

## **Programming Languages**

D. E. KNUTH, Editor

### A Nonrecursive Method of Syntax Specification

John W. Carr III and Jerome Weiland The Moore School, University of Pennsylvania, Philadelphia, Pennsylvania

The use of the Kleene regular expression notation for describing algebraic language syntax, in particular of ALGOL, is described in this paper. A FORTRAN II computer program for carrying out the elimination algorithm of Gorn, similar to Gaussian elimination for linear systems of algebraic equations, is described. This was applied to numerous smaller languages, including some sublanguages of ALGOL. A hand calculation result of the application of the algorithm to all of ALGOL is given, thus expressing the Revised ALGOL 1960 syntax in completely nonrecursive terms, as far as its context-free portion is concerned. This description in many ways is far more intuitively understood than the previous recursive description, it is suggested. The paper also includes results of the machine program, which does not include a simplification algorithm.

The basis and the method to produce a new approach to computer language syntax specification is outlined in this paper. Given a recursive specification for a contextfree language in standard so-called "Backus Normal Form" (BNF) [1] a nonrecursive specification can be produced by using the elimination algorithm of Gorn [2]. The elimination algorithm will solve a set of "equations" (i.e., productions) in BNF in a way similar to that of the standard Gaussian elimination. The elimination algorithm will remove all recursion only on linear languages or Chomsky Type 3 languages [3]. (By "linear" we actually mean "one-sided linear.") If the language is not linear the recursion in the linear portions of the language can be removed, thus producing an overall reduction in recursion in the specification of the language. The basis of the elimination algorithm is:

$$\langle a \rangle ::= \langle a \rangle \langle b \rangle | \langle c \rangle$$

is replaced by

$$\langle a \rangle ::= \langle c \rangle (\langle b \rangle) *$$

Here the asterisk indicates zero or more occurrences but not necessarily the same strings drawn from the set  $\langle b \rangle$ .

After applying the elimination algorithm to a linear language the nonrecursive specification produced is in

the form of a regular expression as described by Kleene [4]. A program has been written in FORTRAN II which will take as input a BNF specification for a linear language and produce as output the regular expression [5]. An inverse process has been programmed by Roberts [6]. That context-free portion of Algol as defined by Naur [7] was used as input to the program. Upon using Section 2.5.1 ((number)) of Naur as input, a sample of the output from the program is shown in Figure 1.

If the language input to the program is nonlinear the program will go through the elimination procedure an equation (production) at a time until a nonlinear equation is reached. Then the program produces results obtained up to this equation and then stops. The results of the program on Algol as well as other hypothetical languages are given by Weiland [5].

The output of the program demonstrates the fact that the regular expressions produced at the end of the back substitution are large and unwieldy to work with except in a computer with a very large memory. The work of Iverson [8] inspired a compact nonrecursive specification of the context-free portion of Algol which is presented in Table I. Table I was produced by a hand calculation rather than the machine program, since the program does not include efficient simplification algorithms. Back substitution has not been carried out in general in Table I, in order to keep the specifications compact. Since each equation (production) as originally formulated is im-

