Impact of Knowledge on Election Time in Anonymous Networks

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Abstract

Leader election is one of the basic problems in distributed computing. This is a symmetry breaking problem: all nodes of a network must agree on a single node, called the leader. If the nodes of the network have distinct labels, then such an agreement means that all nodes have to output the label of the elected leader. For anonymous networks, the task of leader election is formulated as follows: every node v of the network must output a simple path, which is coded as a sequence of port numbers, such that all these paths end at a common node, the leader. In this paper, we study deterministic leader election in arbitrary anonymous networks.

It is well known that leader election is impossible in some networks, regardless of the allocated amount of time, even if nodes know the map of the network. This is due to possible symmetries in it. However, even in networks in which it is possible to elect a leader knowing the map, the task may be still impossible without any knowledge, regardless of the allocated time. On the other hand, for any network in which leader election is possible knowing the map, there is a minimum time, called the *election index*, in which this can be done. Informally, the election index of a network is the minimum depth at which views of all nodes are distinct. Our aim is to establish tradeoffs between the allocated time τ and the amount of information that has to be given a priori to the nodes to enable leader election in time τ in all networks for which leader election in this time is at all possible. Following the framework of algorithms with advice, this information (a single binary string) is provided to all nodes at the start by an oracle knowing the entire network. The length of this string is called the *size of advice*. For a given time τ allocated to leader election, we give upper and lower bounds on the minimum size of advice sufficient to perform leader election in time τ .

We focus on the two sides of the time spectrum. For the smallest possible time, which is the election index of the network, we show that the minimum size of advice is linear in the size n of the network, up to polylogarithmic factors. On the other hand, we consider large values of time: larger than the diameter D by a summand, respectively, linear, polynomial, and exponential in the election index; for these values, we prove tight bounds on the minimum size of advice, up to multiplicative constants. We also show that constant advice is not sufficient for leader election in all graphs, regardless of the allocated time.

Keywords: leader election, anonymous network, advice, deterministic distributed algorithm, time.

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1 Introduction

Background. Leader election is one of the basic problems in distributed computing [35]. This is a symmetry breaking problem: all nodes of a network must agree on a single node, called the leader. It was first formulated in [34] in the study of local area token ring networks, where, at all times, exactly one node (the owner of a circulating token) is allowed to initiate communication. When the token is accidentally lost, a leader must be elected as the initial owner of the token.

If the nodes of the network have distinct labels, then agreeing on a single node means that all nodes output the label of the elected leader. However, in many applications, even if nodes have distinct identities, they may decide to refrain from revealing them, e.g., for privacy or security reasons. Hence it is important to design leader election algorithms that do not rely on knowing distinct labels of nodes, and that can work in anonymous networks as well. Under this scenario, agreeing on a single leader means that every node has to output a simple path (coded as a sequence of port numbers) to a common node.

Model and Problem Description. The network is modeled as a simple undirected connected n-node graph with diameter D, for $n \geq 3$. Nodes do not have any identifiers. On the other hand, we assume that, at each node v, each edge incident to v has a distinct *port number* from $\{0, \ldots, d-1\}$, where d is the degree of v. Hence, each edge has two corresponding port numbers, one at each of its endpoints. Port numbering is *local* to each node, i.e., there is no relation between port numbers at the two endpoints of an edge. Initially, each node knows only its own degree. The task of leader election is formulated as follows. Every node v must output a sequence $P(v) = (p_1, q_1, \ldots, p_k, q_k)$ of nonnegative integers. For each node v, let $P^*(v)$ be the path starting at v, such that port numbers p_i and q_i correspond to the *i*-th edge of $P^*(v)$, in the order from v to the other end of this path. All paths $P^*(v)$ must be simple paths in the graph (i.e., paths without repeated nodes) that end at a common node, called the leader. In this paper, we consider deterministic leader election algorithms.

In the absence of port numbers, there would be no way to identify the elected leader by nonleaders, as all ports, and hence all neighbors, would be indistinguishable to a node. Security and privacy reasons for not revealing node identifiers do not apply in the case of port numbers.

The central notion in the study of anonymous networks is that of the view of a node [44]. Let G be a graph and let v be a node of G. We first define, for any $l \ge 0$, the truncated view $\mathcal{V}^l(v)$ at depth l, by induction on l. $\mathcal{V}^0(v)$ is a tree consisting of a single node x_0 . If $\mathcal{V}^l(u)$ is defined for any node u in the graph, then $\mathcal{V}^{l+1}(v)$ is the port-labeled tree rooted at x_0 and defined as follows. For every node v_i , $i = 1, \ldots, k$, adjacent to v, there is a child x_i of x_0 in $\mathcal{V}^{l+1}(v)$ such that the port number at v corresponding to edge $\{v, v_i\}$ is the same as the port number at x_0 corresponding to edge $\{v, v_i\}$ is the same as the port number at x_i corresponding to edge $\{x_0, x_i\}$. Now node x_i , for $i = 1, \ldots, k$, becomes the root of the truncated view $\mathcal{V}^l(v_i)$.

The view from v is the infinite rooted tree $\mathcal{V}(v)$ with labeled ports, such that $\mathcal{V}^{l}(v)$ is its truncation to level l, for each l.

We use the extensively studied \mathcal{LOCAL} communication model [39]. In this model, communication proceeds in synchronous rounds and all nodes start simultaneously. In each round, each node can exchange arbitrary messages with all of its neighbors and perform arbitrary local computations. The information that v gets about the graph in r rounds is precisely the truncated view $\mathcal{V}^r(v)$, together with degrees of leaves of this tree. Denote by $\mathcal{B}^r(v)$ the truncated view $\mathcal{V}^r(v)$ whose leaves are labeled by their degrees in the graph, and call it the *augmented truncated view* at depth r. If no additional knowledge is provided a *priori* to the nodes, the decisions of a node v in round r in any deterministic algorithm are a function of $\mathcal{B}^r(v)$. Note that all augmented truncated views can be canonically coded as binary strings, and hence the set of all augmented truncated views can be ordered lexicographically. The *time* of leader election is the minimum number of rounds sufficient to complete it by all nodes. It is well known that the synchronous process of the \mathcal{LOCAL} model can be simulated in an asynchronous network using time-stamps.

Unlike in labeled networks, if the network is anonymous then leader election is sometimes impossible, regardless of the allocated time, even if the network is a tree and its topology is known. This is due to symmetries, and the simplest example is the two-node graph. It follows from [44] that if nodes know the map of the graph (i.e., its isomorphic copy with all port numbers indicated) then leader election is possible if and only if views of all nodes are distinct. We will call such networks *feasible* and restrict attention to them. However, even in the class of feasible networks, leader election is impossible without any *a priori* knowledge about the network. This simple observation follows from a slightly stronger result proved in Proposition 4.1. On the other hand, for any fixed feasible network G, whose map is given to the nodes, there is a minimum time, called the *election index* and denoted by $\phi(G)$, in which leader election can be performed. The election index of a network is equal to the smallest integer ℓ , such that the augmented truncated views at depth ℓ of all nodes are distinct. This will be proved formally in Section 2. The election index is always a strictly positive integer because there is no graph all of whose nodes have different degrees.

Our aim is to establish tradeoffs between the allocated time and the amount of information that has to be given a priori to the nodes to enable them to perform leader election. Following the framework of algorithms with advice, see, e.g., [10, 13, 15, 18, 22, 29, 38], this information (a single binary string) is provided to all nodes at the start by an oracle knowing the entire network. The length of this string is called the *size of advice*. It should be noted that, since the advice given to all nodes is the same, this information does not increase the asymmetries of the network (unlike in the case when different pieces of information could be given to different nodes) but only helps to harvest the existing asymmetries and use them to elect the leader. Hence the high-level formulation of our problem is the following. What is the minimum amount of identical information that can be given to nodes to enable them to use asymmetries present in the graph to elect a leader in a given time?

Of course, since the faithful map of the network is the total information about it, asking about the minimum size of advice to solve leader election in time τ is meaningful only in the class of networks G for which $\phi(G) \leq \tau$, because otherwise, no advice can help. The central problem of this paper can be now precisely formulated as follows.

For a given time τ , what is the minimum size of advice that permits leader election in time τ , for all networks G for which $\phi(G) \leq \tau$?

The paradigm of algorithms with advice has been proven very important in the domain of network algorithms. Establishing a strong lower bound on the minimum size of advice sufficient to accomplish a given task in a given time permits to rule out entire classes of algorithms and thus focus only on possible candidates. For example, if we prove that $\Omega(n/\log n)$ bits of advice are needed to perform a certain task in *n*-node networks (as we do in this paper for leader election in minimum possible time), this rules out all potential algorithms that can work using only the size n of the network, as n can be given to the nodes using $O(\log n)$ bits. Lower bounds on the size of advice give us impossibility results based strictly on the *amount* of initial knowledge allowed in a model. This is much more general than the traditional approach based on specific categories of information given to nodes, such as the size, diameter, or maximum node degree.

Our results. For a given time τ allocated to leader election, we give upper and lower bounds on the minimum size of advice sufficient to perform leader election in time τ for networks with election index at most α . An upper bound U for a class of networks C means that, for all networks in C, leader election in time τ is possible given advice of size O(U). We prove such a bound by constructing advice of size O(U) together with a leader election algorithm for all networks in the class C, that uses this advice and works in time τ . A lower bound L for a class of networks C means that there exist networks in C for which leader election in time τ requires advice of size $\Omega(L)$. Proving such a bound means constructing a subclass C' of C such that no leader election algorithm running in time τ with advice of size o(L) can succeed for all networks in C'.

We focus on the two sides of the time spectrum. For the smallest possible time, which is the election index of the network, we show that the minimum size of advice is linear in the size n of the network, up to polylogarithmic factors. More precisely, we establish a general upper bound $O(n \log n)$ and lower bounds $\Omega(n \log \log n)$ and $\Omega(n(\log \log n)^2/\log n)$, for election index equal to 1 and larger than 1, respectively.

On the other hand, we consider large values of time: those exceeding the diameter D by a summand, respectively, linear, polynomial, and exponential in the election index; for these values, we prove tight bounds on the minimum size of advice, up to multiplicative constants. More precisely, for any positive integer α , consider the class of networks with election index at most α . Let c > 1 be an integer constant. For any graph of election index $\phi \leq \alpha$ in this class, consider leader election algorithms working in time, respectively, at most $D + \phi + c$, at most $D + c\phi$, at most $D + \phi^c$, and at most $D + c^{\phi}$. Hence the additive offset above D in the time of leader election is asymptotically equal to ϕ in the first case, it is linear in ϕ but with a multiplicative constant larger than 1 in the second case, it is polynomial in ϕ but super-linear in the third case, and it is exponential in ϕ in the first case, it is $\Theta(\log \log \alpha)$ in the second case, it is $\Theta(\log \log \alpha)$ in the second case, it is $\Theta(\log \log \alpha)$ in the second case, it is $\Theta(\log \log \alpha)$ in the fourth case. Hence, perhaps surprisingly, the jumps in the minimum size of advice, when the time of leader election varies between the above milestones, are all exponential. We also show that constant advice is not sufficient for leader election in all graphs, regardless of the allocated time.

Related work. The first papers on leader election focused on the scenario where all nodes have distinct labels. Initially, it was investigated for rings in the message passing model. A synchronous algorithm based on label comparisons was given in [28]. It used $O(n \log n)$ messages. In [19] it was proved that this complexity cannot be improved for comparison-based algorithms. On the other hand, the authors showed a leader election algorithm using only a linear number of messages but requiring very large running time. An asynchronous algorithm using $O(n \log n)$ messages was given, e.g., in [40], and the optimality of this message complexity was shown in [8]. Leader election was also investigated in the radio communication model, both in the deterministic [30, 33, 37] and in the randomized [42] scenarios. In [26], leader election for labeled networks was studied using mobile agents.

Many authors [3, 4, 5, 6, 7, 43, 44] studied leader election in anonymous networks. In particular, [6, 44] characterize message-passing networks in which leader election is feasible. In [43], the authors study the problem of leader election in general networks, under the assumption that node labels exist but are not unique. They characterize networks in which leader election can be performed and give an algorithm which achieves election when it is feasible. In [12, 14], the authors study message complexity of leader election in rings with possibly nonunique labels. Memory needed for leader election in unlabeled networks was studied in [22]. In [11], the authors investigated the feasibility of leader election among anonymous agents that navigate in a network in an asynchronous way.

Providing nodes or agents with arbitrary types of knowledge that can be used to increase efficiency of solutions to network problems has previously been proposed in [1, 10, 13, 15, 16, 17, 18, 22, 23, 24, 29, 31, 32, 36, 38, 41]. This approach was referred to as *algorithms with advice*. The advice is given either to the nodes of the network or to mobile agents performing some task in a

network. In the first case, instead of advice, the term *informative labeling schemes* is sometimes used if (unlike in our scenario) different nodes can get different information.

Several authors studied the minimum size of advice required to solve network problems in an efficient way. In [32], given a distributed representation of a solution for a problem, the authors investigated the number of bits of communication needed to verify the legality of the represented solution. In [16], the authors compared the minimum size of advice required to solve two information dissemination problems using a linear number of messages. In [18], it was shown that advice of constant size given to the nodes enables the distributed construction of a minimum spanning tree in logarithmic time. In [13], the advice paradigm was used for online problems. In [15], the authors established lower bounds on the size of advice needed to beat time $\Theta(\log^* n)$ for 3-coloring cycles and to achieve time $\Theta(\log^* n)$ for 3-coloring unoriented trees. In the case of [38], the issue was not efficiency but feasibility: it was shown that $\Theta(n \log n)$ is the minimum size of advice required to perform monotone connected graph clearing. In [29], the authors studied radio networks for which it is possible to perform centralized broadcasting in constant time. They proved that constant time is achievable with O(n) bits of advice in such networks, while o(n) bits are not enough. In [23], the authors studied the problem of topology recognition with advice given to the nodes. In [10], the task of drawing an isomorphic map by an agent in a graph was considered, and the problem was to determine the minimum advice that has to be given to the agent for the task to be feasible.

Among papers studying the impact of information on the time of leader election, the papers [21, 25, 36] are closest to the present work. In [36], the authors investigated the minimum size of advice sufficient to find the largest-labelled node in a graph, all of whose nodes have distinct labels. The main difference between [36] and the present paper is that we consider networks without node labels. This is a fundamental difference: breaking symmetry in anonymous networks relies heavily on the structure of the graph, rather than on labels, and, as far as results are concerned, much more advice is needed for a given allocated time. In [21], the authors investigated the time of leader election in anonymous networks by characterizing this time in terms of the network size, the diameter of the network, and an additional parameter called the level of symmetry, similar to our election index. This paper used the traditional approach of providing nodes with some parameters of the network, rather than any type of advice, as in our setting. Finally, the paper [25] studied leader election under the advice paradigm for anonymous networks, but restricted attention to trees. It should be stressed that leader election in anonymous trees and in arbitrary anonymous networks present completely different difficulties. The most striking difference is that, in the case of trees, for the relatively modest time equal to the diameter D, leader election can be done in feasible trees without any advice, as all nodes can reconstruct the map of the tree. This should be contrasted with the class of arbitrary networks, in which leader election with no advice is impossible. Our results for large election time values (exceeding the diameter D) give a hierarchy of sharply differing tight bounds on the size of advice in situations in which leader election in trees can be performed with no advice at all.

2 Preliminaries

We use the word "graph" to mean a simple undirected connected graph with unlabeled nodes and all port numbers fixed. In the sequel we use the word "graph" instead of "network". Unless otherwise specified, all logarithms are to the base 2.

We will use the following characterization of the election index.

Proposition 2.1 The election index of a feasible graph is equal to the smallest integer ℓ , such that

the augmented truncated views at depth ℓ of all nodes are distinct.

Proof. Fix a feasible graph G, let α be its election index, and let β be the smallest integer ℓ , such that the augmented truncated views at depth ℓ of all nodes are distinct.

Let r be an integer such that augmented truncated views $\mathcal{B}^r(v)$ are distinct for all nodes v of the graph. If nodes are provided with a map of the graph, then after time r every node gets $\mathcal{B}^r(v)$, can locate itself on the map because all augmented truncated views at depth r are distinct, and can find the node v_0 for which $\mathcal{B}^r(v_0)$ is lexicographically smallest. Then every node outputs a simple path leading from it to v_0 . Hence $\alpha \leq \beta$.

Conversely, suppose that r is an integer for which there exist two nodes v and w with $\mathcal{B}^r(v) = \mathcal{B}^r(w)$. Then, after time r, nodes v and w have identical information, and hence, when running any hypothetical leader election algorithm they must output an identical sequence of ports. This sequence must correspond to two simple paths, one starting at v, the other starting at w, and ending at the same node. Let x be the first node common in these paths, and consider the parts of these paths from v to x and from w to x, respectively. These parts must have the same length, and hence the corresponding sequences of port numbers must be identical. In particular, the last terms in these sequences must be the same, which is impossible because they correspond to different ports at node x. Hence $\alpha \geq \beta$.

The value of the election index is estimated in the following proposition, which is an immediate consequence of the main result of [27].

Proposition 2.2 For any n-node feasible graph of diameter D, its election index is in $O(D \log(n/D))$.

Our algorithms use the subroutine COM to exchange augmented truncated views at different depths with their neighbors. This subroutine is detailed in Algorithm 1.

Algorithm 1 COM(i)		
send $\mathcal{B}^i(u)$ to all neighbors;		
foreach neighbor v of u		
receive $\mathcal{B}^i(v)$ from v		

When all nodes repeat this subroutine for i = 0, ..., t - 1, every node acquires its augmented truncated view at depth t.

3 Election in minimum time

We start this section by designing a leader election algorithm working in time ϕ , for any graph of size n and election index ϕ , and using advice of size $O(n \log n)$. The high-level idea of the algorithm is the following. The oracle knowing the graph G produces the advice consisting of three items: the integer ϕ , A_1 and A_2 . The integer ϕ serves the nodes to determine for how long they have to exchange information with their neighbors. The item A_1 is the most difficult to construct. Its aim is to allow every node that knows its augmented truncated view at depth ϕ (which is acquired in the allocated time ϕ) to construct a unique integer label from the set $\{1, 2, \ldots, n\}$. Recall that the advice is the same for all nodes, and hence each node has to produce a distinct label using this common advice, relying only on its (unique) augmented truncated view at depth ϕ . The third item in the advice, that we call A_2 , is a labeled BFS tree of the graph G. (To avoid ambiguity, we take the canonical BFS tree, in which the parent of each node u at level i + 1 is the node at level i corresponding to the smallest port number at u.) The labels of nodes are equal to those that nodes will construct using item A_1 , the root is the node labeled 1, and all port numbers in the BFS tree (that come from the graph G) are faithfully given. More precisely, A_2 is the *code* of this tree, i.e., a binary string of length $O(n \log n)$ which permits the nodes to reconstruct unambiguously this labeled tree (the details are given below). After receiving the entire advice, Algorithm Elect works as follows. Each node acquires its augmented truncated view at depth ϕ , then positions itself in the obtained BFS tree, thanks to the unique constructed label, and outputs the sequence of port numbers corresponding to the unique path from itself to the root of this BFS tree.

The main difficulty is to produce item A_1 of the advice succinctly, i.e., using only $O(n \log n)$ bits, and in such a way that allows nodes to construct unique *short* labels. Note that a naive way in which nodes could attribute themselves distinct labels would require no advice at all and could be done as follows. Nodes could list all possible augmented truncated views at depth ϕ , order them lexicographically in a canonical way, and then each node could adopt as its label the rank in this list. However, already for $\phi = 1$, there are $\Omega(n)^{\Omega(n)}$ different possible augmented truncated views at depth 1, and hence these labels would be of size $\Omega(n \log n)$. Now item A_2 of the advice would have to give the tree with all these labels, thus potentially requiring at least $\Omega(n^2 \log n)$ bits, which significantly exceeds the size of advice that we want to achieve. This is why item A_1 of the advice is needed, and must be constructed in a subtle way. On the one hand, it must be sufficiently short (use only $O(n \log n)$ bits) and on the other hand it must allow nodes to construct distinct labels of size $O(\log n)$. Then item A_2 of the advice can be given using also only $O(n \log n)$ bits.

We now give some intuitions concerning the construction of item A_1 of the advice. This item can be viewed as a carefully constructed *trie*, cf.[2], which is a rooted binary tree whose leaves correspond to objects, and whose internal nodes correspond to yes/no queries concerning these objects. The left child of each internal node corresponds to port 0 and to the answer "no" to the query, and the right child corresponds to port 1 and to the answer "yes" to the query. The object in a given leaf corresponds to all answers on the branch from the root to the leaf, and must be unique. In our case, objects in leaves of the trie are nodes of the graph, and queries serve to discriminate all views $\mathcal{B}^{\phi}(v)$, for all nodes v of the graph G. Since each node v knows its augmented truncated view $\mathcal{B}^{\phi}(v)$, after learning the trie it can position itself as a leaf of it and adopt a unique label from the set $\{1, 2..., n\}$.

