

MODELS OF $\text{Th}(\langle\omega^\omega, \langle\rangle\rangle)$

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In this paper* we characterize the models of $\text{Th}(\langle\omega^\omega, \langle\rangle\rangle)$. Our main tool will be the game-theoretic characterization of elementary equivalence given by Ehrenfeucht in [2] (*cf.* also Fraïssé [3]). In particular our work may be viewed as a generalization of Theorem 13 in [2] which gives a characterization of the standard, i.e., well-ordered, models of $\text{Th}(\langle\omega^\omega, \langle\rangle\rangle)$.

The main result, Theorem 3 of section 2, is that a model of $\text{Th}(\langle\omega^\omega, \langle\rangle\rangle)$ consists of an ultrashort model of $\text{Th}(\langle\omega^\omega, \langle\rangle\rangle)$ followed by at each point of an arbitrary linear order ultrashort models of $\text{Th}(\langle\omega^\omega, \langle\rangle\rangle)$ or of $\text{Th}(\langle \dots + \omega^n + \omega^{n-1} + \dots + \omega + 1 + \omega^\omega, \langle\rangle\rangle)$, where by an ultrashort model is meant one such that for any two points x, y there is an upper bound on n such that if z is between x and y , z may be a lim_n . In Theorems 1 and 2 of section 2 we characterize ultrashort models of these two theories in terms of models of $\text{Th}(\langle\omega^n, \langle\rangle\rangle)$. In section 1 we characterize models of $\text{Th}(\langle\omega^n, \langle\rangle\rangle)$. In section 3 we discuss short models, namely models having no elements which are lim_n for every n . In section 4 we briefly discuss how the techniques of section 2 can be used to classify the completions of the theory of well-ordering and the element types of $\text{Th}(\langle\omega^\omega, \langle\rangle\rangle)$.

We will assume the reader is familiar with the results and techniques in Ehrenfeucht [2]. In particular we will freely use these without further reference or mention. Several lemmas, in particular Lemmas 6, 7, 8 essentially appear in [4]. We include them for completeness and self-containment.

Our notation in general will follow that suggested in Addison, Henkin and Tarski [1]. The games \mathbf{G}_n are as denoted in Ehrenfeucht [2]. We now briefly indicate our notation for linearly ordered sets:

Ordinals will be denoted as usual.

Usually if it is clear specific mention of the linear order of a linearly ordered set will be omitted.

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If A, B are linearly ordered by \langle_A, \langle_B respectively, then $A + B$ denotes $A \cup B$ linearly ordered by \langle_{A+B} where $x \langle_{A+B} y \leftrightarrow (x \in A \wedge y \in B) \vee (x, y \in A \wedge x \langle_A y) \vee (x, y \in B \wedge x \langle_B y)$. (We assume A, B are disjoint. Otherwise, they should first be made disjoint. Henceforth we will assume as needed that sets are disjoint).

More generally if A is linearly ordered by \langle_A , and if for each $a \in A, A_a$ is linearly ordered by \langle_a , then $\sum_{a \in A} A_a$ denotes $\bigcup_{a \in A} A_a$ linearly ordered by $\langle_{\sum A_a}$ where $x \langle_{\sum A_a} y \leftrightarrow (x \in A_a \wedge y \in A_b \wedge a \langle_A b) \vee (x, y \in A_a \wedge x \langle_a y)$.

If A, B are linearly ordered by \langle_A, \langle_B respectively, then $A \times B$ denotes $\sum_{x \in B} A$.

If A is linearly ordered by \langle_A , then A^* denotes A linearly ordered by \langle_{A^*} where $x \langle_{A^*} y$ if $y \langle_A x$.

$$\begin{aligned} \sum_{a \in A}^* A_a &= \sum_{a \in A^*} A_a. \\ \omega^{\omega^*} &= \sum_{n \in \omega}^* \omega^n. \\ \omega^{\omega^* + \omega} &= \omega^{\omega^*} + \omega^\omega \end{aligned}$$

If A is a linearly ordered set, $a, b \in A$, then

$$\begin{aligned} [a, b) &= b - a = \{x \in A \mid a \leq x < b\} \\ [0, b) &= b = \{x \in A \mid x < b\} \\ [a, \infty) &= A - a = \{x \in A \mid a \leq x\}. \end{aligned}$$

(a, b) , etc. are denoted similarly.

If $a, b \in A, B$, then we write $[a, b)^A, [a, b)^B$, etc. to distinguish these intervals in A and B .

\mathfrak{n} = class of all discrete linear ordered sets with first and last elements. We identify order isomorphic elements.

$$\mathfrak{n}_0 = \mathfrak{n} \cup \{\emptyset\}.$$

\mathfrak{n}_0 is partially ordered by $<$ given by $A < B$ iff $(\exists f)$ (f : A 1-1 order isomorphically onto an initial segment of B). So ω is an initial segment of \mathfrak{n}_0 .

