidate locations. Then we 415 paste each instance on the sampled location. Finally, the whole 416 point cloud is fed to the scene augmentation module and the 417 global deformation transformation is performed. 418

1) Instance Augmentation: As the instance may be dis-419 tributed over a wide range in the point cloud, a decentralization 420 operation is performed before the deformation augmentation 421 operation is performed. Although the deformation augmenta-422 tion operation can increase the diversity of the samples, the 423 unbalanced count of classes in the datasets was not yet solved. 424 To address this, we propose to generate more samples with 425 diversity by applying the augmentation operation on each in-426 stance multiple times with different augmentation parameters. 427 Specifically, for each instance I, we generate more than one 428 augmented sample  $I_{aug} = \{\mathcal{F}(I, \theta_1), \mathcal{F}(I, \theta_2), \dots, \mathcal{F}(I, \theta_k)\},\$ 429 where  $\mathcal{F}(,)$  is the augmentation operation,  $\theta_i$  is the different 430 augmentation parameters, k is the number of augmented 431 samples we want to generate. We visualize some augmented 432 instances in Figure 4. 433

Then we need to paste the augmented instances into the 434 scene. In copy-paste [24], the instances are copied from other 435 scenes and pasted directly, the place in one scene may be 436 inappropriate for another. In PolarMix [1], the instances were 437 simply cut from another scan and then rotated before being 438 pasted to the current scan. Both methodologies overlook a 439 further exploration regarding the placement of instances. To 440 tackle these challenges, we further propose a more reasonable 441 and effective prior-based location sampling algorithm. 442

2) Prior-based Location Sampling: In [24], the authors 443 utilize a plane equation to represent the road, ensuring that 444 augmented instances remain grounded. While this provides a 445 foundational approach, we go a step further for the prior-based 446 location generation. 447

Algorithm 1 Semantic Segmentation Model Training Procedure with Our Proposed Augmentation Approach

# **Require:**

- 1: Dataset  $\mathcal{D}$ : LiDAR points, semantic label, and panoptic label:  $P, Y_S, Y_P$ ;
- 2: Deformation augmentation operation  $\mathcal{F}(,)$ , Parameter space  $\Theta_1, \Theta_2$  for scene augmentation and instance augmentation respectively. Max augmented times for each instance n;
- 3: Initialized Segmentation Model  $M_{init}$ ;
- 4: Maximum training iteration MAX<sub>iter</sub>.
- **Ensure:** Trained Model  $M_{trained}$ .
- 5: while  $iter < MAX_{iter}$  do
- Sample batch of D:  $B \sim \mathcal{D}, B = \{P_0, ..., P_{len(B)}\};$ 6:
- 7: Augmentation results:  $R_{aug} = \emptyset$
- 8: for P in B do

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- Separate each scan P into scene  $P_S$  and instances 9:  $P_I$  with ground-truth label  $Y_S$ ; Divide  $P_I$  into separated instance: Instance =10:  $\{I_1, I_2, ..., I_n\}$  with ground-truth label  $Y_P$ ;
  - // For instance augmentation // Initialize instance bank  $IB = \emptyset$
  - for inst in Instance do Sampling augmentation times K in [0, n];
    - for k in range(K) do Sampling parameters  $\theta_1$  in  $\Theta_1$ :
      - $inst_{aug} = \mathcal{F}(inst, \theta_1, centering = True)$
      - $IB.append(inst_{aug})$

end for end for // For prior-based FPS // Separate points of road  $P_r$  with label  $Y_S$ ; Farthest Sampling length(IB) points:  $\{p_1, p_2, ..., p_{length(IB)}\}$  = Prior-based FPS( $P_r$ ) for i in range(length(IB)) do Paste instance IB[i] at  $p_i$  on scene  $P_S$ ; end for // For scene augmentation // Sampling augmentation parameters  $\theta_2$  in  $\Theta_2$ :  $scene_{aug} = \mathcal{F}(P_S, \theta_2, centering = False)$  $R_{aug}$ .append(scene<sub>aug</sub>)

end for 30:

- Input  $R_{auq}$  to model and obtain the predictions; 31:
- Back-propagate and update parameters of the model; 32:
- iter = iter + 1;33:

34: end while

35: Return the trained model  $M_{trained}$ .

