Why do simple algorithms for triangle enumeration work in the real world?

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Abstract

Listing all triangles is a fundamental graph operation. Triangles can have important interpretations in real-world graphs, especially social and other interaction networks. Despite the lack of provably efficient (linear, or slightly super-linear) worst-case algorithms for this problem, practitioners run simple, efficient heuristics to find all triangles in graphs with millions of vertices. How are these heuristics exploiting the structure of these special graphs to provide major speedups in running time?

We study one of the most prevalent algorithms used by practitioners. A trivial algorithm enumerates all paths of length 2, and checks if each such path is incident to a triangle. A good heuristic is to enumerate only those paths of length 2 where the middle vertex has the lowest degree. It is easily implemented and is empirically known to give remarkable speedups over the trivial algorithm.

We study the behavior of this algorithm over graphs with heavy-tailed degree distributions, a defining feature of real-world graphs. The erased configuration model (ECM) efficiently generates a graph with asymptotically (almost) any desired degree sequence. We show that the expected running time of this algorithm over the distribution of graphs created by the ECM is controlled by the $\ell_{4/3}$ -norm of the degree sequence. Norms of the degree sequence are a measure of the heaviness of the tail, and it is precisely this feature that allows non-trivial speedups of simple triangle enumeration algorithms. As a corollary of our main theorem, we prove expected linear-time performance for degree sequences following a power law with exponent $\alpha \geq 7/3$, and non-trivial speedup whenever $\alpha \in (2, 3)$.

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1 Introduction

Finding triangles in graphs is a classic theoretical problem with numerous practical applications. The recent explosion of work on social networks has led to a great interest in fast algorithms to find triangles in graphs. The social sciences and physics communities often study triangles in real networks and use them to reason about underlying social processes [Col88, Por98, WS98, Bur04, Bur07, FWVDC10]. Much of the information about triangles in the last four papers is determined by a complete enumeration of all triangles in a (small) graph. Triangle enumeration is also a fundamental subroutine for other more complex algorithmic tasks [BHLP11, FH97].

From a theoretical perspective, Itai and Rodeh [IR78] gave algorithms for triangle finding in $O(n^{\omega})$ time (where *n* is the number of vertices and ω is the matrix multiplication constant) using fast matrix multiplication. Vassilevska Williams and Williams [WW10] show deep connections between matrix multiplication and (edge-weighted) triangle enumeration. But much of this work is focused on dense graphs. Practitioners usually deal with massive sparse graphs with large variance in degrees, where sub-quadratic time algorithms can be trivially obtained, but are still too slow to run.

Practioners enumerate triangles on massive graphs (with millions of vertices) using fairly simple heuristics, which are often easily parallelizable. This work is motivated by the following question: can we theoretically explain why simple algorithms for triangle enumeration work in the real world?

Consider a trivial algorithm. Take an undirected graph with n vertices, m edges, and degree sequence d_1, d_2, \ldots, d_n (so the degree of vertex v is d_v). Call a path of length 2 (P_2) closed if it participates in a triangle and open otherwise. Simply enumerate all P_2 s and output the closed ones. The total running time is $\Theta(\sum_v d_v^2)$ (assume that checking if a P_2 is closed can be done in constant time), since every P_2 involves a pair of neighbors for the middle vertex. We will henceforth refer to this as the trivial algorithm. A simple heuristic is to only enumerate paths where the middle vertex has the lowest degree among of the 3 vertices in the path. We denote this algorithm by MINBUCKET.

- 1. Create *n* empty buckets B_1, B_2, \ldots, B_n .
- 2. For each edge (u, v): if $d_u \leq d_v$, place it in B_u , otherwise place it in B_v . Break ties consistently.
- 3. For each bucket B_v : iterate over all P_{2s} formed by edges in B_v and output closed ones.

MINBUCKET is quite common in practice (sometimes taking the somewhat strange name nodeIterator++) and has clean parallel implementations with no load balancing issues [SW05a, Coh09, SV11]. For such simple algorithms, the total work pretty much determines the parallel runtime. For example, it would take n processors with perfect speed up running a $\Theta(n^2)$ -work algorithm to compete with a single processor running a $\Theta(n)$ -work algorithm.

MINBUCKET is often the algorithm of choice for triangle enumeration because of its simplicity and because it beats the trivial algorithm by orders of magnitude, as shown in the previous citations. (A quick check shows at least 60 citations to [Coh09], mostly involving papers that deal with massive scale graph algorithms.) The algorithm itself has been discovered and rediscovered in various forms over the past decades. The earliest reference the authors could find was from the mid-80s where Chiba and Nishizeki [CN85] devised a sequential version of the above algorithm. We provide a more detailed history later.

Nonetheless, MINBUCKET has a poor worst-case behavior. It would perform terribly on a high degree regular bipartite graph. If the input sparse graph (with high variance in degree) simply consisted of many such bipartite graphs of varying sizes, MINBUCKET would perform no better than its trivial cousin. Then why is it good in practice?

1.1 Results

Since the seminal results of Barabási and Albert [BA99], Faloutsos et al [FFF99], Broder et al [BKM⁺00], researchers have assumed that massive graphs obtained from the real world have *heavy-tailed degree* distributions (often approximated as a power law). The average degree is thought to be a constant (or very slowly growing), but the variance is quite large. The usual approximation is to think of the number of vertices of degree d as decaying roughly as $1/d^{\alpha}$ for some small constant α .

This seems to have connections with MINBUCKET. If edges tend to connect vertices of fairly disparate degrees (quite likely in a graph with large variance in degrees), MINBUCKET might provably give good running times. This is exactly what we set out to prove, for a natural distribution on heavy-tailed graphs.

Consider any list of positive integers $\mathbf{d} = (d_1, d_2, \ldots, d_n)$, which we think of as a "desired" degree sequence. In other words, we wish to construct a graph on *n* vertices where vertex $v \in [n]$ has degree d_v . The configuration model (CM) [BC78, Bol80, MR98, New03] creates a random graph that almost achieves this. Imagine vertex v being incident to d_v "stubs", which can be thought of as half-edges. We take a random perfect matching between the stubs, so pairs of stubs are matched to each other. Each such pair creates an edge, and we end up with a multigraph with the desired degree sequence. Usually, this is converted to a simple graph by removing parallel edges and self-loops [BDML06]. We refer to this graph distribution as $ECM(\mathbf{d})$, for input degree sequence \mathbf{d} . This model has a fairly long history (which we relegate to a later section) and is a standard method to construct a graph with a desired degree sequence. It is closely connected to models given by Chung and Lu [CL02, CLV03] and Mihail and Papadimitriou [MP02], in the context of eigenvalues of graphs with a given degree sequence. These models simply connect vertices u and v independently with probability $d_u d_v/2m$, similarly to the Erdős-Rényi construction.

Our main theorem gives a bound on the expected running time of MINBUCKET for $ECM(\mathbf{d})$. We set $m = (\sum_{v} d_{v})/2$. We will henceforth assume that $0 < d_{1} \leq d_{2} \ldots \leq d_{n}$ and that $d_{n} < \sqrt{m}/2$. This "truncation" is a standard assumption for analysis of the configuration model [MR98, CL02, MP02, CLV03, New03, BDML06]. We use \sum_{v} as a shorthand for $\sum_{i=1}^{n}$, since it is a sum over all vertices. The run time bottleneck for MINBUCKET is in P_{2} enumeration, and checking whether a P_{2} is closed is often assumed to be a constant time operation. Henceforth, when we say "running time," we mean the number of P_{2} s enumerated.

Theorem 1.1. Consider a degree sequence $\mathbf{d} = (d_1, d_2, \dots, d_n)$, where $m = (\sum_v d_v)/2$ and $d_n < \sqrt{m}/2$. The expected (over $ECM(\mathbf{d})$) number of P_2s enumerated by MINBUCKET is $O(n+m^{-2}(\sum_v d_v^{4/3})^3)$.

(Our main theorem applies to Chung-Lu graphs as well. Details are given in Appendix C.) Before we actually make sense of this theorem, let us look at a corollary of this theorem. It has been repeatedly observed that degree sequences in real graphs have heavy tails, often approximated as a *power law* [BA99]. Power laws say something about the moments of the degree distribution (equivalently, norms of the degree sequence). Since it does not affect our main theorem or corollary, we choose a fairly loose definition of power law. This is a binned version of the usual definition, which states the number of vertices of degree d is proportional to n/d^{α} . (Even up to constants, this is never precisely true because there are many gaps in real degree sequences.)

Definition 1.2. A degree sequence **d** satisfies a power law of exponent $\alpha > 1$ if the following holds for all $k \leq \log_2 d_n - 1$: for $d = 2^k$, the number of sequence terms in [d, 2d] is $\Theta(n/d^{\alpha-1})$.

The following shows an application of our theorem for common values of α . This bound is tight as we show in Section 5. (When $\alpha \geq 3$, the trivial algorithm runs in linear time because $\sum_{v} d_v^2 = O(n)$.)

Corollary 1.3. Suppose a degree sequence **d** (with largest term $\langle \sqrt{m}/2 \rangle$) satisfies a power law with exponent $\alpha \in (2,3)$. Then the expected running time of MINBUCKET of $ECM(\mathbf{d})$ is asymptotically better than the trivial algorithm, and is linear when $\alpha > 7/3$.

1.2 Making sense of Thm. 1.1

First, as a sanity check, let us actually show that Thm. 1.1 beats the trivial bound, $\sum_{v} d_{v}^{2}$. This is a direct application of Hölder's inequality for conjugates p = 3 and q = 3/2.

$$\left(\sum_{v} d_{v}^{4/3}\right)^{3} = \left(\sum_{v} d_{v}^{2/3} \cdot d_{v}^{2/3}\right)^{3} \le \left(\sum_{v} d_{v}^{\frac{2}{3}\cdot 3}\right)^{3 \cdot \frac{1}{3}} \left(\sum_{v} d_{v}^{\frac{2}{3}\cdot \frac{3}{2}}\right)^{3 \cdot \frac{2}{3}} = (2m)^{2} \left(\sum_{v} d_{v}^{2}\right)^{3} = (2m)^{2} \left(\sum_{v} d_{v}^{2$$

Rearranging, we get $m^{-2} (\sum_v d_v^{4/3})^3 = O(\sum_v d_v^2)$, showing that our bound at least holds the promise of being non-trivial.

Consider the uniform distribution on the vertices. Assuming m > n, we can write our running time bound as $n(\mathbf{E}[d_v^{4/3}])^3$, as opposed to the trivial bound of $\sum_v d_v^2 = n\mathbf{E}[d_v^2]$. If the degree "distribution" (think of the random variable given by the degree of a uniform random vertex) has a small 4/3-moment, the running time is small. This can happen even though the second moment is large, and this is where MINBUCKETbeats the trivial algorithm. In other words, if the tail of the degree sequence is heavy but not too heavy, MINBUCKET will perform well.

And this is exactly what happens when $\alpha > 2$ for power law degree sequences. When $\alpha > 7/3$, the 4/3-moment becomes constant and the running time is linear. (It is known that for ECM graphs over power law degree sequences with $\alpha > 7/3$, the clustering coefficient (ratio of triangles to P_{2} s) converges to zero [New03].) We show in §5 that the running time bound achieved in the following corollary for power laws with $\alpha > 2$ is tight. When $\alpha \leq 2$, MINBUCKET gets no asymptotic improvement over the trivial algorithm. For convenience, we will drop the big-Oh notation, and replace it by \ll . So $A \ll B$ means A = O(B).

Proof. (of Cor. 1.3) First, let us understand the trivial bound. Remember than d_n is the maximum degree.

$$\sum_{v} d_{v}^{2} \ll \sum_{k=1}^{\log_{2} n-1} (n/2^{k(\alpha-1)}) 2^{2k} = n \sum_{k=1}^{\log_{2} n-1} 2^{k(3-\alpha)} \ll n + n d_{n}^{3-\alpha}$$

We can argue that the expected number of wedges enumerated by the trivial algorithm is $\Omega(\sum_v d_v^2)$ (Claim 3.3). Now for the bound of Thm. 1.1.

$$m^{-2} (\sum_{v} d_{v}^{4/3})^{3} < n^{-2} \Big(\sum_{k=1}^{\log_{2} n-1} (n/2^{k(\alpha-1)}) 2^{4k/3}\Big)^{3} = n \Big(\sum_{k=1}^{\log_{2} n-1} 2^{k(7/3-\alpha)}\Big)^{3} < n + n d_{n}^{7-3\alpha}$$

Regardless of d_n , if $\alpha > 7/3$, then the running time of MINBUCKET is linear. Whenever $\alpha \in (2,3)$, $7 - 3\alpha < 3 - \alpha$, and MINBUCKET is asymptotically faster than a trivial enumeration.

