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Reversing the standard neural signature of the word-nonword distinction

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Abstract

The distinction between letter strings that form words and those that look and sound plausible but are not meaningful is a basic one. Decades of functional neuroimaging experiments have used this distinction to isolate the neural basis of lexical (word-level) semantics, associated with areas such as the middle temporal, angular, and posterior cingulate gyri that overlap the default-mode network. In two functional magnetic resonance imaging (fMRI) experiments, a different set of findings emerged when word stimuli were used that were less familiar (measured by word frequency) than those typically used. Instead of activating default-mode network areas often associated with semantic processing, words activated task-positive areas such as the inferior prefrontal cortex and supplementary motor area, along with multi-functional ventral occipitotemporal cortices related to reading, while nonwords activated default-mode areas previously associated with semantics. Effective connectivity analyses of fMRI data on less familiar words showed activation driven by task-positive and multi-functional reading-related areas, while highly familiar words showed bottom-up activation flow from occipito-temporal cortex. These findings suggest functional neuroimaging correlates of semantic processing are less stable than previously assumed, with factors such as word frequency influencing the balance between task-positive, reading-related, and default-mode networks. More generally, this suggests results of contrasts typically interpreted in terms of semantic content may be more influenced by factors related to task difficulty than is widely appreciated.

Introduction

The ability to recognize a letter string as forming a word has been extensively investigated, and is a critical step in the reading process. One major task used to investigate word recognition is lexical decision, where participants decide whether a string of letters is a valid word. Several decades of behavioral research using this task (Balota, Cortese, Sergent-

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Marshall, Spieler, & Yap, 2004; Rubenstein, Garfield, & Millikan, 1970), and over a decade of brain imaging research (McNorgan, Chabal, O'Young, Lukic, & Booth, 2015; Perani et al., 1999), have established some consistent findings. Behaviorally, when the nonword foils are well-formed such that they contain legal combinations of orthographic (letter combination) and phonological (sound combination) units, word recognition (1) takes longer to initiate, and (2) is more influenced by semantic variables such as imageability than when the nonword foils do not contain legal constituents (Evans, Lambon Ralph, & Woollams, 2012). These findings, combined with the fact that by definition words are meaningful and nonwords are not, has led numerous functional brain imaging researchers to conclude that areas more active for words compared to well-formed nonwords are involved in processing word meanings, or semantics (Binder, Desai, Graves, & Conant, 2009; Cattinelli, Borghese, Gallucci, & Paulesu, 2013; McNorgan et al., 2015; Taylor, Rastle, & Davis, 2013).

Comparing neural responses to words and nonwords, however, is not entirely straightforward. Since lexical decisions to words are typically faster than to nonwords, this simple difference in time-on-task must be accounted for in order to draw conclusions about differences in semantic information processing, rather than differences in more domaingeneral process. Put another way, if one condition in a task is more difficult than the other, this difference could arise from many different sources, and take many different forms. For example, differences between harder and easier conditions could result in differences in extent of visual attention between letter strings, recruitment of working memory resources, or level of effort. These differences, particularly when revealed by behavioral performance data, will necessarily manifest in the brain imaging data, possibly in the form of activation in areas that support domain-general processes. Some examples could include a responseselection mechanism for mapping contents of working memory to a response (Rowe, Toni, Josephs, Frackowiak, & Passingham, 2000), a response-inhibition system for preventing premature or prepotent responses from being made in error (Wager et al., 2005), and an error-monitoring system for adjusting response criteria (Ullsperger & von Cramon, 2004).

Under the right conditions, however, differences in behavioral performance data between conditions are clearly meaningful, as over a century of progress in experimental psychology has shown. Indeed, the choices of word frequency and imageability as factors to manipulate in the current experiments were motivated in large part by the extensive psycholinguistic literature on what performance differences that result from manipulating these factors tell us about cognitive processes involved in recognizing words. Effects of word frequency are among the most reliable and extensively studied in psycholinguistics. The basic finding is that lower frequency words, compared to higher frequency words, take longer to initiate responses to and are more error prone (Monsell, 1991). Effects of word frequency may arise throughout the lexical system (Monsell, Doyle, & Haggard, 1989), and are related to constructs such as word familiarity (Baayen, Feldman, & Schreuder, 2006; Colombo, Pasini, & Balota, 2006) and contextual diversity (Adelman, Brown, & Quesada, 2006). For the current experiments, we crossed levels of word frequency with levels of word imageability, with the aim of investigating the neurocognitive basis of lexical and semantic processing.

Although there is controversy regarding the exact role of semantics and imageability in reading aloud, semantic effects are clearly and consistently found for the lexical decision

task used here (Balota et al., 2004). Values for imageability are obtained from humans rating the degree to which a word calls to mind an image. More highly imageable words generally have richer semantic representations (Paivio, 1991) and more semantic features (Plaut & Shallice, 1993), suggesting that imageability effects are straightforwardly interpreted in terms of semantics. Thus we manipulated word imageability to reveal neural responses to semantic processing, as in several previous studies (Bedny & Thompson-Schill, 2006; Binder, 2007; Graves, Desai, Humphries, Seidenberg, & Binder, 2010; Hauk, Davis, Kherif, & Pulverm-ller, 2008; Wise et al., 2000). We should then be able to compare this straightforwardly semantic manipulation with other contrasts related to semantics, such as words compared to nonwords.

