

# SYMMETRIES OF THE FIRING SQUAD SYNCHRONIZATION

## PROBLEM REVEALED IN A NESTED ARRAY

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### ABSTRACT

The firing squad problem of automata theory is described and a simple, nonoptimal solution is given. Examination of output traces shows that the solution has at least one obvious symmetry. If the transition matrix is reshaped and partitioned, the resulting nested array not only reveals and explicates more symmetries but suggests ways in which nested arrays can be used to save space.

### INTRODUCTION

A finite state automaton is a device with a finite number each of states, inputs, and outputs. One of the inputs is the current state; one of the outputs is the next state. The other inputs and outputs are external. As time advances in discrete steps, the state of the device at one time is determined entirely by the inputs at the last previous time. The firing squad problem requires the definition of such an automaton, called a soldier, with two external inputs which are the states of his left and right neighbors when arrayed in a firing squad line. The only output is the next state.

From time to time a squad is formed whose size is determined by the prominence of the guest of honor. In view of the inevitable inflation of political values, the possible size of a squad must be considered unlimited. The automata are set to a common initial state, and an officer at one end of the line then gives the command "Synch and Shoot". The soldiers, following the rules of finite state automata and each receiving input only from his two neighbors, exchange enough information to fire simultaneously as soon as possible. Firing is represented by a particular state of the automaton. The optimal solution, which takes  $2+2 \times N$  steps, where  $N$  is the length of the squad, is quite complex. A simple solution with only twelve internal states will be shown. For squads of length two or greater it requires no more than  $2+3 \times N$  states.

The problem is nontrivial because of the combination of synchronization and finiteness of the individual automata. It is not hard to design soldiers who will fire in sequence down the line. It is not too much harder to synchronize if the automata can "count off", just like real soldiers. When the soldier at the far end finds out that he is number 576273054, the soldiers can easily count down in sequence and synchronize. But a finite state automaton has a maximum limit to his

countability; a squad beyond that limit cannot so synchronize itself.

A much simplified problem will be analyzed first to introduce the method of message transmission. The solution to this simple problem has no symmetries. A solution to the original problem is then presented and analyzed. When the shallow transition matrix, on which the solution is based, is transformed into a depth three array, the symmetries of the internal states of the automata and of the connections between automata are revealed as different forms of reflections.

The shallow matrix, furthermore, is rather sparse. The distribution of significant elements in items and subitems can be used to effect significant storage savings.

### A TRIVIAL CASE

Let the requirement be that only the soldier at the opposite end of the line from the officer must fire his weapon. The command to synch and shoot can be thought of as a shoulder tap of the first soldier. The internal states must be defined so that the shoulder tap is transmitted to the next soldier during each time interval. For this case, three internal state suffice. Before the command is given all soldiers are in a common idling state, which is selfperpetuating; if a soldier and his neighbors are in the idling state, the soldier remains idle for the next time period.

The next state is determined by three values, each of which has a range of three. A 3 by 3 by 3 transition matrix is necessary. The values in the matrix are the same three values with one addition, the firing state. The iterative solution halts as soon as one or more soldiers enter that state so that this state is never an input to another soldier.

The states are represented by characters, which can be used to create a suggestive display. This representation was chosen long ago at a time when the possibility of a few 20 by 20 by 20 four byte integer matrices was fraught with hints of WSFULL. The representation turned out to be serendipitous. The characters are in a variable called SFSCH, standing for Simple Firing Squad Characters.

SFSCH  $\leftrightarrow$  '.,=□@'

The logarithm symbol represents the firing state; the period, the idling state; the equals, the shoulder tap state. The quad remains in the same state regardless of what happens to his neighbors; for that reason, he is sometimes called a blockhead. Before the iterative solution begins, a blockhead is affixed to each end of the line to provide neighbors for the end soldiers. Blockheads will be found to play a role at other than end points in the general solution.

The transition matrix is called SFSM, for Simple Firing Squad Matrix. It is conveniently displayed by lowering its last two axes

<[1 2] SFSM

```
... ..-- □
--@ ... --□
... .-@ □□
```

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Once the notion of the reflected messages and the key sixth line of FSGO were in hand, there remained only the entry of items in the transition matrix. This was done experimentally by changing locations, lines, and planes in the matrix. The first solution looked something like Figure 2. Not much symmetry there.

