The Prolog III programming language extends Prolog by redefining the fundamental process at its heart: unification. This article presents the specifications of this new language and illustrates its capabilities.

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# Introduction Dia Collection

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rolog was initially designed to process natural languages. Its application in various problem solving areas has demonstrated its capabilities, but has also made clear its limitations. Some of these limitations have been overcome as a result of increasingly efficient implementations and ever richer environments. The fact remains, however, that the core of Prolog, namely, Alan Robinson's unification algorithm [22], has not changed fundamentally since the time of the first Prolog implementations. Moreover, it is becoming less and less significant compared to the ever-increasing number of external procedures as, for example, the procedures used for numerical processing. These external procedures are not easy to use. Their evocation requires that certain parameters be completely known, and this is not in line with the general Prolog philosophy that it should be possible anywhere and at any time to talk about an unknown object x.

In order to improve this state of affairs, we have fundamentally reshaped Prolog by integrating at the unification level: 1) a refined manipulation of trees, including infinite trees, together with a specific treatment of lists; 2) a complete treatment of two-valued Boolean algebra; 3) a treatment of the operations of addition, substraction, multiplication by a constant and of the relations  $\langle, \leq, \rangle, \geq$ ; 4) the general processing of the relation  $\neq$ . By doing so, we replace the very concept of unification by the concept of constraint solving in a chosen mathematical structure. By mathematical structure, we mean here a domain equipped with operations and relations, the operations being not necessarily defined everywhere.

The incorporation of these features into Prolog resulted in the new programming language, Prolog III. In this article we establish its foundations and illustrate its capabilities using representative examples. These foundations, which apply to a whole family of "Prolog III-like" programming languages, will be presented by means of simple mathematical concepts without explicit recourse to first-order logic.

The research work on Prolog III is not an isolated effort; other research has resulted in languages whose designs share features with Prolog III. The CLP(R) language developed by J. Jaffar and S. Michaylov [19]

The prototype interpreter was built as a cooperative effort between the laboratory (GIA) and the company PrologIA. Substantial financial support was obtained from the Centre National d'Etudes des Télécommunications (contract 86 1B 027) and from the CEE within the framework of the Esprit project Pl106 "Further development of Prolog and its Validation by KBS in Technical Areas." Additional support was granted by the Commissariat à l'Energie Atomique in connection with the Association Médicfrannénne pour le Développement de l'IA; by Digital Equipment Corporation in connection with an External Research Grant; and by the Ministère de la Recherche et de l'Enseignement Supérieur within the two "Programmes de Recherches Concertés." "Génie Logiciel" and "Intelligence Artificielle." Finally, the most recent work on approximated multiplication, and concatenation has been supported by the CEE Basic Research initiative in the context of the "Computational Logic" project.

A very preliminary version of this paper has appeared in the *Proceedings of the* 4th Annual ESPRIT Conference, Brussels, North Holland, 1987, pp. 611-629. emphasizes real number processing, whereas the CHIP language developed by the team led by M. Dincbas [13] emphasizes processing of Boolean algebra and pragmatic processing of integers and elements of finite sets. We should also note the work by J. Jaffar et J-L. Lassez [18] on a general theory of "Constraint Logic Programming." Finally, we should mention Prolog II, the well-established language which integrates infinite tress and the  $\neq$  relation, and whose foundations [9, 10] were already presented in terms of constraint solving. From a historical point of view, Prolog II can be regarded as the first step towards the development of the type of languages discussed in this article.

# The Structure Underlying Prolog III

We now present the particular structure which is the basis of Prolog III and specify the general concept of a structure at the same time. By *structure* we mean a triple (D, F, R) consisting of a *domain* D, a set F of *operations* and a set of *relations* on D.

### Domain

The domain D of a structure is any set. The domain of the structure chosen for Prolog III is the set of *trees* whose nodes are labeled by one of the following:

- 1. identifiers,
- 2. characters,
- 3. Boolean values, 0' and 1',
- 4. real numbers,

5. special signs  $<>^{\alpha}$ , where  $\alpha$  is either zero or a positive irrational number.

Figure 1 illustrates such a tree:

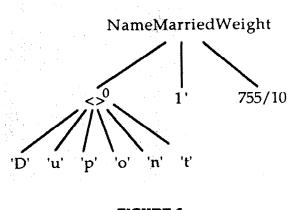


FIGURE 1 An element of the domain of Prolog III.

The branches emanating from each node are ordered from left to right; their number is finite and independent of the label attached to the node. The set of nodes of the tree can be infinite. We do not differentiate between a tree having only one node and its label. Identifiers, characters, Boolean values, real numbers and special signs  $<>^{\alpha}$  will therefore be considered to be particular cases of trees.

By *real numbers* we mean perfect real numbers and not floating point numbers. We make use of the partition of the reals into two large categories—the rational numbers, which can be represented by fractions (and of which the integers are a special case) and the irrational numbers (as for example  $\pi$  and  $\sqrt{2}$ ) which no fraction can represent. In fact, the machine will compute with rational numbers only and this is related to an essential property of the constraints that can be employed in Prolog III; if a variable is sufficiently constrained to represent a unique real number then this number is necessarily a rational number.

A tree *a* whose initial node is labeled by  $<>^{\alpha}$  is called a *list and* is written

$$\langle a_1,\ldots,a_n \rangle^{\alpha},$$

where  $a_1 \dots a_n$  is the (possibly empty) sequence of trees constituting the immediate daughters of a. We may omit  $\alpha$  whenever  $\alpha$  is zero. The *true* lists are those for which  $\alpha$  is zero : they are used to represent sequences of trees (the sequence of their immediate daughters). Lists in which  $\alpha$  is not zero are *improper* lists that we have not been able to exclude : they represent sequences of trees (the sequence of their immediate daughters) completed at their right by something unknown of length  $\alpha$ . The *length* |a| of the list a is thus the real  $n + \alpha$ . A true list has as its length a non-negative integer and an improper list has as its length a positive irrational number. The list <> is the only list with length zero; it is called the *empty list*. We define the operation of *concatenation* on a true list and an arbitrary list by the following equality :

$$\langle a_1,...,a_m \rangle^0 \cdot \langle b_1,...,b_n \rangle^{\alpha} = \langle a_1,...,a_m,b_1,...,b_n \rangle^{\alpha}.$$

This operation is associative,  $(a \cdot a') \cdot b = a \cdot (a' \cdot b)$ , and the empty list plays the role of the neutral element,  $a \cdot <> = a$  et  $<> \cdot b = b$ . We observe that for any list b, there exists one and only one true list a, and one and only one real  $\alpha$  so that

$$b = a \cdot \langle \rangle^{\alpha}$$
.

This list a is called the *prefix* of b and is written |b|.

### Operations

Let  $D^n$  denote the set of tuples  $a_1...a_n$  constructed on the domain D of a structure. An *n*-place operation f is a mapping from a subset E of  $D^n$  to D,

$$f: a_1...a_n \mapsto f a_1...a_n.$$

Note that if E is strictly included in  $D^n$ , the operation f is partial; it is not defined for all tuples of size n. The reader should also note that in order to be systematic, the result of the operation is written in prefix notation. The 0-place operations are simply mapping of the form

$$f: \Lambda \mapsto f,$$

where  $\Lambda$  is the empty tuple; they are also called *constants* since they can be identified with elements of the domain.

As far as the chosen structure is concerned, Figure 2 gives the listing of the operations which belong to F. In this listing we introduce a more general notation than the prefix notation.

```
Constants
                                    \land \mapsto id
                              id
                 'c'
                             1
                                      \Lambda \mapsto c'
                 0'
                             :]____
                                      \Lambda \mapsto 0',
                  1
                              :
                                      \Lambda \mapsto 1',
                                      \Lambda \mapsto q
                  q
                  <>0
                                      \Lambda \mapsto <>,
                                      \Lambda \mapsto "c_1...c_m".
                  c1...cm ::
                  Boolean operations
                  \neg : \quad b_1 \mapsto \neg b_1,
                  A :
                              b_1b_2 \mapsto b_1 \wedge b_2,
                  \lor : \quad b_1b_2 \mapsto b_1 \lor b_2,
                  \supset: \quad b_1b_2 \mapsto b_1 \supset b_2,
                  🗃 🥵
                              b_1b_2 \mapsto b_1 \equiv b_2.
                  Numerical operations
                         ;
                                  r_1 \mapsto +r_1
                  _1
                         1
                                  r_1 \mapsto -r_1
                  +2
                       r_1r_2 \mapsto r_1+r_2
                       :
1
                  _2
                                  r_1r_2 \mapsto r_1-r_2
                         :
                                  r_1 \mapsto q \times r_1
                  qx
                  /q'
                         . .
                                  r_1 \mapsto r_1/q'.
                  List operations
                  \| : I_1 \mapsto |I_1|,
                  <,>^m : a_1...a_m \mapsto <a_1,...,a_m>,
                  a_1 \dots a_n \bullet : l_1 \mapsto \langle a_1, \dots, a_n \rangle \bullet l_1.
General operations
                  ()^{n+2}: e_1a_2...a_{n+2} \mapsto e_1(a_2,...,a_{n+2}),
                  [] : e_1l_2 \mapsto e_1[l_2].
```

FIGURE 2 A set of operations of Prolog III.

In Figure 2, *id* designates an identifier, *c* and *c<sub>i</sub>* a character, *q* et *q'* rational numbers represented by fractions (or integers), with *q'* not zero, *m* a positive integer, *n* a non-negative integer and *a<sub>i</sub>* an arbitrary tree. The result of the different operations is defined only if *b<sub>i</sub>* is a Boolean value, *r<sub>i</sub>* a real number *l<sub>i</sub>* a list and *e<sub>i</sub>* a label not of the form  $<>^{\alpha}$ .