A meta-analysis by Binder et al. (2009) examining the neural basis of semantic processing across 120 studies focused on studies contrasting semantically rich conditions to semantically poor conditions. For example, studies were included that compared neural responses to meaningful words against meaningless pronounceable nonwords (pseudowords), or comparisons of high-imageability words against low-imageability words. They also used selection criteria to control for differences in "effort" or time-on-task between conditions by only including studies with either no differences in performance between conditions of interest, or that had made an effort to account for those differences in some way, such as statistically modeling neural variance due to response times (RT). The consistent results across functional neuroimaging studies for semantically rich conditions compared to semantically impoverished conditions revealed a set of brain areas that strikingly overlapped with what has come to be known as the "default-mode" (DM) network (Buckner, Andrews-Hanna, & Schacter, 2008; Gusnard & Raichle, 2001). This prominently includes a largely bilateral set of regions such as the angular gyrus (AG), posterior cingulate (PC), precuneus, middle temporal gyrus (MTG), anterior temporal lobes (ATL), and dorsomedial prefrontal cortex. It contrasts with, and is spatially non-overlapping with, the "taskpositive" network (Fox et al., 2005). This latter set of regions has been associated with a diverse array of resource-demanding functions, leading it to also be termed the "multipledemand" (MD) network (Duncan, 2010). Like the DM network, the MD network is also largely bilateral and includes the inferior frontal junction (IFJ, centered at the junction of the inferior frontal and precentral sulci), intraparietal sulcus (IPS), and supplementary motor area (SMA). There was also a small third set of regions that showed some spatial overlap between these two networks, including the supramarginal gyrus (SMG) and ventral occipitotemporal cortex (vOT; Binder et al., 2009). Because words primarily differ from wellmatched nonwords in that words are meaningful, areas more activated for words that largely correspond to the DM network have been interpreted as carrying out semantic processing (Binder & Desai, 2011; Binder et al., 2009; Binder et al., 1999; Cattinelli et al., 2013; McNorgan et al., 2015; Taylor et al., 2013).

The original goal of the first experiment reported here was to replicate in a single fMRI study the overall pattern of results from the basic semantic contrast in the Binder et al. (2009) meta-analysis. These data, however, showed a surprising pattern. We found activation in the MD network for words compared to nonwords, and activation in the putative semantic network for nonwords compared to words. This was surprising considering that nonwords do not have meaning. To better understand the source of this finding, we turned again to the

Binder et al. (2009) meta-analysis, which included numerous studies using the lexical decision task. A representative example is an earlier study by Binder et al. (2005). As in the current study, their participants performed lexical decision on stimuli that were either words or pronounceable nonwords. The nonwords were well-matched to the words in terms of phonological and orthographic measures. The words also varied in imageability and concreteness – semantic factors that were treated as essentially the same due to their high correlation of 0.94 based on ratings from the MRC Psycholinguistic Database (Coltheart, 1981). Words were matched for frequency across levels of imageability. In comparing the Binder et al. (2005) stimulus words with ours, we found that although they did not differ in imageability, the median word frequency of their words was 16.8 occurrences per million (midpoint of the lowest quartile: 2.6), both according to the CELEX lexical database (Baayen, Piepenbrock, & Gulikers, 1995). This difference suggested word frequency as a possible source of the diverging patterns for the lexical contrast.

A follow-up fMRI experiment was then conducted to both check for replication of the unexpected results and further explore their source. Specifically, we tested the possibility that individual word characteristics (in this case, word frequency) can influence the neural correlates of a distinction as basic as lexicality (word/nonword status) to such an extent as to reverse its typical neural signature. A possible mechanism for this reversal is hypothesized to be the differential engagement of MD and DM networks due to asymmetries in difficulty between the words and nonwords.

Materials and Methods

Experiment 1

Stimulus material—A total of 312 words and 312 pseudowords were selected for lexical decision. Words were divided into high and low levels of frequency and imageability in a completely crossed 2×2 factorial design, producing four unique conditions (high frequency, high imageability; high frequency, low imageability; low frequency, high imageability; low frequency, low imageability) with 78 words per condition. Log-transformed per-million values for word frequency were obtained from the CELEX lexical database (Baayen et al., 1995) and ranged from a minimum of 0.004 ("kelp") to a maximum of 3.083 ("first"), with a mean of 1.155. Words were selected to have a clear bimodal distribution. Words with frequency greater than 1.2 were considered high frequency and this category had a mode of 1.91 (in terms of occurrences per million, the median was 75.2). Words with frequency less than 0.7 were considered low frequency and this category had a mode of 0.56 (and a median of 2.6 occurrences per million). Imageability values were derived from ratings studies in which participants were asked to rate words in terms of the degree to which they bring to mind an image (Bird, Franklin, & Howard, 2001; Clark & Paivio, 2004; Cortese & Fugett, 2004; Gilhooly & Logie, 1980; Paivio, Yuille, & Madigan, 1968; Toglia & Battig, 1978). Imageability ranged from a minimum of 1.8 (e.g., "guile") to a maximum of 6.6 ("beach"), with a mean of 4.4. The distribution was again bimodal, with the low imageability category defined as less than or equal to 4 (mode: 2.9), and high imageability as greater than 4.7 (mode: 5.8). Words did not reliably differ across conditions in terms of number of letters,

bigram frequency, trigram frequency, orthographic neighborhood size, or spelling-sound consistency. As expected, words categorized as high or low frequency showed reliable differences in word frequency values. Likewise, high and low imageability words differed reliably in terms of imageability. To help ensure surface similarity to words, pseudowords were generated to contain only trigram sequences valid in English. They also did not reliably differ from words in terms of number of letters, bigram frequency, or trigram frequency.

Participants—A total of 20 participants (13 female), mean age 25.3 years, mean years of education 16.6, all right handed (> 70 on the Oldfield handedness questionnaire), with no history of neurological, psychiatric, or learning impairment diagnosis underwent fMRI scanning. All had English as a first language. They gave written informed consent and the study protocol was approved by the Institutional Review Board of the Medical College of Wisconsin.

Task and imaging—Participants were instructed in the lexical decision task in the scanner by being told they would see letter strings. Their task was to decide as quickly and accurately as possible whether or not each letter string was a valid English word. Each stimulus was displayed for 400 ms before being replaced with a single fixation cross. Fixation served as an implicit baseline. The scanning session was split into six runs, each containing 52 words and 52 pseudowords. These were intermixed with 52 fixation trials in a fully randomized rapid event-related design, with a mean inter-trial interval (ITI) of 3.1 s (SD: 2.0). E-prime (Psychology Software Tools, Inc.; http://www.pstnet.com/eprime) was used for stimulus presentation and response recording.

