BOUNDING THE NUMBER OF EDGES OF MATCHSTICK GRAPHS

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ABSTRACT. A matchstick graph is a crossing-free unit-distance graph in the plane. Harborth (1981) conjectured that the maximum number of edges of a matchstick graph with n vertices is $\lfloor 3n - \sqrt{12n-3} \rfloor$. Using the Euler formula and the isoperimetric inequality, it can be shown that a matchstick graph with n vertices has no more than $3n - \sqrt{2\pi\sqrt{3} \cdot n} + O(1)$ edges. We improve this upper bound to $3n - c\sqrt{n-1/4}$ edges, where $c = \frac{1}{2}(\sqrt{12} + \sqrt{2\pi\sqrt{3}})$. The main tool in the proof is a new upper bound for the number of edges that takes into account the number of non-triangular faces. We also find a sharp upper bound for the number of triangular faces in a matchstick graph.

1. INTRODUCTION

Matchstick graphs, first introduced by Harborth in 1981 [6, 7], are graphs drawn in the plane with each edge a straight-line segment of unit length, such that no two edges have a point in common, unless the common point is an endpoint of both edges (Figure 1). Harborth posed various problems

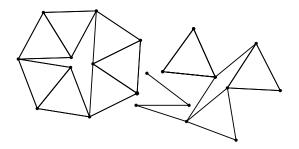


FIGURE 1. A (disconnected) matchstick graph

about matchstick graphs. The one that drew most attention in the literature is that of finding k-regular matchstick graphs with the smallest number of vertices. For example, it is known that there are no 5-regular matchstick graphs [2, 9], and the currently smallest known 4-regular matchstick graph, described in [7] and known as the Harborth graph, has 52 vertices and 104 edges. Another of Harborth's problems in [7] is to find the maximum number of edges in a matchstick graph on n vertices, for which he conjectured the following.

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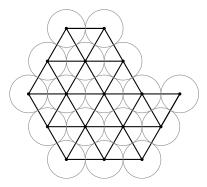


FIGURE 2. A penny graph attaining equality in Conjecture 1

Conjecture 1 (Harborth [7]). For each $n \ge 1$, the maximum number of edges in a matchetick graph on n vertices is $3n - \lfloor \sqrt{12n-3} \rfloor$.

In [5] Harborth proved that this is indeed the maximum number of edges if we furthermore assume that any two vertices are separated by a distance of at least 1 (the so-called penny graphs). This maximum is attained by an appropriately chosen set of n points on the triangular lattice. Kupitz [8] characterized all maximal configurations. Figure 2 has an example with 17 points and an optimal 36 edges.

In [3, p. 225] this problem is mentioned again, where it is stated that it "seems very likely that the maximum number of edges in a crossing-free unit-distance graph is again $\lfloor 3n - \sqrt{12n-3} \rfloor$..." It is not hard to show from the Euler formula and the isoperimetric inequality that the number of edges is at most $3n - \sqrt{2\pi\sqrt{3} \cdot n} + O(1)$. Our main result is the following improvement.

Theorem 1. In a matchetick graph with n vertices, the number e of edges satisfies

$$e \leq 3n - c\sqrt{n - 1/4},$$

where $c = \frac{1}{2} \left(\sqrt{12} + \sqrt{2\pi\sqrt{3}} \right) \approx 3.3815....$

As a calculation shows, this bound turns out to be strong enough that it settles Conjecture 1 for all $n \leq 14$, as well as for

n = 16, 18, 19, 20, 21, 23, 24, 26, 27, 29, 30, 32, 33, 36, 37, 39, 40,

43, 44, 47, 48, 51, 52, 55, 56, 60, 61, 65, 69, 70, 74, 75, 79, 80, 85,

90, 91, 96, 102, 108, 114, 120, 127.

The proof of Theorem 1 uses the isoperimetric inequality (Lemma 5 below), as well as the following result which bounds the number of edges in terms of the number of bounded non-triangular faces of the matchstick graph. Its proof is based on Harborth's induction proof [5] of Conjecture 1 for penny graphs.

Theorem 2. In a matchestick graph with n vertices, e edges, and g bounded non-triangular faces, we have

$$e \leqslant 3n - \sqrt{12n - 3} + g.$$

We do not assume that the graph is 2-connected or even connected in Theorem 2. This is not just for the sake of generality, as this general statement is needed when the induction hypothesis is applied in the proof.