Specifically, given a scan of point clouds P, first, an 448 appropriate Region of interest (ROI) area is cropped, and only 449 the points residing within this ROI undergo subsequent farthest 450 point sampling operation. This methodology addresses the 451 prevalent issue of outliers in point clouds, which is extremely 452 unfriendly for the FPS algorithm, as the sampled points may 453 have a large probability of being outliers. Second, we separate 454 the points of the road by the ground-truth label and project the 455 points to a predefined Bird's eye view(BEV) map following the 456

# TABLE I

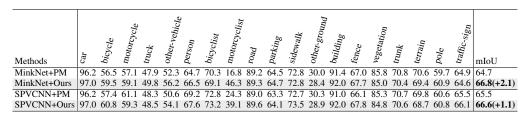
QUANTITATIVE COMPARISON OF MINKNET [54] AND SPVCNN [55] TRAINED WITH THE PROPOSED AUGMENTATION APPROACH AND OTHER METHODS. THE RESULTS ARE REPORTED IN TERMS OF THE MIOU ON THE SEMANTICKITTI VALIDATION SET. CGA INDICATES CONVENTIONAL GLOBAL AUGMENTATION WHICH INCLUDES RANDOM SCALING AND RANDOM ROTATION. IT CAN BE SEEN THAT OUR APPROACH CLEARLY ACHIEVES THE BEST SEMANTIC SEGMENTATION PERFORMANCE ACROSS DIFFERENT AUGMENTATION METHODS.

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Methods	car	bicycle	motorcycle	truck	other-vehicle	person	bicyclist	motorcyclist	road	Parking	sidewalk	other-ground	building	fence	Vegetation	trunk	terrain	Pole	traffic-sign	mIoU
MinkNet	95.9	3.7	44.9	53.2	42.1	53.7	68.9	0.0	92.8	43.0	80.0	1.8	90.5	60.0	87.4	64.5	73.3	62.1	43.7	55.9
+CGA	96.3	8.7	52.3	63.2	51.6	63.5	74.4	0.1	93.3	46.6	80.4	0.8	90.3	60.0	88.0	65.1	74.5	62.8	46.8	58.9(+3.9)
+CutMix						63.4														60.6(+5.7)
+CopyPaste						68.9														62.4(+6.5)
+Real3DAug									91.8	42.6	80.2	1.6	89.9	59.2	88.0	66.3	74.3	63.8	48.6	63.5(+7.6)
+Mix3D	96.3	29.6	61.8	68.5	55.4	72.7	77.7	1.0	94.3	52.9	81.7	0.9	89.1	55.5	88.3	69.3	74.6	65.2	50.3	62.4(+6.5)
+PolarMix	96.3	51.2	75.6	63.4	63.9	71.9	85.6	4.9	93.6	45.8	81.4	1.4	91.0	62.8	88.4	68.5	75.0	64.6	49.9	65.0 (+9.1)
+Ours	97.6	55.9	78.9	86.2	75.8	74.3	87.0	13.8	93.8	44.1	80.4	1.6	90.4	60.7	89.1	66.5	77.0	63.6	52.5	67.9(+12.0)
SPVCNN	94.9	9.1	55.8	66.5	33.7	61.8	75.9	0.2	93.1	45.3	79.6	0.4	91.4	62.7	87.5	66.2	72.9	62.8	42.7	58.0
+CGA	96.1	21.8	57.8	69.2	49.8	66.7	80.8	0.0	93.4	44.8	80.1	0.2	90.9	62.9	88.5	64.8	75.7	63.6	46.2	60.7(+2.7)
+CutMix	96.1	21.4	59.6	71.2	54.2	66.8	81.8	0.0	93.5	49.6	81.1	2.2	90.9	63.1	87.9	66.9	74.1	63.8	49.8	61.7(+3.7)
+CopyPaste	96.0	32.4	66.4	67.1	52.9	74.8	84.3	3.6	93.3	46.9	80.2	2.5	91.1	64.1	88.1	67.0	73.9	64.0	51.6	63.2(+5.2)
+Real3DAug	95.9	44.1	73.4	49.2	48.4	70.3	85.5	12.0	92.8	45.7	79.7	2.9	89.4	57.0	89.2	67.6	76.7	63.7	48.9	62.8(+4.8)
+Mix3D	96.0	32.4	66.4	67.1	52.9	74.8	84.3	3.6	93.3	46.9	80.2	2.5	91.1	64.1	88.1	67.0	73.9	64.0	51.6	63.7(+5.7)
+PolarMix	96.5	53.9	79.7	68.5	64.9	75.6	87.8	7.5	93.5	47.3	81.2	1.1	91.2	63.8	88.2	68.2	74.2	64.5	49.4	66.2 (+8.5)
+Ours	97.6	56.1	77.7	85.6	75.0	79.4	86.9	24.7	93.9	47.0	80.7	2.2	89.9	57.0	88.2	67.1	74.4	64.9	52.9	<b>68.5</b> (+10.7)

 TABLE II

 QUANTITATIVE COMPARISON OF MINKNET [54] AND SPVCNN [55] TRAINED WITH THE PROPOSED AUGMENTATION APPROACH AND OTHER METHODS.

