# Geometric Mesh Partitioning: Implementation and Experiments

John R. Gilbert\*

Gary L. Miller<sup>†</sup>

Shang-Hua Teng<sup>‡</sup>

## **Abstract**

We investigate a method of dividing an irregular mesh into equal-sized pieces with few interconnecting edges. The method's novel feature is that it exploits the geometric coordinates of the mesh vertices. It is based on theoretical work of Miller, Teng, Thurston, and Vavasis, who showed that certain classes of "well-shaped" finite element meshes have good separators. The geometric method is quite simple to implement: we describe a Matlab code for it in some detail. The method is also quite efficient and effective: we compare it with some other methods, including spectral bisection.

# 1 Introduction

Solving a large problem on a parallel computer with distributed memory usually requires that the data for the problem be partitioned somehow among the processors. The quality of the partition affects the speed of solution; a good partition divides the work up evenly and requires as little communication as possible.

Many problems can be modeled as graphs. Examples are both direct and iterative methods for sparse linear system solution [19, 38], and, more generally, many situations in which partial differential equations are solved in physical simulation and modeling. Partitioning such a problem typically amounts to dividing the vertices of the graph into sets of equal size with few edges joining vertices in different sets. Graph partitioning has been an active field of research for several years, both theoretically [2, 9, 16, 20, 30, 31, 32], and experimentally [1, 14, 15, 18, 26, 28, 35, 37, 40]. Optimal partitioning is an NP-hard problem, and finding good graph partitions in practice can be very expensive.

Graphs from large-scale problems in scientific computing are often defined geometrically. They are meshes of el-

ements in d-dimensional Euclidean space (typically d=2 or 3). This paper reports on experiments with a geometric mesh partitioner, which is based on theoretical work of Miller, Teng, Thurston, and Vavasis that we summarize in Section 2. The method partitions a d-dimensional mesh by finding a suitable sphere in d-space, and dividing the vertices into those interior and exterior to the sphere. The cutting sphere is found by a randomized algorithm that involves a conformal mapping of the points on the surface of a sphere in (d+1)-space. If the mesh elements are well-shaped in a suitable sense, the theoretical algorithm provides a strong guarantee on the quality of the partition it generates [33, 34, 39]. In practice, our implementation produces partitions that are better than the theoretical guarantees and are competitive with those produced by other modern methods.

The goal of this paper is to convince the reader of three things. First, though the theory behind the geometric partitioner is fairly complicated, the algorithms themselves are quite simple and easy to implement. Second, the implementation can be made quite efficient. Third, the partitions produced are quite good. As evidence for the first point, Section 3 discusses the engineering that makes the theoretical algorithm efficient in practice, and describes a Matlab implementation in some detail. We present experimental evidence for the second and third points in Section 4.

# 2 Theory of geometric partitioning

We now briefly review Miller, Teng, Thurston, and Vavasis's theoretical work on separators in geometrically defined graphs. For details and proofs, see their papers [33, 34, 39].

The partitioning algorithm maps the d-dimensional mesh into a (d+1)-dimensional space. Our descriptions (and code) are correct for any  $d \geq 2$ , but our terminology corresponds to d=2. Thus "circle" and "disk" mean "sphere in  $\mathbb{R}^d$ " and "ball in  $\mathbb{R}^d$ ", while "sphere" and "plane" mean "sphere in  $\mathbb{R}^{d+1}$ " and "d-dimensional hyperplane".

# 2.1 Overlap graphs

Computational meshes are often composed of elements that are well-shaped in some sense, such as having bounded aspect ratio or having angles that are not too small or too large. Miller et al. define a class of so-called overlap graphs to model this kind of geometric constraint.

<sup>\*</sup>Xerox Palo Alto Research Center, 3333 Coyote Hill Road, Palo Alto, California 94304-1314 (gilbert@parc.xerox.com). Copyright © 1994-95 by Xerox Corporation. All rights reserved.

<sup>†</sup>School of Computer Science, Carnegie Mellon University, Pittsburgh, Pennsylvania 15213 (glmiller@theory.cs.cmu.edu). This author's work was supported in part by National Science Foundation grants DCR-8713489 and CCR-9016641.

<sup>&</sup>lt;sup>‡</sup>This author was a postdoctoral scientist at the Xerox Palo Alto Research Center when this work was done. Present address: Department of Computer Science, University of Minnesota, Minneapolis, Minnesota 55455 (teng@cs.umn.edu).

An overlap graph starts with a *neighborhood system*, which is a set of closed disks in d-dimensional Euclidean space and a parameter k that restricts how deeply they can intersect.

**Definition 1.** A k-ply neighborhood system in d dimensions is a set  $\{D_1, \ldots, D_n\}$  of closed disks in  $\mathbb{R}^d$ , such that no point in  $\mathbb{R}^d$  is strictly interior to more than k of the disks.

A neighborhood system and another parameter  $\alpha$  define an overlap graph. There is a vertex for each disk. For  $\alpha=1$ , an edge joins two vertices whose disks intersect. For  $\alpha>1$ , an edge joins two vertices if expanding the smaller of their two disks by a factor of  $\alpha$  would make them intersect.

