



# A Mechanism for Efficient Debugging of Parallel Programs

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## ABSTRACT

This paper addresses the design and implementation of an integrated debugging system for parallel programs running on shared memory multi-processors (SMMP). We describe the use of *flowback analysis* to provide information on causal relationships between events in a program's execution without re-executing the program for debugging. We introduce a mechanism called *incremental tracing* that, by using semantic analyses of the debugged program, makes the flowback analysis practical with only a small amount of trace generated during execution. We extend flowback analysis to apply to parallel programs and describe a method to detect race conditions in the interactions of the co-operating processes.

## 1. Introduction

Debugging is a major step in developing a program since it is rare that a program initially behaves the way the programmer intends. While most programmers have experience debugging sequential programs and have developed satisfactory debugging strategies, debugging parallel programs has proven more difficult. This paper addresses the design and implementation of an integrated debugging system for parallel programs running on shared memory multi-processors (SMMP). The major approach described in this paper is to use Balzer's *flowback analysis*[1] in providing information on the causal relationships between events in a program's execution without re-executing the program during debugging. By using semantic analyses of the program, such as inter-procedural analysis[2] and data flow analysis[3], we are able to keep the system overhead in applying flowback analysis low. Our approach also allows for easy detection of race conditions in the interactions of the co-operating processes. This paper describes a method called *incremental tracing* that makes flowback analysis practical by generating only a small number of traces during execution, and without requiring re-execution of the program during debugging. We extend flowback analysis to parallel programs. These strategies are being

evaluated in a test implementation called the *Parallel Program Debugger (PPD)*.

We borrow terminology from fault tolerant computing community to describe the process of debugging [4]. The first indication that a program is incorrect is usually an externally visible symptom, such as the wrong value being printed or the system detecting a fatal problem (such as dividing by zero). This externally visible symptom is called a *failure*. A failure is caused by an erroneous internal state (called an *error*) of the program. This error could be an incorrect value for a variable or the program executing in the wrong place (incorrect program counter). An error state is usually preceded by another error state. We can follow this (often long) chain of errors back to the cause. The cause of the initial error is an algorithmic *fault* in the program. In debugging, these faults are called *bugs*.

Debugging is a difficult job because the programmer has little guidance in locating the bugs. To locate a bug that caused an error, the programmer must think about the causal relationships between events in a program's execution. There is usually an interval between the time when a bug first affects the program behavior and when the programmer notices an error caused by the bug. This interval makes it difficult for the programmer to locate the bug. The usual method for locating a bug is to execute the program repeatedly, each time placing breakpoints (to detect an error) closer to the location of the bug. An easier way is to track the events backward from the moment of detecting an error to the moment at which the bug caused the error, as is done by the *flowback analysis*[1] approach proposed by Balzer. In flowback analysis, the programmer can see, either forward or backward, how information flowed through the program to produce the events of interest. In this way, the programmer can easily locate bugs that led to the detected errors.

This paper is organized as follows. We discuss research related to our work in Section 2, contrasting debugging with repeated execution, trace-based debugging, and flowback analysis. Section 3 provides an overview of our strategies for debugging parallel programs. In particular, Section 3 describes how we divide the process of debugging into several steps and how each of these steps works toward the goal of efficient debugging of parallel programs.

We describe in Section 4 the tools that help the user to locate bugs easily. Section 5 describes how to provide these tools in an efficient way and how to make them applicable to parallel programs. We describe a method to detect timing errors in the interactions of the co-operating processes in Section 6, and summarize our work in Section 7.

Research supported in part by the National Science Foundation grant CCR-8703373, an Office of Naval Research Contract, and an AT&T Graduate Fellowship.

To appear, SIGPLAN '88 Conference.

## 2. Related Work

There are two major approaches in debugging a parallel program: *cyclic debugging* (debugging with repeated execution of the program), and debugging with traces of program execution. The main advantage of cyclic debugging [5-7] is that the user need not anticipate the types of bugs that exist in the program until an error is detected. The program can be re-executed to produce the same execution behavior. Applying cyclic debugging to parallel programs have a couple of disadvantages. First, executing the entire computation several times while repeatedly setting breakpoints is costly. Second, cyclic debugging requires reproducible program behavior from the program to be debugged and cannot be applied, without special provision by the debugger, to non-deterministic programs. Such non-deterministic program behavior can come from scheduling delays, language constructs such as *guarded commands*[8], or from the changes in external environments. A Process accessing a database is a good example of the third case since its execution behavior may depend on the (potentially changing) contents of the databases.

The main advantage of debugging with traces [9-12] is that the reproducible behavior is not required of the program. This approach can therefore be applied to both deterministic and non-deterministic programs. Its main disadvantage is that generating traces can be costly in time and space. Ideally, we would like hardware support to eliminate the execution cost of tracing. Since this is rarely available, we have to work to reduce the size and cost of the traces. Even with hardware support, it is important to reduce the amount of tracing so as to not go beyond the hardware limitations.

Debugging is a difficult job because the programmer has little guidance in locating the bugs. To locate a bug that caused an error, the programmer must think about the causal relationships between the events in a program's execution. Balzer's *flowback analysis*[1] is unique among the trace-based approaches in that it is an attempt to directly help the programmer in locating bugs by showing the past flow of program execution and, in doing so, by showing the causal relationship among events. However, Balzer's suggestion is based on traces of events and shares the advantages and disadvantages of other trace-based approaches. Our debugging system provides such direct help with low system overhead.

