Optimum Transmission Policies for Energy Harvesting Sensor Networks Powered By a Mobile Control Center

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Abstract

Wireless energy transfer, namely RF-based energy harvesting, is a potential way to prolong the lifetime of energy-constrained devices, especially in wireless sensor networks. However, due to huge propagation attenuation, its energy efficiency is regarded as the biggest bottleneck to widely applications. It is critical to find appropriate transmission policies to improve the global energy efficiency in this kind of systems. To this end, this paper focuses on the sensor networks scenario, where a mobile control center powers the sensors by RF signal and also collects information from them. Two related schemes, called as *harvest-and-use* scheme and *harvest-store-use* scheme, are investigated, respectively. In *harvest-and-use* scheme, as a benchmark, both constant and adaptive transmission modes from sensors are discussed. To *harvest-store-use* scheme, we propose a new concept, the best opportunity for wireless energy transfer, and use it to derive an explicit closed-form expression of optimal transmission policy. It is shown by simulation that a considerable improvement in terms of energy efficiency can be obtained with the help of the transmission policies developed in this paper. Furthermore, the transmission policies is also discussed under the constraint of fixed information rate. The minimal required power, the performance loss from the new constraint as well as the effect of fading are then presented.

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Index Terms

energy harvesting, cumulative throughput, optimum transmission policy, opportunistic wireless energy transfer, circuit energy consumption

I. INTRODUCTION

Traditional wireless sensor networks are usually constrained by limited battery energy, which is widely regarded as a fundamental performance bottleneck. Energy harvesting, as a promising solution to prolong system's lifetime, has drawn great attention recently, especially the energy harvesting technique based on radio frequency (RF) signal, which can provide a flexible and reliable energy flow without any intermittence. Since the idea of wireless energy transfer was brought up again [1], there has been a rapidly growing interest in this field, e.g., [2–7]. In [2], simultaneous information and energy transfer was discussed in frequency selective channel. A novel dynamic receiver structure was proposed in [3]. In [4], it considered the wireless relay energy transfer and information transmission in MIMO-OFDM systems. In [5], the energy beam-forming in multi-antenna system with limited feedback was explored. In [6], the maximal achievable rate region for two-way information rates in a wireless powered sensor network was investigated. Besides, the work in [7] studied the maximum uplink throughput when multiple terminals are powered by the control center in the downlink.

Most of these existing works focus on the static networks. However, many practical systems are working under a mobile scenario [8, 9]. For instance, there are a large number of sensor nodes deployed along high speed railway. A moving train passing by the sensors can power them by RF signal and read the monitoring information of system from them. As for the highway, the moving car can read the local information from the sensors on the roadside, which is wirelessly powered by the moving car. Another typical example is that an unmanned aerial vehicle travels through an expanse area that is deployed with energy harvesting sensor networks, collecting the data for geological and biological investigations. Generally speaking, in wireless sensor networks powered by RF-based energy harvesting under a mobile scenario, propagation loss is much more

serious than that in traditional systems [7], which may lead to a very poor energy efficiency. Thus, it is essential to employ appropriate strategies in mobile scenarios, namely to utilize the mobility and position information of terminals to enhance the energy efficiency of wirelessly powered system, which has not been considered in the literature to the best of our knowledge.

Based on the considerations above, this paper concentrates on the optimum transmission policy for RF-based energy harvesting sensor networks that are triggered off by a mobile control center, where the mobile control center collects the information generated/stored at each sensor node and the sensors are powered by the RF signal transmitted from control center without any other energy sources. Two related schemes, called as *harvest-and-use* scheme and *harvest-store-use* scheme, are investigated in this paper, respectively. In harvest-and-use scheme, where the energy needs to be consumed immediately after it has been harvested, both constant and adaptive transmission modes are discussed. In *harvest-store-use* scheme, with the help of energy storage device at sensor node, such as a rechargeable battery, the harvested energy can be stored temporarily and then reused later. To express easily, we propose a new concept, the best opportunity of wireless energy transfer and use it as the key tool to derive an explicit closed-form expression of optimum transmission policy. Simulation results indicate that a considerable improvement can be observed by employing the transmission policy developed in this paper. Due to low complexity of implementation, the performance under fixed information rate constraint from sensors is also investigated carefully. The corresponding transmission policy is discussed in both deterministic and random channel models.

In fact, similar technologies have already been used in practical system, such as radio frequency identification devices (RFID) [10, 11]. However, since RFID system is usually quasi-static and transmission distance is relatively small, energy efficiency is not so important as the mobile scenario concerned in this paper. Another typical example is Radar system, which can monitor a target by detecting the energy of reflected wave that is transmitted by itself [12]. It indicates that economic consideration (namely energy efficiency) rather than feasibility is the main obstacle for applying RF-based energy harvesting into practical system, which is the main motivation

of this paper. Besides, it is worth noting that the energy transfer and information feedback are jointly considered in this paper, which is different from some other optimum strategies in prior works, e.g., [13–18]. It is also the reason that great improvement can be achieved by the policies developed in this paper. The main contributions of this paper are summarized as follows:

- In harvest-and-use scheme, the energy is consumed immediately by the sensor node after it has been harvested. Both constant and optimal adaptive transmission modes are presented in terms of maximizing cumulative throughput.
- In harvest-store-use scheme, wireless information and energy transfer are jointly optimized under the help of energy storage. An explicit closed-form expression of optimal transmission policy is established by using the concept of the best opportunity of wireless energy transfer.
- For low implementation complexity, the transmission policies under fixed data rate constraint from sensors are studied in both deterministic and random fading channel models. The performance of them are discussed via simulation results.

The rest of this paper is organized as follows. System structure and channel model are introduced in Section II. The optimal transmission policies in harvest-and-use scheme and harvest-store-use scheme are explored in Section III and Section IV, respectively. When data rate is constrained to a constant value, the corresponding strategy is discussed in Section V. Lastly, some simulation results and conclusions are given in Sections VI and VII, respectively. Besides, to make the formulation and discussion in the sequel more clear, the descriptions of all the notations used in this paper are given in TABLE I.

II. PRELIMINARY

A. System Structure and Parametrization

Fig. 1(a) illustrates the diagram of system structure, which consists of a mobile control center and plenty of sensor nodes. The sensors are wirelessly powered by the control center without any other energy sources. And the control center is moving through the area of interest along a line with constant speed v_0 , to collect the data from sensor nodes. Each sensor node has an effective

PARAMETER	DESCRIPTIONS OF THE NOTATIONS
G_c, G_s	Constant power gain in the wireless links
α_c, α_s	Propagation attenuation exponent in the wireless links
$p_c(t), p_s(t)$	Transmit power in the wireless links
$h_c(t), h_s(t)$	The coefficient of wireless channel fading
$p_v(t)$	The equivalent transmit power at the virtual energy transmitter
σ_0^2	The power value of additive channel noise
η	The performance loss ratio resulted from maximum allowable power constraint
$\beta(t)$	The random small-scale fading coefficient
m	The parameter for Nakagami fading distribution
α_c, α_s	Path loss exponent in the wireless link from control center to sensor node
P_{cons}	Baseband circuit power consumption at the node with energy harvesting
v_0	The value of the velocity of moving control center
ξ	The coefficient of energy transformation efficiency at the energy harvesting node
d_m	The radius of effective coverage of each sensor $ OA = OC $ in Fig. 1b
d_0	The distance between sensor and moving track $ OB $ in Fig. 1b
L_0	The moving range $ AB = BC $ in Fig. 1b
R	The number of data that can be transmitted from sensor to the control center during a whole period

TABLE I: The descriptions of all the notations used in this paper.

coverage due to the sensitivity of transceiver, denoted as dashed circle in Fig. 1(a). Within it, the control center can establish a physical link for transmission. It is assumed that the coverage of different sensor node does not overlap with each other so that there is no interference between them. The results derived here can be straightforwardly extended to overlap-coverage case by some orthogonal multiplexing division techniques for the sensor nodes within the overlapped coverage. Based on the assumptions above, we can focus on the data collecting process of particular sensor node, which can capture all the insights of the system.