1.3 Significance of Thm. 1.1

Thm. 1.1 connects the running time of a commonly used algorithm to the norms of the degree sequences, a well-studied property of real graphs. So this important property of heavy-tails in real graphs allows for the algorithmic benefit of MINBUCKET. We have discovered that for a fairly standard graph model inspired by real degree distributions, MINBUCKET is very efficient.

We think of this theorem as a proof of concept: theoretically showing that a common property of real world inputs allows for the efficient performance of a simple heuristic. Because of our distributional assumptions as well as bounds on α , we agree with the (skeptical) reader that this does not fully explain why MINBUCKET works in the real world¹. Nonetheless, we feel that this makes progress towards that, especially for a question that is quite hard to formalize. After all, there is hardly any consensus in the social networks community on what real graphs look like.

But the notion that distinctive properties of real world graphs can be used to prove efficiency of simple algorithms is a useful way of thinking. This is one direction to follow for going beyond worst-case analysis. Our aim here is not to design better algorithms for triangle enumeration, but to give a theoretical argument for why current algorithms do well.

The proof is obtained (as expected) through various probabilistic arguments bounding the sizes of the different buckets. The erased configuration model, while easy to implement and clean to define, creates some niggling problems for analysis of algorithms. The edges are not truly independent of each other, and we have to take care of these weak dependencies.

Why the 4/3-norm? Indeed, that is one of the most surprising features of this result (especially since the bound is tight for power laws of $\alpha > 2$). As we bound the buckets sizes and make sense of the various expressions, the total running time is expressed as a sum of various degree terms. Using appropriate approximations, it tends to rearrange into norms of the degree sequence. Our proof goes over two sections. We give various probabilistic calculations for the degree behavior in §3, which set the stage for the run-time accounting. In §4, we start bounding bucket sizes and finally get to the 4/3-moment. In §5, we show that bounds achieved in the proof of Cor. 1.3 are tight. This is mostly a matter of using the tools of the previous sections. In §6, we give a tighter analysis that gives an explicit expression for strong upper bounds on running time and in §7 we experimentally show these more careful bounds closely approximate the expected runtime of ECM graphs, with runtime constants under 1 for graphs up to 80M nodes.

2 Related Work

The idea of using some sort of degree binning, orienting edges, or thresholding for finding and enumerating triangles has been used in many results. Chiba and Nishizeki [CN85] give bounds for a sequential version of MINBUCKET using the degeneracy of a graph. This does not give bounds for MINBUCKET, although their algorithm is similar in spirit. Alon, Yuster, and Zwick [AYZ97] find triangles in $O(m^{1.41})$ using degree thresholding and matrix multiplication ideas from Itai and Rodeh [IR78]. Chrobak and Eppstien [CE91] use acyclic orientations for linear time triangle enumeration in planar graphs. Vassilevska Williams and Williams [WW10] show that fast algorithms for weighted triangle enumeration leads to remarkable consequences, like faster all-pairs shortest paths. In the work most closely to ours, Latapy [Lat08] discusses various triangle finding algorithms, and also focuses on power-law graphs. He shows the trivial bound of $O(mn^{1/\alpha})$ when the power law exponent is α . Essentially, the maximum degree is $n^{1/\alpha}$ and that directly gives a bound on the number of P_{2} s.

MINBUCKET has received attention from various experimental studies. Schank and Wagner [SW05b] perform an experimental study of many algorithms, including a sequential version of MIN-BUCKET which they show to be quite efficient. Cohen [Coh09] specifically describes MINBUCKET in the context of Map-Reduce. Suri and Vassilvitskii [SV11] do many experiments on real graphs in Map-Reduce and show major speedups (a few orders of magnitude) for MINBUCKET over the trivial enumeration. Tsourakakis [Tso08] gives a good survey of various methods used in practice

¹As the astute reader would have noticed, our title is a question, not a statement.

for triangle counting and estimation.

Explicit triangle enumerations have been used for various applications on large graphs. Fudos and Hoffman [FH97] use triangle enumeration for a graph-based approach for solving systems of geometric constraints. Berry et al [BHLP11] touch every triangle as part of their community detection algorithm for large graphs.

Configuration models for generating random graphs with given degree sequences have a long history. Bender and Canfield [BC78] study this model for counting graphs with a given degree sequence. Wormald [Wor81] looks at the connectivity of these graphs. Molloy and Reed [MR95a, MR98] study various properties like the largest connected component of this graph distribution. Physicists studying complex networks have also paid attention to this model [NSW01]. Britton, Deijfen, and Martin-Löf [BDML06] show that the simple graph generated by the ECM asymptotically matches the desired degree sequence. Aiello, Chung, and Lu [ACL01] give a model for power-law graphs, where edge (u, v) are independent inserted with probability $d_u d_v/2m$. This was studied for more general degree sequences in subsequent work by Chung, Lu, and Vu [CL02, CLV03]. Mihail and Papadimitriou [MP02] independently discuss this model. Most of this work focused on eigenvalues and average distances in these graphs. Newman [New03] gives an excellent survey of these models, their similarities, and applications.

3 Degree behavior of ECM(d)

We fix a degree sequence **d** and focus on the distribution $ECM(\mathbf{d})$. All expectations and probabilities are over this distribution. Because of dependencies in the erased configuration model, we will need to formalize our arguments carefully. We first state a general lemma giving a one-sided tail bound for dependent random variables with special conditional properties. The proof is in the appendix.

Lemma 3.1. Let Y_1, Y_2, \ldots, Y_k be independent random variables, and $X_i = f_i(Y_1, Y_2, \ldots, Y_i)$ be 0-1 random variables. Let $\alpha \in [0, 1]$. Suppose $\Pr[X_1] \ge \alpha$ and $\Pr[X_i = 1 | Y_1, Y_2, \ldots, Y_{i-1}] \ge \alpha$ for all *i*. Then, $\Pr[\sum_{i=1}^k X_i < \alpha k \delta] < \exp(-\alpha k (1-\delta)^2/2)$ for any $\delta \in (0, 1)$.

We now prove a tail bound on degrees of vertices; the probability the degree of vertex v deviates by a constant factor of d_v is $\exp(-\Omega(d_v))$. Let $\beta, \beta', \delta, \delta'$ denote sufficiently small constants.

Before we proceed with our tail bounds, we describe a process to construct the random matching of stubs. We are interested in a particular vertex v. Order the stubs such that the d_v v-stubs are in the beginning; the remaining stubs are ordered arbitrarily. We start with the first stub, and match to a uniform random stub (other than itself). We then take the next unmatched stub, according to the order, and match to a uniform random unmatched stub. And so on and so forth. The final connections are clearly dependent, though the choice among unmatched stubs is done independently. This is formalized as follows. Let Y_i be an independent uniform random integer in [1, 2m-2(i-1)-1]. This represents the choice at the *i*th step, since in the *i*th step, we have exactly 2m - 2(i - 1) - 1choices. Imagine that we first draw these independent Y_i 's. Then we deterministically construct the matching on the basis of these numbers. (So the first stub is connected to the Y_1 st stub, the second unmatched stub is connected to the Y_2 nd unmatched stub, etc.)

Lemma 3.2. Assume $d_n < \sqrt{m}/2$. Let D_v be the random variable denoting the degree of v in the resulting graph. There exist sufficiently small constants $\beta, \beta' \in (0, 1)$, such that $\Pr[D_v < \beta' d_v] < \exp(-\beta d_v)$.

Proof. Suppose $d_v > 1$. We again order the stubs so that the d_v v-stubs are in the beginning. Let X_i be the indicator random variable for the *j*th matching forming a new edge with v. Note that

 $\sum_{j=1}^{\lfloor d_v/2 \rfloor} X_j \leq D_v.$ Observe that X_j is a function of Y_1, Y_2, \ldots, Y_j . Consider any $Y_1, Y_2, \ldots, Y_{j-1}$ and suppose the matchings created by these variables link to vertices $v_0 = v, v_1, v_2, \ldots, v_{j-1}$ (distinct) such that there are n_j links to vertex v_j such that $\sum_{i=0}^{j-1} n_i = (j-1)$. Then, for $j = 1, \ldots, \lfloor d_v/2 \rfloor$,

$$\begin{aligned} \mathbf{E}[X_j|Y_1, Y_2, \dots, Y_{j-1}] &\geq 1 - \frac{(d_v - j - n_0) + \sum_{1 \leq i \leq j-1; n_i \neq 0} (d_{v_i} - n_i)}{2m - 2(j-1) - 1} \\ &\geq 1 - \frac{-2(j-1) - 1 + \sum_{i=0}^{j-1} d_{v_i}}{2m - 2(j-1) - 1} \\ &\geq 1 - \frac{\sum_{i=0}^{j-1} d_{v_i}}{2m - 2d_v}. \end{aligned}$$

Note that $\sum_{i=0}^{j-1} d_{v_i} \leq (\sqrt{m}/2)^2 = m/4$, by the bound on the maximum degree. We also get $2m - 2d_v > m$, so we bound $\mathbf{E}[X_j|Y_1, Y_2, \dots, Y_{j-1}] \geq 3/4$. By Lem. 3.1 (setting $\delta = 2/3$ and bounding $\alpha k > d_v/4$),

$$\Pr[D_v < d_v/8] \le \Pr\left[\sum_{j=1}^{\lfloor d_v/2 \rfloor} X_j < d_v/8\right] \le \Pr\left[\sum_{j=1}^{\lfloor d_v/2 \rfloor} X_j < \lfloor d_v/2 \rfloor/2\right] < \exp(-d_v(1/3)^2/8).$$

This suffices to prove the trivial bound for the trivial algorithm.

Claim 3.3. The expected number of wedges enumerated by the trivial algorithm is $\Omega(\sum_v d_v^2)$.

Proof. The expected number of wedges enumerated is $\Omega(\sum_v D_v^2)$, where D_v is the actual degree of v. Using Lem. 3.2, $\mathbf{E}[D_v^2] = \Omega(d_v^2)$.

We will need the following basic claim about the joint probability of two edges.

Claim 3.4. Let v, w, w' be three distinct vertices. The probability that edges (v, w) and (v, w') are present in the final graph is at most $d_v^2 d_w d_{w'}/m^2$.

Proof. Assume $d_v > 1$. Let $C_{v,w}$ be the indicator random variable for edge (v, w) being present (likewise define $C_{v,w'}$). Label the stubs of each vertex as $s_1^v, \ldots, s_{d_v}^v$; $s_1^w, \ldots, s_{d_w}^w$; and $s_1^{w'}, \ldots, s_{d_{w'}}^{w'}$. Let $C_{s_i^v, s_j^w}$ be the indicator random variable for edge being present between stubs s_i^v and s_j^w (likewise define $C_{s_i^v, s_j^w}$). Then the event $\{C_{v,w}C_{v,w'} = 1\}$ that edges (v, w) and (v, w') are present is a subset of the event $\bigcup_{1 \le i \ne j \le d_v} \bigcup_{k=1}^{d_w} \bigcup_{\ell=1}^{d_w} \{C_{s_i^v, s_k^w}C_{s_j^v, s_\ell^w'} = 1\}$. Hence,

$$\Pr[C_{v,w}C_{v,w'}=1] \le \sum_{1\le i\ne j\le d_v} \sum_{k=1}^{d_w} \sum_{\ell=1}^{d_{w'}} \Pr[C_{s_i^v, s_k^w}C_{s_j^v, s_\ell^{w'}}=1].$$

Fix $1 \le i \ne j \le d_v$, $1 \le k \le d_w$ and $1 \le \ell \le d_{w'}$ and order stubs s_i^v, s_j^v first in the ECM wiring. Then, $\Pr[C_{s_i^v, s_k^w} C_{s_j^v, s_\ell^{w'}} = 1] = \Pr[C_{s_i^v, s_k^w} = 1] \Pr[C_{s_j^v, s_\ell^{w'}} = 1|C_{s_i^v, s_k^w} = 1]$ where $\Pr[C_{s_i^v, s_k^w} = 1] = [2m - 1]^{-1}$ and $\Pr[C_{s_j^v, s_\ell^{w'}} = 1|C_{s_i^v, s_k^w} = 1] = [2m - 3]^{-1}$. Hence,

$$\Pr[C_{v,w}C_{v,w'} = 1] \le d_v(d_v - 1)d_w d_{w'}/m^2$$

using $(2m - 1)(2m - 3) \ge m^2$ when $m \ge 3$.

