TARCO: Two-Stage Auction for D2D Relay Aided Computation Resource Allocation in Hetnet

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I. ABSTRACT

In heterogeneous cellular network, task scheduling for computation offloading is one of the biggest challenges. Most works focus on alleviating heavy burden of macro base stations by moving the computation tasks on macro-cell user equipment (MUE) to remote cloud or small-cell base stations. But the selfishness of network users is seldom considered. Motivated by the cloud edge computing, this paper provides incentive for task transfer from macro cell users to small cell base stations. The proposed incentive scheme utilizes small cell user equipment to provide relay service. The problem of computation offloading is modelled as a two-stage auction, in which the remote MUEs with common social character can form a group and then buy the computation resource of small-cell base stations with the relay of small cell user equipment. A two-stage auction scheme named TARCO is contributed to maximize utilities for both sellers and buyers in the network. The truthful, individual rationality and budget balance of the TARCO are also proved in this paper. In addition, two algorithms are proposed to further refine TARCO on the social welfare of the network. Extensive simulation results demonstrate that, TARCO is better than random algorithm by about 104.90% in terms of average utility of MUEs, while the performance of TARCO is further improved up to 28.75% and 17.06% by the proposed two algorithms, respectively.

Keywords

Auction, Computation Offloading, HetNet, incentive, Relay.

II. INTRODUCTION

With the proliferation of wireless communication devices such as smartphones, tablets, laptop computers and so on, both spectrum and data transmission rate demands are becoming much higher. According to the latest Cisco visual networking index report, the global mobile data traffic will be about 30.6 exabytes

per month by 2020 [1]. To accommodate such urging demands, deploying small cells underlaying macro cells as well as device-to-device (D2D) communications are the dominant strategies for the emerging next generation wireless communications (5G). By deploying low transmission power small cells overlaid macro cells, network coverage and capacity can be improved [2]. Meanwhile, D2D communication in a small cell can further enhance the spectrum utilization efficiency via spectrum reuse such that the interferences between D2D transmission pairs as well as between D2D pair and small cell user are well controlled. Therefore, D2D based heterogeneous networks (HetNets) have been a hot research topic in recent years.

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The prior art in D2D based HetNet mainly focused on coverage expansion [3], power control [4], interference management [5], resource allocation [6], [7] and so on. As an effective solution to handle channel fading and increase transmission rate in wireless communications, relay aided communication has been widely used, including two-hop or multi-hop relaying and two-phase cooperative transmission [8]. Therefore, relay aided communication in D2D based HetNet can also improve the network performance. For example, by hiring a small cell user equipment (SUE), the macro cell base station (MCB) can reach to the macro cell user equipment (MUE) in the downlink transmission, thus the coverage of the macro cell can be extended [9]. Authors in [10], [11] optimized the system spectrum efficiency and energy efficiency via D2D relay as well as modes selection. A load balancing scheme for D2D-based relay communications in HetNet is proposed by [12]. A small cell user relay aided downlink communication from macro base station to remote macro cell user is proposed via D2D communication [13]. In the D2D based HetNet architecture, there are a few papers that address incentive mechanisms. Authors in [14] proposed a D2D based content sharing game to maximize cellular offloading. Zhang et al. [15] came out with a contract theory based scheme to attract user equipment delivering data for the cellular base station.

Recently, Mobile-Edge Computing (MEC) has been proposed and it is an emerging paradigm to improve the quality of experience (QoS) of mobile devices at the edge of pervasive radio access networks [16]. In [17], a computation offloading mechanism for mobile users was implemented by offloading computation tasks to a common server in HetNet. It is noteworthy that, in real applications, mobile devices are carried by human with limited budget. A single resource user may not afford to buy the computation resources on a single SCB. For example, a mobile user may just want to offload the translation task consisting of a single phrase, however, at that time the SCB may want to lease out a whole computation resources larger than finishing the simple translation task. In this case, the charging price of SCB may be very high and it may not be motivated to execute the task due to small monetary revenue. In real scenarios, although different mobile user may have different application level computation tasks, people in the same area may have the same level social relations [18] or perform similar tasks, they can form a small scale social group [19] to buy the computation services offered by the SCB. Group based auction [20] has been proposed

and is proved to be an effective mechanism to collect small bids and hiring a bid agent to buy resources from resource owners. When more users are willing to participate in the group based resource sharing, the benefits of resource owners will also increase and the resource utilization efficiency will be enhanced. Meanwhile, the revenue of individual buyers can be enlarged due to price discount [21].

On the computation offloading problem in D2D based HetNet, it is noteworthy that, part of works [9]— [13] are under the asymption that users are willing to cooperate, which is far from realistic. In this paper, we employ auction theory to provide incentive for mobile users. Some works [14]–[17] do not take relay influence into consideration. It should be noted that when mobile users are far from the target server, direct communication may not ensure the communication consistency and user QoS may suffer. Motivated by the recent work [22] for mobile computing where master device is chosen to offload the computation tasks of mobile users via D2D communication in HetNet, in this paper, we provide incentive for idle SUEs within small cells to act as relays by offloading computation tasks of MUEs to small cell base stations (SCBs), i.e. the edge servers. Moreover, most of existing researches on HetNet focus on maximizing the revenue of the network infrastructure to optimize the load of base stations, instead of mobile users. Note that computation tasks and resource demands are generally heterogeneous in real application. Thus, it is difficult to guarantee the efficiency of mobile users, only by optimizing the base station's traffic burden. Therefore, it is significant to investigate new network paradigm on the existing two-tier HetNet archietecture. Inspired by the above mentioned group based auction, this paper tries to group the MUEs in low signal area or far from the MCB, and helps to select proper idle SUEs for each MUE group. Different from [20] and [23], in this paper, we design the scheme tailored for relay aided coputation offloading taking account of user's demands, where user's demands are not properly addressed in [20] and [23].

To effectively offload computation tasks of MUEs and design new mechanisms, we have to solve the following challenges: (i) Running applications on MUEs are heterogeneous, such as text translation, video decoding, machine learning tasks etc. Therefore, the budget and demand for each MUE are different. Meanwhile, based on the distances from MUEs to SCBs, the valuation of each MUE for the computation capability of SCBs is various. How to determine winning prices to attract MUEs is a challenge. (ii) From the SCB's perspective, offloading MUEs' tasks will consume its power and computation cycles. Meanwhile, for idle SUEs, their service for relaying MUEs should also be motivated because of the selfishness nature. Therefore, how to provide monetary compensation should be carefully designed for both SUEs and SCBs. (iii) Auction should be truthful, budget balance, individual rational and efficient. How to ensure the above characteristics at the same time in a two-stage auction is a challenge.