**Definition 2.** Let  $\alpha \geq 1$ , and let  $\{D_1, \ldots, D_n\}$  be a k-ply neighborhood system. The  $(\alpha, k)$ -overlap graph for the neighborhood system is the graph with vertex set  $\{1, \ldots, n\}$  and edge set

$$\{(i,j): (D_i \cap (\alpha \cdot D_j) \neq \emptyset) \text{ and } ((\alpha \cdot D_i) \cap D_j \neq \emptyset)\}.$$

We make an overlap graph into a mesh in d-space by locating each vertex at the center of its disk.

Overlap graphs are good models of computational meshes because every mesh of bounded-aspect-ratio elements in two or three dimensions is contained in some overlap graph (for suitable choices of the parameters  $\alpha$  and k). Also, every planar graph is an overlap graph. Therefore, any theorem about partitioning overlap graphs implies a theorem about partitioning meshes of bounded aspect ratio and planar graphs.

# 2.2 Separators for overlap graphs

The central theorem about overlap graphs is that they have good separators, that is, small sets of vertices whose removal divides them approximately in half. A regular cubic mesh in d-space, with n vertices in an array  $n^{1/d}$  on a side, can be divided in half by removing the  $n^{(d-1)/d}$  vertices on a (d-1)-dimensional slice through the middle of the array. Up to a constant factor that depends on  $\alpha$ , k, and d, an overlap graph in d dimensions has as good a separator as the cubic mesh.

Theorem 1 (Geometric Separators [34]). Let G be an n-vertex  $(\alpha,k)$ -overlap graph in d dimensions. Then the vertices of G can be partitioned into three sets A, B, and C, such that: no edge joins A and B; A and B each have at most  $(d+1)/(d+2) \cdot n$  vertices; and C has only  $O(\alpha k^{1/d} n^{(d-1)/d})$  vertices.

Miller et al. gave a randomized algorithm to find the separator in the theorem, which runs in linear time on a sequential machine or in constant time on a PRAM with n processors. The separator is defined by a circle (that is, a sphere

in  $\mathbb{R}^d$ ). The algorithm chooses the separating circle at random, from a distribution that is carefully constructed so that the separator will satisfy the conclusions of Theorem 1 with high probability. The distribution is described in terms of a stereographic projection and conformal mapping on the surface of a sphere one dimension higher, in  $\mathbb{R}^{d+1}$ .

Here is an outline of the algorithm. Figures 1 to 6 show the steps in partitioning the 2-dimensional mesh in Figure 1.

- Project Up. Project the input points stereographically from ℝ<sup>d</sup> to the unit sphere centered at the origin in ℝ<sup>d+1</sup>. Point p ∈ ℝ<sup>d</sup> is projected to the sphere along the line through p and the "north pole" (0,...,0,1). (See Figure 3.)
- Find Centerpoint. Compute a centerpoint of the projected points in  $\mathbb{R}^{d+1}$ . This is a special point in the interior of the unit sphere, as described below. (See Figure 3.)
- Conformal Map: Rotate and Dilate. Move the projected points in  $\mathbb{R}^{d+1}$  on the surface of the unit sphere in two steps. First, rotate the projected points about the origin in  $\mathbb{R}^{d+1}$  so that the centerpoint becomes a point  $(0,\ldots,0,r)$  on the (d+1)-st axis. Second, dilate the points on the surface of the sphere so that the center point becomes the origin. The dilation can be described as a scaling in  $\mathbb{R}^d$ : project the rotated points stereographically down to  $\mathbb{R}^d$ ; scale the points in  $\mathbb{R}^d$  by a factor of  $\sqrt{(1-r)/(1+r)}$ ; and project the scaled points up to the unit sphere in  $\mathbb{R}^{d+1}$  again. (See Figure 4.)
- Find Great Circle. Choose a random great circle (i.e., d-dimensional unit sphere) on the unit sphere in R<sup>d+1</sup>. (See Figure 4.)
- Unmap and Project Down. Transform the great circle to a circle in  $\mathbb{R}^d$  by undoing the dilation, rotation, and stereographic projection. (See Figure 5.)
- Convert Circle to Separator. The vertex separator C consists of the vertices whose disks in the neighborhood representation (in  $\mathbb{R}^d$ ) either (i) intersect the separating circle, or (ii) are smaller than the separating circle and would intersect it if expanded by a factor of  $\alpha$ . The two sets A and B are the remaining vertices whose disks lie inside and outside the circle respectively. (Figure 6 shows an edge separator rather than a vertex separator.)

A centerpoint of a given set of points is a point (not necessarily one of the given points) such that every (hyper)plane through the centerpoint divides the given points approximately evenly (in the ratio d:1 or better, in  $\mathbb{R}^d$ ). Every finite point set in  $\mathbb{R}^d$  has a centerpoint, which can be found by linear programming [13, Section 4]. After the projection and conformal mapping, the origin of  $\mathbb{R}^{d+1}$  is a centerpoint for

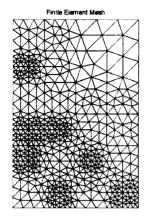


Figure 1. The input mesh.

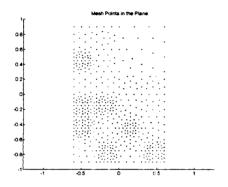


Figure 2. The mesh points.

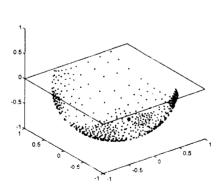


Figure 3. Projected mesh points. The large dot is the centerpoint.



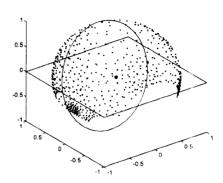


Figure 4. Conformally mapped points, with separating great circle. The centerpoint is now at the origin.