 THE RESULTS ARE REPORTED IN TERMS OF THE MIOU ON THE SEMANTICKITTI TEST SET. IT CAN BE SEEN THAT OUR APPROACH CLEARLY ACHIEVES BETTER PERFORMANCE THAN POLARMIX.



approach in Pointpillar [64]. Depending on whether the grid 457 of maps has points or not, the grids were classified into two 458 different states: valid and empty, which are represented by "1" 459 and "0" respectively. Third, an erosion operation is performed 460 on the BEV map. Without the erosion operation, the sampled 461 locations will be mainly distributed at the boundaries of the 462 road. We refer to this as boundary effects, which are obviously 463 not appropriate in the real-world scenario. Finally, the Farthest 464 Point Sampling (FPS) is adopted for generating more uniform 465 distributed locations for instance to be pasted. 466

3) Scene Augmentation: The scene augmentation procedure 467 is more simple and straightforward compared to instance aug-468 mentation. Specifically, we first paste the instances generated 469 from IAM at the sampled location on the scene. Then the 470 whole point clouds can be processed according to the equation 471 4 but with different parameter settings {  $f_x$ ,  $f_y$ ,  $f_z$ ,  $\beta_x$ , 472  $\beta_y, \beta_z, \alpha_x, \alpha_y, \alpha_z$  }. We summarize the overall semantic 473 segmentation training procedure with our proposed smooth 474 deformation augmentation approach in Algorithm 1. Note that 475 our method operates only on the model input data, allowing 476 for seamless integration into the training process of current 477 segmentation models. 478

# IV. EXPERIMENTS

# 479 480

# A. Dataset and Metrics

Dataset. We evaluate our proposed nonrigid augmentation 481 approach over two LiDAR datasets of driving scenes that 482 have been widely adopted for benchmarking in semantic 483 segmentation. The first is SemanticKITTI [2], which is a large-484 scale dataset for semantic scene understanding using LiDAR 485 sequences. It is based on the KITTI Vision Benchmark and has 486 a dense semantic annotation for the entire KITTI Odometry 487 Benchmark. It has a total of 43,551 scans sampled from 22 488 sequences and collected in different cities in Germany. In the 489 dataset, over 21,000 are available for training (sequences 00 to 490 10), the rest (sequences 11 to 21) are used as the test set, and 491 sequence 08 is often used as the validation set. It has 19 classes 492 for training and evaluation, and the details of each class are 493 listed in Table I. The second is nuScenes-lidarseg [31], which 494 has 40,000 scans captured in a total of 1000 scenes of 20s 495 duration. It is collected with a 32 beams LiDAR sensor and 496 is sampled at 20Hz. The dataset was split into training and 497 validation sets officially. After similar classes were merged 498 and rare classes were removed, there remained 16 classes for 499 the LiDAR semantic segmentation. 500

**Evaluation Metric.** To evaluate our proposed method, we 501 follow the official guidance to leverage means intersection 502

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### TABLE III

QUANTITATIVE COMPARISON OF POLARNET [52] AND CYLINDER3D [56] TRAINED WITH THE PROPOSED AUGMENTATION APPROACH AND OTHER METHODS. THE RESULTS ARE REPORTED IN TERMS OF THE MIOU ON BOTH THE SEMANTICKITTI VALIDATION AND TEST SET. \* REPRESENTS THE RESULT REPRODUCED BY THE OFFICIALLY RELEASED CODE.