To express it easily, Fig. 1(b) shows a simplified unit for the system, in which the mobile control center is under the coverage of a specific sensor node. A two-dimension model can be adopted to formulate the problem instead of the original three-dimension model in Fig. 1(a), since the sensor node and moving track of the control center can be involved into a plane. In Fig. 1(b), *ABC* denotes the track of the control center. Let system time t be 0 when the control



Fig. 1: The diagram of system structure for RF-based energy harvesting sensor networks: (a) An overall view of the network, (b) a simplified unit system with one sensor node.

center is passing the point B. The distance between the sensor and moving track is $|OB| = d_0$. The coverage radius is $|OA| = |OC| = d_m$ and $d_m = \sqrt{d_0^2 + L_0^2}$ (where $|AB| = |BC| = L_0$). Then, the distance between control center and the sensor at system time t is

$$d(t) = \sqrt{d_0^2 + (v_0 t)^2}, \ t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right].$$
(1)

B. Channel Model for Energy Transfer and Information Transmission

For wireless energy transfer from control center to the sensor, let $x_c(t)$ be the transmit signal with unit effective power and $p_c(t)$ be the transmit power at the control center. Through propagation attenuation, the received signal at system time t can be modeled as [19, 20]

$$y_c(t) = \sqrt{p_c(t)}h_c(t)x_c(t) + n_c(t), \ t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right],\tag{2}$$

where $h_c(t)$ and $n_c(t)$ represent channel fading coefficient and channel noise, respectively.

Based on energy conservation principle, the energy profile that can be harvested from received signal at sensor node is [21] (where $\mathbb{E}[\cdot]$ denotes statistical mean operation)

$$p_h(t) = \xi \mathbb{E}[|y_c(t)|^2] = \xi |h_c(t)|^2 p_c(t), \ t \in [-\frac{L_0}{v_0}, \frac{L_0}{v_0}],$$
(3)

where ξ is the coefficient of energy harvesting efficiency at sensor node, the value of which is regarded as 50% without any other declaration in this paper. The power contributed by additive noise is ignored due to Thermodynamic Law limit [21]. For information transmission from sensor node to control center, the channel model is

$$y_s(t) = \sqrt{p_s(t)} h_s(t) x_s(t) + n_s(t), \ t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right],$$
(4)

where $x_s(t)$ and $p_s(t)$ are transmit signal and transmit power from sensor node at system time t, $(\mathbb{E}[|x_s(t)|^2] = 1)$. $n_s(t)$ is additive white Gaussian noise with zero mean and σ_0^2 variance.

C. Some Basic Assumptions in This Paper

For analysis tractability, several basic assumptions are adopted in this paper, which are listed as follows.

Assumption 2.1: The control center is in uniform linear motion with speed v_0 , and the relative position between control center and sensor is precisely known by control center. Besides, the time and frequency synchronization between control center and sensor nodes is ideal.

Generally speaking, the control center usually has a map about the accurate position information of all the affiliated sensors. Besides, many advanced techniques, such as Global Position System (GPS), accelerometer, goniometer et al., can help the control center to determine its position. Thus, we assume that the relative position information, namely d(t), is precisely known at control center. It needs to mention that the specific algorithm for positioning is beyond the scope of our work, and this paper just concentrates on how to utilize available position information to achieve a better energy efficiency in a mobile scenario.

Assumption 2.2: Signal transmitting and baseband circuit are two main energy consumptions at sensor nodes. It is assumed that the circuit power consumption, such as for sensing and data processing, is a constant value P_{cons} when the sensor node is under the working status [22]. That is to say, P_{cons} energy flow will be consumed by baseband circuit during the period that the sensor node is activated. Otherwise, sensor node keeps silent.

Besides, it needs to mention that the system model concerned in this paper is a centralized architecture, in which the associated sensor node works under the control of the center node. Namely, once the sensor node has been woke up, it can receive the system information (or control command) continuously via the wireless channel from the mobile control center.

III. OPTIMUM TRANSMISSION POLICY IN HARVEST-AND-USE SCHEME

In harvest-and-use scheme, the energy is consumed immediately once it is harvested, (where we assume that the time-delay caused by physical circuit can be ignored). Otherwise, it will be wasted. This scheme is suitable for the system with no energy storage. Obviously, power allocation strategy at control center is the main problem need to explore in this condition. Both the constant and adaptive transmission policy will be presented in the sequel, respectively.

A. Constant Transmission Policy in Harvest-and-Use Scheme

As a baseline, constant transmission policy (CTP) at control center is presented firstly, which is simplest and widely used in traditional static systems. The control center transmits signal with invariant power P_0 during the whole period $t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right]$. The instantaneous rate capacity (in bit/Hz/s) of the channel from sensor to control center shown in (4) at system time t is

$$r(t) = \log_2\left(1 + \frac{|h_s(t)|^2 p_s(t)}{\sigma_0^2}\right), \ t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right].$$
(5)

When signal transmission and circuit energy consumption at sensor node are taken into consideration, the constraint for $p_s(t)$ under the harvest-and-use scheme can be written as

$$p_s(t) + P_{cons}I_{\{p_s(t)>0\}} \le p_h(t), \ t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right],\tag{6}$$

where $I_{\{x\}}$ is indication function, the value of which is 1 if x is *true*, otherwise, it is 0.

To compare the performance of different transmission policies, the concept of cumulative throughput is adopted as a metric and is defined as follows.

Definition 3.1: The cumulative throughput R (in bit/Hz) is defined as the information that can be successfully transmitted from sensor to the control center during a whole period $t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right]$.

Substituting (3) and (6) into (5), and $p_c(t) = P_0$, the cumulative throughput with constant transmission policy in harvest-and-use scheme is

$$R_{\rm CTP}^{\rm HAU} = \int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} r(\tau) d\tau = \int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} \log_2 \left(1 + \left(\frac{\xi |h_s(t)|^2 |h_c(t)|^2 P_0}{\sigma_0^2} - \frac{|h_s(t)|^2 P_{cons}}{\sigma_0^2} \right)^+ \right) d\tau, \quad (7)$$

where the operation $(x)^+$ denotes the larger one between x and 0.

B. Adaptive Transmission Strategy in Harvest-and-Use Scheme

In a time-varying channel environment, adaptive power allocation strategy can achieve a better performance [23]. Let $p_c(t)$ be the transmit power of control center at system time t. For fairness, assuming the average value of $p_c(t)$ during $t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right]$ is still P_0 . Under harvest-and-use scheme, the maximal cumulative throughput (OTP) can be formulated as

$$R_{\text{OTP}}^{\text{HAU}} = \max_{p_c(t)} \left\{ \int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} \log_2\left(1 + \frac{|h_s(t)|^2 p_s(\tau)}{\sigma_0^2}\right) d\tau \right\}$$
(8)

s.t.
$$\int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} p_c(\tau) d\tau \le P_0 \cdot \frac{2L_0}{v_0},$$
 (8a)

$$p_s(t) + P_{cons}I_{\{p_s(t)>0\}} \le \xi |h_c(t)|^2 p_c(t), \ t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right],\tag{8b}$$

$$p_s(t) \ge 0, p_c(t) \ge 0, t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right].$$
 (8c)

where the inequality in (8a) denotes average transmit power constraint at control center, the constraint in (8b) is the causality constraint for energy consumption at sensor node, and the inequality in (8c) reflects the nonnegativity property of transmit power.

Lemma 3.1: To maximize the energy efficiency, the transmit power at control center $p_c(t)$ at system time t should be either more than $\frac{P_{cons}}{\xi |h_c(t)|^2}$ or equal to zero in harvest-and-use scheme.

