## D. E. KNUTH, Editor

# A Nonrecursive Method of Syntax Specification 

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The use of the Kleene regular expression notation for describing algebraic language syntax, in particular of ALGOL, is described in this paper. A FORTRAN II computer program for carrying out the elimination algorithm of Gorn, similar to Gaussian elimination for linear systems of algebraic equations, is described. This was applied to numerous smaller languages, including some sublanguages of ALGOL. A hand calculation result of the application of the algorithm to all of ALGOL is given, thus expressing the Revised ALGOL 1960 syntax in completely nonrecursive terms, as far as its context-free portion is concerned. This description in many ways is far more intuitively understood than the previous recursive description, it is suggested. The paper also includes results of the machine program, which does not include a simplification algorithm.

The basis and the method to produce a new approach to computer language syntax specification is outlined in this paper. Given a recursive specification for a contextfree language in standard so-called "Backus Normal Form" (BNF) [1] a nonrecursive specification can be produced by using the elimination algorithm of Gorn [2]. The elimination algorithm will solve a set of "equations" (i.e., productions) in BNF in a way similar to that of the standard Gaussian elimination. The elimination algorithm will remove all recursion only on linear languages or Chomsky Type 3 languages [3]. (By "linear" we actually mean "one-sided linear.") If the language is not linear the recursion in the linear portions of the language can be removed, thus producing an overall reduction in recursion in the specification of the language. The basis of the elimination algorithm is:

$$
\langle a\rangle::=\langle a\rangle\langle b\rangle \mid\langle c\rangle
$$

is replaced by

$$
\langle a\rangle::=\langle c\rangle(\langle b\rangle) *
$$

Here the asterisk indicates zero or more occurrences but not necessarily the same strings drawn from the set $\langle b\rangle$.

After applying the elimination algorithm to a linear language the nonrecursive specification produced is in
the form of a regular expression as described by Kleene [4]. A program has been written in Fortran II which will take as input a BNF specification for a linear language and produce as output the regular expression [5]. An inverse process has been programmed by Roberts [6]. That con-text-free portion of Algol as defined by Naur [7] was used as input to the program. Upon using Section 2.5.1 ( number $\rangle$ ) of Naur as input, a sample of the output from the program is shown in Figure 1.

If the language input to the program is nonlinear the program will go through the elimination procedure an equation (production) at a time until a nonlinear equation is reached. Then the program produces results obtained up to this equation and then stops. The results of the program on Algol as well as other hypothetical languages are given by Weiland [5].

The output of the program demonstrates the fact that the regular expressions produced at the end of the back substitution are large and unwieldy to work with except in a computer with a very large memory. The work of Iverson [8] inspired a compact nonrecursive specification of the context-free portion of Algol which is presented in Table I. Table I was produced by a hand calculation rather than the machine program, since the program does not include efficient simplification algorithms. Back substitution has not been carried out in general in Table I, in order to keep the specifications compact. Since each equation (production) as originally formulated is im-

```
u 1 = D : ( D 1 ) *,
IN= (L/0 / 1 ) D i ( D I ) *,
DF=2 D I ( DII )*,
EP=10 (L/O/1 ) DI (D I | *
```



```
I ) * 1,
```



```
) ),
```