## 3. Structural and Functional Overview of the Debugging System

Our approach to debugging parallel programs is to provide direct help in locating bugs without re-executing the program. In providing direct help to locate bugs, we have adopted flowback analysis[1] to show the actual run time dependences.

### 3.1. Flowback Analysis and Incremental Tracing

Flowback analysis would be straightforward if we were to trace every event during the execution of a program. However, doing so is expensive in time and space. The user needs traces for only those events that may have led to the detected error. The problem is that there is no way to know what errors will be detected before the execution of the program; either the user has to generate a trace of every event so that the traces will not lack anything important when an error is detected, or the user has to re-execute a modified program that generates the necessary traces after an error is detected. The first option is expensive, and most often not practical for parallel programs because of unacceptable changes the debugger would introduce in the timing of the interactions between processes. The second option is not practical for programs that lack reproducibility, as is often the case with parallel programs.

The strategy adopted by us to overcome the above difficulties is to use *incremental tracing* based on the idea of *need-to-generate*. For the user, the effect will be the same as that of generating a trace of every event and state of program during execution, but with far less run time overhead since not every event will actually be traced. The cornerstone of the need-to-generate concept is to generate a small amount of information, called a *log*, during execution and fill incrementally, during the interactive portion of the debugging session, the gap between the information gathered in the log and the information needed to do the flowback analysis using the log. By doing so, we can transfer the cost in generating traces from the execution time to the debugging time, and partly to the compilation time since we generate static information during compilation time. We can reduce the run time overhead in producing the log by applying inter-procedural analysis[2] and data flow analysis[3] commonly used in optimizing compilers. Incremental tracing will be described in more detail in Section 5.

### 3.2. Three Phases in Debugging

We divide debugging into three phases: a *preparatory* phase, an *execution* phase, and a *debugging* phase. There are two major components in our debugging system: the *Compiler/Linker* and the *PPD Controller*. During the preparatory phase, the Compiler/Linker produces the object code, and the files to be used in the debugging phase. While the object code is running in the execution phase, it generates the log to be used in the following debugging phase. When the program halts, due to either an error or user intervention, the debugging phase begins.

#### 3.2.1. Preparatory Phase

Figure 3.1 shows the preparatory phase, during which the Compiler/Linker produces, along with the object code, the following:

- 1) the *emulation package* that will generate traces during the debugging phase to fill the gap between the information contained in the log generated during execution phase and the information needed to do flowback analysis;
- 2) the *static program dependence graph* that shows the static (possible) data and control dependences among components of the program;

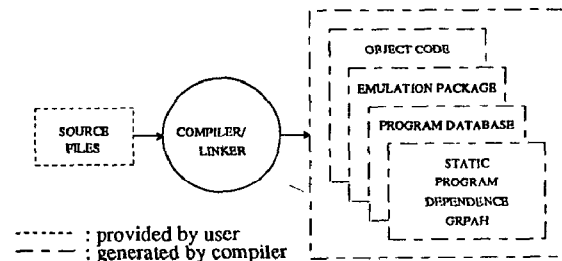


Figure 3.1. The Preparatory Phase

- 3) the *program database* that contains information on the program text such as the places where an identifier is defined or used.

### 3.2.2. Execution Phase

The object code produced during the preparatory phase plays a major role in the execution phase. Figure 3.2 shows the execution phase, during which the object code generates program output, and a log that records dynamic information about program execution. The log is used by the emulation package during the debugging phase in generating traces for the flowback analysis. Among the log entries are *postlogs*, which record the changes in the program state since the last logging point and *prelogs*, which record the values of the variables that might be read-accessed before the next logging point. The postlogs also allow for the restoration of the program state to previous points of program execution. The user could change the values of variables and re-start the program from the same point to see the effect of these changes on program behavior.

### 3.2.3. Debugging Phase

Figure 3.3 shows the debugging phase, during which the PPD Controller plays the major role. In Figure 3.3, the edges toward the PPD Controller represent the flow direction of the information needed to build the *dynamic program dependence graph*. The dynamic program dependence graph (also called *dynamic graph*) shows the run time dependences among program components and is incrementally built during debugging. In building the dynamic graph, the PPD Controller controls the emulation package to obtain the necessary traces.

When the debugging phase starts, the PPD Controller presents the user with a portion of the dynamic graph that has the last statement executed being the root of an inverted tree. Since the portion of the dynamic graph presented to the user at any time is small in size (first, there is a practical limit to the size of the graph determined by the screen size; second, it is useless to provide a graph whose size is beyond the user's grasp), the traces needed at one time in building a portion of the dynamic graph is also small in size.

When the user wants to see the dependences of events not seen on the portion of the dynamic graph presented to the user, the PPD Controller draws a new portion of the dynamic graph that shows the requested dependences. There are two possible cases here. In the first case, there are sufficient traces already generated to show the dependences requested by the user. In the second case, there are not sufficient traces. In the first case, the PPD Controller merely

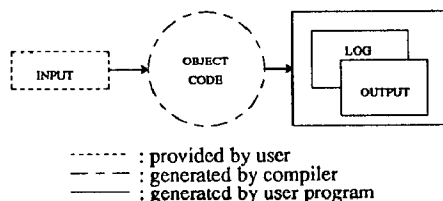


Figure 3.2. The Execution Phase

updates the portion of the dynamic graph presented to the user to show the requested dependences. In the second case, the PPD Controller directs the emulation package to generate the traces needed to show the requested dependences. In directing the emulation package, the PPD Controller consults with the static information such as static program dependence graph and program database produced during the preparatory phase. Since not all the events of a program execution are interesting to the user in locating bugs, not all the events will generate traces during the debugging. More details are provided in the next sections.