The main contribution of this paper can be summarized as follows:

• Different from traditional auctions, in this paper, the social relations among different MUEs and the

similarity between tasks are considered where MUEs can form a group with similar tasks or level of social ties to buy computation resources from SCBs.

- To handle the selfishness nature of entities in the network and provide incentive for them, a group based two-stage auction for relay aided computation offloading in HetNet (TARCO) is proposed.
- We prove the proposed scheme guarantees three important economic properties: truthful, budget balance and individual rationality.
- To enhance the performance of TARCO, we design MWD and VITA algorithms to improve the social welfare of the network. Extensive simulation results demonstrate that TARCO is about 104.90% better than RND algorithm on average utility of MUEs and VITA is 28.75% better than TARCO and MWD outperforms TARCO for about 17.06%.

The remainder of this paper is organized as follows. Section III discusses related works on task offloading in the HetNet, both non-incentive and incentive based works have been compared. Section IV presents the system model. Section V shows the detail of two-stage auction scheme. In Section VI we prove the economic properties of the auction scheme. Then we propose improved schems to enhance the social warefare of the system in Section VII. Section VIII gives performance evaluation results and finally Section IX concludes this paper.

III. RELATED WORK

Recently, there has been related work on user association, computation or traffic offloading in heterogeneous wireless networks. Both non-incentive based and incentive based schemes have been proposed. In the following, we summarize and compare the related work on the above categories.

A. Non-incentive based schemes

Some works study D2D based user association in HetNet [11] [24]. Xiao et al. [11] proposed an energy efficient mode selection and user association scheme. The MCB acts as a central controller to determine which one of SCB and MCB that the mobile device should be attached to. Meanwhile, D2D relay mode and direct communication mode selection is also considered. Liu et al. [24] adopted a D2D two-hop relay to help mobile users get access to neighbouring base stations. In this paper, tasks on MUEs in the two-tier HetNet are offloaded to SCBs instead of the far away MCB to conquer the high channel fading and low signal obstacles of MUEs.

Rahman et al. [9] considered the downlink coverage extension from the MCB to MUEs via the D2D cooperation between SUEs and MUEs in a single macro cell. In this paper, we focus on the uplink transmission by offloading the computation task from MUEs to SCBs. The most recent work by Cao

et al. [25] designed a hybrid traffic offloading scheme for HetNets where mobile users can get access to SCBs with the help of mobile relays via D2D communication. Their objective is to maximize the number of mobile users admitted into the whole network, through MCB or SCBs. The problem is formulated as an integer linear programming and solved by dynamic programming. Different from [25], this paper mainly focuses on MUE's tasks offloading to the SCB with help of SUE relays. The benefits of both SUEs and SCBs can be ensured by the Tarco scheme. In [26], a heuristic method was proposed to detour congested MCB traffic to uncongested SCBs with the help of D2D relay nodes. However, only skeletal numerical analysis is given by [26], which cannot be directly deployed. Thus we carry out with a distributed implementation.

B. Incentive based mechanisms

Recently, the incentive based schemes have been proposed. Hua et al. [27] proposed a truthful auction framework for femtocell access between MUEs and femtocell base stations. A dynamic game is designed by Zhu et al. [2] to offload traffic from the MCB to SCB service providers. However, both in [27] and [2], relay is not used.

LeAnh et al. [28] came out with a Stackelberg game based offloading mechanism by transferring part of MUE's data to the SCB via SUE relay nodes. The MUE is the leader while candidate SUE relays are followers. The optimal power allocation and relay selection is conducted via leader-follower pricing. Later, Ho et al. [29] proposed a two-stage Stackelberg game for SCBs to admit MCB's traffic from the perspective of network fixed infrastructure, however, relay influence is not considered. Meanwhile, they do not consider SCB's heterogeneity.

Methods proposed by other literatures cannot be applied in this paper. Yang et al. [23] studied the spectrum group auction in cognitive radio networks. Later, Wang et al. [30] came out with a scheme to allocate both channels and cooperative relay nodes for cooperative cognitive radio networks with two-stage group auction. However, in their work, the ask price at each spectrum owner is same for different buyers. In computation offloading, the tasks are different and for each SCB, the ask price may not be constant for all buyers due to different location and channel fading conditions. In mobile cloud computing, Jin et al. [31] carefully designed an incentive-compatible auction scheme between cloudlets and mobile users. The cloudlets are edge servers to offload traffic of remote cloud. Similar to [31], the SCBs can be regarded as cloudlets to admit computation tasks sent from mobile users.

Based on the observations, to offload the computation tasks of MUEs with relay SUEs and motivate both SUEs and SCBs should be properly handled. New schemes should deal with heterogeneous computation offloading tasks as well as SCB's heterogeneity.

IV. SYSTEM MODEL AND PROBLEM FORMULATION

In this section, firstly we present the system model, then the computation offloading problem of MUEs is formulated as a two-stage auction. At last, we introduce related economic properties that auction scheme should follow. The basic notations are shown in table I.

A. Main Idea of TARCO Scheme

The main idea of our proposed TARCO scheme is to provide incentive and utilize D2D communications to assist the computation offloading of MUEs to local SCBs, with the aim to maximize utilities of both SCBs and MUEs. Take Fig. 1 as an example, when there are some MUEs in the high channel fading area or low signal region, they cannot directly to offload their computation tasks to neighbour SCBs without the help of SUE relays. The proposed TARCO scheme allows MUEs to access the SCBs by relaying the computation data via SUE relays in the coverage of corresponding SCBs. Intuitively, TARCO can improve the system performance by reducing the traffic flowed to MCB and reduce the MUE's energy consumption with a lower transmission power. It should be noted that for MUEs with high mobility and moving constantly, it may get access to MCB to avoid frequent handover, which is out of the scope of this paper.