As an example, consider the case $\phi = 1$. All augmented truncated views at depth 1 can be coded by binary sequences of length $O(n \log n)$. In this case the queries at internal nodes of the trie are of two types: "Is the binary representation of your augmented truncated view at depth one of length smaller than t?" (this query is coded as (0, t)), and "Is the *j*th bit of the binary representation of your augmented truncated view at depth one equal to 1?" (this query is coded as (1, j)). Since both the possible lengths *t* and the possible indices *j* are of size $O(\log n)$, the entire trie can be coded as a binary sequence of length $O(n \log n)$, because there are *n* leaves of the trie.

For $\phi > 1$ the construction is more complicated. Applying the same method as for $\phi = 1$ (by building a large trie discriminating between all augmented truncated views at depth ϕ , using similar questions as above, only concerning depth ϕ instead of depth 1) is impossible, because the sizes of the queries would exceed $\Theta(\log n)$. Actually, queries would be of size $\Omega(\phi \log n)$, resulting in advice of size $\Omega(\phi n \log n)$, and not $O(n \log n)$. Hence we apply a more subtle strategy. The upper part of the trie is as for the case $\phi = 1$. However, this is not sufficient, as in this case there exist nodes u and v in the graph such that $\mathcal{B}^1(u) = \mathcal{B}^1(v)$ but $\mathcal{B}^{\phi}(u) \neq \mathcal{B}^{\phi}(v)$, and hence such a small trie would not discriminate between all augmented truncated views at depth ϕ . Hence leaves of this partial trie, corresponding to sets of nodes in the graph that have the same augmented truncated view at depth 1, have to be further developed, by adding sub-tries rooted at these leaves, to further discriminate between all augmented truncated views at depth ϕ . This is done recursively in such a way that these further queries are still of size $O(\log n)$, and constitutes the main difficulty of the advice construction.

We now proceed with the detailed description of the advice and of Algorithm Elect using it. We first address technical issues concerning coding various objects by binary strings. This will be needed to define the advice formally. First we show how to encode a sequence of several binary substrings, corresponding to various parts of the advice, into a single string, in a way that permits the algorithm to unambiguously decode the original sequence of substrings, and hence recover all parts of the advice. This can be done as follows. We encode the sequence of substrings (A_1, \ldots, A_k) by doubling each digit in each substring and putting 01 between substrings. Denote by $Concat(A_1, \ldots, A_k)$ this encoding and let *Decode* be the inverse (decoding) function, i.e. $Decode(Concat(A_1, \ldots, A_k)) = (A_1, \ldots, A_k)$. As an example, Concat((01), (00)) = (0011010000). Note that the encoding increases the total number of advice bits by a constant factor.

When constructing the advice, we will need to encode rooted trees with port numbers and labeled nodes. The code will be a binary sequence of length $O(n \log n)$, if the tree is of size n and all labels are of length $O(\log n)$. One way to produce such a code is the following. Consider the DFS walk in the tree, starting and ending at its root, where children of any node vare explored in the increasing order of the corresponding port numbers at v. Let S_1 be the sequence of length 4(n-1) of all port numbers encountered in this walk, in the order of traversing the edges of the walk, and listing the port 0 at each leaf twice in a row: when entering the leaf and when leaving it. Let S_2 be the sequence of length n of node labels, in the order of visits during this walk, without repetitions. Consider the couple (S_1, S_2) . Using the sequence S_1 it is possible to reconstruct the topology of the rooted tree with all port numbers, and using the sequence S_2 it is possible to correctly assign labels to all nodes, starting from the root. It remains to encode the couple (S_1, S_2) as a binary string. Let $S_1 = (a_1, \ldots, a_{4(n-1)})$, and let $S_2 = (b_1, \ldots, b_n)$, where a_i and b_i are non-negative integers. For any non-negative integer x, let bin(x) denote its binary representation. The couple (S_1, S_2) can be unambiguously coded by the string $Concat(Concat(bin(a_1), \ldots, bin(a_{4(n-1)})), Concat(bin(b_1), \ldots, bin(b_n))))$. The above described code of any labeled tree T will be denoted by bin(T). By the definition of bin(T) we have the following proposition.

Proposition 3.1 Let T be a rooted labeled n-node tree. If all node labels are integers in O(n), then the length of bin(T) is in $O(n \log n)$.

Next, we define a binary code bin(Tr) of a trie Tr. Since a trie can be considered as a rooted binary tree whose internal nodes are labeled by queries, the above described definition of codes for labeled trees can be adapted, with the following modification: the strings $bin(b_i)$ at internal nodes are now binary codes of queries in the trie, instead of binary representations of integers. In our case, the queries are pairs of integers, hence their binary codes are straightforward. In order to have labels of all nodes of the respective binary tree, we put the string (0) at all leaves. This implies the following proposition.

Proposition 3.2 Let Tr be a trie of size O(n). If the query (a, b) at each internal node of Tr is such that a and b are integers in $O(n \log n)$, then the length of bin(Tr) is in $O(n \log n)$.

We also need to encode augmented truncated views at depth 1. Consider a node v of degree k, and call v_j the neighbor of v corresponding to the port j at v. Let a_j be the port at node v_j

corresponding to edge $\{v, v_j\}$, and let b_j be the degree of v_j . The augmented truncated view $\mathcal{B}^1(v)$ can be represented as a list $((0, a_0, b_0), \ldots, (k - 1, a_{k-1}, b_{k-1}))$. Hence its encoding $bin(\mathcal{B}^1(v))$ is $Concat(Concat(bin(0), bin(a_0), bin(b_0)), \ldots, Concat(bin(k-1), bin(a_{k-1}), bin(b_{k-1})))$, and we have the following proposition.

Proposition 3.3 Let v be a node of a graph of size n. The length of $bin(\mathcal{B}^1(v))$ is in $O(n \log n)$.

In the construction of our advice we will manipulate *nested lists*. These are lists of the form $L = ((a_1, L_1), \ldots, (a_k, L_k))$, where each a_i is a non-negative integer, and each L_i is a list of the form $((b_1, T_1), \ldots, (b_m, T_m))$, where b_j are non-negative integers, and T_j are tries. We have already defined binary codes $bin(T_j)$ of tries. The binary code of each list L_i is defined as $bin(L_i) = Concat(bin(b_1), bin(T_1), \ldots, bin(b_m), bin(T_m))$, and the binary code bin(L) of the nested list L is defined as $bin(L) = Concat(bin(a_1), bin(L_1), \ldots, bin(a_k), bin(L_k))$. This implies the following proposition.

Proposition 3.4 Let L be a list of couples (a_i, L_i) , where a_i is a non-negative integer, and L_i is a list of couples (b_j, T_j) , such that b_j is a non-negative integer and T_j is a trie. The length of bin(L) is in $O(n \log n)$, if the following three conditions are satisfied.

- The length of L is in O(n), and the sum of lengths of all lists L_i , such that there exists a couple $(*, L_i)$ in L, is in O(n).
- The sum of sizes of all tries T_j , such that there exists a couple $(*, L_i)$ in L, where L_i contains a couple $(*, T_j)$, is in O(n). For each of these tries T_j the query (a, b) at each internal node is such that a and b are integers in O(n).
- For each (a_i, L_i) in L, the integer a_i is in O(n), and, for each (b_j, T_j) in L_i , the integer b_j is in O(n).

We first describe the construction of the advice produced by the oracle knowing graph G. This construction is formulated using Algorithm ComputeAdvice(G) that will be executed by the oracle. This algorithm uses two procedures: BuildTree and RetrieveLabel, the latter using a subroutine LocalLabel. We start with the description of this subroutine.

The subroutine LocalLabel is a recursive procedure that takes three arguments. The first is an augmented truncated view \mathcal{B} at some depth d, rooted at some node v, the second is a list X of integers, and the third is a trie T. The list X is a list of temporary labels that have been previously assigned to children of v. The trie T permits to discriminate between all views from the set Y of augmented truncated views at depth d which correspond to the same augmented truncated view at depth d-1 as that of the root of \mathcal{B} . The list X permits to determine to which leaf of Tcorresponds \mathcal{B} . LocalLabel returns an integer label from the set $\{1, \ldots, |Y|\}$ with the following property. Consider the set P of nodes whose augmented truncated view at depth d belongs to Y. The labels returned by LocalLabel are different for all nodes $u, v \in P$, for which $\mathcal{B}^d(u) \neq \mathcal{B}^d(v)$. Algorithm 2 LocalLabel(\mathcal{B}, X, T)

$\mathbf{if} T$ is a si	ngle node then
retu	5
else	
(x, y) \leftarrow the label of the root of T
$T_l \leftarrow$	the subtree of T rooted at the left child of the root of T
$T_r \leftarrow$	- the subtree of T rooted at the right child of the root of T
left	$\leftarrow false$
$\mathbf{if} X$	is the empty list then
	if $(x = 0)$ and the length of the binary representation $bin(\mathcal{B})$ is smaller than y then
	$left \leftarrow true$
	if $(x = 1)$ and the yth bit of the binary representation $bin(\mathcal{B})$ is 0 then
	$left \leftarrow true$
\mathbf{else}	
	if the $(x+1)$ th term of the list X is different from y then
	$left \leftarrow true$
$\mathbf{if} \ le_{\cdot}$	ft = true then
	$\mathbf{return} \ \mathtt{LocalLabel}(\mathcal{B}, X, T_l)$
else	
	$numleaves \leftarrow$ the number of leaves in T_l
	$return numleaves+LocalLabel(\mathcal{B}, X, T_r)$

In what follows, the integer returned by LocalLabel(\mathcal{B}, X, T_r) will be denoted, for simplicity, by LocalLabel(\mathcal{B}, X, T_r). A similar convention will be used for the objects returned by procedures BuildTree and RetrieveLabel.

The procedure RetrieveLabel has three arguments: an augmented truncated view \mathcal{B} at some depth d, a trie E_1 , and a (possibly empty) list E_2 of couples, such that the first term of each couple is an integer, and the second is a list. The procedure uses the subroutine LocalLabel and returns a temporary integer label with the following two properties.

1. The label is from the set $\{1, 2, ..., |Z|\}$, where Z is the set of augmented truncated views at depth d in G.

2. The labels returned by RetrieveLabel(\mathcal{B}, E_1, E_2) and by RetrieveLabel(\mathcal{B}', E_1, E_2), for any E_1, E_2 , and any augmented truncated views $\mathcal{B} \neq \mathcal{B}'$ at the same depth, are different.

This temporary label will serve to construct queries in the trie built by the procedure BuildTrie, and will serve the nodes to position themselves in the BFS tree, given by the item A_2 of the advice.

Below is the pseudocode of RetrieveLabel.

Algorithm 3 RetrieveLabel (\mathcal{B}, E_1, E_2)

 $d \leftarrow \text{depth of } \mathcal{B}$ if d = 1 then return LocalLabel $(\mathcal{B}, (), E_1)$ else $X \leftarrow \text{empty list}$ $deg \leftarrow degree \text{ of the root of } \mathcal{B}$ for j = 0 to deg - 1 do $X \leftarrow X$ with RetrieveLabel $(\mathcal{B}^{d-1}(v_i), E_1, E_2)$ appended as the last term, where v_j is the node at depth 1 in \mathcal{B} corresponding to the port number j at the root of \mathcal{B} $\mathcal{B}' \leftarrow$ the augmented truncated view at depth d-1 of the root of \mathcal{B} $label \leftarrow \texttt{RetrieveLabel}(\mathcal{B}', E_1, E_2)$ $sum \leftarrow 0$ $L \leftarrow$ the second term of the couple from E_2 whose first term is d (* L is a list*) for i = 1 to label do if the list L has a term which is a couple (i, T) (*T is a trie*) then if i < label then $numleaves \leftarrow$ the number of leaves in T $sum \leftarrow sum + numleaves$ else $sum \leftarrow sum + \texttt{LocalLabel}(\mathcal{B}, X, T)$ else $sum \leftarrow sum + 1$ return sum

The last of the three procedures is BuildTrie. It takes three arguments. The first argument S is a non-empty set of distinct augmented truncated views at the same positive depth ℓ , E_1 is a (possibly empty) trie, and E_2 is a (possibly empty) list of couples, such that the first term of each couple is an integer, and the second is a list. The procedure returns a trie which permits to discriminate between all augmented truncated views of S. This is done as follows. If E_1 is empty then the returned trie is constructed using the differences between the binary representations of the augmented truncated views of S. Actually, as we will see in the proof of Theorem 3.1, this case occurs only when the depth of the augmented truncated views of S is 1. Otherwise, the returned trie is constructed using intermediate labels that were previously assigned to augmented truncated views at depth l-1: these labels can be recursively computed using the arguments E_1 and E_2 . In particular, the trie E_1 , that is the second argument, permits to discriminate between all augmented truncated views from S corresponding to any given leaf of E_1 .

We use the following notions. For two nodes u and v in the graph G, such that $\mathcal{B}^{\ell}(u) \neq \mathcal{B}^{\ell}(v)$ and $\mathcal{B}^{\ell-1}(u) = \mathcal{B}^{\ell-1}(v)$ with $l \geq 2$, the discriminatory index for the couple u and v is the smallest integer i, such that $\mathcal{B}^{\ell-1}(u') \neq \mathcal{B}^{\ell-1}(v')$, where u' is the neighbor of u corresponding to the port number i at u, and v' is the neighbor of v corresponding to the port number i at v. The discriminatory index of the list S of augmented truncated views at depth $l \geq 2$ that are all identical at depth l-1 (in the case when the length of S is larger than 1) is the discriminatory index for nodes u and v, such that $\mathcal{B}^{\ell}(u)$ and $\mathcal{B}^{\ell}(v)$ are the augmented truncated views from S with the two

smallest binary representations. The discriminatory subview of S is the augmented truncated view $\mathcal{B}^{\ell-1}(u')$, where u' and v' are defined above and $\mathcal{B}^{\ell-1}(u')$ has a lexicographically smaller binary representation than $\mathcal{B}^{\ell-1}(v')$.

Algorithm 4 BuildTrie (S, E_1, E_2)

if |S| = 1 then return a single node labeled (0) else

if $E_1 = \emptyset$ then

if there exist augmented truncated views \mathcal{B} from S with binary representations $bin(\mathcal{B})$ of different lengths then

 $max \leftarrow$ the length of the longest binary representation of an element of S

 $S' \leftarrow$ the set of views \mathcal{B} from S with binary representations $bin(\mathcal{B})$ of lengths < max

 $val \leftarrow (0, max)$

else

- $j \leftarrow$ the smallest index such that the binary representations of some elements of S differ at the *j*th position
- $S' \leftarrow$ the set of elements \mathcal{B} of S whose jth bit of the binary representation $bin(\mathcal{B})$ is 0

$$val \leftarrow (1, j)$$

else

 $\begin{array}{l} \ell \leftarrow \text{the depth of augmented truncated views from } S \\ i \leftarrow \text{the discriminatory index of } S \\ \mathcal{B}_{disc} \leftarrow \text{the discriminatory subview of } S \\ S' \leftarrow \text{the set of elements } \mathcal{B}^{\ell}(v) \text{ of } S, \text{ such that } \mathcal{B}^{\ell-1}(v') \neq \mathcal{B}_{disc}, \\ & \text{where } v' \text{ is the neighbor of } v \text{ corresponding to the port number } i \text{ at } v \\ val \leftarrow (i, \texttt{RetrieveLabel}(\mathcal{B}_{disc}, E_1, E_2)) \\ \textbf{return the node labeled } val \text{ with the left child equal to } \texttt{BuildTrie}(S', E_1, E_2) \\ \text{and the right child equal to } \texttt{BuildTrie}(S \setminus S', E_1, E_2) \end{array}$

Finally, we present Algorithm ComputeAdvice used by the oracle to compute the advice given to nodes of the graph. Recall that bin(x), for any non-negative integer x, is the binary representation of x, bin(T), for any labeled tree T, is the binary code of this tree, and bin(L), for any nested list L, is the binary code of this list, as described previously.

Conceptually, the advice consists of three items. The first item is the binary representation of the election index ϕ . It serves the nodes to determine when to stop exchanging information with their neighbors. The second item is $A_1 = Concat(bin(E_1), bin(E_2))$, where E_1 and E_2 are as follows. E_1 is a trie that permits to discriminate between all augmented truncated views at depth 1. Hence this is a labeled tree. E_2 is a list of couples constructed using procedures RetrieveLabel and BuildTrie. The *i*th couple of this list permits to discriminate between all augmented truncated views at depth i+1, for $i < \phi$, using the previous couples and E_1 . The couples in E_2 are of the form (x, λ) , where x is an integer and λ is a list of couples (a, T_a) , where a is a non-negative integer, and T_a is a trie. Hence E_2 is a nested list. Parts E_1 and E_2 together permit to discriminate between all augmented truncated views at depth ϕ . The third item of the advice is A_2 . This is the code of the canonical BFS tree of G rooted at r, with each node u labeled by RetrieveLabel($\mathcal{B}^{\phi}(u), E_1, E_2$), where $\mathcal{B}^{\phi}(u)$ is the augmented truncated view in G. Each node will position itself in this tree, thanks to the label computed using A_1 , and then find the path to the root. The advice computed by the oracle and given to the nodes of the graph is $\mathbf{Adv} = Concat(bin(\phi), A_1, A_2)$.

Algorithm 5 ComputeAdvice(G)

 $\phi \leftarrow$ election index of G $S_1 \leftarrow$ the set of all augmented truncated views at depth 1 in G for i = 1 to ϕ do if i = 1 then $E_1 \leftarrow \texttt{BuildTrie}(S_1, \emptyset, ())$ $E_2(1) \leftarrow ()$ else $L(i) \leftarrow ()$ for all augmented truncated views \mathcal{B}' at depth i-1 in G do $N \leftarrow$ the set of nodes u in G for which $\mathcal{B}^{i-1}(u) = \mathcal{B}'$ $X \leftarrow$ the set of augmented truncated views $\mathcal{B}^i(v)$ in G, for all $v \in N$ if |X| > 1 then $j \leftarrow \texttt{RetrieveLabel}(\mathcal{B}', E_1, E_2(i-1))$ $T_i \leftarrow \texttt{BuildTrie}(X, E_1, E_2(i-1))$ $L(i) \leftarrow L(i)$ with the couple (j, T_j) appended as the last term $E_2(i) \leftarrow E_2(i-1)$ with the couple (i, L(i)) appended as the last term $E_2 \leftarrow E_2(\phi)$ $r \leftarrow$ the node of G such that RetrieveLabel($\mathcal{B}^{\phi}(r), E_1, E_2$) = 1 $A_1 \leftarrow Concat(bin(E_1), bin(E_2))$ $T \leftarrow$ the canonical BFS tree of G rooted at r, with each node u labeled by RetrieveLabel($\mathcal{B}^{\phi}(u), E_1, E_2$), where $\mathcal{B}^{\phi}(u)$ is the augmented truncated view in G $A_2 \leftarrow bin(T)$ return $Concat(bin(\phi), A_1, A_2)$

The main algorithm Elect uses advice $\mathbf{Adv} = Concat(bin(\phi), A_1, A_2)$ given by the oracle, and is executed by a node u of the graph. The node decodes the parts ϕ , E_1 , E_2 , and A_2 of the advice from the obtained binary string \mathbf{Adv} . Then it acquires the augmented truncated view $\mathcal{B}^{\phi}(u)$ in ϕ rounds. It assigns itself the unique label x returned by $\mathsf{RetrieveLabel}(\mathcal{B}^{\phi}(u), E_1, E_2)$. It decodes the labeled tree coded by A_2 , and positions itself in this tree, using the assigned label. Finally, it outputs the sequence of port numbers corresponding to the unique simple path in this tree from the node with node x to the root (labeled 1). Below is the pseudocode of the main algorithm.

Algorithm 6 Elect

for i = 0 to $\phi - 1$ do COM(i) $x \leftarrow \texttt{RetrieveLabel}(\mathcal{B}^{\phi}(u), E_1, E_2)$ output the sequence of port numbers corresponding to the unique simple path in the tree coded by A_2 , from the node labeled x to the node labeled 1

The following theorem shows that Algorithm Elect, executed on any *n*-node graph, performs leader election in time equal to the election index of this graph, using advice of size $O(n \log n)$.

Theorem 3.1 For any n-node graph G with election index ϕ , the following properties hold:

- 1. Algorithm ComputeAdvice(G) terminates and returns a binary string of length $O(n \log n)$.
- 2. Using the advice returned by Algorithm ComputeAdvice(G), Algorithm Elect performs leader election in time ϕ .

Proof. In order to prove Part 1, it is enough to show that the values of variables E_1 , E_2 and T in the Algorithm ComputeAdvice(G) are computed in finite time, and that the length of the binary string $Concat(bin(\phi), A_1, A_2)$ (where $A_1 = Concat(bin(E_1), bin(E_2))$) and $A_2 = bin(T)$) is in $O(n \log n)$. We first show that the length of $bin(E_1)$ is in $O(n \log n)$. In what follows, for any integer $x \ge 0$, we denote by S_x the set of all augmented truncated views at depth x in G.

We will use the following claims.

Claim 3.1 For any $S \subseteq S_1$ such that $|S| \ge 1$, the procedure $\text{BuildTrie}(S, \emptyset, ())$ terminates and returns a trie of size 2|S|-1 with exactly S leaves. The leaves of this trie are labeled by (0) and the internal nodes are labeled by queries of the form (a, b), where a and b are integers in $O(n \log n)$.