## 4. Program Dependence Graphs

Two types of information will be produced by our debugging system as a means of giving direct help in locating bugs: static information based on the program text, and dynamic information based on program execution. The static information consists of the static program dependence graph (also called *static graph*), the *simplified static program dependence graph* (also called *simplified static graph*), and the program database. The simplified static graph is a subset of the static graph, used to show the possible dependences between *concurrent events* (events belonging to different processes). More details on the simplified static graph are presented in Section 5.5.

The dynamic information consists of the dynamic graph and the *parallel dynamic program dependence graph* (also called *parallel dynamic graph*). The dynamic graph shows the run time dependences between program components during program execution so that the user can easily locate the bug that caused an error, while the parallel dynamic graph, which is a subset of the dynamic graph, emphasizes the interactions between processes of a program to help detect timing errors.

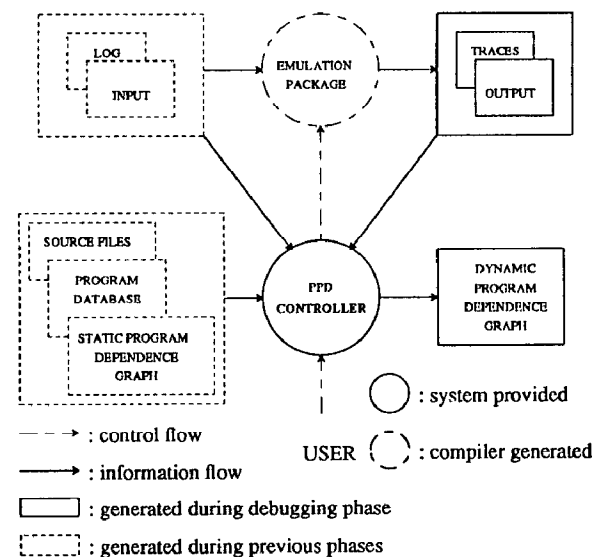


Figure 3.3. The Debugging Phase

#### 4.1. Static Information

The static graph shows the potential dependences between program components. The static program dependence graph is a variation of the *Program Dependence Graph* introduced by Kuck[13]. Other variations of the Program Dependence Graph have been used for representing programs in vectorizing compilers[14-17], for integrating program variants[18], and for debugging programs[19,20]. Since the Program Dependence Graph shows the possible dependences, we use the name static program dependence graph to distinguish it from the dynamic program dependence graph, which shows the actual dependences.

The program database contains information that cannot be easily represented by the static graph; for example, where in the program an identifier is defined. The program database also keeps the information obtained by semantic analyses of the program, such as the set of variables that may be used or modified when invoking a subroutine[2].

#### 4.2. Dynamic Program Dependence Graph

The dynamic graph shows the causal relations between program events and states during execution. If there are no loops and no conditionals in a program, the dynamic graph of the program will be structurally identical to its static graph. The definition used in this paper is a variation largely borrowed from [18], and adapted to the particular need of showing the run time dependences between program components.

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s1	d=SubD(a,b,a+b+c);	—————	: data dependence edge
s2	if (d>0)	- - - - -	: control dependence edge
s3	sq=sqrt(d);	—————	: flow edge
s4	else	—————	: singular node
s5	sq=sqrt(-d);	—————	: singular node
→ s6	a=a+sq;	—————	: sub-graph node

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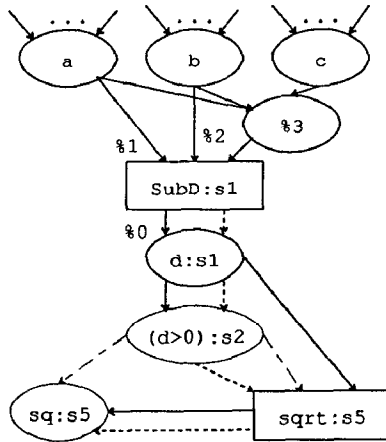


Figure 4.1. An Example  
Dynamic Program Dependence Graph

The dynamic program dependence graph of a program P, denoted as  $G_p$ , is a directed graph consisting of four types of nodes and four types of edges. The four node types are the *ENTRY node*, the *EXIT node*, the *singular node*, and the *sub-graph node*. Each node represents a program event (execution of a program component). The four edge types are the *flow edge*, the *data dependence edge*, the *control dependence edge*, and the *synchronization edge*.

The ENTRY node in a  $G_p$  is the point at which control is first transferred into the scope of  $G_p$ , and the EXIT node is the point at which control is transferred out of the scope of  $G_p$ . The singular node corresponds to the execution of either an assignment statement or a control predicate such as an if or case statement in the program. When a singular node corresponds to an assignment statement, it is associated with the assigned value. When a singular node corresponds to a control predicate, it is associated with the value of the control predicate. The sub-graph node, which is itself a graph (sub-graph), is a way of encapsulating the execution details of several statements. These statements usually correspond to functions or subroutines supplied by the user or the system. The value returned by a function execution is associated with the sub-graph node representing the execution of that function.

A flow edge from  $n_i$  to  $n_j$  is defined when the event represented by  $n_j$  immediately follows the event represented by  $n_i$  during execution; it shows the control flow of the program. A control dependence edge shows a control dependence between two nodes while a data dependence edge shows a data dependence between two nodes[18].

The synchronization edge shows the initiation and termination of synchronization events between processes, such as sending and receiving messages. The definition of a synchronization edge is described in more detail in Section 6, in the discussion on detecting timing errors between processes.