Even though Theorem 2 does not seem to be sharp if there are bounded non-triangular faces (g > 0), when combined with the Euler formula, it gives the following sharp upper bound for the number of triangular faces of a matchstick graph.

Corollary 3. In a matchetick graph with n vertices, the number of bounded triangular faces is at most $2n + 1 - \sqrt{12n - 3}$.

Note that for each $n \ge 1$ there is a matchstick graph on the triangular lattice with n vertices and $2n + 1 - \lfloor \sqrt{12n - 3} \rfloor$ triangular faces (Figure 2).

The above results are proved in Section 3. In the next section, we establish our terminology and introduce the fundamental tools we'll need: the Euler formula, a double-counting identity, and the isoperimetric inequality.

2. Plane graphs and matchestick graphs

A plane graph G = (V, E) is defined to be a drawing of a graph in the plane such that each vertex $v \in V$ is a different point in the plane, and each edge $uv \in E$ is represented by a simple arc joining u and v, in such a way that two arcs only intersect in a common endpoint. The *faces* of a plane graph are the connected components of the complement of the plane graph in the plane. One of the faces is unbounded. Throughout this paper, we will denote the number of vertices by n, the number of edges by e, and the number of bounded faces by f.

By the Euler formula, whenever G is connected, we have n - e + f = 1. If G is furthermore 2-connected, then each face is bounded by a cycle. Denote the number of vertices of the unbounded face by b, and the number of bounded faces with exactly i boundary vertices by f_i . We have the following well-known relation.

Lemma 4. For any 2-connected plane graph with n vertices, e edges, b boundary vertices, and f_i bounded faces with i vertices, $i \ge 3$, we have $e = 3n - 3 - b - \sum_{i\ge 4} (i-3)f_i$.

Proof. If we add up the number of vertices of each face, including the unbounded face, we count each edge twice, thus obtaining $2e = b + \sum_{i \ge 3} if_i$. By Euler's formula, 3e = 3n + 3f - 3. Subtracting these two identities, we obtain the result.

A matchstick graph is a plane graph in which each edge is represented by a straight-line segment of unit length. A matchstick graph is called a *penny* graph if the distance between any two vertices is at least 1, and there is an edge between all pairs of vertices at distance 1.

In the proof of Theorem 1 we will need the following consequence of the isoperimetric inequality that asserts that among all simple closed curves in the plane of a fixed length, the circle is the unique curve that encloses the largest area [1].

Lemma 5. Let G be a 2-connected matchstick graph with b vertices on the outer boundary and f_3 bounded triangular faces. Then $b^2 > \pi \sqrt{3} f_3$.

Proof. The polygon bounding the unbounded face has b edges and encloses f_3 equilateral triangles of unit side length. Each of these triangles has area $\sqrt{3}/4$. Thus, the polygon has area $A \ge \frac{\sqrt{3}}{4}f_3$ and perimeter b. By the isoperimetric inequality, any region of area A bounded by a simple closed curve of length b satisfies $b^2 \ge 4\pi A$, with equality only if the curve is a circle. It follows that $b^2 > 4\pi A \ge \pi\sqrt{3}f_3$.

3. Proofs

We will repeatedly use the following inequality involving sums of square roots. For the sake of completeness, we include a short algebraic proof.

Lemma 6. Let $\alpha, \beta, \gamma, \delta$ be non-negative real numbers with $\beta \leq \alpha \leq \gamma$ and $\alpha + \delta = \beta + \gamma$. Then

$$\sqrt{\beta} + \sqrt{\gamma} \leqslant \sqrt{\alpha} + \sqrt{\delta},$$

with equality if and only if $\alpha \in \{\beta, \gamma\}$.