4 Getting the 4/3 moment

We will use a series of claims to express the running time of MINBUCKET in a convenient form. For vertex v, let X_v be the random variable denoting the number of edges in v's bin. The expected running time is at most $\mathbf{E}[\sum_{v} X_v(X_v - 1)]$. This is because number of wedges in each bin is $\binom{X_v}{2} \leq X_v^2 - X_v$.

We further break X_v into the sum $\sum_w Y_{v,w}$, where $Y_{v,w}$ is the indicator for edge (v, w) being in v's bin. As mentioned earlier, $C_{v,w}$ is the indicator for edge (v, w) being present. Note that $Y_{v,w} \leq C_{v,w}$, since (v, w) can only be in v's bin if it actually appears as an edge.

We list out some bounds on expectations. Only the second one really uses the binning of MINBUCKET.

Claim 4.1. Consider vertices $v, w, w' \ (w \neq w')$.

- $\mathbf{E}[Y_{v,w}Y_{v,w'}] \le d_v^2 d_w d_{w'}/m^2.$
- There exist sufficient small constants $\delta, \delta' \in (0,1)$ such that: if $d_w < \delta d_v$ then $\mathbf{E}[Y_{v,w}Y_{v,w'}] \le 2\exp(-\delta' d_v)d_v^2 d_w d_{w'}/m^2$.

Proof. We use the trivial bound of $Y_{v,w}Y_{v,w'} \leq C_{v,w}C_{v,w'}$. By Claim 3.4, $\mathbf{E}[Y_{v,w}Y_{v,w'}] \leq \mathbf{E}[C_{v,w}C_{v,w'}] \leq d_v^2 d_w d_{w'}/m^2$.

Now for the interesting bound. The quantity $\mathbf{E}[Y_{v,w}Y_{v,w'}]$ is the probability that both $Y_{v,w}$ and $Y_{v,w'}$ are 1. For this to happen, we definitely require both (v, w) and (v, w') to be present as edges. Call this event \mathcal{E} . We also require (at the very least) the degree of v to be at most the degree of w (otherwise the edge (v, w) will not be put in v's bin.) Call this event \mathcal{F} . If D_v, D_w denote the degrees of v and w, note that $D_w \leq d_w < \delta d_v$, implying event \mathcal{F} is contained in the event $\{D_v < \delta d_v\}$ when $d_w < \delta d_v$. Hence, the event $Y_{v,w}Y_{v,w'} = 1$ is contained in $\mathcal{E} \cap \{D_v < \delta d_v\}$. Assume $d_v > 2, d_w > 0, d_{w'} > 0$ or else $\mathbf{E}[Y_{v,w}Y_{v,w'}] = 0$ trivially when $\delta < 1/2$.

As in the proof of Claim 3.4, let $C_{s_i^v,s_j^w}$ be the indicator random variable for edge being present between stubs s_i^v and s_j^w of vertices v, w (and analogously define $C_{s_i^v,s_j^{w'}}$). Then \mathcal{E} is contained in $\bigcup_{1 \leq i \neq j \leq d_v} \bigcup_{k=1}^{d_w} \bigcup_{\ell=1}^{d_{w'}} \{C_{s_i^v,s_k^w} C_{s_j^v,s_\ell^{w'}} = 1\}$ so that

$$\begin{aligned} \Pr[Y_{v,w}Y_{v,w'} = 1] &\leq & \Pr[\mathcal{E}, D_v < \delta d_v] \\ &\leq & \sum_{1 \leq i \neq j \leq d_v} \sum_{k=1}^{d_w} \sum_{\ell=1}^{d_{w'}} \Pr[C_{s_i^v, s_k^w} C_{s_j^v, s_\ell^{w'}} = 1, D_v < \delta d_v] \\ &= & \sum_{1 \leq i \neq j \leq d_v} \sum_{k=1}^{d_w} \sum_{\ell=1}^{d_{w'}} \Pr[C_{s_i^v, s_k^w} C_{s_j^v, s_\ell^{w'}} = 1] \Pr[D_v < \delta d_v | C_{s_i^v, s_k^w} C_{s_j^v, s_\ell^{w'}} = 1]. \end{aligned}$$

Given fixed values of i, j, k, ℓ and order stubs s_i^v, s_j^v first in the ECM wiring. Then, $\Pr[C_{s_i^v, s_k^w} C_{s_j^v, s_\ell^{w'}} = 1] \le m^{-2}$ as in the proof of Claim 3.4. Additionally, conditioned on $C_{s_i^v, s_k^w} C_{s_j^v, s_\ell^{w'}} = 1$, the remaining stubs form an ECM with respect to a new degree sequence formed by replacing $2m, d_v, d_w, d_{w'}$ in the original degree sequence by $2\tilde{m} = 2m - 4, d_v - 2, d_w - 1, d_{w'} - 1$. Let \tilde{D}_v denote the degree of v in the final graph from the new degree sequence. Then, conditioned on $C_{s_i^v, s_k^w} C_{s_j^v, s_\ell^{w'}} = 1, D_v = 2 + \tilde{D}_v$ so that conditional probability is bounded by

$$\begin{aligned} \Pr[D_v < \delta d_v | C_{s_i^v, s_k^w} C_{s_j^v, s_\ell^{w'}} = 1] &= & \Pr[\tilde{D}_v < \delta d_v - 2 | C_{s_i^v, s_k^w} C_{s_j^v, s_\ell^{w'}} = 1] \\ &\leq & \Pr[\tilde{D}_v < \delta (d_v - 2) | C_{s_i^v, s_k^w} C_{s_j^v, s_\ell^{w'}} = 1] \\ &\leq & 2 \exp(-\delta' d_v) \end{aligned}$$

since $\delta < 1$. That is, Lem. 3.2 applies to \tilde{D}_v with respect to the new degree sequence where v has degree $d_v - 2$ and each degree in this new sequence is less than $\sqrt{\tilde{m}}/2$ by assumption. The bound $\Pr[Y_{v,w}Y_{v,w'} = 1] \leq 2 \exp(-\delta' d_v) d_v^2 d_w d_{w'}/m^2$ then follows.

Armed with these facts, we can bound the expected number of P_2 s contained in a single bucket.

Lemma 4.2.

$$\mathbf{E}[X_v(X_v-1)] = O\Big(\exp(-\delta d_v)d_v^2 + \Big(m^{-2}d_v^2\sum_{\substack{w:\\d_w \ge \delta d_v}}\sum_{\substack{w\neq w':\\d_{w'} \ge \delta d_v}}d_w d_{w'}\Big)\Big)$$

Proof. We will write out

$$X_{v}^{2} = (\sum_{w} Y_{v,w})^{2} = \sum_{w} Y_{v,w}^{2} + \sum_{w} \sum_{w' \neq w} Y_{v,w} Y_{v,w'}$$

where $\sum_{w} Y_{v,w}^2 = \sum_{w} Y_{v,w} = X_v$ as each $Y_{v,w}$ is a 0-1 variable. Hence,

$$\begin{split} \mathbf{E}[X_{v}(X_{v}-1)] &= \sum_{w} \sum_{w' \neq w} \mathbf{E}[Y_{v,w}Y_{v,w'}] \leq \sum_{\substack{w:\\ d_{w} \geq \delta d_{v}}} \sum_{\substack{w \neq w':\\ d_{w'} \geq \delta d_{v}}} \mathbf{E}[Y_{v,w}Y_{v,w'}] + \sum_{\substack{w:\\ d_{w'} \leq \delta d_{v}}} \sum_{\substack{w \neq w':\\ d_{w'} \leq \delta d_{v}}} \mathbf{E}[Y_{v,w}Y_{v,w'}] \\ &= \sum_{\substack{w:\\ d_{w} \geq \delta d_{v}}} \sum_{\substack{w \neq w':\\ d_{w'} \geq \delta d_{v}}} \mathbf{E}[Y_{v,w}Y_{v,w'}] + 2\sum_{\substack{w:\\ d_{w} \leq \delta d_{v}}} \sum_{\substack{w' \neq w}} \mathbf{E}[Y_{v,w}Y_{v,w'}] \\ &\leq \frac{d_{v}^{2}}{m^{2}} (\sum_{w:d_{w} \geq \delta d_{v}} d_{w})^{2} + 2\sum_{\substack{w:\\ d_{w} \leq \delta d_{v}}} \sum_{\substack{w' \neq w}} \mathbf{E}[Y_{v,w}Y_{v,w'}] \end{split}$$

by splitting the sums into cases, $d_w \ge \delta d_v$ and $d_w < \delta d_v$, and using the trivial bound of Claim 4.1 for the first quantity. We satisfy the conditions to use the second part of Claim 4.1 as w

$$\sum_{\substack{w:\\dw < \delta dv}} \sum_{\substack{w' \neq w}} \mathbf{E}[Y_{v,w}Y_{v,w'}] \leq 2\sum_{\substack{w:\\dw < \delta dv}} \sum_{\substack{w' \neq w}} \exp(-\delta d_v) d_v^2 d_w d_{w'}/m^2$$
$$\leq \exp(-\delta d_v) d_v^2,$$

where $\sum_{i=1}^{n} d_i = 2m$,

With this bound for $\mathbf{E}[X_v(X_v-1)]$, we are ready to prove Thm. 1.1.

Theorem 4.3. $\mathbf{E}[\sum_{v} X_{v}(X_{v}-1)] = O(n+m^{-2}(\sum_{i=1}^{n} d_{i}^{4/3})^{3}).$

Proof. We use linearity of expectation and sum the bound in Lem. 4.2. Note that $\exp(-\delta d_v)d_v^2$ is a decreasing function of d_v and is hence O(1). The double summation of Lem. 4.2 can be upper bounded by $(\sum_{w:d_w \ge \delta d_v} d_w)^2$.

$$\mathbf{E}[\sum_{v} X_{v}(X_{v}-1)] \leqslant n + m^{-2} \sum_{v} d_{v}^{2} \Big(\sum_{w:d_{w} \ge \delta d_{v}} d_{w}\Big)^{2} = n + m^{-2} \sum_{v} \sum_{w:d_{w} \ge \delta d_{v}} \sum_{w':d_{w'} \ge \delta d_{v}} d_{v}^{2} d_{w} d_{w'}$$

This is the moment where the 4/3 moment will appear. Since $d_w \ge \delta d_v$ and $d_{w'} \ge \delta d_v$, $d_v^{2/3} \le \delta^{-2/3} d_w^{1/3} d_{w'}^{1/3}$. Therefore, $d_v^2 d_w d_{w'} = d_v^{4/3} d_v^{2/3} d_w d_{w'} \le \delta^{-2/3} (d_v d_w d_{w'})^{4/3}$. Wrapping it up,

$$m^{-2} \sum_{v} \sum_{w:d_{w} \ge \delta d_{v}} \sum_{w':d_{w'} \ge \delta d_{v}} d_{v}^{2} d_{w} d_{w'} \ll m^{-2} \sum_{v} \sum_{w:d_{w} \ge \delta d_{v}} \sum_{w':d_{w'} \ge \delta d_{v}} (d_{v} d_{w} d_{w'})^{4/3}$$

$$\ll m^{-2} (\sum_{v} d_{v}^{4/3})^{3}.$$

5 Proving tightness

We show that the bound achieved by Thm. 1.1 is tight for power laws with $\alpha > 2$. This shows that the bounds given in the proof of Cor. 1.3 are tight. The proof, as expected, goes by reversing most of the inequalities given earlier. For convenience, we will assume for the lower bound that $d_n < \sqrt{m}/4$, instead of the $\sqrt{m}/2$ used for the upper bound. This makes for cleaner technical arguments (we could just as well prove it for $\sqrt{m}/2$, at the cost of more pain). Proofs are given in Appendix B.