Claim 3.2 For any $S \subseteq S_1$ such that $|S| \ge 1$, and for any $\mathcal{B} \in S$, the procedure LocalLabel(\mathcal{B} ,(),BuildTrie(S, \emptyset ,())) terminates and returns an integer from $\{1, 2, ..., |S|\}$. Moreover, for any $\mathcal{B}' \in S$ such that $\mathcal{B} \neq \mathcal{B}'$, the integers LocalLabel(\mathcal{B} ,(),BuildTrie(S, \emptyset ,())) and LocalLabel(\mathcal{B}' ,(),BuildTrie(S, \emptyset ,())) are different.

The two claims are proved by simultaneous induction on the size of S. We first prove them for |S| = 1. In this case $\texttt{BuildTrie}(S, \emptyset, ())$ consists of a single node with label (0), and the integer $\texttt{LocalLabel}(\mathcal{B}, (), \texttt{BuildTrie}(S, \emptyset, ()))$ is 1, where \mathcal{B} is the unique element of S. Hence, both claims hold, if $|S_1| = 1$. Consider the case $|S_1| \ge 2$, and suppose, by the inductive hypothesis, that, for some integer $k \in \{1, 2, \ldots, |S_1| - 1\}$, both claims hold when $1 \le |S| \le k$. We prove that they hold for |S| = k + 1. We have $|S| \ge 2$ and there are two cases. The first case is when there exist $\mathcal{B}_1, \mathcal{B}_2 \in S$, such that the lengths of $bin(\mathcal{B}_1)$ and $bin(\mathcal{B}_2)$ are different. The second case is when all codes $bin(\mathcal{B})$, for $\mathcal{B} \in S$, have equal length, but there exist $\mathcal{B}_1, \mathcal{B}_2 \in S$, and an index j, such that the jth bits of $bin(\mathcal{B}_1)$ and $bin(\mathcal{B}_2)$ are different. We consider only the first case, as the second one can be proved similarly. Denote by max the largest among lengths of codes $bin(\mathcal{B})$, for $\mathcal{B} \in S$, and denote by S' the subset of S consisting of those augmented truncated views $\mathcal{B} \in S$, for which $bin(\mathcal{B})$ has length smaller than max.

By the inductive hypothesis, procedure $\text{BuildTrie}(S, \emptyset, ())$ terminates and returns a binary tree with the root labeled (0, max), whose left child is the root of $\text{BuildTrie}(S', \emptyset, ())$ and whose right child is the root of $\text{BuildTrie}(S \setminus S', \emptyset, ())$. Since codes of elements of S are not all of the same length, we have $1 \leq |S'| < |S| = k + 1$. Hence, the number of nodes and the number of leaves of $\text{BuildTrie}(S', \emptyset, ())$ are, respectively, 2|S'| - 1 and |S'|. Also, the number of nodes and the number of leaves of $\text{BuildTrie}(S \setminus S', \emptyset, ())$ are, respectively, $2|S \setminus S'| - 1$ and $|S \setminus S'|$. Hence, the number of nodes and the number of leaves of $\text{BuildTrie}(S, \emptyset, ())$ are, respectively, 2|S| - 1 and |S|. Moreover, by the inductive hypothesis, all leaves of $\text{BuildTrie}(S', \emptyset, ())$ and of $\text{BuildTrie}(S \setminus S', \emptyset, ())$ have label (0), while internal nodes of these tries are labeled by couples (a, b), where a and b are integers in $O(n \log n)$. Since the integer max used in the label (0, max) of the root of $\text{BuildTrie}(S, \emptyset, ())$, is in $O(n \log n)$, by Proposition 3.3, this implies that Claim 3.1 holds for |S| = k + 1.

Concerning Claim 3.2, consider distinct views \mathcal{B} and \mathcal{B}' from S, and suppose, without loss of generality, that the length of $bin(\mathcal{B})$ is not smaller than the length of $bin(\mathcal{B}')$. If the length of $bin(\mathcal{B}')$ is equal to max, then, by the inductive hypothesis and by Algorithms 2 and 4, we have LocalLabel($\mathcal{B}',()$,BuildTrie($S, \emptyset,()$)) = |S'|+ LocalLabel($\mathcal{B}',()$,BuildTrie($S \setminus S', \emptyset,()$)) and LocalLabel($\mathcal{B},()$,BuildTrie($S, \emptyset,()$)) = |S'|+ LocalLabel($\mathcal{B},()$,BuildTrie($S \setminus S', \emptyset,()$)). Again in view of the inductive hypothesis, the integers LocalLabel($\mathcal{B},()$,BuildTrie($S \setminus S', \emptyset,()$)) and LocalLabel($\mathcal{B}',()$,BuildTrie($S \setminus S', \emptyset,()$)) both belong to the set $\{1, \ldots, |S \setminus S'|\}$ and are different. Hence, if the length of $bin(\mathcal{B}')$ is equal to max, then Claim 3.2 holds for |S| = k + 1. It remains to consider the case when the length of $bin(\mathcal{B}')$ is smaller than max. In this case we have LocalLabel($\mathcal{B}',()$,BuildTrie($S, \emptyset,()$)) = LocalLabel($\mathcal{B}',()$,BuildTrie($S', \emptyset,()$)), and this integer belongs to the set $\{1, \ldots, |S'|\}$. If the length of $bin(\mathcal{B})$ is max, a similar argument as above implies that LocalLabel($\mathcal{B},()$,BuildTrie($S, \emptyset,()$)) belongs to the set $\{|S'| + 1, \ldots, |S'| + |S \setminus S'|\}$, and Claim 3.2 holds for |S| = k + 1. Otherwise, we have LocalLabel($\mathcal{B},()$,BuildTrie($S, \emptyset,()$)) = LocalLabel($\mathcal{B},()$,BuildTrie($S', \emptyset,()$)). However, by the inductive hypothesis and by Algorithms 2 and 4, LocalLabel($\mathcal{B},()$,BuildTrie($S', \emptyset,()$)) belongs to the set $\{1, \ldots, |S'|\}$ but is different from LocalLabel($\mathcal{B}',()$,BuildTrie($S', \emptyset,()$)). Hence Claim 3.2 holds for |S| = k + 1 in this case as well.

We proved that Claims 3.1 and 3.2 hold for |S| = k + 1, which completes the proof of these claims by induction.

In Algorithm ComputeAdvice we have $E_1 = \text{BuildTrie}(S_1, \emptyset, ())$. Hence, Claim 3.1 and Proposition 3.2 imply the following claim.

Claim 3.3 The computations of variables E_1 and $E_2(1)$ in Algorithm ComputeAdvice(G) terminate, $E_2(1)$ is the empty list, and the length of $bin(E_1)$ is in $O(n \log n)$.

In view of Algorithm 3, we have $\texttt{RetrieveLabel}(\mathcal{B}, E_1, ()) = \texttt{LocalLabel}(\mathcal{B}, (), E_1)$, for every \mathcal{B} in \mathcal{S}_1 . Claim 3.2 and the equality $E_1 = \texttt{BuildTrie}(\mathcal{S}_1, \emptyset, ())$ imply the following claim.

Claim 3.4 For every $\mathcal{B} \in S_1$, the procedure RetrieveLabel($\mathcal{B}, E_1, ()$) terminates and returns a value belonging to $\{1, \ldots, |S_1|\}$. For all $\mathcal{B}' \neq \mathcal{B}$ from S_1 , we have RetrieveLabel($\mathcal{B}, E_1, ()$) \neq RetrieveLabel($\mathcal{B}', E_1, ()$).

Notice that, if the election index of the graph G is 1, then in Algorithm ComputeAdvice(G) we have $E_2 = E_2(1) = ()$, in view of Claim 3.3. Hence, in view of Claim 3.4, the computation of the labeled BFS tree T terminates in this algorithm, and labels of nodes of T belong to O(n), since $|\mathcal{S}_1| \leq n$. Hence, Proposition 3.1 and Claim 3.3 imply that, if $\phi = 1$, then computations of variables E_1 , E_2 and T in Algorithm ComputeAdvice(G) terminate, and the length of the returned binary string $Concat(bin(\phi), A_1, A_2)$ (where $A_1 = Concat(bin(E_1), bin(E_2))$) and $A_2 = bin(T)$) belongs to $O(n \log n)$. This proves Part 1 of our theorem for $\phi = 1$. We continue the proof of this part assuming that $\phi \geq 2$. In the rest of this proof, for all integers $i \in \{2, \ldots, \phi\}$, and for all integers j, we denote by $\mathcal{S}_i(j)$ the set of all augmented truncated views at depth i of all nodes u of G, such that RetrieveLabel($\mathcal{B}^{i-1}(u), E_1, E_2(i-1)$) = j.

We will use the following three claims.

Claim 3.5 For every integer $i \in \{2, ..., \phi\}$, Algorithm ComputeAdvice(G) terminates the computation of variable $E_2(i)$, and assigns to it the value ((2, L(2)), (3, L(3)), ..., (i, L(i))), such that for all $k \in \{2, ..., i\}$ the following four properties hold:

- **Property 1.** L(k) is a list of distinct couples (j, T_j) , such that j is a non-negative integer and T_j is a trie.
- Property 2. For any couples (j, T_j) and $(j', T_{j'})$ of L(k), such that (j, T_j) and $(j', T_{j'})$ are not at the same position in L(k), we have $j \neq j'$.

- Property 3. For every couple (j, T_j) of L(k), we have $T_j = \text{BuildTrie}(\mathcal{S}_k(j), E_1, E_2(k-1))$.
- Property 4. There is a couple (j, T_j) in L(k) if and only if $|S_k(j)| \ge 2$.

Claim 3.6 For every integer $i \in \{2, ..., \phi\}$ and for every integer j, the following properties hold:

- Property 1. For every S ⊆ S_i(j), such that |S| ≥ 1, the procedure BuildTrie(S, E₁, E₂(i − 1)) terminates and returns a trie of size 2|S| − 1 with exactly S leaves. The leaves of this trie are labeled by (0) and its internal nodes are labeled by queries of the form (a, b) where a and b are integers in O(n).
- Property 2. For every $S \subseteq S_i(j)$ such that $|S| \ge 1$, and for every node u of G such that $\mathcal{B}^i(u) \in S$, let X_u be the list (RetrieveLabel($\mathcal{B}^{i-1}(u_0, E_1, E_2(i-1)), \ldots$, RetrieveLabel($\mathcal{B}^{i-1}(u_{deg(u)-1}, E_1, E_2(i-1)))$), where deg(u) is the degree of u and, for all $0 \le l \le deg(u) - 1$, u_l is the neighbor of ucorresponding to port l at u.
 - **Property 2.1** The list X_u is not empty.
 - **Property 2.2** The procedure LocalLabel($\mathcal{B}^{i}(u), X_{u}, \text{BuildTrie}(S, E_{1}, E_{2}(i-1))$) terminates and returns an integer belonging to $\{1, \ldots, |S|\}$.
 - **Property 2.3** For every node u', such that $\mathcal{B}^{i}(u') \in S$ and $\mathcal{B}^{i}(u) \neq \mathcal{B}^{i}(u')$, we have $\text{LocalLabel}(\mathcal{B}^{i}(u), X_{u}, \text{BuildTrie}(S, E_{1}, E_{2}(i-1))) \neq \text{LocalLabel}(\mathcal{B}^{i}(u'), X_{u'}, \text{BuildTrie}(S, E_{1}, E_{2}(i-1))).$

Claim 3.7 For every integer $i \in \{2, ..., \phi\}$, for every integer $k \in \{1, ..., i\}$, and for every $\mathcal{B} \in \mathcal{S}_k$, the following properties hold:

- Property 1. The procedure RetrieveLabel($\mathcal{B}, E_1, E_2(i)$) terminates and returns an integer belonging to $\{1, \ldots, |\mathcal{S}_k|\}$.
- Property 2. For all augmented truncated views $\mathcal{B}' \neq \mathcal{B}$ from \mathcal{S}_k , we have RetrieveLabel $(\mathcal{B}, E_1, E_2(i)) \neq$ RetrieveLabel $(\mathcal{B}', E_1, E_2(i))$.

Claims 3.5, 3.6 and 3.7 are proved by simultaneous induction on *i*. We first prove them for i = 2. In this case we have $E_2(i - 1) = E_2(1) = ()$, in view of Claim 3.3. First consider Claim 3.6. Fix an integer *j*. If $S_2(j) = \emptyset$, then both properties of the claim are immediately verified. Hence suppose that $S_2(j) \neq \emptyset$. We show by induction on the size of *S* that both these properties are satisfied. If |S| = 1, then procedure BuildTrie($S, E_1, E_2(1)$) returns a single node labeled (0), and hence property 1 is satisfied in this case. As for property 2, for every node *v* in *G*, such that $\mathcal{B}^2(v) \in S$, the list X_v is non-empty by Claim 3.4. Since BuildTrie($S, E_1, E_2(1)$) returns a single node, procedure LocalLabel($\mathcal{B}^2(v), X_v, BuildTrie(S, E_1, E_2(1))$) terminates and returns integer 1. Hence properties 2.1 and 2.2 hold in this case. Moreover, property 2.3 holds as well, since *S* is a singleton in this case. It follows that property 2 of Claim 3.6 holds for |S| = 1.

It follows that Claim 3.6 holds, if $|S_2(j)| = 1$. Suppose that $|S_2(j)| \ge 2$, and assume, by inductive hypothesis on the size of S, that properties 1 and 2 of Claim 3.6 hold, when $1 \le |S| \le k$, for some integer $1 \le k \le |S_2(j)| - 1$. We prove that these properties hold if |S| = k+1. If |S| = k+1then $|S| \ge 2$. Let p and \mathcal{B}_{disc} be, respectively, the discriminatory index of S and the discriminatory subview of S. These objects are well defined because, by definition, all augmented truncated views at depth 1 of the roots of the augmented truncated views from $S_2(j)$ are identical. Notice that the depth of \mathcal{B}_{disc} is 1 because the depth of all augmented truncated views in $\mathcal{S}_2(j)$ is 2. Denote by S' the set of augmented truncated views $\mathcal{B}^2(v)$ from S, such that $\mathcal{B}^1(w) \neq \mathcal{B}_{disc}$, where w is the neighbor of v corresponding to the port number p at v. In view of the inductive hypothesis and of Claim 3.4, the procedure BuildTrie $(S, E_1, E_2(1))$ terminates and returns a binary tree with root labeled by $(p, \texttt{RetrieveLabel}(\mathcal{B}_{disc}, E_1, ()))$, whose left child is the root of BuildTrie $(S', E_1, ())$, and whose right child is the root of BuildTrie $(S \setminus S', E_1, ())$. Hence property 1 of Claim 3.6 holds for |S| = k + 1: in particular, p is an integer smaller than the degree of v, $\texttt{RetrieveLabel}(\mathcal{B}_{disc}, E_1, ())$ is in $\{1, \ldots, \mathcal{S}_1\}$, and hence p and $\texttt{RetrieveLabel}(\mathcal{B}_{disc}, E_1, ())$ are integers in O(n).

Concerning property 2, notice that, for every node v from G, such that $\mathcal{B}^2(v) \in S$, the list X_v is non-empty by Claim 3.4, and hence property 2.1 holds for |S| = k + 1. We now prove properties 2.2 et 2.3. Consider any nodes v and v' of G, such that $\mathcal{B}^2(v)$ and $\mathcal{B}^2(v')$ are in S and $\mathcal{B}^2(v) \neq \mathcal{B}^2(v')$. First suppose that $\mathcal{B}^1(v_p) \neq \mathcal{B}_{disc}$ (i.e., $\mathcal{B}^2(v) \in S'$), where v_p is the neighbor of vcorresponding to the port number p at v. In this case, in view of Claim 3.4, the (p+1)th term of the list X_v is different from RetrieveLabel($\mathcal{B}_{disc}, E_1, ()$), and, in view of Algorithms 2 and 4, we have $LocalLabel(\mathcal{B}^2(v), X_v, BuildTrie(S, E_1, E_2(1))) = LocalLabel(\mathcal{B}^2(v), X_v, BuildTrie(S', E_1, E_2(1)))$ We have $1 \leq |S'| < k+1$. By the inductive hypothesis and by property 2.1, proved above for |S| = k + 1, we know that procedure LocalLabel($\mathcal{B}^2(v), X_v, \mathsf{BuildTrie}(S, E_1, E_2(1)))$ terminates and returns an integer from $\{1, \ldots, |S'|\}$. Since |S'| < |S|, property 2.2 is proved if $\mathcal{B}^2(v) \in S'$. Moreover, if $\mathcal{B}^2(v') \in S'$, then by Claim 3.4 and Algorithms 2 and 4, the (p+1)th term of the list $X_{v'}$ is different from RetrieveLabel($\mathcal{B}_{disc}, E_1, ()$), and by property 2.1, proved above for |S| = k + 1, procedure LocalLabel($\mathcal{B}^2(v'), X_{v'}, \text{BuildTrie}(S, E_1, E_2(1))$) terminates and returns the same integer as LocalLabel($\mathcal{B}^2(v'), X_{v'}, \text{BuildTrie}(S', E_1, E_2(1))$). By the inductive hypothesis, we have $\texttt{LocalLabel}(\mathcal{B}^2(v), X_v, \texttt{BuildTrie}(S', E_1, E_2(1))) \neq \texttt{LocalLabel}(\mathcal{B}^2(v'), X_{v'}, \texttt{BuildTrie}(S', E_1, E_2(1))).$ This implies

 $\texttt{LocalLabel}(\mathcal{B}^2(v), X_v, \texttt{BuildTrie}(S, E_1, E_2(1))) \neq \texttt{LocalLabel}(\mathcal{B}^2(v'), X_{v'}, \texttt{BuildTrie}(S, E_1, E_2(1))).$

Moreover, if $\mathcal{B}^2(v') \notin S'$, then the (p+1)th term of the list $X_{v'}$ is equal to the integer RetrieveLabel $(\mathcal{B}_{disc}, E_1, ())$, and hence LocalLabel $(\mathcal{B}^2(v'), X_{v'}, \text{BuildTrie}(S, E_1, E_2(1))) =$ numleaves + LocalLabel $(\mathcal{B}^2(v'), X_{v'}, \text{BuildTrie}(S \setminus S', E_1, E_2(1)))$ in view of Algorithms 2 and 4, where numleaves is the number of leaves in BuildTrie $(S', E_1, E_2(1))$. Since $1 \leq |S'| \leq k$ and $1 \leq |S \setminus S'| \leq k$, by the inductive hypothesis and property 2.1, proved for |S| = k + 1, LocalLabel $(\mathcal{B}^2(v'), X_{v'}, \text{BuildTrie}(S, E_1, E_2(1)))$ terminates and returns an integer from $\{|S'| + 1, \ldots, |S'| + |S \setminus S'|\}$. It follows that

LocalLabel($\mathcal{B}^2(v'), X_{v'}$, BuildTrie $(S, E_1, E_2(1))$) > LocalLabel($\mathcal{B}^2(v), X_v$, BuildTrie $(S, E_1, E_2(1))$). Hence, if $\mathcal{B}^1(v_p) \neq \mathcal{B}_{disc}$ (i.e., $\mathcal{B}^2(v) \in S'$) the properties 2.2 et 2.3 hold when |S| = k + 1. If $\mathcal{B}^1(v_p) = \mathcal{B}_{disc}$, a similar reasoning shows that they hold as well. By induction, we deduce that properties 1 and 2 of Claim 3.6 hold for every non-empty set $S \subseteq \mathcal{S}_2(j)$, which finishes the proof of Claim 3.6 for i = 2.

We now prove that Claim 3.5 holds for i = 2. According to Algorithm 5, L(2) is a (possibly empty) list of couples (L_a, L_b) , such that L_a is the integer returned by RetrieveLabel($\mathcal{B}', E_1, ()$), for some augmented truncated view \mathcal{B}' at depth 1, and L_b is the trie BuildTrie $(X, E_1, ())$), where X is a non-empty set of augmented truncated views at depth 1. By Claim 3.4 and Claim 3.6 for i = 2 (proved above) the computation of L(2) terminates, the variable $E_2(2)$ is assigned the value $(2, L(2)), L_a$ is a non-negative integer and L_b is a trie. Hence property 1 of Claim 3.5 holds for i = 2. Concerning property 2 of this claim, notice that, if L(2) is empty or has a unique term, then this property holds for i = 2. If L(2) has at least two terms (L_a, L_b) et (L'_a, L'_b) , we have $L_a = \text{RetrieveLabel}(\mathcal{B}', E_1, ())$ and $L'_a = \text{RetrieveLabel}(\mathcal{B}'', E_1, ())$, where \mathcal{B}' and \mathcal{B}'' are two different augmented truncated views at depth 1. By Claim 3.4, we have RetrieveLabel $(\mathcal{B}', E_1, ())$ \neq RetrieveLabel($\mathcal{B}'', E_1, ()$). This implies property 2 for i = 2 because $L_a \neq L'_a$. As for properties 3 and 4, consider a couple (L_a, L_b) from L(2). We have $L_a = \text{RetrieveLabel}(\mathcal{B}', E_1, ())$ for some augmented truncated view \mathcal{B}' at depth 1, and $L_b = \text{BuildTrie}(X, E_1, ())$), where X is the set of augmented truncated views at depth 2 of all nodes u of G such that $\mathcal{B}^1(u) = \mathcal{B}'$. Hence $X = \mathcal{S}_2(L_a)$, and property 3 holds for i = 2. Moreover, according to Algorithm 5, $|X| \ge 2$. Hence, if there exists a couple (L_a, L_b) in L(2), then $|\mathcal{S}_2(L_a)| \ge 2$. In order to prove property 4 for i = 2, we have to show that the converse implication holds as well. For each augmented truncated view \mathcal{B}' at depth 1, consider the set Y of augmented truncated views $\mathcal{B}^2(u)$ of all nodes u such that $\mathcal{B}^1(u) = \mathcal{B}'$. If Y is of cardinality greater than 1, then there is a couple (RetrieveLabel($\mathcal{B}', E_1, ()$), BuildTrie($Y, E_1, ()$))) in L(2). Hence, in view of Claim 3.4, this implies the converse implication of property 4, which proves this property for i = 2. This completes the proof of Claim 3.5 for i = 2.