Proof. From

$$0 \leqslant (\alpha - \beta)(\gamma - \alpha) = \alpha \delta - \beta \gamma$$

we obtain

$$(\sqrt{\beta} + \sqrt{\gamma})^2 = \beta + \gamma + 2\sqrt{\beta\gamma} \leqslant \alpha + \delta + 2\sqrt{\alpha\delta} = (\sqrt{\alpha} + \sqrt{\delta})^2. \quad \Box$$

Lemma 7. If n, n_1, n_2 are integers such that $n_1, n_2 \ge 3$, $n_1 + n_2 = n + 2$, then

$$\lfloor 3n - \sqrt{12n - 3} \rfloor + 1 \ge \lfloor 3n_1 - \sqrt{12n_1 - 3} \rfloor + \lfloor 3n_2 - \sqrt{12n_2 - 3} \rfloor.$$

Proof. The required inequality is equivalent to

$$\sqrt{12n-3} + 5 \leq \lceil \sqrt{12n_1 - 3} \rceil + \lceil \sqrt{12n_2 - 3} \rceil.$$
 (1)

Without loss of generality, $n_2 \ge n_1$. If $n_1 \ge 6$, then we set $\alpha = 12n_1 - 3$, $\beta = 69$, $\gamma = 12n - 51$, $\delta = 12n_2 - 3$ in Lemma 6 to obtain

$$\sqrt{69} + \sqrt{12n - 51} \leqslant \sqrt{12n_1 - 3} + \sqrt{12n_2 - 3}.$$
 (2)

Apply Lemma 6 again with $\alpha = 12n - 51$, $\beta = 33$, $\gamma = 12n - 3$, $\delta = 81$ (since $n \ge 7$), we get

$$\sqrt{12n-3} + \sqrt{33} \leqslant \sqrt{12n-51} + 9. \tag{3}$$

It follows from (2) and (3) that

$$\sqrt{12n-3} + \sqrt{69} + \sqrt{33} - 9 \leqslant \sqrt{12n_1 - 3} + \sqrt{12n_2 - 3}$$

Finally, a calculation shows that $\sqrt{69} + \sqrt{33} - 9 > 5$, and (1) follows.

For the remaining cases $n_1 = 3, 4, 5$, we need to round $\sqrt{12n_1 - 3}$ up to obtain (1). For instance, if $n_1 = 3$, then we need to show $\sqrt{12n - 3} + 5 \leq 6 + \sqrt{12n - 15}$, which follows from Lemma 6 by setting $\alpha = 12n - 15$, $\beta = 33$, $\gamma = 12n - 3$, $\delta = 45$ (since $n \ge 4$), and noting that $\sqrt{45} - \sqrt{33} < 1$. Similarly, when $n_1 = 4$ then $n \ge 5$, and we obtain $\sqrt{12n - 3} + 5 \le 7 + \sqrt{12n - 27}$ by setting $\alpha = 12n - 27$, $\beta = 25$, $\gamma = 12n - 3$, $\delta = 49$, and when $n_1 = 5$ then $n \ge 6$, and $\sqrt{12n - 3} + 5 \le 8 + \sqrt{12n - 39}$ follows by setting $\alpha = 12n - 39$, $\beta = 25$, $\gamma = 12n - 3$, $\delta = 61$.

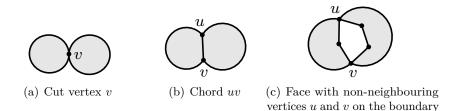


FIGURE 3. Three cases in the proof of Theorem 2

Proof of Theorem 2. We use induction on the number of vertices $n \ge 1$. The theorem clearly holds when n = 1 or n = 2. We now assume that $n \ge 3$ and that the theorem holds for all smaller values of n as induction hypothesis.

If the matchstick graph G is not connected, let G' be a connected component of G. If G' is in a bounded face of G - G', we can move G' to the unbounded face of G - G'. Note that this does not change the number of non-triangular faces, unless G' was originally inside a triangular face of G - G'. However, then G' cannot have any edges, and we are done by applying induction to G - G'. Thus we may assume that neither G' nor G - G'lies in a bounded face of the other graph. Then it is easy to move G' so that one of its vertices is at distance 1 from a vertex of G - G', while keeping G' and G - G' disjoint. This creates a new edge that joins two connected components of G. This process can be repeated until G is connected, without decreasing the number of edges.