When a dynamic program dependence graph either represents the detailed execution of a subroutine or contains a sub-graph node representing the execution of a subroutine, we need to show the mapping between the formal parameters and the actual parameters. We use the symbol “%” to distinguish the passed parameters from the regular identifiers. For example, “%1” represents the first parameter, and “%n” represents the n'th parameter. “%0” is used to represent the returned value if the sub-graph node represents a function execution.

Figure 4.1 shows a C program fragment and its dynamic graph at the moment at which statement “s6” is to be executed (indicated by the arrow). Each node contains either an identifier or a predicate expression and a statement number. If the parameter passed to a subroutine is an expression rather than a single variable, we introduce a fictional singular node to accommodate this. In this figure, the third parameter passed to the function subroutine *SubD* is an expression, and a fictional node labeled “%3” is introduced. A sub-graph node, which represents a procedure or a function, is itself a graph consisting of nodes and edges. When the user wants to know more execution detail about the sub-graph node, the debugger presents the user a detailed graph corresponding to the sub-graph node.

#### 4.3. Parallel Dynamic Program Dependence Graph

The parallel dynamic graph is a subset of the dynamic graph that hides the detailed dependences between local events to better show the dependences between concurrent events. The parallel dynamic graph also allows for identifying race conditions in a

program's execution. The parallel dynamic graph can be thought of as the superstructure of the dynamic graph, since we can build the parallel dynamic graph during program execution and fill in the detailed dependences between local events during debugging. A more detailed description of parallel dynamic graph is given in Section 6, where we present a description of how to order concurrent events and identify race conditions.

## 5. Incremental Tracing

In this section, we describe incremental tracing in more detail. We first assume the reproducibility of the program to be debugged. Later in this section, we describe how to make these operations possible for parallel programs that lack reproducibility.

### 5.1. Emulation Blocks and Logs

As described in Section 3, two types of log entries generated during the execution phase are the prelogs and postlogs. We call the activities to produce such log entries *prelogging* and *postlogging*.

The object code generated by the Compiler/Linker during the preparation phase contains code to generate prelogs and postlogs. By using semantic analysis, we divide the program into numerous segments of code called *emulation blocks (e-blocks)*. Each e-block starts with code to generate prelog and ends with code to generate postlog. The prelog consists of the values of the variables that may be read-accessed during the execution of the e-block. The postlog consists of the values of the variables that may be write-accessed during the execution of the e-block. An e-block is also the unit of incremental tracing during debugging. As will be described in more detail later in this section, a subroutine is a good example of an emulation block.

The  $i$ 'th prelog and the corresponding postlog generated during program execution are called *prelog(i)* and *postlog(i)*, respectively. The emulation block that starts with the code to generate prelog(i) and ends with the code to generate postlog(i) is denoted as *e-block(i)*, and the set of events in e-block(i) is denoted as  $E_i$ . The time interval between the prelog and postlog of an e-block is called the *log interval* and is denoted as  $I_i$  for the log interval starting with prelog(i). The log entries in log interval  $I_i$  are denoted as  $LOG(i)$ . Programs usually contain loops, so a given e-block of a program may have several corresponding log intervals during execution. Figure 5.1 shows the logging points and log intervals.

$USED(i)$  is the set of variables that may be read-accessed by  $E_i$ .  $DEFINED(i)$  is the set of variables that may be write-accessed by  $E_i$ . The *prelog(i)* consists of the values of the variables belonging to  $USED(i)$  at the beginning of  $I_i$ , and the *postlog(i)* consists of

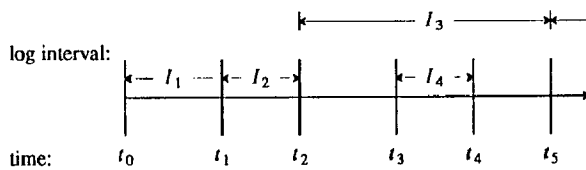


Figure 5.1. Logging Points and Log Intervals

the values of the variables belonging to  $DEFINED(i)$  at the end of  $I_i$ . To reproduce the same program behavior for interval  $I_i$  during the debugging phase, we use the program code corresponding to  $I_i$  (e-block(i)), the log entries generated during  $I_i$  ( $LOG(i)$ ), and the same input as originally fed to the program during that log interval. We obtain the sets  $USED(i)$  and  $DEFINED(i)$  by applying data flow analysis[2, 21-23] to  $E_i$ .

### 5.2. Nesting of Log Intervals

Log intervals can be *nested* when one subroutine calls another. For example, in Figure 5.2 we assume e-block(j) corresponding to log interval  $I_j$  is made up of a subroutine named *SubJ*. *SubJ* started at time  $t_{i-1}$  and ended at time  $t_{i+2}$ . We also assume e-block(j+1) corresponding to  $I_{j+1}$  is made up of a subroutine named *SubK*. *SubK* is called at  $t_i$  (from within *SubJ*) and returns at  $t_{i+1}$ . Prelog(j) and postlog(j) are made at  $t_{i-1}$  and  $t_{i+2}$ , respectively; prelog(j+1) and postlog(j+1) are made at  $t_i$  and  $t_{i+1}$ , respectively. In this case, we say log interval  $I_{j+1}$  is *nested* inside log interval  $I_j$ .

When the user is interested in the events between  $t_{i+1}$  and  $t_{i+2}$ , the system retrieves prelog(j) and executes e-block(j) of the emulation package. When the execution of e-block(j) of the emulation package arrives at the point of the subroutine call corresponding to e-block(j+1) (*SubK*), it updates the program state with postlog(j+1) generated by e-block(j+1) of the object code during the execution phase instead of executing e-block(j+1) of emulation package, and proceeds with the execution until it arrives at the end of e-block(j). The unexecuted portion corresponding to e-block(j+1) will be represented as a sub-graph node in the dynamic graph. If the user wants to know more about the execution detail of the sub-graph node, the PPD Controller executes e-block(j+1) of the emulation package by preparing the initial state with prelog(j+1) from the log file.