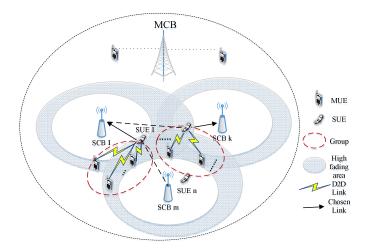


Fig. 1. Network Model

B. System Model

In our scenario, we assume all nodes stay static in a given auction period. In the network shown in Fig. 1, there is one MCB and M SCBs in the coverage of the MCB and neighbouring SCBs have orthogonal frequency bands [32]. Meanwhile, MUEs are heterogeneous and need to perform different computation tasks. Each offloading task contains a QoS requirement information such as bandwidth and CPU cycles. Each MUE may have its own preference for the SCB based on its geological position, channel condition

TABLE I
NOTATIONS FOR SYSTEM MODEL

Notations	Meaning
s_i^j, S_i	The jth MUE in the ith SUE group, the ith
	group
N, n_i	Number of SUEs and MUEs in the <i>i</i> th SUE
	group
$b_i^j(k), v_i^j(k)$	True bid and valuation of s_i^j for the kth SCB
$d_i^j(k)$	Demand of s_i^j for the kth SCB
$\bar{b}_i^j(k), \ \bar{v}_i^j(k)$	Bid and valuation of s_i^j for the kth SCB
$p_i^j(k), P_i$	$s_i^j(k)$'s and the <i>i</i> th SUE's payment for the <i>k</i> th
	SCB
B_i^k	The <i>i</i> th SUE's bid for the <i>k</i> th SCB
$F_i(k)$	The total payment of the i th SUE for the k th
	SCB
A_k	Ask or reserve price of the k th SCB
p_c	The clearing price
u_i^j	The utility of MUE s_i^j
U_i	The utility of the i th SUE r_i
U_k	The utility of the k th SCB e_k
$\mathcal{E}_w,~\mathcal{R}_w$	Winning set of SCBs, Winning set of SUEs
S_i^w	Winning set of MUEs for SUE r_i

and distance from the SCB. Since MUEs within the same location may have similar relationships [18] and sense of channel conditions, they may get-together and form a communication social group. In total, there are N groups of MUEs. By adopting interference mitigation technologies [33], interferences between adjacent groups can be properly handled. Thus in this model, we do not consider the interference between small cells. Based on computation tasks, for the benefit of simplicity, we assume each MUE may require different number of CPU cycles. In the ith social group S_i , where $i \in [1, N]$, there are n_i MUEs and $S_i = \{s_i^1, s_i^2, \cdots, s_i^{n_i}\}$. We use $\mathcal{E} = \{e_1, e_2, \cdots, e_M\}$ to denote the set of SCBs, i.e. the edge servers and $\mathcal{R} = \{r_1, r_2, \cdots, r_N\}$ to denote the set of SUEs. The kth SCB is denoted by e_k , $k \in [1, M]$. For each SCB e_k , each MUE s_i^j has a CPU cycle demand $d_i^j(k)$, its valuation $v_i^j(k)$ and the budget $b_i^j(k)$ for the maximum payment. For the benefit to express the preference of MUE s_i^j towards e_k , we define

$$v_i^j(k) = \max\{\frac{C_R(s_i^j, r_i, e_k)}{C_D(s_i^j, e_k)}, 0\}.$$
(1)

It should be noted that the CPU cycle demand may be different for different SCBs because of the location of SCBs and the traffic burden on them.

We design a two-stage hierarchical auction for the computation offloading. TARCO consists of two single round sealed bid auctions, they are tier I auction and tier II auction accordingly. The tier I auction is between each social group S_i and SUE relay r_i . Each SUE relay r_i is in charge of all the members in S_i . The tier II auction is between SUE r_i and SCB e_k . Let B_i^k be the r_i 's bid for SCB e_k , $i \in [1, N], k \in [1, M]$. Then the ask price of e_k is A_k , which is the reserve price. We assume each social group can be served by one SCB

at most because serving for multiple social groups will cause longer delays. Meanwhile, we also assume, each members inside the group will choose only one SCB.

In tier I aucton, for SCB e_k , each member of S_i submits the bid $\beta_i^j(k) = \{b_i^j(k), v_i^j(k), d_i^j(k)\}$ to r_i . Note that s_i^j may not be honest and report its true bid, therefore we have $\bar{\beta}_i^j(k) = \{\bar{b}_i^j(k), \bar{v}_i^j(k), d_i^j(k)\}$ if it may bring higher utility. For the SUE r_i , after gathering the group member's bids, the SUE r_i will determine winning MUEs in $S_i(k)$, which is denoted by $S_i^w(k)$ and $S_i^w \subseteq S_i$. If $s_i^j \in S_i^w(k)$, and e_k is selected by r_i , then s_i^j will be charged $p_i^j(k)$. For r_i , the gathered bid is $F_i(k)$. In tier II, r_i submits its bid for SCB e_k as B_i^k , where $i \in [1, N], k \in [1, M]$. A double auction will be conducted to determine how many CPU cycles to allocate to relay SUEs and the payments for winners. If the SUE fails to obtain resources from the SCB, no transactions will happen and the utility of MUE members in the group will be zero.

Given the above settings, we denote $\mathcal{E}_w \subseteq \mathcal{E}$ as winning set of SCBs and $\mathcal{R}_w \subseteq \mathcal{R}$ as the winning relay set in tier II auction. Let P_i be the price the wining SUE r_i needs to pay for the SCB. If SUE r_i wins then the payment of r_i should not be greater than its gathered bid $F_i(e_i^w)$, where $e_i^w \in \{0, 1, 2, \dots, M\}$.

C. Problem Formulation

Let u_i^j denote the utility of MUE s_i^j , for each MUE $s_i^j \in S_i^w$, SUE r_i computes the payment p_i^j . Note that the payment for the winning MUE s_i^j should not be higher than the true budget b_i^j . Hence the utility of MUE s_i^j is

$$u_i^j = \begin{cases} v_i^j(k) - p_i^j(k), & \text{if } s_i^j \in S_i^w \text{ and } p_i^j(k) \le b_i^j(k) \\ 0, & \text{otherwise.} \end{cases}$$
 (2)

Where we have $p_i^j(k)$, which is defined as

$$p_i^j(k) = p_c^i(k)d_i^j(k), k = e_i^w \text{ if and only if } s_i^j \in S_i^w,$$
(3)

where $p_c^i(k)$ is the clearing price. Accordingly, the utility of SUE r_i is defined as

$$U_{i} = \begin{cases} F_{i}(k) - P_{i}, & \text{if } r_{i} \in \mathcal{R}_{w}, e_{k} \in \mathcal{E}_{w} \\ 0, & \text{otherwise.} \end{cases}$$
 (4)

Further more, the utility U_k of SCB e_k should not be negative, which is

$$U^{k} = \begin{cases} P_{i} - A_{k}, & \text{if } e_{k} \in \mathcal{E}_{w} \text{ and } r_{i} \text{ wins } e_{k} \\ 0, & \text{otherwise.} \end{cases}$$
 (5)

The first branch of (5) is the utility of e_k when it wins the auction and gets enough payment from auctioneer in the transaction. The utility of e_k should not be negative when it offloads computation tasks from MUEs.