MRI data were acquired using a 3.0 Tesla GE Excite system with an 8-channel array head coil. To ensure high signal quality across the whole head, we obtained T1-weighted anatomical images in both the axial (180 slices, $0.938 \times 0.938 \times 1.000$ mm) and sagittal (180 slices, $1.000 \times 0.938 \times 0.938$ mm) planes using a spoiled-gradient-echo sequence (SPGR, GE Healthcare, Waukesha, WI). Task-based functional scans were acquired using a gradient-echo echoplanar sequence with the following parameters: 25 ms TE, 2 s TR, 208 mm field of view, 64×64 pixel matrix, in-plane voxel dimensions 3.25×3.25 mm, and slice thickness 3.3 mm with no gap. Thirty three interleaved axial slices were acquired, and each of the six functional runs consisted of 168 whole-brain image volumes. Resting-state functional scans were also acquired with a gradient-echo echoplanar sequence, but with the following parameters: 25 ms TE, 3 s TR, 240 mm field of view, 128×128 pixel matrix, in-plane voxel dimensions 1.875 \times 1.875 mm, and slice thickness 2.5 mm with no gap. Forty one interleaved axial slices were acquired.

Image analyses were performed using AFNI (http://afni.nimh.nih.gov/afni) (Cox, 1996). The one exception was the early step of B-field un-warping, in which the time series data were processed using the FSL (Smith et al., 2004) program, FUGUE, based on a field map acquired in the same dimensions as the task-based functional data. Subsequently, for each participant, the first six images in the time series were discarded to avoid saturation effects. The remaining images were spatially co-registered (motion corrected; Cox & Jesmanowicz, 1999), and the resulting motion parameters were saved for use as noise covariates. Voxelwise multiple linear regression was performed using the AFNI program 3dDeconvolve.

This included the following covariables of no interest: a third-order polynomial to model low-frequency drift, the six previously calculated motion parameters, and a term for signal in the ventricles used to model noise. Covariables of interest were modeled as impulse responses convolved with a gamma variate approximation of the hemodynamic response. They consisted of the following: (1-4) an indicator variable for each word type responded to correctly in the 2×2 design (high-frequency, high-imageability; low-frequency, lowimageability; high-frequency, low-imageability; low-frequency, high-imageability), (5) an indicator variable for each nonword responded to correctly, (6) an indicator variable for each erroneous response, and (7) RT values for each correct trial. Because RT for words and pseudowords would be highly correlated with the indicator variables for correct trials, the RT values for each trial were mean-centered by subtracting the overall mean RT of each participant's correct responses and dividing the result by the standard deviation for all correct trials (essentially z-scoring the RT values). The relevant contrast of words – nonwords was performed by first combining across the four word types and then performing the contrast. Similarly, contrasts between levels of word frequency were performed by collapsing across levels of imageability, and contrasts between levels of imageability were performed by collapsing across levels of word frequency. We also tested for multiplicative interaction of the effects of word frequency (high – low) and lexicality (word – nonword).

The resulting contrast coefficient maps for each participant were linearly resampled into Talairach space (Talairach & Tournoux, 1988) with a voxel size of 1 mm³ and spatially smoothed with a 6 mm full-with-half-maximum (FWHM) Gaussian kernel. These smoothed coefficient maps were then passed to a random effects analysis comparing the coefficient values to a null hypothesis of zero across participants. The resulting group activation maps were thresholded at a voxelwise p < 0.01, uncorrected. A cluster extent threshold was then calculated using the AFNI program 3dClustSim to perform Monte Carlo simulations estimating the chance probability of spatially contiguous voxels passing this threshold. Clusters smaller than 812 µl were removed, resulting in a whole-brain corrected threshold of p < 0.05.

A functional connectivity analysis of the resting state (fixation baseline) was also performed using a seed in the posterior cingulate to verify that participants showed the typical pattern of resting state functional connectivity, and to provide regions of interest for the effective connectivity analyses derived from independent data on this group of participants, in order to avoid over-fitting from multiple analyses of the same data. Methods for this analysis and the results are provided as supplemental material.

Experiment 2

Stimuli and task—Stimuli were identical to the previous experiments, with the exception that all high-frequency words were presented for lexical decision in the first half of the experiment, followed by all low-frequency words. This was done to isolate effects of word frequency context and provide an optimal design for the planned effective connectivity analyses.

Participants—The 11 participants (8 female) had a mean age of 21.6 years, with 14.2 mean years of education. This new set of participants had attained fewer years of education than the previous sample (t = 4.1, p < 0.001), presumably as a consequence of their being younger (t = 2.9, p < 0.01). All participants met inclusion criteria as described above, and gave written informed consent for the study as approved by the Rutgers University Institutional Review Board.

Image acquisition and analysis—The MRI data for this experiment were acquired in the Rutgers University Brain Imaging Center, using a 3T Siemens MAGNETOM Trio with a 12-channel array head RF receive coil. High resolution, T1-weighted anatomical reference images were acquired as a set of 176 contiguous sagittal slices (1 mm³ voxels) using a Magnetization Prepared Rapid Gradient Echo sequence (MPRAGE, Siemens Healthcare, Flanders, NJ) for whole-head coverage. Functional scans were acquired using a gradient-echo echoplanar imaging (EPI) sequence with the parameters: 25 ms TE, 2 s TR, 192 mm field of view, 64×64 pixel matrix, and 3 mm³ voxels. Thirty-five interleaved axial slices (no gap) were acquired for whole brain coverage, and each of the six functional runs consisted of 168 image volumes.

Analysis of the MRI data were as described for Experiment 1 above, with the following exceptions: (1) nonwords appearing in the first half of the experiment as foils for highfrequency words were modeled separately from those appearing in the last half of the experiment as foils for low-frequency words (note that the order of nonwords was fully rerandomized across the entire experiment for each participant), (2) because of the slightly smaller voxel size in this dataset compared to Experiment 1, the mapwise cluster correction to p < 0.05 resulted in excluding clusters smaller than 805 µl, and (3) effective connectivity analyses were performed on the minimally processed fMRI task data using Independent Multiple-sample and Greedy Equivalence Search (IMaGES; Ramsey et al., 2010), as implemented in the Tetrad (version 4.3.10-7) software environment. In an approach similar to that described previously (Boukrina & Graves, 2013), we provided ROIs as priors for the Bayesian framework used in IMaGES. The ROIs shown in Figure 4 were generated from the resting-state functional connectivity results from Experiment 1 (Figure S1), but kept in volume space for 3D functional image analysis. Unlike dynamic causal modeling (Friston, Harrison, & Penny, 2003), where the exact connections must be specified beforehand, IMaGES is a search algorithm that discovers the maximally likely set of connections. This is made computationally tractable by only considering as separate those connections that fall into different Markov equivalence classes, estimated using conditional independence relations, and do not form cyclic loops. Once the significant connections are found, directionality is determined using the LOFS algorithm (stands for LiNG Orientation, Fixed Structure, where LiNG stands for Linear, Non-Gaussian). Its general approach is to test, using linear models, directionality of flow among groups of ROIs, with the most likely direction corresponding to the model whose residual error is least Gaussian (Ramsey, Hanson, & Glymour, 2011).