To each label corresponds a constant, with the exception of irrational numbers and labels of the form  $<>^{\alpha}$ , where  $\alpha$  is not zero. The constant " $c_1...c_m$ " designates the true list whose immediate daughters make up the sequence of characters  $c_1 \cdots c_m$ . The operations  $\neg$ ,  $\land, \lor, \supset, \equiv$ , correspond to the classical Boolean operations when they are defined. The operations  $\pm^1$ ,  $\pm^2$ ,  $q \times$ , when they are defined, are the 1-place  $\pm$ , the 2-place ±, multiplication by the constant q (when this does not lead to confusion we may omit the sign  $\times$ ) and division by the constant q'. By  $|l_1|$  we designate the length of the list  $l_1$ . By  $\langle a_1, ..., a_m \rangle$  we designate the true list whose immediate daughters make up the sequence  $a_1, ..., a_m$ . The operation  $a_1 \dots a_n$  applied to a list  $l_1$  consists in concatenating the true list  $\langle a_1, ..., a_n \rangle$  to left of  $l_1$ . By  $e_1(a_2,...,a_{n+2})$  we designate the tree consisting of an initial node labeled  $e_1$  and the sequence of immediate daughters  $a_2,...,a_{n+2}$ . By  $e_1[l_2]$  we designate the tree consisting of an initial node labeled  $e_1$  and of the sequence of immediate daughters of the list  $l_2$ .

We note the following equalities (provided the different operations used are indeed defined) :

 $"c_1...c_m" = < 'c_1',..., 'c_m' > a_0(a_1,...,a_m) = a_0[< a_1,...,a_m>].$ 

Using the constants and the operations we have introduced, we can represent our previous example of a tree by

or by

NameMarriedWeight[
$$<<$$
'D', 'u'>·"pont",  
0' $\vee$ 1', 75+1/2>].

# Relations

Let  $D^n$  again denote the set of tuples  $a_1 \dots a_n$  constructed on the domain D of a structure. An *n*-place relation r is a subset E of  $D_n$ . To express that the tuple  $a_1 \dots a_n$  is in the relation r we write

 $r a_1 \dots a_n$ .

With respect to the structure chosen for Prolog III, Figure 3 shows the relations contained in F. We also introduce a more graceful notation than the prefix notation.

One-place relations  
id : 
$$a_1$$
: id,  
char :  $a_1$ : char,  
bool :  $a_1$ : bool,  
mm :  $a_1$ : num,  
irint :  $a_1$ : irint,  
list :  $a_1$ : list,  
leaf :  $a_1$  leaf.  
Identity relations  
 $= : a_1 = a_2$ ,  
 $\neq : a_1 \neq a_2$ .  
Boolean relations  
 $\Rightarrow : a_1 \Rightarrow a_2$ ,  
Numerical relations  
 $< : a_1 < a_2$ ,  
 $> : a_1 > a_2$ ,  
 $\leq : a_1 < a_2$ ,  
 $\geq : a_1 \ge a_2$ ,  
Approximated operations  
 $/^3 : a_3 = a_1 / a_2$ ,  
 $x^{n+1} : a_{n+1} = a_1 \times ... \times a_n$   
 $\cdot^{n+1} : a_{n+1} = a_1 \cdot ... \cdot a_n$ 

### FIGURE 3 A set of relations of Prolog III.

In Figure 3, *n* designates an integer greater than 1 and  $a_i$  an arbitrary tree. The relations id, char, bool, num, irint, list and leaf are used to specify that the tree  $a_1$  is an identifier, a character, a Boolean value, a real

number, an integer or irrational number, a list, a label not of the form  $\langle \rangle^{\alpha}$ . The relations = and  $\neq$  correspond of course to the equality and inequality of trees. The pair of trees  $a_1a_2$  is in the relation  $\Rightarrow$  only if  $a_1$  and  $a_2$  are Boolean values and if  $a_1=1'$  entails that  $a_2=1'$ . The pair of trees  $a_1a_2$  is in relation  $\langle , \rangle, \leq , \geq$  only if it is a pair of reals in the corresponding classical relation.

We use the relation  $/^3$  to approximate division and write

$$a_3 \doteq a_1 / a_2$$

to express, on the one hand, that  $a_1$ ,  $a_2$  and  $a_3$  are real numbers, with  $a_2$  not equal to zero and, on the other hand, that if at least one of the reals  $a_2$  et  $a_3$  is rational, it is true that

$$a_3 = a_1/a_2.$$

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We use the relations  $\times^{n+1}$ , with  $n \ge 2$ , to approximate a series of multiplications and write

$$a_{n+1} \doteq a_1 \times \dots \times a_n$$

to express, on the one hand, that the  $a_i$ 's are real numbers and, on the other hand, that if the sequence  $a_1 \dots a_n$  contains *n* or *n*-1 rational numbers, it is true that

$$a_{n+1} = a_1 \times \ldots \times a_n.$$

We use the relations  $\cdot n^{n+1}$ , with  $n \ge 2$ , to approximate a series of concatenations and write

$$a_{n+1} \doteq a_1 \vdots \dots \vdots a_n$$

to express that in all cases the  $a_i$ 's are lists such that

$$|a_{n+1}| = |a_1| + \dots + |a_n|$$

and that, according to whether the element  $a_1 \cdot ... \cdot a_n$  is or is not defined,

$$a_{n+1} = a_1 \cdot \dots \cdot a_n$$
  
or  
$$a_{n+1} \text{ is of the form } \lfloor a_1 \cdot \dots \cdot a_k \rfloor \cdot b$$

where *b* is an arbitrary list and *k* is the largest integer for which the element  $a_1 \cdot ... \cdot a_k$  is defined.

We recall that  $a_1 \cdot ... \cdot a_k$  is defined only if the lists  $a_1, ..., a_{k-1}$  are all true lists. We also recall that  $\lfloor a \rfloor$  designates the prefix of a, that is to say, the true list obtained by replacing the initial label  $< >^a$  of a with the label  $< >^o$ .

### **Terms and Constraints**

Let us suppose we are working in a structure (D, F, R) and let V be a *universal* set of variables, given once and for all, used to refer to the elements of its domain D. We will assume that V is infinite and countable. We can now construct syntactic objects of two kinds, terms and contraints. *Tirms* are sequences of juxtaposed elements from VUF of one of the two forms,

$$x \operatorname{or} f t_1 \dots t_n$$

where x is a variable, f an *n*-place operation and where the  $t_i$ 's are less complex terms. *Constraints* are sequences of juxtaposed elements from VUFUR of the form

 $r t_1...t_n$ ,

where r is an n-place relation and the  $t_i$ 's are terms. We observe that in the definition of terms we have not imposed any restriction on the semantic compatibility between f and the  $t_i$ 's. These restrictions, as we will see, are part of the mechanism which takes a term to its "value."

We introduce first the notion of an assignment  $\sigma$  to a subset W of variables : such an assignment is simply a mapping from W into the domain D of the structure. This mapping  $\sigma$  extends naturally to a mapping  $\sigma^*$  from a set T<sub> $\sigma$ </sub> of terms into D specified by

$$\sigma^*(x) = \sigma(x),$$
  
$$\sigma^*(f t_1...t_n) = f \sigma^*(t_1)...\sigma^*(t_n).$$

The terms that are not members of  $T_{\sigma}$  are those containing variables not in W, and those containing partial operations not defined for the arguments  $\sigma^*(ti)$ . Depending on whether a term t belongs or does not belong to  $T_{\sigma}$ , the value of t under the assignment  $\sigma$  is defined and equal to  $\sigma^*(t)$  or is not defined. Intuitively, the value of a term under an assignment is obtained by replacing the variables by their values and by evaluating the term. If this evaluation cannot be carried out, the value of the term is not defined for this particular assignment.

We say that the assignment  $\sigma$  to a set of variables satisfies the constraint  $r t_{1...n}$  if the value  $\sigma^*(t_i)$  of each term  $t_i$  is defined, and if the tuple  $\sigma^*(t_1)...\sigma^*(t_n)$  is in the relation r, that is to say if

$$r \sigma^*(t_1)...\sigma^*(t_n)$$

Here are some examples of terms associated with the structure chosen for Prolog III. Instead of using the prefix notation, we adopt the notations used when the different operations were introduced.

The first term represents a list consisting of an element x followed by the list y. The second term represents a tree, which is not a list, whose top node is labeled by x and whose list of immediate daughters is y. The value of the third term is never defined, since the concatenation of numbers is not possible. The value of the last term is not defined under any assignment, since x cannot be a number and a Boolean value at the same time.

The following list offers some examples of constraints. Again we adopt the notations introduced together with the different Prolog III relations.

$$z = y - x,$$
  

$$x \land \neg y \Rightarrow x \lor z,$$
  

$$i + j + k \le 10,$$
  

$$\neg x \neq y + z,$$
  

$$\neg x \neq y + x.$$

We observe that there exist assignments to  $\{x, y, z\}$  which satisfy the next to the last constraint (for example  $\sigma(x) = 0', \sigma(y) = 2, \sigma(z) = 2$ ), but that there is no assignment which satisfies the last constraint (the variable x cannot be a number and a Boolean value at the same time).