0.512 12 15 +.\* 3

so that for a rank three array, nearly half the space is saved.

Little symmetry shows here, but symmetry requires the right point of view. In the form shown in Figure 3, the three axes represent left neighbor, soldier, right neighbor. This means that the rotation control vector in FSG016] was  $\frac{1}{2} 0 1$ . That is a reasonable way to arrange a set of consecutive integers, but it is from the point of view of the guy in the middle that symmetry is most readily apparent.

Now notice that in corresponding items in the top and bottom rows the same characters appear reversed. That is reminiscent of the need to reverse characters to obtain an overall symmetry of the result. Figure 5 is the result of partitioning each item of Figure 4 corresponding to the groups of characters representing left moving, stationary, and right moving states. This matrix, F5MD3 is of depth three and satisfies the following identity

FSMD3  $\equiv$   $\Theta$  RE\_VCH''''''  $\Theta$ '''' BT'' FSMD3  
where

▽BT[ ]▽

```

[0] Z←BT Y  A  ↔  O_ / : Transpose on minor axis
[1] Z←QΦΘY
▽ 1987-08-06 14.03.03 (GMT-4)

```

In the identity and in line zero of the function the underscore is used between two characters to designate a single overstruck symbol which is not in the character set. This convention is adopted from an APL2 input convention. Using the symbols  $\phi$  and  $\circ$  for REVCH and BT we can write

$$FSMD3 = \Theta \Phi^{*****} \Phi^{***} \Theta /'' FSMD3$$

This identity expresses the symmetry of the solution transition matrix which underlies the symmetry of results. We shall return to discuss this symmetry later on.

The transition matrix has 1728 leaves of which only 102 are not '-'. Sparse array techniques could be used to store this matrix, although for one so small not much would be gained. The arrangement of nontrivial leaves in the matrix is, however, not random. The partitioned matrix FMSD3 has twelve items each of which has nine subitems. Only thirty-two of the one-hundred-eight subitems contain any useful information; those with sixteen minus signs could be replaced by just one. Furthermore, only eight of the thirty-two useful subitems contain instances of more than one character. The other twenty-four could be replaced by the respective character scalars.

The resulting array, `FSMRD`, is shown in Figure 6. The name stands for Firing Squad Matrix of Ragged Depth. The figure shows the matrix both naked and with each item `DISPLAYED` using the function provided with `APL2`. The bare display is catenated to the form with boxes so that rows of items in the two forms are collinear. Since `REP_IN` has replaced minus signs with blanks, the minus signs which appear in the figure are only those produced by the `DISPLAY` function. The top and bottom rows of `FSMRD` each contain eight significant characters. Seven of these are boxed; in the case of the eighth an entire item has been replaced with a scalar, so it is `DISPLAYED` with only a single accompanying minus sign. The middle row contains the other sixteen subitems, with one, eight, four, and three in its respective items.

The system function `□AT` can be used to document the space saving of the nested array. With left argument `4` and a variable name as right argument the function returns a two item vector. The first item is the total number of bytes used to store the array; the second item is the number of bytes used to store actual data. The difference of the two numbers is the nesting overhead.

Here is a short terminal session.

$$Y \leftarrow 1E64 \times X \leftarrow 100 \times \text{FSMRDI} \leftarrow \text{FSCH} \cdot 10(1 \ 0) \text{FSMRD}$$

```

      NAMES
FSM      FSMD3  FSMRD
FSMRDI   X      Y

      ,['']"<[0]=NAMES(,"-/""Z)(Z<4 0AT""NAMES)

FSM      FSMD3      FSMRD
24       1940       692
1752 1728 3668 1728 904 212

FSMRDI   X      Y
692      692      692
904 212  1540 848  2388 1696

```

FM - FSCH - 1-1

→ > > > = . □ | < < < < ⊕ -  
4 2 2 1 4 19 13 10 4 2 2 1 6 142

**PX**

212 70+1728  
0.123 0.041

The 2 by 3 array in the middle shows the space requirements for all six arrays. The second line in each item, generated by the minus reductions, gives the structure overhead explicitly.