### 5.3. Object Code and Emulation Package

The Compiler/Linker generates the object code and the emulation package during the preparatory phase. The object code contains the conventional executable code along with code to generate the log entries. The emulation package is similar to the object code, except that instead of code to generate the log entries, it contains code to generate a trace of every useful event. This section describes how the object code and emulation package are used to

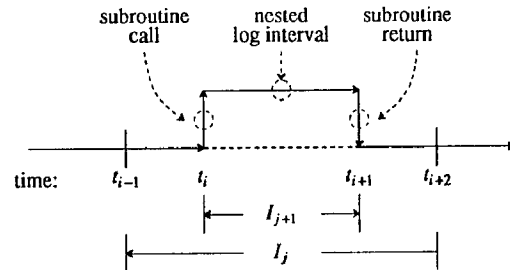


Figure 5.2. A Nested Log Interval

perform the flowback analysis.

Once a program is stopped (due to either an error or user intervention), the PPD Controller locates the last prelog whose corresponding postlog has not yet been generated by the object code. The Controller sets up the initial state for the emulation package using this prelog. Then, the Controller locates the e-block of the emulation package corresponding to this prelog and executes it to generate the necessary traces to do the flowback analysis for the events within the e-block. When the flowback analysis is done, the Controller builds a fragment of dynamic graph such as shown in Figure 4.1, and presents to the user a simplified and abstract form of the dynamic graph.

In doing the flowback analysis, the Controller uses the traces generated by the emulation package, the static graph, and the program database (as shown in Figure 3.3). The traces generated in this example correspond only to the log interval of last prelog generated, so the portion of dynamic graph presented to the user is incomplete. After examining the dynamic graph, the user tells the Controller which dependences are of interest, and therefore which part of the dynamic graph to extend.

There are two possible cases here: first, there may already be sufficient traces generated to show the requested dependences; second, there may not be sufficient traces generated. In the first case, the Controller merely updates the dynamic graph or builds a new fragment of the dynamic graph using traces already generated. In the second case, the Controller locates, with the help of the static graph and the program database, the log interval whose traces are needed to complete the requested part of the dynamic graph. The Controller retrieves the prelog for that interval from the log file and sets up the initial condition for the emulation package e-block. After that, the Controller locates the e-block corresponding to that log interval from the emulation package. The Controller then executes the emulation package e-block to generate traces. Finally, the Controller either updates the dynamic graph or builds a new one. The entire process is repeated as necessary until the user has enough of the dynamic graph to locate their bug. Since only the portions of the dynamic graph in which the user is interested are generated, this is called incremental tracing.

#### 5.4. Constructing E-blocks

In this section, we describe how to divide the program into numerous segments of code called e-blocks. The only condition for several consecutive lines of code to form an e-block is that the entry point for an e-block must be well defined. Whenever control is transferred from one e-block to another, the control must be transferred to the entry point of the second e-block. The entry point is where the prelog is made. The postlog is made at the exit point where the control is transferred out of an e-block. One natural candidate for constructing an e-block is the subroutine since the entry and exit points are well defined.

The size of the e-blocks is crucial to the performance of the system during the execution and debugging phases. In general, if we make the size of the e-blocks large in favor of the execution phase, the debugging phase performance will suffer. On the other hand, if we make the size of the e-blocks small in favor of the debugging phase, execution phase performance will suffer. While the number of logging points should be small enough so as not to introduce an unacceptable performance degradation during the execution phase, it should also be large enough so as not to introduce unacceptable time delay in reproducing traces during the debugging phase. Consider, for example, the case in which the size of a

subroutine is very large. Though the size of a subroutine has no direct relationship to the time needed to execute it, we can act conservatively to construct several e-blocks out of such a large subroutine.

There are other cases of e-blocks that are not subroutines, such as the **for** and **while** loop constructs. Even though the size may be small, the execution time for these components may be long and may introduce unacceptable time delay in reproducing the traces. E-blocks can be defined for such loops so that the debugging phase can proceed without excessive time spent in re-executing the loops. Still, if the user is interested in the execution details inside such loops, the PPD Controller can re-execute the e-blocks corresponding to the loops.

Small and frequently called subroutines can also be a problem. If we make an e-block out of each small subroutine, the amount of logging done during the execution phase may be large enough to introduce unacceptable performance degradation. To avoid this problem, it may be better not to make e-blocks out of the small subroutines that correspond to leaf nodes in the call graph. In this case, the direct ancestor subroutines of these leaf subroutines *inherit* the USED sets and the DEFINED sets of the leaf subroutines, and perform the logging for the descendent subroutines.

#### 5.5. E-Blocks, Parallel Programs, and Reproducible Behavior

So far we have assumed the reproducibility of the debugged program in describing the operations to perform incremental tracing. In this section, we describe the possible problems in applying these operations to parallel programs that lack reproducibility and also describe our solutions to the problems.