## Systems of Constraints

Any finite set S of constraints is called a system of constraints. An assignment  $\sigma$  to the universal set V of variables which satisfies every constraint of S is a solution of S. If  $\sigma$  is a solution of S, and W is a subset of V, then the assignment  $\sigma'$  to W, so that for every variable x in W we have  $\sigma'(x) = \sigma(x)$ , is called a solution of S on W. Two systems of constraints are said to be equivalent if they have the same set of solutions, and are said to be equivalent on W if they have the same set of solutions on W.

We illustrate these definitions with some examples from our structure:

• The assignment  $\sigma$  to V where  $\sigma(x) = 1'$  for every variable x is a solution of the system of constraints  $\{x = y, y \neq 0\}$ , but it is not a solution of the system  $\{x = y, +y \neq 0\}$ .

• The assignment  $\sigma$  to  $\{y\}$  defined by  $\sigma(y) = 4$  is a solution on  $\{y\}$  of the system  $\{x = y, y \neq 0\}$ .

• The systems 
$$\{x = y, +y \neq 0\}$$
 and  $\{-x = -y, y \neq 0\}$ 

are equivalent. Similarly, the system  $\{1 = 1, x = x\}$  is equivalent to the empty constraint system.

• The systems  $\{x \le y, y \le z, x \ne z\}$  and  $\{x < z\}$  are not equivalent, but they are equivalent on the subset of variables  $\{x, z\}$ .

It should be noted that all solvable systems of constraints are equivalent on the empty set of variables, and that all the nonsolvable systems are equivalent. By *solvable* system, we obviously mean a system that has at least one solution.

The first thing Prolog III provides is a way to determine whether a system of constraints is solvable and if so, it solves the system. For example, to determine the number x of pigeons and the number y of rabbits so that together there is a total of 12 heads and 34 legs, the following query

$${x + y = 12, 2x + 4y = 34}?$$

gives rise to the answer

$$\{x = 7, y = 5\}.$$

To compute the sequence z of 10 elements which results in the same sequence whether 1,2,3 is concatenated to its left or 2,3,1 is concatenated to its right, it will suffice to pose the query

$$\{|z| = 10, <1,2,3 > z \neq z < 2,3,1 > \}$$
?

The unique answer is

$$\{z = \langle 1, 2, 3, 1, 2, 3, 1, 2, 3, 1 \rangle \}.$$

If in the query the list  $\langle 2,3,1 \rangle$  is replaced by the list  $\langle 2,1,3 \rangle$  there is no answer, which means that the system

$$\{|z| = 10, <1,2,3 > z \doteq z \le <2,3,1 > \}$$

is not solvable. In these examples the lists are all of integer length and are thus true lists. As a result, approximated concatenations behave like true concatenations.

In this connection, the reader should verify that the system

$$\{<1>:z \doteq z: <2>\}$$

is solvable (it suffices to assign to z any improper list having no immediate daughters), whereas the system

$$\{|z| = 10, <1 > z \doteq z < 2 > \},\$$

which constrains z to be a true list, is not solvable. The same holds for approximated multiplication and division. Whereas the system

$$\{z \doteq x \times y, x \ge 1, y \ge 1, z < 0\}$$

is solvable (because the approximated product of two irrational numbers is any number), the system

$$\{z \doteq x \times y, x \ge 1, y \ge 1, z < 0, y \le 1\},\$$

which constrains y to be a rational number, is not solvable.

Another example of the solving of systems is the beginning of a proof that God exists, as formalized by George Boole [4]. The aim is to show that "something has always existed" using the following five premises : 1. Something is.

2. If something is, either something always was, or the things that now are have risen out of nothing.

3. If something is, either it exists in the necessity of its own nature, or it exists by the will of another Being.

4. If it exists by the will of its own nature, something always was.

5. If it exists by the will of another being, then the hypothesis, that the things which now are have risen out of nothing, is false.

We introduce five Boolean variables with the following meaning :

a = 1' for "Something is,"

b = 1' for "Something always was,"

c = 1' for "The things which now are have risen from nothing,"

d = 1' for "Something exists in the necessity of its own nature,"

e = 1' for "Something exists by the will of another Being."

The five premises are easily translated into the system

$$\{a = 1' a \Rightarrow b \lor c, a \Rightarrow d \lor e, d \Rightarrow b, e \Rightarrow \neg c\}$$

which when executed as a query produces the answer

$$\{a = 1', b = 1', d \lor e = 1', e \lor c = 1'\}$$

One observes that the value b is indeed constrained to 1'.

After these examples, it is time to specify what we mean by solving a system S of constraints involving a set W of variables. Intuitively, this means that we have to find all the solutions of S on W. Because there may be an infinite set of such solutions, it is not possible to enumerate them all. It is possible, however, to compute a system in *solved* form equivalent to S, whose "most interesting" solutions are explicitly presented. More precisely through a system in *solved* form, we understand a solvable system such that, for every variable *x*, the

solution of S on  $\{x\}$  is explicitly given, whenever this solution is unique. One can verify that in the preceding examples the systems given as answers were all in solved form.

Before we conclude this section, we should mention a useful property for solving systems of constraints in the chosen structure.

*PROPERTY.* If S is a system of Prolog III constraints and W a set of variables, then the two following propositions are equivalent :

1. for every x in W, there are several numerical solutions of S on  $\{x\}$ ;

2. there exists a numerical irrational solution of S on W.

By numerical solution or irrational numerical solution, on a set of variables, we understand a solution in which all the variables in this set have real numbers as values, or irrational numbers as values.

# Semantics of Prolog III-Like Languages

On the basis of the structure we have chosen, we can now define the programming language Prolog III. As the method employed is independent of the chosen structure, we define in fact the notion of a "Prolog IIIlike" language associated with a given structure. The only assumption we will make is that the equality relation is included in the set of relations of the structure in question.

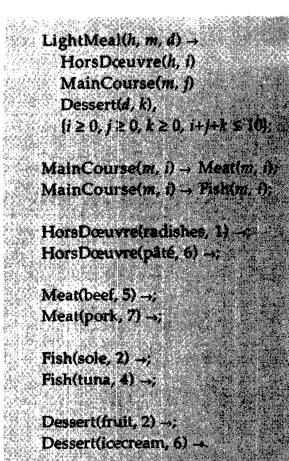
### Meaning of a Program

In a Prolog III-like language, a program is a definition of a subset of the domain of the chosen structure (the set of trees in the case of Prolog III). Members of this subset are called *admissible* elements. The set of admissible elements is in general infinite and constitutes—in a manner of speaking—an enormous hidden database. The execution of a program aims at uncovering a certain part of this database.

Strictly speaking, a program is a set of *rules*: Each rule has the form

$$t_0 \rightarrow t_1 \dots t_n$$
, S

where *n* can be zero, where the  $t_i$ 's are terms and where S is a possibly empty system of constraints (in which case it is simply absent). The meaning of such a rule is roughly as follows: "provided the constraints in S are satisfied,  $t_0$  is an admissible element if  $t_1$  and ... and  $t_n$  are admissible elements (or if n = 0)." Figure 4 depicts such a set of rules. This is our first example of a Prolog III program. It is an improvement on a program which is perhaps too well-known, but which remains a useful pedagogical tool: the calculation of well-balanced meals [9].



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EICURE 4

# Computing light meals.

The meaning of the first rule is: "provided the four conditions  $i \ge 0, j \ge 0, k \ge 0, i+j+k \le 10$  are satisfied, the triple h, m, d constitutes a light meal, if h is an horsd'oeuvre with calorific value i, if m is a main course with calorific value j and if d is a dessert with calorific value k." The meaning of the last rule is: "Ice-cream is a dessert with calorific value 6."

We will now offer a precise definition of the set of admissible elements: The rules in the program are in fact rule schemas. Each rule (of the above form) stands for the set of *evaluated rules* 

$$\sigma^*(t_0) \rightarrow \sigma^*(t_1)...\sigma^*(t_n)$$

obtained by considering all the solutions  $\sigma$  of S for which the values  $\sigma^*(t_i)$  are defined. Each evaluated rule

$$a_0 \rightarrow a_1 \dots a_n,$$

in which only elements  $a_i$  of the domain occur, can be interpreted in two ways:

1. as a closure property of certain subsets E of the domain: if all of  $a_1,...,a_n$  are members of the subset E, then  $a_0$  is also is a member of E (when n = 0, this property states that  $a_0$  is a member of E),

2. as a *rewrite rule* which, given a sequence of elements of the domain beginning with  $a_0$ , sanctions the replacement of this first element  $a_0$  by the sequence  $a_1 \dots a_n$  (when n = 0, this is the same as deleting the first element  $a_0$ ).

Depending on which of these two interpretations is being considered, we formulate one or the other of the following definitions:

Definition 1. The set of *admissible* elements is the smallest subset of the domain (in the sense of inclusion) which satisfies all the closure properties stemming from the program.

Definition 2. The *admissible* elements are the members of the domain which (considered as unary sequences) can be deleted by applying rewrite rules stemming from the program a finite number of times.

In [10, 11] we show that the smallest subset in the first definition does indeed exist and that the two definitions are equivalent. Let us re-examine the previous program example. Here are some samples of evaluated rules:

LightMeal(pâté,sole,fruit) → Hors Doeuvre(pâté,6) MainCourse(sole,2) Dessert(fruit,2);

MainCourse(sole, 2)  $\rightarrow$  Fish(sole,2);

HorsDoeuvre(pâté,6) →;

Fish(sole,2)  $\rightarrow$ ;

Dessert(fruit,2)  $\rightarrow$ ;

If we consider these rules to be closure properties of a subset of trees, we can successively conclude that the following three subsets are sets of admissible elements,

{HorsDoeuvre(pâté,6), Fish(sole,2), Dessert(fruit,2)}, {MainCourse(sole,2)}, {LightMeal(pâté,sole,fruit)}

and therefore that the tree

LightMeal(pâté,sole,fruit)

is an admissible element. If we take these evaluated rules to be rewrite rules, the sequence constituted solely by the last tree can be deleted in the following rewrite steps:

which indeed confirms that the above is an admissible element.