We next prove Claim 3.7 for i = 2. We have to show its validity for k = 1 and for k = 2. If k = 1 then, for every augmented truncated view $\mathcal{B} \in \mathcal{S}_1$, we have RetrieveLabel $(\mathcal{B}, E_1, E_2(2)) =$ RetrieveLabel $(\mathcal{B}, E_1, E_2(1))$, as the depth of \mathcal{B} is 1. Hence, in view of Claim 3.4, Claim 3.7 holds for k = 1.

Suppose that k = 2. Let u be any node of G. In view of Claim 3.4 and of Claims 3.5 and 3.6 shown above for i = 2, the procedure RetrieveLabel($\mathcal{B}^2(u), E_1, E_2(2)$) terminates. Hence, to complete the proof of Claim 3.7 for i = 2, it is enough to prove the following facts:

Fact 1. RetrieveLabel($\mathcal{B}^{2}(u), E_{1}, E_{2}(2)$) $\in \{1, ..., |\mathcal{S}_{2}|\}.$

Fact 2. For every node v of G, such that $\mathcal{B}^2(u) \neq \mathcal{B}^2(v)$, we have

 $\texttt{RetrieveLabel}(\mathcal{B}^2(u), E_1, E_2(2)) \neq \texttt{RetrieveLabel}(\mathcal{B}^2(v), E_1, E_2(2)).$

We first prove Fact 1. The value of the variable *label* in RetrieveLabel($\mathcal{B}^2(u), E_1, E_2(2)$) is equal to the integer returned by RetrieveLabel($\mathcal{B}^1(u), E_1, E_2(2)$) which is equal to the integer returned by RetrieveLabel($\mathcal{B}^1(u), E_1, E_2(1)$) because the depth of $\mathcal{B}^1(u)$ is 1. In view of Claim 3.4, we have to consider two cases: when *label* = 1 and when *label* > 1.

- label = 1. If there is no couple (1, *) in the list L(2), then RetrieveLabel($\mathcal{B}^2(u), E_1, E_2(2)$) returns the integer 1. If there is a couple $(1, T_1)$ in the list L(2), then Claim 3.5 for i = 2 implies that this is the only couple in this list whose first term is 1, and T_1 is the trie equal to BuildTrie($\mathcal{S}_2(1), E_1, E_2(1)$)). We have RetrieveLabel($\mathcal{B}^2(u), E_1, E_2(2)$) = LocalLabel($\mathcal{B}^2(u), X_u, T_1$), and this integer belongs to $\{1, \ldots, |\mathcal{S}_2(1)|\}$, in view of property 2.2 of Claim 3.6. Since $|\mathcal{S}_2(1)| \leq |\mathcal{S}_2|$, the proof of Fact 1 is completed in this case.
- label > 1. Denote by \mathcal{K} the set of indices $l \leq label$, such that there exists a couple (l, T_l) in the list L(2). Claims 3.5 and 3.6 for i = 2 imply that, for every $l \in \mathcal{K}$, there exists a unique couple in L(2) whose first term is l. Also, $T_l = \mathtt{BuildTrie}(\mathcal{S}_2(l), E_1, E_2(1)))$, and the number of leaves of T_l is $|\mathcal{S}_2(l)|$. Hence, if $label \notin \mathcal{K}$, we have $\mathtt{RetrieveLabel}(\mathcal{B}^2(u), E_1, E_2(2)) = \sum_{z \in \mathcal{K}} |\mathcal{S}_2(z)| + |\{1, \ldots, label\} \setminus \mathcal{K}|$, which is at most $\sum_{z \in \{1, \ldots, label\}} |\mathcal{S}_2(z)| \leq |\mathcal{S}_2|$, because, for every pair of distinct integers z_1 and z_2 from $\{1, \ldots, label\}$, we have $\mathcal{S}_2(z_1) \cap \mathcal{S}_2(z_2) = \emptyset$, in view of Claim 3.4. On the other hand, if $label \in \mathcal{K}$, then $\mathtt{RetrieveLabel}(\mathcal{B}^2(u), E_1, E_2(2)) = \sum_{z \in \mathcal{K} \setminus \{label\}} |\mathcal{S}_2(z)| + |\{1, \ldots, label\} \setminus \mathcal{K}| + \mathtt{LocalLabel}(\mathcal{B}^2(u), X_u, T_{label})$ (where $T_{label} = \mathtt{BuildTrie}(\mathcal{S}_2(label), E_1, E_2(1))$). Hence $\mathtt{RetrieveLabel}(\mathcal{B}^2(u), E_1, E_2(2)) \leq \sum_{z \in \{1, 2, \ldots, label\}} |\mathcal{S}_2(z)| + \mathtt{LocalLabel}(\mathcal{B}^2(u), X_u, T_{label})$ is not larger than $\sum_{z \in \{1, 2, \ldots, label\}} |\mathcal{S}_2(z)|$ because $\mathtt{LocalLabel}(\mathcal{B}^2(u), X_u, T_{label})$ belongs to $\{1, \ldots, |\mathcal{S}_2(label)|\}$, by property 2.2 of Claim 3.6 for i = 2. As mentioned above, $\sum_{z \in \{1, \ldots, label\}} |\mathcal{S}_2(z)| \leq |\mathcal{S}_2|$. This completes the proof of Fact 1 in the case label > 1.

We now prove Fact 2. Denote by local(u) (resp. local(v)) the variable local in proce-

dure RetrieveLabel($\mathcal{B}^2(u), E_1, E_2(2)$) (resp. in RetrieveLabel($\mathcal{B}^2(v), E_1, E_2(2)$)), and first consider the case local(u) = local(v). Then $\mathcal{B}^2(u)$ and $\mathcal{B}^2(v)$ belong to the set $\mathcal{S}_2(local(v))$, and $|\mathcal{S}_2(local(v))| \geq 2$. Moreover, in view of Claim 3.5 for i = 2, there exists a unique couple $(label(v), T_{label(v)})$ in L(2), where $T_{label(v)} = \text{BuildTrie}(\mathcal{S}_2(label(v)), E_1, E_2(1))$). For some non-negative integer W, we have RetrieveLabel($\mathcal{B}^2(u), E_1, E_2(2)$) = W + LocalLabel($\mathcal{B}^2(u), X_u, T_{label(v)}$), and

RetrieveLabel($\mathcal{B}^2(v), E_1, E_2(2)$) = W+ LocalLabel($\mathcal{B}^2(v), X_v, T_{label(v)}$). In view of Claim 3.6 for i = 2, we have LocalLabel($\mathcal{B}^2(u), X_u, T_{label(v)}$) \neq LocalLabel($\mathcal{B}^2(v), X_v, T_{label(v)}$). It follows that RetrieveLabel($\mathcal{B}^2(u), E_1, E_2(2)$) \neq RetrieveLabel($\mathcal{B}^2(v), E_1, E_2(2)$), which proves Fact 2 when local(u) = local(v).

Now suppose that $local(u) \neq local(v)$. Without loss of generality, local(u) < local(v). There are 3 cases.

- Case 1. There is no couple in L(2) whose first term is local(u) or local(v). Then RetrieveLabel $(\mathcal{B}^2(u), E_1, E_2(2)) \leq$ RetrieveLabel $(\mathcal{B}^2(v), E_1, E_2(2)) + 1$.
- Case 2. There is a couple $(local(u), T_{local(u)})$ and a couple $(local(v), T_{local(v)})$ in L(2).

In view of Claim 3.5 for i = 2, we have $T_{local(u)} = \text{BuildTrie}(S_2(label(u)), E_1, E_2(1)))$ and $T_{local(v)} = \text{BuildTrie}(S_2(label(v)), E_1, E_2(1)))$. Hence, Claim 3.6 for i = 2 implies that $\text{LocalLabel}(\mathcal{B}^2(u), X_u, T_{label(u)})$ returns an integer at most equal to the number of leaves in $T_{label(u)})$, and implies the inequality $\text{LocalLabel}(\mathcal{B}^2(v), X_v, T_{label(v)}) \geq 1$. It follows that $\text{RetrieveLabel}(\mathcal{B}^2(u), E_1, E_2(2)) < \text{RetrieveLabel}(\mathcal{B}^2(v), E_1, E_2(2))$.

 Case 3. There is exactly one couple in L(2) whose first term is local(u) or local(v). The inequality RetrieveLabel(B²(u), E₁, E₂(2)) < RetrieveLabel(B²(v), E₁, E₂(2)) is shown using similar arguments as in the two preceding cases.

This concludes the proof of Fact 2, and thus also the proof of Claim 3.7 for i = 2. Hence, Claims 3.5, 3.6 and 3.7 are valid for i = 2. The inductive step for these three claims is proved using similar arguments.

We now prove that the computation of E_2 in Algorithm ComputeAdvice terminates, and that the length of $bin(E_2)$ is in $O(n \log n)$. In this algorithm, the value of E_2 is set to $E_2(\phi)$. Claim 3.5 implies that the computation of E_2 terminates, and that E_2 is a list $((2, L(2)), (3, L(3)), \ldots, (\phi, L(\phi)))$, where in every couple (i, L(i)), i is an integer and L(i) is a list of couples (j, T_j) , such that j is an integer, and T_j is a trie. In order to show that the length of $bin(E_2)$ is in $O(n \log n)$, it is enough to prove that the three conditions from Proposition 3.4 are satisfied. In view of Claim 3.5, the number of couples in E_2 is in O(n) because $\phi \in O(n)$. Moreover, for every couple (i, L(i)) in E_2 , there exists a couple $(j, *) \in L(i)$ if and only if $|S_i(j)| \ge 2$. Since $S_i(j)$ is the set of augmented truncated views at depth i of all nodes u of G, such that RetrieveLabel $(\mathcal{B}^{i-1}(u), E_1, E_2(i-1)) = j$, Claim 3.6 implies that $j \in O(n)$. For every trie appearing in a term of some list L(i), where (i, L(i)) is in E_2 , all its leaves are labeled by (0), and all its internal nodes are labeled by queries of the form (a, b), where a and b are integers in O(n). Hence, in order to show that the length of $bin(E_2)$ is in $O(n \log n)$, it is enough to show that the following two conditions are satisfied.

C1. The sum of lengths of all lists L_i appearing in terms of E_2 is in O(n).

C2. The sum of sizes of all tries appearing in terms of lists L(i) appearing in terms of E_2 is in O(n).

For every couple (i, L(i)) in E_2 and for every couple (j, T_j) in L(i), the term T_j is a non-empty trie. Indeed, by property 3 of Claim 3.5, we have $T_j = \text{BuildTrie}(\mathcal{S}_i(j), E_1, E_2(i-1)))$. In view of property 4 of Claim 3.5, we have $|\mathcal{S}_i(j)| \ge 2$, and in view of property 1 of Claim 3.6, the size of T_j is $2|\mathcal{S}_i(j)| - 1$. Hence condition C2 implies condition C1, and thus it is enough to prove condition C2.

In view of Claim 3.5 and of property 1 of Claim 3.6, for every list L(i), such that the couple (i, L(i)) is in E_2 , the sum of sizes of all tries appearing in terms of L(i) is $(2\sum_{j\in\mathcal{H}} |\mathcal{S}_i(j)|) - |\mathcal{H}|$, where \mathcal{H} is the set of integers j such that $|\mathcal{S}_i(j)| \geq 2$ and $\mathcal{S}_i(j)$ is the set of augmented truncated views at depth i of all nodes u of G, for which RetrieveLabel $(\mathcal{B}^{i-1}(u), E_1, E_2(i-1)) = j$.

Let \mathcal{H}' be the set of integers j, such that $|\mathcal{S}_i(j)| = 1$. By Claim 3.7 we have $\mathcal{H} \cup \mathcal{H}' = \{1, 2, \ldots, |\mathcal{S}_{i-1}|\}, \bigcup_{j \in \mathcal{H} \cup \mathcal{H}'} \mathcal{S}_i(j) = \mathcal{S}_i$, and $\mathcal{S}_i(j_1) \cap \mathcal{S}_i(j_2) = \emptyset$, for distinct $j_1, j_2 \in \mathcal{H} \cup \mathcal{H}'$. Hence $\sum_{j \in \mathcal{H} \cup \mathcal{H}'} |\mathcal{S}_i(j)| = |\mathcal{S}_i|$. It follows that:

$$\sum_{j \in \mathcal{H}} |\mathcal{S}_i(j)| + \sum_{j \in \mathcal{H}'} |\mathcal{S}_i(j)| = |\mathcal{S}_i|$$
(1)

$$2(\sum_{j \in \mathcal{H}} |\mathcal{S}_i(j)| + \sum_{j \in \mathcal{H}'} |\mathcal{S}_i(j)|) + |\mathcal{H}| - |\mathcal{H}| = 2|\mathcal{S}_i|$$

$$\tag{2}$$

$$2(\sum_{j\in\mathcal{H}}|\mathcal{S}_i(j)|) - |\mathcal{H}| = 2|\mathcal{S}_i| - 2(\sum_{j\in\mathcal{H}'}|\mathcal{S}_i(j)|) - |\mathcal{H}|$$
(3)

$$= 2|\mathcal{S}_i| - 2(\sum_{j \in \mathcal{H}'} |\mathcal{S}_i(j)|) - 2|\mathcal{H}| + |\mathcal{H}|$$
(4)

$$\leq 2|\mathcal{S}_i| - 2|\mathcal{H} \cup \mathcal{H}'| + |\mathcal{H}| \tag{5}$$

$$\leq 2|\mathcal{S}_i| - 2|\mathcal{S}_{i-1}| + |\mathcal{H}| \tag{6}$$

However, we know that $\sum_{j \in \mathcal{H} \cup \mathcal{H}'} |\mathcal{S}_i(j)| = |\mathcal{S}_i|$ and $\mathcal{H} \cup \mathcal{H}' = \{1, 2, \dots, |\mathcal{S}_{i-1}|\}$. Thus we have:

$$|\mathcal{S}_i| - |\mathcal{S}_{i-1}| = \sum_{j \in \mathcal{H} \cup \mathcal{H}'} |\mathcal{S}_i(j)| - |\mathcal{H} \cup \mathcal{H}'|$$
(7)

$$=\sum_{j\in\mathcal{H}\cup\mathcal{H}'}(|\mathcal{S}_i(j)|-1)$$
(8)

$$=\sum_{j\in\mathcal{H}}(|\mathcal{S}_i(j)|-1) + \sum_{j\in\mathcal{H}'}(|\mathcal{S}_i(j)|-1)$$
(9)

Note that $\sum_{j \in \mathcal{H}'} (|\mathcal{S}_i(j)| - 1) = 0$ because, by definition of \mathcal{H}' , we have $|\mathcal{S}_i(j)| = 1$, for all $j \in \mathcal{H}'$. It follows that

$$|\mathcal{S}_i| - |\mathcal{S}_{i-1}| = \sum_{j \in \mathcal{H}} |\mathcal{S}_i(j)| - |\mathcal{H}|$$
(10)

Since for all $j \in \mathcal{H}$ we have $|\mathcal{S}_i(j)| \ge 2$, it follows that

$$\sum_{j \in \mathcal{H}} |\mathcal{S}_i(j)| - |\mathcal{H}| \ge |\mathcal{H}|.$$
(11)

Equations (10) and (11) imply that

$$|\mathcal{S}_i| - |\mathcal{S}_{i-1}| \ge |\mathcal{H}|. \tag{12}$$

Hence equations (6) and (12) imply:

$$2(\sum_{j\in\mathcal{H}}|\mathcal{S}_i(j)|) - |\mathcal{H}| \le 3(|\mathcal{S}_i| - |\mathcal{S}_{i-1}|).$$
(13)

As mentioned above, the sum of sizes of all tries appearing in terms of L(i) is $(2\sum_{j\in\mathcal{H}} |\mathcal{S}_i(j)|) - |\mathcal{H}|$. Thus, by Claim 3.5, the sum of sizes of all tries appearing in terms of lists L(i) appearing in terms of E_2 is at most $\sum_{i=2}^{\phi} 3(|\mathcal{S}_i| - |\mathcal{S}_{i-1}|) = 3(|\mathcal{S}_{\phi}| - |\mathcal{S}_2|) \leq 3n$. This proves condition C2 and concludes the proof that the length of $bin(E_2)$ is in $O(n \log n)$.

We are now able to conclude the proof of Part 1 of our theorem. We have seen that the computation of E_2 terminates, and that the length of $bin(E_2)$ is in $O(n \log n)$. By Claim 3.4, the computation of E_1 terminates and the length of $bin(E_1)$ is in $O(n \log n)$. By property 1 of Claim 3.7, the computation of the labeled BFS tree T in ComputeAdvice(G) terminates, and the labels of nodes of T are in O(n) because $|\mathcal{S}_{\phi}| = n$. Hence, Proposition 3.1 implies that the length of bin(T) is in $O(n \log n)$. Finally, the length of $bin(\phi)$ is in $O(\log n)$ because $\phi \leq n$. It follows that Algorithm ComputeAdvice(G) terminates, and the length of the returned string $Concat(bin(\phi), A_1, A_2)$ (where $A_1 = Concat(bin(E_1), bin(E_2))$ and $A_2 = bin(T)$) is in $O(n \log n)$.

It remains to prove Part 2 of the theorem. Using advice $Concat(bin(\phi), A_1, A_2)$ returned by ComputeAdvice(G), every node of G executing Algorithm Elect can decode the objects ϕ, E_1, E_2 , and T computed by Algorithm ComputeAdvice(G). After ϕ rounds, each node u of G learns its augmented truncated view at depth ϕ , and can execute RetrieveLabel($\mathcal{B}^{\phi}(u), E_1, E_2$) which terminates in view of Claims 3.4 (if $\phi = 1$) and 3.7 (if $\phi \geq 2$), as $E_2 = E_2(\phi)$. According to these claims and by Proposition 2.1, for all distinct nodes u and u' of G, we have RetrieveLabel($\mathcal{B}^{\phi}(u), E_1, E_2$). Moreover, there exists exactly one node u'' of G such that RetrieveLabel($\mathcal{B}^{\phi}(u), E_1, E_2$) = 1. Since each node u of the BFS tree T is labeled by the integer RetrieveLabel($\mathcal{B}^{\phi}(u), E_1, E_2$), each node executing Algorithm Elect outputs the sequence of port numbers corresponding to the unique simple path in the tree T, from this node to the node u''. Consequently, all nodes perform correct leader election, which proves Part 2 of our theorem.

We now prove two lower bounds on the size of advice for election in the minimum time, i.e., in time equal to the election index ϕ . For $\phi = 1$ we establish the lower bound $\Omega(n \log \log n)$, and for $\phi > 1$ we establish the lower bound $\Omega(n(\log \log n)^2/\log n)$. Both these bounds differ from our upper bound $O(n \log n)$ only by a polylogarithmic factor.

The high-level idea of the proofs of these bounds is the following. Given a positive integer ϕ we construct, for arbitrarily large integers n, families of n-node graphs with election index ϕ and with the property that each graph of such a family must receive different advice for any election algorithm working in time ϕ for all graphs of this family. This property is established by showing that, if two graphs G_1 and G_2 from the family received the same advice, some nodes v_1 in G_1 and v_2 in G_2 would have to output identical sequences of port numbers because they have identical augmented truncated views at depth ϕ , which would result in failure of leader election in one of these graphs. Since the constructed families are large enough, the above property implies the desired lower bound on the size of advice for at least one graph in the family.

We start with the construction of a family $\mathcal{F}(x) = \{C_1, \ldots, C_y\}$ of labeled (x+1)-node cliques, for $x \geq 2$. All these cliques will have node labels $r, v_0, v_1, \ldots, v_{x-1}$. We first define a clique C by assigning its port numbers. Assign port number i, for $0 \le i \le x - 1$, to the port at r corresponding to the edge $\{r, v_i\}$. The rest of the port numbers are assigned arbitrarily. We now show how to produce the cliques of the family $\mathcal{F}(x)$ from the clique C. Consider all sequences of x integers from the set $\{1, \ldots, x - 1\}$. There are $y = (x - 1)^x$ such sequences. Let (s_1, \ldots, s_y) be any enumeration of them. Let $s_t = (h_0, h_1, \ldots, h_{x-1})$, for a fixed $t = 1, \ldots, y$. The clique C_t is defined from clique C by assigning port $(p + h_j) \mod x$ instead of port p at node v_j , for all pairs $0 \le j, p \le x - 1$.