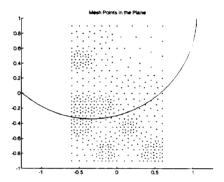


Figure 5. The separating circle projected back to the plane.

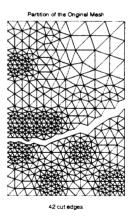


Figure 6. The edge separator induced by the separating circle. A vertex separator can also be extracted, as explained in the text.

the mesh points. Therefore the mapped points are divided approximately evenly by every plane through the origin—that is, by every great circle on the unit sphere in  $\mathbb{R}^{d+1}$ .

Every great circle determines a separator C that satisfies all the conclusions of Theorem 1 except the last one, on the size of the separator. Miller et al. show that the average size of the separators determined by all the great circles is as stated in the theorem, and therefore that a randomly chosen great circle probably gives a separator within a constant factor of the desired size.

## 3 Practical implementation

#### 3.1 The mesh and its separators

The geometric separator theorem guarantees the quality of a partition if the mesh satisfies a geometric condition such as a bound on the aspect ratio of its elements. However, the geometric conditions only appear in the guarantee, not in the algorithm itself. The algorithm can be run on any mesh, with no requirements on its geometry. In practice, we observe that it generates good partitions even for meshes with badly-shaped elements. Somewhat surprisingly, it even does a reasonably good job of partitioning " $2\frac{1}{2}$ -dimensional" meshes, which are meshes of triangular elements that approximate the surface of an object in 3-space.

The theorem describes a vertex separator in terms of the disks of a neighborhood system that defines the mesh. The implementation takes a simpler approach that doesn't require the neighborhood system. It just divides the vertices into those inside and those outside the separating circle. Such a vertex partition (or an *edge separator*, which is the set of edges that cross the cut) is often the goal in applications to parallel computation.

For applications like nested dissection that require a vertex separator, we compute the vertex separator from the edge separator as follows. Consider the graph G consisting only of the separating edges and their endpoints. Any vertex cover of G (that is, any set of vertices that includes at least one endpoint of every edge in G) is a vertex separator for the mesh. Since G is bipartite, we can compute the smallest vertex cover efficiently by bipartite matching [12].

The algorithm can be used to find other kinds of separators as well (though our software only includes vertex separators and edge separators). A separating set of mesh elements can be found directly from the separating circle. A partition of the mesh elements into two equal-size sets with small boundary can be found either from the separating circle, or by applying the geometric separator algorithm to a geometric dual of the mesh.

The separating circle does not necessarily split the mesh exactly in half. In theory, the centerpoint construction guarantees a splitting ratio no worse than (d+1):1; as described in

Section 3.3, we actually use an approximate centerpoint construction with an even weaker guarantee. However, we observe that our approximate centerpoints nearly always lead to splits much better than the theory predicts. We almost never see splits as bad as 2:1 in three dimensions, and most splits are less than 20% away from even.

We modify the splits to be exactly even, within one vertex. We do this by shifting the separating plane (in  $\mathbb{R}^{d+1}$ ) away from the origin, in the direction normal to the plane, until it evenly splits the mapped points on the sphere. Thus the separator is a circle, but not a great circle, on the unit sphere in  $\mathbb{R}^{d+1}$ ; this still projects back to a circle in  $\mathbb{R}^d$ . Our experiments show that this balancing usually affects the separator size very little. Intuitively, this is because the local geometry of a well-shaped mesh changes relatively smoothly, so a small change in the cut does not dramatically change the number of edges that cross it.

#### 3.2 Representations

Our implementation uses very simple data structures. We never need to represent the neighborhood system or the overlap graph per se, nor do we ever use the overlap-graph parameters k and  $\alpha$ . Most of the algorithm does not even need to know the edges of the mesh, but just manipulates the coordinates of the vertices as points in  $\mathbb{R}^d$  and  $\mathbb{R}^{d+1}$  (that is, as vectors). The original input points in  $\mathbb{R}^d$  are scaled (isotropically) and translated to have coordinates between -1 and 1.

The implementation never actually computes a separating circle, line, or hyperplane (except to draw pictures). Rather, we represent a separating plane by its unit normal vector. If v is the normal vector and  $p_1, \ldots, p_n$  are the points (as row vectors), then the partition is into those points for which the inner product  $vp_i^T$  is less than its median value and those for which it is greater.

We do keep a representation of the graph (as a sparse adjacency matrix), but we only use it to measure the quality of a partition (which is the number of edges that cross the even cut), and to construct an explicit edge separator or vertex separator from a separating circle.

#### 3.3 Centerpoints

The proof that every finite point set has a centerpoint yields a linear-programming algorithm that theoretically finds one in polynomial time, but would be very slow in practice. Instead, we use a version of a heuristic that was suggested by Miller and Teng and was analyzed by Clarkson et al. [10]. The heuristic uses randomization and runs in linear time in the number of sample points. It finds an approximate centerpoint by repeatedly finding *Radon points* of small point sets.

Point q is a Radon point [11] of a set P of points in  $\mathbb{R}^d$  if P can be partitioned into two disjoint subsets  $P_1$  and  $P_2$  such that q lies in the intersection of the convex hull of  $P_1$ 



Figure 7. Radon points in two and three dimensions. The small point is the Radon point of the large points.

and the convex hull of  $P_2$ . Such a partition is called a *Radon* partition. Figure 7 shows examples of Radon points in two and three dimensions. Every set of d+2 points in  $\mathbb{R}^d$  has a Radon point. Moreover, it can be found efficiently by computing a null vector of a d+1 by d+2 matrix.