Methods	car	bicycle	motorcycle	truck	other-vehicle	Person	bicyclist	motorcyclist	road	Parking	sidewalk	other-ground	building	fence	vegetation	trunk	terrain	Pole	traffic-sign	mIoU
PolarNet	91.5	30.7	38.8	46.4	24.0	54.1	62.2	0.0	92.4	47.1	78.0	1.8	89.1	45.5	85.4	59.6	72.3	58.1	42.2	53.6
+PolarMix (val)	92.5	37.5	47.9	74.6	34.5	61.6	76.2	0.0	92.8	43.7	78.6	2.1	90.4	54.2	84.1	53.6	66.8	59.1	41.7	57.5(+3.9)
+Ours	93.1	46.7	58.1	70.7	35.8	64.3	82.4	0.0	93.9	46.5	79.9	6.2	87.1	42.0	86.6	53.3	74.2	62.2	42.0	58.9(+5.3)
PolarNet	93.8	40.3	30.1	22.9	28.5	43.2	40.2	5.6	90.8	61.7	74.4	21.7	90.0	61.3	84.0	65.5	67.8	51.8	57.5	54.3
+PolarMix (test)	94.1	33.3	29.9	24.8	36.8	53.0	61.5	34.0	89.9	61.7	71.8	15.6	90.3	63.3	84.3	63.3	64.2	53.9	58.2	57.0(+2.7)
+Ours	93.8	52.3	33.8	28.9	32.3	54.1	62.6	34.0	90.4	63.0	74.0	20.3	90.6	63.4	83.9	65.9	67.7	54.7	59.6	59.2(+4.9)
Cylinder3D*	96.1	50.7	67.1	79.0	53.4	74.4	85.6	0.0	92.7	40.7	78.3	5.4	90.6	60.2	86.2	67.7	69.8	64.6	48.2	63.7
+PolarMix (val)	96.3	53.2	67.3	64.8	60.4	75.1	87.7	10.6	94.1	47.8	80.6	2.5	89.9	57.9	87.2	70.5	72.1	65.4	50.8	64.9(+1.2)
+Ours	95.8	52.7	78.3	79.8	56.1	76.3	84.1	14.1	94.5	43.0	81.3	0.2	90.1	56.5	86.7	68.9	71.0	65.0	52.2	65.6(+1.9)
Cylinder3D*	96.7	60.1	57.4	43.2	49.6	70.0	65.1	12.0	91.6	64.6	76.0	24.3	90.0	63.4	84.8	70.7	67.6	62.0	64.0	63.9
+PolarMix (test)	96.2	64.3	57.1	30.8	47.8	72.0	67.6	30.7	91.4	65.9	76.2	18.6	90.4	64.1	84.4	72.7	67.4	62.6	64.3	64.5(+0.6)
+Ours	96.4	66.0	59.1	31.2	49.8	73.8	69.4	36.5	91.8	67.0	77.0	20.6	90.7	65.0	84.9	73.4	68.2	63.6	65.2	65.8(+1.9)

TABLE IV QUANTITATIVE COMPARISON OF MINKNET [54] AND SPVCNN [55] TRAINED WITH THE PROPOSED AUGMENTATION APPROACH AND OTHER METHODS ON THE NUSCENES VALIDATION SET. OUR APPROACH ACHIEVES SIGNIFICANT IMPROVEMENTS OVER THE TWO DIFFERENT BASELINES.

Methods	MinkNet	SPVCNN	FLOPs	Time (ms)
None	67.1	68.4	-	-
+CGA	70.2(+3.1)	69.1(+0.7)	1.0 M	1.27
+CutMix	70.4(+3.3)	71.7(+3.3)	0.1 M	0.02
+Copy-Paste	70.8(+3.7)	71.3(+2.9)	-	-
+Mix3D	70.1(+3.0)	70.5(+2.1)	-	-
+PolarMix	72.0(+4.9)	72.1(+3.7)	0.6 M	0.95
+Ours	73.3(+6.2)	73.5(+5.1)	2.6 M	2.13

<sup>503</sup> over-union (mIoU) as the evaluation metric as defined in [2].
 <sup>504</sup> The evaluation metric be formulated as:

$$IoU_c = \frac{TP_c}{TP_c + FP_c + FN_c},\tag{5}$$

where  $TP_c$ ,  $FP_c$ , and  $FN_c$  represent true positive, false positive, and false negative of class *c* respectively. The final mIoU is the mean value of IoU over all classes in the dataset.

# 508 B. Implementation Details

In our experiments, we adopt the cosine function as the 509 augmentation function  $\phi(.)$ . This function apply aligns with the 510 characteristics delineated in our analysis. For the  $\{x \rightarrow y' - y\}$ , 511  $\{y \to x' - x\}$ , and  $\{r \to z' - z\}$  mapping, the wavelength 512  $1/f_x$ ,  $1/f_y$ , and  $1/f_z$  of the cosine function is randomly 513 sampled from a uniform distribution within the interval  $[1/10\pi]$ , 514  $1/30\pi$ ], the phase of the cosine function is randomly sampled 515 from a uniform distribution within the interval  $[0, \pi]$ , and 516 amplitude of the function is randomly sampled from a uniform 517 distribution within the interval [0, 1] and [0, 10] for the 518 instance and scene, respectively. The max augmented times 519 n for each instance are set to 4 in all our experiments. For 520 the ROI crop operation in the prior-based location sampling 521 module, we randomly select an ROI area with size 70m  $\times$ 522 70m from the predefined range [-50m, 50m] in the training 523 stage. 524

# C. Experimental Results

We evaluate our proposed augmentation approach over 526 SemanticKITTI [2] and nuScenes-lidarseg [31] datasets across 527 MinkNet [54], SPVCNN [55], PolarNet [52] and Cylinder3D 528 [56] baselines. We choose MinkNet and SPVCNN as they are 529 also adopted in other augmentation methods, which allows 530 us to make a more comprehensive and fair comparison. In 531 addition, we further chose PolarNet and Cylinder3D to further 532 prove the effectiveness and generalization of our proposed 533 approach. To clarify the definition, we use CGA to represent 534 conventional global augmentation which includes random scal-535 ing and random rotation. 536