We first consider the election index $\phi = 1$.

Theorem 3.2 For arbitrarily large integers n, there exist n-node graphs with election index 1, such that leader election in time 1 in these graphs requires advice of size $\Omega(n \log \log n)$.

Proof. Fix an integer $k \ge 2^{16}$, and let $x = \lceil 2 \log k / \log \log k \rceil$. We have $k \le y = (x-1)^x$. We first define a graph H_k using the family $\mathcal{F}(x)$, cf. Fig. 1.

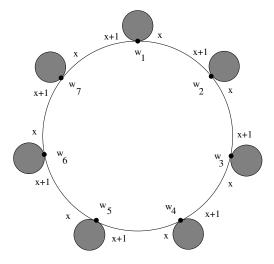


Figure 1: A representation of the graph H_7 . The grey discs represent cliques from $\mathcal{F}(x)$.

Consider a ring of size k with nodes w_1, \ldots, w_k . Attach an isomorphic copy of the clique C_t to node w_t , by identifying w_t with node r of this copy and taking all other nodes different from the nodes of the ring. (The term "isomorphic" means that all port numbers are preserved.) All attached cliques are pairwise node-disjoint. Assign ports x and x + 1 corresponding to edges of the ring at each of its nodes, in the clockwise order. This concludes the construction of graph H_k .

Finally we produce a family \mathcal{G}_k consisting of (k-1)! graphs as follows. Keep the clique at node w_1 of the ring in H_k fixed, and permute arbitrarily cliques attached to all other nodes of the ring. Then delete all node labels.

The proof relies on the following two claims. The first claim establishes the election index of graphs in \mathcal{G}_k .

Claim 3.8 All graphs in the family \mathcal{G}_k have election index 1.

To prove the claim it is enough to show that all these graphs have election index at most 1. Hence it suffices to show that all augmented truncated views at depth 1 are distinct. Fix a graph G in \mathcal{G}_k . Consider two nodes u and v of G. First suppose that they belong to the same clique. If they have different degrees (in the graph G) then $\mathcal{B}^1(u) \neq \mathcal{B}^1(v)$. If they have the same degree, then the port numbers at the unique node r of degree x + 2 in this clique corresponding to edges $\{r, u\}$ and $\{r, v\}$ must be different, and hence $\mathcal{B}^1(u) \neq \mathcal{B}^1(v)$ as well. Next suppose that u and v are in different cliques C_u and C_v , respectively. Again, if they have different degrees then $\mathcal{B}^1(u) \neq \mathcal{B}^1(v)$. Hence assume that they have the same degree. Consider two cases.

Case 1. The degree of u and of v is x + 2.

By the construction of the family $\mathcal{F}(x)$, there exists an integer $0 \leq i \leq x-1$ with the following property. Let u' be the node of C_u , such that the port at u corresponding to edge $\{u, u'\}$ is i, and let v' be the node of C_v , such that the port at v corresponding to edge $\{v, v'\}$ is i. The port at u' corresponding to edge $\{u, u'\}$ is different from the port at v' corresponding to edge $\{v, v'\}$, and hence $\mathcal{B}^1(u) \neq \mathcal{B}^1(v)$.

Case 2. The degree of u and of v is x.

Let r_u be the unique node of degree x + 2 in the clique C_u , and let r_v be the unique node of degree x + 2 in the clique C_v . Consider the edges $e_u = \{r_u, u\}$ and $e_v = \{r_v, v\}$. If the port number at r_u corresponding to edge e_u is different from the port number at r_v corresponding to edge e_v , or the port number at u corresponding to edge e_u is different from the port number at v corresponding to edge e_v , then $\mathcal{B}^1(u) \neq \mathcal{B}^1(v)$. Hence assume that the respective port numbers are equal. For any integer $0 \le i \le x - 1$, let a_i be the node of degree x in C_u , such that the port number at r_u corresponding to edge $\{r_u, a_i\}$ is i, and let b_i be the node of degree x in C_v , such that the port number at r_v corresponding to edge $\{r_v, b_i\}$ is i. By the construction of the family $\mathcal{F}(x)$, we have the following two properties:

1. The port number at u corresponding to edge $\{u, a_i\}$, for any $a_i \neq u$, is equal to the port number at v corresponding to edge $\{v, b_i\}$ (because the port number at u corresponding to edge e_u is equal to the port number at v corresponding to edge e_v);

2. There exists an integer $0 \le i \le x - 1$, such that $a_i \ne u$ and the port number at a_i corresponding to edge $\{u, a_i\}$, is different from the port number at b_i corresponding to edge $\{v, b_i\}$ (because the cliques C_u and C_v correspond to different cliques from the family $\mathcal{F}(x)$).

Hence $\mathcal{B}^1(u) \neq \mathcal{B}^1(v)$ in all cases. This concludes the proof of the claim.

The next claim will imply a lower bound on the number of different pieces of advice needed to perform election in the family \mathcal{G}_k in time 1.

Claim 3.9 Consider any election algorithm working for the family \mathcal{G}_k in time 1. The advice given to distinct graphs in this family must be different.

In the proof of the claim we will use the following observation that follows from the fact that port numbers in the ring in all graphs of the family \mathcal{G}_k are the same.

Observation. Let G_1 and G_2 be any graphs from the family \mathcal{G}_k . Let C_t be an arbitrary clique from the family $\mathcal{F}(x)$ used to form the graph H_k . For j = 1, 2, let r_j be the node of G_j by which the clique isomorphic to C_t is attached to the ring in this graph. Then $\mathcal{B}^1(r_1) = \mathcal{B}^1(r_2)$.

The proof of the claim is by contradiction. Fix an election algorithm and suppose that two graphs G_1 and G_2 from the family \mathcal{G}_k get the same advice. Let z_1 be the node elected in G_1 and z_2 the node elected in G_2 . Denote by C' the clique containing z_1 and by C'' the clique containing z_2 . Let r' be the unique node of degree x + 2 in C' and let r'' be the unique node of degree x + 2in C''.

Consider two cases.

Case 1. The cliques C' and C'' are non-isomorphic.

Let s be the node in G_2 at which the clique isomorphic to C' is attached. By the observation, the augmented truncated view $\mathcal{B}^1(r')$ in G_1 is equal to the augmented truncated view $\mathcal{B}^1(s)$ in G_2 . Since G_1 and G_2 get the same advice, nodes r' in G_1 and s in G_2 must output the same sequence of port numbers corresponding to a simple path to the leader. The sequence outputted by node r' in G_1 cannot contain the port number x, hence the sequence outputted by node s in G_2 cannot contain the port number x either. This is a contradiction, because every path from s to z_2 in G_2 must use port x at least once.

Case 2. The cliques C' and C'' are isomorphic.

Since graphs G_1 and G_2 differ only by the permutation of cliques, there must exist isomorphic cliques D' in G_1 and D'' in G_2 , such that the clockwise distance (in the ring) from the unique node s' of degree x + 2 in D' to node r' is different than the clockwise distance (in the ring) from the unique node s'' of degree x + 2 in D'' to node r''. Since D' and D'' are isomorphic, by the observation, the augmented truncated view $\mathcal{B}^1(s')$ in G_1 is equal to the augmented truncated view $\mathcal{B}^1(s'')$ in G_2 . Since G_1 and G_2 get the same advice, nodes s' in G_1 and s'' in G_2 output the same sequence of port numbers corresponding to a simple path to the leader. Without loss of generality, suppose that the first number in the outputted sequences is x; the case when it is x + 1 is similar. Due to the differences in clockwise distances from s' to r' and from s'' to r'', the number of integers x in both sequences must be different, which gives a contradiction. This concludes the proof of the claim.

Our lower bound will be shown on the family $\mathcal{G} = \bigcup_{k=2^{16}}^{\infty} \mathcal{G}_k$. Consider an election algorithm working in all graphs of this family in time 1. For any $k \geq 2^{16}$, let $n_k = k(\lceil 2 \log k / \log \log k \rceil + 1)$. Graphs in the family \mathcal{G}_k have size n_k . By Claim 3.8, all these graphs have election index 1. By Claim 3.9, all of them must get different advice. Since, for any $k \geq 2^{16}$, there are (k-1)!graphs in \mathcal{G}_k , at least one of them must get advice of size $\Omega(\log((k-1)!)) = \Omega(k \log k)$. We have $k \log k \in \Theta(n_k \log \log n_k)$. Hence there exists an infinite sequence of integers n_k such that there are n_k -node graphs with election index 1 that require advice of size $\Omega(n_k \log \log n_k)$ for election in time 1.

We next consider the election index $\phi > 1$. The lower bound in this case uses a construction slightly more complicated than for $\phi = 1$. Note that a straightforward generalization of the previous construction would lead to a lower bound $\Omega(n \log \log n/\phi)$ which would be too weak for our purpose, as ϕ can be much larger than polylogarithmic in n.

Theorem 3.3 Let ϕ be an integer larger than 1. For arbitrarily large integers n, there exist n-node graphs with election index ϕ , such that leader election in time ϕ in these graphs requires advice of size $\Omega(n(\log \log n)^2)/\log n)$.

Proof. Fix an even integer $k \ge 2^{16}$, and let $x = \lceil 2 \log k / \log \log k \rceil$. We have $k \le y = (x - 1)^x$. We construct a family \mathcal{N}_k of graphs, called *k*-necklaces. This family is derived from a graph \mathcal{M}_k defined as follows, cf. Fig. 2.

Let w_1, \ldots, w_k be nodes, that will be called *joints*. Let D_1, \ldots, D_{k-1} be pairwise disjoint cliques of size x. These cliques will be called *diamonds*. Attach every node of D_i , for $i = 1, \ldots, k-1$, to w_i and to w_{i+1} by edges called *rays*. Next, let E_1, \ldots, E_k be distinct cliques from the family $\mathcal{F}(x)$. These cliques will be called *emeralds*. Attach E_i to w_i , for $i = 1, \ldots, k$, by identifying w_i with the node r of E_i (see the definition of the family $\mathcal{F}(x)$). Since $k \leq (x-1)^x$, there are enough cliques in $\mathcal{F}(x)$. Finally, consider chains of nodes $(a_0, \ldots, a_{\phi-2})$ and $(b_0, \ldots, b_{\phi-2})$, such that all these nodes are distinct and different from all previously constructed nodes. Attach $a_{\phi-2}$ to w_1 by an edge and attach $b_{\phi-2}$ to w_k by an edge.

We next assign port numbers to the above constructed graph M_k . First fix the same arbitrary port numbering (from the range $\{0, \ldots, x-2\}$) inside each diamond D_i . Then, for any diamond D_i , assign number x - 1 to the port at any node of D_i corresponding to the ray joining it to w_i ,

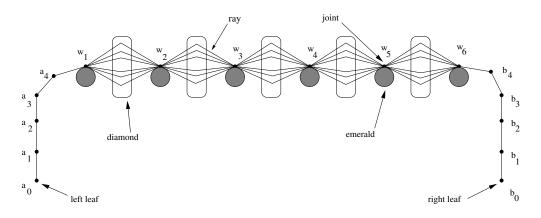


Figure 2: A representation of the graph M_k for k = 6.

and assign number x to the port at any node of D_i corresponding to the ray joining it to w_{i+1} . Keep the port numbering inside each emerald as it is defined in the description of the family $\mathcal{F}(x)$. Next we define port numbers corresponding to all rays at nodes w_1, \ldots, w_k . Port numbers at node w_1 corresponding to rays joining it to diamond D_1 , and port numbers at node w_k corresponding to rays joining it to diamond D_{k-1} are assigned arbitrarily from the range $\{x, \ldots, 2x-1\}$. The port number at node w_1 corresponding to the edge joining it to $a_{\phi-2}$, and the port number at node w_k corresponding to the edge joining it to $b_{\phi-2}$ is 2x. Consider a node w_i , for 1 < i < k. If i is even, then port numbers at node w_i corresponding to rays joining it to diamond D_{i-1} are assigned arbitrarily from the range $\{x, \ldots, 2x - 1\}$, and port numbers at node w_i corresponding to rays joining it to diamond D_i are assigned arbitrarily from the range $\{2x, \ldots, 3x-1\}$. If i is odd, then port numbers at node w_i corresponding to rays joining it to diamond D_{i-1} are assigned arbitrarily from the range $\{2x, \ldots, 3x - 1\}$, and port numbers at node w_i corresponding to rays joining it to diamond D_i are assigned arbitrarily from the range $\{x, \ldots, 2x-1\}$. It remains to assign port numbers at nodes of the two chains. The unique port number at nodes a_0 and b_0 is 0. Call node a_0 the left leaf and call node b_0 the right leaf. If $\phi > 2$ then $\phi - 2 > 0$, and the port number at node $a_{\phi-2}$ (resp. $b_{\phi-2}$) corresponding to the edge joining it to w_1 (resp. to w_k) is 0, while the other port number at node $a_{\phi-2}$ (resp. $b_{\phi-2}$) corresponding to the unique other edge is 1. If $\phi > 3$ then $\phi - 2 > 1$ and, for every $0 < i < \phi - 2$, the port number at node a_i (resp. b_i) corresponding to the edge joining it to a_{i-1} (resp. b_{i-1}) is 1, and the port number at node a_i (resp. b_i) corresponding to the edge joining it to a_{i+1} (resp. b_{i+1}) is 0. Finally we delete all node labels. This concludes the construction of graph M_k .

The family \mathcal{N}_k of k-necklaces is defined from the graph M_k as follows. Let $C = (c_1, \ldots, c_k)$ be any sequence of integers from the range $\{0, \ldots, x\}$, such that $c_1 = c_k = 0$. Such a sequence is called the *code* of a graph in \mathcal{N}_k . The graph corresponding to code C is obtained from M_k by replacing any port number p at any node of D_i , for $i \leq k$, by $(p + c_i) \mod (x + 1)$. All the rest of the graph M_k remains intact. This concludes the construction of the family of k-necklaces.

The proof relies on the following two claims similar to those from the proof of Theorem 3.2. The first claim establishes the election index of graphs in \mathcal{N}_k .

Claim 3.10 All graphs in the family \mathcal{N}_k have election index ϕ .

To prove the claim first observe that the election index of graphs in the family \mathcal{N}_k is at least ϕ because, by the construction of the graph M_k , we have $\mathcal{B}^{\phi-1}(v) = \mathcal{B}^{\phi-1}(w)$, where v and w are the

only nodes of degree 1 in any k-necklace (i.e., the left and right leaves). Hence it is enough to prove that the augmented truncated views at depth ϕ of any two nodes in any k-necklace are different.

Consider any two nodes v and w in a k-necklace. If v is a joint and w is not, then v and w have different degrees, hence $\mathcal{B}^1(v) \neq \mathcal{B}^1(w)$, and hence $\mathcal{B}^{\phi}(v) \neq \mathcal{B}^{\phi}(w)$. If both v and w are joints then $\mathcal{B}^1(v) \neq \mathcal{B}^1(w)$, using an argument similar to that in Case 1 in the proof of Claim 3.8 (because v and w correspond to nodes r in two different graphs from the family $\mathcal{F}(x)$), and hence $\mathcal{B}^{\phi}(v) \neq \mathcal{B}^{\phi}(w)$. Hence we may assume that none of nodes v and w are joints. Every node that is not a joint is at distance at most $\phi - 1$ from a joint. Consider the joint v' corresponding to the lexicographically smallest among the shortest paths from v to a joint. Let s_v be the sequence of port numbers in the first path, and let s_w be the sequence of port numbers in the second path. If $s_v \neq s_w$, then $\mathcal{B}^{\phi-1}(v) \neq \mathcal{B}^{\phi-1}(w)$, and hence $\mathcal{B}^{\phi}(v) \neq \mathcal{B}^{\phi}(w)$. If $s_v = s_w$ then $v' \neq w'$ because the same sequence of port numbers cannot correspond to paths from distinct nodes to the same node. As stated above, the augmented truncated views at depth 1 of all joints are unique. It follows that $\mathcal{B}^{\phi}(v) \neq \mathcal{B}^{\phi}(w)$. This proves the claim.

The next claim will imply a lower bound on the number of different pieces of advice needed to perform election in the family \mathcal{N}_k in time ϕ .

Claim 3.11 Consider any election algorithm working for the family \mathcal{N}_k in time ϕ . The advice given to distinct graphs in this family must be different.

In the proof of the claim we will use the following observation following from the fact that all codes of k-necklaces start and finish with a 0.

Observation. Let N_1 and N_2 be any graphs from the family \mathcal{N}_k . Augmented truncated views at depth ϕ of left leaves in N_1 and N_2 are equal, and augmented truncated views at depth ϕ of right leaves in N_1 and N_2 are equal.

The proof of the claim is by contradiction. Fix an election algorithm and suppose that two graphs from the family \mathcal{N}_k , graph N_1 with code (c_1, \ldots, c_k) and graph N_2 with code (c'_1, \ldots, c'_k) , get the same advice. Let i be the smallest index such that $c_i \neq c'_i$. In view of the unicity of the leader in every k-necklace, the sequence outputted by the left leaf of N_1 , or the sequence outputted by the right leaf of N_1 must correspond to a simple path containing a ray of the diamond D_i . Suppose that this is the case for the left leaf and that i is even. The remaining three cases can be analyzed similarly. Denote by σ the prefix of the sequence outputted by the left leaf of N_1 that corresponds to a path from this leaf to the "ith joint from the left", i.e., more precisely, the joint at distance $(\phi - 1) + 2(i - 1)$ from the left leaf. The first term following the prefix σ in the sequence outputted by the left leaf of N_1 is some integer $y \in \{2x, 2x+1, \ldots, 3x-1\}$ (because otherwise the corresponding path would visit at least twice the *i*th joint from the left, and hence this path would not be simple) and the second term following the prefix σ in the sequence outputted by the left leaf of N_1 is $(x+c_i) \mod (x+1)$ by the construction of the family \mathcal{N}_k . By the observation, the left leaf of N_2 outputs the same sequence as the left leaf of N_1 , with the same prefix σ , followed by $(y, (x+c_i) \mod (x+1))$. By the minimality of i, the path corresponding to σ reaches the ith joint from the left in N_2 . By the construction of the family \mathcal{N}_k , the edge incident to the *i*th joint from the left in N_2 with port number y at this joint has the other port number $(x+c'_i) \mod (x+1)$. This is a contradiction, because $(x + c_i) \mod (x + 1) \neq (x + c'_i) \mod (x + 1)$, as $c_i \neq c'_i$ and both c_i and c'_i are at most x. This proves the claim.

Consider the family $\mathcal{N} = \bigcup_{k=\max(2^{16},\phi)}^{\infty} \mathcal{N}_k$. Our lower bound will be proven on the family \mathcal{N} . Consider an election algorithm working in all graphs of this family in time ϕ . For any $k \geq \max(2^{16},\phi)$, let $n_k = 2(\phi-1) + k(x-1) + (k-1)x$. Graphs in the family \mathcal{N}_k have size n_k .

Since $x = \lceil 2 \log k / \log \log k \rceil$, we have $n_k \in \Theta(k \log k / \log \log k)$. By Claim 3.10, all these graphs have election index ϕ . By Claim 3.11, all of them must get different advice. Since, for any $k \ge \max(2^{16}, \phi)$, there are $(x + 1)^{k-3}$ graphs in \mathcal{N}_k , at least one of them must get advice of size $\Omega(\log((x + 1)^{k-3})) = \Omega(k \log \log k)$. We have $k \log \log k \in \Theta(n_k (\log \log n_k)^2 / \log n_k)$. Hence there exists an infinite sequence of integers n_k such that there are n_k -node graphs with election index ϕ that require advice of size $\Omega(n_k (\log \log n_k)^2 / \log n_k)$ for election in time ϕ .

4 Election in large time

In this section we study the minimum size of advice sufficient to perform leader election when the allocated time is large, i.e., when it exceeds the diameter of the graph by an additive offset which is some function of the election index ϕ of the graph. We consider four values of this offset, for an integer constant c > 1: $\phi + c$, $c\phi$, ϕ^c , and c^{ϕ} . In the first case the offset is asymptotically equal to ϕ , in the second case it is linear in ϕ but the multiplicative constant is larger than 1, in the third case it is polynomial in ϕ but super-linear, and in the fourth case it is exponential in ϕ . Note that, even in the first case, that calls for the fastest election among these four cases (in time $D + \phi + c$), the allocated time is large enough for all nodes to see all the differences in truncated views of other nodes, which makes a huge difference between leader election in such a time and in the minimum possible time ϕ . For all these four election times, we establish tight bounds on the minimum size of advice that enables election in this time, up to multiplicative constants.

We start by designing an algorithm that performs leader election in time at most D + x + 1, for any graph of diameter D and election index ϕ , provided that nodes receive as input an integer $x \ge \phi$). Note that nodes of the graph know neither D nor ϕ . We will then show how to derive from this generic algorithm four leader election algorithms using larger and larger time and smaller and smaller advice.