The idea of the centerpoint heuristic is to repeatedly replace randomly chosen groups of d+2 points with their Radon points. Eventually the set is reduced to a single point, which is the approximate centerpoint. (Since a d-dimensional mesh uses a centerpoint in d+1 dimensions, the Radon reduction actually uses groups of d+3 points.) Section 3.8 discusses two versions of this.

#### 3.4 Geometric sampling

We use geometric sampling to reduce the size of the centerpoint problem for efficiency. That is, we run the centerpoint heuristic on a randomly chosen sample of the input points. Theoretically, the size of the sample necessary for a good approximation should depend on the dimension but not on the number of mesh points [34]. We find empirically that a sample of about a thousand points suffices in two or three dimensions. We find separators for a few different approximate centerpoints, derived from different random samples, and keep the best one. Since our centerpoint approximation seems very good in practice, and since it is an expensive part of the computation, we let the number of approximate centerpoints grow only logarithmically with the total number of random separator trials.

# 3.5 Great circles

After the points in  $\mathbb{R}^d$  are mapped to the surface of the sphere in  $\mathbb{R}^{d+1}$ , we expect a random great circle to induce a good partition. In fact, it pays to spend some effort looking for an above-average circle. For each approximate centerpoint, we generate several circles at random and use the best one. A convenient way to generate great circles uniformly at random is to choose normally distributed random coefficients for the vector orthogonal to the plane of the great circle [29, Sec. 3.4.1].

A special case of a separating circle is a separating line: a line in  $\mathbb{R}^d$  is the projection of a circle through the north pole

of the unit sphere in  $\mathbb{R}^{d+1}$ . Our implementation searches explicitly for a separating line as well as for a separating circle; this improves its performance on some regular meshes. The coordinate bisection methods of Heath, Raghavan, and others [24, 37, 40] also use separating lines. Teng [39, Section 5.4] gives an example of a mesh that has a good separating circle but no good separating line.

We let the user specify how many randomly generated separators to try. Of the specified number t of trials, we allocate a number proportional to  $t^{d/(d+1)}$  to separating lines and the rest to separating circles. The default is to use 30 trials, which includes (in two dimensions) 6 lines and 2 centerpoints with 12 circles each.

## 3.6 Inertial weighting

The random choice of a separating circle or line can be improved by biasing the normal vector in the direction of the moment of inertia of the points. The idea of inertial weighting (in one form or another) has been suggested in conjuction with geometric coordinate bisection by several people [14, 40]. Gremban, Miller, and Teng [23] proved that one version of inertial weighting reduces the expected size of the separators in Theorem 1. We use inertial weighting (much more aggressively than the version analyzed by Gremban et al.) in choosing both the separating great circles in  $\mathbb{R}^{d+1}$ and the separating lines in  $\mathbb{R}^d$ . For great circles, we simply weight our random choice of normal vector by the square of the inertial matrix  $P^T P$ , where P is the matrix whose rows are the coordinates of the points after conformal mapping on the unit sphere in  $\mathbb{R}^{d+1}$ . Thus we generate a random unit vector u, and take our separating hyperplane to be normal to  $(P^T P)^2 u$ . For separating lines in  $\mathbb{R}^d$ , we weight according to a power of the matrix of coordinates that goes as the inverse of the number of choices we make—if we choose only one line, it is exactly normal to the moment of inertia, which is the first singular vector of the coordinate matrix.

## 3.7 Matlab implementation notes

Most of our partitioner's basic operations are from linear algebra, which makes Matlab a natural choice of language for experimental implementation. Matlab's interpreted environment and visualization tools make it easy to experiment with variations of the algorithm. The code is written in a data-parallel "vectorized" style for efficiency (since explicit loops are slow in the interpreter); this also simplifies the process of porting the code to a parallel machine. Versions of this code have been translated to NESL [6] and Connection Machine Fortran.

To illustrate how the pieces of the partitioner fit together, and to assist the experimentally inclined reader, we discuss some of the details of the code in this section. A technical report [21] gives more detail; the Appendix describes how to obtain the complete code by anonymous ftp.

**Data structures.** A point in  $\mathbb{R}^d$  is a row vector, and a set of n points is an  $n \times d$  matrix. A partitioning line or plane is represented by its normal vector.

The mesh edges are represented by the adjacency matrix A of the graph. Matlab supplies sparse data structures and operations for this matrix invisibly to the user [22].

Null vectors. Each Radon reduction computes a null vector of a small matrix. The null vector comes from the built-in Matlab function null, which computes a null space basis by singular value decomposition.

**Householder matrices.** The conformal mapping on the sphere in (d+1)-space consists of an orthogonal transformation and two stereographic projections with a scaling in between. The orthogonal transformation is a Householder reflection, computed by Matlab's QR factorization. The rest is matrix arithmetic.

Random directions, inertial weighting, and SVD. We generate uniformly distributed random directions for separating lines or planes by generating vectors with independent normally distributed components. We implement inertial weighting by multiplying the random direction by a power of the inertia matrix  $M = P^T P$ , where P is the matrix whose rows are the points. For separating planes in (d+1)-space, we use the second power and compute  $M^2$  directly. For separating lines in d-space, the exponent depends on the number of trial lines. In this case we compute a fractional power of M from the singular value decomposition of P.