Fig. 1

| TABLE I |  |  |  |
| :---: | :---: | :---: | :---: |
| Name | Nonrecursive Specification | Name | Nonrecursive Specififation |
| 1.1 EMPTY STRING |  | BOOLEAN SECONDARY | $\langle B S\rangle:=(\varphi \mid \neg)\langle B P\rangle$ |
| EMPTY STRING |  | BOOLEAN FACTOR | $\langle B F\rangle::=\langle B S\rangle(\bigwedge\langle B S\rangle) *$ |
| 2.1 |  | BOOLEAN TERM | $\langle B T\rangle::=\langle B F\rangle(V\langle B F\rangle) *$ |
| LETTER | $\langle L E\rangle::=a\|b\| c\|\cdots\| z\|A\| B\|C\|$ | IMPLICATION | $\langle I P\rangle::=\langle B T\rangle(\supset\langle B T\rangle) *$ |
|  | $\cdots\|Z\|$ | SIMPLE BOOLEAN | $\langle S B\rangle::=\langle I P\rangle(\equiv\langle I P\rangle) *$ |
| 2.2.1 |  | BOOLEAN EXPRESSION | $\langle B E\rangle::=(\langle I C\rangle\langle S B\rangle$ else $) *\langle S B\rangle$ |
| DIGIT | $\langle D I\rangle::=0\|1\| 2\|3\| 4\|5\| 6\|7\| 8 \mid 9$ | 3.5.1 |  |
| 2.2.2 |  | LABEL | $\langle L A\rangle::=\langle I D) \mid\langle U I\rangle$ |
| LOGICAL VALUE | $\langle L V\rangle::=$ true\|false | SWITCH IDENTIFIER | $\langle S W\rangle::=\langle I D\rangle$ |
| $2.3$ | (LV) : $=$ true\|false | SWITCH DESIGNATOR | $\langle S G\rangle::=\langle S W\rangle[\langle S E\rangle]$ |
| DELIMITERS | (identical with Algol 1960 Re | SIMPLE DESIGNATIONAL EXPRESSION | $\langle S X\rangle::=\langle L A\rangle\|\langle S G\rangle\rangle(\langle D E\rangle)$ |
| 2.4.1 | vised Report) | DESIGNATIONAL EX- | $\langle D E\rangle::=(\langle I C\rangle\langle S X\rangle$ else $) *\langle S X\rangle$ |
| IDENTIFIER | $\langle I D\rangle:=L E(L E \mid D I) *$ | 4.1.1 |  |
| 2.5.1 |  | UNLABELLED BASIC |  |
| UNSIGNED INTEGER. | $\langle U I\rangle::=\langle D I\rangle(D I) *$ | STATEMENT |  |
| INTEGER | $\langle I N\rangle::=(\varphi\|+\|-)\langle U I\rangle$ | BASIC STATEMENT |  |
| DECIMAL FRACTION | $\langle D F\rangle::=\langle U I\rangle$ | UNCONDITIONAL STATE- | $\langle B A\rangle:=(\langle L A\rangle:) *\langle U B\rangle$ |
| EXPONENT PART | $\langle E P\rangle::=10(I N\rangle$ | MENT | $\langle U S\rangle::=\langle B A\rangle\rangle\langle C S\rangle \mid\langle B L\rangle$ |
| DECIMAL NUMBER | $\langle D N\rangle::=(\varphi \mid\langle U T\rangle)\langle D F\rangle \mid\langle U I\rangle$ | STATEMENT |  |
| UNSIGNED NUMBER | $\langle U N\rangle::=\langle D N\rangle \mid(\varphi \mid\langle D N\rangle)\langle E P\rangle$ | COMPOUND TAIL | $\langle S M\rangle::=\langle U S\rangle\rangle\langle C D\rangle\rangle\langle F S\rangle$ |
| NUMBER | $\langle N U\rangle::=(\varphi\|+\|-\|)\langle U N\rangle$ | BLOCK HEAD | $\langle C T\rangle::=(\langle S M\rangle ;) *(\langle S M\rangle$ end $)$ |
| 2.6.1 |  | UNLABELLED COMPOUND | $\langle B H\rangle::=$ begin $\langle D C\rangle(; D C\rangle) *$ |