Results

Experiment 1

Behavioral results—Means for all conditions are shown in Table 1. Analyses with RT as the dependent variable showed lexical decisions to words being faster than nonwords (itemwise $t_{622} = 16.7$, p < 0.0001). Effects of word frequency and imageability were also significant, with high-frequency and high-imageability words eliciting faster responses than low-frequency and low-imageability words. RT showed reliable effects of word frequency ($F_{1,19} = 134.5$, p < 0.0001) and imageability ($F_{1,19} = 111.0$, p < 0.0001). There was also a reliable interaction between word frequency and imageability ($F_{1,19} = 43.1$, p < 0.0001), such that effects of imageability were greater for low-compared to high-frequency words, and effects of word frequency were greater for low-compared to high-imageability words.

Analyses with accuracy (1-error rate) as the dependent variable showed lexical decisions to nonwords being more accurate than those to words (t_{18} = 15.9, p < 0.0001). The combination of faster and less accurate responses to words compared to nonwords suggests the possibility of a speed-accuracy tradeoff. Word frequency and imageability significantly influenced error rates, with high frequency and high imageability words eliciting more accurate responses than low-frequency and low-imageability words, resulting in reliable effects of word frequency ($F_{I,19}$ = 80.1, p < 0.0001) and imageability ($F_{I,19}$ = 124.3, p < 0.0001). Unlike the word-nonword contrast, this pattern is consistent with the RT results, suggesting that speed-accuracy tradeoff was not an issue for words. Also consistent with RT, there was a significant interaction ($F_{I,19}$ = 90.3, p < 0.0001), with greater effects of word frequency to high-frequency words, and greater effects of word frequency for low-compared to high-imageability words.

Because of the apparent speed-accuracy trade-offs between the word and nonword conditions, and to get an overall sense of which conditions are the "hardest", we calculated the Inverse Efficiency Score (IES; Bruyer & Brysbaert, 2011; Townsend & Ashby, 1978). The lexicality effect was significant, with words showing a higher IES than pseudowords (t_{622} = 2.611, p < 0.01). ANOVA with items (words) as the random variable showed main effects of word frequency (F_{308} = 14.6, p < 0.001) and imageability (F_{308} = 14.3, p < 0.001) in the expected direction, and a significant interaction (F_{308} = 10.3, p < 0.001).

Imaging results—A direct contrast of word (warm colors in Fig. 1A) compared to nonword (cool colors in Fig. 1A) trials showed primarily left-lateralized activation for words in the IFJ, bilateral occipital cortices and vOT (with a larger spatial extent on the left), left SMA, and left basal ganglia (coordinates in Table S1). Activation for nonwords compared to words was primarily in bilateral AG, dorsal and medial prefrontal, ATL, PC, cuneus, and precuneus.

To examine the extent to which the areas that activated for words compared to nonwords corresponded to known networks previously identified in terms of functional connectivity (Fox et al., 2005), analysis of resting state data was performed on the same 20 participants using a seed placed in the posterior cingulate (PC), as described in the Methods. Areas with a resting-state time series significantly positively correlated with PC are shown in cool

colors in Fig. S1, and areas with a time series significantly negatively correlated with PC are shown in warm colors (for coordinates see Table S2). This color scheme was chosen for ease of comparison with the word-nonword contrast in Fig. 1A. Visual comparison of resting-state data (Fig. S1) with the lexicality contrast (Fig. 1A) shows areas activated for words corresponding to areas anti-correlated with the PC seed, and areas activated for nonwords corresponding to the PC-correlated resting state/default-mode network.

Degree of overlap between activation for nonwords in the lexicality contrast and the putative semantic network from Binder et al. (2009) was examined by mapping both results onto the same brain in atlas space (Fig. 1B). Activations shown in cool colors in Fig. 1A are shown in red in Fig. 1B, and its overlap with the putative semantic network is in yellow. These overlaps occur most prominently in the AG, dorsal and ventro-medial prefrontal cortex, PC, precuneus, and ATL. Areas of the putative semantic system were generally more extensive than those activated for nonwords on the lateral surface, while the opposite was true (a larger spatial extent of activation for nonwords than the semantic system) on the medial surface. Areas associated with semantics but not activated for nonwords extended along the MTG and included the orbital and triangular parts of the inferior frontal gyrus (IFG).

Analysis of the behavioral data in terms of IES suggested that the word condition was more difficult than the nonword condition, when taking into account both RT and accuracy. Similarly, high frequency words were responded to more accurately and quickly than low frequency words, suggesting lexical decision to high frequency words was less difficult than for low frequency words. If the relative balance between engagement of task-positive and default-mode networks is being determined, at least in part, by relative difficulty of the stimuli, then areas activated for high-compared to low-frequency words should show a similar pattern to that seen for nonwords compared to words. Indeed, this is largely the pattern we observed (cf. Figure 1A and Figure 2A). In each case, the more difficult, or "harder," condition (words in the lexical contrast, low-frequency words in the frequency contrast) activated left IFJ, while the less difficult, or "easier," condition (nonwords in the lexical contrast, high-frequency words in the frequency contrast) activated bilateral ATL, PC, dorsal and medial prefrontal cortices, precuneus, and right AG. There were also some reading-related areas where activation did not overlap across the lexicality and frequency analyses. In the left inferior parietal lobule, the AG activated more for words than nonwords, while the SMG activated more for high than low frequency words. Additionally, the left vOT activated for words compared to nonwords, while there were no reliable differences in this area for the word frequency analysis. Overall, there was a great deal of overlap for areas activated when contrasting hard and easy conditions, whether that contrast was between words and nonwords, or between high and low frequency words.

We also contrasted activations for high-imageability words with those of low-imageability words (Fig. 2B). High-compared to low-imageability words showed activation in several areas including right superior frontal gyrus, left parahippocampal gyrus, and bilateral precuneus and PC cortices. Low- compared to high-imageability words showed activation only in left IFG.