D. Economic Properties

An auction will not be conducted until many economic properties are satisfied. In the following paragraphs, several critical economic properties of the auction are listed which TARCO would like to achieve.

Definition 1: (Truthful). An auction is truthful if any participant's utility is maximized by submitting its true valuation, regardless what others would like to behave. That is, no bidder can improve his utility by misreporting his bids.

Definition 2: (Individual Rationality). The utility of each participant of the auction is non-negative. That is, u_i^j , U_i and U_k are non-negative in the TARCO auction scheme.

Definition 3: (Budget Balance). An auction is budget balanced if total payment from buyers are no less than the sum paying paid to sellers. In TARCO scheme, this property is required in tier II auction to ensure the auctioneer a non-negative utility, i.e. $\sum_{r_i \in R_m} P_i \leq \sum_{e_k \in \mathcal{E}_m} P_k$.

Definition 4: (Computational Efficiency). An auction is computational efficiency if the scheme can terminate in polynomial time in terms of the input.

In this paper, TARCO is designed to achieve truthful, individual rationality, budget balance and computational efficiency. The mechanism is illustrated in detail in Section V followed by proves in Section VI.

V. TWO-STAGE AUCTION MECHANISM

In this section, we propose a two stage based auction scheme for relay aided computation offloading scheme in HetNet, which is called TARCO. TARCO satisfies properties such as truthful, individual rationality, budget balance and computational efficiency proposed in Section IV-D.

Specifically, TARCO consists of two sub-auctions, the tier I auction and the tier II auction. In tier I auction, all MUEs who need to offload their computation tasks, submit their bids consisting of valuation, budget and demands to the corresponding SUE they attach to. The tier I auction is conducted virtually by each SUE node $r_i \in \mathcal{R}$ and will not be executed until r_i wins the following procedures. In tier II auction,

each SUE submits its bid to the MCB based on the collected payment paid by MUEs in tier I auction. If the SUE r_i wins a SCB, it will charge the winners in its group S_i and relay the computation traffic to the SCB.

A. Procedure 1: Tier 1 Auction

In the procedure, the relay SUE r_i will conduct the auction virtually and decide winners. First, each SUE obtains the characteristics of computation abilities from SCBs, such as the number of available CPU cycles, remained energy, and geological information. These properties will be sent to MUEs with the help of SUE relays aiming to assist the decision made by MUEs. The SUE relay will receive the three-dimension bids for computation services for its MUEs which is demoted by $\{b_i^j(k), v_i^j(k), d_i^j(k)\}$. Let OPT(b/d) be the unit budget of the optimal single-price auction denoted by

$$OPT(b/d) = \max_{1 \le i \le |b|} i \frac{b_i}{d_i},\tag{6}$$

where |b| denotes the length of the array, b_i denotes the *i*th budget and d_i denotes the *i*th demand.

In this stage, the designed algorithm should calculate the budget vector $F_i(k)$ for SCB k. We compute the budget for each SUE based on the random sampling profit extraction auction [34] and inspired by the work in [35], we partition the MUE set S_i into two sets, S_i^1 and S_i^2 uniformly for sampling purpose. Then SUE i computes $R^1 = OPT(b^1/d^1)$ and $R^2 = OPT(b^2/d^2)$. Depending on the values of R^1 and R^2 , the budget of MUE is extracted from both vectors. The detail of the algorithm is shown in Algorithm 1 and 2.

```
Algorithm 1 ComptBgt (b/d, R, (v_i^j(k))_{i=1}^{n_i})
```

```
Input: Sorted vector of b/d, potential budget R and valuation vector (v_i^j(k))_{i=1}^{n_i}
Output: Budget with given valuation
   1: Search for the maximum j in b/d such that jb_i/d_i \ge R
  2: p_c \leftarrow \frac{R}{i}
  3: S_i^w(k) \leftarrow \emptyset
  4: F_i(k) \leftarrow \emptyset
  5: for j \leftarrow 1 to n_i do
           p_i^{\jmath}(k) \leftarrow p_c \cdot d_i^{\jmath}(k)
            \begin{aligned} & \text{if } p_i^j(k) < b_i^j(k) \text{ and } p_i^j(k) < v_i^j(k) \text{ then } \\ & S_i^w(k) \leftarrow S_i^w(k) \cup s_i^j \\ & F_i(k) \leftarrow F_i(k) + p_i^j(k) \end{aligned} 
  7:
  8:
  9:
           end if
 10:
 11: end for
 12: return F_i(k)
```

From the view of relay r_i , s_i^j can be regarded as the buyer with $d_i^j(k)$ computation resource to buy on budget $b_i^j(k)$. According to Algorithm 1, the clearing price of s_i^j for the kth SCB should not be greater than

Algorithm 2 Phase I of TARCO for SUE r_i

```
1: for k \leftarrow 1 to K do
       Let b/d denote the sorted aray of (b_i^j(k)/d_i^j(k)) in non-increasing order
 2:
        Divide b/d uniformly at random into two arrays b^1/d^1 and b^2/d^2
 3:
        R^1 \leftarrow OPT(b^1/d^1), R^2 \leftarrow OPT(b^2/d^2)
 4:
        if R^1 < R^2 then
 5:
           F_i(k) \leftarrow \mathsf{ComptBgt}(b^2/d^2, R^1, (v_i^j(k))_{i=1}^{n_i})
 6:
 7:
           if R^1 > R^2 then
 8:
              F_i(k) \leftarrow \text{ComptBgt}(b^1/d^1, R^2, (v_i^j(k))_{i=1}^{n_i})
 9:
10:
              F_i(k) \leftarrow [\mathsf{ComptBgt}(b^2/d^2, R^1, (v_i^j(k))_{j=1}^{n_i}) +
11:
              ComptBgt(b^1/d^1, R^2, (v_i^j(k))_{i=1}^{n_i})]/2
12:
        end if
13:
14: end for
```

the unit budget $b_i^j(k)/d_i^j(k)$. Note that the value R is extracted from smaller value group in the partition procedure of Algorithm 2 to ensure truthfulness. The decision process for winner set $S_i^j(k)$ and the gathering of $F_i(k)$ is regarded as the start for r_i to bid SCB k in the second phase, *i.e.* phase II. The winners in $S_i(k)$ will get the desired CPU cycles if and only if SUE r_i wins SCB e_k in phase II.

