

Power Allocation Strategy based on Decentralized Convex Optimization in Modular Fuel Cell Systems for Vehicular Applications

Arash Khalatbarisoltani, *Member, IEEE*, Mohsen Kandidayeni, *Member, IEEE*, Loïc Boulon, *Senior Member, IEEE*, Xiaosong Hu, *Senior Member, IEEE*

Abstract— Recently, modular powertrains have come under attentions in fuel cell vehicles to increase the reliability and efficiency of the system. However, modularity consists of hardware and software, and the existing powertrains only deal with the hardware side. To benefit from the full potential of modularity, the software side, which is related to the design of a suitable decentralized power allocation strategy (PAS), also needs to be taken into consideration. In the present study, a novel decentralized convex optimization (DCO) framework based on auxiliary problem principle (APP) is suggested to solve a multi-objective PAS problem in a modular fuel cell vehicle (MFCV). The suggested decentralized APP (D-APP) is leveraged for accelerating the computational time of solving the complex problem. Moreover, it enhances the durability and the robustness of the modular powertrain system as it can deal with the malfunction of the power sources. Herein, the operational principle of the suggested D-APP for the PAS problem is elaborated. Moreover, a small-scale test bench based on a light-duty electric vehicle is developed and several simulations and experimental validations are performed to verify the advantages of the proposed strategy compared to the existing centralized ones.

Index Terms— Fuel cell system, distributed optimization, fuel cell hybrid vehicle, energy management, multi-agent system.

I. INTRODUCTION

Fuel cell vehicles (FCVs) have become a propitious substitute for internal combustion engines (ICEs) to mitigate the greenhouse gas (GHG) emissions in transportation sector [1, 2]. Among several types of fuel cell (FC), proton exchange

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membrane fuel cell (PEMFC) has been adopted broadly in green mobility thanks to its appropriate characteristics [3]. However, the use of a sole FC system (FCS) cannot satisfy all the requirements in vehicular applications as its performance is drastically declined in the presence of dynamic load profiles. Moreover, it is not able to capture the energy from regenerative braking owing to its energy storage incapability. Hence, hybridization of the FCS with other power sources, such as battery (B) or supercapacitor (SC), has been abundantly practiced in the literature to compensate for the mentioned weaknesses [4, 5].

In FCVs, the end-user cost is defined based on several factors, such as hydrogen consumption, FCS degradation, and battery unit degradation. To minimize this cost, it is required to define a well-developed multi-objective power allocation strategy (PAS). A variety of PASs, such as rule-based [6-8], equivalent consumption minimization [9, 10], model predictive control [11], adaptive [12, 13], dual-mode [14], and heuristic [15, 16], have been suggested in the past few decades for the FCVs. Some of these papers have also highlighted the possibility of integrating the prognostic and health management techniques into the design of PASs [17]. These techniques can be categorized into two main groups of model-based [18, 19], and data-driven [20, 21]. They are utilized to estimate the state of health (SOH) and remaining useful life (RUL) and then this estimation can be included as an input in the strategy to distribute the power. For the sake of combining the advantages of model-based and data-driven methods, a hybrid prognostic framework is introduced in [22]. The suggested method provides an uncertain characterization of RUL probability distribution. This method can be integrated into the existing PASs as a guiding principle for making appropriate sequential decisions to prolong the powertrain system lifetime. However, all the discussed strategies have been developed for single FCSs. Hence, they are very sensitive to the malfunction of the power sources, which is likely to happen in such a powertrain configuration.

In this respect, a new direction called modular energy systems (MESSs) has come under attentions to overcome the limitations of a single FCS and increase reliability as well as the scalability of the FCVs [23]. Unlike the typical FCVs, a modular FCV (MFCV) is composed of a battery pack and a set of low-power FC modules, instead of a high-power one, to

augment the reliability and the scalability characteristics. Several PASs have been suggested for such modular systems, such as rule-based [24], hysteresis strategy [25], and droop control [26, 27]. In [28], Marx et al. have reported a comparative review of different concepts for these modular topologies in multi-stack FCs from a hardware point of view. They have concluded that the robustness is improved in parallel-connected configuration compared to other topologies. In [29], a PAS based on forgetting factor recursive least square is proposed for a MES composed of two 300-W PEMFC stacks with a parallel configuration. The strategy shows lower hydrogen consumption compared to average power and daisy chain algorithms. In [24], an adaptive state machine strategy is proposed for a MES composed of four 500-W PEMFCs and a battery pack. This strategy has improved the hydrogen economy compared to Daisy Chain and Equal distribution strategies while keeping the PEMFCs with the same health states.

Therefore, the hardware modularity has been already investigated in the MFCs while the software modularity has escaped the attentions. Literature consideration shows that most of the existing PASs, regardless of having a modular or normal powertrain topology, are centralized. Therefore, they are very sensitive to a precipitous single point of failure through their powertrains from a software point of view. Moreover, the additional degrees of freedom in the MESs make the centralized algorithms substantially complicated and time-consuming to be solved. In this respect, some papers have focused on the distributed optimization algorithms to solve the PAS optimization problems [30-34]. In [30], a projected interior point method is proposed under the framework of model predictive control (MPC) to solve the power allocation problem and concluded that this strategy is faster than CVX tool, which is a general-purpose convex optimization software. In [31], CVX tool is utilized to solve a formulated convex optimization problem for a plug-in FCV, and it is shown that the proposed approach can effectively distribute the power between the power sources and also find the optimal sizes of each source. In [32], the slew rate of the PEMFC current and the battery state of charge (SoC) are considered to formulate the PAS in the form of quadratic programming (QP). Subsequently, a solver is utilized to solve the QP problem based on the alternating direction method of multipliers (ADMM). It is concluded that this approach is much faster than interior point or active set methods. In [33], a PAS for a hybrid electric vehicle is proposed based on ADMM and concluded that this strategy can achieve up to 90% of fuel saving obtained by dynamic programming (DP) while it is 3000 times faster than DP. In [34], a distributed optimization approach is put forward to solve the PAS of a hybrid vehicle. The comparison of this distributed algorithm with a centralized convex optimization problem shows that the proposed algorithm can result in the same fuel economy as the centralized method while its computational time is declined up to 1825 times. Although the discussed papers in [30-34] have improved the PAS formulation to a further step regarding the accuracy and computational time reduction, they are not still fully decentralized, and are sensitive to the occurrence of malfunction in their systems. In [35, 36], a decentralized approach based on non-cooperative game theory is proposed to formulate the PAS in a multi-source hybrid vehicle. The method in these papers shows a comparable performance to that

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of the centralized strategies. Moreover, the potential of this approach for dealing with the sudden reconfigurations in the system is also demonstrated in [35]. However, this decentralized method is not able to deal with the constraints with a high amount of nonlinearity which are inevitable in FCVs.

In the light of the discussed papers, it can be stated that the design of MESs for a FCV application has gained considerable attentions. However, most of the existing works only deal with one side of modularity, either hardware or software. The hardware is related to the configuration of the powertrain (for instance a parallel multi-stack PEMFC system coupled with a battery pack), and the software is related to the development of a suitable PAS (like a decentralized algorithm). Furthermore, most of the papers which have focused on the software side are for hybrid electric vehicles with an ICE and not a FCV.