# **Execution of a Program**

We have now described the information implicit in a Prolog III-like program, but we have not yet explained how such a program is executed. The aim of the program's execution is to solve the following problem: given a sequence of terms  $t_1...t_n$  and a system S of constraints, find the values of the variables which transform all the terms  $t_i$  into admissible elements, while satisfying all the contraints in S. This problem is submitted to the machine by writing the *query* 

$$t_1...t_n, S?$$

Two cases are of particular interest. 1) If the sequence  $t_1...t_n$  is empty, then the query simply asks whether the system S is solvable and if so, solves it. We have already seen examples of such queries. 2) If the system S is empty (or absent) and the sequence of terms is reduced to one term only, the request can be summarized as: "What are the values of the variables which transform this term into an admissible element?" Thus, using the preceding program example, the query

# LightMeal(h,m,d)?

will enable us to obtain all the triples of values for h, m, and d which constitute a light meal. In this case, the replies will be the following simplified systems :

$$\{h = \text{radishes}, m = \text{beef}, d = \text{fruit}\}, \\ \{h = \text{radishes}, m = \text{pork}, d = \text{fruit}\}, \\ \{h = \text{radishes}, m = \text{sole}, d = \text{fruit}\}, \\ \{h = \text{radishes}, m = \text{sole}, d = \text{icccream}\} \\ \{h = \text{radishes}, m = \text{tuna}, d = \text{fruit}\}, \\ \{h = \text{pâte}, m = \text{sole}, d = \text{fruit}\}.$$

The method of computing these answers is explained by introducing an abstract machine. This is a nondeterministic machine whose state transitions are described by the three formulas in Figure 5.

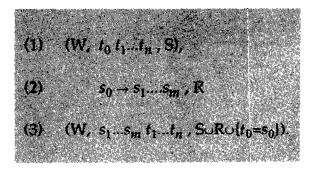


FIGURE 5 The three formulas which summarize the execution of a Prolog III-like program.

Formula (1) represents the state of the machine at a given moment. W is a set of variables whose values we want to determine,  $t_0t_1...t_n$  is a sequence of terms which we are trying to delete and S is a system of constraints which has to be satisfied. Formula (2) represents the rules in the program which is used to change the state. If necessary, the variables of (2) are renamed, so that none of them are shared with (1). Formula (3) is the new state of the machine after the application of rule (2). The transition to this new state is possible only if the system of constraints in (3) possesses at least one solution  $\sigma$  with respect to which all the values  $\sigma^*(s_i)$  and  $\sigma^*(t_i)$  are defined.

In order to provide an answer to the query given above, the machine starts from the initial state

$$(W, t_0...t_n, S),$$

where W is the set of variables appearing in the query, and goes through all the states which can be reached by authorized transitions. Each time it arrives at a state containing the empty sequence of terms  $\Lambda$ , it simplifies the system of constraints associated with it and presents it as an answer. This simplification can also be carried out on all the states it passes through.

Let us now reconsider our first program example, and apply this process to the query.

LightMeal(*h*,*m*,*d*)?

The initial state of the machine is  $(\{h, m, d\}, LightMeal(h, m, d), \{\}).$ By applying the rule LightMeal $(h', m', d') \rightarrow$ HorsDoeuvre(h', i) MainCourse(m', j) Dessert(d', j)k),  $\{i \ge 0, j \ge 0, k \ge 0, i+j+k \le 10\}$ we proceed to the state  $(\{h, p, d\}, \text{HorsDoeuvre}(h', i) \text{MainCourse}(m', j)$ Dessert(d',k), $\{i \ge 0, k \ge 0, i+j+k \le 10, \text{LightMeal}(h,m,d) =$ LightMeal(h', m', d')which in turn simplifies to  $(\{h, p, d\}, \text{HorsDoeuvre}(h', i) \text{MainCourse}(m', j)$ Dessert(d',k), $\{i \ge 0, j \ge 0, k \ge 0, i+j+k \le 10, h=h', p=p', d=d'\}$ and to  $(\{h, p, d\}, \text{HorsDoeuvre}(h, i) \text{MainCourse}(m, j)$ Dessert(d,k).  $\{i \ge 0, k \ge 0, i+j+k \le 10\}$ ). By applying the rule HorsDoeuvre(pâté, 6) → and simplifying the result, we progress to the state  $(\{h, p, d\}, MainCourse(p, j) Dessert(d, k), \{h = paté, j \ge 0, \}$  $k \ge 0, j+k \le 4$ ). By applying the rule MainCourse(p', i)  $\rightarrow$  Fish(p', i) and simplifying the result, we proceed to the state  $(\{h, m, d\}, \operatorname{Fish}(m', i) \operatorname{Dessert}(d, k),$  $\{h = paté, j \ge 0, k \ge 0, j + k \le 4, m = m', j = i\}$ ). which then simplifies to  $(\{h, m, d\}, \operatorname{Fish}(m, j) \operatorname{Dessert}(d, k), \{h = p \text{ at } e, j \ge 0, k \ge 0, k \ge 0\}$  $j+k \leq 4$ ). By applying the rule Fish(sole, 2)  $\rightarrow$ we obtain  $(\{h, m, d\}, \text{Dessert}(d, k), \{h = \text{pat}\acute{e}, m = \text{sole}, k \ge 0, k \le 2\}).$ Finally, by applying the rule Dessert(fruit, 2)  $\rightarrow$ we obtain  $(\{h, m, d\}, \Lambda, \{h = pate, m = sole, d = fruit\}).$ 

We can conclude that the system

 $\{h = p\hat{a}t\hat{e}, m = sole, d = fruit\}$ 

constitutes one of the answers to the query.

To obtain the other answers, we proceed in the same way, but use the other rules. In [11] we prove that this method is complete and correct. To be more exact, given the abstract machine  $M_P$  connected to a program P, we show that the following property holds.

PROPERTY. Let  $\{t_1,...,t_n\}$  be a set of terms, S a system of constraints, and W the set of variables occurring in them. For any assignment  $\sigma$  to W, the following two propositions are equivalent:

1. the assignment  $\sigma$  is a solution of S on W and each  $\sigma^*(+i)$  is an admissible element for P;

2. starting from state (W,  $\Lambda$ , S') the abstract machine M<sub>P</sub> can reach a state of the form (W,  $t_1...t_n$ , S), where S' admits  $\sigma$  as solution on W.

It should be recognized that there are many ways of simplifying the states of the abstract machine and checking whether they contain solvable systems of constraints. Therefore, we should not always expect that the machine, which uses very general algorithms, arrives at the same simplifications as those shown above. In [11] we show that the only principle to which all simplifications must conform is that states of the abstract machine are transformed into equivalent states in this sense:

DEFINITION. Two states are *equivalent* if they have the form

 $(W, t_1...t_n, S)$  and  $(W, t_1'...t_n', S')$ ,

and if, by introducing n new variables  $x_1, \dots, x_n$ , the systems

$$S \cup \{x_1 = t_1, ..., x_n = t_n\}$$
 and  $S' \cup \{x_1 = t_1', ..., x_n = t_n'\}$ ,

are equivalent on the subset of variables  $W \cup \{x_1, ..., x_n\}$ .

### **Treatment of Numbers**

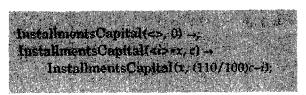
Next, we will illustrate the possibilities of Prolog III in connection with different program examples. We will consider, one after the other the treatment of the following: numbers; Boolean values; trees and lists; and finally, integers.

### **Computing Installments**

The first task is to calculate a series of installments made to repay capital borrowed at a certain interest rate. We assume identical time periods between two installments and an interest rate of 10 percent throughout. The admissible trees will be of the form:

# InstallmentsCapital(x, c),

where x is the sequence of installments necessary to repay the capital c with an interest rate of 10 percent between two installments. In Figure 6 the program itself is given by two rules:



**FIGURE 6** Computing the installments to repay a loan.

The first rule expresses the fact that it is not necessary to pay installments to repay zero capital. The second rule expresses the fact that the sequence of n+1 installments to repay capital c consists of an installment i and a sequence of n installments to repay capital c increased by 10 percent interest, but the whole reduced by installment i.

This program can be used in different ways. One of the most spectacular is to ask what value of i is required to repay \$1000 given the sequence of installments  $\langle i, 2i, 3i \rangle$ . All we need to do is to put the query

InstallmentsCapital( $\langle i, 2i, 3i \rangle$ , 1000)?

to obtain the answer

$$\{i = 207 + 413 / 641\}.$$

Here is an abbreviated trace of how the computation proceeds. Starting from the initial state

({i}, InstallmentsCapital( $\langle i, 2i, 3i \rangle, 1000$ ). {}). and applying the rule

InstallmentsCapital( $\langle i' \rangle \cdot x, c$ )  $\rightarrow$  Installments Capital( $x, (1+10/100)c \cdot i'$ )

we progress to the state  $(\{i\}, \text{InstallmentsCapital}(x,(1+10/100)c-i'),$   $\{\text{InstallmentsCapital}(< i, 2i, 3i >, 1000) =$  $\text{InstallmentsCapital}(< i' > x, c)\}),$ 

which simplifies to

({*i*}, InstallmentsCapital( $x_i(11/10)c$ -*i*'), {*i*' = *i*,  $x = \langle 2i, 3i \rangle$ , c = 1000}), then to

({*i*}, InstallmentsCapital( $\langle 2i, 3i \rangle$ ,1100-*i*), {}). The reader can verify that when the same rule is applied two more times, we obtain, after simplification, the states

({*i*}, InstallmentsCapital(<3i>,1210–(31/10)*i*), {}), ({*i*}, InstallmentsCapital(<>,1331–(641/100)*i*), {}). By applying the rule

InstallmentsCapital  $(<>,0) \rightarrow$ 

to the last state, we finally obtain

 $(\{i\}, \{1331-(641/100)i=0\}$ 

which simplifies to

 $(\{i\}, \{i=207+413/641\}).$ 

Here again the reader should be aware that the simplifications presented here are not necessarily those the machine will perform.