The high-level idea of Algorithm Generic is the following. Nodes of the graph communicate between them and acquire augmented truncated views at increasing depths. Starting from round x, they discover augmented truncated views at depth x of an increasing set of nodes. They stop in the round when no new augmented truncated views at depth x are discovered. At this time, we have the guarantee that all nodes learned all augmented truncated views at depth x. Hence, to solve leader election, it suffices that every node outputs a sequence of port numbers leading to a node with the lexicographically smallest augmented truncated view at depth x. Since $x \ge \phi$, the augmented truncated view at depth x of every node is unique in the graph. Hence all nodes output sequences of port numbers leading to the same node.

Below we give a detailed description of Algorithm Generic. It is executed by a node u that gets the integer x as input.

The following lemma establishes the correctness of Algorithm Generic and estimates its execution time.

Lemma 4.1 For any graph G of diameter D and election index ϕ , Algorithm Generic(x), with any parameter $x \ge \phi$, is a correct leader election algorithm and works in time at most D + x + 1.

Proof. All rounds are numbered starting at 0. Let u be a node of graph G executing Algorithm Generic(x), with any parameter $x \ge \phi$. Let S be the set of augmented truncated views at depth x in G. Let s be the node of G such that $\mathcal{B}^x(s)$ is the lexicographically smallest among the augmented truncated views from S. The node s is unique in view of Proposition 2.1 and because $x \ge \phi$. First we show that the execution of Algorithm Generic(x) by node u stops at the latest in round D + x.

for r := 0 to x - 1 do COM(r) $r \leftarrow x$ repeat COM(r) $\mathcal{B} \leftarrow \mathcal{B}^{r+1}(u)$ $X \leftarrow$ the set of augmented truncated views $\mathcal{B}^x(v)$, for all nodes v at depth at most r - x in \mathcal{B} $Y \leftarrow$ the set of augmented truncated views $\mathcal{B}^x(v)$, for all nodes v at depth exactly r - x + 1 in \mathcal{B} until $Y \subseteq X$ $\mathcal{B}_{min} \leftarrow$ the lexicographically smallest among augmented truncated views from X $W \leftarrow$ the set of nodes v of smallest depth in \mathcal{B} , such that $\mathcal{B}^x(v) = \mathcal{B}_{min}$ $w \leftarrow$ the node from W corresponding to the lexicographically smallest sequence of port numbers

 $w \leftarrow$ the hode from w corresponding to the textcographically smallest sequence of port numbers return the sequence of port numbers corresponding to the shortest path from u to w in \mathcal{B}

If this execution did not stop earlier, in round D + x the value of X is S because, in round D + x, the set X is the set of augmented truncated views $\mathcal{B}^x(v)$, for all nodes v at depth at most D in $\mathcal{B}^{D+x+1}(u)$. Since $Y \subseteq S$ in every round, it follows that $Y \subseteq X$ in round D + x. Hence, in round D + x, node u outputs a sequence of port numbers and stops its execution.

It remains to show that the sequences of port numbers outputted by all nodes in G correspond to simple paths in this graph, whose other extremity is the same node. In view of the unicity of s, it is enough to show that the node u outputs a sequence corresponding to a simple path in G, whose other extremity is s. By the description of the algorithm, node u cannot terminate before round x. Hence, as shown above, there exists an integer $0 \le j \le D$, such that u outputs a sequence of ports in round x + j. Hence, in this round, $Y \subseteq X$. Since every node in G has a unique augmented truncated view at depth x, all nodes of G are at distance at most j from u. Hence, in round x + j, the set X contains $\mathcal{B}^x(s)$. By the definition of the variable w in the algorithm, it follows that the node u outputs a sequence of port numbers corresponding to a shortest path leading to s. Such a shortest path must be simple, which concludes the proof.

We now describe four algorithms, called $\texttt{Election}_i$, for i = 1, 2, 3, 4, working for graphs of diameter D and election index ϕ . Recall the notation ic defined by induction as follows: ${}^0c = 1$ and ${}^{i+1}c = c^{ic}$. Intuitively it denotes a tower of powers. For an integer constant c > 1, let $T_1 = D + \phi + c$, $T_2 = D + c\phi$, $T_3 = D + \phi^c$, and $T_4 = D + c^{\phi}$. Let A_1 be the binary representation of ϕ , let A_2 be the binary representation of $\lfloor \log \phi \rfloor$, and let A_4 be the binary representation of $\log^* \phi$. Hence the size of A_1 is $O(\log \phi)$, the size of A_2 is $O(\log \log \phi)$, and the size of A_4 is $O(\log(\log^* \phi))$. Define the following integers. $P_1 = \phi$, $P_2 = 2^{\lfloor \log \phi \rfloor + 1} - 1$, $P_3 = 2^{2^{\lfloor \log \log \phi \rfloor + 1}} - 1$, and $P_4 = (\log^* \phi) + 12 - 1$.

Algorithm $\texttt{Election}_i$ uses advice A_i and will be shown to work in time T_i . It consists of a single instruction:

Algorithm 8 Election_i

 $Generic(P_i)$

We will prove the following theorem.

Theorem 4.1 Let G be a graph of diameter D and election index ϕ . Let c > 1 be any integer constant.

- 1. Algorithm $\texttt{Election}_1$ solves leader election in G in time at most $D + \phi + c$ and using $O(\log \phi)$ bits of advice.
- 2. Algorithm $\texttt{Election}_2$ solves leader election in G in time at most $D + c\phi$ and using $O(\log \log \phi)$ bits of advice.
- 3. Algorithm Election₃ solves leader election in G in time at most $D+\phi^c$ and using $O(\log \log \log \phi)$ bits of advice.
- 4. Algorithm Election₄ solves leader election in G in time at most $D+c^{\phi}$ and using $O(\log(\log^* \phi))$ bits of advice.

Proof.

1. Algorithm Election₁ uses advice A_1 which is the binary representation of ϕ of size $O(\log \phi)$. It first computes ϕ using A_1 , and then calls Algorithm Generic(ϕ). In view of Lemma 4.1, Algorithm Generic(ϕ) solves leader election in G in time at most $D + \phi + 1 < D + \phi + c$. This proves part 1 of the theorem.

2. Algorithm Election₂ uses advice A_2 which is the binary representation of $\lfloor \log \phi \rfloor$ of size $O(\log \log \phi)$. It first computes $\lfloor \log \phi \rfloor$ using A_2 , then computes $P_2 = 2^{\lfloor \log \phi \rfloor + 1} - 1$ and calls Algorithm Generic(P_2). Notice that $P_2 \ge \phi$. In view of Lemma 4.1, Algorithm Generic(P_2) solves leader election in G in time at most $D + P_2 + 1 = D + 2^{\lfloor \log \phi \rfloor + 1}$. This is at most $D + 2\phi$ and hence at most $D + c\phi$, since c is an integer larger than 1.

3. Algorithm Election₃ uses advice A_3 which is the binary representation of $\lfloor \log \log \phi \rfloor$ of size $O(\log \log \log \phi)$. It first computes $\lfloor \log \log \phi \rfloor$ using A_3 , then computes $P_3 = 2^{2^{\lfloor \log \log \phi \rfloor + 1}} - 1$ and calls Algorithm Generic(P_3). Notice that $P_3 \ge \phi$. In view of Lemma 4.1, Algorithm Generic(P_3) solves leader election in G in time at most $D + P_3 + 1 = D + 2^{2^{\lfloor \log \log \phi \rfloor + 1}}$. This is at most $D + \phi^2$ and hence at most $D + \phi^c$, since c is an integer larger than 1.

4. Algorithm Election₄ uses advice A_4 which is the binary representation of $\log^* \phi$ of size $O(\log(\log^* \phi))$. It first computes $\log^* \phi$ using A_4 , then computes $P_4 = (\log^* \phi)^{+1}2 - 1$ and calls Algorithm Generic(P_4). Notice that $P_4 \ge \phi$. In view of Lemma 4.1, Algorithm Generic(P_4) solves leader election in G in time at most $D + P_4 + 1 = D + (\log^* \phi)^{+1}2$. This is at most $D + 2^{\phi}$ and hence at most $D + c^{\phi}$, since c is an integer larger than 1.

Remark. Notice that the first part of the theorem remains valid for c = 1, and the proof remains the same. Hence, it is possible to perform leader election in time $D + \phi + 1$ using $O(\log \phi)$ bits of advice. We do not know if the same is true for the time $D + \phi$, but in this time it is possible to elect a leader using $O(\log D + \log \phi)$ bits of advice. Indeed, it suffices to provide the nodes with values of the diameter D and of the election index ϕ . Equipped with this information, each node u learns $\mathcal{B}^{D+\phi}(u)$ in time $D + \phi$. Then, knowing D, it knows that nodes that it sees in this augmented truncated view at distance at most D from the root of this view represent all nodes of the graph. Knowing the value of ϕ , node u can reconstruct $\mathcal{B}^{\phi}(v)$, for each such node v, and hence find in $\mathcal{B}^{D+\phi}(u)$ a representation of the node w in the graph, whose augmented truncated view $\mathcal{B}^{\phi}(v)$ is lexicographically smallest. Finally, the node u can output a sequence of port numbers corresponding to one of the shortest paths from u to w in $\mathcal{B}^{D+\phi}(u)$. The following theorem provides matching lower bounds (up to multiplicative constants) on the minimum size of advice sufficient to perform leader election in time corresponding to our four milestones.

Theorem 4.2 Let α be a positive integer, and let c > 1 be any integer constant.

- 1. Consider any leader election algorithm working in time at most $D + \phi + c$, for all graphs of diameter D and election index ϕ . There exist graphs with election index at most α such that this algorithm in these graphs requires advice of size $\Omega(\log \alpha)$.
- 2. Consider any leader election algorithm working in time at most $D + c\phi$, for all graphs of diameter D and election index ϕ . There exist graphs with election index at most α such that this algorithm in these graphs requires advice of size $\Omega(\log \log \alpha)$.
- 3. Consider any leader election algorithm working in time at most $D + \phi^c$, for all graphs of diameter D and election index ϕ . There exist graphs with election index at most α such that this algorithm in these graphs requires advice of size $\Omega(\log \log \log \alpha)$.
- 4. Consider any leader election algorithm working in time at most $D + c^{\phi}$, for all graphs of diameter D and election index ϕ . There exist graphs with election index at most α such that this algorithm in these graphs requires advice of size $\Omega(\log(\log^* \alpha))$.

Proof. The high-level idea of the proof relies on the construction of (ordered) families of graphs with controlled growth of election indices, and with the property that, for any election algorithm working in the prescribed time, graphs from different families must receive different advice. Since the growth of election indices in the constructed sequence of families is controlled (it is linear in part 1), this implies the desired lowered bound on the size of advice. In order to prove that advice in different families must be different, we have to show that otherwise the algorithm would fail in one of these families. The difficulty lies in constructing the families of graphs in such a way as to confuse the hypothetical algorithm in spite of the fact that, as opposed to the situation in Theorems 3.2 and 3.3, the algorithm has now a lot of time: nodes can see all the differences in augmented truncated views of other nodes. In this situation, the way to confuse the algorithm is to make believe two nodes that they are in a graph with a smaller diameter and thus have to stop early, outputting a path to the leader, while in reality they are in a graph of large diameter, and each of them has seen less than "half" of the graph, which results in outputting by each of them a path to a different leader. These nodes are fooled because their augmented truncated views in the large graph at the depth requiring them to stop in the smaller graph are the same as in this smaller graph. In order to assure this, parts of the smaller graph must be carefully reproduced in the large graph, which significantly complicates the construction and the analysis.

We give the proof of part 1 and then show how to modify it to prove the three remaining parts. For any integer $z \ge 4$, we first define the following graph of size z + 2, called a *z*-lock, cf. Fig. 3.

Take a cycle of size 3, with port numbers 0, 1 in clockwise order at each node, and attach to one of the nodes of the cycle a clique of size z by identifying this node with one of the nodes of the cycle. The port numbers in the clique are assigned arbitrarily. Let w be the only node of degree z + 1 in a z-lock. Call it the *central node* of the lock. The node v of the cycle such that the port at w corresponding to the edge $\{w, v\}$ is 0, is called the *principal node* of the lock.

Let G_1 and G_2 be disjoint graphs. We say that a graph G is of the form $G_1 * G_2$ (cf. Fig. 4), if and only if, there exist two nodes, a node a from G_1 and a node b from G_2 , such that the graph G results from G_1 and G_2 by adding the edge $\{a, b\}$. We define similarly a graph of the form $G_1 * G_2 * \cdots * G_r$.

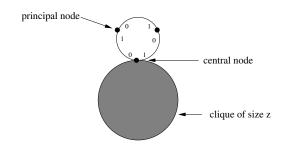


Figure 3: A representation of a z-lock.

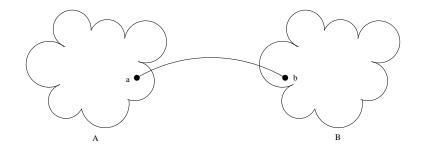


Figure 4: A representation of the graph A * B.

For any integer x, denote A(x,c) = x + c, B(x,c) = cx + 2x + 1, and R(x) = x. We use this notation to be able to derive the proofs of parts 2, 3, and 4 of the theorem from the proof of part 1, by suitably changing only the definitions of functions A, B, and R.

Fix a leader election algorithm \mathcal{A} working in time at most $D + A(\phi, c)$ for all graphs of diameter D and election index ϕ . In part 1, $A(\phi, c) = \phi + c$, hence the time of the algorithm is $D + \phi + c$, as supposed. Let k^* be such that $B(k^*, c) \leq \alpha < B(k^* + 1, c)$. We will construct, by induction on k, ordered families (i.e., sequences) $\mathcal{T}_0, \ldots, \mathcal{T}_k$ of graphs, for $k \leq k^*$, satisfying the following properties.

1. Any graph G of any sequence \mathcal{T}_i can be unambiguously represented in the form $L_1 * M * L_2$, where L_i is a z_i -lock, for i = 1, 2, where $z_1 < z_2$.

 L_1 is called the *left* lock of the graph, L_2 is called the *right* lock of the graph and M is called the *central part* of the graph. The principal node of L_1 is called the *left* principal node of the graph, and the principal node of L_2 is called the *right* principal node of the graph.

- 2. For any $i \leq k$, any indices $j_1 < j_2$, and any graphs G_{j_1} and G_{j_2} from \mathcal{T}_i , the size of the right lock of G_{j_1} is smaller than the size of the left lock of G_{j_2} .
- 3. All nodes of all graphs of any sequence \mathcal{T}_i have degree at least 2.
- 4. For any $i \leq k$, the diameter of all graphs of the sequence \mathcal{T}_i is the same.
- 5. For any i < j, the diameter of graphs from \mathcal{T}_i is smaller than the diameter of graphs from T_j .
- 6. For any $i \leq k$, the advice used by algorithm \mathcal{A} for all graphs of the sequence \mathcal{T}_i is the same.

- 7. For any i < j, the advice used by algorithm \mathcal{A} for graphs of the sequence \mathcal{T}_i is different from the advice used by algorithm \mathcal{A} for graphs of the sequence \mathcal{T}_j .
- 8. For any $i \leq k$, and any graph G from \mathcal{T}_i , the election index of G is at most B(i, c).
- 9. For any i < j, for any graph G from \mathcal{T}_j , there exist graphs $G' \neq G''$ from \mathcal{T}_i , such that the augmented truncated view $\mathcal{B}^{D+A(B(i,c),c)}(v)$ in G is equal to the augmented truncated view $\mathcal{B}^{D+A(B(i,c),c)}(v')$ in G', and the augmented truncated view $\mathcal{B}^{D+A(B(i,c),c)}(w)$ in G is equal to the augmented truncated view $\mathcal{B}^{D+A(B(i,c),c)}(w)$ in G is equal to the augmented truncated view $\mathcal{B}^{D+A(B(i,c),c)}(w)$ in G is equal to the augmented truncated view $\mathcal{B}^{D+A(B(i,c),c)}(w')$ in G'', where D is the diameter of graphs in \mathcal{T}_i , v is the left principal node of G, v' is the left principal node of G', w is the right principal node of G''.
- 10. For any graph G of any sequence \mathcal{T}_i , the distance between the left principal node of G and the right principal node of G is equal to the diameter of G.
- 11. For any graph G of any sequence \mathcal{T}_i , the diameter of G is at least $A(\alpha, c) + 4$.
- 12. For any $i \leq k$, there are $(2\alpha)^{\alpha-i}$ graphs in the sequence \mathcal{T}_i .
- 13. For any $i \leq k$, any graphs $G' \neq G''$ from \mathcal{T}_i , any node u' from G', and any node u'' from G'', the augmented truncated views $\mathcal{B}^{B(i,c)}(u')$ and $\mathcal{B}^{B(i,c)}(u'')$ are different.

We first prove that, given sequences $\mathcal{T}_0, \ldots, \mathcal{T}_{k^*}$, with the above properties, we can prove our result. By properties 6 and 7, there exist k^* graphs that receive different pieces of advice. By property 8, election indices of these graphs are all at most $B(k^*, c) \leq \alpha$. By definition, $k^* \in \Omega(R(\alpha))$. Hence there exists a graph with election index at most α that requires advice of size $\Omega(\log(R(\alpha)))$. In part 1, $R(\alpha) = \alpha$, hence we get the required lower bound $\Omega(\log \alpha)$. In order to complete the proof of part 1, it remains to construct sequences $\mathcal{T}_0, \ldots, \mathcal{T}_{k^*}$, with the above properties. (Note that we used only properties 6, 7 and 8, but the remaining properties are necessary to carry out the inductive construction.)

We proceed with the construction of sequences $\mathcal{T}_0, \ldots, \mathcal{T}_k$, for $k \leq k^*$ of graphs, by induction on k. For k = 0 we first construct a sequence \mathcal{S}_0 of graphs. The sequence \mathcal{S}_0 consists of $s_0 = 2^{\alpha} \cdot \alpha^{\alpha+1}$ graphs G_i , for $0 \leq i \leq s_0 - 1$ defined as follows. For $0 \leq i \leq s_0 - 1$ define $x_i = 4 + 2i(\alpha + c + 2) + i$. To construct the graph G_i take an x_i -lock with the node u of degree $x_i + 1$, which will be the left lock of this graph, and an $(x_i + 2(\alpha + c + 2))$ -lock with the node v of degree $x_i + 2(\alpha + c + 2) + 1$, which will be the right lock of this graph. Join nodes u and v by a chain of length $\alpha + c + 2$ with internal nodes $w_1, w_2, \ldots, w_{\alpha+c+1}$, where w_1 is adjacent to u and $w_{\alpha+c+1}$ is adjacent to v. Attach a clique of size $x_i + 2j$ to node w_j by identifying one of the nodes of the clique with w_j . All port numbers in locks remain unchanged and all port numbers outside of locks are assigned arbitrarily. Finally, remove all node labels. This completes the construction of graph G_i . We have given its unambiguous representation in the form required in property 1. This completes the construction of a graph form \mathcal{S}_0 is given in Fig. 5.

Before continuing the construction we prove the following claim.

Claim 4.1 The election index of all graphs in S_0 is 1.

To prove the claim it is enough to show that $\mathcal{B}^1(w') \neq \mathcal{B}^1(w'')$, for any nodes $w' \neq w''$ in any graph of \mathcal{S}_0 . By construction, nodes $u, w_1, w_2, \ldots, w_{\alpha+c+1}, v$ in the chain have unique degrees, and every node of the graph is at distance at most 1 from one of them. If w' and w'' are at distance

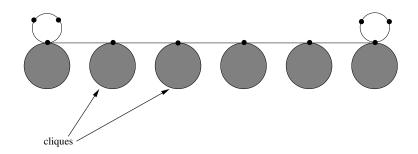


Figure 5: A representation of a graph from S_0 .

exactly 1 from two distinct nodes of this chain, then $\mathcal{B}^1(w') \neq \mathcal{B}^1(w'')$ because nodes in the chain have different degrees. If w' and w'' are at distance exactly 1 from the same node r of the chain then $\mathcal{B}^1(w') \neq \mathcal{B}^1(w'')$ because port numbers at r corresponding to edges $\{r, w'\}$ and $\{r, w''\}$ must be different. Finally, if one of the nodes w' and w'' is in the chain then $\mathcal{B}^1(w') \neq \mathcal{B}^1(w'')$ because, by construction, they must have different degrees. This concludes the proof of the claim.

Next we define a subsequence \mathcal{T}_0 of the sequence \mathcal{S}_0 with the following two properties: the size of \mathcal{T}_0 is $(2\alpha)^{\alpha}$, and all graphs in \mathcal{T}_0 receive the same advice. We can assume that such a subsequence exists because otherwise the number of distinct pieces of advice received by graphs from \mathcal{S}_0 would be at least α (because \mathcal{S}_0 has size $2^{\alpha} \cdot \alpha^{\alpha+1}$) which would prove part 1 of our theorem, in view of Claim 4.1. The verification that the sequence \mathcal{T}_0 satisfies properties 1–12 is immediate, and property 13 is implied by the fact that nodes in chains of two different graphs from \mathcal{T}_0 have different degrees, and every node is at distance at most 1 from one of them.