For the MinkNet and SPVCNN baselines on the Se-537 manticKITTI val set, the evaluation results are shown in Table 538 I. It can be seen that a significant improvement can be achieved 539 with our approach, which suppresses the baseline by 12.0% 540 and 10.7% mIoU respectively, and suppresses the PolarMix 541 method by 2.9% and 2.2% respectively. For the comparison 542 results on the SemanticKITTI test set, as the annotations of 543 test data are not available, predicted segmentation results are 544 submitted to the online server for a fair evaluation to prevent 545 overfitting to the test set. The result is shown in Table II. We 546 can see that our approach achieves clear performance gain 547 compared with the PolarMix [1]. We suppress the Polarmix 548 by 2.1% and 1.1% on the MinkNet and SPVCNN baseline, 549 respectively. 550

We also conduct experiments with the PolarNet [52] and 551 Cylinder3D [56] baselines on both the SemanticKITTI val and 552 test set to demonstrate the effectiveness and generalization of 553 our approach, and the results is shown in Table III. Better 554 performance is also achieved over the two different baselines. 555 Specifically, we suppress the PolarNet and Cylinder3D base-556 lines by 5.3% and 4.9% on the SemanticKITTI val set. Com-557 pared with PolarMix, we achieved an additional performance 558 gain of 1.4% and 2.2%, respectively. On the SemanticKITTI 559 test set, we suppress the baseline by 1.9% and 1.9%. Compared 560 with PolarMix, we attained an additional performance gain of 561 0.7% and 1.3%, respectively. 562

To further demonstrate the generalization of our method, we further conduct experiments with the MinkNet and SPVCNN 564

QUANTITATIVE COMPARISON OF TWO MAIN CATEGORIES: INSTANCE AND SCENE. THE EXPERIMENTAL RESULTS ARE CONDUCTED ON BOTH THE SEMANTICKITTI VALIDATION AND TEST SET. FOR THE STATISTICS OF BASELINES AND POLARMIX METHODS, WE USE THE RESULTS REPORTED IN WORKS [1], [52].

	Vá	ıl	test				
Methods	Instance	Scene	Instance	Scene			
	(mIoU)	(mIoU)	(mIoU)	(mIoU)			
MinkNet	45.3	63.6	-	-			
+PolarMix	64.1	65.7	57.7	69.7			
+Ours	71.2	65.4	62.9	69.6			
SPVCNN	49.7	64.1	-	-			
+PolarMix	66.8	65.7	60.0	69.5			
+Ours	72.9	65.3	62.5	69.7			
PolarNet	43.5	61.0	38.1	66.0			
+PolarMix	53.1	60.6	45.9	65.1			
+Ours	56.4	61.3	49.0	66.7			
Cylinder3D	63.3	64.0	60.6	66.7			
+PolarMix	67.7	62.5	61.9	66.7			
+Ours	67.2	64.5	63.8	67.6			

TABLE VI QUANTITATIVE COMPARISON BETWEEN CDA, POINTWOLF [17] AND OUR SMOOTH DEFORMATION AUGMENTATION APPROACH FOR THE INSTANCE AUGMENTATION OPERATION IN THE IAM. RESULTS ARE REPORTED ON THE SEMANTICKITTI VALIDATION SET.

Method	CDA	POINTWOLF [17]	Ours
mIoU	68.0	68.4	68.5

baselines on the nuScenes-lidarseg dataset, the evaluation 565 results are shown in Table IV. It can be seen that our ap-566 proach achieves obvious improvements over the two different 567 baseline models. We suppress the baseline model by 6.2% 568 and 5.1% mIoU, respectively. Compared with the PolarMix, 569 we achieve a 1.3% performance gain on the MinkNet baseline 570 and suppress the PolarMix by 1.4% on the SPVCNN baseline. 571 In addition, Table IV compares computational costs and 572 practical inference times across augmentation methods. For 573 FLOPs, we assume 100,000 points per scan, ignoring instance 574 operations due to their small contribution. We conducted 575 inference experiments on an Intel(R) Core(TM) i5-12500H @ 576 2.50GHz CPU, running each method 100 times and averaging. 577 Our method consumes more computational resources and CPU 578 time than others to generate augmented samples. However, 579 in training, we experimentally found negligible differences in 580 speed between the augmentation methods. 581

Taking the experimental results analysis one step further, we 582 categorize the mIoU performance into two main categories [2]: 583 the Instance mIoU and the Scene mIoU. Here, we aim to 584 compare the effectiveness of different methods on scene and 585 instance levels, as both our approach and the PolarMix contain 586 two main components: scene-level augmentation and instance-587 level augmentation. Results of the analysis are shown in Table 588 V. It can be seen that we achieve significant improvements 589 on the instance level as we have more specific designs 590 for instance augmentation (IAM and PLSM) compared with 591 scene augmentation (only scene deformation augmentation 592 is adopted), but we still achieve competitive results for the 593 Scene mIoU. Specifically, for the MinkNet and SPVCNN 594 baseline, compared with PolarMix, the performance improve-595 ments on the Instance mIoU remains significant, with 7.1% 596

TABLE VII Ablation study of each component in our proposed approach on the final performance of the SPVCNN model on the SemanticKITTI validation set.