# **Computing Scalar Products**

As an example of approximated multiplication, Figure 7 shows small program which computes the scalar product  $x_1 \times y_1 + ... + x_n \times y_n$  of two vectors  $\langle x_1,...,x_n \rangle$  and  $\langle y_1,...,y_n \rangle$ .

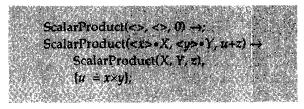


FIGURE 7 Computing the scalar product.

The query

ScalarProduct(<1,1>, X, 12) ScalarProduct(X, <2,4>, 34)?

produces the answer

$$\{X = <7,5>\}.$$

**Computing the Periodicity of a Sequence** This problem was proposed in [5]. We consider the infinite sequence of real numbers defined by

$$x_{l+2}^{i} = |x_{l+1}^{i}| - x_{l}^{i}$$

where  $x_1$  and  $x_2$  are arbitrary numbers. Our aim is to show that this sequence is always periodic and that the period is 9, in other words, that the sequences

 $x_1, x_2, x_3, \dots$  and  $x_{1+9}, x_{2+9}, x_{3+9}, \dots$ 

are always identical.

Each of these two sequences is completely determined if its first two elements are known. To show that the sequences are equal, it is therefore sufficient to show that in any sequence of eleven elements

$$x_1, x_2, x_3, \dots, x_{10}, x_{11}$$

we have

 $x_1 = x_{10}$  and  $x_2 = x_{11}$ .

The Articles and the same and

To begin with, Figure 8 illustrates the program that enumerates all the finite sequences  $x_1, x_2, ..., x_n$  which respect the rule given above.

Sequence(
$$\langle +y, +x \rangle$$
)  $\rightarrow$ ;  
Sequence( $\langle z, y, x \rangle \cdot s$ )  $\rightarrow$   
Sequence( $\langle y, x \rangle \cdot s$ )  
AbsoluteValue( $y, y'$ ), { $z = y' - x$ };  
AbsoluteValue( $y, y$ )  $\rightarrow$ , { $y \ge 0$ };  
AbsoluteValue( $y, -y$ )  $\rightarrow$ , { $y < 0$ };

**FIGURE 8** Computing the sequence  $x_{i+2} = |x_{i+1}| - x_i$ .

The + signs in the first rule constrain x and y to denote numbers. It will be observed that the sequences are enumerated from left to right, that is, trees of the form Sequence(s) are only admissible if s has the form  $< x_n, ..., x_2, x_1 >$ . If we run this program by asking

Sequence(s), 
$$\{|s|=11, s \doteq w \cdot v \cdot u, |u|=2, |w|=2, u \neq w\}$$
?

execution ends without providing an answer. From this we deduce that there is no sequence of the form  $x_1x_2,...,x_{10},x_{11}$  such that the subsequences  $x_1x_2$  and  $x_{10},x_{11}$  (denoted by u and v) are different, and therefore that in any sequence  $x_1, x_2,..., x_{10}, x_{11}$  we have indeed  $x_1 = x_{10}$  and  $x_2 = x_{11}$ .

### Computing a Geometric Covering

Here is a final example which highlights the numerical part of Prolog III. Given an integer n, we want to know whether it is possible to have n squares of different sizes which can be assembled to form a rectangle. If this is possible, we would like to determine the sizes of these squares and of the rectangle thus formed. For example, Figure 9 shows two solutions to this problem, for n=9.

We will use *a* to denote the ratio between the length of the longest side of the constructed rectangle, and the length of its shortest side. Obviously, we can suppose that the length of the shortest side is 1, and therefore that the length of the longest side is *a*. Thus, we have to fill a rectangle having the size  $1 \times a$  with *n* squares, all of them different. With reference to Figure 10, the basis of the filling algorithm will consist of

1. placing a square in the lower left-hand corner of the rectangle,

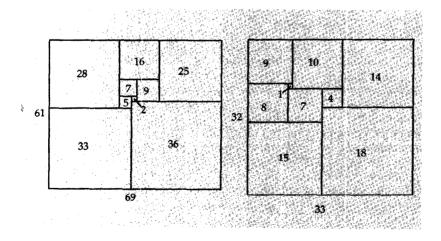
2. filling zone A with squares,

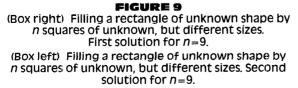
3. filling zone B with squares.

Provided zones A and B are not empty, they will be filled recursively in the same way: placing a square in the lower left-hand corner and filling two subzones.

The zones and subzones are separated by jagged lines in the shape of steps, joining the upper right corner of the squares and the upper right corner of the rectangle. These jagged lines never go downward, and if several can be plotted to go from one point to another, the lowest one is the one we consider. Figure 11 is an example of all the separation lines corresponding to the first solution of the problem for n=9:

To be more precise, a zone or subzone has the form given in the left box in Figure 12, whereas the entire





**FIGURE 10** 

Recursive procedure to place

the different squares

in the rectangle.

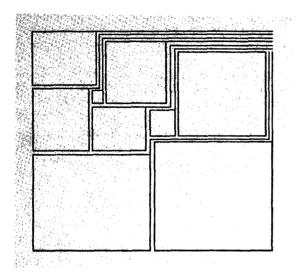


FIGURE 11 The different subzones in the first solution with n=9.

rectangle is itself identified with the particular zone drawn on the right.

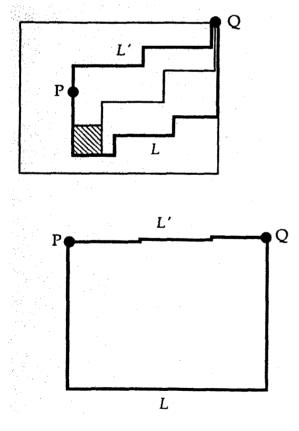


FIGURE 12 General and initial shape of a zone or subzone.

The zone is delimited below by a jagged Line L joining a point P to point Q, and in Figure 12 by a jagged line L' joining the same point P to the same point Q. Point P is placed anywhere in the rectangle to be filled, and Q denotes the upper right corner of the rectangle. These jagged lines are represented by alternating sequences of vertical and horizontal segments

$$v_0, h_1, v_1, \dots, h_n, v_n$$

where  $v_i$  denotes the length of a vertical segment, and  $h_i$  the length of a horizontal segment. The  $h_i$ 's are always strictly positive. The  $v_i$ 's are either zero, either positive to denote ascending segments, or negative to denote descending segments. The  $v_i$ 's of the upper lines are never negative, and if a zone is not empty, only the first vertical segment  $v_0$  in its lower line is negative.

If these conventions are applied to the entire rectangle (right diagram above), the lower line L can be represented by the sequence -1, a, 1 and the upper line L' by a sequence having the form  $0, h_1, 0, ..., h_n, 0$ , where  $h_1 + ... + h_n = a$ , and the  $h_i$ 's are positive.

The heart of the program consists in admitting trees of the form

only if the zone delimited below by L can be filled with squares and can be bounded above by L'. The squares are to be taken from the beginning of the list C, and C' has to be the list of squares which remain. We also need to introduce trees of the form

# PlacedSquare(b, L, L')

which are admitted only if it is possible to place a square of size  $b \times b$  at the very beginning of line L and if L' is the line making up the right vertical side of the square continued by the right part of L (see Figure 13). In fact L denotes the lower line of a zone from which the first vertical segment has been removed. The diagram shows the three cases that can occur and which will show up in three rules. Either the square overlaps the first step, which in fact was a pseudostep of height zero, or the square fits against the first step, or the square is not big enough to reach the first step.

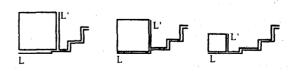


FIGURE 13 The three ways to place a square.

The program itself is constituted by the following 10 rules listed in Figure 14.

FilledRectangle(a, C)  $\rightarrow$ DistinctSquares(C) FilledZone( $\langle -1, a, 1 \rangle, L, C, \langle \rangle$ ), { $a \ge 1$ };

DistinctSquares( $\langle \rangle \rangle \rightarrow$ ; DistinctSquares( $\langle b \rangle \ast C$ )  $\rightarrow$ DistinctSquares(C) OutOf(b, C);  $\{b > 0\}$ ;

OutOf(b, <>)  $\rightarrow$ ; OutOf(b, <b'>•C)  $\rightarrow$ OutOf(b, C);  $\{b \neq b'\};$ 

FilledZone( $\langle v \rangle \bullet L, \langle v \rangle \bullet L, C, C \rangle \rightarrow$ ;  $\{v \ge 0\}$ ; FilledZone( $\langle v \rangle \bullet L, L''', \langle b \rangle \bullet C, C'' \rangle \rightarrow$ PlacedSquare( $b, L, L' \rangle$ FilledZone( $L', L'', C, C' \rangle$ FilledZone( $\langle v + b, b \rangle \bullet L'', L''', C', C'' \rangle$ ;  $\{v < 0\}$ ;

PlacedSquare(b, \*L, L') 
$$\rightarrow$$
  
PlacedSquare(b, \*L, L'),  
{b > h};  
PlacedSquare(b, \*L, <-b+v>\*L)  $\rightarrow$ ,  
{b = h};  
PlacedSquare(b, \*L, <-b,h-b>\*L)  $\rightarrow$ ;  
{b < h};

FIGURE 14 Program for filling a rectangle of unknown shape by n squares of unknown, but different sizes.