Claim 5.1. Let **d** be a power law degree sequence with $\alpha \in (2,7/3)$ with $d_n < \sqrt{m}/4$. Then the expected number of P_2s enumerated by MINBUCKET over $ECM(\mathbf{d})$ is $\Omega(nd_n^{7-3\alpha})$.

6 Tighter bounds on the running time

Under a specific choice of degrees, we can pin down the running time of MINBUCKET up to lower order terms. Rather than starting with an arbitrary degree sequence, we draw the degree for each vertex independently at random from a reference degree distribution \mathcal{D} , given by pdf f. Specifically, f(d) is the probability that a node draws degree value d, for d and integer in $[0, \infty)$. After nodes draw degree values, the rest of the ECM construction proceeds as described in §1.1.

Formally, let \mathcal{D}_n be the distribution with support $\{1, 2, \ldots, \lfloor \sqrt{n} / \log^2 n \rfloor\}$, where the probability of d is proportional to f(d). Note that we do not allow a degree of 0 and cap the max degree at $\sqrt{n} / \log^2 n$ (instead of \sqrt{n}). These are mostly conveniences for a cleaner proof. We pick the degree sequence by taking n i.i.d. draws from \mathcal{D}_n . So, the degree sequence **d** is distributed according to the product \mathcal{D}_n^n . Then we generate an ECM with **d**. For convenience, we denote $1 - 1 / \sum_{d \leq n} f(d)$ by γ_n . Note that $\gamma_n \to 0$, as $n \to \infty$. The probability of d under \mathcal{D}_n is $f(d)(1 - \gamma_n)$. We use $m = \sum_v d_v/2$ to denote the number of edges in the *multigraph* and heavily use $m \geq n/2$.

Our analysis assumes that when an edge joins two vertices of the same degree, the edge is placed in the bucket for both edges. Thus we slightly overcount the work for MINBUCKET. Let $X_{i,n}$ be the size of the bucket for an arbitrary node *i* in a graph generated by ECM with *n* nodes. We wish to bound the expected triangle-searching work $\mathbf{E}\left[\sum_{i=1}^{n} {X_{i,n} \choose 2}\right]$ in an ECM graph, as the number of nodes $n \to \infty$. We denote *r*th moment, r > 0, of the reference degree distribution *f* as $\mathbf{E}[d^r] = \sum_{t=1}^{\infty} t^r \cdot f(t)$. The main theorem is as follows.

Theorem 6.1. Fix any n and a degree distribution \mathcal{D} such that $\mathbf{E}[d]$ and $\mathbf{E}[d^{4/3}]$ are finite. Then

$$\lim_{n \to \infty} \frac{1}{n} \mathbf{E} \left[\sum_{i=1}^{n} \binom{X_{i,n}}{2} \right] = \frac{1}{2(\mathbf{E}[d])^2} \sum_{t_1=1}^{\infty} \sum_{t_2=t_1}^{\infty} \sum_{t_3=t_1}^{\infty} t_1(t_1-1)t_2t_3f(t_1)f(t_2)f(t_3) \in (0,\infty).$$

Note that the expectation for the running time is over two "sources" of randomness, the degree sequence, and the actual configuration graph. Throughout this section, we use o(1) to denote a quantity that goes to zero as $n \to \infty$. We use the shorthand $A = B \pm C$ for $A \in [B - C, B + C]$. This is often done with C = o(1).

The overall structure of the proof can be thought of as a three-stage process. First, we fix the degree sequence d_1, d_2, \ldots, d_n (and number of vertices n) and bound $\sum_{i=1}^n {\binom{X_{i,n}}{2}}$. This is analogous to what was done earlier in §4. We require a more careful analysis where we keep track of various constant factors, so that the final limit can be precisely stated. We then take expectations over the degree sequence. Finally, we take the limit $n \to \infty$.

6.1 Bin sizes for low degree vertices

We fix the degree sequence $\mathbf{d} = (d_1, d_2, \dots, d_n)$ and consider the probability space of $ECM(\mathbf{d})$. As before, we use D_v to denote the degree of v in the resulting simple graph. We denote the u-stubs (for all vertices u) by u_1, u_2, \dots, u_{d_n} . Since n is fixed here, we drop the subscript n from $X_{v,n}$.

The main lemma of this section is a tight bound on the wedge count in v's bin, when d_v is small. This is a tigher analogue of Lem. 4.2.

Lemma 6.2. Suppose $d_v \leq \log n$.

$$\mathbf{E}[X_v(X_v-1)] = (1\pm o(1))d_v(d_v-1)\Big(\sum_{w\neq v: d_w \ge d_v} \sum_{w' \notin \{v,w\}: d_{w'} \ge d_v} d_w d_{w'}\Big)/(2m)^2 \pm o(1)$$

We first prove numerous intermediate claims about the following events. We use c to denote a large enough constant.

- \mathcal{E}_v : The event that $D_v \neq d_v$.
- $\mathcal{F}_{v,b}$: Let b be a number. This is the event that $D_v < b$.
- \mathcal{U}_{u_i,v_j} : For stubs u_i, v_j , this is the event that the u_i is matched to v_j in the multigraph.
- $\mathcal{W}_{v,w,w'}$: The event that wedge $\{(v,w), (v,w')\}$ is formed.
- $\mathcal{Z}_{v,w,w'}$: The event that wedge $\{(v,w), (v,w')\}$ is in v's bin.

Note that $\mathcal{W}_{v,w,w'} = \bigcup_{i \neq j \leq d_v, k \leq d_w, \ell \leq d_{w'}} \left(\mathcal{U}_{v_i,w_k} \cap \mathcal{U}_{v_j,w'_\ell} \right)$. It will be convenient to denote the index set $\{(i, j, k, \ell) | i \neq j \leq d_v, k \leq d_w, \ell \leq d_{w'}\}$ as **I**.

We begin by getting handles on $\mathcal{E}_v, \mathcal{F}_{v,b}$.

Claim 6.3. Fix distinct vertices v, w, w', distinct stubs v_i, v_j, w_k, w'_{ℓ} , and an arbitrary vertex u.

- For any u such that $d_u < c \log n$, $\Pr[\mathcal{E}_u | \mathcal{U}_{v_i, w_k} \cap \mathcal{U}_{v_i, w'_\ell}] < (\log^3 n) / \sqrt{m}$.
- For any u and b such that $d_u > b > \log n$, then $\Pr[\mathcal{F}_{u,b}|\mathcal{U}_{v_i,w_k} \cap \mathcal{U}_{v_i,w'_k}] < (\log^3 n)/\sqrt{m}$.

Proof. Conditioning on the event $E \equiv \mathcal{U}_{v_i,w_k} \cap \mathcal{U}_{v_j,w'_\ell}$ means that these stubs are matched, with 2m-4 stubs remaining in the multigraph; the event E itself creates no self-loops or multi-edges for vertex u, even if $u \in \{v, w, w'\}$, as v, w, w' are distinct. Let $S \equiv \{u_1, \ldots, u_{d_u}\} \setminus \{v_i, w_k, v_j, w'_\ell\}$. For any stubs $u_i, u_j \in S$ of vertex $u, i \neq j$, the event $A_{u_i,u_j} \equiv u_i, u_j$ pair" has probability $P(A_{u_i,u_j}|E) \leq (2m-5)^{-1}$, and the event $B_{u_i,u_j,z} \equiv u_i, u_j$ pair to stubs of vertex $z \neq u$ " has probability $P(B_{u_i,u_j,z}|E) \leq d_z(d_z-1)/[(2m-5)(2m-7)]$. Then, $Pr[\mathcal{E}_u|\mathcal{U}_{v_i,w_k} \cap \mathcal{U}_{v_j,w'_\ell}]$ is at most

$$Pr\left(\left[\bigcup_{u_i\neq u_j\in S} A_{u_i,u_j}\right] \bigcup \left[\bigcup_{\substack{u_i\neq u_j\in S,\\z\neq u}} B_{u_i,u_j,z}\right] \left|E\right\right) \leq \sum_{\substack{u_i\neq u_j\in S\\z\neq u}} Pr(A_{u_i,u_j}|E) + \sum_{\substack{u_i\neq u_j\in S,\\z\neq u}} Pr(B_{u_i,u_j,z}|E),$$

which is bounded by $(c \log n)^2 [2m-5]^{-1} + (c \log n)^2 \sum_z d_z^2 / [(2m-5)(2m-7)] < (\log n)^3 / \sqrt{m}$, using $\sum_z d_z^2 \le \sqrt{n} 2m$ and $\sqrt{n/m} \le \sqrt{2}$.

If $d_u < c \log n$, the second part is simply a consequence of the first part. If $d_u \ge c \log n$, we can apply Lem. 3.1 to bound the probability by $1/\sqrt{m}$.

Our next step is to try to remove the conditioning on $\mathcal{U}_{v_i,w_k} \cap \mathcal{U}_{v_j,w'_\ell}$. We will need the *Boole-Bonferroni inequalities* (Prop. C.2 in [MR95b]).

Theorem 6.4. For any finite set of events $\mathcal{F}_1, \mathcal{F}_2, \ldots, \mathcal{F}_r$,

$$\sum_{i \leq r} \Pr[\mathcal{F}_i] - \sum_{i < j \leq r} \Pr[\mathcal{F}_i \cap \mathcal{F}_j] \leq \Pr[\bigcup_{i \leq r} \mathcal{F}_i] \leq \sum_{i \leq r} \Pr[\mathcal{F}_i]$$

We have a general claim about probabilities of events in conjunction with $\mathcal{W}_{v.w.w'}$.

Claim 6.5. Fix an arbitrary event C. Suppose for all $(i, j, k, \ell) \in \mathbf{I}$, $\Pr[\mathcal{C}|\mathcal{U}_{v_i, w_k} \cap \mathcal{U}_{v_j, w'_{\ell}}] \in [B_L, B_U]$. Then,

$$\Pr[\mathcal{C} \cap \mathcal{W}_{v,w,w'}] \le B_U d_v (d_v - 1) d_w d_{w'} / (2m - 1)(2m - 2)$$

$$\Pr[\mathcal{C} \cap \mathcal{W}_{v,w,w'}] \ge (B_L - 1/\log n) d_v (d_v - 1) d_w d_{w'} / (2m - 1)(2m - 2)$$

Proof. Applying Thm. 6.4 to the events $\mathcal{C} \cap \mathcal{U}_{v_i, w_k} \cap \mathcal{U}_{v_i, w'_{\ell}}$,

$$\sum_{(i,j,k,\ell)\in\mathbf{I}} \Pr[\mathcal{C}\cap\mathcal{U}_{v_i,w_k}\cap\mathcal{U}_{v_j,w'_\ell}] - \sum_{(i,j,k,\ell)\neq(\hat{i},\hat{j},\hat{k},\hat{\ell})\in\mathbf{I}} \Pr[\mathcal{C}\cap\mathcal{U}_{v_i,w_k}\cap\mathcal{U}_{v_j,w'_\ell}\cap\mathcal{U}_{v_{\hat{i}},w_{\hat{k}}}\cap\mathcal{U}_{v_{\hat{j}},w'_\ell}]$$

$$\leq \Pr[\mathcal{C}\cap\mathcal{W}_{v,w,w'}] \leq \sum_{(i,j,k,\ell)\in\mathbf{I}} \Pr[\mathcal{C}\cap\mathcal{U}_{v_i,w_k}\cap\mathcal{U}_{v_j,w'_\ell}]$$
(1)

We bound each sum separately.

$$\sum_{(i,j,k,\ell)\in\mathbf{I}} \Pr[\mathcal{C} \cap \mathcal{U}_{v_i,w_k} \cap \mathcal{U}_{v_j,w'_\ell}] = \sum_{(i,j,k,\ell)\in\mathbf{I}} \Pr[\mathcal{C}|\mathcal{U}_{v_i,w_k} \cap \mathcal{U}_{v_j,w'_\ell}] \Pr[\mathcal{U}_{v_i,w_k} \cap \mathcal{U}_{v_j,w'_\ell}]$$

$$\leq B_U \sum_{(i,j,k,\ell)\in\mathbf{I}} \Pr[\mathcal{U}_{v_i,w_k} \cap \mathcal{U}_{v_j,w'_\ell}]$$

$$= B_U |\mathbf{I}|/(2m-1)(2m-2) = B_U d_v (d_v - 1) d_w d_{w'}/(2m-1)(2m-2)$$

This completes the upper bound proof. By an identical argument, we get $\sum_{(i,j,k,\ell)\in\mathbf{I}} \Pr[\mathcal{C} \cap \mathcal{U}_{v_i,w_k} \cap \mathcal{U}_{v_j,w'_\ell}] \geq B_L d_v (d_v - 1) d_w d_{w'} / (2m - 1)(2m - 2)$. We deal with the double summation in the next claim. Applying this bound to (1) completes the proof.