A final question was whether the pattern seen in the lexicality contrast would differ depending on whether the nonwords were being contrasted with either high or low frequency words. Qualitatively, although the high frequency (HF) words minus nonwords contrast (Fig. 2C) yielded results similar to those from the low frequency (LF) words minus nonwords contrast (Fig. 2D), the LF - nonwords contrast looked more similar to the lexicality contrast (Fig. 1A). We checked for statistical reliability of this pattern by testing for areas showing an interaction between the word frequency contrast and the lexicality contrast (Fig. 2E). Graphs for four representative regions are shown in Fig. 2E, where activation levels for HF, LF, and nonwords are graphed relative to fixation baseline. The left IFJ, which showed activation for words compared to nonwords, here shows activation for LF words compared to either HF or nonwords. The other regions in warm colors all show a different pattern. Bilateral SMG is adjacent to the bilateral AG regions that showed more activation for nonwords than words, and the interaction analysis shows that rather than nonwords being more activated than words relative to baseline, they are instead less deactivated than LF words compared to baseline. HF words showed a very different pattern from LF words, instead closely following the pattern seen for nonwords.

Experiment 2

To test for replication of the results from Experiment 1, and to further explore the possibility that inclusion of relatively unfamiliar, low-frequency words may have driven the unexpected result in Figure 1A, a new sample of participants (N = 11) was scanned in a different scanner at a different institution. Word stimuli were blocked by frequency, with all high-frequency words appearing in the first half of the session, and all low-frequency words appearing in the last half. This was done to determine the influence of word frequency list context and to optimize the design for effective connectivity analysis, as described in the Experimental Procedures section.

Behavioral results—Means for each condition are given in Table 1. This new set of participants showed an RT pattern similar to the previous participants in that words were responded to more quickly than nonwords (itemwise $t_{622} = 11.4$, p < 0.0001). The factorial manipulation of word properties also yielded a pattern of results similar to those from the previous set of participants. High-frequency words were responded to more quickly than low-frequency words ($F_{I,10} = 153.5$, p < 0.0001), and high-imageability words were responded to more quickly than low-imageability words ($F_{I,10} = 23.4$, p < 0.001). The interaction of word frequency and imageability also followed the same pattern as the previous participants, with the effect of imageability being greater for low compared to high frequency words ($F_{I,10} = 19.9$, p < 0.001).

Accuracy rates were also similar to the previous group, with less accurate responses to words than nonwords (t_{10} = 16.3, p < 0.0001). Factorial manipulations for words revealed a higher accuracy for high-frequency compared to low-frequency words ($F_{I,10}$ = 100.9, p < 0.0001), and greater accuracy for high-imageability compared to low-imageability words ($F_{I,10}$ = 187.9, p < 0.0001). There was also a significant interaction between word frequency and imageability ($F_{I,10}$ = 102.7, p < 0.0001), following the pattern described for the RT data.

As in Experiment 1, we calculated the IES as a way of combining RT and accuracy to determine conditions under which participants found lexical decision most difficult. Analysis by items revealed the IES for words to be significantly higher than for pseudowords ($t_{618} = 5.3$, p < 001). ANOVA was performed with median replacement of 4 words in the low-frequency, low-imageability condition because they were not responded to correctly by any of the 11 participants. As with RT and accuracy, there were significant main effects in the expected direction, with performance on high frequency words being better than low frequency words ($F_{1,308} = 44.1$, p < 0.001), and performance on high imageability words being better than low imageability words ($F_{1,308} = 32.4$, p < 0.001). The interaction of word frequency and imageability was also significant in the expected direction ($F_{1,308} = 24.9$, p < 0.001).

Overall, the main effects and interactions are consistent across both participant groups. Although error rates were higher overall for words compared to nonwords, this pattern appears to have been driven by word frequency. When considered separately, high-frequency words had error rates comparable to nonwords, whereas error rates to low-frequency words were considerably higher.

Imaging results—In spite of this experiment being conducted on a different scanner, in a different region of the United States, with different participants compared to Experiment 1, they produced broadly similar results, particularly for the lexicality contrast. Words activated bilateral vOT and lateral occipital regions, along with subcortcal regions and SMA (warm colors in Fig. 3A). Nonwords activated bilateral ATL, AG, medial prefrontal cortex, PC, precuneus, and parahippocampal gyrus (cool colors in Fig. 3A, full coordinates in Table S3).

Contrasts of high-compared to low-frequency words revealed activation for high-frequency words in bilateral superior temporal gyrus, MTG, SMG, SMA, medial occipital, midcingulate, and dorso-lateral prefrontal (DLPFC) cortices, and IPS (Fig. 3B). No areas were activated for low- compared to high-frequency words. Contrasts of high-compared to lowimageability words revealed activation for high-imageability words in areas including bilateral SMG and DLPFC, and right STG and MTG (Fig. S2). No areas were activated for low-compared to high-imageability words.

Blocking the word stimuli by frequency allowed us to test the hypothesis that inclusion of very low frequency, unfamiliar words was driving the engagement of the dorsal attention network or task-positive network for words. Contrasting high-frequency words to nonwords revealed activation for high-frequency words in left middle frontal gyrus, opercular IFG, and MTG, along with bilateral activations in vOT, and IPS. Activations for nonwords included bilateral ventro-medial prefrontal cortices and right PC (Fig. 3C). The contrast of low-frequency words activated several areas including left IFG and anterior insula, vOT, bilateral subcortical structures and SMA. Activations for nonwords included bilateral AG, ATL, dorsal and medial prefrontal cortices, parahippocampal cortex, PC, and precuneus.

To determine areas where these lexicality results are reliably different across levels of word frequency, we tested for areas showing an interaction between levels of word frequency and

the word-nonword (lexicality) contrast. Activation for each condition is graphed relative to the fixation baseline in Fig. 3E. Areas showing an interaction included left-sided IFJ, an activation spanning SMG and AG, IPS, right SMG, and bilateral precuneus. The pattern of activation is broadly similar to that seen in the fully randomized design of Experiment 1 (Fig. 2E), with the exception of an interaction effect in the IPS that was not present in Experiment 1 (cf. Fig. 2E and 3E). The pattern of activation in IPS is graphed for both experiments in Fig. S3. Unlike the other graphs, this shows greater activation for high-frequency words than for low-frequency words and nonwords. However, the prevailing pattern across the other areas where word frequency and lexicality interact is that activation for low frequency words differs from nonwords more than does activation of high frequency words compared to nonwords.