The call to the program is made with the query

FilledRectangle(a, C), {|C| = n}?

where n, the only known parameter, is the number of squares of different sizes that are to fill the rectangle. The program computes the possible size  $1 \times a$  of the rectangle  $(a \ge 1)$  and the list C of the sizes of each of the n squares. The computation begins by executing the first rule, which simultaneously constrains a to be greater than or equal to 1, creates n different squares (of

unknown size), and starts filling the zone constituted by the entire rectangle. Even if the line L, constituting the upper limit of this zone, is unknown at the beginning, given that this line must join—without itself descending—two points at the same height, this line will necessarily be a horizontal line (represented by steps of height zero). If we ask the query

FilledRectangle(a, C), {|C| = 9}?

we obtain 8 answers. The first two

 $\{ a = 33/32, C = <15/32, 9/16, 1/4, 7/32, 1/8, 7/16, \\ 1/32, 5/16, 9/32 > \}, \\ \{ a = 69/61, C = <33/61, 36/61, 28/61, 5/61, 2/61, \\ 9/61, 25/61, 7/61, 16/61 > \}.$ 

correspond to the two solutions we have drawn earlier. The other six answers describe solutions which are symmetrical to these two. In order to locate the positions of the various squares in the rectangle we can proceed as follows. One fills the rectangle using all the squares of the list C in their order of appearance. At each stage, one considers all the free corners having the same orientation as the lower left corner of the rectangle and one chooses the rightmost corner to place the square.

There is a vast amount of literature concerning this problem. Let us mention two important results. It has been shown in [25] that for any rational number  $a \ge 1$  there always exists an integer *n* such that the rectangle of size  $1 \times a$  can be filled with *n* distinct squares. For the case of a = 1 (when the rectangle to be filled is a square), it has been shown in [14] that the smallest possible *n* is n = 21.

# **Treatment of Boolean Values** Computing Faults

In this example we are interested in detecting the defective components in an adder which calculates the binary sum of three bits  $x_1$ ,  $x_2$ ,  $x_3$  in the form of a binary number given in two bits  $y_1y_2$ . As we can see in Figure 15, the circuit proposed in [16] is made up of 5 components numbered from 1 to 5: two and gates (marked And), one or gate (marked Xor) and two exclusive or gates (marked Xor). We have also used three variables  $u_1$ ,  $u_2$ ,  $u_3$  to represent the output from gates 1, 2 and 4.

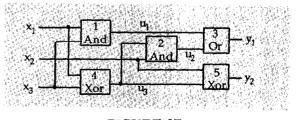


FIGURE 15 An elementary adder.

We introduce five more Boolean variables  $d_i$  to express by  $d_i = 1'$  that "gate number *i* is defective." If we adopt the hypothesis that at most, one of the five components has a defect, the program connecting the values  $x_i$ ,  $y_i$ and  $d_i$  is shown in Figure 16.

```
Circuit(\langle x1, x2, x3 \rangle, \langle y1, y2 \rangle, \langle d1, d2, d3, d4, d5 \rangle) \rightarrow

AtMostOne(\langle d1, d2, d3, d4, d5 \rangle),

{\neg d1 \Rightarrow (u1 \equiv x1 \land x3),

\neg d2 \Rightarrow (u2 \equiv x2 \land u3),

\neg d3 \Rightarrow (y1 \equiv u1 \lor u2),

\neg d4 \Rightarrow (u3 \equiv \neg(x1 \equiv x3)),

\neg d5 \Rightarrow (y2 \equiv \neg(x2 \equiv u3))];

AtMostOne(D) \rightarrow
```

OrInAtMostOne(D, d);

OrInAtMostOne(<>, 0')  $\rightarrow$ ; OrInAtMostOne(<d > 0, d < e)  $\rightarrow$ OrInAtMostOne(D, e), (d < e = 0);

### FIGURE 16 Detecting the faults of the adder.

In this program the admissible trees of the form

### AtMostOne(D)

are those in which D is a list of Boolean elements containing at most one 1'. The admissible trees of the form

### OrInAtMostOne(D, d)

are those in which D is a list of Boolean elements containing at most one 1' and where d is the disjunction of these elements.

If the state of the circuit leads us to write the query

Circuit(<1', 1', 0'>, <0', 1'>, <d1, d2, d3, d4, d5>)?

the diagnosis will be that component number 4 is defective:

$$\{d1=0', d2=0', d3=0', d4=1', d5=0'\}$$
.

If the state of the circuit leads us to write the query

Circuit(
$$<1', 0', 1'>, <0', 0'>,$$
)?

the diagnosis will then be that either component number 1 or component number 3 is the defective one:

 $\{d1 \lor d3=1', d1 \land d3=0', d2=0', d4=0', d5=0'\}.$ 

### **Computing Inferences**

We now consider the 18 sentences of a puzzle by Lewis Carroll [7], which we list here. Questions of the following type are to be answered: "what connection is there between being clear-headed, being popular and being fit to be a Member of Parliament?" or "what connection is there between being able to keep a secret, being fit to be a Member of Parliament and being worth one's weight in gold?"

1. Any one, fit to be an M.P., who is not always speaking, is a public benefactor.

2. Clear-headed people, who express themselves well, have a good education.

3. A woman, who deserves praise, is one who can keep a secret.

4. People, who benefit the public, but do not use their influence for good purpose, are not fit to go into Parliament.

5. People, who are worth their weight in gold and who descrve praise, are always unassuming.

6. Public benefactors, who use their influence for good objects, deserve praise.

7. People, who are unpopular and not worth their weight in gold, never can keep a secret.

8. People, who can talk for ever and are fit to be Members of Parliament, deserve praise.

9. Anyone, who can keep a secret and who is unassuming, is a never-to-be-forgotten public benefactor.

10. A woman, who benefits the public, is always popular. 11. People, who are worth their weight in gold, who never leave off talking, and whom it is impossible to forget, are just the people whose photographs are in all the shop-windows.

12. An ill-educated woman, who is not clear-headed, is not fit to go to Parliament.

13. Anyone, who can keep a secret and is not forever talking, is sure to be unpopular.

14. A clear-headed person, who has influence and uses it for good objects, is a public benefactor.

15. A public benefactor, who is unassuming, is not the sort of person whose photograph is in every shop-window.

16. People, who can keep a secret and who use their influence for good purposes, are worth their weight in gold.

17. A person, who has no power of expression and who cannot influence others, is certainly not a woman.

18. People, who are popular and worthy of praise, either are public benefactors or else are unassuming.

Each of these 18 statements is formed from basic propositions and logical connectives. To each basic

proposition corresponds a name, in the form of a character string, and a logical value represented by a Boolean variable. The information contained in the 18 statements can then be expressed in a single rule formed by a large head term, an empty body, and a sizeable constraint part depicted in Figure 17.

PossibleCase(< <a,"clear-headed"> <b,"well-educated"> <c,"constantly talking">, <d,"using one's influence for good objects">, <e,"exhibited in shop-windows">, <f,"fit to be a Member of Parliament">, <g,"public benefactors">, <h,"deserving praise">, <i,"popular"> < j,"unassuming">, <k,"women"> <1,"never-to-be-forgotten">, <m,"influential"> <n,"able to keep a secret">, <o,"expressing oneself well">, <p,"worth one's weight in gold">>)  $\{(f \land \neg c) \Rightarrow g,$  $(a \wedge o) \Rightarrow b,$  $(k \wedge h) \Rightarrow n$  $(g \land \neg d) \Rightarrow \neg f$  $(p \wedge h) \Rightarrow j$  $(g \wedge d) \Rightarrow b$ (¬i∧ ¬p) ⇔ ¬n,  $(c \land f) \Rightarrow h,$  $(n \wedge j) \Rightarrow (g \wedge l),$  $(k \wedge g) \Rightarrow i_k$  $(p \land c \land l) \Rightarrow e_{i}$  $(k \wedge \neg a \wedge \neg b) \Rightarrow \neg f_i$  $(n \land \neg c) \Rightarrow \neg i,$  $(a \wedge m \wedge d) \Rightarrow g,$  $(g \wedge i) \Rightarrow \neg e_i$  $(n \wedge d) \Rightarrow p$ (¬o ∧ ¬m) =⇒ ¬k  $(i \land b) \Rightarrow (g \lor j);$ 

FIGURE 17 The world described in Lewis Carroll's puzzle.

To be able to deal with subcases, we introduce Figure 18:

PossibleSubCase(x)  $\rightarrow$ PossibleCase(y) SubSet(x, y); SubSet( $\langle \rangle, \gamma \rangle \rightarrow$ ; SubSet( $\langle e \rangle \bullet x, y \rangle \rightarrow$ ElementOf(e, y) SubSet(x, y);ElementOf( $e, \langle e \rangle \bullet v$ )  $\rightarrow$ ; ElementOf( $e, \langle e' \rangle \bullet y$ )  $\rightarrow$ ElementOf( $e, \psi$ ),  $\{e \neq e'\}$ ;

FIGURE 18 The subworlds described in Lewis Carroll's puzzle.