Proof: See Appendix A.

In this section and next section, a deterministic propagation model is adopted [20], in which $h_c(t) = \sqrt{\frac{G_c}{d(t)^{\alpha_c}}}$ and $h_s(t) = \sqrt{\frac{G_s}{d(t)^{\alpha_s}}}$, (where G_c, G_s represent the constant antenna gain, and α_c, α_s denote the propagation attenuation exponent, $2 \le \alpha_c, \alpha_s \le 5$). Then, the optimal transmission strategy at control center in harvest-and-use scheme can be derived, which is

Proposition 3.1: The optimal adaptive strategy at control center in harvest-and-use scheme is

$$p_c^*(t) = \left(\frac{1}{\lambda_1 \ln 2} - \frac{d(t)^{(\alpha_s + \alpha_c)} \sigma_0^2}{\xi G_s G_c} + \frac{d(t)^{\alpha_c} P_{cons}}{\xi G_c}\right)^+, \ t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right],\tag{9}$$

where the constant value λ_1 is determined by the constraint in (8a) with equality.

Proof: See Appendix B.

Substituting (9) into (8), the cumulative throughput with optimal transmission policy in harvestand-use scheme can be expressed as

$$R_{\text{OTP}}^{\text{HAU}} = \int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} \log_2 \left[\max\left(\frac{\xi G_s G_c}{\lambda_1 \ln 2 \cdot d(\tau)^{(\alpha_s + \alpha_c)} \sigma_0^2}, 1\right) \right] d\tau.$$
(10)

IV. OPTIMUM TRANSMISSION POLICY IN HARVEST-STORE-USE SCHEME

In harvest-store-use scheme, some harvested energy can be stored into buffer temporarily and then reutilized when the channel status is better. This scheme is suitable for the system that has an energy storage device at sensor node, such as a rechargeable battery [24]. Better performance can be achieved by jointly considering the energy transfer and information transmission.

A. Problem Formulation

According to the state of art, it is reasonable to assume that the capacity of the battery is infinite compared with the energy that can be harvested during the considered period [25]. The causality constraint for energy consumption at sensor node in this case can be written as

$$\int_{-\frac{L_0}{v_0}}^t p_s(\tau) d\tau + \int_{-\frac{L_0}{v_0}}^t P_{cons} I_{\{p_s(\tau)>0\}} d\tau \le \int_{-\frac{L_0}{v_0}}^t p_h(\tau) d\tau, \ t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right].$$
(11)

Let $p_c(t)$, $p_h(t)$ and $p_s(t)$ be instantaneous transmit power at control center, harvested energy and transmit power at sensor node, respectively. Provided that the average transmit power at control center is constrained to P_0 , the maximal cumulative throughput with optimal transmission policy in harvest-store-use scheme can be formulated as the solution to the following problem

$$R_{\text{OTP}}^{\text{HSU}} = \max_{p_c(t), p_s(t)} \left\{ \int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} \log_2\left(1 + \frac{|h_s(t)|^2 p_s(\tau)}{\sigma_0^2}\right) d\tau \right\}$$
(12)

s.t.
$$\int_{-\frac{L_0}{v_0}}^t \left(p_s(\tau) + P_{cons} I_{\{p_s(\tau)>0\}} \right) d\tau \le \int_{-\frac{L_0}{v_0}}^t p_h(\tau) d\tau, \ t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0} \right],$$
(12a)

$$\int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} p_c(\tau) d\tau \le P_0 \cdot \frac{2L_0}{v_0},$$
(12b)

$$p_c(t), p_s(t) \ge 0, \ t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right].$$
 (12c)

where the constraint in (12a) denotes the causality relationship between harvested energy and consumed energy at sensor node, the constraint in (12b) is average transmit power constraint at control center, and constraint in (12c) reflects the nonnegativity of transmit power.

Recalling the system model in Fig. 1b, it needs to mention that an eligible transmission policy should not only clearly instruct the control center how to allocate the transmit power, but also instruct the sensor node how to manage the harvested energy and how to allocate its power for feedback transmission. Besides, since $p_c(t)$ and $p_h(t)$ have a deterministic relationship as given in (3), the problem in (12) needs to be optimized over the range $\{p_c(t)\} \times \{p_s(t)\}$ that meet all the constraints in (12a-12c), which is a difficult problem to solve.

Note that the problem addressed above is different from some prior works in [13]–[18], which mainly considered the information transmission problem powered by a random energy harvesting source. In particular, the work in [16]–[18] derived the closed-form expression of optimal transmission policy, named as directional water-filling algorithm (which is named as staircase water-filling in [16]), on the assumption that the profile of random energy is fully known at the beginning of transmission. However, the information transmission and wireless energy transfer are jointly considered in this paper. These results derived in [16]–[18] can not be directly extended to the problem in (12). While preparing this manuscript, the authors became aware of a parallel work [26], which also considered the optimal power allocation problem in a time-varying slot-based discrete system with the assumption that all the channel information is known by the system non-causally at the beginning of transmission. The problem formulated in [26] is similar to one of the problems discussed in this paper, namely the optimization problem in (12). However, this paper (the short version of this work is [27]) starts from a very different basic research problem, namely utilizing the mobility and position information of the terminal to enhance the energy efficiency of wireless powered information system. Apparently, the system model is time-continuous and we independently solve the problem (12) by the virtual transmitter method that will be proposed in the next subsection. The authors think that both of these two works can provide some useful insights from different views for wireless powered system design.

B. Some Properties of Harvested Energy Management

Since the causality constraint for energy managing at the sensor node shown in (12a) is the main obstacle to problem solving, we start from studying the properties of optimal energy managing strategy at the energy harvesting sensor node. Let Δt be an arbitrary small time segment. Under the help of lossless energy buffer, the nature of a good energy management strategy is to store some energy $p_h(t_1)\Delta t$ harvested at system time t_1 into battery, and reuse it later in a more efficient time t_2 to obtain better system performance, (obviously $t_2 \in [t_1, \frac{L_0}{v_0}]$). Since it is very difficult to find the optimal reuse time opportunity for the energy $p_h(t_1)\Delta t$ harvested at t_1 , namely to obtain the closed-form expression of optimal value of t_2 as a function of t_1 on the condition that the value of t_1 is fixed, the generalized solution can not be explicitly expressed by using traditional way.

To do so, unlike traditional methods, we will consider this problem from a reverse way. The question can be described as that if the sensor node consumes $p_p(t_2)\Delta t$ energy at system time t_2 , (which contains both transmit power consumption and circuit energy consumption), when is the best opportunity for this part of energy to be transferred from control center to sensor node? Namely, to obtain the expression of optimal value of t_1 on the condition that the value of t_2 is fixed. From a perspective of maximizing the whole energy efficiency, two interesting observations can be drawn, which are expressed as the following two Lemmas.

Lemma 4.1: When $t_0 \in \left[-\frac{L_0}{v_0}, 0\right]$, the energy $p_p(t_0)\Delta t$ that is consumed by senor node at system time t_0 should be transferred from control center to sensor node at system time t_0 . That is to say, the energy should be consumed at once it has been harvested during this period.

Proof: See the Appendix C.

Lemma 4.2: When $t_0 \in [0, \frac{L_0}{v_0}]$, the energy $p_p(t_0)\Delta t$ that is consumed by senor node at system time t_0 should be transferred from control center to sensor node at the system time t = 0.

Proof: See the Appendix D.

In summary, the basic idea of Lemma 4.1 and Lemma 4.2 is to make full use of opportunistic wireless energy transfer to achieve the largest energy transfer efficiency, which reflects the optimal



Fig. 2: The diagram of the wireless energy flow from control center to sensor node when there is an infinite energy storage at the sensor node.

energy managing strategy at sensor node. Intuitively, the energy buffer acts as an energy delayer to avoid big propagation loss, which is illustrated in Fig. 2.