Claim 6.6.
$$\sum_{(i,j,k,\ell)\neq (\hat{i},\hat{j},\hat{k},\hat{\ell})\in\mathbf{I}} \Pr[\mathcal{C}\cap\mathcal{U}_{v_i,w_k}\cap\mathcal{U}_{v_j,w'_\ell}\cap\mathcal{U}_{v_{\hat{i}},w_{\hat{k}}}\cap\mathcal{U}_{v_{\hat{j}},w'_{\hat{\ell}}}] \le (1/\log n)(d_v(d_v-1)d_wd_{w'}/m^2).$$

Proof. We can simply upper bound $\Pr[\mathcal{C} \cap \mathcal{U}_{v_i,w_k} \cap \mathcal{U}_{v_j,w'_\ell} \cap \mathcal{U}_{v_{\hat{i}},w_{\hat{k}}} \cap \mathcal{U}_{v_{\hat{j}},w'_{\hat{\ell}}}] \leq \Pr[\mathcal{U}_{v_i,w_k} \cap \mathcal{U}_{v_j,w'_\ell} \cap \mathcal{U}_{v_{\hat{i}},w_{\hat{k}}} \cap \mathcal{U}_{v_{\hat{j}},w'_{\hat{\ell}}}].$

Consider $(i, j, k, \ell) \neq (\hat{i}, \hat{j}, \hat{k}, \hat{\ell})$. The corresponding event involves stub pairs (v_i, w_k) , (v_j, w'_ℓ) , (v_i, w_k) , and (v_j, w'_ℓ) . If all these pairs are distinct (even if the stubs are common), then $\Pr[\mathcal{U}_{v_i, w_k} \cap \mathcal{U}_{v_j, w'_\ell} \cap \mathcal{U}_{v_j, w'_\ell} \cap \mathcal{U}_{v_j, w'_\ell} \cap \mathcal{U}_{v_j, w'_\ell} \cap \mathcal{U}_{v_j, w'_\ell}] \leq 1/m^4$. The number of pairs of tuples $(i, j, k, \ell) \neq (\hat{i}, \hat{j}, \hat{k}, \hat{\ell})$ is at most $|\mathbf{I}|^2$.

Since $(i, j, k, \ell) \neq (\hat{i}, \hat{j}, \hat{k}, \hat{\ell})$, at most one of the pairs can be the same. If (say) $(v_i, w_k) = (v_{\hat{i}}, w_{\hat{k}})$, then $\Pr[\mathcal{U}_{v_i, w_k} \cap \mathcal{U}_{v_j, w'_{\ell}} \cap \mathcal{U}_{v_{\hat{i}}, w_{\hat{k}}} \cap \mathcal{U}_{v_{\hat{j}}, w'_{\hat{\ell}}}] \leq 1/m^3$. The number of pairs of such **I**-tuples is at most $|\mathbf{I}|(d_v d_w + d_v d_{w'})$.

$$\sum_{(i,j,k,\ell),(\hat{i},\hat{j},\hat{k},\hat{\ell})\in\mathbf{I}} \Pr[\mathcal{U}_{v_i,w_k}\cap\mathcal{U}_{v_j,w_\ell'}\cap\mathcal{U}_{v_{\hat{i}},w_{\hat{k}}}\cap\mathcal{U}_{v_{\hat{j}},w_{\hat{\ell}}'}]$$

$$\leq |\mathbf{I}|^2/m^4 + |\mathbf{I}|(d_v d_w + d_v d_{w'})/m^3 = (d_v (d_v - 1)d_w d_{w'}/m^2)(d_v (d_v - 1)d_w d_{w'}/m^2 + d_v (d_w + d_{w'})/m)$$

Since $d_v, d_w, d_{w'} \leq \sqrt{n} / \log^2 n$ and $m \geq n/2$, the final multiplier is at most $1 / \log n$.

As a corollary of Claim 6.5, we can set $\mathcal{C} = \mathcal{W}_{v,w,w'}$ and $B_L = B_U = 1$ to get the following.

Claim 6.7. $\Pr[\mathcal{W}_{v,w,w'}] = (1 \pm 1/\log n)d_v(d_v - 1)d_w d_{w'}/(2m - 1)(2m - 2)$

Now for an important lemma that bounds the probabilities of $\mathcal{Z}_{v,w,w'}$.

Lemma 6.8. Fix distinct vertices v, w, w', such that $d_v < c \log n$.

- If $\min\{d_w, d_{w'}\} \ge d_v$, $\Pr[\mathcal{Z}_{v,w,w'}] = (1 \pm o(1))d_v(d_v 1)d_w d_{w'}/(2m)^2$.
- If $\min\{d_w, d_{w'}\} < d_v$, $\Pr[\mathcal{Z}_{v,w,w'}] = O((1/m^{1/4}) \cdot d_v(d_v 1)d_w d_{w'}/m^2)$.

Proof. Start with the first case of $\min\{d_w, d_{w'}\} \ge d_v$. Since $\mathcal{Z}_{v,w,w'} \subset \mathcal{W}_{v,w,w'}$, $\Pr[\mathcal{Z}_{v,w,w'}] \le \Pr[\mathcal{W}_{v,w,w'}]$ and Claim 6.7 completes the upper bound.

Consider the event $\mathcal{W}_{v,w,w'} \cap \overline{\mathcal{E}_v} \cap \overline{\mathcal{F}_{w,d_v}} \cap \overline{\mathcal{F}_{w',d_v}}$. In this case, $D_v = d_v$ and $D_w, D_{w'} \ge d_v$, so $\mathcal{Z}_{v,w,w'}$ contains this event.

$$\begin{aligned} \Pr[\mathcal{Z}_{v,w,w'}] &\geq & \Pr[\mathcal{W}_{v,w,w'} \cap \overline{\mathcal{E}_v} \cap \overline{\mathcal{F}_{w,d_v}} \cap \overline{\mathcal{F}_{w',d_v}}] \\ &= & \Pr[\mathcal{W}_{v,w,w'} \cap \overline{\mathcal{E}_v \cup \mathcal{F}_{w,d_v} \cup \mathcal{F}_{w',d_v}}] \\ &= & \Pr[\mathcal{W}_{v,w,w'}] - \Pr[\mathcal{W}_{v,w,w'} \cap (\mathcal{E}_v \cup \mathcal{F}_{w,d_v} \cup \mathcal{F}_{w',d_v})] \\ &\geq & \Pr[\mathcal{W}_{v,w,w'}] - \Pr[\mathcal{W}_{v,w,w'} \cap \mathcal{E}_v] - \Pr[\mathcal{W}_{v,w,w'} \cap \mathcal{F}_{w,d_v}] - \Pr[\mathcal{W}_{v,w,w'} \cap \mathcal{F}_{w',d_v}] \end{aligned}$$

(The last inequality follows by a union bound.) We can bound $\Pr[\mathcal{W}_{v,w,w'} \cap \mathcal{E}_v]$ by applying the upper bound of Claim 6.5 with $B_U = (\log^3 n)/\sqrt{m}$ (as obtained from Claim 6.3). This gives an upper bound of $((\log^3 n)/\sqrt{m})d_v(d_v - 1)d_wd_{w'}/(2m)^2 = o(1) \cdot d_v(d_v - 1)d_wd_{w'}/(2m)^2$. Identical arguments hold for $\Pr[\mathcal{W}_{v,w,w'} \cap \mathcal{F}_{w,d_v}]$ and $\Pr[\mathcal{W}_{v,w,w'} \cap \mathcal{F}_{w',d_v}]$. Using the bound from Claim 6.7 for $\Pr[\mathcal{W}_{v,w,w'}]$, $\Pr[\mathcal{Z}_{v,w,w'}] \ge (1 - o(1))d_v(d_v - 1)d_wd_{w'}/(2m)^2$. This completes the first case.

Now, suppose $\min\{d_w, d_{w'}\} < d_v$. Note that $\mathcal{Z}_{v,w,w'}$ is contained in $\mathcal{W}_{v,w,w'} \cap (\mathcal{E}_v \cup \mathcal{E}_w)$. This is because when $\overline{\mathcal{E}_v \cup \mathcal{E}_w}$ occurs, $D_v = d_v > d_w = D_w$, so the wedge cannot be present in v's bin. By the union bound, $\Pr[\mathcal{Z}_{v,w,w'}] \leq \Pr[\mathcal{W}_{v,w,w'} \cap \mathcal{E}_v] + \Pr[\mathcal{W}_{v,w,w'} \cap \mathcal{E}_w]$. Using the argument above, this is at most $((2\log^3 n)/\sqrt{m})d_v(d_v-1)d_wd_{w'}/(2m)^2 = O((1/m^{1/4}) \cdot d_v(d_v-1)d_wd_{w'}/m^2)$.

We are ready to prove the main lemma.

Proof. (of Lem. 6.2) Note that $\mathbf{E}[X_v(X_v-1)] = \mathbf{E}[\sum_{w\neq v} \sum_{w'\notin\{v,w\}} \mathbb{I}(\mathcal{Z}_{v,w,w'})]$. By linearity of expectation, this sum is $\sum_{w\neq v} \sum_{w'\notin\{v,w\}} \Pr[\mathcal{Z}_{v,w,w'}]$, which can be split as follows.

$$\sum_{w \neq v} \sum_{w' \notin \{v,w\}} \Pr[\mathcal{Z}_{v,w,w'}] = \sum_{w \neq v: d_w \ge d_v} \sum_{w' \notin \{v,w\}: d_{w'} \ge d_v} \Pr[\mathcal{Z}_{v,w,w'}] + \sum_{w \neq v: d_w \ge d_v} \sum_{w' \notin \{v,w\}: d_{w'} < d_v} \Pr[\mathcal{Z}_{v,w,w'}] + \sum_{w \neq v: d_w < d_v} \sum_{w' \notin \{v,w\}} \Pr[\mathcal{Z}_{v,w,w'}]$$

We deal with each of these summations using Lem. 6.8. In the first summation, $\min\{d_w, d_{w'}\} \ge d_v$.

$$\sum_{w \neq v: d_w \ge d_v} \sum_{w' \notin \{v,w\}: d_{w'} \ge d_v} \Pr[\mathcal{Z}_{v,w,w'}] = (1 \pm o(1)) d_v (d_v - 1) \sum_{w \neq v: d_w \ge d_v} \sum_{w' \notin \{v,w\}: d_{w'} \ge d_v} d_w d_{w'} / (2m)^2$$

In the second summation, $\min\{d_w, d_{w'}\} < d_v$.

$$\sum_{w \neq v: d_w \ge d_v} \sum_{w' \notin \{v,w\}: d_{w'} < d_v} \Pr[\mathcal{Z}_{v,w,w'}] < d_v (d_v - 1) / m^{2+1/4} \sum_w \sum_{w'} d_w d_{w'} < (\log n)^2 / m^{1/4} = o(1)$$

(We use the fact that $\sum_{w} d_w = 2m$, and the bound of $d_v < c \log n$. The third summation can be handled similarly, completing the proof.

6.2 Expectation over \mathcal{D}_n

Our aim is to take the expectation of Lem. 6.2 over the degrees. We will distinguish over the sources of randomness, by using $\mathbf{E}_{\mathbf{d}}[\ldots]$ to denote expectations over $\mathbf{d} \sim \mathcal{D}_n^n$. We use $\mathbf{E}_G[\ldots]$ for the expectation over the graph chosen from $ECM(\mathbf{d})$. Because all vertices are basically identical, we just focus on the first vertex. The main lemma is a fairly precise expression for the expectation of Lem. 6.2. We denote the degree threshold $\sqrt{n}/\log^2 n$ for \mathcal{D}_n by M(n).