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U I = D I ( D I ) * ,

I N = ( L / O / I ) D I ( D I ) * ,

D F = 2 D I ( D I ) * ,

E P = I O ( L / O / I ) D I ( D I ) * ,

D N = ( L / D I ( D I ) * ) 2 D I ( D I ) * / L D I ( D I ) * / L

U N = ( L / ( ( L / D I ( D I ) * ) 2 D I ( D I ) * / L

D I ( D I ) * ) ) 1 O ( L / O / I ) D I ( D I ) * / L

D I ( D I ) * ) 2 D I ( D I ) * / L D I ( D I ) * / L

N U = ( L / O / I ) ( ( L / ( ( L / D I ( D I ) * ) 2 D I ( D I ) * / L

D I ( D I ) * / L D I ( D I ) * ) 1 O ( L / O / I ) D I

( D I ) * / L ( ( L / D I ( D I ) * ) 1 O ( L / O / I ) D I

( D I ) * / L ( ( L / D I ( D I ) * ) 2 D I ( D I ) * / L

D I ( D I ) * / L ( ( L / D I ( D I ) * ) 2 D I ( D I ) * / L

D I ( D I ) * / L ( ( L / D I ( D I ) * ) 2 D I ( D I ) * / L

D I ( D I ) * / L ( L / D I ( D I ) * ) 2 D I ( D I ) * / L

D I ( D I ) * / L
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Fig. 1

TABLE I			
Name	Nonrecursive Specification	Name	Nonrecursive Specification
1.1 EMPTY STRING 2.1 LETTER	$\varphi ::=$ $\langle LE \rangle ::= a b c \cdots  z A B C $ $\cdots  Z $	BOOLEAN SECONDARY BOOLEAN FACTOR BOOLEAN TERM IMPLICATION SIMPLE BOOLEAN	$\langle BS \rangle := \langle \varphi   \neg \rangle \langle BP \rangle$ $\langle BF \rangle ::= \langle BS \rangle ( \land \langle BS \rangle ) *$ $\langle BT \rangle ::= \langle BF \rangle ( \lor \langle BF \rangle ) *$ $\langle IP \rangle ::= \langle BT \rangle ( \supset \langle BT \rangle ) *$ $\langle SB \rangle ::= \langle IP \rangle ( \equiv \langle IP \rangle ) *$
2.2.1 DIGIT	$\langle DI \rangle ::= 0 1 2 3 4 5 6 7 8 9$	BOOLEAN EXPRESSION 3.5.1	$\langle BE \rangle ::= (\langle IC \rangle \langle SB \rangle \text{ else}) * \langle SB \rangle$
2.2.2 LOGICAL VALUE	$\langle LV \rangle ::= true   false$	LABEL SWITCH IDENTIFIER SWITCH DESIGNATOR	$ \begin{array}{c} \langle LA \rangle ::= \langle ID \rangle   \langle UI \rangle \\ \langle SW \rangle ::= \langle ID \rangle \\ \langle SW \rangle ::= \langle SW \rangle   \langle SW \rangle $
2.3 DELIMITERS	(identical with Algol 1960 Revised Report)	SIMPLE DESIGNATIONAL	$ \langle SG \rangle ::= \langle SW \rangle [\langle SE \rangle] $ $ \langle SX \rangle ::= \langle LA \rangle  \langle SG \rangle   (\langle DE \rangle) $ $ \langle DE \rangle ::= (\langle IC \rangle \langle SX \rangle \text{ else}) * \langle SX \rangle $
2.4.1 IDENTIFIER	$ \langle ID \rangle ::= LE \ (LE DI)*$	PRESSION 4.1.1	$\langle DE \rangle ::= \langle \langle IC \rangle \langle SA \rangle \text{ else} \rangle * \langle SA \rangle$
2.5.1 UNSIGNED INTEGER INTEGER		UNLABELLED BASIC STATEMENT BASIC STATEMENT	$\langle UB \rangle ::= \langle AS \rangle  \langle GS \rangle  \langle DS \rangle  \langle PT \rangle$