In this regard, this paper puts forward a decentralized convex optimization (DCO) methodology based on auxiliary problem principle (APP) [37-39] to solve a constrained convex approximation power distribution problem in a MFCV. This MFCV is composed of two PEMFCs, which are connected in parallel, and a battery pack. To the best of the authors' prior knowledge, this is one of the first attempts, if any, to formulate an accelerated decentralized PAS for a MFCV to benefit from the full modularity potential considering hardware and software viewpoints. To this end, a multi-objective cost function, including the hydrogen consumption, battery SOC variation, PEMFC health state, and battery health state, is defined and minimized by the proposed decentralized APP (D-APP). To verify the performance of the suggested D-APP, it is compared with dynamic programming, which is an offline strategy, and an online centralized PAS based on sequential quadratic programming (SQP). Moreover, the performance of the D-APP has been justified by an experimental modular FC (MFC) test bench developed for the purpose of this work.

The rest of this paper is organized as follows. The powertrain and the modeling are detailed in section II. Section III formulates the convex PAS for a MFCV. The application of the D-APP is explained in section IV. Several numerical studies are given in section V. A real-time implementation via the developed small-scale MFC test bench is performed to confirm the effectiveness of the DCO in Section VI. Finally, conclusion and future directions are presented in Section VII.

II. MFCV POWERTRAIN CONFIGURATION AND MODELING

A. Powertrain Structure and modeling

For the purpose of this study, a small-scale MFC test bench has been developed based on a low-speed vehicle called Nemo [40]. This test bench is presented in Fig. 1 and used for evaluating the performance of the proposed decentralized PAS. The MFC test bench is composed of two FC modules, a battery pack, a power supply, and a programmable load to emulate the propulsion system. The main device in each module is a 500-W FCS, a smoothing inductor, and a unidirectional DC-DC converter to control the current of the FCS. The powertrain is formulated as:

$$\sum_{m=1}^M P_m [k] D_m [k] + P_B [k] = P_L [k], \quad (1)$$

where $P_m[k]$ is the power of each FCS while $M = \{1,2\}$ is the index of each FC module, D_m is the duty cycle defined by

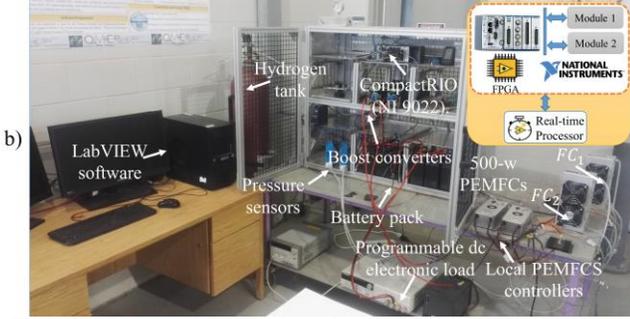
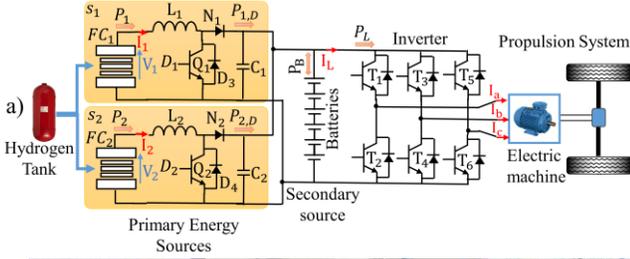


Fig.1. A MFC powertrain: a) schematic of powertrain, b) developed test bench.

each DCO-based control unit controller, $P_B[k]$ is the power of the battery, $P_L[k]$ is the requested power from the propulsion system, and k is the index of time period.

B. MFCS modeling and constraints

The FCSs are modeled as a voltage source by means of their static polarization curves which are validated by experimental tests, as shown in Fig. 2. The polarization curves of the employed FCSs are illustrated in Fig. 2a. Moreover, the power and hydrogen consumption curves of each utilized FCS are presented in Fig. 2b and Fig. 2c, respectively. Each FC has two fans which consume approximately 12 W. It is worth mentioning that the FCSs do not have the same performance as they have different ageing milestones. To avoid FC degradation owing to the start-stop cycles and operation at open circuit voltage (OCV) within very low-power region, the requested power from the FCSs is supplied under some limitations. Equations (2.a) and (2.b) apply the FCSs' power and slew rate limits, respectively.

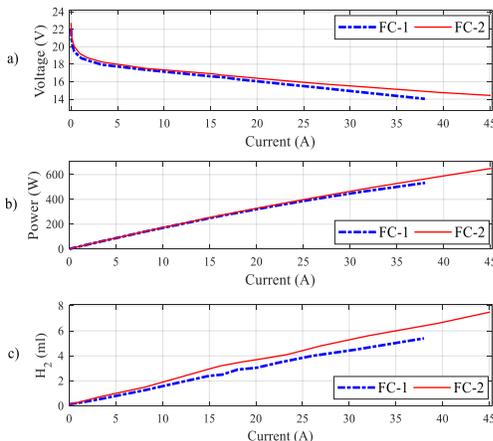


Fig.2. The characteristics of the utilized 500-W FCSs, a) polarization curves, b) power curves, and c) hydrogen consumption curves.

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$$P_{m,min} \leq P_m[k] \leq P_{m,max}, \quad (2.a)$$

$$R_{d,m}\Delta t \leq P_m[k] - P_m[k-1] \leq R_{u,m}\Delta t, \quad (2.b)$$

where $P_{m,min}$ and $P_{m,max}$ are the minimum and the maximum power of the FCSs, $R_{d,m}$ and $R_{u,m}$ are the minimum and the maximum slew rates, and Δt is the time step duration. It should be noted that when the FCs go under degradation (which is a slow process), their rated power decreases. In this regard, the considered constraints regarding the minimum and maximum power of the PEMFC should be updated from time to time to keep the operation of the FCs within the safe and allowed zone [41].

C. Battery modeling and constraints

The battery pack which is passively linked to the DC bus is modeled by:

$$I_B[k] = \frac{u_0[k] - R_s I_B[k] - u_B[k]}{R_c} + \quad (3)$$

$$C_c \frac{d}{dt} (u_0[k] - R_s I_B[k] - u_B[k]),$$

where u_B and I_B are the voltage and the current of the battery unit, and u_0 is the battery OCV. Technical description of the battery system is given in Table I.

Equation (4.a) and (4.b) impose the power and the slew rate boundaries of the battery.

$$P_{B,min} \leq P_B[k] \leq P_{B,max}, \quad (4.a)$$

$$R_{d,B}\Delta t \leq P_B[k] - P_B[k-1] \leq R_{u,B}\Delta t, \quad (4.b)$$

where $P_{B,min}$ is the minimum battery power, $P_{B,max}$ is the battery maximum power, $R_{d,B}$ is the falling slew rate, and $R_{u,B}$ is the rising slew rate. Equation (5.a) presents the SoC limitations.

$$SoC_{min} \leq SoC[k] \leq SoC_{max}, \quad (5.a)$$

$$SoC[k+1] = SoC[k] - \frac{P_B[k]\Delta t}{Q_B V_B[k]3600}, \quad (5.b)$$

$$SoC[0] = SoC_0, \quad (5.c)$$

where SoC_{min} and SoC_{max} are the minimum and the maximum limits of the SoC, and (5.b) denotes the SoC equation starting from SoC_0 which is determined by (5.c). The service life of battery unit is affected by the depth of discharge [42]. According to the manufacturer's datasheet, when adopting the depth of discharge of 30%, the battery lifetime (n_B) is equal to the 80% of capacity fade. The battery's state of health (SoH_B) is calculated by (6).

$$SoH_B[k+1] = SoH_B[k] - \frac{|P_B[k]|\Delta t}{2n_B Q_B V_B[k]3600}, \quad (6.a)$$

$$SoH_B[0] = SoH_{B,0}, \quad (6.b)$$

$$SoH_{B,min} \leq SoH_B[k], \quad (6.c)$$

where $SoH_{B,min}$ and $SoH_{B,0}$ denote the minimum and the initial SoH values, respectively.