The variable FMSMRDI has the same structure as FMSMRD, but for each character has been substituted its index in F5CH. INDEX OF is applied with left argument F5CH to each of the scalars in FMSMRD using an experimental depth operator, D, which is shown in the appendix. Since all these indices are small positive integers, the array uses the same amount of space as FMSMRD. X and Y are defined to show the effect of changing data element size. Uniform nesting of the data results in use of about twice as much space as the shallow form uses. The ragged depth form of the array uses about half as much as the original flat array. When larger integers are used data storage space increases, but overhead is unchanged.

Again referring to the terminal session above, note that of the 212 leaves of the array only 70 are in fact useful, since the remaining 142 are all minus signs, representing locations that are never referred to. Still, only one-eighth of the original 1728 leaves remain. The inutility items are displayed as blanks, which are underscored by the minus signs generated by the DISPLAY function. They are distributed 32, 78, 32, respectively, in the three rows of the ragged array. Perhaps structural techniques are competitive with sparse array techniques for some larger problems.

The symmetry identity

FSMRD =  $\ominus$  REYCH  $\oplus$  BT  $\oplus$  FSMRD

still holds for the ragged array because the ENCLOSE of a shallow scalar is the scalar itself.

## ANALYZING SYMMETRY

The twelve items in the partitioned array of Figure 5 each correspond to a state of the center soldier according to the pattern

```

> > > >
= . □ 1
< < < <

```

This fact is suggested by the upper diagram to the right in Figure 5. The leaves of the array correspond to the next state of the automaton. Thus the two left most operations,  $\ominus$  and REYCH, interchange respectively the input and output states of the center automaton. For example, if an automaton is in '>' between two '.' states his next state will be '>'. This is represented by the '1' in the (0 1)(1 1)(1 1) position of the matrix. The three item nested vector could be used as left argument to the PICK function. Each item in the vector selects an item in a level of the transition matrix, beginning at the top. Thus 0 1 selects item one in row zero, 1 1 selects subitem one in row one of that item, and the second 1 1 selects the '1'. The other '1' in item 0 1, corresponding to a '>' between a '□' and a '→' is selected by (0 1)(1 0)(2 0). It occurs in the illustrations of FSGO & shown earlier. The two operations thus assure that the symmetric cases are handled correctly as far as the center automaton of a triple is concerned.

The remaining two operations take care of the center automaton's view of his neighbors. Let R, S, and L stand for right moving, stationary, and left moving states. Then each subitem corresponds to a combination of two of these types of states

\ω	R	S	L
R	RR	RS	RL
S	SR	SS	SL
L	LR	LS	LL

## APPENDIX

### DISP\_FS

Miscellaneous functions and data

```

Z←IM FSGO FSI:CHR;L A Simulate the firing squad
A INPUTS: LEFT - Transition matrix / Character list
A RIGHT - Squad length; start at right if < 0
⊙(0=ENC 'IM')/IM←FSM FSCH A Globals for standard problem.
(L IM)←(1+ρCHR)((CHR, '←')↑(IM CHR)←IM) A Set local variables.
FSI←CHR, Z←, [ '1110' ] (FSI<0)⊙='←' (1+FSI)ρ'←' A Starting line.
⊙(FSI^≠L)/'←'⊙LC Z←Z, [0]CHR[FSI←(←[0]≠0 -1 1⊙'←FSI)⊙'←IM] A Iterate.

Z←REYCH Y A Where defined, REYCH ↔ REYCH
(Z)←(←'←', FSCH)[(←'←', FSCH)↑, Z←Y]

Z←BT Y A ↔ O_ / : Transpose on minor axis
Z←⊙⊙Y

FSCH: →>>=, □1<<<⊙ -;+: - FSCH: <<<=, □1→>>=⊙

```

where the rows correspond to the left neighbor; the columns, to the right. In the lower diagram to the right in Figure 5 the breakdown of neighbor states into the three categories is indicated by spaces in the row and column of symbols. If an automaton is in, say, the LS configuration, that is, he has a left moving state on his left and a stationary state on his right, then in the symmetric state he must have a stationary state on his left and a right moving state on his right. To the automaton the important thing is whether the neighbor is bearing an incoming message or an outgoing message. As the diagram just above shows, the operation which makes that switch is BT, the backwards transpose on items. Finally, the  $\ominus$ , transpose on subitems, interchanges the left and right automata. The symmetries of the transition matrix support the symmetry of results mentioned earlier.