| PROPER STRING | $\langle P S\rangle::=\left.\langle A N\rangle\right\|_{\varphi}$ | UNLABELLED BLOCK | $\langle U C\rangle::=$ begin $\langle C T\rangle$ |
| ANY SEQUENCE OF BASIC | $\langle A N\rangle$ | COMPOUND STATEMENT | $\langle U L\rangle::=\langle B H\rangle ;\langle C T\rangle$ |
| SYMBOLS NOT CONTAIN- |  | BLOCK | $\langle C S\rangle::=(\langle L A\rangle:) *\langle U C\rangle$ |
| ING 'or'" |  | PROGRAM | $\langle B L\rangle::=(\langle L A\rangle:) *\langle U L\rangle$ |
| OPEN STRING | $\langle O S\rangle::=\left.\langle P S\rangle\right\|^{\prime}\langle O S\rangle^{\prime} \mid\langle O S\rangle\langle O S\rangle$ | 4.2.1 | $\langle P R\rangle::=\langle B L\rangle \mid\langle C S\rangle$ |
| STRING | $\langle S T\rangle::={ }^{\prime}\langle O S\rangle^{\prime}$ | LEFT PART |  |
|  |  | LEFT PART LIST | $\langle L P\rangle::=\langle V A\rangle:=\mid\langle P T\rangle:=$ |
| EXPRESSION | $\langle E X\rangle::=\langle B E\rangle\|\langle A E\rangle\|\langle D E\rangle$ | ASSIGNMENT STATEMENT | $\langle L L\rangle::=\langle L P\rangle\langle L P\rangle *$ |
| 3.1.1 |  | 4.3.1 | $\langle A S\rangle::=\langle L L\rangle(\langle A E\rangle\rangle\langle B E\rangle)$ |
| VARIABLE IDENTIFIER | $\langle V I\rangle::=\langle I D\rangle$ | GO TO STATEMENT |  |
| SIMPLE VARIABLE | $\langle S V\rangle::=\langle V I\rangle$ | 4.4.1 | $\langle G S\rangle::=$ go to $\langle D E\rangle$ |
| SUBSCRIPT EXPRESSION | $\langle S E\rangle::=\langle A E\rangle$ | DUMMY STATEMENT |  |
| SUBSCRIPT LIST |  | 4.5.1 ${ }^{1}$ | $\langle D S\rangle::=\varphi$ |
| ARRAY IDENTIFIER | $\langle A I\rangle::=\langle I D\rangle$ | IF STATEMENT |  |
| SUBSCRIPTED VARIABLE | $\langle S R\rangle::=\langle A I\rangle[\langle S L\rangle]$ | CONDITIONAL STATE- | $\langle I S\rangle::=\langle I C\rangle\langle U S\rangle$ |
| VARIABLE | $\langle V A\rangle::=\langle S V\rangle \mid\langle S R\rangle$ | MENT | $\langle C D\rangle::=(\langle L A\rangle\rangle) *(\langle I S\rangle(\varphi \mid$ else |
| 3.2.1 |  |  | $\langle S M\rangle \mid\langle I C\rangle\langle F S\rangle)$ |
| PROCEDURE IDENTIFIER | $\langle P I\rangle::=\langle I D\rangle$ | FOR LIST ELEMENT |  |
| ACTUAL PARAMETER | $\langle A P\rangle::=\langle S T\rangle\|\langle E X\rangle\|\langle A I\rangle \mid$ |  | $\begin{aligned} \langle F E\rangle::= & \langle A E\rangle(\varphi \mid \text { while }\langle B E\rangle \mid \\ & \text { step }\langle A E\rangle \text { until } \end{aligned}$ |
| LETTER STRING | $\langle L S\rangle::=\langle L E\rangle\langle L E\rangle *$ | FOR LIST | $\langle A E\rangle)$ |
| PARAMETER DELIMITER | $\langle P D\rangle::=, \mid)\langle L S\rangle:($ | FOR CLAUSE | $\langle F R\rangle::=\langle F E\rangle(,\langle F E\rangle) *$ |