Figure 5.3 contains a subroutine which accesses a global variable named *SV*. The subroutine also constitutes an e-block. The statement indicated by arrow is the first statement in the subroutine that accesses the variable *SV* after control transfers to this

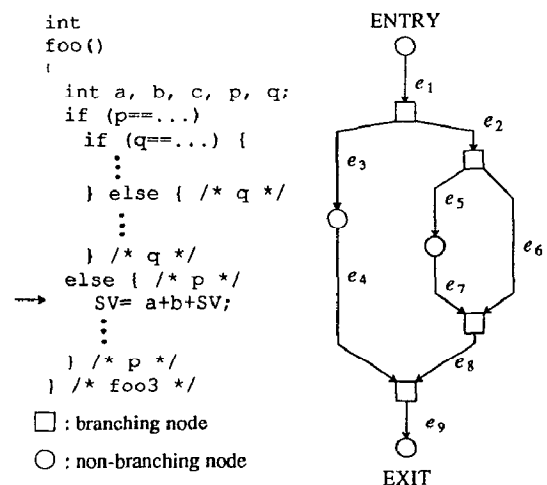


Figure 5.3. A Subroutine Accessing a Shared Variable And Its Simplified Static Program Dependence Graph

subroutine. In sequential programming, we can make a prelog that saves the value of *SV* at the beginning of the e-block. The value of the *SV* will not be changed until it is first accessed in the statement indicated by the arrow. Therefore, we need at most one prelog and one postlog for each e-block for sequential programming. In parallel programming, there may be more than one process that can access the same variable.

If *SV* is a shared variable, we cannot guarantee that the value of *SV* saved at the beginning of the e-block will be the same when it is first read-accessed in the e-block; other processes may have changed the value of *SV* between these two moments. Reproducible program behavior is not guaranteed and traces generated during the debugging phase may not be the same as they might have been generated during the execution phase. We need to save more run time information to ensure the reproducibility of parallel programs. Such additional information usually includes the values of the shared variables. The simplified static graph allows us to identify what additional information we have to generate and where in the program we have to generate that information for parallel programs.

Figure 5.3 shows the simplified static graph for subroutine *foo3*. The simplified static graph is a subset of the static graph with only one edge type (flow edge). ENTRY, EXIT, and sub-graph nodes (corresponding to subroutine calls) are the same as in the dynamic graph. However, singular nodes include only control predicates (shown as branching nodes) and synchronization operations such as P and V semaphore operations. In our example, we use only the semaphore operations (P and V operations) as synchronization operations. However, this approach can easily be generalized to other synchronization primitives.

In constructing the simplified static graph, we are not interested in the other singular nodes and they are not included in the simplified static graph. Thus, the non-branching nodes in the simplified static graph correspond only to ENTRY nodes, EXIT nodes, synchronization operations, or subroutine calls. Branching nodes in the simplified static graph represent the possible control transfers from if or case statements.

By partitioning the program into numerous *synchronization units*, the simplified static graph allows us to identify what additional information we need to generate and where in the program we have to generate that information. We define the synchronization unit as follows:

**Definition 5.1**

A *synchronization unit* consists of all the edges that are reachable from a given non-branching node in the simplified static graph without passing through another non-branching node.

Thus the sets  $\{e_1, e_2, e_3, e_5, e_6, e_8, e_9\}$ ,  $\{e_4, e_9\}$ , and  $\{e_7, e_8, e_9\}$  in Figure 5.3 each constitute a synchronization unit. The values of the shared variables that might be read-accessed inside each synchronization unit correspond to the additional information, and the beginning point of each synchronization unit corresponds to the place the additional information is to be generated.

The object code generates an additional prelog (at the beginning of the synchronization unit) for the shared variables that could be read-accessed inside the unit. There is no corresponding postlog generated for the write-accessed shared variables at the end of the synchronization unit, since the regular logs generated at the beginning and end of the e-block contain the values of both shared and non-shared variables. If a synchronization unit does not contain any accesses to a shared variable, we do not generate a log entry for that shared variable.

The shared variables accessed by a process in a synchronization unit should not be write-accessed by other processes while the first process is running inside the synchronization unit. Likewise, the shared variables write-accessed by a process in a synchronization unit should not be read-accessed by other processes while the first process is running inside the synchronization unit. Otherwise, there exists a race condition in accessing the shared variables. If such race conditions do not exist in the user code, no inconsistencies will occur while using the prelog during debugging. If there exists a race condition in an execution instance of a program, even though the log entries are not valid, we can detect and show the causes of the race condition. Further discussion on the method for detecting race conditions in an execution instance of a program appears in Section 6.

The prelog generated at the beginning of a synchronization is unique in that it does not have the matching postlog. The general solution might be to construct an e-block out of each synchronization unit. However, doing so would increase the number of log entries to be generated during execution since each e-block generates logs for the non-shared as well as the shared variables.

## 5.6. Logging and Parallel Programs

There is one log file for each process of a parallel program. Sometimes the incomplete part of the dynamic program dependence graph presented to the user may cross the process boundaries when an event of a process is dependent upon an event of a different process. In this case, the PPD Controller locates the second process and its log interval to generate traces necessary to show the requested dependences. In Section 6, We describe how to locate the second process and how to determine the log interval of the second process to generate traces.

## 5.7. Postlogs and Restoration of Program States

Restoration of the program state to a point of program execution can allow the user to experiment by changing the values of variables to see the effect of such changes on program behavior. The postlogs generated during execution will allow for such restoration during the debugging phase. The accumulation of the information carried by all the postlogs from the first postlog, *postlog(1)*, up to *postlog(i)* is the same as the information carried by the program state at the time at which *postlog(i)* is made. Therefore, we can restore the program state by using the postlogs from *postlog(1)* up to *postlog(i)*. The program state at any time after that can be restored by using the restored program state and the object code. Such restoration of the program state also solves the problem of halting co-operating processes in a timely fashion[24] since we can easily restore the program state to the interesting point of program execution for each halted process.