We now assume without loss of generality that G is connected. If G is not 2-connected, then there is a vertex v such that G - v is disconnected (Figure 3(a)). We can then decompose G into two induced subgraphs G_1 and G_2 having only v in common. If G_i has n_i vertices, e_i edges, and g_i nontriangular faces (i = 1, 2), then $n_1, n_2 \ge 2$, $n_1 + n_2 = n + 1$, and $e_1 + e_2 = e$. It is clear that $g_1 + g_2 = g$ if G_1 lies in the unbounded face of G_2 and G_2 in the unbounded face of G_1 . Suppose that G_1 (say) lies in a bounded face of G_2 . Then this face cannot be a triangle, as then G_1 would not have any edges, contradicting the connectedness of G. It follows that $g_1 + g_2 = g$ in this case too. By induction,

$$e = e_1 + e_2 \leqslant 3n_1 - \sqrt{12n_1 - 3} + g_1 + 3n_2 - \sqrt{12n_2 - 3} + g_2$$

= $3n + 3 - \sqrt{12n_1 - 3} - \sqrt{12n_2 - 3} + g$
 $\leqslant 3n - \sqrt{12n - 3} + g,$

by Lemma 6 with $\alpha = 12n_1 - 3$, $\beta = 9$, $\gamma = 12n - 3$, $\delta = 12n_2 - 3$.

For the remainder of the proof we assume without loss of generality that G is 2-connected. In particular, the boundary of the unbounded face is a cycle. Suppose that the boundary cycle has a chord (Figure 3(b)). Let G_1 and G_2 be two induced subgraphs covering G such that the chord is their only common edge and the endpoints of the chord their only two common vertices. Using the same notation as before, with G_i having n_i vertices, e_i edges, and g_i non-triangular faces (i = 1, 2), we now have $n_1, n_2 \ge 3, n_1 + n_2 = n + 2, e_1 + e_2 = e + 1$, and $g_1 + g_2 = g$. Again we use induction on these two

subgraphs to obtain

$$e = e_1 + e_2 - 1 \leq \lfloor 3n_1 - \sqrt{12n_1 - 3} \rfloor + g_1 + \lfloor 3n_2 - \sqrt{12n_2 - 3} \rfloor + g_2 - 1$$

$$\leq 3n - \sqrt{12n - 3} + g \quad \text{by Lemma 7.}$$

From now on we assume without loss of generality that the boundary cycle does not have a chord.

We next show that if a bounded non-triangular face shares more than one vertex with the unbounded face, then we can assume without loss of generality that only two of its vertices are on the boundary cycle, they are adjacent, and at most one of the two interior angles of the face at these two vertices is smaller than 60° .

First suppose that a non-triangular bounded face has two non-adjacent vertices on the boundary (Figure 3(c)). We decompose G into two induced subgraphs G_1 and G_2 such they only have these two vertices in common, with no common edge. Again using the notation with G_i having n_i vertices, e_i edges, and g_i non-triangular faces (i = 1, 2), we have $n_1, n_2 \ge 3, n_1 + n_2 = n + 2, e_1 + e_2 = e$, and $g_1 + g_2 = g - 1$. By induction,

$$e = e_1 + e_2 \leq \lfloor 3n_1 - \sqrt{12n_1 - 3} \rfloor + g_1 + \lfloor 3n_2 - \sqrt{12n_2 - 3} \rfloor + g_2$$

$$\leq 3n - \sqrt{12n - 3} + g \quad \text{by Lemma 7.}$$

From now on we assume without loss of generality that whenever a nontriangular bounded face has more than one vertex on the boundary, it has only two vertices on the boundary and they are adjacent. If both angles at these boundary vertices are $< 60^{\circ}$, then two of the edges of the face will be forced to intersect. It follows that at most one angle is less than 60° .

Let g_b denote the number of non-triangular bounded faces that share a vertex with the unbounded face. At each vertex of the boundary cycle of degree *i* there are i - 1 angles interior to bounded faces. If we denote the number of boundary vertices of degree *i* by b_i , then the total number of boundary vertices is $b = \sum_{i \ge 2} b_i$, the number of angles is $\sum_{i \ge 2} (i - 1)b_i$, and the sum of these angles equals $180^{\circ}(b-2)$. By the previous paragraph we have that at most g_b of these angles are smaller than 60° . Therefore, $180^{\circ}(b-2) \ge 60^{\circ}(\sum_{i\ge 2}(i-1)b_i - g_b)$, hence

$$\sum_{i \ge 2} (i-1)b_i - g_b \leqslant 3b - 6.$$
(4)