SPVCNN	SAM	IAM	Copy paste	PLSM	mIoU
$\checkmark$					58.0
	$\checkmark$		,		$63.8 \\ 65.8$
			$\checkmark$	1	67.6
$\sqrt[v]{}$		$\checkmark$	$\checkmark$	v	67.3
	$\checkmark$	$\checkmark$		$\checkmark$	68.5

and 6.1% improvements on the val set, and 5.2% and 2.5% 597 improvements on the test set respectively. For the mIoU of 598 scene, our advantages over Polarmix become less obvious 599 or even slightly worse as our augmentation operation for 600 the scene is relatively simple, but we still achieve obvious 601 performance improvements over the baseline model, 1.8% 602 and 1.2% improvements compared with the MinkNet and 603 SPVCNN baseline. For the PolarNet baseline, we achieve a 604 consistent and significant performance improvement on both 605 the val and test set, suppress the PolarMix method by 3.3% 606 instance mIoU and 0.7% scene mIoU on the val set, and 607 improve the performance by 3.1% instance mIoU and 1.6% 608 scene mIoU on the test set. For the Cylinder3D baseline 609 method, we are slightly worse than the PolarMix by 0.5% 610 instance mIoU on the val set, but suppress PolarMix by 2% 611 scene mIoU. On the test set, we suppress the PolarMix method 612 by 1.9% instance mIoU and 0.9% scene mIoU, respectively. 613 The above experimental results demonstrate that our method 614 holds advantages over the PolarMix in both instance and scene 615 aspects, and also prove the effectiveness of the IAM, PLSM, 616 and SAM modules we designed. 617

As for object-level augmentation used in the Instance 618 Augmentation Module (IAM), we conduct experiments and 619 make comparisons with other popular augmentation meth-620 ods for instance including Conventional Data Augmentation 621 (CDA) and POINTWOLF [17], where CDA including rotation, 622 flipping, scaling, and point-wise jittering as in [65]. For a 623 fair comparison, we simply replace the method used in our 624 paper for instance augmentation with the above methods. The 625 experimental results are shown in Table VI. It can be seen 626 that our method has a slight advantage compared to methods 627 tailored for object augmentation. 628

# D. Analysis

Here, we give a more in-depth analysis of why our approach can deliver such promising performance improvement. The overall improvement in performance comes from two aspects: the performance improvement of instance classes and the performance improvement of scene classes. Correspondingly, our method can be divided into two parts: augmentation of the scene and augmentation of the instance.

Firstly, for the scene part, our method considers smooth deformations — a non-rigid point cloud transformation that has not been fully utilized in other augmentation techniques. However, such deformation transformations commonly exist in 640

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704

reality, like curved roads. Unlike other augmentation methods 641 employing only rigid transformations, our smooth deforma-642 tion augmentation preserves the continuity and topological 643 structure of point clouds while simultaneously altering the 644 3D representations during training. We believe this criti-645 cally enhances the model's generalization ability to diverse 646 scenarios by encouraging better learning and utilization of 647 structural information for semantic prediction. Furthermore, 648 experimental results in Table V clearly demonstrate significant improvements in scene segmentation performance with our 650 technique. 651

Regarding the instance part, two primary factors limit 652 instance segmentation performance: data imbalance and lack 653 of diversity. Compared to scene classes spanning hundreds of 654 thousands of points, instance point clouds typically contain 655 only hundreds or thousands of points. Many existing augmen-656 tation methods solely apply global transformations without 657 considering instance-level augmentations, resulting in poor in-658 stance segmentation performance. To address these factors, we 659 propose tailored solutions. Firstly, to mitigate data imbalance, 660 we develop an improved instance copy-paste algorithm with 661 prior location selection. Unlike original copy-paste randomly 662 pasting instances scene-wide, we incorporate constraints on 663 viable pasting locations to mimic realistic scenarios better. For instance, pedestrians and vehicles generally occupy roads; 665 thus, we limit pasting to road areas. However, roads provide 666 limited space, so random pasting risks instance conflicts. To 667 resolve this, we apply farthest point sampling (FPS) of road 668 point clouds and pasting instances across the distributed FPS 669 locations, nearly eliminating inter-instance conflicts. Secondly, 670 to improve instance diversity, we apply non-rigid smooth 671 deformations, which can demonstrably enhance model gen-672 eralization [17]. As Table VI shows, our smooth deformation 673 instance augmentations further improve model performance. 674