Sparse matrix manipulation. The sparse adjacency matrix A enters the partitioning computation only when we compare the quality of the randomly generated trial separators. (If we only made one trial, we wouldn't need A at all.) The only reference to A is the one-liner that counts the crossing edges by counting nonzeros in rows from set a and columns from set a, namely "cutsize = nnz(A(a,b))".

From edge to vertex separators. We convert the partition into a vertex separator for the graph by finding a minimum vertex cover as described in Section 3.1. We compute the cover from Matlab's built-in Dulmage-Mendelsohn decomposition, dmperm.

## 3.8 Possible improvements

Finally, we list some ideas that could lead to further improvement of the geometric partitioner.

Variants of fast centerpoint. Our Matlab experiments suggest that the simplest implementation of approximate centerpoint is the method of choice both for speed and quality. We choose a random sample of the input points (without repetition), place them onto a queue, and then repeatedly remove

the first d+3 points from the queue and add their Radon point to the end of the queue. This performs a (d+3)-ary tree of Radon reductions, with the sample points at the leaves and the approximate centerpoint at the root. The sample size is at most  $(d+3)^4$  (that is, 625 for d=2 or 1296 for d=3), and is congruent to 1 (modulo d+2).

The theoretical results of Clarkson et al. [10] suggest that the probability of returning a bad centerpoint decreases double-exponentially in the height of the tree of Radon reductions. We use four levels. A five-level tree would need 3125 points for d=2 or 7776 points for d=3. We experimented with a variant (suggested by Clarkson et al.) that allows more levels of reduction without using more sample points. The idea is to reduce according to a directed acyclic graph instead of a complete (d+3)-ary tree. Let  $P_0$  be a sample of L points. To construct  $P_h$ , choose L random (d+3)-tuples from  $P_{h-1}$  (with replacement) and let  $P_h$  be the set of Radon points of these L tuples. Our experiments suggest  $1000 \le L \le 1200$  and  $4 \le h \le 8$  work well. While this doesn't seem to beat the simpler method in Matlab, it may be useful in some settings.

From sorting to median finding. To force an even partition, we need to find the median of the dot products of the points with the normal to the partitioning plane. Our implementation uses Matlab's built-in median function, which is based on sorting. Theoretically, this is overkill, since a median can be found in linear time. In some settings (especially on parallel machines), it may be best to use a randomized median-finding algorithm [17, 27]. One could even find an approximate median with the one-dimensional version of the approximate centerpoint algorithm [10], which amounts to repeated median-of-three reduction.

Faster quality testing. We measure the quality of a trial separating sphere by counting the number of graph edges that cross the cut it induces. This is the only phase of the algorithm that needs to manipulate the edges (as opposed to the vertex coordinates), and it typically takes about half to two thirds of the total time.

One idea for speeding this up is to use geometric sampling again. For example, instead of examining all the edges we could look only at a random sample of  $|E|^{1/(d+1)}\log|E|$  of them. This idea could be used in both sequential and parallel implementations.

A second possibility is to represent the mesh more compactly. For example, an overlap graph could be represented as a neighborhood system, and a quality test could be implemented by just counting intersections between neighborhood disks and the separating sphere.

Local optimization for great circles. Once the centerpoint is determined and the points are conformally mapped on the unit sphere in  $\mathbb{R}^{d+1}$ , each trial separating circle is selected

Mesh	Description	Mesh Type	Grading	Vertices	Edges
TAPIR	Cartoon animal	2-D triangles, sharp angles	$8.5 \times 10^{4}$	1024	2846
Airfoil2	Three-element airfoil	2-D triangles	$1.3  imes 10^{5}$	4720	13722
Triangle	Equilateral triangle	2-D triangles, all same size	$1.0 \times 10^{0}$	5050	14850
AIRFOIL3	Four-element airfoil	2-D triangles	$3.0 \times 10^4$	15606	45878
Pwr	Pressurized wind tunnel	Thin shell in 3-space	$1.3  imes 10^2$	36519	144794
BODY	Automobile body	3-D volumes and surfaces	$9.5 \times 10^2$	45087	163734
WAVE	Space around airplane	3-D volumes and surfaces	$3.9  imes 10^{5}$	156317	1059331

Table 1. Test problems. "Grading" is the ratio of longest to shortest edge lengths.

independently at random (from an inertially biased distribution). Instead, one can imagine trying to improve each trial circle locally. Consider the quality of a trial circle, as a function of its normal vector. This is a real-valued function defined on the surface of the unit sphere in (d+1)-space. The function is not smooth—in fact, it is piecewise constant—but it might be possible to smooth it on a fine scale and then use continuous optimization methods to find a local minimum on a coarser scale. Our preliminary experiments show that this idea often improves the quality of a partition, sometimes by a significant amount.

Another local improvement would be to use a combinatorial method like Kernighan-Lin [28] on the final vertex partition. Our preliminary experiments suggest that this does not often give much improvement.

Relaxing the 50-50 split. Most applications do not require the vertex partition to be exactly even. It may be worthwhile to search in the vicinity of an exact cut—for example, by shifting the cutting hyperplane or dilating the separating circle—for a cut whose balance is slightly uneven but whose overall quality is higher. The user would probably have to supply the definition of "overall quality", since the tradeoff between load balancing (even partition) and communication cost (small cut) depends on the application.