Assume by induction that sequences $\mathcal{T}_0, \ldots, \mathcal{T}_k$ of graphs, for $k < k^*$, have been already constructed, and that they satisfy properties 1 - 13. We now construct the sequence \mathcal{T}_{k+1} of graphs. Let $\mathcal{T}_k = \{H_1, \ldots, H_{t_k}\}$, where $t_k = (2\alpha)^{\alpha-k}$. We first define the sequence $\mathcal{S}_{k+1} = \{Q_1, \ldots, Q_{t_{k/2}}\}$ of graphs, where Q_i is the result of the *merge operation* of H_{2i} and H_{2i+1} .

In order to define the merge operation of graphs H_{2i} and H_{2i+1} from \mathcal{T}_k , we first define the pruned view of a node u in any graph G. Let p_1, \ldots, p_t be any port numbers at node u. The pruned view of u at depth ℓ with respect to ports p_1, \ldots, p_t is a tree of height ℓ rooted at u, that is denoted by $\mathcal{PV}_G(u, \{p_1, \ldots, p_t\}, \ell)$ and is defined by induction on ℓ . For $\ell = 0$ we define $\mathcal{PV}_G(u, \{p_1, \ldots, p_t\}, 0) = \{u\}$. Suppose that $\mathcal{PV}_G(u, \{p_1, \ldots, p_t\}, \ell)$ is already defined, for any node v and with respect to any port numbers at v. Let T be the tree of height 1 rooted at u whose leaves are all neighbors v of u except the neighbors w_i such that the port at u corresponding to the edge $\{u, w_i\}$ is p_i , for $1 \leq i \leq t$. Assign at all edges of this tree the same port numbers as in graph G. Let v_1, \ldots, v_r be the leaves of T. Let q_i be the port number at v_i corresponding to the edge $\{v_i, u\}$. Attach to v_i the tree $\mathcal{PV}_G(v_i, \{q_i\}, \ell)$ by identifying v_i with the root of this tree. The resulting tree is $\mathcal{PV}_G(v, \{p_1, \ldots, p_t\}, \ell+1)$. Notice that, as opposed to the truncated view at depth ℓ , the pruned view at depth ℓ does not contain repeated port numbers at any node, and hence can be used as a building block for graph constructions. We will use pruned views in this way in the sequel.

The following claim will enable us to replace a subgraph of a graph by the pruned view of an articulation node without changing its augmented truncated view.

Claim 4.2 Let u be an articulation node of a graph G, and let p_1, \ldots, p_t be the port numbers at this node, such that the removal of edges corresponding to these ports disconnects the graph into at least two connected components. Let G' be the connected component containing u, after removal of these edges. Let G* be the graph resulting from G by replacing the subgraph G' by the pruned view

 $\mathcal{PV}_G(u, \{p_1, \ldots, p_t\}, \ell)$, for positive ℓ . Then the augmented truncated view $\mathcal{B}^{\ell-1}(u)$ is the same in graphs G and G^* , and the augmented truncated view $\mathcal{B}^{d+\ell-1}(v)$, for all nodes v outside of G', is the same in graphs G and G^* , where d is the distance between u and v in G.

In order to prove the claim, it suffices to show that $\mathcal{B}^{\ell-1}(u)$ is the same in graphs G and G^* . The other part follows from this because u is an articulation node. In order to prove that $\mathcal{B}^{\ell-1}(u)$ is the same in graphs G and G^* , it is enough to prove that $\mathcal{V}^{\ell}(u)$ is the same in graphs G and G^* , it is enough to prove that $\mathcal{V}^{\ell}(u)$ is the same in graphs G and G^* . This is equivalent to the fact that sequences of port numbers of even length at most 2ℓ , corresponding to paths of $\mathcal{V}^{\ell}(u)$, are the same in graphs G and G^* . Define a normal sequence of port numbers as a sequence $(q_1, q'_1, \ldots, q_j, q'_j)$, such that $q_{i+1} \neq q'_i$, for any i < j. A normal sequence corresponds to a path in a graph, whose consecutive edges are never equal. For any $\ell' \leq \ell$, let $\mathcal{V}^{\ell'}$ be the set of sequences, of even length at most $2\ell'$, of port numbers, corresponding to the paths in the view $\mathcal{V}^{\ell'}(u)$ in G, let $\mathcal{V}^{\ell'}$ be the set of sequences, of even length at most $2\ell'$, be the set of normal sequences, of even length at most $2\ell'$, of port numbers, corresponding to the paths in the view $\mathcal{V}^{\ell'}(u)$ in G^* , let $\mathcal{W}^{\ell'}$ be the set of normal sequences, of even length at most $2\ell'$, of port numbers, corresponding to the paths in the view $\mathcal{V}^{\ell'}(u)$ in G^* , let $\mathcal{W}^{\ell'}$ be the set of normal sequences, of even length at most $2\ell'$, of port numbers, corresponding to the paths in the view $\mathcal{V}^{\ell'}(u)$ in G^* , let $\mathcal{W}^{\ell'}$ be the set of normal sequences, of even length at most $2\ell'$, of port numbers, corresponding to the paths in $\mathcal{V}^{\ell'*}$. Note that $\mathcal{V}^{\ell'} = \mathcal{V}^{\ell'*}$ if and only if $\mathcal{W}^{\ell'} = \mathcal{W}^{\ell'*}$.

We prove by induction on ℓ' , such that $1 \leq \ell' \leq \ell$, that $\mathcal{W}^{\ell'} = \mathcal{W}^{\ell'*}$. For $\ell' = 1$ this follows from the fact that, by construction, $\mathcal{V}^1(u)$ is the same in both graphs. Hence if $\ell = 1$ the proof is done. So consider that $\ell \geq 2$. To prove the inductive step, suppose that, for some integer r such that $2 \leq r \leq l$, we have $\mathcal{W}^{\ell'} = \mathcal{W}^{\ell'*}$, for all $\ell' < r$. We have to prove that $\mathcal{W}^r = \mathcal{W}^{r*}$. Let π be a sequence from \mathcal{W}^r . The definition of $\mathcal{PV}_G(u, \{p_1, \ldots, p_t\}, r)$ implies that the sets of normal sequences of even length at most 2r starting at u and having the first port number outside of $\{p_1, \ldots, p_t\}$ are the same in \mathcal{V}^r and in \mathcal{V}^{r*} . If the first term of π is outside of $\{p_1, \ldots, p_t\}$, then π is in \mathcal{W}^{r*} by the preceding statement. Consider a sequence π in \mathcal{W}^{r} whose first port number is in $\{p_1, \ldots, p_t\}$. There are two cases. If the node u does not appear again in the path in G corresponding to π , then π is also in \mathcal{W}^{r*} by construction. Otherwise, let π' be the shortest prefix of π , such that, in the corresponding path in G, the node u appears exactly twice, i.e., this path is a loop ending at u. (The prefix π' has necessarily even length). The path in G^* starting at u and corresponding to π' ends at node u as well, by construction. Let π'' be the part of π after removal of π' . The length of π'' is even and smaller than r. By the inductive hypothesis, π'' is in $\mathcal{W}^{(r-1)*}$. Hence the sequence π , which is the concatenation of π' and π'' belongs to \mathcal{W}^{r*} . This proves the inclusion $\mathcal{W}^r \subseteq \mathcal{W}^{r*}$. The proof of the other inclusion is similar. Hence, for all ℓ' such that $1 \leq \ell' \leq \ell$, we have $\overline{\mathcal{W}}^{\ell'} = \mathcal{W}^{\ell'*}$. As noted before, this implies that $\mathcal{V}^{\ell}(u)$ is the same in graphs G and G^* and hence $\mathcal{B}^{\ell-1}(u)$ is also the same in these graphs. This proves the claim.

We are now ready to define the merge operation of graphs H_{2i} and H_{2i+1} from \mathcal{T}_k . The result of such a merge operation is illustrated in Fig. 7. By property 1, the graph H_{2i} is of the form $L_1 * M' * L_2$, where L_1 is its left lock and L_2 is its right lock, and H_{2i+1} is of the form $L_3 * M'' * L_4$, where L_3 is its left lock and L_4 is its right lock. The result of the merge operation of graphs H_{2i} and H_{2i+1} is the graph Q of the form $L_1 * N * L_4$, where L_1 is its left lock, L_4 is its right lock, and N is defined below.

First define the transformations $T(L_2)$ and $T(L_3)$ of locks L_2 and L_3 , cf. Fig. 6. Suppose that L_2 is a z-lock and let u be its central node. Replace the 3-cycle of the lock by the pruned view $\mathcal{PV}_{H_{2i}}(u, \{2, \ldots, z+1\}, B(k+1, c))$. More precisely, remove the two nodes adjacent to u in this cycle, together with the incident edges, and attach the above pruned view at u, by identifying u with the root of this pruned view. Let m_1, \ldots, m_t be the leaves of $\mathcal{PV}_{H_{2i}}(u, \{2, \ldots, z+1\}, B(k+1, c))$. Let x be the largest degree of any of the previously constructed graphs. For all $1 \leq f \leq t$, attach a clique of size x + 4f to the leaf m_f by identifying one node of this clique with this leaf. This

concludes the description of $T(L_2)$. The central node of L_2 is also called the central node of $T(L_2)$. The transformation $T(L_3)$ is defined similarly, with L_2 replaced by L_3 , t replaced by t', x + 4freplaced by x + 4f + 4t + 4 and H_{2i} replaced by H_{2i+1} .

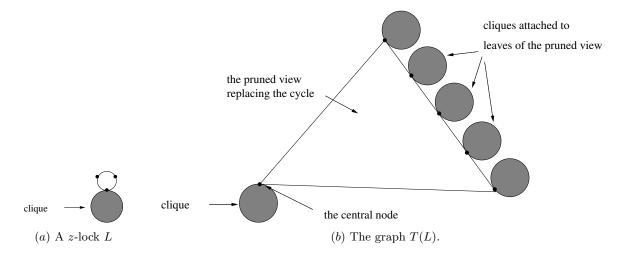


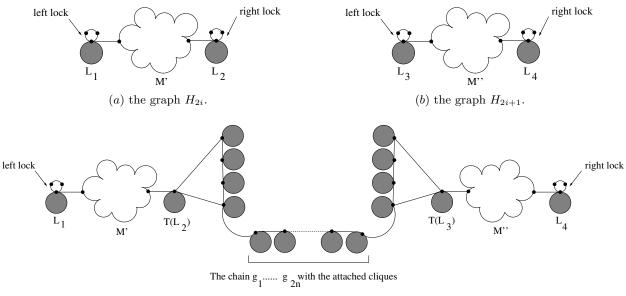
Figure 6: A z-lock and its transformation.

Next define a subgraph X. Let n be the maximum size of all previously defined graphs. Let g_1, \ldots, g_{2n} be nodes yet unused in the construction, forming a chain. Let y be the largest degree of $T(L_3)$. For all $1 \le f \le 2n$, attach a clique of size y + 4f to node g_f by identifying one node of this clique with it. All attached cliques are pairwise disjoint and consist of nodes not used before. Assign all port numbers arbitrarily. This completes the construction of the subgraph X. Attaching larger and larger cliques to different nodes will guarantee properties 8 and 13 for graphs of \mathcal{T}_{k+1} .

Finally, the subgraph N of the graph Q under construction is defined as follows. Let a be the node with the highest degree in $T(L_2)$, and let b be the node with the highest degree in $T(L_3)$. Let c' be the node in M' and let b' be the node in L_2 such that the edge $\{c', b'\}$ attaches M' to L_2 in H_{2i} . Let c'' be the node in M'' and let b'' be the node in L_3 such that the edge $\{c', b'\}$ attaches M'' to L_2 in $T(L_3)$. In fact, by construction, b' is the central node of $T(L_2)$, and b'' is the central node of $T(L_3)$. Attach M' to $T(L_2)$ by edge $\{c', b'\}$ (keeping the port numbers), attach a to g_1 by a new edge with smallest port numbers not yet used at each endpoint, attach g_{2n} to b by a new edge with smallest port numbers. The resulting graph is N. In the graph Q of the form $L_1 * N * L_4$, the subgraph N is attached to L_1 by the edge that attached M' to L_1 in H_{2i+1} . This concludes the description of the graph Q. Fig. 7 illustrates the merge operation leading to such a graph. We have given its unambiguous representation in the form required in property 1. This completes the construction of the sequence S_{k+1} , from which the subsequence \mathcal{T}_{k+1} will be extracted.

Before defining the subsequence \mathcal{T}_{k+1} of \mathcal{S}_{k+1} , we prove the following four claims. The first claim is implied by property 3 of graphs in \mathcal{T}_k , that holds by the inductive assumption. Since graphs in \mathcal{T}_k do not have nodes of degree one, all branches of a pruned view of any node can be extended indefinitely. More precisely, we have:

Claim 4.3 For any graph G in \mathcal{T}_k , any node u of G, and any non-negative integer ℓ , all leaves in



(c) the result of the merge operation of H_{2i} and H_{2i+1} .

Figure 7: Illustration of the merge operation of H_{2i} and H_{2i+1} .

 $\mathcal{PV}(u, P, \ell)$, are exactly at distance ℓ (in $\mathcal{PV}(u, P, \ell)$) from the root of $\mathcal{PV}(u, P, \ell)$, provided that the size of P is strictly smaller than the degree of u in G.

The next claim states property 1 for graphs in S_{k+1} . It follows from the construction of this class and from property 2 for the sequence T_k .

Claim 4.4 Any graph Q from S_{k+1} can be unambiguously represented in the form $L_1 * M * L_2$, where L_i is a z_i -lock, for i = 1, 2, where $z_1 < z_2$.

The next claim states property 8 for graphs in S_{k+1} .

Claim 4.5 For any graph Q from S_{k+1} , the election index of Q is at most B(k+1,c).

In order to prove the claim, it is enough to show that for any distinct nodes u and v from Q, we have $\mathcal{B}^{B(k+1,c)}(u) \neq \mathcal{B}^{B(k+1,c)}(v)$). Let Q be the result of the merge operation of graphs H_{2i} and H_{2i+1} from \mathcal{T}_k . Hence Q is of the form $L_1 * M' * T(L_2) * X * T(L_3) * M'' * L_4$, where L_1 is the left lock of H_{2i} , M' is the central part of H_{2i} , M'' is the central part of H_{2i+1} , and L_4 is the right lock of H_{2i+1} . Let Y be the subgraph of Q of the form $T(L_2) * X * T(L_3)$. Let Z' be the subgraph of Q of the form $L_1 * M'$, and let Z'' be the subgraph of Q of the form $M'' * L_4$. Let $P(T(L_i))$, for i = 2, 3, be the set of nodes of the subclique of $T(L_i)$ attached to its central node, excluding the central node itself. Let W be the set of all nodes of Q at which cliques are attached during the merge operation of graphs H_{2i} and H_{2i+1} . By construction, each of the nodes of W has a unique degree with respect to all graphs from S_{k+1} and in particular, a unique degree in Q. By Claim 4.3, all nodes from Y are at distance at most B(k + 1, c) from some node of W. Consider three cases. Case 1. Both nodes u and v are from Y, outside of $P(T(L_2)) \cup P(T(L_3))$.

In each of $\mathcal{B}^{B(k+1,c)}(u)$ and $\mathcal{B}^{B(k+1,c)}(v)$, there exists a node with a unique degree in Q. If there is a node in one of these views, such that a node of the same degree does not appear in the other view, then $\mathcal{B}^{B(k+1,c)}(u) \neq \mathcal{B}^{B(k+1,c)}(v)$. Otherwise, there is a node w with a unique degree in Q that appears in both views. Since the two sequences of port numbers corresponding to paths from u to w and from v to w must be different, this implies $\mathcal{B}^{B(k+1,c)}(u) \neq \mathcal{B}^{B(k+1,c)}(v)$.

Case 2. One of the nodes u or v is in Y, outside of $P(T(L_2)) \cup P(T(L_3))$, and the other is either in Z', or Z'' or in $P(T(L_2)) \cup P(T(L_3))$.

Without loss of generality, assume that u is in Y, outside of $P(T(L_2)) \cup P(T(L_3))$, and v is either in Z', or Z'' or in $P(T(L_2)) \cup P(T(L_3))$. In view of the Claim 4.3, the central nodes of $T(L_2)$ and $T(L_3)$ are at distance at least B(k + 1, c) from all nodes in W. By construction, the node vis at distance at least B(k + 1, c) + 1 from all nodes in W. Hence, there is a node in $\mathcal{B}^{B(k+1,c)}(u)$, such that no node of the same degree appears in $\mathcal{B}^{B(k+1,c)}(v)$. Hence $\mathcal{B}^{B(k+1,c)}(u) \neq \mathcal{B}^{B(k+1,c)}(v)$. Case 3. Each of u and v is either in Z', or Z'' or in $P(T(L_2)) \cup P(T(L_3))$.

Consider the subcase where u is in Z' or in $P(T(L_1))$ and v is in Z'' or in $P(T(L_2))$. By Claim 4.2, $\mathcal{B}^{B(k+1,c)}(u)$ is the same in H_{2i} and in Q. Hence $\mathcal{B}^{B(k,c)}(u)$ is the same in H_{2i} and in Q. Similarly, $\mathcal{B}^{B(k,c)}(v)$ is the same in H_{2i+1} and in Q. By property 13 for the sequence \mathcal{T}_k , we have $\mathcal{B}^{B(k,c)}(u) \neq \mathcal{B}^{B(k,c)}(v)$, hence $\mathcal{B}^{B(k+1,c)}(u) \neq \mathcal{B}^{B(k+1,c)}(v)$. The other subcase is when both u and v are either in Z' or in $P(T(L_1))$, or they are both in Z'' or in $P(T(L_2))$. The argument in this subcase is similar as above. This concludes the proof of the claim.

The last claim states property 13 for S_{k+1} . It follows from this property for T_k , using arguments similar to those used to prove Claim 4.5.

Claim 4.6 For any graphs $G' \neq G''$ from S_{k+1} , any node u' from G' and any node u'' from G'', the augmented truncated views $\mathcal{B}^{B(k+1,c)}(u')$ and $\mathcal{B}^{B(k+1,c)}(u'')$ are different.

Finally we define a subsequence \mathcal{T}_{k+1} of the sequence \mathcal{S}_{k+1} with the following two properties: the size of \mathcal{T}_{k+1} is $(2\alpha)^{\alpha-k-1}$, and all graphs in \mathcal{T}_{k+1} receive the same advice. We can assume that such a subsequence exists. Indeed, first observe that \mathcal{T}_k has size $2^{\alpha-k} \cdot \alpha^{\alpha-k}$, by property 12 for \mathcal{T}_k , and that the size of \mathcal{S}_{k+1} is half the size of \mathcal{T}_k by construction. Hence, the size of \mathcal{S}_{k+1} is $2^{\alpha-k-1} \cdot \alpha^{\alpha-k}$. If a subsequence \mathcal{T}_{k+1} of the sequence \mathcal{S}_{k+1} with the above two properties could not be extracted from \mathcal{S}_{k+1} , this would mean that the number of distinct pieces of advice received by graphs from \mathcal{S}_{k+1} would be at least $\frac{|\mathcal{S}_{k+1}|}{(2\alpha)^{\alpha-k-1}} = \alpha$. This in turn would imply, in view of Claim 4.5, that one of the graphs with election index at most B(k+1,c) would receive advice of size $\Omega(\log \alpha)$ which would prove part 1 of our theorem (because $k + 1 \leq k^*$ and thus $B(k+1,c) \leq \alpha$).

This concludes the construction of the sequence \mathcal{T}_{k+1} . It remains to prove that this sequence has all the properties 1–13.

Property 1 holds for \mathcal{T}_{k+1} because it holds for \mathcal{S}_{k+1} by Claim 4.4. In order to prove property 2 for \mathcal{T}_{k+1} , it is enough to prove it for all graphs in \mathcal{S}_{k+1} . To do this, consider any graphs Q_i and Q_j from \mathcal{S}_{k+1} , such that i < j. By construction, the graph Q_i is the result of the merge of graphs H_{2j} and H_{2j+1} from \mathcal{T}_k , and the graph Q_j is the result of the merge of graphs H_{2j} and H_{2j+1} from \mathcal{T}_k . The right lock of Q_i is the right lock L_i of H_{2i+1} , and the left lock of Q_j is the left lock L_j of H_{2j} . Let L_i be a z_i -lock, and let L_j be a z_j -lock. By property 2 for \mathcal{T}_k , we have $z_i < z_j$ because 2j > 2i + 1. This proves property 2 for \mathcal{S}_{k+1} and hence for \mathcal{T}_{k+1} . In order to prove property 3 for \mathcal{T}_{k+1} , take a graph Q from this sequence. It is a result of the merge operation of two graphs from \mathcal{T}_{k+1} hold by the definition of this sequence. Properties 5 and 11 for \mathcal{T}_{k+1} follow from these properties for \mathcal{T}_k and from the fact that the result of the merge operation of two graphs is a graph of diameter larger than that of each of them. Property 8 for \mathcal{T}_{k+1} follows from Claim 4.5, and property 13 for \mathcal{T}_{k+1} follows from Claim 4.6.