| ACTUAL PARAMETER LIST | $\langle A L\rangle::=\langle A P\rangle(\langle P D\rangle\langle A P\rangle) *$ | FOR STATEMENT | $\langle F C\rangle::=$ for $\langle V A\rangle::=\langle F R\rangle$ do |
| ACTUAL PARAMETER | $\langle A T\rangle::=\varphi \mid(\langle A L\rangle)$ | FOR STATEMENT | $\langle F S\rangle::=(\langle L A\rangle\rangle) *(\langle F C\rangle\langle S M\rangle)$ |
| PART |  | 4.7.1 |  |
| FUNCTION DESIGNATOR | $\langle F D\rangle::=\langle P I\rangle\langle A T\rangle$ | PROCEDURE STATEMENT | $\langle P T\rangle::=\langle P I\rangle\langle A T\rangle$ |
| 3.3.1 |  |  |  |
| ADDING OPERATOR | $\langle A O\rangle::=+1-$ | DECLARATION | $\langle D C\rangle::=\langle T D\rangle\rangle\langle A D\rangle\|\langle S D\rangle\rangle\langle P A\rangle$ |
| MULTIPLYING OPERATOR | $\langle M O\rangle:=\times 1 / / \div$ |  |  |
| PRIMARY | $\langle P R\rangle::=\langle U N\rangle\|\langle V A\rangle\|\langle F D\rangle]$ ( $\langle A E\rangle$ ) | TYPE LIST | $\langle T P\rangle::=(\langle S V\rangle) *,\langle S V\rangle$ |
| FACTOR | $(F T)::=\langle P R\rangle(\uparrow\langle P R\rangle) *$ | TYPE | $\left\langle T^{\prime} Y\right\rangle::=$ real ${ }^{\text {anteger }}$ |
| TERM | $\langle T E\rangle::=\langle F T\rangle(\langle M O\rangle\langle F T\rangle) *$ |  | $\langle W\rangle:=\quad$ Boolean |
| SIMPLE ARITHMETIC EX- <br> PRESSION | $\begin{aligned} \langle S A\rangle::= & ((\varphi \mid\langle A O\rangle)\langle T E\rangle) \\ & (\langle A O\rangle\langle T E\rangle) * \end{aligned}$ | LOCAL OR OWN TYPE TYPE DECLARATION | $\begin{aligned} & \langle O W\rangle::=(\varphi \mid \mathbf{o w n})\langle T Y\rangle \\ & \langle T D\rangle::=\langle O W\rangle\langle T P\rangle \end{aligned}$ |
| IF CLAUSE | $\langle I C\rangle::=$ if $\langle B E\rangle$ then | 5.2.1 |  |
| ARITHMETIC EXPRESSION | $\langle A E\rangle::=(\langle I C\rangle\langle$ S $A\rangle$ else $) *(S A\rangle$ | LOWER BOUND | $\langle L B\rangle::=\langle A E\rangle$ |
| 3.4.1 |  | UPPER BOUND | $\langle U R\rangle::=\langle A E\rangle$ |
| RELATIONAL OPERATOR | $\langle R O\rangle::=\langle \| \leq\|\equiv\| \geq\|>\| \neq$ | BOUND PAIR | $\langle B D\rangle::=\langle L B\rangle:\langle U R\rangle$ |
| RELATION | $\langle R E\rangle::=\langle S A\rangle\langle R O\rangle\langle S A\rangle$ | BOUND PAIR LIST | $\langle B R\rangle::=\langle B D\rangle(,(B D)) *$ |
| BOOLEAN PRIMARY | $\langle B P\rangle::=\langle L V\rangle\|\langle V A\rangle\|\langle F D\rangle \mid$ | ARRAY SEGMENT | $\langle A Y\rangle::=(\langle A I\rangle) *,(\langle A I\rangle[\langle B R\rangle])$ |
|  | $\langle R E\rangle \mid\langle B E\rangle)$ | ARRAY LIST | $\langle A A\rangle::=\langle A Y\rangle(,\langle A Y\rangle) *$ |