Lemma 6.9.

$$\begin{aligned} \mathbf{E}_{\mathbf{d}} \mathbf{E}_{G}[X_{1}(X_{1}-1)] &= (1\pm o(1))/\mathbf{E}[d_{2}]^{2} \left(\sum_{t_{1}\leq \log n} \sum_{t_{2}=t_{1}}^{M(n)} \sum_{t_{3}=t_{1}}^{M(n)} t_{1}(t_{1}-1)t_{2}t_{3}f(t_{1})f(t_{2})f(t_{3})\right) \pm o(1) \\ &+ O\left(\sum_{t_{1}>\log n}^{M(n)} \sum_{t_{2}=\delta t_{1}}^{M(n)} \sum_{t_{3}=\delta t_{1}}^{M(n)} t_{1}^{2}t_{2}t_{3}f(t_{1})f(t_{2})f(t_{3})\right) \end{aligned}$$

As a first step, we first condition on the choice of d_1 and choose the other degrees according to \mathcal{D}_n . We denote the conditional expectation over this distribution by $\mathbf{E}_{\mathbf{d}_{-1}}$. We will need the following claim about the concentration of m.

Claim 6.10. With probability $> 1 - n^{-\log n}$, $|m - \mathbf{E}_{\mathbf{d}_{-1}}[m]| \le n/\log n$.

Proof. We have $m = \sum_{v} d_v/2$ and $\mathbf{E}_{\mathbf{d}_{-1}} = d_1/2 + \sum_{v \neq 1} \mathbf{E}_{\mathbf{d}}[d_v/2]$. Note that each $d_v/2$ is in $[1, \sqrt{n}/\log^2 n]$ and they are all independent. By Hoeffding's inequality [Hoe63], $\Pr[|\sum_{v \neq 1} d_v/2 - \mathbf{E}_{\mathbf{d}}[\sum_{v \neq 1} d_v/2]| \ge n/\log n] < 2\exp(-2(n/\log n)^2 / \sum_{v \neq 1} (\sqrt{n}/\log^2 n)^2) = 2\exp(-2\log^2 n) < n^{-\log n}$.

As a step towards Lem. 6.9, we condition on d_1 . When d_1 is small, we can use Lem. 6.2 of the previous section.

Claim 6.11. Suppose $d_1 \leq \log n$. Then

$$\mathbf{E}_{\mathbf{d}_{-1}}\mathbf{E}_{G}[X_{1}(X_{1}-1)] = (1\pm o(1))d_{1}(d_{1}-1)/\mathbf{E}[d_{2}]^{2})\Big(\sum_{t_{2}=t_{1}}^{M(n)}\sum_{t_{3}=t_{1}}^{M(n)}t_{2}t_{3}f(t_{2})f(t_{3})\Big) \pm o(1)$$

, where the order bound o(1) applies uniformly over $d_1 \leq \log n$.

Proof. It is convenient to define random variable T_w where $T_w = 0$, if $d_w < d_1$, and $T_w = d_w$ if $d_w \ge d_1$. We can rewrite the bound of Lem. 6.2 as

$$\mathbf{E}_{G}[X_{1}(X_{1}-1)] = (1\pm o(1))d_{1}(d_{1}-1)\Big(\sum_{w>1}\sum_{w'\notin\{1,w\}}T_{w}T_{w'}\Big)/(2m)^{2}\pm o(1)$$

It will be convenient to denote the double summation by A. Now, we take expectations over the $\mathbf{d}_{-1} = (d_2, \ldots, d_n)$. There is a slight technical difficulty, since m is itself a random variable. We use some Bayes' rule manipulations to handle this. Let C denote the event that $m \in [(1 - 1/\log n)\mathbf{E}_{\mathbf{d}_{-1}}[m], (1 + 1/\log n)\mathbf{E}_{\mathbf{d}_{-1}}[m]]$.

$$\mathbf{E}_{\mathbf{d}_{-1}}[d_1(d_1-1)A/m^2] = \mathbf{E}_{\mathbf{d}_{-1}}[d_1(d_1-1)A/m^2|\mathcal{C}]\Pr[\mathcal{C}] + \mathbf{E}_{\mathbf{d}_{-1}}[d_1(d_1-1)A/m^2|\overline{\mathcal{C}}]\Pr[\overline{\mathcal{C}}]$$

Since $d_1(d_1-1)A/m^2 \leq n^4$ and by Claim 6.10, $\Pr[\overline{\mathcal{C}}] \leq n^{-\log n}$, the latter term is o(1). By definition of \mathcal{C} , $\mathbf{E}_{\mathbf{d}_{-1}}[1/m^2|\mathcal{C}] = (1 \pm o(1))/\mathbf{E}_{\mathbf{d}_{-1}}[m]^2$.

$$\mathbf{E}_{\mathbf{d}_{-1}}\mathbf{E}_{G}[X_{1}(X_{1}-1)] = (1 \pm o(1))d_{1}(d_{1}-1)\mathbf{E}_{\mathbf{d}_{-1}}[A|\mathcal{C}]/(2\mathbf{E}_{\mathbf{d}_{-1}}[m])^{2} \pm o(1)$$

We defer the bound of $\mathbf{E}_{\mathbf{d}_{-1}}[A|\mathcal{C}]$ to Claim 6.12. Let us first apply Claim 6.12 to prove the main lemma.

$$\mathbf{E}_{\mathbf{d}_{-1}} \mathbf{E}_{G}[X_{1}(X_{1}-1)]$$

$$= (1 \pm o(1))d_{1}(d_{1}-1)(n/2\mathbf{E}_{\mathbf{d}_{-1}}[m])^{2} \sum_{t_{2}=d_{1}}^{M(n)} \sum_{t_{3}=d_{1}}^{M(n)} t_{2}t_{3}f(t_{2})f(t_{3}) \pm o(1) \pm d_{1}(d_{1}-1)/(2\mathbf{E}_{\mathbf{d}_{-1}}[m])^{2}$$

Since $d_1 < \sqrt{n}/\log n$ and $\mathbf{E}_{\mathbf{d}_{-1}}[m] \ge n/2$, the final term is o(1). Note that $2\mathbf{E}_{\mathbf{d}_{-1}}[m] = d_1 + \sum_{v>1} \mathbf{E}_{\mathbf{d}_{-1}}[d_v] = d_1 + (n-1)\mathbf{E}[d_2] = (1 \pm o(1))n\mathbf{E}[d_2]$. Plugging this bound in, the proof is completed.

Claim 6.12. $\mathbf{E}_{\mathbf{d}_{-1}}[A|\mathcal{C}] = (1 \pm o(1))n^2 \sum_{t_2=d_1}^{M(n)} \sum_{t_3=d_1}^{M(n)} t_2 t_3 f(t_2) f(t_3) \pm o(1)$, where the order bound o(1) applies uniformly over $d_1 \leq \log n$.

 $\begin{array}{l} \textit{Proof. By Bayes' rule, } \mathbf{E}_{\mathbf{d}_{-1}}[A|\mathcal{C}] = (\Pr[\mathcal{C}]^{-1})(\mathbf{E}_{\mathbf{d}_{-1}}[A] - \mathbf{E}_{\mathbf{d}_{-1}}[A|\overline{\mathcal{C}}] \Pr[\overline{\mathcal{C}}]). \textit{ Since } A \leq n^4 \textit{ and } \Pr[\overline{\mathcal{C}}] < n^{-\log n}, \mathbf{E}_{\mathbf{d}_{-1}}[A|\overline{\mathcal{C}}] \Pr[\overline{\mathcal{C}}] = o(1). \textit{ Therefore, } \mathbf{E}_{\mathbf{d}_{-1}}[A|\mathcal{C}] = (1 \pm o(1))\mathbf{E}_{\mathbf{d}_{-1}}[A] - o(1). \textit{ Writing out } A, \end{array}$

$$\mathbf{E}_{\mathbf{d}_{-1}} \Big[\sum_{w>1} \sum_{w' \notin \{1,w\}} T_w T_{w'} \Big] = \sum_{w>1} \sum_{w' \notin \{1,w\}} \mathbf{E}_{\mathbf{d}_{-1}} [T_w] \mathbf{E}_{\mathbf{d}_{-1}} [T_{w'}]$$

Because degrees are drawn independently, $\mathbf{E}_{\mathbf{d}_{-1}}[T_w T_{w'}] = \mathbf{E}_{\mathbf{d}_{-1}}[T_w]\mathbf{E}_{\mathbf{d}_{-1}}[T_{w'}].$

$$\mathbf{E}_{\mathbf{d}_{-1}}[T_w] = \mathbf{E}_{\mathbf{d}_{-1}}[T_{w'}] = (1 - \gamma_n) \sum_{t_2 = d_1}^{M(n)} t_2 f(t_2)$$

Plugging this bound into the previous equation, we get

$$\mathbf{E}_{\mathbf{d}_{-1}}[A] = (n-1)(n-2)(1-\gamma_n)^2 \sum_{t_2=d_1}^{M(n)} \sum_{t_3=d_1}^{M(n)} t_2 t_3 f(t_2) f(t_3)$$

We use the fact that $(n-1)(n-2) = (1 \pm o(1))n^2$ and $\gamma_n = o(1)$ to get the final proof.

We require a bound for large d_1 . This can be directly obtained with the looser arguments of Lem. 4.2.

Claim 6.13. Suppose $d_1 > \log n$.

$$\mathbf{E}_{\mathbf{d}_{-1}}\mathbf{E}_{G}[X_{1}(X_{1}-1)] = O\left(d_{1}^{2}\sum_{t_{2}=\delta d_{1}}^{M(n)}\sum_{t_{3}=\delta d_{1}}^{M(n)}t_{2}t_{3}f(t_{2})f(t_{3})\right) + o(1),$$

where the order bound o(1) applies uniformly over $d_1 > \log n$.

Proof. The first term in Lem. 4.2 is $\exp(-\delta d_1)d_1^2$, which is o(1) for $d_1 > \log n$. (The constant δ comes from Lem. 4.2.) Redefine T_w to be d_w is $d_w \ge \delta d_1$ and 0 otherwise. Much of the following calculations are similar to those in the proof above.

$$\begin{aligned} \mathbf{E}_{\mathbf{d}_{-1}} \mathbf{E}_{G}[X_{1}(X_{1}-1)] & \leqslant \quad \mathbf{E}_{\mathbf{d}_{-1}}[m^{-2}d_{1}(d_{1}-1)\sum_{w>1}\sum_{w'\notin\{1,w\}}T_{w}T_{w'}] \pm o(1) \\ & \leqslant \quad n^{-2}d_{1}(d_{1}-1)\sum_{w>1}\sum_{w'\notin\{1,w\}}\mathbf{E}_{\mathbf{d}_{-1}}[T_{w}]\mathbf{E}_{\mathbf{d}_{-1}}[T_{w'}] \\ & \leqslant \quad d_{1}^{2}\sum_{t_{2}=\delta d_{1}}\sum_{t_{3}=\delta d_{1}}^{M(n)}t_{2}t_{3}f(t_{2})f(t_{3}) \end{aligned}$$

Lem. 6.9 follows directly by applications of Claim 6.11 and Claim 6.13. We simply express $\mathbf{E}_{\mathbf{d}}\mathbf{E}_{G}[X_{1}(X_{1}-1)]$ as $\sum_{t_{1}=1}^{M(n)} f(t_{1})\mathbf{E}_{\mathbf{d}_{-1}}\mathbf{E}_{G}[X_{1}(X_{1}-1)|d_{1}=t_{1}]$. When $t_{1} \leq \log n$, we apply Claim 6.11. Otherwise, we use Claim 6.13.

6.3 Taking the limit

We prove the main theorem, restated for convenience.