# 675 E. Ablation Study

To verify the effectiveness of each component, we conduct 676 ablation studies of the SPVCNN model on the SemanticKITTI 677 validation set. The experimental results are shown in Table 678 VII. We can see that only the scene augmentation can obtain 679 a 5.8% mIoU improvement, and with the copy-paste instance 680 augmentation, the performance can be improved by 2% mIoU. 681 Together with our instance deformation augmentation, the per-682 formance can be further improved by 1.5% mIoU. When the 683 copy-pasted instance augmentation operation is replaced with 684 our prior-based location sampling module, the performance 685 can obtain another 1.2% mIoU improvement. All the above 686 results demonstrate the effectiveness of each component of 687 our proposed approach. 688

# 689 F. Sensitivity Analysis

We further conduct a sensitivity analysis for the frequency parameters  $f_x$ ,  $f_y$ ,  $f_z$ , and amplitude parameters  $\alpha_x$ ,  $\alpha_y$ ,  $\alpha_z$ in equation 4, as these are the very crucial parameter for our proposed approach. For simplicity, in the experiments, we performed scaling by multiples based on the default experimental parameter settings as mentioned in Section IV-B. 695 The experiment results are shown in Figure 6. 696

In the experiments, we have established four distinct sets of coefficients for the sensitivity analysis,  $0.25 \times$ ,  $0.5 \times$ ,  $1 \times$ , and  $2 \times$ , respectively. Notably, the performance for both the frequency parameters  $f_*$  and amplitude parameters  $a_*$  slightly declined on both the left and right of  $1 \times$ , which is also the default parameter setting chosen for our experiments. The best performance is always obtained at  $1 \times$  location. 703

# G. Visualization

To demonstrate the model's capability in responding to 705 scene changes after training with our approach, we visualize 706 changes in feature representations for point clouds before and 707 after augmentation for both instance and scene classes, and 708 also make comparisons with the baseline model. Notably, for 709 the road class, we employ the erosion operation mentioned 710 in Section III-B2, removing boundary areas since features are 711 easily affected by surroundings in these areas. The results are 712 shown in Figure 5. It can be seen that after training with our 713 augmentation approach, the extracted features exhibit better 714 consistency despite structural changes in objects and scenes. 715 We believe that the consistency of feature representation is 716 crucial for achieving robustness segmentation results in real-717 world scenarios. 718

In addition, to provide a more intuitive understanding of 719 our augmentation approach, we visualize different scenes 720 before and after augmentation in Figure 7. We show five 721 augmentation results for each scene generated from different 722 augmentation parameters. The first three columns mainly show 723 the augmentation in the x-y plane, while the last two columns 724 show z-direction augmentation. The results demonstrate that 725 our approach can generate a wide variety of samples, which 726 will be highly beneficial for model learning. 727

Finally, Figure 8 visualizes segmentation results for qual-728 itative comparison. In the first two rows, our augmentation 729 approach provides correct segmentations while PolarMix in-730 correctly labels instances. Specifically, in the first row, Po-731 larMix incorrectly classifies the other-vehicle as a car. In the 732 second row, it mislabels the *fence* as a *building*. Notably, 733 PolarMix inconsistently labels classes bearing similarity, re-734 quiring precise discrimination between vehicles or man-made 735 structures. Our augmentations enable a deeper understanding 736 of subtle inter-class differences, improving generalization. 737 Both methods incorrectly predict parts of other-ground as 738 vegetation or terrain in the third row — a challenging case 739 even for humans lacking scene context. Further augmentation 740 advances targeting contextual reasoning could provide correct 741 predictions. Overall, qualitative results demonstrate that our 742 augmentations produce consistently accurate segmentations 743 compared with PolarMix, overcoming limitations posed by 744 small inter-class discrepancies. However, complex scenes war-745 rant further augmentation to deeply understand relationships 746 and ambiguity. 747

# V. CONCLUSION

748

In this work, we proposed a novel and effective augmentation approach with smooth deformation for the LiDAR point 750

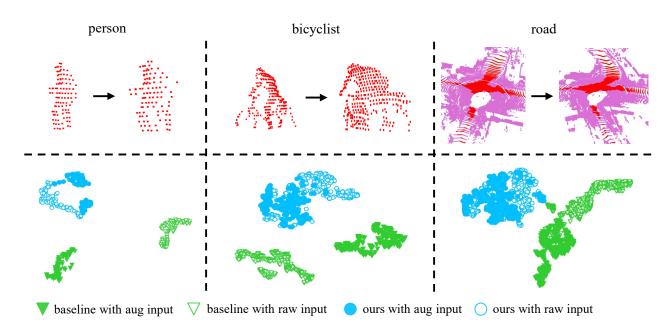


Fig. 5. Visualization of the spatial distribution of features for both instances and scenes before and after deformation augmentation. The first row shows the point cloud before and after augmentation. The second row shows t-SNE visualization of corresponding point cloud features. Green and blue represent results from the baseline and proposed model, respectively. Solid shapes indicate models take point clouds after augmentation as input, while hollow shapes indicate models take point clouds before augmentation as input.