Since the boundary cycle has no chord, when we remove the *b* vertices on the boundary together with their incident edges, we remove exactly $\sum_{i \ge 2} (i-1)b_i$ edges. We also remove g_b non-triangular faces and *b* vertices. Without loss of generality, n-b > 0, otherwise *G* is just the boundary cycle, hence $e = n \le 3n - \sqrt{12n-3} + g$ since $n \ge 3$. We use induction on the remaining graph of n-b vertices to obtain

$$e - \sum_{i \ge 2} (i-1)b_i \le 3(n-b) - \sqrt{12(n-b) - 3 + g - g_b},$$

hence

$$e \leq 3(n-b) - \sqrt{12(n-b) - 3} + g - g_b + \sum_{i \geq 2} (i-1)b_i$$
$$\leq 3(n-b) - \sqrt{12(n-b) - 3} + g + 3b - 6 \quad \text{by (4)}$$
$$= 3n - \sqrt{12(n-b) - 3} + g - 6,$$

and in order to conclude that $e \leq 3n - \sqrt{12n - 3} + g$, we need to show that

$$\sqrt{12n-3} \leqslant \sqrt{12(n-b) - 3 + 6}.$$
 (5)

If $b \leq \sqrt{12n-3} - 3$, then

$$\sqrt{12(n-b)-3} \ge \sqrt{12(n-\sqrt{12n-3}+3)-3} = \sqrt{(\sqrt{12n-3}-6)^2},$$

which implies (5). Otherwise, $b > \sqrt{12n-3} - 3$, and by Lemma 4, $e \leq 3n - b - 3 < 3n - \sqrt{12n-3}$.

Remark 8. In the last step of the above proof there is some slack, as we actually have $e \leq 3n-b-3-g$ from Lemma 4. By taking this into account, it is possible to prove the slightly stronger inequality $e \leq 3n-\sqrt{12(n+2g)-3}+g$. However, there are then more boundary cases to deal with, and as this is not much of an improvement, we settled for the weaker inequality in Theorem 2.

Remark 9. When we removed the outer boundary cycle in the above proof, we needed this cycle not to have a chord to avoid overcounting the number of edges that are removed. This point is overlooked in Harborth's original proof [5] on which this proof is based.

Proof of Corollary 3. By the Euler formula, $n - e + f_3 + g = 1$, and by Theorem 2, $e - g \leq 3n - \sqrt{12n - 3}$. It follows that $f_3 \leq 2n + 1 - \sqrt{12n - 3}$. \Box

Proof of Theorem 1. As in the proof of Theorem 2 we use induction on n. The theorem is easy to verify for n = 1, 2, and as in the proof of Theorem 2, we can assume that G is connected.

To show that we can furthermore assume that G is 2-connected, we also proceed as in the proof of Theorem 2. If G is not 2-connected, we can decompose G into two induced subgraphs G_1 and G_2 having only a single vertex in common. Let G_i have n_i vertices and e_i edges (i = 1, 2). Then $n_1, n_2 \ge 2, n_1 + n_2 = n + 1$ and $e_1 + e_2 = e$. By induction and using the shorthand $c = \frac{1}{2} \left(\sqrt{12} + \sqrt{2\pi\sqrt{3}} \right)$ we have

$$e = e_1 + e_2 \leqslant 3n_1 - c\sqrt{n_1 - 1/4} + 3n_2 - c\sqrt{n_2 - 1/4}$$
$$= 3n + 3 - c\sqrt{n_1 - 1/4} - c\sqrt{n_2 - 1/4}.$$

To conclude that $e \leq 3n - c\sqrt{n - 1/4}$ we need to show that

$$\frac{3}{c} + \sqrt{n - 1/4} \leqslant \sqrt{n_1 - 1/4} + \sqrt{n_2 - 1/4}.$$

Since $n_i \ge 2$, we can apply Lemma 6 with $\alpha = n_1 - 1/4$, $\beta = 3/2$, $\gamma = n - 1$, $\delta = n_2 - 1/4$ to get

$$\sqrt{3/2} + \sqrt{n-1} \leqslant \sqrt{n_1 - 1/4} + \sqrt{n_2 - 1/4}.$$

Since $n \ge 3$, we can again apply Lemma 6 with $\alpha = n - 1$, $\beta = 5/4$, $\gamma = n - 1/4$, $\delta = 2$, to obtain

$$\sqrt{5}/2 + \sqrt{n-1/4} \leqslant \sqrt{n-1} + \sqrt{2}.$$

Combining these two equations together gives

$$\sqrt{n - 1/4} + \sqrt{5}/2 - \sqrt{2} + \sqrt{3/2} \leqslant \sqrt{n_1 - 1/4} + \sqrt{n_2 - 1/4}$$

which shows the required inequality since $\sqrt{5/2} - \sqrt{2} + \sqrt{3/2} > 3/c$.