DECIMAL FRACTION EXPONENT PART DECIMAL NUMBER	$\langle DF \rangle ::= .\langle UI \rangle$ $\langle EF \rangle ::= 10 \langle IN \rangle$ $\langle DN \rangle ::= \langle \varphi   \langle UI \rangle \rangle \langle DF \rangle   \langle UI \rangle$	UNCONDITIONAL STATE- MENT STATEMENT	$ \langle BA \rangle ::= \langle \langle LA \rangle :) * \langle UB \rangle  \langle US \rangle ::= \langle BA \rangle  \langle CS \rangle  \langle BL \rangle $
UNSIGNED NUMBER NUMBER 2.6.1	$ \langle UN \rangle ::= \langle DN \rangle   \langle \varphi   \langle DN \rangle \rangle \langle EP \rangle $ $ \langle NU \rangle ::= \langle \varphi   +   -   \rangle \langle UN \rangle $	COMPOUND TAIL BLOCK HEAD UNLABELLED COMPOUND	$ \begin{array}{l} \langle SM \rangle ::= \langle US \rangle   \langle CD \rangle   \langle FS \rangle \\ \langle CT \rangle ::= (\langle SM \rangle;) * (\langle SM \rangle \ \mathbf{end}) \\ \langle BH \rangle ::= \mathbf{begin} \ \langle DC \rangle (;\langle DC \rangle) * \end{array} $
PROPER STRING ANY SEQUENCE OF BASIC SYMBOLS NOT CONTAIN- ING "OR"	$\langle PS \rangle ::= \langle AN \rangle   \varphi \rangle$	UNLABELLED BLOCK COMPOUND STATEMENT BLOCK PROGRAM	
OPEN STRING STRING 3.	$ \langle OS \rangle ::= \langle PS \rangle   \langle OS \rangle'   \langle OS \rangle \langle OS \rangle $ $ \langle ST \rangle ::= ' \langle OS \rangle' $	4.2.1 LEFT PART LEFT PART LIST	$\langle PR \rangle ::= \langle BL \rangle   \langle CS \rangle$ $\langle LP \rangle ::= \langle VA \rangle :=   \langle PI \rangle :=$
EXPRESSION 3.1.1	$\langle EX \rangle ::= \langle BE \rangle  \langle AE \rangle  \langle DE \rangle$	ASSIGNMENT STATEMENT 4.3.1	$ \begin{array}{l} \langle LL\rangle ::= \langle LP\rangle\langle LP\rangle * \\ \langle AS\rangle ::= \langle LL\rangle\langle\langle AE\rangle \langle BE\rangle\rangle \end{array} $
VARIABLE IDENTIFIER SIMPLE VARIABLE SUBSCRIPT EXPRESSION	$ \begin{array}{l} \langle VI\rangle ::= \langle ID\rangle \\ \langle SV\rangle ::= \langle VI\rangle \\ \langle SE\rangle ::= \langle AE\rangle \end{array} $	GO TO STATEMENT 4.4.1 DUMMY STATEMENT	$\langle GS \rangle ::= \mathbf{go} \ \mathbf{to} \ \langle DE \rangle$
SUBSCRIPT LIST ARRAY IDENTIFIER SUBSCRIPTED VARIABLE VARIABLE	$ \langle SL \rangle ::= \langle SE \rangle (, \langle SE \rangle) * $ $ \langle AI \rangle ::= \langle ID \rangle $ $ \langle SR \rangle ::= \langle AI \rangle [\langle SL \rangle] $ $ \langle VA \rangle ::= \langle SV \rangle  \langle SR \rangle $	4.5.11 IF STATEMENT CONDITIONAL STATE- MENT	$\langle DS \rangle ::= \varphi$ $\langle IS \rangle ::= \langle IC \rangle \langle US \rangle$ $\langle CD \rangle ::= (\langle LA \rangle :) * (\langle IS \rangle \langle \varphi   \mathbf{else})$
3.2.1 PROCEDURE IDENTIFIER ACTUAL PARAMETER	$ \begin{array}{c} \langle PI \rangle ::= \langle ID \rangle \\ \langle AP \rangle ::= \langle ST \rangle  \langle EX \rangle  \langle AI \rangle  \\ \langle SW \rangle  \langle PI \rangle \\ \end{array} $	4.6.1 FOR LIST ELEMENT	$\langle SM  angle   \langle IC  angle \langle FS  angle  angle$ $\langle FE  angle ::= \langle AE  angle \langle \varphi   \mathbf{while} \langle BE  angle  $ $\mathbf{step} \langle AE  angle \mathbf{until}$