## USING THE NESTED TRANSITION MATRIX

The function FSGON, for Firing Squad Go Nested, uses the nested transition matrix. It is similar to FSGO but requires two functions to handle the indexing paths which PICK uses to access array items below the first level. All three functions are shown in the appendix. FSMTR translates the indices into FSM, which are derived directly from the firing squad line, into paths of length three which would work to retrieve items from FSMDS. Since all the paths are of length three, an index error would occur with FSMRD for leaves which are not three levels into the array, that is exactly those scalars whose substitution for larger subarrays led to space savings. The function SCPIC is a variant of PICK which ignores all items in the left argument after a shallow scalar is reached.

## CONCLUSIONS

In the above simple analysis of a classic problem, use of a nested array to describe the solution provided two quite different kinds of insight:

- The symmetries of the transition array, which underlie the symmetry of the solution, are easily expressed as reflections at different levels of the array. The group of reflections includes one across each of the axes and diagonals. The symmetry expression holds for the ragged depth version of the matrix without change.
- The transition matrix is a small array, yet use of a nested structure results in saving about one half the storage space. This is achieved with no basic change in the program which uses it.

# Assorted identities.

```

FSMD3 =__ @ REYCH"""" @"" BT" FSMD3
1
FSM =__ > , ^" , [0] / " , / " FSMD3
1
FSMD3=__>[0]">""""(<M)CUT""<[1]"">[0]""(M<12p1+4+1)CUT""<[0]"3 4p<[1 2]FSM
1
FSMRD =__ @ REYCH"""" @"" BT" FSMRD
1
FSMD3I FSMRDI =__ (<FSCH) \Q(1 0)" FSMD3 FSMRD
1
A FSMD3 <-> ((<M) <[1]" M <[0]ω)" 3 4p<[1 2] FSM (someday ?)
A FSMD3 <-> (((<M) <) \Xω)" 3 4p<[1 2] FSM (some later day ?)
1
FSM=__1 0 2&FSMO[IM;M;M<-1↓FSCHO;FSCH]
1
>FSCH FSCHO A As originally conceived
->>2=.□1<=<≤0
->>2<=<≤1□0

```

## 0 SHLST FSFNLST

```

▽ 0. Z<X SFSGO Y A Solution for small squad.
1. Z<SFSM SFSCH FSGO Y

```

```

▽ 0. Z<L(F D G)R;MON;T A "" (DEPTH)
A 1. A NEEDS: IF P J
2. &1'→0p□EX''F''IF F=J' IF 2=□NC 'F'
3. &1'→0p□EX''G''IF G=J' IF 2=□NC 'G'
4. Z<, &(4×MON<0=□NC 'L')↓'(<L)(<R)' A Z ← vector of arg. depth(s)
→ 5. →LD LJ RD RJ IF<(<2 0)=□NC''FG'
A 6. A The f-f invocation is as suggested in [Iverson '83, p 44]
→ 7. →0 Z<MON↓<L F ' 'D G'' 'R' IF 1 0 1V(0<[Z])>MON↓'L G R'
A 8. A→0 Z<(<1 0 1V(0<[Z])>T, 'G R')/(T, 'F '))'D G'' 'R', 0pT<MON↓'L '
+ 9. LD: F<1↓4+0, F A In case empty F is indicated by '.
A 10. A P is the power operator, which cannot take " as operand.
→ 11. →0 Z<MON↓'(<P(0>F)L)' 'G'((1>F)p''')<P(2>F)R'
A 12. A Alternative using the promoting transform of [Benkard APL84]
A 13. A &(10×MON↓)'(<P(0>F)L) G(P(1>F))r'' <P(2>F)R'
+14. LJ:
+15. RJ: □ES 5 4 A Both operands must be present.
+16. RD: A Someday, figure out what an over G means if 0x=__G!
→ 17. →SYMM IF 0=ppG A Asymm is the LEVEL operator of [Thomson APL84]
18. □ES 5 3 IF MONA2=ppG A Length error.
2. &1'→NNEG Z<Z<~T' IFV/T<0e""p"G A Empty operand item means no control.
A 20. A→0 Z<MON↓'L', ('F ' UNLESS '(<1φ1, 1φZ)D(F D G))' IFΛ/Z<Z≤2pG)', 'R'
→ 21. →0 Z<MON↓'L', ((Λ/Z<Z≤2pG)>' F ' '(<1φ1, φZ)D(F D G))', 'R'
A 22. A Alternative using the MESH invocation of B (\).
A 23. A→0 Z<MON↓'L', ((Λ/Z<Z≤2pG)>' F ' '(<1(1 0 1\Z)D(F D G))', 'R'
→24. SYMM:→NNEG IF 0≤G A Neg does (|G+1)"S, but stops at
→ 25. →0 Z<MON↓'L F ' , ('D G'' IF(Γ/Z)0Λ.>0 G<G+1)', 'R' A shallow scalars.
+26. NNEG:Z<MON↓'L F ' , ('D G'' IF<G<Γ/Z)', 'R' A applies to depth ≤G objects.