Theorem 6.14. Fix any n and a degree distribution \mathcal{D} such that $\mathbf{E}[d]$ and $\mathbf{E}[d^{4/3}]$ are bounded. Then

$$\lim_{n \to \infty} \frac{1}{n} \mathbf{E} \left[\sum_{i=1}^{n} \binom{X_{i,n}}{2} \right] = \frac{1}{2(\mathbf{E}[d])^2} \sum_{t_1=1}^{\infty} \sum_{t_2=t_1}^{\infty} \sum_{t_3=t_1}^{\infty} t_1(t_1-1)t_2t_3f(t_1)f(t_2)f(t_3) \in (0,\infty).$$

Proof. By linearity of expectation and the fact that all degrees are chosen identically, $\frac{1}{n}\mathbf{E}\left[\sum_{i=1}^{n} {X_{i,n} \choose 2}\right] = \mathbf{E}[X_{1,n}(X_{1,n}-1)/2]$. So we only need the limit of the expression in Lem. 6.9. We first show the second summation is negligible.

$$\begin{split} &\sum_{t_1>\log n} \sum_{t_2=\delta t_1}^{M(n)} \sum_{t_3=\delta t_1}^{M(n)} d_1^2 t_2 t_3 f(t_1) f(t_2) f(t_3) \\ &\leq \quad \delta^{-2/3} \sum_{t_1>\log n} \sum_{t_2=\delta t_1}^{M(n)} \sum_{t_3=\delta t_1}^{M(n)} d_1^{4/3} d_2^{4/3} d_3^{4/3} f(t_1) f(t_2) f(t_3) \\ &\leq \quad \delta^{-2/3} \sum_{d>\log n} d^{4/3} f(d) \end{split}$$

Since $\mathbf{E}[d^{4/3}] = \sum_{t=1}^{\infty} t^{4/3} f(t)$ is finite, $\lim_{n\to\infty} \sum_{t>\log n} t^{4/3} f(t) = 0$. For the first triple summation in Lem. 6.9, again, we can upper bound the term by $O(\mathbf{E}[d^{4/3}]^3)$. It is also nonnegative and monotonically increasing with n, so by the monotone convergence theorem, it converges to limit given in the theorem statement.

7 Experimental Analysis

We experimentally show the theoretical analysis of §6 does a reasonable job of capturing the expected performance of MINBUCKET on ECM graphs. Though real-world graphs likely have additional structure, this partially validates the good practical performance of MINBUCKET in practice.

We generated ECM graphs of various sized based on a power-law degree distribution with power exponent $\alpha = 2.4$ (which guarantees a finite $\frac{4}{3}$ moment for the degree distribution). Figure 1(a) shows the average value of $\sum_{i=1}^{n} {\binom{X_{i,n}}{2}}$, total work over all buckets, computed over 10 Monte Carlo trials (i.e., taken as an approximation of $E[\sum_{i=1}^{n} {\binom{X_{i,n}}{2}}]$) for ECM graphs of various sizes up to n = 80 million. Degrees are truncated at \sqrt{n} . The ECM use power law reference degree distributions for $\alpha = 2.3$, where MINBUCKET runs in superlinear time, and for $\alpha = 2.4$, where it runs in linear time. Figure 1(a) also shows the theoretical linear bound on the overall expected work $E[\sum_{i=1}^{n} {\binom{X_{i,n}}{2}}]$ for a power-law degree distribution with $\alpha = 2.4$. The constant is at most:

$$\lim_{n \to \infty} \frac{1}{n} \mathbb{E}\left[\sum_{i=1}^{n} \binom{X_{i,n}}{2}\right] \equiv C = \frac{1}{2(\mathbb{E}[D])^2} \sum_{d_1=0}^{\infty} \sum_{d_2=d_1}^{\infty} \sum_{d_3=d_1}^{\infty} d_1(d_1-1)d_2d_3f(d_1)f(d_2)f(d_3) \approx 0.687935.$$

As $n \to \infty$, we would anticipate from Thm. 6.1 that the value of $\operatorname{E}\left[\sum_{i=1}^{n}\sum_{i=1}^{n}\binom{X_{i,n}}{2}\right]$ approximated by Monte Carlo trials should approach nC, also shown in Figure 1(a). Figure 1(b) shows the ratio of work to number of nodes n. For power law distributions with $\alpha = 2$, this ratio is not a constant. But by $\alpha = 2.4$, the factor is leveling off below 1.

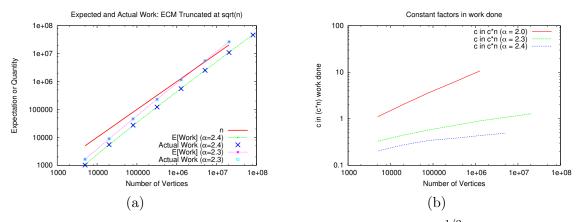


Figure 1: Experimental results with *n*-node ECM graphs with degrees $\leq n^{1/2}$ drawn from a powerlaw distribution with exponent $\alpha = 2.3$ or 2.4. The red solid line shows *n*. The green dashed line shows a theoretical bound 0.687935*n* on the expected number of pairs in buckets for an ECM graph with *n* vertices and exponent 2.4. The blue crosses shows the average value of pairs observed in 10 generations of ECM graphs. The magenta line and blue boxes show the same for exponent 2.3. (b) Experimental values of the ratio of work to *n* for power law exponents 2, 2.3, and 2.4.

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A Proof of Lem. 3.1

Proof. Consider the sequence X'_1, X'_2, \ldots, X'_k of i.i.d. Bernoulli random variables with $\mathbf{E}[X'_i] = \alpha$. We will shortly prove that for any t > 0, $\Pr[\sum_{i=1}^k X_i < t] \le \Pr[\sum_{i=1}^k X'_i < t]$. Given this, we just apply a multiplicative Chernoff bound (Theorem 4.2 of [MR95b]) for $\sum_{i=1}^k X'_i$ with $\mu = \alpha k$. Hence, $\Pr[\sum_{i=1}^k X_i < \alpha k \delta] < \exp(-\alpha (1-\delta)^2/2)$.

For convenience, we show the contrapositive $\Pr[\sum_{i=1}^{k} X_i \ge t] \ge \Pr[\sum_{i=1}^{k} X'_i \ge t]$. This is proven by induction on k. First, the base case. Since X_1 and X'_1 are Bernoulli random variables, it suffices to show that $\Pr[X_1 = 1] \ge \Pr[X'_1 = 1] = \alpha$, which holds by assumption.

Now for the induction step. Assume for all t > 0 and some index j, $\Pr[\sum_{i=1}^{j} X_i \ge t] \ge \Pr[\sum_{i=1}^{j} X'_i \ge t]$. We prove this for j + 1. Let \mathcal{E} denote the event $\sum_{i=1}^{j} X_i \ge t$, and \mathcal{E}' be the (disjoint) event $\sum_{i=1}^{j} X_i \in [t-1,t)$. Let $\mathbb{I}(A)$ denote the indicator function of event A. Because X_i is a 0-1 random variable, we get

$$\Pr\left[\sum_{i=1}^{j+1} X_i \ge t\right] = \Pr[\mathcal{E}] + \Pr[\mathcal{E}' \land (X_{j+1} = 1)] = \Pr[\mathcal{E}] + \mathbf{E}[\mathbb{I}(\mathcal{E}')\mathbb{I}(X_{j+1} = 1)]$$
$$\Pr[\mathcal{E}] + \mathbf{E}\{\mathbf{E}[\mathbb{I}(\mathcal{E}')\mathbb{I}(X_{j+1} = 1)|Y_1, \dots, Y_j]\}$$

Observe that $\sum_{i=1}^{j} X_i$ only depends on Y_1, \ldots, Y_j so that $\mathbb{I}(\mathcal{E}')$ is a constant in the conditional expectation

$$\mathbf{E}[\mathbb{I}(\mathcal{E}')\mathbb{I}(X_{j+1}=1)|Y_1,\ldots,Y_j] = \mathbb{I}(\mathcal{E}')\mathbf{E}[\mathbb{I}(X_{j+1}=1)|Y_1,\ldots,Y_j] \\ = \mathbb{I}(\mathcal{E}')\Pr[X_{j+1}=1|Y_1,\ldots,Y_j] \\ \geq \mathbb{I}(\mathcal{E}')\alpha,$$

where $\Pr[X_{j+1} = 1 | Y_1, \dots, Y_j] \ge \alpha$ by the lemma assumption.

Let us denote (for any s > 0) $\Pr[\sum_{i=1}^{j} X_i \ge s]$ by p_s and $\Pr[\sum_{i=1}^{j} X'_i \ge s]$ by p'_s . The above

gives

$$\Pr\left[\sum_{i=1}^{j+1} X_i \ge t\right] \ge p_t + \alpha \mathbf{E}[\mathbb{I}(\mathcal{E}')]$$

$$= p_t + (p_{t-1} - p_t)\alpha = p_{t-1}\alpha + p_t(1 - \alpha)$$

$$\ge p'_{t-1}\alpha + p'_t(1 - \alpha) \quad \text{(using induction hypothesis and } \alpha \in [0, 1])$$

$$= p'_t + (p'_{t-1} - p'_t)\alpha$$

$$= \Pr\left[\sum_{i=1}^j X'_i \ge t\right] + \Pr\left[\left(\sum_{i=1}^j X'_i \in [t - 1, t)\right) \land (X'_{j+1} = 1)\right]$$

$$= \Pr\left[\sum_{i=1}^{j+1} X'_i \ge t\right]$$

B Proofs of Tightness

We need a technical claim give a lower bound for probabilities of edges falling in a bucket.

Claim B.1. Let $d_v > 3$. Consider vertices v, w, w' ($w \neq w'$) and let c be a sufficiently large constant. If $\min(d_w, d_{w'}) > cd_v$, then $\mathbf{E}[Y_{v,w}Y_{v,w'}] = \Omega(d_v^2 d_w d_{w'}/m^2)$.

Proof. The random variable $Y_{v,w}Y_{v,w'}$ is 1 if (v, w), (v, w') are edges and the degrees of w and w' are less than that of v. As before, we will start the matching process by matching stubs of v. We partition the stubs into two groups denoted by B_w and $B_{w'}$, and start by matching stubs in B_w . We set $|B_w| = \lfloor d_v/3 \rfloor$. What is the probability that a stub in B_w connects with a w-stub? This is at least $1 - (1 - d_w/2m)^{\lfloor d_v/3 \rfloor} = \Omega(d_v d_w/m)$.

Condition on any matching of the stubs in B_w . What is the probability that a stub in $B_{w'}$ matches with a w'-stub? Since $\min(|B_{w'}|, d_{w'}) \ge 2|B_w|$, this probability is at least $1 - (1 - d_{w'}/4m)^{\lfloor d_v/3 \rfloor} = \Omega(d_v d_{w'}/m)$.

Now condition on any matching of the v-stubs. The number of unmatched stubs connected to w is at least $d_w/2$ (similarly for w'). The remaining stubs connect according to a standard configuration model. For the remaining degree sequence, the total number of stubs is $2\tilde{m} = 2m - 2d_v$. For sufficiently large m, $d_n \leq \sqrt{m}/4 \leq \sqrt{\tilde{m}}/2$. Hence, we can use Lem. 3.2 (and a union bound) to argue that the probability that the final degrees of w and w' are at least d_v is $\Omega(1)$. Multiplying all the bounds together, the probability $Y_{v,w}Y_{v,w'} = 1$ is $\Omega(d_v^2 d_w d_{w'}/m^2)$.

We prove Claim 5.1.