The final analysis reported here examined the role of six major brain areas that are both highlighted by the lexicality contrasts reported here, and are key components of the task-positive network (IFJ, IPS), default-mode network (AG, PC), or possibly both (vOT, SMG). We performed a Bayesian effective connectivity analysis using these ROIs. They were generated based on the resting state data from Experiment 1, as described in the Methods and shown in Fig. 4A. In general, responses to high-frequency words were dominated by bottom-up activation from vOT to areas previously associated with phonological (SMG), semantic (AG) and attentional (IPS) processing (Fig. 4B). Responses to low-frequency words (Fig. 4C), on the other hand, were characterized by relatively more top-down activation from IFJ, PC, and IPS to vOT.

Discussion

An initial fMRI experiment showed an unexpected result when contrasting words with nonwords, in that nonwords activated regions associated in numerous other studies with semantic processing. Words activated a combination of areas broadly corresponding to what has been described as the dorsal attention, task-positive, or multiple-demand (MD) network (including IFJ and SMA), along with vOT, which has been associated both with the dorsal attention network (Vogel, Miezin, Petersen, & Schlaggar, 2012) and reading-specific processes (Dehaene et al., 2010). The set of areas activated for nonwords, on the other hand, broadly corresponded to the default-mode network (Fox et al., 2005). This pattern was confirmed in a follow-up experiment with different participants in a different scanner but with the same stimuli. To test the hypothesis that the reversal of the expected pattern was driven by effects of familiarity or word frequency, high- and low-frequency words were presented in separate halves of the follow-up fMRI experiment. The presence of lowfrequency words did appear to be a major factor determining engagement of areas in the default mode or putative semantic network. This was shown by the pattern of greater activation for low-frequency words compared to both high-frequency words and nonwords in the task-positive regions of the IFJ (Fig. 3E) and IFG (Fig. 2E). High frequency words and nonwords, however, showed similar levels of modest activation in these task-positive areas, and less deactivation compared to low-frequency words in default-mode areas such as the bilateral PC. The bilateral SMG, which is adjacent to but does not entirely overlap either the default-mode or the task-positive network (Humphreys & Lambon Ralph, 2015; Nelson et al., 2010), showed a similar pattern to the one seen in the precuneus, in that across both

experiments, low-frequency words showed more deactivation relative to high-frequency words and nonwords.

Contrasts between levels of word frequency and imageability were performed with the aim of revealing neural components of lexical and semantic processing. Although one of us (WG) has previously performed similar contrasts and interpreted activation for high-frequency and high-imageability words in terms of lexical and semantic processing, it is striking that the areas activated for high-frequency words in the word frequency contrast (Fig. 2A) are largely the same ones activated for meaningless nonwords when contrasted with low-frequency words (Fig. 2D). These areas include many that are often interpreted as carrying out semantic processing, such as the ATL, PC, and precuneus. Activation in the precuneus was also found for high-compared to low-imageability words, again pointing to a diverging pattern of activation in that this putative semantic area activated for both semantically rich (high-imageability words) and semantically impoverished (nonwords) conditions.

One way of interpreting the fMRI data is with respect to the behavioral data (Table 1). Overall, lexical decisions to words seemed to be harder than to nonwords, in that when RT was weighted by proportion of correct responses according to the IES, the weighted RT was significantly longer for words than nonwords. Low-frequency words also had longer RTs and were less accurate overall than high-frequency words. Thus, lexical decisions to lowfrequency words were the most difficult. Corresponding patterns in the fMRI data showed the most activation for the most difficult condition in regions of the task-positive network, and the most deactivation for the most difficult condition in regions of the default-mode network. Altogether, this suggests these areas are responding to difficulty of the task condition rather than, for example, the detailed semantic content associated with the words.

Reconciling difficulty effects with neurocognitive components of reading

A recent study by Taylor et al. (2014) presented a thoughtful and detailed analysis of the issue of how to treat the combination of domain-general and information-specific effects of RT in functional neuroimaging data. Although their focus on RT only covers part of what went into the combination of RT and accuracy for the difficulty effects seen here, their treatment of general effort compared to engagement of particular cognitive processes is highly relevant. They used a reading aloud task with regular words, irregular words, and pseudowords. RT was modeled in their fMRI analyses essentially the same way we have modeled it here. Contrasting words with pseudowords revealed activation for words in left AG, both before and after RT was included as a regressor. This is in line with what is typically seen for this contrast, whereas this area activated for pseudowords in the current study. Pseudowords were also the more difficult condition in their study, whereas words were more difficult in ours. Their basic argument is that accounting for variance in fMRI data due to RT accounts for differences in effort between conditions, which may result from multiple sources both specific and non-specific to reading, and what remains is the neural basis of any cognitive process, or representation, differentially engaged between the conditions being compared.

This framework from Taylor et al. (2014) may be useful for interpreting the current results. While our results are counter to theirs and to what is typically seen when contrasting words with well-formed, pronounceable nonwords, it is in line with previous results across studies for reading words compared to various low-level baselines (Fiez & Petersen, 1998; Turkeltaub, Eden, Jones, & Zeffiro, 2002). Those meta-analyses highlight areas for word reading such as the IFG and vOT, areas found here to be particularly activated for low-frequency words compared to nonwords (Fig. 2D and 3D). Therefore areas known to be involved in word reading may be more highly recruited for more difficult words.

What, then, makes lexical decisions to low-frequency words so difficult? Although we do not yet have a clear answer, we can rule out one possibility. Perhaps low-frequency words are simply unknown to the participants who get them wrong, and are therefore being treated like nonwords. If this were the case, low-frequency words would be expected to have behavioral and neural responses similar to nonwords. That is not the pattern seen in our data. Instead, it is the high frequency words that were more like nonwords. The sole exception to this is in the IPS (Fig. S3), but this was only significant in Experiment 2, so the dependability of the IPS pattern remains to be determined. The overall pattern strongly suggests predominance of difficulty effects, since essentially the only factor high-frequency words and nonwords have in common is that they were less difficult than low-frequency words.