```

```

▽ 0. Z<IM FSGON FSI;CHR;L A Simulate the firing squad with nesting.
A 1. A INPUTS: LEFT - Nested transition matrix / Character list
A 2. A RIGHT - Squad length; start at right if < 0
2. &(0=□NC 'IM')/'IM<FSMRDI FSCH' A Globals for standard problem.
4. L<-1+ρ+φ(IM CHR)<IM A Set local variables.
5. FSI<CHR, Z<, [ 1]1φ'□', (FSI<0)φ'=', ('1+|FSI)p'. ' A Starting line.
2. &(FSIΛ.≠L)/'→&QLC Z<Z, [0]CHR[FSI<(FSMITR"<[0]0 1 1φ"<FSI)SCPIC"<IM]'

```

```

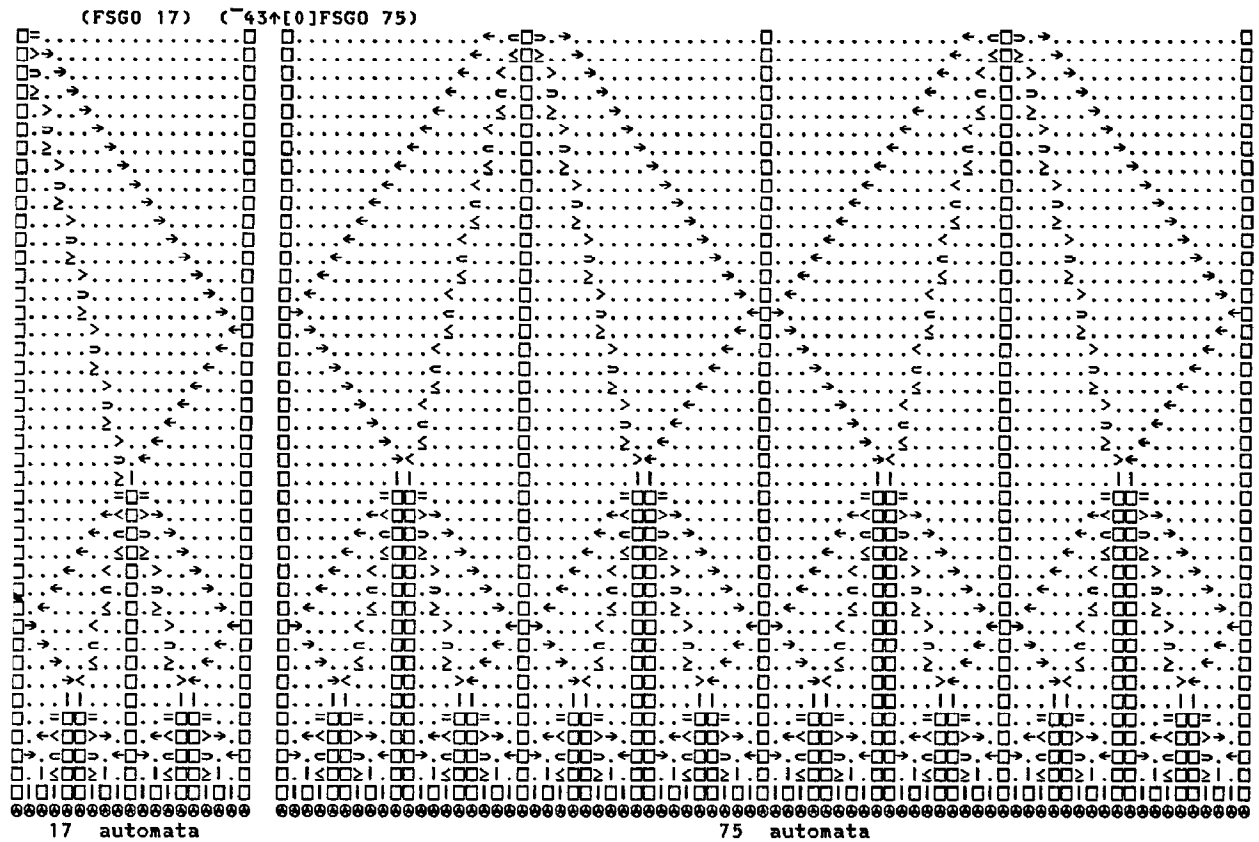
▽ 0. Z<FSMITR Y A Shallow indices (FSM) ==> depth three paths (FSMD3)
1. Z<(<3 4+Y[0]), <[1]3 4+1↓Y