# 4 Experimental results

To assess the quality of the geometric algorithm's partitions, we compared them to coordinate bisection [24, 37, 40] and to spectral bisection [3, 25, 35] on several sample meshes.

Table 1 lists the meshes. TAPIR is a test case from a 2D mesh generation algorithm of Bern, Mitchell, and Ruppert [5] that produces triangles with sharp angles but no obtuse angles. AIRFOIL2 and AIRFOIL3 are highly graded meshes of well-shaped 2D triangles around cross sections of airfoils, from Barth and Jesperson [4]. TRIANGLE is a 2D mesh of equilateral triangles, all the same size, generated in Matlab. PWT is a mesh of 3D elements that discretize a thin shell. We expect this to be difficult for the geometric algorithm to separate well, because its best separators should be

Mesh	Spectral	Coordinate	Default	Best	
		Bisection	Geometric	Geometric	
TAPIR	59	55	37	32	
AIRFOIL2	117	172	100	93	
TRIANGLE	154	142	144	142	
AIRFOIL3	174	230	152	148	
PwT	362	562	529	499	
BODY	456	953	834	768	
WAVE	13706	9821	10377	9773	

Table 2. Cut size for two-way partitions.

like those of a 2D mesh but the algorithm treats it as a 3D mesh. BODY is another 3D mesh with some "thin shell" parts. We obtained these two meshes from Horst Simon at NASA. WAVE is a highly graded mesh that fills the space around an object in 3D, which we obtained from Steve Hammond at NCAR.

Table 2 shows the number of edges cut for a balanced two-way split, as found by each of the three methods. We used Matlab to implement coordinate bisection, and we used Hendrickson and Leland's Chaco package [25] to find the spectral bisections. (Chaco also implements several other bisection methods that we did not use here.) A parameter to the geometric algorithm is the number of random trials of great circles to make. The "default geometric" column reports results for 30 trials, which is the default of our Matlab code; "best geometric" reports the results for 7000 trials. (Each "default geometric" number is actually the median result of 31 separate experiments of 30 trials each.)

The results indicate that the geometric cuts are consistently smaller than the coordinate-bisection cuts. In most cases, the geometric cuts are also smaller than the spectral ones. The significant exceptions are PWT and BODY, the thin shells in 3D. These may be difficult cases for the geometric algorithm because they really should be treated as two-dimensional meshes in some sense.

It is hard to make meaningful comparisons of the run-

Mesh	After	After	Last	
	10 Trials	100 Trials	Improvement	
TAPIR	1.28	1.00	49	
Airfoil2	1.22	1.04	1636	
TRIANGLE	1.07	1.01	109	
AIRFOIL3	1.20	1.00	58	
Pwt	1.06	1.05	4927	
BODY	1.14	1.02	2989	
WAVE	1.16	1.06	498	

Table 3. Relative cut size with increasing number of trials.

ning times of the various algorithms, since there are many different versions and choices of parameters for all of them, and also because the Matlab implementation runs in an interpreted environment. For a rough comparison, we note that finding a 2-way partition for the AIRFOIL3 mesh takes 46 seconds with the default Matlab geometric code, 5.9 seconds with a similar C geometric code, 0.83 seconds with a C geometric code that only computes one cutting circle, and 10.1 seconds with a good C spectral code.<sup>1</sup>

We do not mean to suggest that the geometric algorithm is the last word in mesh partitioning; several researchers have proposed refinements to spectral partitioning [7, 8] and some purely combinatorial methods such as Hendrickson and Leland's multilevel Kernighan-Lin [26] look very promising. However, we believe this data shows that geometric partitioning is at least competitive with other modern graph partitioning methods.

The data in Table 2 suggest that 30 random trials are usually enough to get close to the best separator that the geometric method will find. Table 3 explores this in more detail. For each mesh, we ran 6000 random trials. The table reports the smallest cut seen in the first 10 trials, the smallest cut seen in the first 100 trials, and the number of the first trial in which the smallest cut was seen ("last improvement"). The sizes are normalized so that the smallest cut had size 1. All the meshes are within 6% of the 6000-trial minimum after 100 trials.

Table 4 shows the total number of edges cut by using the three algorithms recursively to split the mesh into 128 pieces. For the geometric algorithm, we used the default of 30 random trials. It is striking that, for most of the problems, the cuts from the various methods differ much less in quality for 128-way than for 2-way partitions. The geometric and

Mesh	Spectral	Coordinate	Default	
		Bisection	Geometric	
TAPIR	1278	1387	1239	
AIRFOIL2	2826	3271	2709	
TRIANGLE	2989	2907	2912	
Airfoil3	4893	6131	4822	
PwT	13495	14220	13769	
BODY	12077	22497	19905	
WAVE	143015	162833	145155	

Table 4. Cut size for 128-way partitions.

spectral algorithms give extremely similar sizes for all but the BODY mesh (for which we don't have an explanation of the difference).