As for why participants have such difficulty with LF words in particular, the two-factor model of lexical decision from Balota & Chumbley (1984) may offer a clue. They considered word frequency as an objective approximation of familiarity. Using familiarity as a basis for setting the lexical decision criterion, HF words are relatively easily classified because they are familiar, and pseudowords are classified as nonwords because they are clearly unfamiliar. In between are LF words. Based on a familiarity criterion alone, responses to LF words should be intermediate between HF words and nonwords, as typically shown by RT data. However, because of their intermediate status between HF words and nonwords, additional non-lexical factors may come into play, perhaps related to the decision component of the lexical decision task. Indeed, the requirement to make a binary decision is common across numerous tasks that may or may not require lexical processing (go/no-go, N-back, etc.), consistent with LF words engaging the multiple-demand network (Duncan, 2010). Thus, the insufficiency of familiarity as a basis for making rapid lexical decisions to LF words may lead to engagement of domain-general decision-related components of the type supported by the multiple-demand network.

In addition to checking for replication of the main effects and interactions, we also conducted the second experiment so we could separate high-from low-frequency words to optimize for effective connectivity analyses. The comparisons described above highlight activation differences across different putative networks; they do not speak to the flow of information between networks. Considering the role of the IFJ and IPS in the task-positive network, AG and PC in the default-mode network, and the potentially intermediate role of vOT and SMG, these regions were chosen for our effective connectivity analyses. Lexical decisions for high-frequency words elicited a generally bottom-up, ventral to dorsal pattern, with activation flowing from vOT to SMG, AG, and IPS. There was, however, a generally

top-down, anterior and dorsal to ventral pattern of activation for the block of low-frequency words, with activation flowing from IPS, PC, and IFJ to vOT. These results provide converging neural evidence supporting explanations of lexical decision, such as by Balota and Chumbley (1984), that an effortful, decision-related component is more involved for low- than high-frequency words, due presumably to the words being relatively unfamiliar. Overall, these patterns suggest the neural basis of the word-nonword distinction in lexical decision can be fundamentally influenced by word frequency. More generally, these findings suggest that results of contrasts typically interpreted in terms of relative semantic content may be more influenced by additional factors related to task difficulty than is widely appreciated.

This apparent preponderance of task difficulty effects leaves open the question of what areas are processing orthography, phonology, and semantics. Although this study was not designed to distinguish the neural basis of these cognitive components of reading, we noted above that many areas that are active for word reading compared to baseline, such as the IFG and vOT, are also part of the MD network, and activated here for words (particularly those of low frequency) compared to nonwords. Many studies have also shown the IFG to be involved in phonological processing (Poldrack et al., 1999; Vigneau et al., 2006), and the vOT in orthographic processing (Dehaene, Cohen, Sigman, & Vinckier, 2005), or perhaps some combination of orthographic and phonological processing (Mano et al., 2013; Yoncheva, Zevin, Maurer, & McCandliss, 2010). No doubt the question of what cognitive components are reflected in the activations for the hard compared to easy condition is related to the question of what makes the low-frequency words in our set more difficult to accept as words than it is to reject the nonwords. Since the high frequency words and meaningless nonwords showed more similar activation than did the low-frequency words and nonwords, semantic information is unlikely to be the primary contributor to this difference. We also ensured the nonwords were pronounceable by constructing them to consist of valid English trigrams, and they did not differ from the words on multiple measures of orthographic typicality. Detailed matching on phonological variables, however, is not straightforward, as there may be multiple acceptable pronunciations for nonwords. If it were the case that lexical decisions could be made using phonological information, or information about orthographyphonology mapping, that would not be inconsistent with an interpretation of the recruitment of IFG and vOT components of the task-positive network for the more difficult condition.

The results call into question the idea that the network of areas found in meta-analyses of lexical decision (McNorgan et al., 2015) and semantics (Binder et al., 2009) to be activated across studies is specifically related to semantic processing. Instead, our findings are more consistent with an interpretation in which activation of task-positive regions such as the IFJ and IPS are balanced against activation of areas associated with the DM network such as the AG and PC. A recent study by Humphreys et al. (2015), however, suggested the semantic and DM networks are at least partially distinct, with the ATL more associated with semantic processing and the AG more with the DM network. Additional work from the same group suggests the ATL plays a central role in semantic processing (Lambon Ralph, 2014). For example, although relatively dorsal areas of the ATL were found here to activate for nonwords, more ventral areas activated in conditions specific to semantics across multiple tasks (Humphreys et al., 2015), and during reading under conditions in which semantics is

predicted to be most relevant (Hoffman, Lambon Ralph, & Woollams, 2015). The more ventral part of the ATL is also suffers from signal dropout in standard echo-planar imaging (Visser, Embleton, Jefferies, Parker, & Lambon Ralph, 2010), which is another reason why the current study does not directly speak to the role of the ventral ATL in semantics.

Our finding of both ATL and AG activation for meaningless nonwords does, however, suggest a trade-off between the MD and DM networks based on relative task demands. Other groups have also hypothesized demand-based trade offs between the MD and DM networks (Fox & Raichle, 2007). For example, when working memory demands and RT increase during parametric modulation, inter-regional correlations within medial frontal nodes of the DM network increase (Hampson, Driesen, Skudlarski, Gore, & Constable, 2006), along with an overall shift away from modularity and toward more coherence within the DM network (Vatansever, Menon, Manktelow, Sahakian, & Stamatakis, 2015). Our results suggest a similar shift away from modularity and toward network-level processing with heightened difficulty effects during word recognition.

Potential Limitations

One concern with dividing the words into two large blocks based on frequency is the potential for non-specific order effects, rather than findings being related to word frequency per se. However, to the extent that activity in the IFJ reflects engagement of attention, general engagement of attention would be expected to be greater at the beginning of the scan than at the end. The fact that task-positive effects from IFJ emerged only for the low-frequency words, in the second half of the experiment, argues against any concerns related to disengagement of attention due to fatigue.

One specific point about the fMRI analyses: The RT regressor values for each trial were zscored to avoid correlation with the binary regressors for each stimulus type. Indeed, this resulted in RT regressors that were never correlated with any other regressor at more than r =0.35. While still modestly correlated, this is well within the acceptable range for multicollinearity in multiple linear regression models (Kutner, Nachtsheim, Neter, & Li, 2005), so that the RT regressor will account for variance most associated with RT, while the regressors for the various stimulus conditions will account for variance most associated with those conditions.