C. Problem Solving

To formulate the solution conveniently, we construct an auxiliary variable $p_v(t)$ to take place of $p_c(t)$. Specifically, it looks as if there is a virtual energy transmitter that transfers energy with power $p_v(t)$ at system time t, and the sensor node uses the energy harvested from $p_v(t)$ for information transmission immediately after it has been harvested. In other words, we have artificially constructed an equivalent harvest-and-use system, after the energy management strategy has been decoupled from the initial problem with the help of virtual energy transmitter. Provided that the sensor node consumes $p_p(t_2)\Delta t$ energy at system time t_2 , the optimal opportunistic time of transferring this part of energy is t_1 . Then the corresponding transmit power for virtual energy transmitter at t_2 is $p_v(t_2) = \frac{P_p(t_2)}{\xi |h_c(t_1)|^2}$ since the exact propagation attenuation for the energy $p_p(t_2)\Delta t$ is $|h_c(t_1)|^2$. Specifically, according to the results in Lemma 4.1 and Lemma 4.2 under the deterministic channel model, when $t_2 \in [-\frac{L_0}{v_0}, 0]$, $P_v(t_2) = \frac{d(t_2)^{\alpha_c}}{\xi G_c} P_p(t_2)$. When $t_2 \in [0, \frac{L_0}{v_0}]$, $P_v(t_2)$ is $P_v(t_2) = \frac{d_0^{\alpha_c}}{\xi G_c} P_p(t_2)$.

Of course, the average value of $p_v(t)$ during the concerned period should keep the same value as that of $p_c(t)$ due to the average power constraint at control center, which is

$$\int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} p_v(\tau) d\tau = \int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} p_c(\tau) d\tau.$$
(13)

Based on all the results developed above, we can establish a deterministic relationship between the optimal virtual transmit power $\{p_v^*(t)\}$ and optimum transmission policy for the system shown in Fig. 1b, which can be summarized as follows.

Proposition 4.1: Provided that $\{p_v^*(t)\}$ is the optimal allocation strategy for virtual energy transmitter, according to Lemma 4.1 and Lemma 4.2, the optimum transmission policy in harveststore-use scheme in terms of cumulative throughput maximization during the whole period, namely the energy profile at control node $\{p_c^*(t)\}$ and the energy profile at sensor node $\{p_s^*(t)\}$, can be expressed as

$$p_{c}^{*}(t) = \begin{cases} p_{v}^{*}(t), & t \in \left[-\frac{L_{0}}{v_{0}}, 0\right) \\ \int_{0}^{\frac{L_{0}}{v_{0}}} p_{v}^{*}(\tau) d\tau \cdot \delta(t), & t = 0 \\ 0, & t \in \left(0, \frac{L_{0}}{v_{0}}\right] \end{cases}$$
(14)
$$p_{s}^{*}(t) = \begin{cases} \left(\frac{\xi G_{c} p_{v}^{*}(t)}{d(t)^{\alpha_{c}}} - P_{cons}\right)^{+}, & t \in \left[-\frac{L_{0}}{v_{0}}, 0\right] \\ \left(\frac{\xi G_{c} p_{v}^{*}(t)}{d_{0}^{\alpha_{c}}} - P_{cons}\right)^{+}, & t \in \left[0, \frac{L_{0}}{v_{0}}\right] \end{cases}$$
(15)

The only residual task is to derive the expression of $p_v^*(t)$, since both $p_c^*(t)$ and $p_s^*(t)$ have deterministic relationships with $p_v^*(t)$. As stated previously, the causality constraint in (12a) can be eliminated since the effect of optimal energy management strategy is reflected in $p_v(t)$. Thus, with the help of $p_v(t)$, the original problem in (12) can be transformed as

$$R_{\text{OTP}}^{\text{HSU}} = \max_{p_v(\tau)} \left\{ \int_{-\frac{L_0}{v_0}}^{0} \log_2 \left(1 + \left(\frac{\xi |h_s(\tau)|^2 |h_c(\tau)|^2 p_v(\tau)}{\sigma_0^2} - \frac{|h_s(\tau)|^2 P_{cons}}{\sigma_0^2} \right)^+ \right) d\tau + \int_{0}^{\frac{L_0}{v_0}} \log_2 \left(1 + \left(\frac{\xi |h_s(\tau)|^2 |h_c(0)|^2 p_v(\tau)}{\sigma_0^2} - \frac{|h_s(\tau)|^2 P_{cons}}{\sigma_0^2} \right)^+ \right) d\tau \right\}$$
(16)

s.t.
$$\int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} p_v(\tau) d\tau \le P_0 \cdot \frac{2L_0}{v_0},$$
 (16a)

$$p_v(t) \ge 0, t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right].$$
 (16b)

Using similar discussion to the problem in (8), we firstly introduce a Lemma to isolate the effect of operation $(\cdot)^+$ in (16).

Lemma 4.3: To maximize the energy efficiency in (16), the instantaneous transmit power for virtual transmitter should satisfy the following conditions.

(*i*) $p_v(t_0)$ should be either more than $\frac{P_{cons}}{\xi |h_c(t_0)|^2}$ or equal to zero if $t_0 \in [-\frac{L_0}{v_0}, 0]$. (*ii*) $p_v(t_0)$ should be either more than $\frac{P_{cons}}{\xi |h_c(0)|^2}$ or equal to zero if $t_0 \in [0, \frac{L_0}{v_0}]$.

The proof for Lemma 4.3 is similar to that for Lemma 3.1, which is neglected here. Now, we can reuse the Lagrange multiplier method presented in Section III.B again to derive the expression of $p_v^*(t)$. Specifically, the corresponding Lagrange function with respect to $p_v(t)$ can be expressed as

$$\mathfrak{F}_{2} = \int_{-\frac{L_{0}}{v_{0}}}^{0} \log_{2} \left(1 + \left(\frac{\xi |h_{s}(\tau)|^{2} |h_{c}(\tau)|^{2} p_{v}(\tau)}{\sigma_{0}^{2}} - \frac{|h_{s}(\tau)|^{2} P_{cons}}{\sigma_{0}^{2}} \right)^{+} \right) d\tau - \lambda_{3} \int_{-\frac{L_{0}}{v_{0}}}^{\frac{L_{0}}{v_{0}}} p_{v}(\tau) d\tau + \int_{0}^{\frac{L_{0}}{v_{0}}} \log_{2} \left(1 + \left(\frac{\xi |h_{s}(\tau)|^{2} |h_{c}(0)|^{2} p_{v}(\tau)}{\sigma_{0}^{2}} - \frac{|h_{s}(\tau)|^{2} P_{cons}}{\sigma_{0}^{2}} \right)^{+} \right) d\tau.$$
(17)

Since the objective function and constraints are convex, the problem in (16) has a unique solution. By setting the first-order derivative of function \mathfrak{F}_2 with respect to $p_v(t)$ be zero, the unique solution can be derived, which is

$$p_{v}^{*}(t) = \begin{cases} \left(\frac{1}{\lambda_{2}\ln 2} - \frac{d(t)^{(\alpha_{s}+\alpha_{c})}\sigma_{0}^{2}}{\xi G_{s}G_{c}} + \frac{d(t)^{\alpha_{c}}P_{cons}}{\xi G_{c}}\right)^{+}, t \in \left[-\frac{L_{0}}{v_{0}}, 0\right] \\ \left(\frac{1}{\lambda_{2}\ln 2} - \frac{d(t)^{\alpha_{s}}d_{0}^{\alpha_{c}}\sigma_{0}^{2}}{\xi G_{s}G_{c}} + \frac{d_{0}^{\alpha_{c}}P_{cons}}{\xi G_{c}}\right)^{+}, t \in \left[0, \frac{L_{0}}{v_{0}}\right] \end{cases}$$
(18)

where the value of λ_2 is determined by the constraint (16a).