Proof. Note that when $\alpha > 2$, then m = O(n). We start with the arguments in the proof of Lem. 4.2. Applying Claim B.1 for vertex v such that $d_v > 3$,

$$\mathbf{E}[X_{v}(X_{v}-1)] = \sum_{w} \sum_{w' \neq w} \mathbf{E}[Y_{v,w}Y_{v,w'}] \geq \sum_{\substack{w:\\ d_{w} \geq cd_{v}}} \sum_{\substack{w \neq w':\\ d_{w'} \geq cd_{v}}} \mathbf{E}[Y_{v,w}Y_{v,w'}] \\ \gg m^{-2}d_{v}^{2} \sum_{\substack{w:\\ d_{w} \geq cd_{v}}} \sum_{\substack{w \neq w':\\ d_{w'} \geq cd_{v}}} d_{w}d_{w'} \\ \geq m^{-2}d_{v}^{2} (\sum_{\substack{w:\\ d_{w} \geq cd_{v}}} d_{w})^{2} - m^{-2}d_{v}^{2} \sum_{w} d_{w}^{2} d_{w}^{$$

The latter part, summed over all v is at most

$$m^{-2} (\sum_{v} d_{v}^{2})^{2} \le m^{-2} (\max_{v} d_{v} \sum_{v} d_{v})^{2} < m$$

Now we focus on the former part. Choose v so that $cd_v \leq d_n/2$, and let 2^r be the largest power of 2 greater than cd_v . (Note that $r \leq \log_2 d_n - 1$.) We bound $\sum_{w:d_w \geq cd_v} d_w \geq \sum_{w:d_w \geq 2^r} d_w \gg$ $\sum_{k=r}^{\log_2 d_n - 1} 2^k n/2^{k(\alpha - 1)}$. This is $\sum_{k=r}^{\log_2 d_n - 1} n/2^{k(\alpha - 2)}$, which is convergent when $\alpha > 2$. Hence, it is at least $\Omega(n2^{-r(\alpha - 2)}) = \Omega(nd_v^{-(\alpha - 2)})$.

We sum over all (appropriate v).

$$\sum_{\substack{v:3 < d_v \le d_n/2c}} m^{-2} d_v^2 (\sum_{\substack{w:\\ d_w \ge cd_v}} d_w)^2 \gg (n/m)^2 \sum_{\substack{v:3 < d_v \le d_n/2c}} d_v^2 d_v^{-(2\alpha-4)}$$
$$= (n/m)^2 \sum_{\substack{v:3 < d_v \le d_n/2c}} d_v^{6-2\alpha} \gg (n/m)^2 \sum_{\substack{k=2\\ k=2}}^{\lfloor \log_2 n - \log_2(2c) \rfloor} n2^{k(7-3\alpha)}$$

When $\alpha < 7/3$, the sum is divergent. Noting that $m = \Theta(n)$, we bound by $\Omega(nd_n^{7-3\alpha})$. Overall, we lower bound the running time MINBUCKET by $\sum_{v:3 < d_v \le d_n/2c} \mathbf{E}[X_v(X_v-1)]$, which is $\Omega(nd_n^{7-3\alpha}-m)$. For $\alpha < 7/3$, this is $\Omega(nd_n^{7-3\alpha})$, matching the upper bound in Cor. 1.3.

C The running time of MINBUCKET for Chung-Lu graphs

Theorem C.1. Consider a Chung-Lu graph distribution with n vertices over a degree distribution f_1, f_2, \ldots, f_n . The expected running time of MINBUCKET is given by $O(m + n(\sum_v d_v^{4/3})^3)$.

We remind the reader that the Chung-Lu (CL) model involves inserting edge (i, j) with probability $d_i d_j/2m$ for all unordered pairs (i, j). We need to prove Claim 4.1 for the Chung-Lu model. Thm. C.1 will then follow directly using the arguments in §4.

We first state Bernstein's inequality.

Theorem C.2. [Bernstein's inequality] Let X_1, X_2, \ldots, X_k be zero-mean independent random variables. Suppose $|X_i| \leq M$ almost surely. Then for all positive t,

$$\Pr[\sum_{i=1}^{k} X_i > t] \le \exp\left(-\frac{t^2/2}{\sum_{i} \mathbf{E}[X_i^2] + Mt/3}\right)$$

We now prove some tail bounds about degrees of vertices. The basic form of these statements is the probability that degree of vertex v deviates by a constant factor of d_v is $\exp(-\Omega(d_v))$. We state in terms of conditional events for easier application later. We use β to denote a sufficiently small constant.

Claim C.3. Let $d \ge 2$. Suppose v is a vertex such that $d_v \le d$ and e, e' be two pairs. Let \mathcal{E} be the event that e, e' are present, and D_v be the random variable denoting the degree of v. For sufficiently small constant β ,

$$\Pr[D_v > 3d | \mathcal{E}] < \exp(-\beta d)$$

Proof. All edges are inserted independently. So the occurrence of edge $e'' \neq e, e'$ is completely independent of \mathcal{E} . Let $\delta(v)$ be the set of all pairs involving v and $\hat{\delta}(v) = \delta(v) \setminus \{e, e'\}$. We express $D_v = \sum_{h \in \delta(v)} C_h$, where C_h is the indicator random variable for edge h being present. Let $\hat{D}_v = \sum_{h \in \hat{\delta}v} C_h$. Note that $\mathbf{E}[\hat{D}_v] \leq \mathbf{E}[D_v] = d_v \leq d$. Set $C'_h = C_h - \mathbf{E}[C_h]$, so

$$\Pr[\hat{D}_v - \mathbf{E}[\hat{D}_v] > d] = \Pr[\sum_{h \in \hat{\delta}(v)} (C_h - \mathbf{E}[C_h]) > d] = \Pr[\sum_{h \in \hat{\delta}(v)} C'_h > d]$$

We wish to apply Bernstein's inequality to the C'_h random variables. Observe that $\mathbf{E}[C'_h] = 0$, and $|C'_h| \leq 1$. Setting $\mathbf{E}[C_h] = \mu$, note that

$$\mathbf{E}[(C'_{h})^{2}] = \mathbf{E}[(C_{h} - \mu)^{2}] = \mathbf{E}[C_{h}^{2}] - \mu \mathbf{E}[C_{h}] + \mu^{2} = \mathbf{E}[C_{h}].$$

So $\sum_{h\in\hat{\delta}(v)} \mathbf{E}[(C'_h)^2] = \sum_{h\in\hat{\delta}(v)} \mathbf{E}[C_h] = \mathbf{E}[\hat{D}_v] \leq d$. By Bernstein's inequality (Thm. C.2),

$$\Pr[\hat{D}_{v} - \mathbf{E}[\hat{D}_{v}] > d] = \Pr[\sum_{h \in \hat{\delta}(v)} C'_{h} > d] \le \exp\left(-\frac{d^{2}/2}{\sum_{h \in \hat{\delta}(v)} \mathbf{E}[(C'_{h})^{2}] + d/3}\right) \\ \le \exp\left(-\frac{d^{2}/2}{d + d/3}\right) = \exp(-3d/8)$$

None of these random variables depend on the event \mathcal{E} , so we get that $\Pr[\hat{D}_v - \mathbf{E}[\hat{D}_v] > d \mid \mathcal{E}] \leq \exp(-3d/8)$. Suppose $\hat{D}_v \leq \mathbf{E}[\hat{D}_v] + d \leq 2d$. We always have $D_v \leq \hat{D}_v + 2$ and hence $D_v \leq 3d$ (using the bound that $d \geq 2$). Hence, $\Pr[D_v > 3d|\mathcal{E}] < \exp(-3d/8)$. We only require $\beta < 3/8$. \Box

Claim C.4. Suppose v is a vertex such that $d_v \ge 4$ and e, e' be two pairs. Let \mathcal{E} be the event that e, e' are present, and D_v be the random variable denoting the degree of v. For sufficiently small constant β ,

$$\Pr[D_v < d_v/3|\mathcal{E}] < \exp(-\beta d_v)$$

Proof. This proof is almost identical to the previous one. Again, we express $D_v = \sum_{h \in \delta(v)} C_h$, where C_h is the indicator random variable for edge h being present. Let $\hat{D}_v = \sum_{h \in \hat{\delta}v} C_h$. We have $\hat{D}_v \ge D_v - 2$, so $\mathbf{E}[\hat{D}_v] \ge \mathbf{E}[D_v] - d_v/2 = d_v/2$ (using the bound $d_v \ge 4$). Applying a multiplicative Chernoff bound to \hat{D}_v ,

$$\Pr[\hat{D}_v < 2\mathbf{E}[\hat{D}_v]/3] < \exp(-d_v/36)$$

Since \hat{D}_v is completely independent of \mathcal{E} , we can condition on \mathcal{E} to get the same bound. Suppose $D_v < d_v/3$. Since $D_v \ge \hat{D}_v$ and $d_v \le 2\mathbf{E}[\hat{D}_v]$, we get $\hat{D}_v < 2\mathbf{E}[\hat{D}_v]/3$. So the even $D_v < d_v/3|\mathcal{E}$ is contained in $\hat{D}_v < 2\mathbf{E}[\hat{D}_v]/3|\mathcal{E}$, completing the proof. We require $\beta < 1/36$.

Finally, we need a simple claim about the second moment of sums of independent random variables.

Claim C.5. Let $X = \sum_i X_i$ be a sum of independent positive random variables with $X_i = O(1)$ for all *i* and $\mathbf{E}[X] = O(1)$. Then $\mathbf{E}[X^2] = O(1)$.

Proof. By linearity of expectation,

$$\mathbf{E}\left[X^{2}\right] = \mathbf{E}\left[\left(\sum_{i} X_{i}\right)^{2}\right] = \sum_{i} \mathbf{E}\left[X_{i}^{2}\right] + 2\sum_{i < j} \mathbf{E}[X_{i}]\mathbf{E}[X_{j}]$$
$$\leq \sum_{i} O\left(\mathbf{E}[X_{i}]\right) + \left(\sum_{i} \mathbf{E}[X_{i}]\right)^{2} = O(1).$$

We prove the analogue of Claim 4.1.

Claim C.6. Consider vertices $v, w, w' \ (w \neq w')$.

- If $d_v \le 4$, then $\mathbf{E}[X_v^2] = O(1)$.
- $\mathbf{E}[Y_{v,w}Y_{v,w'}] \le d_v^2 d_w d_{w'}/4m^2.$
- If $d_w \leq d_v/10$ and $d_v \geq 4$, then $\mathbf{E}[Y_{v,w}Y_{v,w'}] \leq 2\exp(-\beta d_v)d_v^2 d_w d_{w'}/4m^2$.

Proof. Defining $\hat{X}_v = \sum_w C_{v,w}$, we have $X_v \leq \hat{X}_v$. Since these are all positive random variables, $X_v^2 \leq \hat{X}_v^2$. Applying Claim C.5, $\mathbf{E}[\hat{X}_v^2] = O(1)$. That completes the first part.

For the second part, we use the trivial bound of $Y_{v,w}Y_{v,w'} \leq C_{v,w}C_{v,w'}$. Taking expectations and using independence, $\mathbf{E}[Y_{v,w}Y_{v,w'}] \leq C_{v,w}C_{v,w'} = d_v^2 d_w d_{w'}/4m^2$.

The third case is really the interesting one. The quantity $\mathbf{E}[Y_{v,w}Y_{v,w'}]$ is the probability that both $Y_{v,w}$ and $Y_{v,w'}$ are 1. For this to happen, we definitely required both (v,w) and (v,w') to be present as edges. Call this event \mathcal{E} . We also require (at the very least) the degree of v to be at most the degree of w (otherwise the edge (v,w) will not be put in v's bin.) Call this event \mathcal{F} . The event $Y_{v,w}Y_{v,w'} = 1$ is contained in $\mathcal{E} \cap \mathcal{F}$. Using conditional probabilities, $\Pr(\mathcal{E} \cap \mathcal{F}) = \Pr(\mathcal{F}|\mathcal{E})\Pr(\mathcal{E})$. Note that $\Pr(\mathcal{E}) = d_v^2 d_w d_{w'}/4m^2$.

Let D_v, D_w denote the degrees of v and w. Let \mathcal{F}_v denote the event $D_v < d_v/3$ and \mathcal{F}_w denote event $D_w > 3d_v/10$. If neither of these events happens, then $D_w \leq 3d_v/10 < d_v/3 \leq D_v$. So \mathcal{F} cannot happen. Hence, $(\mathcal{F}|\mathcal{E})$ is contained in $(\mathcal{F}_v \cup \mathcal{F}_w|\mathcal{E})$. By the union bound, $\Pr(\mathcal{F}_v \cup \mathcal{F}_w|\mathcal{E}) \leq$ $\Pr(\mathcal{F}_v|\mathcal{E}) + \Pr(\mathcal{F}_w|\mathcal{E})$. Applying Claim C.4 to the latter and Claim C.3 to the former, we bound $\Pr(\mathcal{F}|\mathcal{E}) \leq 2\exp(-\beta d_v)$.

As mentioned earlier, we can now execute the arguments in §4 to prove Thm. C.1.