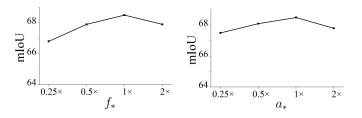


Fig. 6. Sensitivity analysis on the augmentation frequency parameters  $f_*$  (left) and amplitude parameters  $a_*$  (right) in equation 4. For convenience, the default parameter settings in Section IV-B are used as the baseline (1×). Experiments are then conducted by scaling the default settings by factors of 0.25, 0.5 and 2, respectively.

cloud semantic segmentation task. The overall augmentation 751 pipeline has two main components: scene augmentation and 752 instance augmentation. To simplify the selection and design 753 of the smooth deformation augmentation functions and make 754 the augmentation results more flexible and controllable, three 755 different effective strategies were proposed: residual mapping, 756 spacing decoupling, and function periodization, respectively. 757 We also propose an effective prior-based location sampling 758 algorithm that aims to paste the augmented instance on a more 759 feasible area in the scene. As a result, our approach can enrich 760 the diversity of training data and boost the performance of 761 various baselines consistently and significantly. Finally, we 762 conduct extensive experiments on both the SemanticKITTI 763 and nuScenes challenging datasets. The experimental results 764 demonstrate that our method shows an obvious advantage 765 compared to other methods. 766

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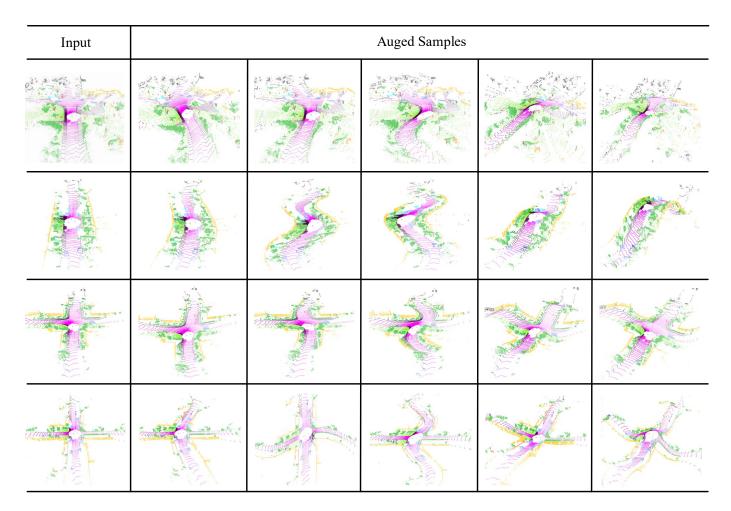


Fig. 7. Visualization of some augmented scenes. For each scene, we show five different augmentation results generated from different parameters. The first three columns mainly show the augmentation on the x-y plane, while the latter two columns mainly show the augmentation along the z direction. It can be seen that our method can generate a large number of samples with extensive diversity.

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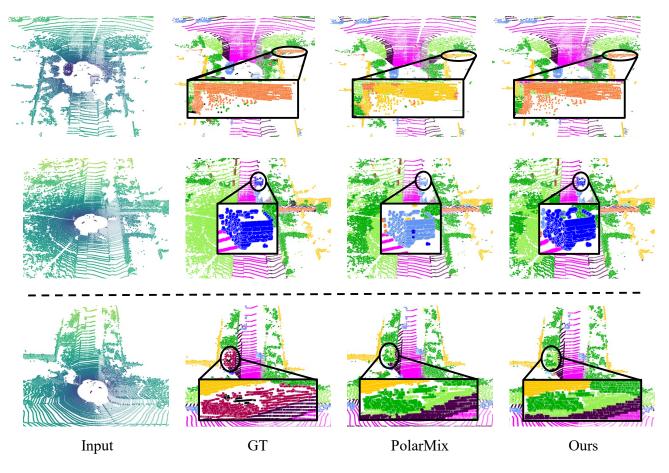


Fig. 8. Visualization of the segmentation results of different methods. The first two rows show the performance of the model trained with our approach outperforming PolarMix, and in the third row, we present a failure case for both PolarMix and our method.

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computer vision methods for autonomous driving.



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