Substituting (18) into (16), the corresponding maximal cumulative throughput under optimum transmission policy in harvest-store-use scheme can be obtained

$$R_{\text{OTP}}^{\text{HSU}} = \int_{-\frac{L_0}{v_0}}^{0} \log_2 \left[\max\left(\frac{\xi G_s G_c}{\lambda_2 \ln 2 \cdot d(\tau)^{(\alpha_s + \alpha_c)} \sigma_0^2}, 1\right) \right] d\tau + \int_{0}^{\frac{L_0}{v_0}} \log_2 \left[\max\left(\frac{\xi G_s G_c}{\lambda_2 \ln 2 \cdot d(\tau)^{\alpha_s} d_0^{\alpha_c} \sigma_0^2}, 1\right) \right] d\tau.$$
(19)

Up to now, $p_v^*(t)$ and $R_{\text{OTP}}^{\text{HSU}}$ have been already derived so that the optimum transmission policy $\{p_c^*(t)\}\$ and $\{p_s^*(t)\}\$ in (14)–(15) can be obtained.

Noting 4.1: Since there is a delta function in the expression of $p_c^*(t)$ in (14), it is intuitively difficult to realize in a practical system. From an implementation viewpoint, let P_m be the

maximum allowable transmit power at control center, the modified $p_c^*(t)$ for a given P_m is

$$p_{c}^{*}(t) = \begin{cases} p_{v}^{*}(t), \ t \in \left[-\frac{L_{0}}{v_{0}}, -\Delta t_{m}\right] \\ P_{m}, \ t \in \left[-\Delta t_{m}, \ \Delta t_{m}\right] \\ 0, \ t \in \left[\Delta t_{m}, \frac{L_{0}}{v_{0}}\right] \end{cases}$$
(20)

where

$$\Delta t_m = \frac{\int_0^{\frac{L_0}{v_0}} p_v^*(\tau) d\tau}{2P_m}.$$
(21)

From a perspective of wireless energy transfer, compared with ideal expression in (14), the loss ratio of harvested energy at sensor node resulted from maximal allowable transmit power constraint at control node can be expressed as

$$\eta = 1 - \frac{\int_{-\Delta t_m}^{\Delta t_m} \frac{\xi G_c}{d(\tau)^{\alpha_c}} P_m d\tau}{\int_0^{\frac{L_0}{v_0}} \frac{\xi G_c}{d_0^{\alpha_c}} p_v(\tau) d\tau}.$$
(22)

If P_m is big enough, the value of Δt_m is relative small. In this case, $d(t) \approx d_0$ when $t \in [-\Delta t_m, \Delta t_m]$. Correspondingly, the energy loss resulted from maximal allowable transmit power P_m is very small, which will also be validated by simulation in Section VI.

V. APPROPRIATE TRANSMISSION POLICY UNDER FIXED INFORMATION RATE CONSTRAINT

In Section IV, the optimal transmission policy under the harvest-store-use scheme has been derived in terms of cumulative throughput maximization. To realize it, an adaptive channel coding is essential for the sensor node, which may lead some difficulties for implementation. In practice, the most important design principle is that the energy harvesting node should be designed as simple as possible, since both the available energy and hardware are limited. Based on above considerations, the transmission policy with fixed information rate constraint (FIRC) at sensors, where the instantaneous data rate is just constant during the transmission period, will be studied in this section. Besides, the small-scale fast fading of wireless channel will also be taken into account as well as the deterministic channel model.

Provided that the transmit power of sensor node at the system time t is $p_s(t)$, the achievable rate profile between the control center and sensor node can be expressed as

$$r(t) = \log_2\left(1 + \frac{|h_s(t)|^2 p_s(t)}{\sigma_0^2}\right), \ t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right].$$
(23)

In a time-varying channel environment, the truncated transmission profile is beneficial to achieve a better energy efficiency under fixed information rate constraint [23]. Denote the starting time of data transmission at the sensor node be t_1 and the completed time be t_2 . That is to say, the information is only sent during the active period $[t_1, t_2]$. Otherwise, the sensor keeps silent. In order to guarantee the reliability of information transmission, the practical loaded data rate should be set as the minimum value of r(t) during the whole active period, namely $(t_2 - t_1) \cdot \min\{r(t) | t \in [t_1, t_2]\}$ on the condition that t_1 and t_2 are given. Then, the generalized problem for maximizing cumulative throughput with fixed information rate constraint under harvest-store-use scheme can be modeled as follows, which is formulated as

$$R_{\text{FIRC}}^{\text{HSU}} = \max_{p_c(t), p_s(t), t_1, t_2} \left\{ |t_2 - t_1| \cdot \min\{r(t)|t \in [t_1, t_2]\} \right\}$$
(24)

s.t.
$$\int_{-\frac{L_0}{v_0}}^{t} (p_s(\tau) + P_{cons}I_{\{p_s(\tau)>0\}})d\tau \le \int_{-\frac{L_0}{v_0}}^{t} p_h(\tau)d\tau,$$
 (24a)

$$\int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} p_c(\tau) d\tau \le P_0 \cdot \frac{2L_0}{v_0},$$
(24b)

$$p_c(t), p_s(t) \ge 0, t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right].$$
 (24c)

where the inequality in (24a) is the causality constraint for energy consumption at sensor node, the equality in (24b) denotes the power constraint at control node and the equality in (24c) reflects the nonnegativity of transmit power.

Let $r_0 = (t_2 - t_1) \cdot \min\{r(t) | t \in [t_1, t_2]\}$, the most efficient expression for r(t) in terms of energy efficiency maximization should guarantee no energy wasting, and it can be expressed as

$$r(t) = \begin{cases} \frac{r_0}{t_2 - t_1}, \ t \in [t_1, t_2] \\ 0, \ t \in [-\frac{L_0}{v_0}, t_1] \cup [t_2, \frac{L_0}{v_0}] \end{cases}$$
(25)

Combining (23) and (25), the corresponding $p_s(t)$ that can satisfy the constraint in (25) is

$$p_s(t) = \begin{cases} \frac{1}{\xi |h_s(t)|^2} (2^{\frac{r_0}{t_2 - t_1}} - 1), & t \in [t_1, t_2] \\ 0, & t \in [-\frac{L_0}{v_0}, t_1] \cup [t_2, \frac{L_0}{v_0}] \end{cases}$$
(26)

Lemma 5.1: Under optimal transmission policy, the cumulative throughput r_0^* is a monotoneincreasing function with respect to the average transmit power at control center P_0 .

Proof: It is easy to see that a larger P_0 amounts to looser constraint in (24b), so that a larger optimal value can be achieved [28]. Thus, the Lemma 5.1 has been proved.

Based on Lemma 5.1, maximizing r_0 with fixed P_0 constraint is equivalent to minimizing P_0 with fixed r_0 constraint. As a result, the problem in (24) can be reformulated as

$$P_{0} = \min_{p_{c}(t), t_{1}, t_{2}} \mathbb{E}\left\{\frac{v_{0}}{2L_{0}} \int_{-\frac{L_{0}}{v_{0}}}^{\frac{L_{0}}{v_{0}}} p_{c}(\tau) d\tau\right\}$$
(27)

s.t.
$$\int_{-\frac{L_0}{v_0}}^t (p_s(\tau) + P_{cons}I_{\{p_s(\tau)>0\}})d\tau \le \int_{-\frac{L_0}{v_0}}^t p_h(\tau)d\tau,$$
 (27a)

$$p_s(t) = \begin{cases} \frac{1}{\xi |h_s(t)|^2} (2^{\frac{r_0}{t_2 - t_1}} - 1), & t \in [t_1, t_2] \\ 0, & t \in [-\frac{L_0}{v_0}, t_1] \cup [t_2, \frac{L_0}{v_0}] \end{cases}$$
(27b)

$$p_c(t) \ge 0, t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right].$$
 (27c)

where $\mathbb{E}\{\cdot\}$ denotes the statistical mean operation for the channel state variables.