Tables 5 and 6 illustrate "geometric nested dissection," which uses balanced 2-way geometric partitioning recursively to order a symmetric, positive definite matrix for Cholesky factorization. We tabulate both the fill, which measures the amount of storage needed for the Cholesky factor, and the height in vertices of the elimination tree, which is the number of parallel elimination steps to compute the factor with an unlimited number of processors. "Default geometric" uses the geometric algorithm to partition the graph all the way down to fragments of 3 vertices or less; "partial geometric" uses the geometric algorithm down to fragments of 100 vertices and then uses minimum degree on the fragments. We also tabulate fill and height for Sparspak's nested dissection routine [18], for Matlab's minimum degree routine [22], and for nested dissection with separators from spectral partitioning as described by Pothen et al. [36]. Sparspak's nested dissection routine uses a fast but fairly simple partitioning algorithm, which generally does not perform as well as the newer methods for either height or fill. For most of the large geometric problems there is little to choose between minimum degree and nested dissection in terms of fill, but nested dissection with the newer partitioners usually gives better height than minimum degree. Among the various spectral and geometric partitioners there is no clear winner for either height or fill.

# 5 Conclusions

We have described a geometric partitioning algorithm that is fairly simple to implement and seems to give excellent results on meshes from graded finite-element discretizations of 2- and 3-space. Our reference implementation is in Matlab, which makes experimenting with different versions of the algorithm quite easy. We have also implemented versions of the geometric partitioner in C and Fortran.

A chief application of graph partitioning is to distribute

<sup>&</sup>lt;sup>1</sup> The Matlab code used the default 30 trials, including two centerpoints. The "similar" C code also used 30 trials with two centerpoints. The spectral time is from Chaco, using its multilevel RQI/Symmlq eigensolver and no Kernighan-Lin postprocessing. The experiments were run on an unloaded Sparc-10. All times are the median of three runs, and do not include input/output.

Mesh	Minimum	Sparspak	Spectral	Coordinate	Default	Partial
	Degree			Bisection	Geometric	Geometric
TAPIR	7786	15402	12214	12282	10314	10094
AIRFOIL2	103207	146894	102248	124075	96901	103163
TRIANGLE	130587	128995	127785	122539	123560	130106
AIRFOIL3	409392	657687	418840	472222	389232	405918
PWT	1424987	1631592	1441153	1545975	1503498	1576271

Table 5. Nested dissection: Fill.

Mesh	Minimum	Sparspak	Spectral	Coordinate	Default	Partial
	Degree			Bisection	Geometric	Geometric
TAPIR	66	103	82	83	66	68
AIRFOIL2	319	415	189	287	192	201
TRIANGLE	383	269	233	223	226	231
AIRFOIL3	526	837	346	440	321	329
PWT	960	822	618	713	651	668

Table 6. Nested dissection: Height.

a computational mesh across a distributed-memory parallel machine. Can the partition itself can be found in parallel? This is challenging because most partitioners make heavy use of the edges of the graph, and therefore require a lot of communication unless most adjacent vertices share the same processor—that is, unless a good partition is already known. We expect the geometric partitioner to be reasonably efficient in parallel, because almost none of the data manipulation involves the edges. (Coordinate bisection shares this desirable property, as Heath and Raghavan's parallel implementation shows [24].) We have implemented parallel versions of the geometric partitioner in NESL [6] and Connection Machine Fortran.

An open problem is how best to handle such  $2\frac{1}{2}$ -dimensional meshes as our example PWT. One possibility is to combine the geometric and spectral partitioning methods, as recently suggested by Chan, Gilbert, and Teng [7].

## Appendix: Obtaining the codes

In addition to the code for geometric partitioning, the Mesh Partitioning Toolbox contains Matlab implementations of spectral bisection [35] and geometric spectral bisection [7]. It includes both edge and vertex separators, recursive bipartition, nested dissection ordering, visualizations and demos, and some sample meshes. The complete toolbox is available by anonymous ftp from machine ftp.parc.xerox.com as file /pub/gilbert/meshpart.uu. A longer version of this paper [21] is available on the same machine as file /pub/gilbert/csl9413.ps.Z.

# References

- [1] A. Agrawal and P. Klein. Cutting down on fill using nested dissection: Provably good elimination orderings. In A. George, J. R. Gilbert, and J. W. H. Liu, eds., Graph Theory and Sparse Matrix Computation, Springer-Verlag, 1993.
- [2] N. Alon, P. Seymour, and R. Thomas. A separator theorem for graphs with an excluded minor and applications. Proc. 22nd Symp. Theory of Comp. ACM, 1990.
- [3] S. T. Barnard and H. D. Simon. A fast multilevel implementation of recursive spectral bisection for partitioning unstructured problems. Tech. Rep. RNR-92-033, NASA Ames Research Center, 1992.
- [4] T. J. Barth and D. C. Jespersen. The design and application of upwind schemes on unstructured meshes. 27th Aerospace Sciences Meeting. AIAA, 1989.
- [5] M. Bern, S. Mitchell, and J. Ruppert. Linear-size nonobtuse triangulation of polygons. Proc. 10th Symp. Computational Geometry, pp. 221-230. ACM, 1994.
- [6] G. E. Blelloch. Vector Models for Data-Parallel Computing. MIT Press, Cambridge, Mass., 1990.
- [7] T. F. Chan, J. R. Gilbert, and S.-H. Teng. Geometric spectral bisection. Tech. Rep. CSL 94–15, Xerox Palo Alto Research Center, 1994.
- [8] T. F. Chan and W. K. Szeto. A sign cut version of the recursive spectral bisection graph partitioning algorithm. *Proc. 5th SIAM Conf. Applied Linear Alg.*, pp. 562– 566, 1994.