To illustrate how Algorithm 2 works, we propose a simple example as follows. There are 5 MUEs with their bids for SCB e_k as (30,4,35), (20,3,26), (18,6,9), (13,2,16), (8,3,14), where in each pair the first number is the bid, the second item is number of demand and the third item is the valuation, hence $b/d = \{7.5, 6.67, 3, 6.5, 2.67\}$. Assume that $b^1/d^1 = \{7.5, 6.5, 2.67\}$, $b^2/d^2 = \{6.67, 3\}$ and we have $R^1 = 13$, $R^2 = 6.67$. Since $R^2 < R^1$, we then extract R^2 from b^2/d^2 . The mapping between the sorted value b/d and valuation is illustrated in Fig. 2. Obviously, it is shown that in Fig. 2, for MUEs in group i, the clearing price $p_c^i(k)$ for SCB k, which is $p_c^i(k) = 6.67/3 = 2.223$. Since the value $p_i^1(k) = p_c^i(k) \times d_i^1(k) = 2.223 \times 4 = 8.892 < b_i^1(k) = 30$ and $p_i^1(k) < v_i^1(k) = 35$. Therefore, s_i^1 is added to the winner set $S_i^w(k)$ for the kth SCB. Similarly, s_i^2 , s_i^4 , s_i^5 are all winners. Therefore, the total budget of r_i obtained from group S_i for SCB e_k is 26.676.

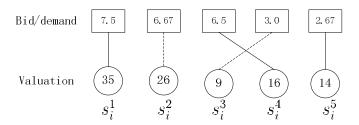


Fig. 2. Example of Algorithm 2, where the dashed lines belong to b^2/d^2 and the remaining lines belong to b^1/d^1

B. Procedure 2: Tier 2 Auction

After the previous procedure, *i.e.* phase I of auction which is conducted between MUEs and relay SUEs, the budget for SUE r_i bids for SCB e_k can be obtained and denoted as $F_i(k)$. $F_i(k)$ will be gathered when the SUE r_i wins SCB e_k . In procedure 2 of the auction, there are multiple sellers and multiple buyer with heterogeneous items to bid since each SUE has different budgets for the corresponding SCBs. In this research, VCG auction is not adopted because of the high computational cost and the failure to ensure budget balance property. Meanwhile, the McAfee auction cannot be utilized since it only suits for the scenario where there are homogeneous goods to trade [36]. Therefore, new auction mechanism should be invented in this procedure. Here, we propose a Random Matching based Efficient Allocation algorithm RMEA to trade the computation resource between SUEs and SCBs.

The detail of RMEA is shown in Algorithm 3. The SCB assigns CPU cycles to each SUE relay node in a greedy manner. For each SUE relay r_i , the auctioneer try to maximize $B_i^k - A_k$. Note that the auctioneer always choose the minimum index of k when there are multiple choices. The procedure resembles fixed price auction, which is proved to be truthful [35]. RMEA charges each winning SUE r_i the amount of P_i and pays each winning SCB e_k the payment P_i .

Algorithm 3 Phase II of TARCO, the RMEA algorithm

```
Input: B_i^k, for \forall 1 \leq i \leq N and 1 \leq k \leq M

Output: \mathcal{R}_w, \mathcal{E}_w, P_i

1: \mathcal{E} \leftarrow \{e_1, e_2, \cdots, e_M\}, \mathcal{E}_w \leftarrow \emptyset, \mathcal{R}_w \leftarrow \emptyset

2: for i \leftarrow 1 to N do

3: e_k \leftarrow \operatorname{argmax}_{e_k \in \mathcal{E}}(B_i^k - A_k)

4: if B_i^k - A_k \geq 0 then

5: \mathcal{R}_w \leftarrow \mathcal{R}_w \cup \{r_i\}

6: \mathcal{E}_w \leftarrow \mathcal{E}_w \cup \{e_k\}

7: P_i \leftarrow B_i^k

8: \mathcal{E} \leftarrow \mathcal{E} \setminus \{e_k\}

9: end if

10: end for
```

C. Charge for MUEs

As mentioned in previous subsection, the phase I of TARCO is conducted virtually by each SUE node and the auction results will not be executed unless the SUE wins the phase II auction. Next, we give a simple example to illustrate the charging procedure.

Assume there are 3 SCBs and 3 SUEs. At the end of phase I, we may have $F_1(k) = 3$, $F_2(k) = 5$, $F_3(k) = 7$, for $\forall k \in [1,3]$. In phase II, $B_1^k = 2$, $B_2^k = 5$, $B_3^k = 4$ and accordingly the ask price of SCBs are $A_1 = 1$, $A_2 = 3$, $A_3 = 5$. After the execution of algorithm 3, the winning SUE relays are r_1 and r_2 ,

accrodingly, the winning SCBs are e_1 and e_2 . Therefore, the utilities of SUEs are $U_1 = F_1(k) - B_1^k = 3 - 2 = 1$, $U_2 = F_2(k) - B_2^k = 5 - 5 = 0$ and the utilities of SCBs are $U^1 = P_1 - A_1 = 2 - 1 = 1$, $U^2 = P_2 - A_2 = 5 - 3 = 2$. Note that, the bid value of B_i^k may be lower than $F_i(k)$.

VI. THEORETICAL ANALYSIS

In this section, we focus on proving the economic properties of proposed TARCO scheme. It is shown that TARCO satisfies all the following properties mentioned in previous section, which is given in theorems below.

Theorem 1: TARCO is truthful for MUEs in the network.

Proof: We will prove that the dominant strategy for the MUE $s_i^j(k)$, whose bid vector is $\{b_i^j(k), v_i^j(k), d_i^j(k)\}$, is to submit its bid as its true bid, which is $b_i^j(k) = \bar{b}_i^j(k)$, $v_i^j(k) = \bar{v}_i^j(k)$ and $d_i^j(k) = \bar{d}_i^j(k)$. It should be noted that the demand value $d_i^j(k)$ is truthful because it reflects the true demand of the MUE $s_i^j(k)$.