A. Deterministic Channel Model

Let us consider above problem under the deterministic channel model, where $h_c(t) = \sqrt{\frac{G_c}{d(t)^{\alpha_c}}}$ and $h_s(t) = \sqrt{\frac{G_s}{d(t)^{\alpha_s}}}$. Firstly, we need to relax the causality constraint in (24a), then attempting to obtain an explicit expression of optimum transmission policy under fixed information rate constraint. Similar to the discussion in Section IV, it is assumed that there is a virtual energy transmitter transferring energy with power $p_v(t)$ from control center to sensor node, the average value of which is equal to that of $p_c(t)$ during a whole transmission period. Namely

$$\int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} p_v(\tau) d\tau = \int_{-\frac{L_0}{v_0}}^{\frac{L_0}{v_0}} p_c(\tau) d\tau.$$
(28)

These results in Lemma 4.1 and Lemma 4.2 are still tenable in this case. So we can establish the relationship between $p_v(t)$ and the required data rate r_0 by combining (15) and (27b)

$$P_{v}(t) = \begin{cases} \frac{d(t)^{\alpha_{s}+\alpha_{c}}}{\xi G_{s}G_{c}} (2^{\frac{r_{0}}{t_{2}-t_{1}}} - 1) + \frac{d(t)^{\alpha_{c}}}{\xi G_{c}} P_{cons}, \ t \in [t_{1}, 0] \\ \frac{d(t)^{\alpha_{s}} d_{0}^{\alpha_{c}}}{\xi G_{s}G_{c}} (2^{\frac{r_{0}}{t_{2}-t_{1}}} - 1) + \frac{d_{0}^{\alpha_{c}}}{\xi G_{c}} P_{cons}, \ t \in [0, t_{2}] \\ 0, \ t \in [-\frac{L_{0}}{v_{0}}, t_{1}] \cup [t_{2}, \frac{L_{0}}{v_{0}}] \end{cases}$$
(29)

Substituting (28)–(29) into (27), it yields

$$P_{0} = \min_{t_{1},t_{2}} \mathfrak{L}(r_{0},t_{1},t_{2}) = \min_{t_{1},t_{2}} \left\{ \frac{v_{0}(2^{\frac{\tau_{0}}{t_{2}-t_{1}}}-1)}{2L_{0}\xi G_{s}G_{c}} \Big[\int_{t_{1}}^{0} d(\tau)^{\alpha_{s}+\alpha_{c}} d\tau + d_{0}^{\alpha_{c}} \int_{0}^{t_{2}} d(\tau)^{\alpha_{s}} d\tau \Big] + \frac{P_{cons}}{\xi G_{c}} \int_{t_{1}}^{0} d(\tau)^{\alpha_{c}} d\tau + \frac{P_{cons}}{\xi G_{c}} d_{0}^{\alpha_{c}} t_{2} \right\}.$$
(30)

It is easy to see that the only residual task that needs to do for obtaining optimum transmission policy is to calculate the minimum value of P_0 , by optimizing it with respect to t_1 and t_2 , when r_0 is given. After obtaining the optimal time-domain truncated parameters t_1^* and t_2^* , the optimal profile $\{p_v^*(t)\}$ can be obtained by substituting these parameters into (29). Then, the optimum transmission policy under fixed information rate constraint, namely $\{p_c^*(t)\}$ and $\{p_s^*(t)\}$, can be derived by substituting $\{p_v^*(t)\}$ into (14-15).

Now let us study the relationship between the values of t_1 and t_2 under optimum transmission policy, by which one of t_1 and t_2 can be eliminated, resulting in low complexity to solve the optimization problem in (30). Recall that t = 0 is the most efficient opportunity for information and energy transfer, the effective time window $[t_1, t_2]$ must contain the point t = 0. That is to say, $-\frac{L_0}{v_0} \le t_1 \le 0 \le t_2 \le \frac{L_0}{v_0}$.

Lemma 5.2: Let t_1^* and t_2^* be the optimal boundary points with respect to fixed information rate constraint under optimal transmission policy. For maximizing energy efficiency, the equivalent propagation loss at system time t_1^* should be equal to that at system time t_2^* . Namely,

$$\frac{\xi G_s G_c}{d(t_1^*)^{\alpha_s + \alpha_c}} = \frac{\xi G_s G_c}{d(t_2^*)^{\alpha_s} d_0^{\alpha_c}}, -\frac{L_0}{v_0} \le t_1 \le 0 \le t_2 \le \frac{L_0}{v_0}.$$
(31)

Proof: See the Appendix E.

By solving the Equation in (31), t_1^* can be expressed as a function of t_2^* , which is

$$t_{1}^{*} = \mathfrak{T}(t_{2}^{*}) = \frac{\left\{ \left[d_{0}^{\alpha_{c}} \cdot d(t_{2}^{*})^{\alpha_{s}} \right]^{\frac{2}{\alpha_{s} + \alpha_{c}}} - d_{0}^{2} \right\}^{\frac{1}{2}}}{v_{0}}$$
(32)

Substituting the result in (32) into (30), the variable t_1 can be eliminated. After that

$$P_0 = \min_{t_2} \{ \mathfrak{L}(r_0, \mathfrak{T}(t_2), t_2) \}.$$
(33)

Thus, when the value of r_0 is given, the operation for minimizing the value of P_0 in (30) is only needed to optimize over all possible t_2 (or t_1), in which just one dimension searching is needed. Based on it, the corresponding optimal truncated parameters (t_1^*, t_2^*) can be obtained. Up to now, the problem in (24) has been completely solved in a deterministic channel model. Specifically, $\{p_v^*(t)\}$ can be derived by substituting (t_1^*, t_2^*) and r_0 into (29). And the optimum transmission policy in this case can be derived by substituting $\{p_v^*(t)\}$ into (14–15).

B. Random Fading Channel Model

In this subsection, we further study the optimization problem in (27) under a random fading channel model, in which both small-scale fading and large-scale fading are considered together. We assume that $h_c(t) = h_s(t) = h(t)$ in a symmetric system architecture, in which

$$h(t) = \sqrt{\frac{G}{d(t)^{\alpha}}}\beta(t), \ t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right],\tag{34}$$

where $\beta(t)$ represents the small-scale fading coefficient, the amplitude of which satisfies the Nakagami *m* distribution with unit variance. *G* and α denote the constant power gain and the path loss exponent, respectively.

Let us consider the optimal opportunity for wireless energy transfer in this condition. It is assumed that the channel side information is causally known by the system via particular estimation methods (see e.g., [29, 30]). Due to the randomness of $\beta(t)$, the statistical mean operation in (27) can not be ignored at all. So, we can not get certain deterministic result for the optimal opportunity of wireless energy transfer presented in Lemma 4.1 and 4.2. However, these results are still heuristic for the problem solving in this new case. Similar to the analysis in Section IV.B, let $p_p(t_0)\Delta t$ be the energy consumption by sensor node at system time t_0 . Then, we discuss when is the best opportunity to transfer these energy $p_p(t_0)\Delta t$ from control center to sensor node. Some conclusions are presented as follows.

Lemma 5.3: When $t_0 \in \left[-\frac{L_0}{v_0}, 0\right]$, in terms of the first-order statistics, $t = t_0$ is the best opportunity to transfer the energy $p_p(t_0)\Delta t$ that is consumed at system time t_0 from control center to sensor node. Otherwise, t = 0 is the best opportunity to transfer the energy $p_p(t_0)\Delta t$ from control center to sensor node.

Proof: See the Appendix F.