Properties 4 and 10 for \mathcal{T}_{k+1} will be proved together as follows. For any graph Q from \mathcal{T}_{k+1} , we first compute the distance between the left principal node of Q and the right principal node of Q. This distance turns out to be the same for all graphs in \mathcal{T}_{k+1} . Then we prove that the distance between any two nodes of any graph from \mathcal{T}_{k+1} is at most this value.

Let Q be the result of the merge operation of graphs H' and H'' from \mathcal{T}_k . The graph H' is of of the form $L_1 * M' * L_2$, where L_1 is its left lock and L_2 is its right lock, and the graph H'' is of the form $L_3 * M'' * L_4$, where L_3 is its left lock and L_4 is its right lock. Let u be the left principal node of H', and let u' be the right principal node of H'. Let v' be the left principal node of H'', and let v be the right principal node of H''. Note that u is the left principal node of Q, and vis the right principal node of Q. By construction, the graph Q can be represented in the form $L_1 * M' * T(L_2) * X * T(L_3) * M'' * L_4$. Consider nodes a in L_1 , b and c in M', d and e in $T(L_2)$, f and f' in X, e' and d' in $T(L_3)$, c' and b' in M'', and a' in L_4 , such that the edge $\{a, b\}$ joins L_1 to M', the edge $\{c, d\}$ joins M' to $T(L_2)$, the edge $\{e, f\}$ joins $T(L_2)$ to X, the edge $\{f', e'\}$ joins X to $T(L_3)$, the edge $\{d', c'\}$ joins $T(L_3)$ to M'', and the edge $\{b', a'\}$ joins M'' to L_4 . A representation of graph Q with the above notation is given in Fig. 8.

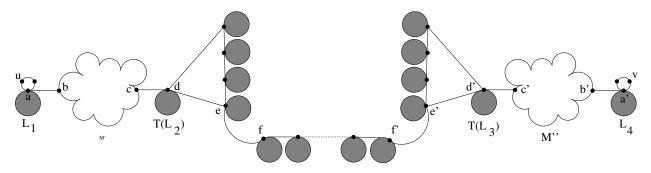


Figure 8: A representation of graph Q with the notations of components used in the proof of Properties 4 and 10.

Let $\delta_G(x, y)$ denote the distance between nodes x and y in the graph G. We first compute $\delta_Q(u, v)$. By property 4 for \mathcal{T}_k , all graphs in this sequence have the same diameter D. By property 10 for \mathcal{T}_k , we have $\delta_{H'}(u, u') = D$, hence $\delta_Q(u, c) = D - 2$, by construction. Similarly, $\delta_{H''}(v, v') = D$, hence $\delta_Q(v, c') = D - 2$. By construction and by Claim 4.3, we have $\delta_Q(d, e) = B(k+1, c)$. Similarly, $\delta_Q(d', e') = B(k+1, c)$. By construction, $\delta_Q(f, f') = 2n - 1$, because 2n - 1 is the length of the chain used in the merge procedure to construct the graph X. Hence $\delta_Q(u, v) = 2D + 2B(k+1, c) + 2n - 1$.

We now show, again for any graph Q from \mathcal{T}_k , that the distance between any two nodes x and y of Q is at most this value. Consider six cases.

Case 1. x and y are in L_1 or M' (respectively in L_4 or M'').

We give the argument for the first situation. The second one is symmetric. Since x and y are in L_1 or M', they are both in H' whose diameter is D. Hence $\delta_{H'}(x,y) \leq D$ and hence $\delta_Q(x,y) \leq D < \delta_Q(u,v)$.

Case 2. x and y are in $T(L_2)$ (respectively in $T(L_3)$).

We give the argument for the first situation. The second one is symmetric. By construction and by Claim 4.3, the diameter of $T(L_2)$ is $2B(k+1,c) + 2 < \delta_Q(u,v)$. Case 3. x and y are in X.

By construction, the diameter of X is $2n + 1 < \delta_Q(u, v)$. Case 4. x is in $T(L_2)$, and y is in X or in $T(L_3)$ (resp. x is in $T(L_2)$ or in X, and y is in $T(L_3)$). We give the argument for the first situation. The second one is symmetric. By construction and by Claim 4.3, the diameter of X is 2n + 1, and the diameter of $T(L_2)$ and $T(L_3)$ is 2B(k+1, c) + 2, hence $\delta_Q(x, y) \leq 4B(k+1, c) + 2n + 6$. On the other hand, we have $\delta_Q(u, v) = 2D + 2B(k+1, c) + 2n - 1$. By property 11 for \mathcal{T}_k we have $2D + 2B(k+1, c) + 2n - 1 \geq 2(A(\alpha, c) + 4) + 2B(k+1, c) + 2n - 1$. Since $A(\alpha, c) \geq B(k+1, c)$, we have $2(A(\alpha, c) + 4) + 2B(k+1, c) + 2n - 1 \geq 4B(k+1, c) + 2n + 7$. Hence $\delta_Q(x, y) < \delta_Q(u, v)$.

Case 5. x is in L_1 or M', and y is either in $T(L_2)$ or in X or in $T(L_3)$ (resp. x is in L_4 or M'', and y is either in $T(L_2)$ or in X or in $T(L_3)$).

We give the argument for the first situation. The second one is symmetric. First observe that every node in L_1 or in M' is at distance at most D-2 from c. Otherwise, it would be at distance at least D+1 in H' from u', which would contradict the fact that D is the diameter of H'. Consider three possibilities. If y is in $T(L_2)$ then, in view of the above observation and of the fact that d is at distance at most B(k+1,c)+1 from every node in $T(L_2)$, we have $\delta_Q(x,y) \leq D+B(k+1,c) < \delta_Q(u,v)$. If y is in X then $\delta_Q(x,y) \leq D+B(k+1,c)+2n+1 < \delta_Q(u,v)$. Finally, suppose that y is in $T(L_3)$. Since the distance between e' and any node in $T(L_3)$ is at most 2B(k+1,c)+1, and $\delta_Q(f,f') = 2n-1$, in view of the above observation we have $\delta_Q(x,y) \leq D+3B(k+1,c)+2n+1$. We have $A(\alpha,c) \geq B(k+1,c)$, and, by property 11 for \mathcal{T}_k , we have $D \geq A(\alpha,c)+4$. Hence $D+3B(k+1,c)+2n+1 \leq 2D+2B(k+1,c)+2n-3$. Hence $\delta_Q(x,y) \leq 2D+2B(k+1,c)+2n-3 < \delta_Q(u,v)$.

Case 6. x is in L_1 or M', and y is in M'' or in L_4 .

As noticed in the analysis of Case 5, $\delta_Q(x,c) \leq D-2$. Similarly, $\delta_Q(y,c') \leq D-2$. Moreover, $\delta_Q(d,d') = 2B(k+1,c) + 2n + 1$. Hence $\delta_Q(x,y) \leq 2D + 2B(k+1,c) + 2n - 1 = \delta_Q(u,v)$.

This concludes the proof of properties 4 and 10 for \mathcal{T}_{k+1} .

We now prove property 9 for $i \leq k$ and j = k + 1. First suppose that i = k and j = k + 1. Consider a graph Q from \mathcal{T}_{k+1} that results from the merge operation of graphs H' and H'' from \mathcal{T}_k . We keep the notation used in the analysis of properties 4 and 10. By construction and in view of Claim 4.2, we have the augmented truncated view $\mathcal{B}^{B(k+1,c)-1}(d)$ in graph Q is equal to the augmented truncated view $\mathcal{B}^{B(k+1,c)-1}(d)$ in graph Q is equal to the augmented truncated view $\mathcal{B}^{B(k+1,c)-1}(d')$ in graph Q is equal to the augmented truncated view $\mathcal{B}^{B(k+1,c)-1}(d')$ in graph Q is equal to the augmented truncated view $\mathcal{B}^{B(k+1,c)-1}(d')$ in graph H'. By construction, $\delta_Q(u, d) = D - 1$ and $\delta_Q(v, d') = D - 1$. By Claim 4.2, the augmented truncated view $\mathcal{B}^{D+B(k+1,c)-2}(u)$ in Q is equal to the augmented truncated view $\mathcal{B}^{D+B(k+1,c)-2}(u)$ in Q is equal to the augmented truncated view $\mathcal{B}^{D+B(k+1,c)-2}(u)$ in H'. Likewise, the augmented truncated view $\mathcal{B}^{D+B(k+1,c)-2}(v)$ in H''. By definition, $D + B(k+1,c) - 2 \geq D + A(B(k,c),c)$. This concludes the proof of property 9 when i = k and j = k + 1.

Next suppose that i < k and j = k + 1. By property 9 for indices i and k (holding by the inductive hypothesis), there exist two graphs J' and J'' in \mathcal{T}_i , such that the augmented truncated view $\mathcal{B}^{D'+A(B(i,c),c)}(w)$ in H' is equal to the augmented truncated view $\mathcal{B}^{D'+A(B(i,c),c)}(w')$ in J', and the augmented truncated view $\mathcal{B}^{D'+A(B(i,c),c)}(w')$ in J', where D' is the diameter of graphs in \mathcal{T}_i , w' is the left principal node of J', and w'' is the right principal node of J''.

However, as proven above, the augmented truncated view $\mathcal{B}^{D+A(B(k,c),c)}(u)$ in Q is equal to the augmented truncated view $\mathcal{B}^{D+A(B(k,c),c)}(u)$ in H', and the augmented truncated view $\mathcal{B}^{D+A(B(k,c),c)}(v)$ in Q is equal to the augmented truncated view $\mathcal{B}^{D+A(B(k,c),c)}(v)$ in H''.

Hence, since D > D' (by property 5 for j = k) and A(B(k,c),c) > A(B(i,c),c) for all i < k (by definition), the augmented truncated view $\mathcal{B}^{D'+A(B(i,c),c)}(u)$ in Q is equal to the augmented truncated view $\mathcal{B}^{D'+A(B(i,c),c)}(w')$ in J', and the augmented truncated view $\mathcal{B}^{D'+A(B(i,c),c)}(w)$ in Q is equal to the augmented truncated view $\mathcal{B}^{D'+A(B(i,c),c)}(w)$ in Q is equal to the augmented truncated view $\mathcal{B}^{D'+A(B(i,c),c)}(w)$ in Q is equal to the property also

holds when i < k and j = k + 1. This concludes the proof of property 9.

The last property to be proved for \mathcal{T}_{k+1} is property 7. By the inductive assumption, it is enough to prove it for $i \leq k$ and j = k + 1. Suppose, by contradiction, that graphs in the sequence \mathcal{T}_i , for some $i \leq k$, receive the same advice as graphs in \mathcal{T}_{k+1} . Let Q be a graph in \mathcal{T}_{k+1} . By property 8, all graphs from \mathcal{T}_i have election index at most B(i, c). Hence, for any graph H from \mathcal{T}_i , and any node z of H, z elects a leader after time at most D + A(B(i,c),c), where D is the diameter of graphs in \mathcal{T}_i . (Recall that in part 1 of the theorem, election must be performed after time at most $D + \phi + c$, where ϕ is the election index.) The node z must output a sequence of port numbers of length at most 2(m-1) (corresponding to a path of length at most m-1), where m is the maximum size of a graph from \mathcal{T}_i . By property 9, there exist graphs $H' \neq H''$ from \mathcal{T}_i , such that the augmented truncated view $\mathcal{B}^{D+A(B(i,c),c)}(u)$ in Q is equal to the augmented truncated view $\mathcal{B}^{D+A(B(i,c),c)}(u')$ in H', and the augmented truncated view $\mathcal{B}^{D+A(B(i,c),c)}(v)$ in Q is equal to the augmented truncated view $\mathcal{B}^{D+A(B(i,c),c)}(v'')$ in H'', where u is the left principal node of Q, u' is the left principal node of H', v is the right principal node of Q, and v'' is the right principal node of H". Hence, in view of our assumption that graphs in the sequence \mathcal{T}_i receive the same advice as graphs in \mathcal{T}_{k+1} , nodes u and v must also output sequences of port numbers of length at most 2(m-1) after time at most D + A(B(i, c), c). By construction, the distance between u and v in Q is at least 2m-1. Hence the sequences of port numbers outputted by u and v must correspond to paths in Q whose other extremities are different. It follows that u and v elect different leaders in Q, which gives a contradiction.

This concludes the inductive proof of all properties 1-13 for \mathcal{T}_{k+1} and hence concludes the proof that these properties hold for all \mathcal{T}_k , where $k \leq k^*$. This, in turn, finishes the proof of part 1 of the theorem.

It remains to show how our proof of part 1 has to be changed, in order to obtain proofs of parts 2, 3, and 4. Thanks to our parametrization using functions A, B and R, the changes are very small. Indeed, it suffices to change the definitions of these functions and all (parametrized) constructions and arguments from the proof of part 1 remain unchanged. We now give the definitions of functions A, B and R for each of parts 2, 3, and 4 separately.

To prove part 2, we define A(x,c) = cx, $B(x,c) = (c+2)^x$ and $R(x) = \log x$. Note that the election time is then $D + c\phi$, as assumed in part 2, and the lower bound on the size of advice becomes $\Omega(\log \log \alpha)$, as desired. Indeed, in part 2, the number k^* of different pieces of advice is in $\Omega(\log \alpha)$, since by definition we have $(c+2)^{k^*} \leq \alpha < (c+2)^{k^*+1}$.

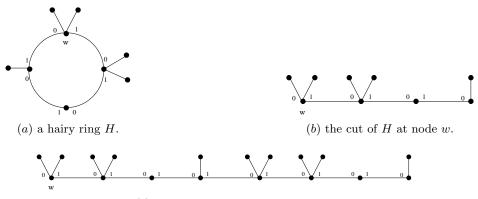
To prove part 3, we define $A(x,c) = x^c$, $B(x,c) = 2^{(c^{3x})-c}$ and $R(x) = \log \log x$. Note that the election time is then $D + \phi^c$, as assumed in part 3, and the lower bound on the size of advice becomes $\Omega(\log \log \log \alpha)$, as desired. Indeed, in part 3, the number k^* of different pieces of advice is in $\Omega(\log \log \alpha)$, since by definition we have $2^{c^{3k^*}-c} \leq \alpha < 2^{c^{3(k^*+1)}-c}$.

To prove part 4, recall the notation ${}^{i}c$, defined by induction as follows: ${}^{0}c = 1$ and ${}^{i+1}c = c{}^{i}c$. We define $A(x,c) = c^{x}$, $B(x,c) = {}^{2x}c$, and $R(x) = \log^{*}x$. Note that the election time is then $D + c^{\phi}$, as assumed in part 4, and the lower bound on the size of advice becomes $\Omega(\log(\log^{*}\alpha))$, as desired. Indeed, in part 4, the number k^{*} of different pieces of advice is in $\Omega(\log^{*}\alpha)$, since by definition we have ${}^{2k^{*}}c \leq \alpha < {}^{2(k^{*}+1)}c$.

We close this section by showing that constant advice is not enough for leader election in all feasible graphs, regardless of the allocated time.

Proposition 4.1 There is no algorithm using advice of constant size and performing leader election in all feasible graphs.

Proof. We define a family \mathcal{H} of graphs, called *hairy rings*, for which we will prove that no algorithm with advice of constant size performs correct leader election for all graphs in \mathcal{H} . Let R_n be the ring of size $n \geq 3$, with port numbers 0,1 at each node, in clockwise order. Let S_k , for any integer $k \geq 2$, be the (k + 1)-node tree with k leaves, called the k-star. The only node of degree larger than 1 of the k-star is called its *central node*. For k = 1, S_k is defined as the two-node graph with the central node designated arbitrarily, and for k = 0, S_k is defined as the one-node graph, with the unique node being its central node. The class \mathcal{H} is the set of all graphs that can be obtained in the following way. For all $n \geq 3$, attach to every node v of every ring R_n some graph S_k , for $k \geq 0$, by identifying its central node with the node v, in such a way that, for every ring, the star of maximum size attached to it is unique. Assign missing port numbers in any legal way, i.e., so that port numbers at a node of degree d are from 0 to d - 1. Every graph obtained in this way is feasible because it has a unique node of maximum degree. An example of a hairy ring is depicted in Fig. 9a.



(c) the 2-stretch of H at node w.

Figure 9: Illustration of an hairy ring and its different transformations used in the proof of Proposition 4.1.

For any graph H in \mathcal{H} we define a *cut* of H as follows. Let H be a graph resulting from a ring R_n by attaching stars. Fix any node $w = w_1$ of this ring. Let w_1, \ldots, w_n be nodes of this ring listed in clockwise order. The cut of H at node w is the graph resulting from H by removing the edge $\{w_1, w_n\}$. Node $w = w_1$ is called the first node of the cut and node w_n is called the last node of the cut. For any integer $\gamma \geq 2$, the γ -stretch of H starting at node w is the graph defined as follows. Take γ pairwise disjoint isomorphic copies of the cut of H at w. For $1 < i \leq \gamma$, attach the *i*th copy to the (i-1)th copy joining the first node a_i of the *i*th copy with the last node b_{i-1} of the (i-1)th copy by an edge with port 0 at a_i and port 1 at b_{i-1} . The first node of the first copy is called the last node of the γ -stretch.

Suppose that there exists a leader election algorithm \mathcal{A} which uses advice of constant size to perform leader election in all hairy rings from the family \mathcal{H} . Let c be the smallest integer such that a total of c pieces of advice are sufficient to elect a leader in every graph from \mathcal{H} by algorithm \mathcal{A} . Let H_1, \ldots, H_c be graphs from \mathcal{H} for which algorithm \mathcal{A} uses different pieces of advice. Let N be the maximum of sizes of all graphs H_1, \ldots, H_c , and let T be the maximum execution time of \mathcal{A} , for all graphs H_1, \ldots, H_c .

Let $\gamma = 4(N+T)$ and let G_j be the γ -stretch of H_j starting at some node u_j of H_j , for $j \leq c$. We define the graph G as follows. Take pairwise disjoint isomorphic copies of graphs G_j , for $j \leq c$. For every $1 < j \leq c$, attach G_j to G_{j-1} joining the first node c_i of the *i*th copy with the last node d_{i-1} of the (i-1)th copy by an edge with port 0 at c_i and port 1 at d_{i-1} . Finally, take a γ -star and join its central node by edges to the first node of G_1 and to the last node of G_{γ} , assigning missing port numbers in any legal way. The graph G obtained in this way is in \mathcal{H} because it has a unique node of maximum degree which is $\gamma + 2$. Let n_{H_j} be the size of the ring that was used in the construction of H_j . Let a_j be the (unique) node of G_j at distance $n_{H_j}(N+T)$ from u_j , at the end of a simple path all of whose ports are 0's and 1's. Let b_j be the (unique) node of G_j at distance $3n_{H_j}(N+T)$ from u_j , at the end of a simple path all of the corresponds to the first node of the cut serving to define G_j . Let z_j be the node in H_j at which this cut was done.

By definition of graphs H_1, \ldots, H_c , the advice received by graph G when algorithm \mathcal{A} is performed, is the same as that received by some graph H_{j_0} . In H_{j_0} the node z_{j_0} executing algorithm \mathcal{A} must stop after time at most T. By construction, the augmented truncated view $\mathcal{B}^T(z_{j_0})$ in H_{j_0} is the same as the augmented truncated views $\mathcal{B}^T(a_{j_0})$ and $\mathcal{B}^T(b_{j_0})$ in G. Hence nodes a_{j_0} and b_{j_0} executing algorithm \mathcal{A} in G must also stop after time at most T. Node z_{j_0} in H_{j_0} must output a sequence of port numbers of length smaller than 2N because the size of H_{j_0} is at most N. Hence nodes a_{j_0} and b_{j_0} executing algorithm \mathcal{A} in G must also output a sequence of port numbers of length smaller than 2N, corresponding to simple paths in G of length smaller than N, starting, respectively at nodes a_{j_0} and b_{j_0} . However, the distance between a_{j_0} and b_{j_0} in G is at least 2N, hence the other extremities of these simple paths must be different. It follows that the leaders elected by nodes a_{j_0} and b_{j_0} executing algorithm \mathcal{A} in G are different, and hence this algorithm is not correct for the class \mathcal{H} .

5 Conclusion

We established almost tight bounds on the minimum size of advice sufficient for election in minimum possible time (i.e., in time equal to the election index ϕ) and tight bounds on this size for several large values of time. The first big jump occurs between time ϕ and time $D + \phi$, where D is the diameter of the graph. In the first case, the size of advice is (roughly) linear in the size n of the graph, and in the second case it is at most logarithmic in n, in view of Proposition 2.2 and of the remark after Theorem 4.1. The intriguing open question left by our results is how the minimum size of advice behaves in the range of election time strictly between ϕ and $D + \phi$, i.e., for time sufficiently large to elect if the map were known, but possibly too small for all nodes to see the augmented truncated views at depth ϕ of all other nodes, and hence to realize all the differences in views. Note that, for time exactly $D + \phi$, all nodes see all these differences, although, without any advice, they cannot realize that they see all of them: this is why some advice is needed for time $D + \phi$.

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