```

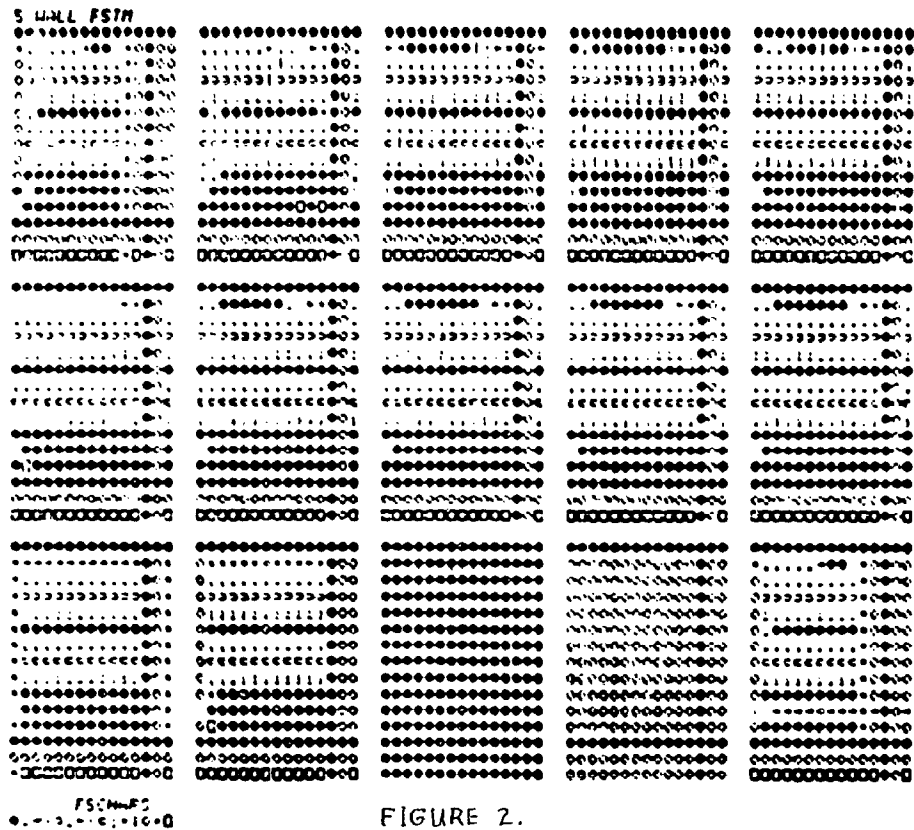
```

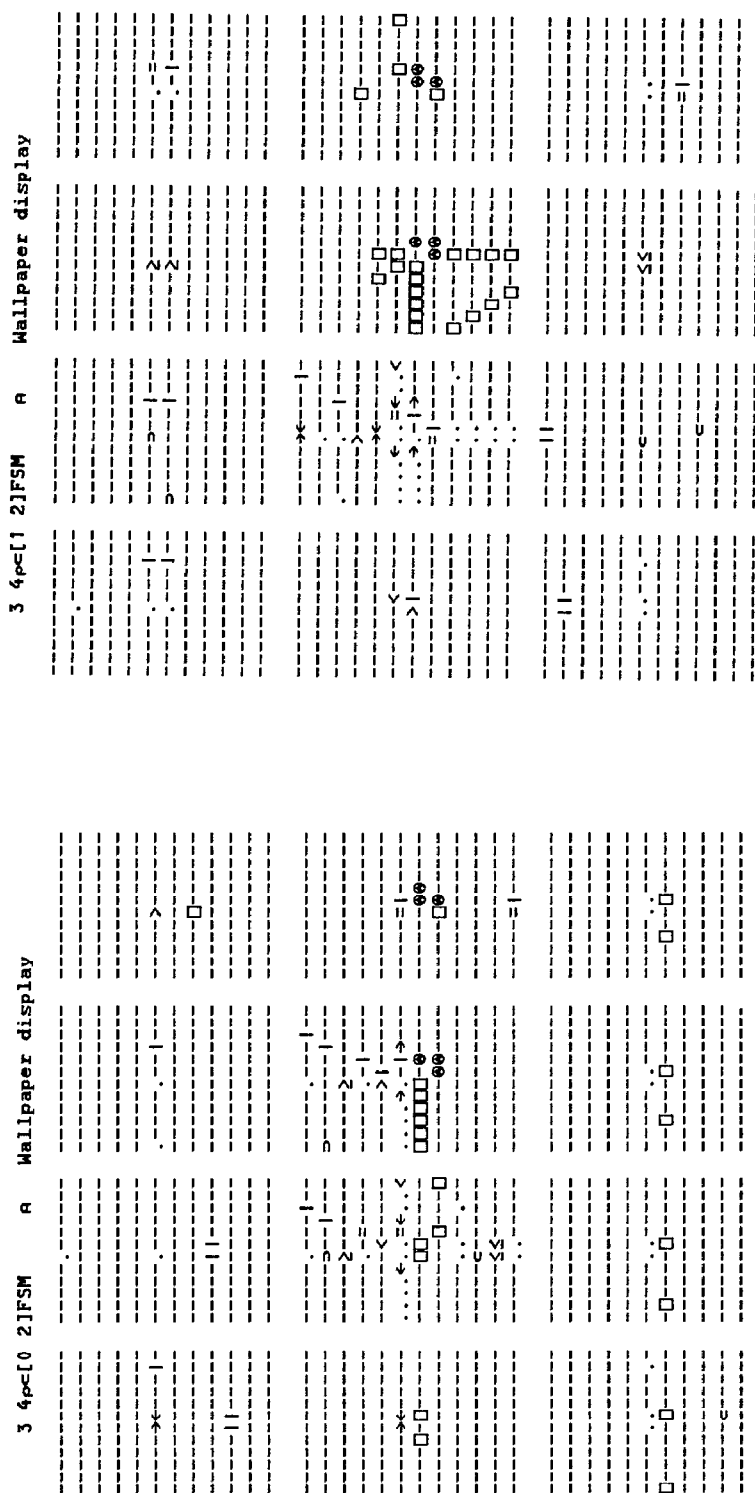
▽ 0. Z<X SCPIC Y
A 1. A Allows use of length three paths with FSMRD
A 2. A Z<('1↑X)>Y' □EA ''(2↑X)>Y''□EA''X>Y'' A slightly slower
→ 3. →10=__Z<X[0]>Y
→ 4. →10=__Z<X[1]>Z
5. Z<X[2]>Z

```



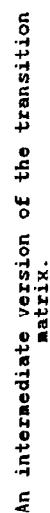
The last eighteen lines of the larger squad comprise eight replications of each half of the smaller squad.  
FIGURE 1.