D. Boost converter modeling and characteristics

The DC-DC converters' equations are as follows:

$$L_m \frac{d}{dt} I_m[k] = V_m[k] - u_{h,m}[k] - r_{L_m} I_m[k], \quad (7)$$

$$\begin{cases} u_{h,m}[k] = m_{h,m} V_B[k] \\ I_{h,m}[k] = m_{h,m} I_m[k] \eta_{h,m}^z \end{cases} \quad z = \begin{cases} 1 & \text{if } P_m > 0 \\ -1 & \text{if } P_m < 0 \end{cases}$$

where $m_{h,m}$ is the modulation ration, I_m is the FCs' current, V_m is the FCs' voltage, and V_B is the battery pack voltage. The technical parameters of the utilized DC-DC converters are given in Table II.

Table I
The battery pack parameters

| Variable | Symbol | Value | Unit |
|---------------------|--------|--------|----------|
| Series resistance | R_s | 0.0141 | Ω |
| Capacity | Q_B | 18.2 | Ah |
| Parallel capacitor | C_c | 1792 | F |
| Parallel resistance | R_c | 0.0177 | Ω |

Table II
Characteristics of the two boost converters

| Variable | Symbol | Value |
|---------------------|--------------|-----------------|
| Inductor inductance | L_m | 1.2 mH |
| Inductor resistance | r_{L_m} | 23.7 m Ω |
| Average efficiency | $\eta_{h,m}$ | 95.7% |

III. FORMULATION OF THE GENERAL PROBLEM

The multi-objective PAS problem for the considered MFCV is formulated as (8)–(12). Beside hydrogen consumption, the health limitations are normalized and added into the proposed cost function to extend the lifetime of the FCs and the battery pack. The cost function ($s[k]$) takes into account four items and is calculated by:

$$s[k] = s_{h,m}[k] + s_{d,m}[k] + s_B[k] + s_{SoC}[k], \quad (8)$$

where $s_{h,m}[k]$ is the normalized hydrogen consumption cost shaping function for each FCS, obtained by:

$$s_{h,m}[k] = \frac{h_m[k] - h_{m,min}}{h_{m,max} - h_{m,min}}, \quad (9)$$

where $h_m[k]$ is the hydrogen consumption, $h_{m,min}$ is the minimum and $h_{m,max}$ is the maximum hydrogen consumption of each FCS, as shown in Fig. 2c. The normalized FC degradation term ($s_{d,FC_m}[k]$) is defined by:

$$s_{d,FC_m}[k] = \alpha_l s_{d,m}^l[k] + \alpha_h s_{d,m}^h[k], \quad (10.a)$$

where $s_{d,m}^l[k]$ is the normalized degradation cost shaping term related to low power operation, given by:

$$s_{d,m}^l[k] = 1 - \frac{[P_m[k] - P_{m,min}]^2}{[P_{m,max} - P_{m,min}]^2}, \quad (10.b)$$

$s_{d,m}^h[k]$ is the normalized degradation cost shaping term related to high power operation as:

$$s_{d,m}^h[k] = 1 - \frac{[P_m[k] - P_{m,max}]^2}{[P_{m,max} - P_{m,min}]^2}, \quad (10.c)$$

α_l and α_h are the constant coefficients which are defined by:

$$\alpha_l = \frac{\varepsilon_l}{\varepsilon_l + \varepsilon_h}, \quad (10.d)$$

$$\alpha_h = \frac{\varepsilon_h}{\varepsilon_l + \varepsilon_h}, \quad (10.e)$$

where $\varepsilon_l = 8.662 \mu V/h$ and $\varepsilon_h = 10 \mu V/h$ are the low-power and the high-power cell degradation rates [43,44]. Fig.3 illustrates the measured and the normalized data of the hydrogen consumption beside the low-power and the high-power cost shaping functions. The normalized battery pack degradation function ($s_B[k]$) is calculated by:

$$s_B[k] = \frac{P_B[k]}{P_{L,max}}, \quad (11)$$

where $P_{L,max}$ is the maximum requested power.

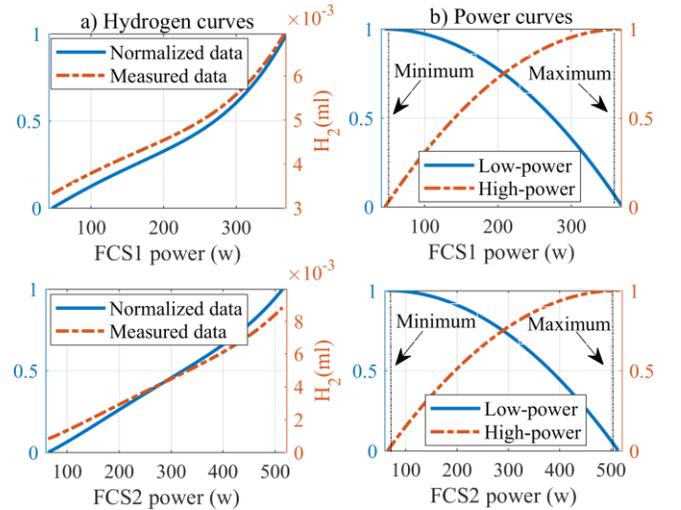


Fig.3. a) The measured and normalized hydrogen consumption curves b) the low-power and the high-power cost shaping functions.

$s_{SoC}[k]$ is a punishment term to try to maintain the SoC level similar or near to its initial value (SoC_0).

$$s_{SoC}[k] = \beta [SoC[k] - SoC_0], \quad (12)$$

where β is a big positive coefficient. The equality and inequality constraints are based on (1)-(2) and (4)-(6).

IV. DECENTRALIZED APP CONVEX ALGORITHM

In this section, a detailed framework is presented to clarify the relaxation approach and the decentralized solution of the aforementioned optimization problem. In this algorithm, the PAS problem is decomposed into two individual subproblems where the output power of each FC module is the coupling variable and each of subproblems is assigned into one of the two FC modules. Then, the output power of each FC is duplicated into two new terms, real variable and virtual variable to mimic the rest of the powertrain system. The virtual variables are linked to each of the two subproblems. The local PAS subproblems are defined and formulated for each module, and an iterative procedure based on the decentralized APP approach

is carried out to coordinate between subproblems and seek the optimal operating point of the original modular powertrain system. At the end of each iteration, the local optimization algorithms based on the defined cost functions and constraints are used to calculate the real power of the local FC modules and the virtual power of the neighboring FC modules. These values are then sent to the neighboring FC modules. As each of the real and virtual variables are essential to have the same values once the APP approach is converged, equal constraints are used by the two local PASs restricting the error of the shared powers to be zero. If the calculated errors by the PAS modules and their duplicated ones are less than a predetermined level, the convergence is obtained. If not, a set of penalty multipliers (λ) are calculated and then the local PASs are solved again via the new variables. This algorithm is run repeatedly until it converges. Since the convergence speed of the algorithm is faster than the system dynamic, the virtual variables get very close to the real values, and this makes the final results be very close to the DP. It is worth noting that although the number of sharing variables increases the size of the matrixes, the decentralized forms are solved in a parallel manner which reduces the computational time. As shown in Fig.4, in the developed DCO-based algorithm, the general optimization problem with coupling constrains is decomposed into two sub-problems of M_1 and M_2 . The battery pack is assumed to be located in the shared area as a storage device and all of the FC modules are needed to be informed about the estimated SoC level. The equality constraints for M_1 can be formulated in terms of $F_{M_1}(a, b) = 0$ and for M_2 by means of $F_{M_2}(b, c) = 0$.