Assume that s_i^j is in the subset S_i^1 , then the proof for s_i^j in subset S_i^2 is similar. From the first branch of equation (2), we can see that s_i^j can improve its utility by manipulating its reported valuation $v_i^j(k)$ and budget $b_i^j(k)$. However, on the hand, we can see that the clearing price $p_c^i(k)$ is determined independently of s_i^j 's budget $b_i^j(k)$ and valuation $v_i^j(k)$ in Algorithm 1 and Algorithm 2. Since the clearing price is based on random sampling auction [34], the truthfulness for procedure 1 auction is guaranteed by the sampling based scheme. Therefore, to prove the truthfulness of TARCO is to prove the truthfulness of procedure 2 auction. For the SUE $r_i \in \mathcal{R}_w$, it can obtain the maximum utility $\max_{e_k \in \mathcal{E}} (B_i^k - A_k)$. If it bids $B_i^k = F_i(k)$, it can get the true utility as it bids. For SUE $r_i \notin \mathcal{R}_w$, it will lose the auction although it bids truthfully because $\max_{e_k \in \mathcal{E}} (B_i^k - A_k) < 0$. If SUE r_i bids untruthfully, there are two sub-cases. If $B_i^k < F_i(k)$, then the auction result will not change since SUE can only win the auction when $B_i^k > F_i(k)$. Even If r_i wins the auction, its utility $U_i = F_i(k) - P_i = F_i(k) - B_i^k \le \max_{e_k \in \mathcal{E}} (B_i^k - B_i^k) = 0$. Therefore, the SUE cannot improve its utility by trading untruthfully and this theorem holds.

Theorem 2: TARCO is individual rational and budget balance.

Proof: Firstly, we prove that the MUE s_i^j is individual rational. Then we prove the SUE r_i is also individual rational. According to Line 7 of Algorithm 1, we know that $v_i^j(k) > p_i^j(k)$ for $\forall s_i^j \in \mathcal{S}_w$. Then $u_i^j = v_i^j(k) - p_i^j(k) > 0$ and we have proved the individual rationality of MUEs. To prove the rationality of SUEs, we only need to consider that the SUE r_i wins in the procedure 2 auction because in other cases the utility of r_i is zero. For r_i , $B_i^k \leq F_i(k)$, and the winning SUE r_i has to pay for the asks of the corresponding SCB e_k , i.e. $P_i = A_k$ for $\forall r_i \in \mathcal{R}_w$ and $\forall e_k \in \mathcal{E}_w$. According to Line 3 of Algorithm 3, for winning buyers and sellers in tier 2 Auction, we choose the maximum value of $B_i^k - A_k$. Therefore,

 $U_i = F_i(k) - P_i \ge B_i^k - A_k > 0$. In TARCO, the auctioneer is always willing to help to coordinate the auction scheme, thus the utility of the auctioneer is always set to zero. Hence the proposed TARCO scheme is individual rational and budget balance.

Theorem 3: TARCO is computational efficient. The time complexity of phase I auction is $O(n_i \log n_i)$ and the time complexity of phase II auction is O(MN).

Proof: For TARCO, in Algorithm 2, the sorting process has a time complexity of $O(n_i \log n_i)$. The random splitting procedure takes O(n) time. Algorithm 1 takes $O(n_i \log n_i)$ time. Therefore, the time complexity of Algorithm 2 is $O(n_i \log n_i)$. In Algorithm 3, the outer 'for' circulation takes time O(N) and to acquire the winning SCB e_k , $k \in \mathcal{E}_w$ takes O(M) time. Therefore, the time complexity of Algorithm 3 is O(MN).

Thus, TARCO has the property of computational efficiency.

VII. IMPROVED SCHEMES VITA

In phase II of TARCO, the algorithm assigns SCB resources to the relay SUEs in a greedy manner, which may result in low utilities for both parties. Therefore, the SCBs may be reluctant to sell their computation resources and system performance may suffer. In this section, we propose a VCG based utility improved truthful auction (VITA) scheme to improve the utilities of both SUEs and SCBs. In VITA, phase II of TARCO is replaced by a VCG based auction shown in Algorithm 4 while phase I of TARCO remains unchanged in the new scheme VITA. In VITA, to devise VCG based payment, we utilize a max weighted matching graph to determin winners. In this way, the total utility of SUEs and SCBs, which is expressed as $\sum_{k=1}^{M} (B_i^k - A_k)$ can be maximized. Different from the stage II of TASG presented in [20] where a random maximum matching is adopted, we try to maximize the total utility of service prodivers in the two stages of TARCO and at the same time enrue the truthfulness of VITA. Since max weighted matching has a high computation complexity, in VITA, we utilise a 2-approximation ratio [37] of maximal weighted matching algorithm to determine winners.

Theorem 4: VITA satisfies the economic properties such as truthful, individual rational and computational efficient.

Proof: Firstly, we prove that VITA is truthful. For each SUE relay node r_i , its bid is B_i^k , $\forall k \in [1, M]$. Its utility is calculated as $U_i = F_i(k) - P_i = F_i(k) - (w(E_{-i}^*) - (w(E^*) - w(r_i, e_k)) + A_k) = w(E^*) - w(E_{-i}^*)$. It should be noted that $w(E_{-i}^*)$ is independent of SUE relay r_i , the maximum utility of r_i can be obtained when r_i bids $B_i^k = F_i(k)$, no r_i can improve its utility if bidding $B_i^k \neq F_i(k)$. Therefore, VITA is truthful. Next, for any SUE r_i , its utility $U_i = F_i(k) - P_i = F_i(k) - (w(E_{-i}^*) - (w(E^*) - w(r_i, e_k)) + A_k) = w(E^*) - w(E_{-i}^*) \geq 0$, therefore, VITA is individual rational for the SUEs.

Algorithm 4 Phase II of VITA

```
Input: B_i^k, for \forall 1 \leq i \leq N and 1 \leq k \leq M
Output: \mathcal{R}_w, \mathcal{E}_w, P_i
  1: \Lambda \leftarrow \emptyset, E^* \leftarrow \emptyset // \Lambda is the edge set in the matching graph
  2: P_i \leftarrow 0
  3: Construct a bipartite graph G = (\mathcal{R}, \mathcal{E}, \Lambda, w) and the weight of \Lambda(r_i, e_k) = B_i^k - A_k if B_i^k \geq A_k
      /* \mathcal{R} is the relay SUEs, \mathcal{E} is set of SCBs, \Lambda is the edge in the matching graph, \forall (r_i, e_k) \in \Lambda^*/
  4: E^* \leftarrow \Lambda // Note that w(E^*) is the sum weight
  5: while E^* \neq \emptyset do
         Find an edge (a, b) \in G with the highest weight
  6:
          Add (a,b) to the matching E^* and delete all edges that incident to a or b in G
  7:
  8: end while
  9: for each (r_i, e_k) \in E^* do
         \mathcal{R}_w \leftarrow \mathcal{R}_w \cup \{r_i\}, \ \mathcal{E}_w \leftarrow \mathcal{E}_w \cup \{e_k\}
         \Lambda' \leftarrow \Lambda \setminus (r_i, e_k), \ \mathcal{R}' \leftarrow \mathcal{R} \setminus \{r_i\}
 11:
          G_{-i} \leftarrow (\mathcal{R}', \mathcal{E}, \Lambda', w)
 12:
         E_{-i}^* \leftarrow \emptyset
 13:
          while \Lambda' \neq \emptyset do
 14:
             Find an edge (c, d) \in G_{-i} with the highest weight
 15:
             Add (c,d) to the matching E_{-i}^* and delete all edges that incident to c or d in G_{-i}
 16:
         end while
 17:
          P_i \leftarrow w(E_{-i}^*) - (w(E^*) - w(r_i, e_k)) + A_k
 18:
 19: end for
 20: Return \mathcal{R}_w, \mathcal{E}_w, P_i
```