## 6. Parallel Dynamic Graph and Ordering Concurrent Events

Ordering concurrent events has two implications. First, it allows the user to see the possible causal relationships among the concurrent events. Second, it allows for the detection of timing errors in interactions between co-operating processes. In this section, we describe the parallel dynamic graph and describe how it is used to order concurrent events and detect race conditions. The parallel dynamic graph can also help the user analyze the causes of deadlocks.

## 6.1. Parallel Dynamic Graph

The parallel dynamic graph is a subset of the dynamic graph that abstracts out the interactions between processes while hiding the detailed dependences of local events. Among the edge types of the dynamic graph, the parallel dynamic graph shows only a modified form of flow edges (called an internal edge), which represent the ordering of local events, and the synchronization edges, which show the initiation and termination of synchronization events. Figure 6.1 contains an example of a parallel dynamic graph with three processes.

The parallel dynamic graph of a concurrent program consists of two edge types: the synchronization edges and the *internal edges*, and a single node type called the *synchronization nodes*. A synchronization edge from a node to another node represents a causal relationship between the events represented by the two nodes (synchronization nodes) such as sending a message and receiving the message. All the other nodes of the dynamic graph that do not constitute synchronization nodes in the parallel dynamic graph are called *non-synchronization nodes*.

An internal edge represents a chain of zero or more non-synchronization nodes. The synchronization node from which either an internal edge or synchronization edge starts is called the *start node* of the edge, and the synchronization node at which an edge terminates is called the *end node* of the edge. The internal edge corresponds to the actual execution of a synchronization unit described in Section 5.

We define the partial ordering of nodes and the edges of the parallel dynamic graph using the " $\rightarrow$ " operator as follows[25]:

- 1) For any two nodes  $n_1$  and  $n_2$  of the parallel dynamic graph,  $n_1 \rightarrow n_2$  is true if  $n_2$  is reachable from  $n_1$  by following any sequence of internal and synchronization edges.
- 2) For two edges  $e_1$  and  $e_2$ ,  $e_1 \rightarrow e_2$  is true if  $n_1 \rightarrow n_2$  is true where  $n_1$  is the end node of the edge  $e_1$ , and  $n_2$  is the start node of the edge  $e_2$ .

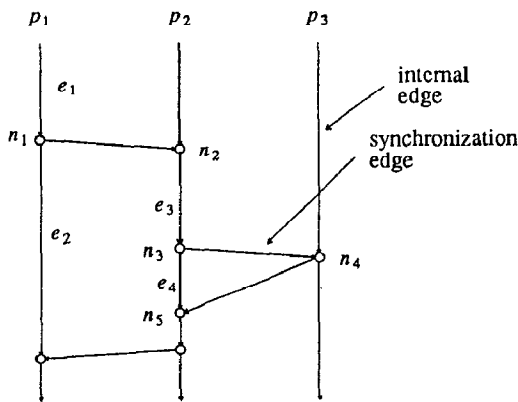


Figure 6.1 An Example Parallel Dynamic Program Dependence Graph

Since an internal edge of a process consists of local events of the process, we can order concurrent events by ordering the internal edges to which the concurrent events belong. If there is no ordering between two internal edges, there exists a potential race condition. More details on detecting race conditions are given later in this section.

## 6.2. Constructing Synchronization Edges

A synchronization edge constructed between two nodes represents a causal relationship between the events represented by the two nodes and allows for the partial ordering of the concurrent events. In this section, we describe how to construct synchronization edges for various synchronization operations such as semaphore operations. In general, we construct a synchronization edge between two concurrent synchronization events if we can identify a causal relationship between the two events.

### 6.2.1. Semaphores and Synchronization edges

We construct a synchronization edge when a V operation of a process unblocks another process. In this case, we create a start node for the V operation and an end node for the event of being unblocked. We also construct a synchronization edge for a V and P semaphore operation pair when the V operation changes the value of a semaphore variable from zero to one and the P operation is the next semaphore operation on the same semaphore variable. In this case, we construct a start node for the V operation and an end node for the P operation. By convention, we do not construct a synchronization edge in the second case if the V and P operation are done by the same process. Other synchronization operations which can be treated similarly are the monitor and the locking operation[26].

### 6.2.2. Messages and Synchronization Edges

When a system uses messages for inter-process communication, we define a synchronization edge by a start node for the event of sending a message, an end node for the event of receiving the message, and a synchronization edge from the sending node to the receiving node.

If the sender is blocked until the message is received by the receiver, we also define an end node at the sender for the event of unblocking, and a synchronization edge from the receiving node to the end node of the sender. The node at the receiver becomes the end node of the synchronization edge representing the event of sending and receiving a message, and the start node of the synchronization edge representing the unblocking of the sender process. In Figure 6.1, node  $n_3$  corresponds to a blocking send, while node  $n_4$  represents the receiving of the message. Node  $n_5$  represents the unblocking event. Edge  $e_4$  contains zero events.

### 6.2.3. Rendezvous and Synchronization Edges

For the Ada rendezvous, we define a start node (on the caller process) for the event of calling the rendezvous, an end node (on the callee process) for the event of accepting the call, and a synchronization edge between the two nodes. We also define a start node (on the callee) for the event of exiting from the rendezvous block, an end node (on the caller) for the event of returning from the rendezvous call, and a synchronization edge between the two nodes. The internal edge (on the caller) between the event of calling the rendezvous and the event of returning from the call contains zero number of events since the caller is suspended during the call.