In order to compute the connection which exists between "clear-headed," "popular" and "fit to be a Member of Parliament" it suffices to write the query

PossibleSubCase(< <p,"clear-headed">, <q,"popular">, <r,"fit to be a Member of Parliament">>)?

The answer is the set of constraints

 $\{p: bool, q: bool, r: bool\},\$ 

which means that there is no connection between "clear-headed," "popular" and "fit to be a Member of Parliament."

To compute the connection which exists between "able to keep a secret," "fit to be a Member of Parliament" and "worth one's weight in gold" it suffices to write the query

PossibleSubCase( <

p, "able to keep a secret ">,

< q," fit to be a Member of Parliament " >,

< r," worth one's weight in gold " > > )?

The answer is

 $\{p \land q \Rightarrow r\},\$ 

which means that persons who can keep secrets and are fit to be Members of Parliament are worth their weight in gold.

In fact, in these two examples of program execution we have assumed that Prolog III yields as answers very simplified solved systems, particularly, those not containing superfluous Boolean variables. If this had not been the case, to show (as opposed to find) that persons who can keep secrets and are fit to be Members of Parliament are worth their weight in gold, we would have had to pose the query

PossibleSubCase( <

<p,"able to keep a secret ">,
<q," fit to be a Member of Parliament ">,
<r," worth one's weight in gold ">>),  $\{x = (p \land q \supset r)\}$ ?

and obtain a response of the form  $\{x = 1',...\}$  or obtain no answer to the query

PossibleSubCase( < p,"able to keep a secret " >, <q,"fit to be a Member of Parliament " >, <r," worth one's weight in gold " > >)?

 $\{(p \land q \supset r) = 0'\}?$ 

# Treatment of Trees and Lists

Computing the Leaves of a Tree

Here is, first of all, an example in which we access labels and daughters of a tree by the operation []. We want to calculate the list of the leaves of a finite tree without taking into account the leaves labeled  $< > \alpha$ . Figure 19 illustrates the program.

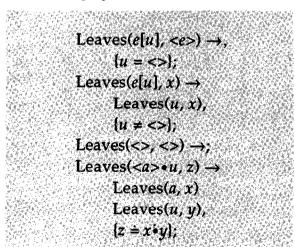


FIGURE 19 Computing the leaves of a tree.

Trees of the form

Leaves(a, x)

are admissible only if x is the list of leaves of the finite tree a (not including the leaves labeled  $<>^{\alpha}$ ). The query

Leaves(height("Max", < 180/100, meters >, 1'), x)? produces the answer

$$\{x = \langle M', 'a', 'x', 9/5, meters, 1' \}$$
.

### **Computing Decimal Integers**

Our second example shows how we can use approximated concatenation to access the last element of a list. We want to transform a sequence of digits into the integer it represents. Figure 20 shows the program without comments.

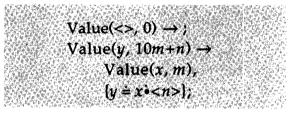


FIGURE 20 Computing an integer from the list of its digit.

As a reply to the query

we obtain

 $\{x=1990\}.$ 

### **Computing the Reverse of Lists**

If one knows how to access the first and the last elements of a list, it must be possible to write an elegant program computing the reverse of a list. The one I propose is illustrated in Figure 21.

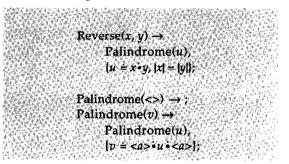


FIGURE 21 Reversing a list.

\*\*\*\*\*

Each of the two queries

Reverse(
$$<1,2,3,4,5>, x$$
)?  
Reverse( $x, <1,2,3,4,5>$ )?

produces the same answer

$${x = < 5, 4, 3, 2, 1 > }.$$

For the query

Reverse(x, y) Reverse(y, z),  $\{x \neq z, |x| = 10\}$ ?

we get no answer at all, which confirms that reversing a list twice yields the initial list.

### **Context-Free Recognizer**

The treatment of concatenation provides a systematic and natural means of relating "context-free" grammar rules with Prolog III rules, thus constructing a recognizer. Let us for example consider the grammar

{S  $\rightarrow$  AX, A  $\rightarrow$  A, A  $\rightarrow$  aA, X  $\rightarrow$  A, X  $\rightarrow$  aXb}

which defines the language consisting of sequences of symbols of the form  $a^m b^n$  with  $m \ge n$ . The program in Figure 22 corresponds to the grammar:

Sform(u) 
$$\rightarrow$$
  
Aform(v)  
Xform(w),  
 $\{u = v \cdot w\};$   
Aform(u)  $\rightarrow$   
 $[u = <>\};$   
Aform(u)  $\rightarrow$   
Aform(v),  
 $\{u = "a" \cdot v\};$   
Xform(u)  $\rightarrow$   
 $\{u = <>\};$   
Xform(u)  $\rightarrow$   
 $\{u = <>\};$ 



The query

produces the answer

{}

which signifies that the string "aaabb" belongs to the language, whereas the query

produces no response, which means that the string 'aaabbbb'' does not belong to the language.

### Treatment of Integers

The algorithms used for solving constraints on integers are complex and quite often inefficient. It is for this reason that the structure underlying Prolog III does not contain a relation restricting a number to be only an integer. However, we have considered a way of enumerating integers satisfying the set of current constraints.

# **Enumeration of Integers**

The Prolog III abstract machine is modified to behave as if the following infinite set of rules

enum(0) 
$$\rightarrow$$
;  
enum(-1)  $\rightarrow$ ;  
enum(1)  $\rightarrow$ ;  
enum(-2)  $\rightarrow$ ;  
enum(2)  $\rightarrow$ ;

had been added to every program. Moreover, the abstract machine is implemented to guarantee that the search for applicable rules takes a finite amount of time whenever this set is itself finite. In connection with the definition of the abstract machine, this can be regarded as adding all the transitions of the form

$$(W, t_0t_1...t_m, S) \rightarrow (W, t_1...t_m, S \cup \{t_0 = \operatorname{enum}(\mathbf{n})\}),$$

where **n** is an integer such that the styem  $S \cup \{p_0 = \text{enum} (\mathbf{n})\}$  admits at least one solution in which the values of the  $t_i$ 's are all defined.

For example, if in the current state of the abstract machine, the first term to be deleted is «enum(x)» and if the system S of constraints is equivalent on  $\{x\}$  to  $\{3/4 \le x, x \le 3 + 1/4\}$ , then there will be two transitions: one to a state with a system equivalent to  $S \cup \{x=1\}$ , the other to a state with a system equivalent to  $S \cup \{x=2\}$ .

We should add in this connection that if S is a system forcing the variable x to represent a number, then, in the most complex case, the system S is equivalent on  $\{x\}$ to a system of the form

$$\{x \ge a_0, x \ne a_1, \dots, x \ne a_n, x \le a_{n+1}\},\$$

where the  $a_i$ 's are rational numbers.

A problem, taken from one of the many books of M. Gardner [15], illustrates nicely the enumeration of integers. The problem goes like this. When prices of farm animals were much lower than they are now, a farmer spent \$100 to buy 100 animals of three different kinds: cows, pigs and sheep. Each cow cost \$10, each pig \$3 and each sheep 50 cents. Assuming that he bought at least one cow, one pig and one sheep, how many of each animal did the farmer buy?

Let x, y and z be the number of cows, pigs and sheep that the farmer bought. The query

enum (x) enum(y) enum (z),  $\{x+y+z=100, 10x+3y+z/2=100, x \ge 1, y \ge 1, z \ge 1\}$ ?

produces the answer

$$\{x=5, y=1, z=94\}.$$

This problem reminds us of a problem mentioned at the beginning of this article. Find the number x of pigeons and the number y of rabbits such that together there is a total of 12 heads and 34 legs. It was solved by putting the query

$${x+y=12, 2x+4y=34}?$$

But, given that a priori, we have no guarantee that the solutions of this system are non-negative and integer numbers, it is more appropriate to put the query

enum(x) enum(y),  $\{x+y=12, 2x+4y=34, x \ge 0, y \ge 0\}$ ?

which produces the same answer

$$\{x=7, y=5\}.$$

# Cripto-Arithmetic

Next we look at another problem that illustrates the enumeration of integers. We are asked to solve a classical cripto-arithmetic puzzle: assign the ten digits 0,1,2,3,4,5,6,7,8,9 to the ten letters, D,G,R,O,E,N,B,A,L,T in such a way that the addition DONALD + GERALD = ROBERT holds. We deterministically install the maximum number of constraints on the reals and use

the nondeterminism to enumerate all the integers which are to satisfy these constraints. Figure 23 indicates the program without any comments:

Solution(i, j, i+j) 
$$\rightarrow$$
  
Value(, i)  
Value(, j)  
Value(, i+j)  
DifferentAndBetween09(x)  
Integers(x),  
{ = x,  
D ≠ 0, G ≠ 0, R ≠ 0};  
Value(<>, 0)→;  
Value(x, i), {y = x\*};  
DifferentAndBetween09(<>)  $\rightarrow$ ;  
DifferentAndBetween09(x),  
{0 ≤ i, i ≤ 9};  
OutOf(i, <>)  $\rightarrow$ ;  
OutOf(i, x), {i ≠ j};  
Integers(<>)  $\rightarrow$ ;  
Integers(<>>  $\rightarrow$ ;

FIGURE 23 Solving DONALD+GERALD=ROBERT.

enum(i) Integers(x);

The answer to the query

Solution (i, j, k)?

is

$$\{i=526485, j=197485, k=723970\}.$$

# Self-Referential Puzzle

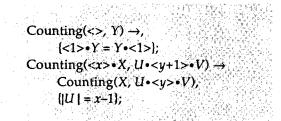
The last example is a typical combinatorial problem that is given a natural solution by enumeration of integers involving approximated concatenation and multiplication. Given a positive integer n, we are asked to find n integers  $x_1,...,x_n$  so the following property holds:

"In the sentence that I am presently uttering, the number 1 occurs  $x_1$  times, the number 2 occurs  $x_2$  times,..., the number *n* occurs  $x_n$  times."