Finally, based on the observation that, in VITA, phase I is just as same as that in TARCO, we only need to prove VITA is computational rational in phase II. The time complexity of the 2-approximation ratio algorithm of the maximum weighted matching is O(Nlog(N+M)) [37], and the outer 'for' circulation in Algorithm 4 takes time O(N), thus the total time complexity is of Algorithm 4 is $O(N^2log(N+M))$.

VIII. PERFORMANCE EVALUATION

In this section, we evaluate the performance of TARCO and make some comparisons between TARCO and random method. Meanwhile, we also derive the upper bound for TARCO since there is no existing algorithms to directly compare with. Then we investigate the performance of VITA with the method that use max weighted matching to determin winners. The simulation is executed on MATLAB simulator.

A. Simulation environment

We consider a heterogeneous network structure shown in Fig. 1, whose nodes are randomly distributed in a 100×100 area. The parameters for cooperative communications are adopted from [38].

By default, we set M=10, N=10. We vary n_i from 10 to 100 with an increment of 10 for any $i \in \{1, 2, \dots, N\}$. We assume that MUE s_i^j 's budget $b_i^j(k)$ is randomly distributed in $(0, v_i^j)$, where v_i^j is

computed as described in Section IV-B. In phase II of TARCO, the ask price A_k is randomly distributed in (0,1]. The results are averaged for 100 repetitions.

To the best of authors' knowledge, this is the first incentive scheme proposed for cooperative computation offloading in heterogeneous network and there are no existing auction schemes to compare with. Instead, in this paper, we compare TARCO with the following two schemes, *i.e.* the upper bound and random schemes. To derive the upper bound, we choose the maximum $p_i^j(k)$ as $b_i^j(k)$ to replace that of Line 9 in Algorithm 1. Note that through this change, we do not change the economic properties of TARCO. For the random scheme, it replace the phase I of TARCO by fistly choosing a MUE s_i^t , if the valuation of another SU s_i^j is larger than s_i^t , where $j \neq t$ and $j \in [1, n_i]$, SUE will allocate the computation resource to it. Then we examine the performance of utility enhanced sceme VITA with the above mentioned schemes as well as the **max weighted** matching based winner **determination** scheme (MWD).

B. Simulation analysis

Firstly, we investigate the running time of TARCO with different network settings shown in Fig. 3. In all simulations, the number of SUEs is set as same as that of SCBs' number, that is N=M. From Fig. 3, we observe that the running time is no more than 25s when n_i is lower than 80. When n_i is constant, the running time grows fast with the increasing of the SCB's number M and meanwhile, when M stays unchaged, the running time increases when n_i becomes higher.

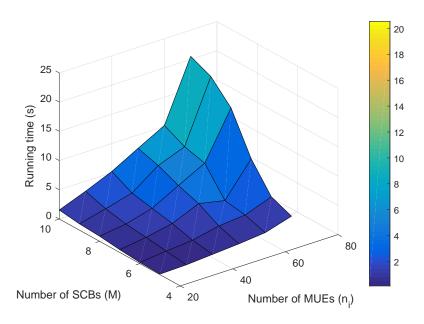


Fig. 3. TARCO's running time with number of SUEs and number of SCBs (See digital or online version for color)

Next, we compare the running time performance between TARCO and VITA with different number of

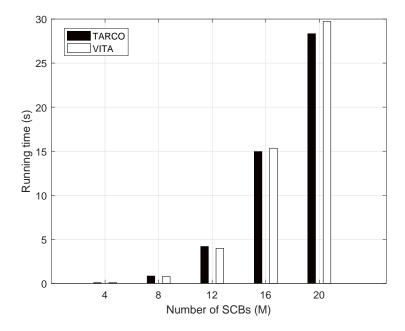


Fig. 4. Running time comparisons between TARCO and VITA with number of SCBs

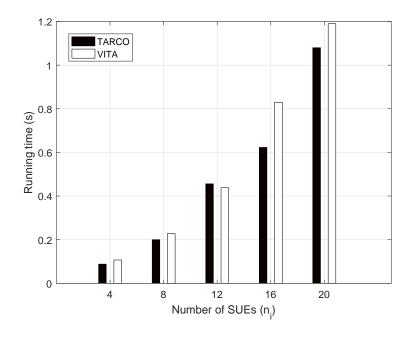


Fig. 5. Running time comparisons between TARCO and VITA with number of SUEs

SCBs and SUEs respectively. The results are shown in Fig. 4 and Fig. 5. We can see the running time performance of VITA is as similar as that of TARCO. In Fig. 4, we set the number of SUEs as $n_i = 20$ while we set the number of SCBs as M = 4. It is obvious that when network size becomes larger, the running time of VITA is slightly higher than TARCO on average. That's because VITA tries to maximize the total utility which consumes much more time than TARCO.

In the third experiment, we examine the utilities of network users for proposed schemes with M=5 and

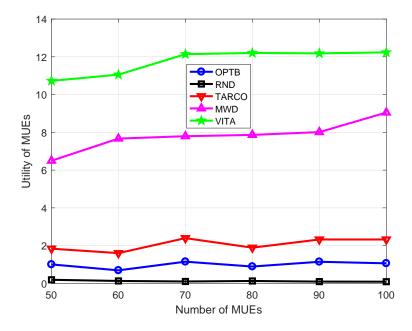


Fig. 6. Average Utility of MUEs with the number of MUEs

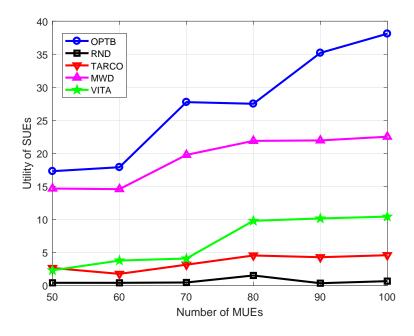


Fig. 7. Average Utility of SUEs with the number of MUEs

we vary n_i from 50 to 100. As shown in Fig. 6, we compre the average utility of MUEs with the increasing number of MUEs. As shown in Fig. 6, with the increasing number of MUEs, the average utility of MUEs increases accordingly for MWD and VITA algorithms. For TARCO and OPTB, the average utility of MUEs varies around 2.0 and 1.0 respectively. Of all the algorithms, the random algorithm RND achieves the lowest utility in all situations. For MWD and VITA, the utility of MUEs grows fast when the number of MUEs is below 70. That's because the competition for SUEs is not fierce since there are abundant resources.