- [9] F. R. K. Chung and S.-T. Yau. A near optimal algorithm for edge separators. *Proc. 26th Symp. Theory of Comp.* ACM, 1994.
- [10] K. Clarkson, D. Eppstein, G. L. Miller, C. Sturtivant, and S.-H. Teng. Approximating center points with and without linear programming. *Proc. 9th ACM Symp. Computational Geometry*, pp. 91-98, 1993.
- [11] L. Danzer, J. Fonlupt, and V. Klee. Helly's theorem and its relatives. Proc. Symposia in Pure Math., American Mathematical Society, 7:101-180, 1963.
- [12] A. L. Dulmage and N. S. Mendelsohn. Coverings of bipartite graphs. Canadian J. Math., 10:517-534, 1958.
- [13] H. Edelsbrunner. Algorithms in Combinatorial Geometry. Springer-Verlag, NY, 1987.
- [14] C. Farhat and M. Lesoinne. Automatic partitioning of unstructured meshes for the parallel solution of problems in computational mechanics. *Int. J. Num. Meth. Eng.*, 36:745-764, 1993.
- [15] C. M. Fiduccia and R. M. Mattheyses. A linear-time heuristic for improving network partitions. Tech. Rep. 82CRD130, General Electric, 1982.
- [16] M. Fiedler. Algebraic connectivity of graphs. Czech. Math. J., 23:298-305, 1973.
- [17] R. W. Floyd and R. L. Rivest. Expected time bounds for selection. Comm. ACM, 18:165-172, 1975.
- [18] A. George and J. W. H. Liu. An automatic nested dissection algorithm for irregular finite element problems. SIAM J. Num. Anal., 15:1053-1069, 1978.
- [19] J. A. George and J. W. H. Liu. Computer Solution of Large Sparse Positive Definite Systems. Prentice-Hall, 1981.
- [20] J. R. Gilbert, J. P. Hutchinson, and R. E. Tarjan. A separator theorem for graphs of bounded genus. J. Algorithms, 5:391-407, 1984.
- [21] J. R. Gilbert, G. L. Miller, and S.-H. Teng. Geometric mesh partitioning: Implementation and experiments. Tech. Rep. CSL--94-13, Xerox Palo Alto Research Center, 1994.
- [22] J. R. Gilbert, C. Moler, and R. Schreiber. Sparse matrices in Matlab: Design and implementation. SIAM J. Matrix Anal. Appl., 13:333-356, 1992.
- [23] K. D. Gremban, G. L. Miller, and S.-H. Teng. Moments of inertia and graph separators. Proc. Fifth ACM-SIAM Symp. Discrete Algorithms, pp. 452-461. SIAM, 1994.
- [24] M. Heath and P. Raghavan. A Cartesian parallel nested dissection algorithm, 1994. To appear in SIAM J. Matrix Anal. Appl.
- [25] B. Hendrickson and R. Leland. The Chaco user's guide, Version 1.0. Tech. Rep. SAND93-2339, Sandia National Labs., Albuquerque, NM, 1993.

- [26] B. Hendrickson and R. Leland. A multilevel algorithm for partitioning graphs. Tech. Rep. SAND93-1301, Sandia National Labs., Albuquerque, NM, 1993.
- [27] C. A. R. Hoare. Algorithm 63 (PARTITION) and Algorithm 65 (FIND). Comm. ACM, 4:321, 1961.
- [28] B. W. Kernighan and S. Lin. An effective heuristic procedure for partitioning graphs. *Bell System Tech. J.*, pp. 291–308, February 1970.
- [29] D. E. Knuth. Seminumerical Algorithms, volume 2 of The Art of Computer Programming. Addison-Wesley, second edition, 1981.
- [30] F. T. Leighton and S. Rao. An approximate maxflow min-cut theorem for uniform multicommodity flow problems with applications to approximation algorithms. 29th Symp. Foundations of Computer Science, pp. 422-431, 1988.
- [31] R. J. Lipton and R. E. Tarjan. A separator theorem for planar graphs. SIAM J. Appl. Math., 36:177-189, 1979.
- [32] G. L. Miller. Finding small simple cycle separators for 2-connected planar graphs. J. Comp. Sys. Sci., 32:265– 279, 1986.
- [33] G. L. Miller, S.-H. Teng, W. Thurston, and S. A. Vavasis. Finite element meshes and geometric separators. Submitted for publication.
- [34] G. L. Miller, S.-H. Teng, W. Thurston, and S. A. Vavasis. Automatic mesh partitioning. In A. George, J. R. Gilbert, and J. W. H. Liu, eds., Graph Theory and Sparse Matrix Computation, Springer-Verlag, 1993.
- [35] A. Pothen, H. D. Simon, and K.-P. Liou. Partitioning sparse matrices with eigenvectors of graphs. SIAM J. Matrix Anal. Appl., 11:430-452, 1990.
- [36] A. Pothen, H. D. Simon, and L. Wang. Spectral nested dissection. Tech. Rep. CS-92-01, Penn. State U. Department of Computer Science, 1992.
- [37] H. D. Simon. Partitioning of unstructured problems for parallel processing. Computing Systems in Eng., 2:135– 148, 1991.
- [38] G. Strang and G. J. Fix. An Analysis of the Finite Element Method. Prentice-Hall, 1973.
- [39] S.-H. Teng. Points, Spheres, and Separators: A Unified Geometric Approach to Graph Partitioning. PhD thesis, Carnegie-Mellon University, August 1991.
- [40] R. D. Williams. Performance of dynamic load balancing algorithms for unstructured mesh calculations. Concurrency: Practice and Experience, 3:457-481, 1991.