We now assume that G is 2-connected. Thus the unbounded face is bounded by a cycle with b edges. As before, denote the number of bounded faces with i vertices by f_i $(i \ge 3)$, and the number of non-triangular faces by g. By Lemma 4, noting that $g = \sum_{i\ge 4} f_i \le \sum_{i\ge 4} (i-3)f_i$, we have

$$a \leqslant 3n - 3 - b - g. \tag{6}$$

Suppose for the sake of contradiction that

$$e > 3n - c\sqrt{n - 1/4}.\tag{7}$$

Then (6) and (7) give the following upper bound for g:

$$g < c\sqrt{n - 1/4 - 3 - b}.$$
 (8)

We obtain the following lower bound for f_3 from (7), (8), and the Euler formula:

$$\begin{split} f_3 &= e - n - g + 1 \\ &> 3n - c\sqrt{n - 1/4} - n - c\sqrt{n - 1/4} + 3 + b + 1 \\ &= 2n - 2c\sqrt{n - 1/4} + 4 + b. \end{split}$$

Substitute this into the inequality $b^2 > \pi \sqrt{3} f_3$ from Lemma 5 to obtain

$$b^2 - \pi\sqrt{3}b > 2\pi\sqrt{3}\left(n - c\sqrt{n - 1/4} + 2\right).$$

By completing the square,

$$\left(b - \frac{\pi\sqrt{3}}{2}\right)^2 > \frac{3\pi^2}{4} + 2\pi\sqrt{3}\left(n - c\sqrt{n - 1/4} + 2\right),$$

we get the following lower bound for b:

$$b > \frac{\pi\sqrt{3}}{2} + \sqrt{\frac{3\pi^2}{4} + 2\pi\sqrt{3}\left(n - c\sqrt{n - 1/4} + 2\right)}.$$

We would like to deduce from this that $b \ge \sqrt{2\pi\sqrt{3}(n-1/4)} - 3$. It is sufficient to show the following:

$$\sqrt{\frac{3\pi^2}{4} + 2\pi\sqrt{3}\left(n - c\sqrt{n - 1/4} + 2\right)} \ge \sqrt{2\pi\sqrt{3}\left(n - 1/4\right)} - \frac{\pi\sqrt{3}}{2} - 3.$$
(9)

Since the left-hand side is non-negative, we can assume without loss of generality that

$$\sqrt{2\pi\sqrt{3}(n-1/4)} \ge \frac{\pi\sqrt{3}}{2} + 3.$$
 (10)

Then we can square both sides of (9) and rearrange to obtain the equivalent

$$\left(\pi\sqrt{3} + 6 - c\sqrt{2\pi\sqrt{3}}\right)\sqrt{2\pi\sqrt{3}(n-1/4)} \ge 9 - \frac{3\pi\sqrt{3}}{2}.$$

This follows from (10), upon checking that $\pi\sqrt{3} + 6 - c\sqrt{2\pi\sqrt{3}} > 0$ and

$$\left(\pi\sqrt{3} + 6 - c\sqrt{2\pi\sqrt{3}}\right) \left(\frac{\pi\sqrt{3}}{2} + 3\right) \ge 9 - \frac{3\pi\sqrt{3}}{2}.$$

So we have shown that $b \ge \sqrt{2\pi\sqrt{3}(n-1/4)} - 3$, which, together with (6) gives

$$e \leqslant 3n - \sqrt{2\pi\sqrt{3}\left(n - 1/4\right)} - g.$$

By Theorem 2 we also have $e \leq 3n - \sqrt{12n - 3} + g$. Adding these two bounds, we obtain $e \leq 3n - c\sqrt{n - 1/4}$, which contradicts the assumption (7). Thus the assumption (7) is false and the theorem follows.

Remark 10. Eppstein [4] uses the isoperimetric inequality to find an upper bound of the form $2n - c\sqrt{n}$ for the number of edges in a triangle-free penny graph on *n* vertices. To show an upper bound of this form for triangle-free matchstick graphs will need a new idea, as there is no obvious way to bound the area of the bounded faces from below.

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