In a similar way, the inequality constraints for M_1 and M_2 are represented in the form of $G_1(a, b) \leq 0$ and $G_2(b, c) \leq 0$, respectively. By defining the two sets: $A = \{(a, b): F_{M_1}(a, b) = 0, G_{M_1}(a, b) \leq 0\}$ for M_1 and $B = \{(b, c): F_{M_2}(b, c) = 0, G_{M_2}(b, c) \leq 0\}$ for M_2 , the feasible response is a point (a, b, c) that satisfies $(a, b) \in A$ and $(b, c) \in B$. Moreover, M_1 and M_2 have a vector (\mathbf{X}, \mathbf{Y}) with regard to the data which need to be shared with the neighboring FC module, as shown in Fig.5. The vector \mathbf{X} has the real FC module power ($P_{M_{11}}$), and the virtual FC module power ($P_{M_{21}}$), which is the M_2 power in point of M_1 . The vector \mathbf{Y} has the real FC module power ($P_{M_{22}}$) and the virtual FC module power ($P_{M_{12}}$), which is the M_1 power in point of M_2 .

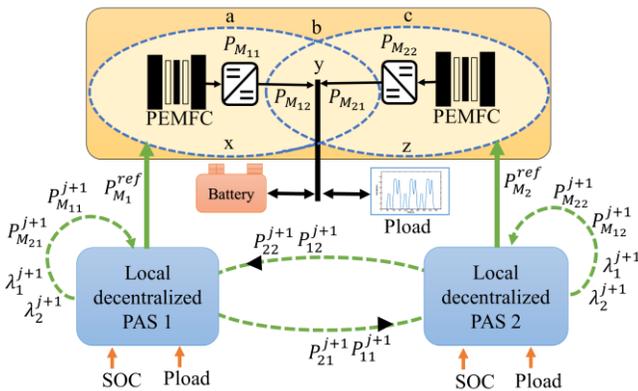


Fig. 4. The configuration of the D-APP PAS [45].

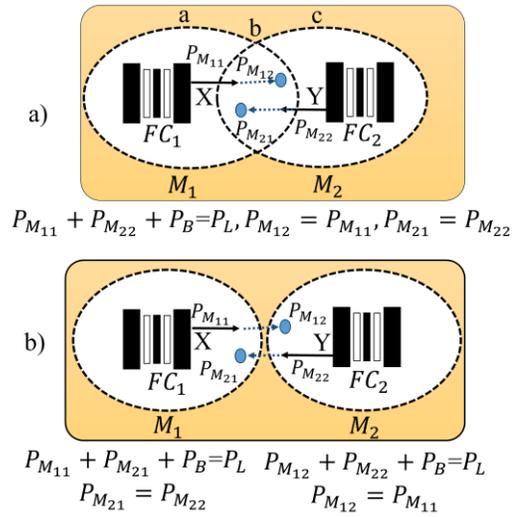


Fig.5. The APP steps a) defining the virtual modules b) duplicating the virtual modules.

By taking (8)-(12) into account, the cost of M_1 and M_2 ($C_M[k]$) and the battery pack cost ($C_B[k]$) are separately defined as:

$$C_M[k] = s_{h,m}[k] + s_{d,m}[k], \quad (13.a)$$

$$C_B[k] = s_B[k] + s_{SoC}[k], \quad (13.b)$$

Based on (13), the centralized optimization is reformulated by:

$$\min \{ C_{M_{11}}\{P_{M_{11}}[k]\} + C_{M_{22}}\{P_{M_{22}}[k]\} + C_B\{P_B[k]\} \}, \quad (14)$$

$$\{P_{M_{11}}[k], P_{M_{12}}[k]\} \in A, \{P_{M_{12}}[k], P_{M_{22}}[k]\} \in B,$$

In order to solve the modified sub-problems, a regional decomposition framework based on APP approach is suggested in [37]. For the sake of relaxing the coupling between M_1 and M_2 , $P_{M_{dd}} - P_{M_{df}} = 0$, $d, f = 1, 2$ $d \neq f$, and instead of applying standard Lagrangian technique, linearized augmented Lagrangian technique is applied to (14) to aid the convergence speed [38].

$$\{P_{M_{11}}[k], P_{M_{21}}[k], P_{M_{12}}[k], P_{M_{22}}[k]\} = \quad (15)$$

$$\min \{ C_{M_{11}}\{P_{M_{11}}[k]\} + C_{M_{22}}\{P_{M_{22}}[k]\} + C_B\{P_B[k]\}$$

$$+ \frac{\beta}{2} \|P_{M_{11}}[k] - P_{M_{12}}[k]\|^2$$

$$+ \frac{\beta}{2} \|P_{M_{22}}[k] - P_{M_{21}}[k]\|^2 :$$

$$P_{M_{11}}[k] - P_{M_{12}}[k] = 0, P_{M_{22}}[k] - P_{M_{21}}[k] = 0 \},$$

$$\{P_{M_{11}}[k], P_{M_{21}}[k]\} \in A, \{P_{M_{12}}[k], P_{M_{22}}[k]\} \in B,$$

The new quadratic function does not change the optimal result although the decomposition of the coupled C-PAS considerably improves the convergence speed [39].

A. Centralized APP

After applying the APP decomposition [37], (15) is solved by means of a sequence process. The suggested algorithm based

on APP is formulated as follows:

$$\begin{aligned} & \{P_{M_{11}}^{j+1}[k], P_{M_{21}}^{j+1}[k], P_{M_{12}}^{j+1}[k], P_{M_{22}}^{j+1}[k]\} = \\ & \min\{C_{M_1}\{P_{M_{11}}[k]\} + C_{M_{22}}\{P_{M_{22}}[k]\} + C_B\{P_B[k]\} \\ & + \frac{\beta}{2}\{P_{M_{11}}[k] - P_{M_{11}}^j[k]\}^2 + \frac{\beta}{2}\{P_{M_{21}}[k] - P_{M_{21}}^j[k]\}^2 \\ & + \frac{\beta}{2}\{P_{M_{12}}[k] - P_{M_{12}}^j[k]\}^2 + \frac{\beta}{2}\{P_{M_{22}}[k] - P_{M_{22}}^j[k]\}^2 \\ & + \rho\{P_{M_{11}}[k] - P_{M_{12}}[k]\}\{P_{M_{11}}^j[k] - P_{M_{12}}^j[k]\} \\ & + \rho\{P_{M_{22}}[k] - P_{M_{21}}[k]\}\{P_{M_{22}}^j[k] - P_{M_{21}}^j[k]\} \\ & + \lambda_1^j\{P_{M_{11}}[k] - P_{M_{12}}[k]\} + \lambda_2^j\{P_{M_{22}}[k] - P_{M_{21}}[k]\}, \\ & \lambda_1^{j+1} = \lambda_1^j + \alpha\{P_{M_{11}}^{j+1}[k] - P_{M_{12}}^{j+1}[k]\}, \\ & \lambda_2^{j+1} = \lambda_2^j + \alpha\{P_{M_{22}}^{j+1}[k] - P_{M_{21}}^{j+1}[k]\}, \end{aligned} \quad (16)$$