Algorithm 1 A heuristic algorithm for the system under the random fading scenario

- 1: For the value of r_0 , calculating $p_c(t)$ and $p_p(t)$ by the methods developed in Section V.A;
- 2: Based on observed value of $\beta(t)$, calculating the real-time energy consumption requirement $p'_p(t)$ at sensor node to support r_0 , as shown in (35);

3: if
$$p'_p(t) \leq p_p(t)$$
 then

4: It means that the proactively scheduled energy is more than the required, sensor node can save the left energy $p_p(t) - p'_p(t)$ into a subset of the energy buffer, denoted as Q;

5: else

6: **if** There is sufficient energy in Q to support $p'_p(t) - p_p(t)$ then

7: The sensor uses the energy in Q to cover the current balance $p'_p(t) - p_p(t)$;

8: **else**

9: The control center increases current transmit power to complement $p'_p(t) - p_p(t)$.

- 10: **end if**
- 11: **end if**

According to Lemma 5.3, the control center can get a power-bearing signal profile $\{p_c(t)|t \in [-\frac{L_0}{v_0}, \frac{L_0}{v_0}]\}$ based on the results that have been derived in the deterministic channel model. Correspondingly, the sensor can get an available energy file for feedback signal transmission, denoted as $\{p_p(t)|t \in [-\frac{L_0}{v_0}, \frac{L_0}{v_0}]\}$. On the other hand, based on the causally known channel

SYSTEM PARAMETERS	VALUE
Constant power gain of two links G_c and G_s	10 dB
Path loss exponent α_c and α_s	3
Baseband circuit power consumption P_{cons}	5 mW
The moving velocity of control center v_0	20 m/s
The coefficient of energy harvesting efficiency ξ	50%
The radius of effective coverage of each sensor $ OA = OC = d_m$ in Fig. 1b	$\sqrt{100^2 + 10^2}$ m
The distance between sensor and moving track $ OB = d_0$ in Fig. 1b	10 m
The moving range $ AB = BC = L_0$ in Fig. 1b	100 m

TABLE II: The system parameter setting in the simulation.

information, the real energy consumption requirement for supporting the stable data flow is

$$p_{p}'(t) = \begin{cases} \frac{d(t)^{\alpha}}{\xi G|\beta(t)|^{2}} \left(2^{\frac{r_{0}}{t_{2}-t_{1}}}-1\right) + P_{cons}, & t \in [t_{1}, t_{2}] \\ 0 & , & t \in \left[-\frac{L_{0}}{v_{0}}, t_{1}\right] \cup \left[t_{2}, \frac{L_{0}}{v_{0}}\right] \end{cases}$$
(35)

Due to the randomness of variable $\beta(t)$, it is intuitive that sometimes $p'_p(t) > p_p(t)$ and sometimes $p'_p(t) \le p_p(t)$. In order to tackle this mismatching, a specifical near-optimal algorithm is proposed to instruct the system how to deliver the energy in an efficient way, which is presented in Algorithm 1. Since there is no an explicit expression for the result, the system performance will be discussed via simulation in the next section.

VI. SIMULATION RESULTS

This section will validate the theoretical results developed in this paper by simulation. For the unit system shown in Fig. 1(b), the common part of system parameters involved in the simulation are given in TABLE II. Fig. 3(a) depicts the cumulative throughput (in bit/Hz) that can be achieved between the mobile control center and sensor node during a whole period as a function of average signal to noise ratio (SNR), namely $\frac{P_0}{\sigma_0^2}$. Three specifical transmission strategies are considered in Fig. 3(a), which contains CTP/OTP in harvest-and-use scheme and OTP in harvest-store-use scheme. The performance of CTP in harvest-and-use scheme, which is widely used in quasi-static and short-distance systems, is served as a baseline. By allocating transmit power adaptively at mobile control center based on optimization theory, OTP in harvestand-use scheme can obtain a better performance, especially in the lower SNR range. When SNR goes to infinite, CTP and OTP in harvest-and-use scheme tend to the same. On the other hand, if the energy transfer and information transmission are jointly optimized, significant improvement can be observed.For instance, in order to obtain 60 bit/Hz total throughput within 20s, the improvement is about 10 dB in terms of energy efficiency, which means that more than 90% energy at control center can be saved compared with traditional two other strategies. Moreover, the modified OTP case for energy profile at control center in (20) is also considered, where the maximum allowable power is $\frac{P_m}{P_0} = 20$ dB. It can be observed from Fig. 3(a) that the degradation of throughput is not apparent compared with ideal OTP case in (14).

The relationship between system performance and propagation attenuation exponent is also investigated in this section. It is assumed that the two links in Fig. 1(b) satisfy $\alpha_c = \alpha_s = \alpha_0$. Fig. 3(b) plots the overall energy consumption normalized to that under CTP in harvest-anduse scheme as a function of propagation loss exponent α_0 , when the throughput requirement is 60 bit/Hz during a whole transmission period. Similar to that in Fig. 3(a), three specifical transmission policies are taken into account in Fig. 3(b), which are CTP/OTP in harvest-and-use scheme and OTP in harvest-store-use scheme. It can be observed that the huge improvement can be achieved with the help of optimal transmission policy. In particular, when the value of α_0 is big, the propagation attenuation is time-varying rapidly due to the mobility of control center, which is more beneficial for employing opportunistic energy and information transfer strategy.

Fig. 4 plots the cumulative throughput under optimal transmission policy with fixed information rate constraint (OTP-FIRC) in harvest-store-use scheme as a function of SNR. As a comparison, the performance of OTP without fixed information rate constraint in (14-15) are also given, which can be regarded as an upper bound. Besides, the performance of CTP under fixed information rate constraint (CTP-FIRC) in harvest-and-use scheme is also provided to serve as a baseline. It can be seen that the improvement of optimal policy is relative large compared with CTP-FIRC in harvest-and-use scheme, while the degradation caused by fixed information rate



Fig. 3: The comparison between three transmission policies: (a) the relation of cumulative throughput and SNR, (b) the relation of energy consumption and path loss exponent.

constraint is relatively small. For instance, when the SNR is 90dB, the improvement resulted from optimal strategy is more than 200% in terms of cumulative throughput while the performance loss resulted from fixed rate constraint is less than 20%. Through there is some performance loss in OTP-FIRC scheme, the system can adopt an fixed channel coding mapping strategy to achieve a consistent reliability requirement, which is meaningful in practical system design.

Lastly, let us evaluate the system performance of our strategies in a random fading channel environment, the randomness of which is characterized by Nakagami m distribution. Fig. 5(a) depicts the average power requirement from a view of control center as a function of cumulative throughput with FIRC, where $\sigma_0^2 = 1$ and $m = 3, 6, 50, +\infty$, respectively. In particular, $m = +\infty$ corresponds to the case without random fading, namely deterministic channel model discussed in Section V.A. As observed from Fig. 5(a), it is apparent that the results derived in deterministic channel model can be regarded as an upper bound for the system under random fading channel. And the uncertainty of fading can lead to some performance loss to the system. The smaller the value of m is, the more serious the uncertainty is and the worse the transmission performance



Fig. 4: The cumulative throughput (in bit/Hz) during a whole period in three different transmission policies with/without FIRC as a function of SNR.

is. For instance, when m = 6 and cumulative throughput is 60 bit/Hz (during one circle), the performance loss in terms of power consumption is about 15 dB compared with the upper bound.

The performance of the proposed strategy is further evaluated in Fig. 5(b) by comparing with the traditional strategy, i.e., the CTP with FIRC in the harvest-and-use scheme that has been discussed in Fig. 3–4. Three different fading channel scenarios are considered, which correspond to m = 3, 6, 20. It can be seen that the uncertainty of the fading can decrease the benefits brought from optimal transmission strategy. Namely, for the data requirement $R_0 = 70$ bit/Hz (during one circle), the performance gain is nearly 8 dB when m = 20, while the gain is just about 1.5 dB when m = 3. However, the performance of it is still better than that of the traditional strategy with the same channel environment, which validates the results developed in this paper.