LETTER STRING PARAMETER DELIMITER ACTUAL PARAMETER LIST ACTUAL PARAMETER PART	$ \langle LS \rangle ::= \langle LE \rangle \langle LE \rangle *  \langle PD \rangle ::= , ] \langle LS \rangle : (  \langle AL \rangle ::= \langle AP \rangle (\langle PD \rangle \langle AP \rangle) *  \langle AT \rangle ::= \varphi [ (\langle AL \rangle ) $	FOR LIST FOR CLAUSE FOR STATEMENT 4.7.1	$\langle AE \rangle \rangle$ $\langle FR \rangle ::= \langle FE \rangle (, \langle FE \rangle) *$ $\langle FC \rangle ::= \mathbf{for} \langle VA \rangle ::= \langle FR \rangle \mathbf{do}$ $\langle FS \rangle ::= (\langle LA \rangle :) * (\langle FC \rangle \langle SM \rangle)$
FUNCTION DESIGNATOR 3.3.1	$\langle FD \rangle ::= \langle PI \rangle \langle AT \rangle$	PROCEDURE STATEMENT 5.	$\langle PT \rangle ::= \langle PI \rangle \langle AT \rangle$
ADDING OPERATOR MULTIPLYING OPERATOR PRIMARY	$\langle PR \rangle ::= \langle UN \rangle  \langle VA \rangle  \langle FD \rangle $	DECLARATION 5.1.1	$\langle DC \rangle ::= \langle TD \rangle  \langle AD \rangle  \langle SD \rangle  \langle PA \rangle$
FACTOR TERM	$((AE))$ $(FT) ::= \langle PR \rangle \langle \uparrow \langle PR \rangle \rangle *$ $\langle TE \rangle ::= \langle FT \rangle \langle \langle MO \rangle \langle FT \rangle \rangle *$	TYPE LIST TYPE	$\langle TP \rangle ::= (\langle SV \rangle_{\bullet}) * \langle SV \rangle$ $\langle TY \rangle ::= \mathbf{real[integer]}$ $\mathbf{Boolean}$
SIMPLE ARITHMETIC EX- PRESSION IF CLAUSE	$ \langle SA \rangle ::= (\langle e   \langle AO \rangle \rangle \langle TE \rangle) \\ (\langle AO \rangle \langle TE \rangle) * \\ \langle IC \rangle ::= \mathbf{if} \langle BE \rangle \mathbf{then} $	LOCAL OR OWN TYPE TYPE DECLARATION	$\langle OW \rangle ::= \langle \varphi   \mathbf{own} \rangle \langle TY \rangle$ $\langle TD \rangle ::= \langle OW \rangle \langle TP \rangle$
ARITHMETIC EXPRESSION 3.4.1	$\langle AE \rangle ::= \langle \langle IC \rangle \langle SA \rangle \text{ else} \rangle * \langle SA \rangle$	5.2.1 LOWER BOUND UPPER BOUND	$ \langle LB \rangle ::= \langle AE \rangle $ $ \langle UR \rangle ::= \langle AE \rangle $ $ \langle DD \rangle ::= \langle LB \rangle / \langle UB \rangle $
RELATIONAL OPERATOR RELATION BOOLEAN PRIMARY	$ \langle RO \rangle ::= \langle   \leq   \equiv   \geq   >   \neq $ $ \langle RE \rangle ::= \langle SA \rangle \langle RO \rangle \langle SA \rangle $ $ \langle BP \rangle ::= \langle LV \rangle   \langle VA \rangle   \langle FD \rangle   $ $ \langle RE \rangle   (\langle BE \rangle) $	BOUND PAIR BOUND PAIR LIST ARRAY SEGMENT ARRAY LIST	$ \langle BD\rangle ::= \langle LB\rangle : \langle UR\rangle  \langle BR\rangle ::= \langle BD\rangle (, \langle BD\rangle) *  \langle AY\rangle ::= (\langle AI\rangle, )*(\langle AI\rangle [\langle BR\rangle])  \langle AA\rangle ::= \langle AY\rangle (, \langle AY\rangle) * $