$$\lambda_1^{j+1} = \lambda_1^j + \alpha\{P_{M_{11}}^{j+1}[k] - P_{M_{12}}^{j+1}[k]\}, \quad (17)$$

$$\lambda_2^{j+1} = \lambda_2^j + \alpha\{P_{M_{22}}^{j+1}[k] - P_{M_{21}}^{j+1}[k]\}, \quad (18)$$

where j is the index of optimization iteration, and α, β , and ρ are predefined positive values. The starting points $P_{M_{11}}, P_{M_{21}}, P_{M_{12}}, P_{M_{22}}$, and λ can be set as the prior answer or zero (cold start). $\lambda_{1,2}^j$, as the Lagrange multipliers, are the estimated virtual FC module costs to keep the equality coupling constraints on the shared area at iteration j . The centralized APP utilizes the power values and the Lagrange parameters obtained from the previous step. It then alternates the achieved solutions of regional FC modules and updates the Lagrange multipliers. This iterative process will be completed if the stopping requirements are fulfilled.

B. Decentralized APP

With the aim of reducing the computational time and improving the fault-tolerant and the modularity features, (16)-(18) is divided into smaller subproblems for each autonomous FC module. The D-APP for the M_1 is formulated by:

$$\begin{aligned} & \{P_{M_{11}}^{j+1}[k], P_{M_{21}}^{j+1}[k]\} = \\ & \min\{C_{M_{11}}\{P_{M_{11}}[k]\} + C_B\{P_B[k]\} \\ & + \frac{\beta}{2}\{P_{M_{11}}[k] - P_{M_{11}}^j[k]\}^2 + \frac{\beta}{2}\{P_{M_{21}}[k] - P_{M_{21}}^j[k]\}^2 \\ & + \rho P_{M_{11}}[k]\{P_{M_{11}}^j[k] - P_{M_{12}}^j[k]\} \\ & - \rho P_{M_{21}}[k]\{P_{M_{22}}^j[k] - P_{M_{21}}^j[k]\} \\ & + \lambda_1^j P_{M_{11}}[k] - \lambda_2^j P_{M_{21}}[k]\}, \\ & \lambda_1^{j+1} = \lambda_1^j + \alpha\{P_{M_{11}}^{j+1}[k] - P_{M_{12}}^{j+1}[k]\}, \\ & \lambda_2^{j+1} = \lambda_2^j + \alpha\{P_{M_{21}}^{j+1}[k] - P_{M_{22}}^{j+1}[k]\}, \end{aligned} \quad (19)$$

$$\lambda_1^{j+1} = \lambda_1^j + \alpha\{P_{M_{11}}^{j+1}[k] - P_{M_{12}}^{j+1}[k]\}, \quad (20)$$

$$\lambda_2^{j+1} = \lambda_2^j + \alpha\{P_{M_{21}}^{j+1}[k] - P_{M_{22}}^{j+1}[k]\}, \quad (21)$$

The D-APP for the M_2 is given by:

$$\begin{aligned} & \{P_{M_{12}}^{j+1}[k], P_{M_{22}}^{j+1}[k]\} = \\ & \min\{C_{M_{22}}\{P_{M_{22}}[k]\} + C_B\{P_B[k]\} \\ & + \frac{\beta}{2}\{P_{M_{22}}[k] - P_{M_{22}}^j[k]\}^2 + \frac{\beta}{2}\{P_{M_{12}}[k] - P_{M_{12}}^j[k]\}^2 \\ & + \rho P_{M_{22}}[k]\{P_{M_{22}}^j[k] - P_{M_{21}}^j[k]\} \\ & - \rho P_{M_{12}}[k]\{P_{M_{11}}^j[k] - P_{M_{12}}^j[k]\} \\ & - \lambda_1^j P_{M_{12}}[k] + \lambda_2^j P_{M_{21}}[k]\}, \end{aligned} \quad (22)$$

$$\lambda_1^{j+1} = \lambda_1^j + \alpha\{P_{M_{12}}^{j+1}[k] - P_{M_{11}}^{j+1}[k]\}, \quad (23)$$

$$\lambda_2^{j+1} = \lambda_2^j + \alpha\{P_{M_{22}}^{j+1}[k] - P_{M_{21}}^{j+1}[k]\}, \quad (24)$$

These new modifications (19)-(21) and (22)-(24) basically lead to two D-APPs as a decentralized control layer, as shown in Fig.4. In [37], the APP parameters are defined based on:

$$\alpha = \frac{1}{2}\beta = \rho \quad (25)$$

It is worth mentioning that this parallel process will be stopped if the stopping conditions are satisfied. To better clarify the performance of the discussed method, a diagrammatic representation of the developed decentralized PAS layer is presented in Fig.6.

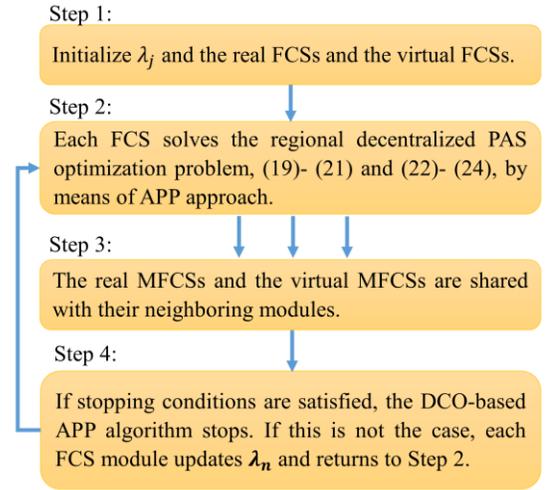


Fig.6. The general step-by-step flowchart of the D-APP strategy.

V. COMPARISON AND RESULTS OF NUMERICAL CASE STUDIES

In this section, to have a comprehensive discussion, a number of important items are considered to elucidate the DCO-based PAS. An optimal PAS based on DP has been developed to serve as a baseline. Moreover, SQP, as a well-known centralized approach, is used to evaluate the performance of the proposed decentralized method.