[^0]TABLE I（Continued）

| Name | Nonrecursive Speciication |
| :---: | :---: |
| ARRAY DECLARATION | $\langle A D\rangle::=\underset{\langle A A\rangle}{(\text { array } \mid\langle O W\rangle \text { array })}$ |
| 5．3．1 |  |
| SWITCH LIST | $\langle S H\rangle::=\langle D E\rangle(,\langle D E\rangle) *$ |
| SWITCH DECLARATION | $\langle S D\rangle::=$ switch $\langle S W\rangle:=\langle S H\rangle$ |
| 5．4．1 |  |
| FORMAL PARAMETER | $\langle F M\rangle::=\langle I D\rangle$ |
| FORMAL PARAMETER LIST | $\langle F A\rangle::=\langle F M\rangle\langle(P D\rangle\langle F M\rangle) *$ |
| FORMAL PARAMETER PART | $\langle F O\rangle::=\varphi \mid(\langle F A\rangle)$ |
| IDENTIFIER LIST | $\langle I F\rangle::=\langle I D\rangle$（，$\langle I D\rangle$ ）＊ |
| VALUE PART | $\langle V P\rangle::=$ value $\langle I F\rangle ; \mid \varphi$ |
| SPECIFIER | $\langle S P\rangle::=$ string $\mid$ array $\mid\langle T Y\rangle$ （ $\varphi$ ）array｜proce－ dure）｜label｜ switch｜procedure |
| SPECIFICATION PART |  |
| PROCEDURE HEADING | $\langle P H\rangle::=\langle P I\rangle\langle F P\rangle ;\langle V P\rangle\langle S F\rangle$ |
| PROCEDURE BODY | $\langle P O\rangle::=\langle S M\rangle \mid\langle C O\rangle$ |
| PROCEDURE DECLARA－ TION | $\begin{gathered} \langle P A\rangle::=(\varphi \mid\langle T Y\rangle)(\text { procedure } \\ \langle P H\rangle\langle P O\rangle) \end{gathered}$ |

mediately solvable in terms of the asterisk notation，a nonrecursive regular expression is produced．This is a special characteristic，not emphasized up until now，of the published context－free part of Algol（as is well known for context－free languages in general）．

The section numbers in Table I refer to section numbers in the Algol report of Naur［7］．Each string class name of the original report is represented in this Table by a symbol composed of two letters．The reason for choosing two letters was that the program was written to accept two characters for each name and that letters could be used as mnemonics for the actual names．No duplicate symbols were allowed；thus the symbol for the name is not always clearly mnemonic．By the use of the distributive law of concatenation over set union the nonrecursive equations have been factored if possible．The names that are defined recursively in the original Algol report［7］are easily recognized by the appearance of $\mathrm{a} *$ in the nonrecursive specification．
Since the nonrecursive specification requires parentheses as control characters，whenever right or left parenthesis denote themselves in Table I they are in boldface．Section 4．7．1 was not included in its entirety as in［7］because〈Procedure statement〉 has the same specification as 3．2．1〈Function Designator〉．The symbol $\varphi$ is used instead of ＜empty）to conform more closely to the Kleene notation． In addition，Section 2.3 （Delimiters）has been omitted as an exact duplicate of the report．
In Figure 1，because of the limitations of a standard computer printer，the symbol $L$ has been used instead of $\varphi, \quad 0$ for,$+ \quad 1$ for - ，and 2 for ．．

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## Invites Contributions

Computing and Education come together in two different ways．First，the digital computer can be programmed into a powerful tool in the educational process itself，for example as a teaching machine or as a processor of records about student progress．We might call this computers in education， and we welcome contributions in this area．（If they deal with educational data－processing techniques that are mainly the same as data－processing techniques for other purposes，articles should be directed to another department of Communications．）The second confluence of Computing and Education might be called education in computing．This deals with matters of curriculum，personnel，and organiza－ tion in formal education at all levels about the computing and information sciences．We welcome contributions in this area also．Reference［1］is an excellent preliminary report on education in computing，and it is criticized in reference［3］． Reference［2］deals with both our subjects，discussing a use of computers in education about computing．

With the great growth of interest in teaching machines and the sudden emergence of numerous university depart－ ments of computer science（under various titles），there should be a great deal of valuable material for this depart－ ment．Let＇s have it！－G．E．Forsythe

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[^0]:    ${ }^{1}$ IF clause and unconditional statement are not repeated here as in report of NaUR [6].