<sup>&</sup>lt;sup>1</sup> IF clause and unconditional statement are not repeated here as in report of NAUR [6].

TABLE I (Continued)

Nonrecursive Specification	
$\overline{\langle AD \rangle ::= (\operatorname{array}   \langle \langle OW \rangle \operatorname{array})} $	
$\langle SH \rangle ::= \langle DE \rangle (\langle DE \rangle) *$	
$\langle SD \rangle ::= $ switch $\langle SW \rangle := \langle SH \rangle$	
, , , , , , , , , , , , , , , , , , , ,	
$\langle FM \rangle ::= \langle ID \rangle$	
$\langle FA \rangle ::= \langle FM \rangle (\langle PD \rangle \langle FM \rangle) *$	
$\langle FO \rangle ::= \varphi[(\langle FA \rangle)]$	
() +1(/)	
$\langle IF \rangle ::= \langle ID \rangle (\langle ID \rangle) *$	
$ \langle VP \rangle ::= \text{value } \langle IF \rangle;  \varphi $	
$\langle SP \rangle ::= string   array   \langle TY \rangle$	
(φ array proce-	
dure)[label]	
switch procedure	
$\langle SF \rangle ::= (\varphi   \langle SP \rangle \langle IF \rangle :) (\langle SP \rangle$	
$\langle IF \rangle$ :)*	
$\langle PH \rangle ::= \langle PI \rangle \langle FP \rangle; \langle VP \rangle \langle SF \rangle$	
$\langle PO \rangle ::= \langle SM \rangle   \langle CO \rangle$	
$\langle PA \rangle ::= (\varphi   \langle TY \rangle) (\mathbf{procedure})$	
$\langle PH \rangle \langle PO \rangle  angle$	

mediately solvable in terms of the asterisk notation, a nonrecursive regular expression is produced. This is a special characteristic, not emphasized up until now, of the published context-free part of Algol (as is well known for context-free languages in general).

The section numbers in Table I refer to section numbers in the Algol report of Naur [7]. Each string class name of the original report is represented in this Table by a symbol composed of two letters. The reason for choosing two letters was that the program was written to accept two characters for each name and that letters could be used as mnemonics for the actual names. No duplicate symbols were allowed; thus the symbol for the name is not always clearly mnemonic. By the use of the distributive law of concatenation over set union the nonrecursive equations have been factored if possible. The names that are defined recursively in the original Algol report [7] are easily recognized by the appearance of a \* in the nonrecursive specification.

Since the nonrecursive specification requires parentheses as control characters, whenever right or left parenthesis denote themselves in Table I they are in boldface. Section 4.7.1 was not included in its entirety as in [7] because  $\langle \text{Procedure statement} \rangle$  has the same specification as 3.2.1  $\langle \text{Function Designator} \rangle$ . The symbol  $\varphi$  is used instead of  $\langle \text{empty} \rangle$  to conform more closely to the Kleene notation. In addition, Section 2.3 (Delimiters) has been omitted as an exact duplicate of the report.

In Figure 1, because of the limitations of a standard computer printer, the symbol L has been used instead of  $\varphi$ , 0 for +, 1 for -, and 2 for  $\cdot$ .

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# George E. Forsythe, Editor of a New Education Department Invites Contributions

Computing and Education come together in two different ways. First, the digital computer can be programmed into a powerful tool in the educational process itself, for example as a teaching machine or as a processor of records about student progress. We might call this computers in education, and we welcome contributions in this area. (If they deal with educational data-processing techniques that are mainly the same as data-processing techniques for other purposes, articles should be directed to another department of Communications.) The second confluence of Computing and Education might be called education in computing. This deals with matters of curriculum, personnel, and organization in formal education at all levels about the computing and information sciences. We welcome contributions in this area also. Reference [1] is an excellent preliminary report on education in computing, and it is criticized in reference [3]. Reference [2] deals with both our subjects, discussing a use of computers in education about computing.

With the great growth of interest in teaching machines and the sudden emergence of numerous university departments of computer science (under various titles), there should be a great deal of valuable material for this department. Let's have it!—G. E. FORSYTHE

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