The numerical studies have been tested via MATLAB. The calculation time depends on the utilized PC hardware (Processor=Core i5, 2.30 GHz, RAM= 4.00 GB). The total end-user cost, S_T , in USD, which includes five items is calculated by:

$$S_T = S_{SoC} + \sum_k \sum_m S_{H,m}[k] + S_{d,m}[k] + S_B[k] \quad (26)$$

The hydrogen cost ($S_{H,m}[k]$), in USD, is computed by:

$$S_{H,m}[k] = H_m[k] C_{H_2} \Delta t, \quad (27)$$

where $H_m[k]$ is the hydrogen consumption (per gram) and C_{H_2} is the hydrogen price. The modules' degradation cost ($S_{d,m}[k]$), in USD, is calculated by:

$$S_{d,m}[k] = S_{d,m}^l[k] + S_{d,m}^h[k], \quad (28.a)$$

where $S_{d,m}^l[k]$ is the cost of low-power degradation and $S_{d,m}^h[k]$ is the cost of high-power degradation, given by:

$$S_{d,m}^l[k] = \frac{n_m \varepsilon_l C_m \Delta t \mu_{l,m}}{3600 V_{n,m}}, \quad (28.b)$$

$$S_{d,m}^h[k] = \frac{n_m \varepsilon_h C_m \Delta t \mu_{h,m}}{3600 V_{n,m}}, \quad (28.c)$$

where n_m is the cell numbers, ε_l is the low power cell-level degradation, ε_h is the high power cell-level degradation, and C_{FCm} is the FCS cost. μ_l and μ_h are determined by:

$$\mu_{l,m} = \begin{cases} 1, & \text{If } P_{min,m} \leq P_m \leq 0.2P_{nom,m} \\ 0, & \text{otherwise} \end{cases} \quad (28.e)$$

$$\mu_{h,m} = \begin{cases} 1, & \text{If } 0.8P_{nom,m} \leq P_m \leq P_{max,m} \\ 0, & \text{otherwise} \end{cases} \quad (28.f)$$

where $V_{n,m}$ is the 10 % voltage drop of the nominal voltage of each module. The cost of the battery unit degradation ($S_B[k]$), in USD, is determined by:

$$S_B[k] = C_B \{SoH_B[k] - SoH_B[0]\} \quad (29)$$

where C_B is the battery pack price. The punishment term for the battery pack (S_{SoC}) in USD is calculated based on the price of the hydrogen to recharge the battery unit at the end of the driving profile to reach the same level as the initial SoC. The battery pack is recharged by utilizing the FC stacks at their maximum efficiency points. This cost is added to the final end-user price. The reference price of the hydrogen, the FCS, and the battery pack are listed in Table. III.

| Cost | Symbol | Value |
|--------------|-----------|-------------------|
| Hydrogen | C_{H_2} | 3.9254 \$/Kg [46] |
| FCS | C_m | 35 \$/kW [47] |
| Battery unit | C_B | 189 \$/kWh [48] |

A. Optimal power distribution under real driving pattern

As shown in Fig.7, a real profile is herein considered. The power split based on DP, SQP, and DCO between the modules and the battery unit are shown in Fig. 8, Fig. 9, and Fig. 10, respectively, where Pload is the requested power, FC is the power provided by the modules, and Battery is the battery unit power. Fig. 8 demonstrates the performance of DP regarding the distribution of power and battery SoC. From this figure, it is seen that in the very beginning (0 to 25s), the FC modules recharge the battery. Then, from 25s to almost 140s, the FC modules operate in low power and battery SoC level decreases. From 140s on, the modules slightly recharge the battery to reach the same level of SoC as the initial one. In fact, knowing the driving cycle in advance makes DP have such a good performance. Fig. 9 illustrates the SQP strategy performance.

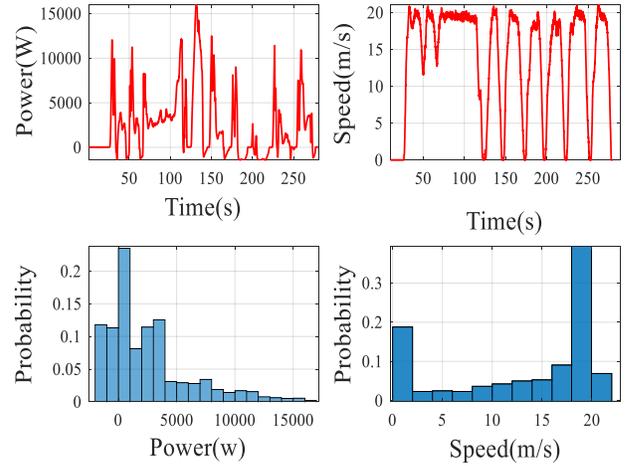


Fig.7. Six different analyses of the real cycle (a) the power, (b) the speed, (c) the power histogram, (d) the speed histogram.

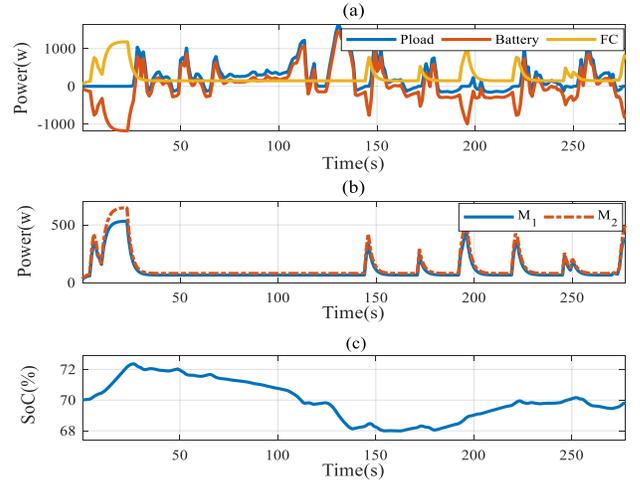


Fig.8. The DP results under real driving profile: (a) power profiles, (b) the modules (M_1, M_2) split powers, (c) the SoC.

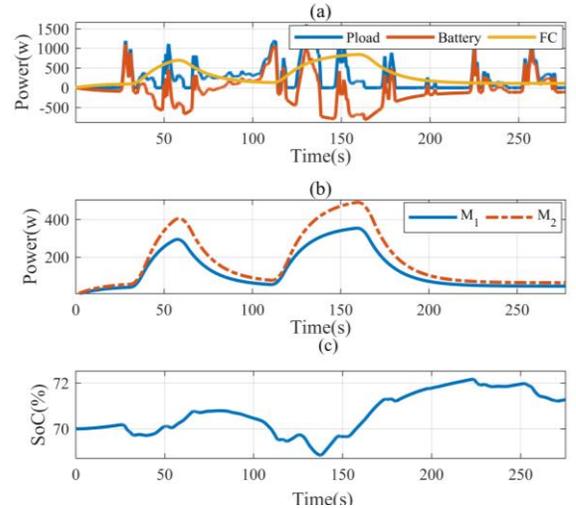


Fig.9. The SQP results under real driving profile: (a) power profiles, (b) the modules (M_1, M_2) split powers, (c) the SoC.

According to Fig. 9c, during the first 50s, this strategy tries to sustain the SoC level very close to 70 %. Afterwards, it fluctuates between charging and discharging and finishes almost with 71% of SoC. Fig. 10 presents the power distribution

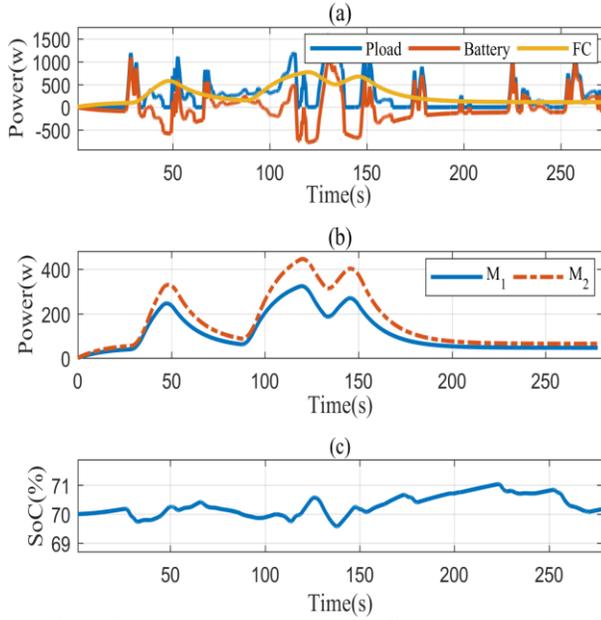


Fig.10. The APP results under real driving profile: (a) power profiles, (b) the modules (M_1, M_2) split powers, (c) the SoC.

obtained by the proposed decentralized strategy. From Fig. 10b, the modules largely operate at the efficient region to mitigate the degradation price, which leads to lower cost of degradation with the aid of battery power differences. Fig. 10c depicts the SoC level of the battery. The SoC fluctuates between 68.9% and 71.1%, less than approximately 2.2% variation. This strategy also manages to reach a very close SoC level to the initial one, as shown in Fig. 10c. The time series and the distribution of the real and the virtual FCSS' power based on DCO are presented in Fig.11. It is evident that in both of the modules, the real and the virtual power are well-matched, and are almost located in the efficient region.