Regarding the task, we should acknowledge that participants were responding essentially at chance for the low frequency/low imageability condition in Exp. 2. This makes neural activity for correct responses in this condition difficult to interpret, as it is unclear whether these were trials on which participants recognized the words or just happened to select the YES response. Additionally, use of a single lexical decision task leaves open the possibility that effects of semantic processing would be different in tasks that, for example, require an explicit semantic judgment. This certainly bears future investigation. There is, however, ample evidence for the influence of semantic variables in lexical decision tasks using wellformed nonwords. Studies have shown effects not only of the imageability variable used here, but also semantic priming (Evans et al., 2012), lexical semantic ambiguity (Borowsky & Masson, 1996; Rodd, Gaskell, & Marslen-Wilson, 2002), and semantic richness (Pexman, Hargreaves, Siakaluk, Bodner, & Pope, 2008).

Interpretation of Deactivations Relative to Baseline

Implicit in the direct contrast between words and nonwords is the idea that direct task comparisons are interpretable even if both conditions deactivate relative to a baseline such as, in this case, silent fixation (as in the PC activations in Fig. 2E and 3E). This raises the question of what is happening during "baseline." Prominent suggestions include semantic processing (Binder & Desai, 2011; Binder et al., 1999), recall of other information such as phonology, syntax, or episodic memory (Cabeza, Ciaramelli, Olson, & Moscovitch, 2008; Humphreys & Lambon Ralph, 2015), or a general idling relative to the task-positive state that involves internal metalizing or largely random associations of thought (Andreasen et al., 1995; Buckner et al., 2008). We propose a dynamic alternative in which, for example, the PC activates for semantic processing under conditions of minimal task difficulty (or at least does not activate for nonwords more than high frequency words) but can be deactivated even for meaningful compared to non-meaningful stimuli when stimulus characteristics make the task difficult.

Conclusion

The distinction between words and well-formed, pronounceable nonwords is thought to primarily arise from the fact that the word condition consists of meaningful strings and the nonword condition does not. This distinction has been a major factor in mapping brain areas thought to be related to semantic processing. Our results suggest that the neural basis of this fundamental distinction between words and nonwords can be altered by stimulus difficulty effects. Specifically, the finding that areas such as the AG and PC that feature prominently in the putative semantic network can be more active for nonwords than words calls into question the role of these areas in semantic processing. Overall, our results suggest that information-processing accounts may only partly explain neuroimaging data, while effects of task difficulty exert a greater influence than previously appreciated.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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B: Comparison of activation for nonwords with previous semantics meta-analysis



Figure 1.

Correspondence between task-based and resting-state fMRI data. Panel A shows a direct contrast between words (warm colors) and nonwords (cool colors). Panel B shows overlapping (yellow) and separate maps of significant findings from the semantics meta-analysis (orange; Binder et al., 2009) and activations for nonwords from Experiment 1 (red). Left-hemisphere only shown here.



Figure 2.

Experiment 1 contrasts for high minus low frequency words (A), high minus low imageability words (B). Separate contrasts are also shown for high frequency words minus nonwords (C) and low-frequency words minus nonwords (D). Panel E shows the interaction of word block type (high or low frequency words) with the lexicality contrast, with parameter estimates graphed for four representative ROIs.



Figure 3.

Experiment 2 lexicality (word – nonword) contrasts for all stimuli together (A), high minus low frequency words (B), high-frequency words and the nonword background against which they appeared (C), and low-frequency words with their nonword background (D). Panel E shows the interaction of word block type (high or low frequency words) with the lexicality contrast, with parameter estimates graphed for four representative ROIs.

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B: High-frequency word blocks





Figure 4.

Effective connectivity analysis results for ROIs (A) within high-frequency word trials (B) and low-frequency word trials (C). Note the generally top-down (anterior to posterior) direction of connectivity for the low-frequency words compared to the primarily bottom-up (posterior to anterior) direction of connectivity for the high-frequency words.

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Table 1

performance measures in both experiments. The sole exception was the lexicality effect for Experiment 1 as measured by IES, which was significant at p Means of performance measures for each condition in both experiments. Main effects of lexicality, word frequency, and imageability were all significant at p < 0.001, as described in the Results section. The interaction of word frequency and imageability was also significant at p < 0.001 by all three < 0.01. RT = response time, ms = milliseconds.

I	Experime	nt 1, RT	(ms)			Experim	ent 2, RT ((ms)	
		Word I	requency				Word F1	requency	
		High	Low	Mean			High	Low	Mean
Image-ability	High	695.7	754.0	724.8	Image-ability	High	1210.0	1342.5	1276.3
	Low	727.8	859.2	2.597.5		Low	1231.7	1444.1	1337.9
	Mean	711.8	806.6			Mean	1220.9	1393.3	
Overall mean fo	or words			748.5	Overall mean fo	or words			1287.2
Exp	eriment	1, Accura	acy (%)		ExI	periment	2, Accura	icy (%)	
		Word F	requency				Word Fr	equency	
		High	Low	Mean			High	Low	Mean
Image-ability	High	96.3	91.5	93.9	Image-ability	High	93.7	82.4	88.1
	Low	91.4	64.6	78.0		Low	89.0	44.6	66.8
	Mean	93.8	78.0			Mean	91.4	63.5	
Overall mean fo	or words			85.9	Overall mean fo	or words			77.4
Experim	ent 1, Inv	erse Effi	ciency Sco	Ire	Experim	ient 2, In	verse Effic	ciency Sco	re
		Word F	requency				Word Fr	equency	
		High	Low	Mean			High	Low	Mean
Image-ability	High	724.0	834.4	779.2	Image-ability	High	1301.9	1810.4	1556.1
	Low	828.3	2116.0	1472.1		Low	1520.6	5302.7	3361.9
	Mean	776.2	1475.2			Mean	1411.2	3510.6	
Overall mean fo	or words			1125.7	Overall mean fo	or words			2447.3
ExI	periment	1, Pseud	owords		Ex	periment	2, Pseudo	words	
Mean RT (ms)				811.0	Mean RT (ms)				1350.2
Mean Accuracy	y (%)			94.4	Mean Accuracy	(%)			91.4
Mean Inverse E	Ifficiency	Score		872.0	Mean Inverse E	fficiency	Score		1506.1