Final shallow transition matrix.

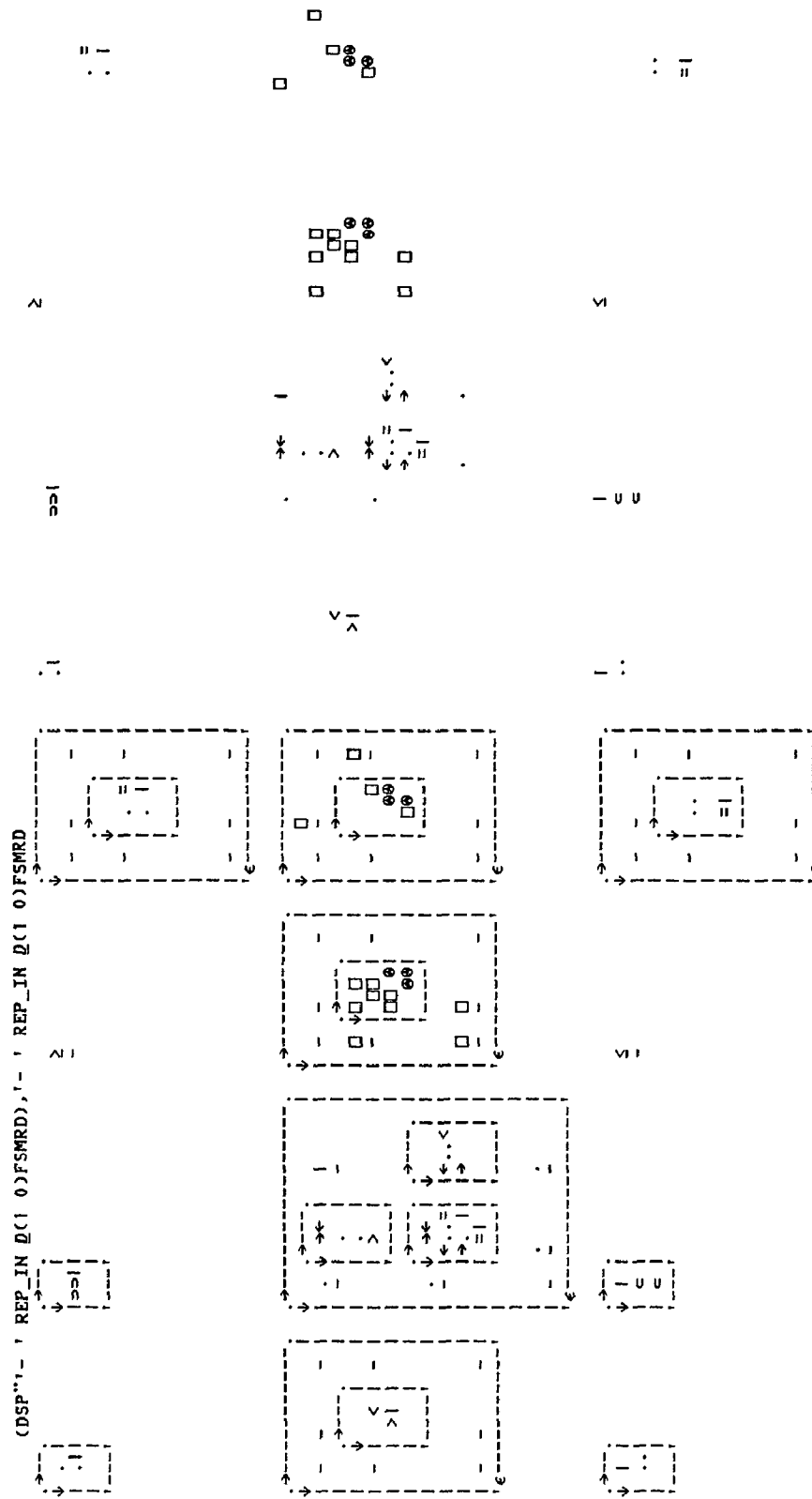
**FIGURE 4.**



**FIGURE 3.**







Unadorned ragged depth transition matrix.

FIGURE 6.

Ragged transition matrix with display boxes.