VII. CONCLUSION

For wireless sensor networks powered by RF-based energy harvesting in a mobile scenario, energy efficiency is the biggest bottleneck of large-scale applications, which motivates us to explore the optimum transmission policy to achieve the most efficient performance of system. As a baseline, we firstly investigated the throughput performance of constant transmission policy





130

160

m = 3

Fig. 5: The performance of the new proposed strategy in a Nakagami fading channel: (a) the effect of the uncertainty of fading, (b) The performance comparison with the traditional scheme.

in harvest-and-use scheme, where the harvested energy is utilized immediately after it has been harvested at sensors. Then, the corresponding optimum adaptive transmission policy in harvestand-use scheme was also studied. After that, we concentrated on the transmission policies in harvest-store-use scheme, where the sensor can store some energy temporarily to achieve a better performance by opportunistic wireless energy transfer. By exploring the best opportunity of wireless energy transfer, the optimum transmission policy in this condition was given under which significant improvement in terms of energy efficiency can be obtained compared with other conventional policies. Namely, a considerable portion of energy at control center can be saved by employing appropriate transmission policy. At last, the transmission policy under fixed information rate constraint was also discussed for its low implementation complexity. In addition, a specific near optimal algorithm was given for the system under random fading environment.

APPENDIX A: PROOF OF LEMMA 3.1

Let us prove it by the contradiction. Assuming the optimal policy $p_c^*(t)$ at system time t_0 satisfies $0 < p_c^*(t_0) \leq \frac{P_{cons}}{\xi |h_c(t)|^2}$, then the corresponding harvested energy at t_0 is $p_h(t_0) \leq P_{cons}$.

Since the available energy $p_h(t_0)$ at current moment $t = t_0$ is less than threshold value for activating the sensor node to feedback data, it means the energy harvested at this moment will be wasted due to the absence of energy storage. However, if we reload this part of energy at particular time segment t'_0 that the sensor node has been activated, it is intuitive that more throughput can be obtained due to the increment of available transmit energy at time segment t'_0 , which is contradicted with the assumption that $p_c^*(t)$ is optimal. As a result, $p_c(t)$ under optimal policy should be either more than $\frac{P_{cons}}{\xi |h_c(t)|^2}$ or equal to zero.

APPENDIX B: PROOF OF PROPOSITION 3.1

With the help of Lemma 3.1, it can be proved that both the objective function and constraints in (8) are convex, so that the problem has a unique solution. And the constraint in (8b) is satisfied with equality at optimal solution, which means no energy wasting at sensor node. Let us define a Lagrange multiplier function as follows, in which λ_1 is a under-determined constant parameter,

$$\mathfrak{F}_{1} = \int_{-\frac{L_{0}}{v_{0}}}^{\frac{L_{0}}{v_{0}}} \log_{2} \left(1 + \left(\frac{\xi G_{s} G_{c} P_{c}(\tau)}{d(\tau)^{\alpha_{s} + \alpha_{c}} \sigma_{0}^{2}} - \frac{G_{s} P_{cons}}{d(\tau)^{\alpha_{s}} \sigma_{0}^{2}} \right)^{+} \right) d\tau - \lambda_{1} \int_{-\frac{L_{0}}{v_{0}}}^{\frac{L_{0}}{v_{0}}} P_{c}(\tau) d\tau.$$
(36)

Combining the results in Lemma 3.1, and setting the first-order derivative of function \mathfrak{F}_1 to zero with respect to the variable $p_c(t)$, we can get the result in (9).

APPENDIX C: PROOF OF LEMMA 4.1

For maximizing energy efficiency, the basic idea is to avoid large propagation attenuation as much as possible without affecting normal energy consumption at sensor node. According to (1) and (3), under the deterministic channel model, the propagation attenuation for wireless energy transfer from control center to sensor node at the system time t can be expressed as

$$L(t) = \frac{\xi G_c}{(d_0^2 + (v_0 t)^2)^{\frac{\alpha_c}{2}}}, t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right].$$
(37)

Due to the causality constraint at sensor node, the energy consumed at system time t_0 has to be transferred from control center to sensor node during the range $\left[-\frac{L_0}{v_0}, t_0\right]$. For $t_0 \in \left[-\frac{L_0}{v_0}, 0\right]$, the time $t = t_0$ is the best opportunity among the effective range $t \in \left[-\frac{L_0}{v_0}, t_0\right]$ for energy transfer based on (37) in terms of maximizing energy efficiency, since the energy propagation attenuation L(t) at t_0 is the smallest among the whole available range $\left[-\frac{L_0}{v_0}, t_0\right]$.

APPENDIX D: PROOF OF LEMMA 4.2

Similar to the proof of Lemma 4.1, when $t_0 \in [0, \frac{L_0}{v_0}]$, it can be obtained based on (37) that the time t = 0 is the best opportunity for wireless energy transfer from central to sensor node to achieve the largest energy efficiency, since the propagation attenuation L(t = 0) is the smallest among the available range $[-\frac{L_0}{v_0}, t_0]$. Thus, the energy consumed by sensor node at time t_0 should be transferred at time t = 0.

APPENDIX E: PROOF OF LEMMA 5.2

For the whole transmission period $t \in \left[-\frac{L_0}{v_0}, \frac{L_0}{v_0}\right]$, with the help of virtual transmitter based on opportunistic wireless energy transfer, the equivalent propagation attenuation according to Lemma 4.1 and Lemma 4.2 can be expressed as

$$L'_{e}(t) = \begin{cases} \frac{\xi G_{s} G_{c}}{d(t)^{\alpha_{s} + \alpha_{c}}}, \ t \in [-\frac{L_{0}}{v_{0}}, 0] \\ \frac{\xi G_{s} G_{c}}{d(t)^{\alpha_{s}} d_{0}^{\alpha_{c}}}, \ t \in [0, , \frac{L_{0}}{v_{0}}]. \end{cases}$$
(38)

It is observed that $L'_e(t)$ is monotone-decreasing function with respect to the system time twhen $t \in [-\frac{L_0}{v_0}, 0]$, while $L'_e(t)$ is monotone-increasing function when $t \in [0, \frac{L_0}{v_0}]$. We will prove this conclusion by the contradiction. Without loss of generality, it is assumed that $L'_e(t_1^*) < L'_e(t_2^*)$ under optimal transmission policy, similar results can be extended for the case $L'_e(t_1^*) > L'_e(t_2^*)$. Let Δt be an arbitrary small time segment. According to (38), the time fragment $[t_1^* - \Delta t, t_1^*]$ is more efficient in terms of energy efficiency than the time fragment $[t_2^* - \Delta t, t_2^*]$. Thus, $t_1^* - \Delta t$ and $t_2^* - \Delta t$ are more appropriate for improving the system performance, which is contradicted with the assumption that t_1^* and t_2^* is optimal. As a result, the conclusion in (31) is obtained.

APPENDIX F: PROOF OF LEMMA 5.3

During the period of $\left[-\frac{L_0}{v_0}, 0\right]$, the channel propagation attenuation consists of two parts: largescale fading $\frac{G}{d(t)^{\alpha}}$ and small-scale fading $\beta(t)$. Since $\beta(t)$ is an ergodic stochastic process and is only causally known by the control center, we can not make sure deterministically which point among $\left[-\frac{L_0}{v_0}, 0\right]$ is the best opportunity for transferring. However, It can be assured that $t = t_0$ is the optimal point in terms of first-order statistics due to the ergodic property of $\beta(t)$. Thus, $t = t_0$ is the most appropriate point if we do not have the information about future channel status. Similar result can be straightforwardly extended for the case when $t \in [0, \frac{L_0}{v_0}]$.

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