To evaluate the developed DCO-based method, the performance of the obtained results is compared with DP as an off-line optimization method and SQP as a centralized optimization algorithm. According to Table IV, the D-APP has achieved a near end-user price to DP (with 12% difference) while the computational burden is much less. Moreover, the

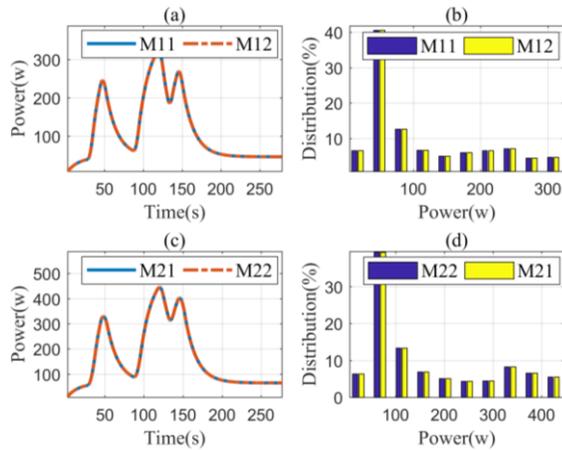


Fig.11. The profile and the distribution of the modules' optimal powers (a) the power profile of the M_1 (M_{11} : the real, M_{12} : the virtual), (b) the distribution of the M_1 . (c) the power profile of the M_2 (M_{22} : the real, M_{21} : the virtual), (d) the distribution of the M_2 .

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| | DP | | SQP | | D-APP | |
|---------------------------|-----------|-------|---------|-------|--------|--------|
| | | | | | M_1 | M_2 |
| Computation time (s) | 1040.3211 | | 52.7360 | | 15.325 | 15.130 |
| Number of iterations | - | | 6057 | | 1892 | 1868 |
| Hydrogen consumption (\$) | M_1 | M_2 | M_1 | M_2 | 0.0051 | 0.0026 |
| | 0.004 | 0.002 | 0.005 | 0.003 | | |
| | 5 | 7 | 6 | 1 | | |
| FC degradation (\$) | 0.001 | 0.001 | 0.001 | 0.001 | 0.0014 | 0.0010 |
| | 2 | 1 | 8 | 4 | | |
| Battery degradation (\$) | 0.0005 | | 0.0006 | | 0.0005 | |
| S_{SoC} | 0 | | 0.0010 | | 0.0005 | |
| Total Cost (\$) | 0.0100 | | 0.01550 | | 0.0112 | |

end-user price of D-APP is 27.74% lower than SQP while benefiting from a substantial decline in case of the computational time (71.31%) and the number of iterations (68.76 %). # denotes the number of iterations in the optimization algorithms (SQP, APP), and S_{SoC} is the punishment term to recharge the battery pack. Based on our experience, despite slight differences between the centralized APP (17)-(19) and the D-APP (20)-(25), the final results of both approaches are almost the same while the D-APP is faster. To have a clear understanding, here, the number of iterations and the computational time evaluation according to the M_1 are illustrated in Fig.12. It is evident that the computational time is related to the number of iterations.

Based on the obtained results, the decentralized method has less computational time which shows that this method is a reasonable and practical candidate in the real-time PAS optimization applications.

Fig.13 presents the price trajectories of different sources under a long test. The final end-user cost is approximately \$0.2134, to which the total hydrogen price of \$0.1033 contributes most (48.41% of the end-user expense). Between these two, the M_1 with about \$0.0641 (30.04% of the end-user

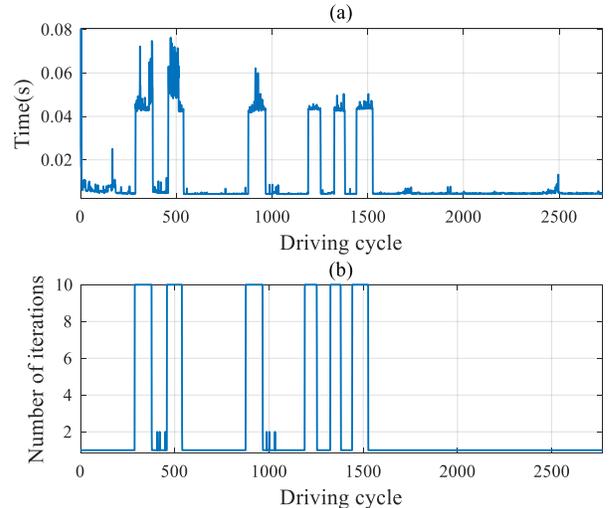


Fig.12. (a) The computation time trajectory, (b) the number of iterations.

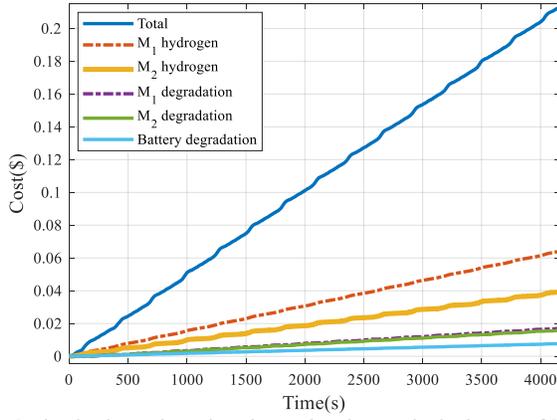


Fig. 13. Optimal price trajectories: the total end-user, the hydrogen of M_1 , the hydrogen of M_2 , the degradation of M_1 , and the degradation of M_2 , the battery degradation.

cost) contributes more compared to the M_1 with about \$0.0392 (18.37% of the final cost). The second largest cost is the modules degradation cost of nearly \$0.0330 (15.46% of the end-user cost). The battery degradation cost is around \$0.0077 (3.61% of the final cost). It is the lowest cost, compared to the ones related to the modules. The punishment term to recharge the battery pack is approximately \$0.0694 (32.52% of the final cost).

B. Impact of parameter tuning

The effect of tuning ρ on the end-user price and the computational performance is scrutinized in this subsection. Fig. 14 describes a detailed analysis of ρ in a wide range, from 10^{-9} to 10^{+7} . Fig. 14a shows the relation of ρ with the final cost (\$) and the computational time (s). In Fig. 14b, to verify that all the comparisons finish with the nearly same final state variable, the battery SoC evolution is presented. Fig. 14c shows a comparison between the computational complexity (s) and the number of iterations. It is apparent that they have the same pattern.