We can treat the remote procedure call (RPC) in a similar way as we do the rendezvous using two synchronization edges, one for calling to, and another for returning from the RPC. Message



semantics that require the receiver to send a special *reply* message[27] to the sender are similar to the rendezvous.

### 6.3. Ordering Concurrent Events

The parallel dynamic graph allows for ordering concurrent events so that we can identify causal relationship between concurrent events and detect race conditions. In Figure 6.1, assume there exists a shared variable named SV that is write-accessed in edge  $e_1$  and read-accessed in edge  $e_3$ . There are no other accesses to SV. In this case, we can see that there exists a data-dependence of the second event in  $e_3$  on the first event in  $e_1$  and can extend the data dependence edge of the dynamic graph across process and processor boundaries by using the parallel dynamic graph. Likewise, we can extend control dependence edges across the process and processor boundaries.

Now, assume that there also exists another write-access to SV in edge  $e_2$ . Here, we cannot tell which of the two events (one in  $e_2$  and one in  $e_3$ ) happened first; there exists a race condition. This example shows how we can detect race conditions by using the parallel dynamic graph.

### 6.4. Detecting Race Conditions

As described above, we can detect race conditions using parallel dynamic graph. We will define the race conditions more formally here. As a reminder, note that we have stated that, for two edges  $e_1$  and  $e_2$ ,  $e_1 \rightarrow e_2$  is true if  $n_1 \rightarrow n_2$  is true where  $n_1$  is the end node of  $e_1$  and  $n_2$  is the start node of  $e_2$ .

*Definition 6.1*

Two edges  $e_1$  and  $e_2$  are *simultaneous edges* if  
 $\neg (e_1 \rightarrow e_2) \wedge \neg (e_2 \rightarrow e_1)$ .

*Definition 6.2*

The  $READ\_SET(e_i)$  is the set of the shared variables read-accessed in the edge  $e_i$ . The  $WRITE\_SET(e_i)$  is defined similarly.

*Definition 6.3*

We say two simultaneous edges  $e_1$  and  $e_2$  are *race-free* if all the following conditions are true:

- a)  $WRITE\_SET(e_1) \cap WRITE\_SET(e_2) = \emptyset$ .
- b)  $WRITE\_SET(e_1) \cap READ\_SET(e_2) = \emptyset$ .
- b)  $READ\_SET(e_1) \cap WRITE\_SET(e_2) = \emptyset$ .

In other words, two simultaneous edges  $e_1$  and  $e_2$  are race-free if there exists no read/write conflict or write/write conflict between them.

*Definition 6.4*

An execution instance of a program is said to be race-free if all pairs of the simultaneous edges of the execution instance are race-free.

The reason why one can say only that an execution "instance" of a parallel program is race-free is that one cannot tell if a parallel program is race-free unless one considers every possible event (internal or external to the program) that may affect the timing of the process interactions.

## 7. Conclusion

Our approach to efficient debugging of parallel programs has three parts. First, we use flowback analysis to provide direct help to the programmer to debug parallel programs. We have extended flowback analysis to programs consisting of cooperating processes. Flowback analysis has the advantages that it does not require repeated execution and that it uses semantic knowledge of the program to help guide the programmer to the location of bugs.

Second, we have made flowback analysis practical by reducing the amount of traces generated during program execution by transferring this cost from execution time to debugging and compilation time. This transfer of cost is accomplished by using semantic analysis of the debugged program with a technique called incremental tracing.

Last, we have addressed issues specific to parallel programs – those relating to synchronization errors. We can help locate the cause of deadlocks and help detect potential race conditions in process interactions.

The paper provides only an overview of our method for efficiently debugging parallel programs. Many areas require more detailed description; some of these areas are currently being investigated and some will be addressed in the near future.

We are currently taking a more detailed look at the efficiency and speed of the debugging phase algorithms. One issue is that the representation of the various graph data structures can have a large effect on the speed of the debugging phase algorithms. For example, using bit-mask representations for sets of variables (as opposed to a list structure) can have a large payoff. A second (and major) issue is how to detect all potential race conditions in the dynamic graph from a program's execution. Given a specific edge in a program's dynamic program dependency graph, it is not difficult to determine if there is another edge that contains a potential read/write or write/write conflict. The problem of finding all pairs of possible conflicting edges is more expensive. We are currently investigating algorithms to reduce the cost of detecting these conflicts.

Our long range goal is to build a production quality debugger. To do this, we must consider pointers, separate compilation, and a user interface. Pointers or aliases make the problem of identifying the used and defined sets of a variable more difficult. One approach is to analyze the program to identify the potential aliases for a given variable [21-23, 28, 29]. If this analysis produces a small enough set, then these aliases can be included in the used and defined sets. A second approach is to simply record all uses of pointer in the logs. More experience is needed before we can evaluate these alternatives.

Separate compilation of the program introduces the problem of updating inter-procedural information kept in the program database. We must account for the side effects caused by referencing global variables in a procedure.

A debugger that can provide a rich body of information needs an easy-to-use interface. The graphical information produced by the debugging must be presented in a form that is easily understood. This information must be related to information about the program execution state (such as call stacks) and to the program text. The interface must also allow the programmer to easily specify what they want to do.

The premise for the ideas described in this paper is to debug parallel programs while minimizing the overhead introduced by the presence of the debugging tools. We have made informal experimental tests of the performance of our techniques. These have been done by hand-annotating programs using the semantic analyses. Our measurements show that the tracing added less than 15% to the program execution time. Though the measurements were done by using a program with hand-written code to generate log records, we believe that our prototype Compiler/Linker under construction will generate object code with similar overhead.

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