One proceeds as if one were using true (and not approximated) concatenation and one writes the program whose admissible trees are of the form

Counting( $< x_1, ..., x_m >$ ,  $< y_1 + 1, ..., y_n + 1 >$ ),

each  $x_i$  being an integer between 0 and m, each  $y_i$  being the number of occurrences of the integer *i* in the list  $\langle x_1, ..., x_m \rangle$ . Figure 24 illustrates the program:





The constraint  $\{<1>\cdot Y = Y \cdot <1>\}$  is an elegant way of forcing Y to be a list of 1's. If everything were perfect, we could simply ask the query "Counting (X,X),  $\{|X| = n\}$ " to obtain the list of the desired *n* integers. Since Prolog III is not perfect, we have to substitute approximate concatenations for true concatenations. We must, therefore, complete the program with an enumeration of the integers  $x_1, ..., x_n$  that we are looking for. All the lists are thus constrained to be of integer length—to be true lists; consequently all the approximated concatenations become true concatenations. In order to reduce the enumeration of integers, we introduce two properties: The first property is

$$x_1 + \ldots + x_n = 2n,$$

which expresses that the total number of occurrences of numbers in the sentences is both  $x_1 + ... + x_n$  and 2n. The second is

$$0x_1 + 1x_2 + \dots + (n-1)x_n = n(n+1)/2,$$

which expresses that the sum of numbers which appear in the sentence is both  $1x_1 + 2x_2 + ... + nx_n$  and  $x_1 + ... + x_n$ +1 + ... + n. From all these considerations the final program results in Figure 25. Solution(X)  $\rightarrow$ Sum(X, 2n) WeightedSum(X, m) Counting(X, X) Integers(X),  $\{n = |X|, m = n \times (n+1)/2\};$ 

 $Sum(<>, 0) \rightarrow;$   $Sum(<x>\bullet X, |x+y|) \rightarrow$ Sum(X, y);

WeightedSum( $\langle \rangle, 0 \rangle \rightarrow$ ; WeightedSum( $X \cdot \langle x \rangle, z + y \rangle \rightarrow$ WeightedSum( $X, y \rangle$ ,  $\{z = |X| \times x\}$ ;

Counting( $\langle \rangle, Y \rangle \rightarrow$ ,  $\{\langle 1 \rangle \bullet Y = Y \bullet \langle 1 \rangle\};$ Counting( $\langle x \rangle \bullet X, Y' \rangle \rightarrow$ Counting(X, Y),  $\{Y' = U \bullet \langle y + 1 \rangle \bullet V,$   $Y = U \bullet \langle y \rangle \bullet V,$  $|U| = x-1\};$ 

Integers( $\langle \rangle$ )  $\rightarrow$ ; Integers( $\langle x \rangle \cdot X$ )  $\rightarrow$ Integers(X) enum(x);

> FIGURE 25 Solving the self-referential puzzle.

Assigning successively to n the values 1, 2, ..., 20 and asking the query

Solution(X), 
$$\{|X| = n\}$$
?

we obtain as answers

 $\{X = \langle 3, 1, 3, 1 \rangle\},\$  $\{X = \langle 2, 3, 2, 1 \rangle\},\$  $\{X = \langle 3, 2, 3, 1, 1 \rangle\},\$  ${X = \langle 4, 3, 2, 2, 1, 1, 1 \rangle},$  $\{X = \langle 5, 3, 2, 1, 2, 1, 1, 1 \rangle\},\$  $\{X = \langle 6, 3, 2, 1, 1, 2, 1, 1, 1 \rangle\},\$  $\{X = \langle 7, 3, 2, 1, 1, 1, 2, 1, 1, 1 \rangle\},\$  ${X = \langle 8, 3, 2, 1, 1, 1, 1, 2, 1, 1, 1 \rangle},$  $\{X = \langle 16, 3, 2, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1, 2, 1, 1, 1 \rangle\},\$ 

The regularity in the answer gives rise to the idea of proving that for  $n \geq 7$  there always exists a solution of the form

$$x_1, \dots, x_n = n-3, 3, 2, 1, \dots, 1, 2, 1, 1, 1.$$

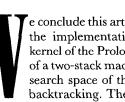
Light meals	4 sec
Installments, $n = 3$	2 sec
Installments, $n = 50$	6 sec
Installments, $n = 100$	23 sec
Periodic sequence	3 sec
Squares, $n = 9$	13 min 15 sec
Squares, $n = 9$ , 1st solution	1 min 21 sec
Squares, $n = 10$ , 1st solution	6 min 36 sec
Squares, $n = 11$ , 1st solution	1 min 38 sec
Squares, $n = 12$ , 1st solution	5 min 02 sec
Squares, $n = 13$ , 1st solution	4 min 17 sec
Squares, $n = 14$ , 1st solution	13 min 05 sec
Squares, $n = 15$ , 1st solution	11 min 29 sec
Faults detection, 2nd query	3 sec
Lewis Carrol, 2nd query	3 sec
Donald+Gerald	68 sec
Self-referential-puzzle, $n = 4$	3 sec
Self-referential-puzzle, $n = 5$	4 sec
Self-referential-puzzle, $n = 10$	11 sec
Self-referential-puzzle, $n = 15$	36 sec
Self-referential-puzzle, $n = 20$	1 min 54 sec
Self-referential-puzzle, $n = 25$	5 min 51 sec
Self-referential-puzzle, $n = 30$	17 min 55 sec

### **FIGURE 26** Benchmarks

# **Practical Realization**

Prolog III is obviously more than an intellectual exercise. A prototype of a Prolog III interpreter has been running in our laboratory since the end of 1987. A commercial version based on this prototype is now being distributed by the company PrologIA at Marseilles (Prolog III version 1). This product incorporates the functions described in this article as well as facilities calculating maximum and minimum values of numerical expressions. We have been able to use it to test our examples and to establish the following benchmarks (on a Mac II, first model).

All the figures in Figure 26, except when stated otherwise, are the complete execution times of complete programs including the backtracking, input of queries and output of answers. The installment calculation consists of computing a sequence of installments i, 2i, 3i,...,ni needed to reimburse a capital of 1000. In order to do justice to these results, one must take into account the fact that all the calculations are carried out in infinite precision. In the installment example with n=100, a simplified fraction with a numerator and a denominator with more than 100 digits is produced!



e conclude this article with information on the implementation of Prolog III. The kernel of the Prolog III interpreter consists of a two-stack machine which explores the search space of the abstract machine via backtracking. These two stacks are filled

and emptied simultaneously. In the first stack, one stores the structures representing the states through which one passes. In the second stack, one keeps track of all the modifications made on the first stack; for this purpose address-value pairs are used to make the needed restorations upon backtracking. A general system of garbage collection [23] is able to detect those structures that have become inaccessible and to regain the space they occupy by compacting the two stacks. During this compaction the topography of the stacks is completely retained. The kernel of the interpreter also contains the central part of the solving algorithms for the = and  $\neq$  constraints. These algorithms are essentially an extension of those already used in Prolog II and described in [8]. The extension concerns the treatment of list concatenation and the treatment of linear numerical equations containing at least one variable not restricted to represent a non-negative number. A general mechanism for the delaying of constraints, which is used to implement approximated multiplication and concatenation, is also provided in the kernel. Two submodules are called upon by the interpreter, one for the treatment of Boolean algebra, the other for the remaining numerical part.

The Boolean algebra module works with clausal

forms. The algorithms used [2] are an incremental version of those developed by P. Siegel [24], which are themselves based on SL-resolution [20]. They determine if a set of Boolean constraints is solvable, and they simplify these constraints into a set of constraints containing only a minimal subset of variables. Related experiments have been performed with an algorithm based on model enumeration [21]. Although significant improvement has been achieved as far as solvability tests are concerned, a large part of these ameliorations is lost when it comes to simplifying the constraints on output. We should mention that W. Büttner and H. Simonis approach the incremental solving of Boolean constraints with quite different algorithms [6].

The numerical module treats linear equations, the variables of which are constrained to represent nonnegative numbers. (These variables x are introduced to replace constraints of the form  $p \ge 0$  by constraints x =p and  $x \ge 0$ ). The module consists essentially of an incremental implementation of G. Dantzig's simplex algorithm [12]. The choice of pivots follows a method proposed in M. Balinski and R. Gomory [1] which, like the well-known method of R. Bland [3], avoids cycles. The simplex algorithm is used both to verify whether the numerical constraints have solutions and to detect those variables having only one possible value. This allows us to simplify the constraints by detecting the hidden equations in the original constraints. For example, the hidden equation x = y will be detected in  $\{x \ge y, y\}$  $y \ge x$ . The module also contains various subprograms needed for addition and multiplication operations in infinite precision, that is to say, on fractions whose numerators and denominators are unbounded integers. Unfortunately, we have not included algorithms for the systematic elimination of useless numerical variables in the solved systems of constraints. The work of J-L. Imbert [17] should be noted in this connection.

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CR Categories and Subject Descriptors: D.3.2 [Programming Languages] Language Classifications: I.2.3. [Artificial Intelligence] Deduction and Theorem Proving

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