Generally, considering the modular powertrain problem and the hardware characteristics, ρ shows a significant influence over the performance where an improper ρ could lead to slower convergence and higher final cost. The end-user cost gradually

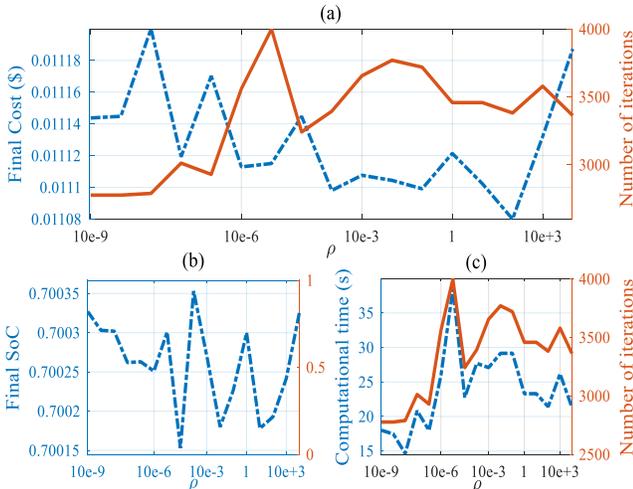


Fig. 14. The investigation of the parameter ρ in the DCO performances.

decreases as ρ grows. However, the computational complexity (s) becomes progressively heavy, particularly when ρ exceeds 10^{-5} . On the basis of our experience, ρ in the range of 10^{-8} - 10^{-7} is more suitable for the DCO problem and relying on our analyses, $\rho=10^{-7}$ is selected as the optimal value.

C. Sensitivity analysis

In this subsection, a sensitivity analysis of the proposed D-APP method with SQP is conducted. In this regard, different cost functions are taken into consideration: 1) hydrogen, 2) hydrogen and FCS degradation, 3) hydrogen, FCS and battery degradation. As shown in Fig. 15, in case (2), the computational time of D-APP rises by almost 6.3378% in comparison with case (1) while the computational time of SQP increases by nearly 24.2079% compared to case (1). Moreover, the computational time of D-APP grows by around 10.5112 % in case (3), compared to case (1). However, in case (2), the computational time of SQP increases by approximately 62.4511 % compared to case (1). This analysis shows that D-APP has less sensitivity to a complex function with several constraints, which is important in practical real-time applications.

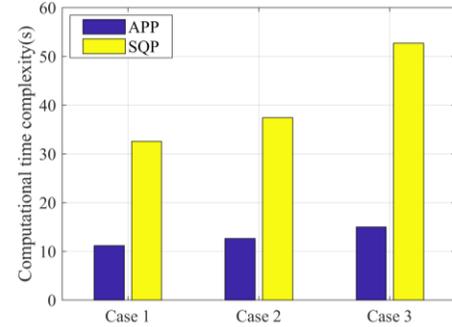


Fig. 15. The comparison of the computational burdens.

VI. EXPERIMENTAL IMPLEMENTATION

To verify the results, the D-APP has been implemented in the PAS layer of the developed scaled-down test bench via LabVIEW. As demonstrated in Fig. 1.b, the test bench is equipped with two open-cathode 500-W HorizonTM PEMFCs (M_1 and M_2) and a battery unit, composed of six series 12-V, 18-Ah batteries. The voltage of M_1 oscillates between 14.1 and 22.7 V, and the voltage of M_2 varies between 14.5 and 23.4 V, while the voltage of the DC-bus is given by the battery unit. The two boost converters are from Zahn ElectronicsTM. Each module has its PAS unit inside the National Instrument CompactRIO (NI 9022). The D-APP iteratively calculates the optimal references in parallel. The optimal reference of each module, $P_{Ref}^{M_1}$ and $P_{Ref}^{M_2}$, is updated at every control instant with an interval of 10Hz. The results under the real profile is presented in Fig. 16 and Fig. 17. These results verify the validation of the real-time implementation of the D-APP as well as the correctness of the previous theoretical discussions.

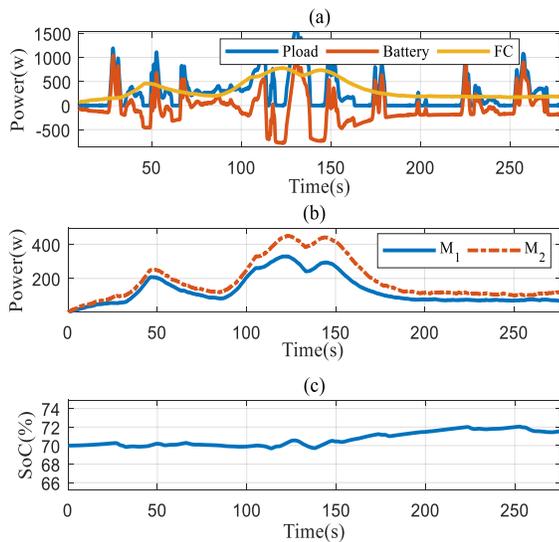


Fig. 16. Optimal results under real driving profile via the developed test bench: (a) power profiles, (b) the modules split powers, (c) the SoC.

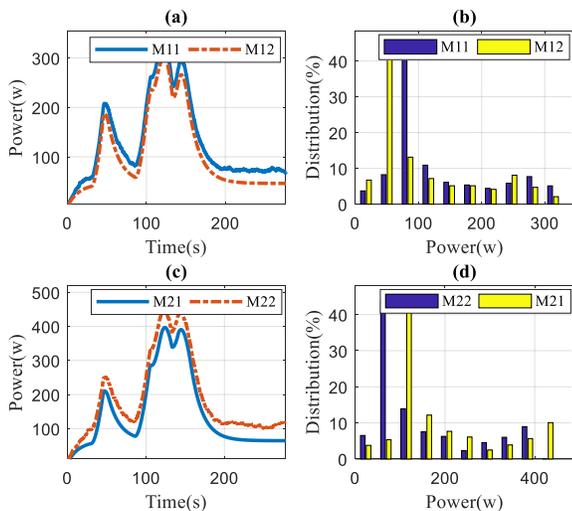


Fig. 17. The trajectories and the distribution of the power profiles.

VII. CONCLUSION

In this paper, a DCO algorithm for MFCVs is suggested to address a multi-objective PAS optimization problem. In the proposed decentralized framework, a novel distributed normalized cost function, including hydrogen consumption and health-conscious constraints of the FC modules and the battery pack, is minimized via a fully D-APP algorithm. The effectiveness of the D-APP algorithm is validated via several numerical studies, such as the effect of parameter tuning and driving behavior. Moreover, the performance of the proposed approach is compared with DP strategy, as an off-line method, and SQP, as a centralized method. This comparison shows that D-APP is able to achieve an end-user price very near to DP while it is a real-time method. Moreover, compared to SQP, the decentralized method leads to less computational time and also provides less sensitivity in case of having complex function with several constraints. Finally, an experimental validation is performed on a developed test bench which justifies the effectiveness of the proposed D-APP.

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Looking forward, a number of recommendations can be made to extend the contributions of this paper:

- The proposed decentralized algorithm can be combined with an advanced MPC method to enhance the inherent robustness against uncertainty in both of vehicle model and projection of future driving conditions.
- Another future direction can be integrating the proposed approach with advanced prognostic frameworks which consider variable loading condition to further prolong the lifetime of the power sources.
- In this work, the robustness and the modularity points of view have not been demonstrated yet. Therefore, a comprehensive study regarding the raised matters will be performed in future.

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