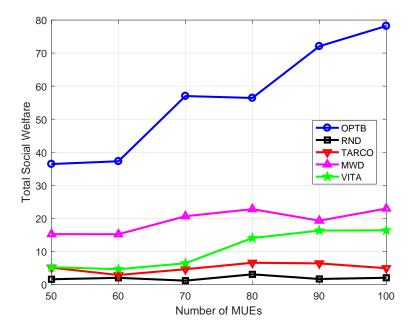


Fig. 8. Social Welfare with the number of MUEs

However, the utility gain decreases when the number of MUEs is above 70 for MWD and VITA. That's because the CPU computation cycles obtained has reached to the limit and they cannot improve the utilities any longer. The utility of MUEs decreases with the increasing number of MUEs for the RND algorithm. That's because the RND algorithm tends to select inefficient MUEs. On average, OPTB achieves 46.90% higher utility of MUEs than RND algorithm while TARCO is 104.90% better than RND algorithm. What's more, VITA and MWD algorithms are the best two algorithms to enhance the utility of MUEs. VITA is 28.75% better than TARCO and MWD outperforms TARCO for about 17.06%. That's because the two algorithms are based on max-weighted matching which greatly reduces the cost for MUEs.

Fig. 7 shows the relationship between the average utility of SUEs and the number of MUEs. Generally, with the increasing number of MUEs, the average utility of SUEs grows accordingly. Different from Fig. 6, the OPTB and MWD algorithms are among the best two algorithms. With the increasing number of MUEs, the utility gains of SUEs decrease for VITA, TARCO and RND algorithms when the number of MUEs is greater than 80. For OPTB, it acquires the maximal $F_i(i)$ of all algorithms because $p_i^j(k) = b_i^j(k)$ for OPTB while for the other algorithms, $P_i^j(k) < b_i^j(k)$. Even though the utility gains of SUEs do not increase, the valuation of MUE s_i^j is defined as (1), the SUE relay may select the MUEs with larger valuation and low capacity for direct communication, which result in the increase of utility of SUEs. On average, OPTB is about 317.65% better than RND algorithm and TARCO achieves about 33.90% utility gain than the RND algorithm on the utility of SUEs. Although VITA achieves almost the same utility of SUEs as TARCO, it outperforms TARCO by about 5.11% whereas MWD outperforms TARCO by about 28.94%.

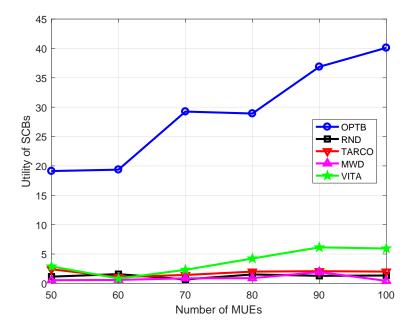


Fig. 9. Average Utility of SCBs with the number of MUEs

Fig. 8 demonstrate almost the same trends as that of Fig. 7. Although OPTB achieves the best total social welfare, it cannot be achieved and it cannot fully guarantee the economic properties. Except for OPTB, MWD and VITA are among the best two algorithms that obtain the maximal utility. That's because the two algorithms try to maximize the sum utility of SCBs and SUEs, *i.e.*

$$\sum_{i=1}^{N} \sum_{k=1}^{M} (F_i(k) - A_k), \tag{7}$$

based on max weighted matching. In conclusion, the OPTB algorithm achieves higher social utility than RND algorithm for about 188.04% and TARCO is about 12.05% better than RND. Compared with RND, MWD and VITA outperform RND by 63.14% and 28.01% respectively.

Finally, Fig. 9 depicts the average utility of SCBs with the varying number of MUEs. It is obvious that the three algorithms, *i.e.* TARCO, MWD and RND, produce similar average utility of SCBs. That's because under the simulation setting, the budget acquired by the SUE from MUEs is close to A_k for the three algorithms. In gerneraly, OPTB can ensure a higher utility of SCBs, which is 143.67% higher than RND algorithm whereas VITA is about 12.42% better than RND.

From the above experiments, we can see that VITA suits for the situation where all network participants' utility should be maximized at the same time. When the SCB is energy abundant and the cost can be neglected, TARCO, MWD and VITA demonstrate good qualities to ensure higher social welfare than the RND algorithm. In real situations, the SCB is a fixed network infrastructure, and is always full of computation resources as well as energy abundant. Therefore, TARCO, MWD and VITA can be deployed to motivate SUEs leasing resources to assist the computation offloading of MUEs.

IX. CONCLUSION

In this paper, we have investigated the auction mechanism to jointly allocate the computation resources of SCBs and SUE relay nodes efectively in HetNet. We model the joint resource allocation problem as a two-stage auction where in the first stage, each remote MUE node submits its valuation and demand to bid SUE relay and the SCB. The SUE relay node collects bids from the social group within its range and conducts a virtual auction between MUEs and SUEs. Then the macro cell base station will perform a double auction between SUEs and SCBs to allocate the computation resources, *i.e.* the CPU cycles. Finally, the winning SUE relays will execute the auction result of previous stage. Based on the proposed scheme, we have proposed three schemes TARCO, MWD and VITA. We prove that TARCO is truthful, individual rational, budget balance and computational efficient. We also prove that MWD and VITA schemes are truthful, individual rational and computational efficient. Extensive numerical analysis demonstrate that for social welfare, TARCO is about 12.05% better than RND and Compared with RND, MWD and VITA outperform RND by 63.14% and 28.01% respectively. Note that in this work we assume SCBs have abundant resources and each SUE social group may only be served by one SCB and the auction is executed for one time. In the future, we would like to consider the auction with resource constraint SCBs and from a long time multi-round perspective.

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