If φ is any sentence in the first order language for $<$ and $\psi(x_0)$ is a formula (perhaps with parameters) then $\varphi^{\psi(x_0)}$ is φ relativized to $\psi(x_0)$.

Definition: $\lim_0(x) =_{df} (x = x)$
 $\lim_{m+1}(x) =_{df} (\forall y)(y < x \rightarrow (\exists z)(y < z < x \wedge \lim_m(z)))$
 $x = 0 =_{df} \neg(\exists y)(y < x)$
 $\mathfrak{t} =_{df} \{\lim_n(x_0) \mid n \in \omega\}$
 $\bar{\mathfrak{t}} =_{df} \mathfrak{t} \cup \{x_0 \neq 0\}$

1 Models of $\text{Th}(\langle \omega^n, \langle \rangle)$. As is well-known:

Proposition 1. $\langle \eta, \langle \rangle \models \text{Th}(\langle \omega, \langle \rangle)$ iff $\exists \langle r, \langle \rangle$ a linearly ordered set (possibly empty) such that $\eta = \omega + (*\omega + \omega) \cdot r$.

Proof: Omitted.

Proposition 2. $\langle \eta, \langle \rangle \models \text{Th}(\langle \omega^{n+1}, \langle \rangle)$ iff $\exists \langle \mu, \langle \rangle \models \text{Th}(\langle \omega, \langle \rangle), \forall \alpha \in \mu, \exists \langle \mu_\alpha, \langle \rangle \models \text{Th}(\langle \omega^n, \langle \rangle)$ such that $\eta = \sum_{\alpha \in \mu} \mu_\alpha$.

Proof: Assume $\langle \eta, \langle \rangle \models \text{Th}(\langle \omega^{n+1}, \langle \rangle)$.

Now 1) $\langle \omega^{n+1}, \langle \rangle \models \varphi^{\lim_n(x_0)}$ for each $\varphi \in \text{Th}(\langle \omega, \langle \rangle)$,

2) $\langle \omega^{n+1}, \langle \rangle \models \forall x \forall y ((\lim_n(x) \wedge \lim_n(y) \wedge x < y \wedge (\forall z)(x < z < y \rightarrow \neg \lim_n(z))) \rightarrow \varphi^{x \leq x_0 < y})$ for each $\varphi \in \text{Th}(\langle \omega^n, \langle \rangle)$,

and 3) $\langle \omega^{n+1}, \langle \rangle \models \forall x \exists y (y \leq x \wedge \lim_n(y) \wedge \neg(\exists z)(y < z \leq x \wedge \lim_n(z)))$.

So $\langle \eta, \langle \rangle \models$ the sentences in 1), 2), 3). Let

$$\mu = \{\alpha \in \eta \mid \langle \eta, \langle \rangle \models \lim_n(x_0) [\alpha]\}.$$

And for each $\alpha \in \mu$, let

$$\mu_\alpha = \{\beta \in \eta \mid \alpha \leq \beta \wedge \langle \eta, \langle \rangle \models \neg(\exists z)(x_0 < z \leq x_1 \wedge \lim_n(z)) [\alpha, \beta]\}.$$

Then by 1), $\langle \mu, \langle \rangle \models \text{Th}(\langle \omega, \langle \rangle)$ and by 2), $\langle \mu_\alpha, \langle \rangle \models \text{Th}(\langle \omega^n, \langle \rangle)$ and clearly $\eta = \sum_{\alpha \in \mu} \mu_\alpha$ by 3).

Conversely, assume the conclusion. So player II has a winning strategy in $\mathbf{G}_m(\langle \omega, \langle \rangle, \langle \mu, \langle \rangle)$ and in $\mathbf{G}_m(\langle \omega^m, \langle \rangle, \langle \mu_\alpha, \langle \rangle)$, $\forall \alpha \in \mu$, for every $m \geq 0$.

We give a winning strategy for II in $\mathbf{G}_m(\langle \omega^{n+1}, \langle \rangle, \langle n, \langle \rangle)$. Given a move of I, II chooses which ' ω^n ' segment of model to use by winning strategy in the first game and then which point in it to use by winning strategy in the appropriate latter game.

2 Main Theorems:

Definition: A model of $\text{Th}(\langle \omega^\omega, \langle \rangle)$ or of $\text{Th}(\langle \omega^{\omega^*+\omega}, \langle \rangle)$ which omits $\bar{\mathfrak{t}}$ is called a *short* model.

If $\langle \eta, \langle \rangle \models \text{Th}(\langle \omega^\omega, \langle \rangle)$ or $\models \text{Th}(\langle \omega^{\omega^*+\omega}, \langle \rangle)$, it is called *ultrashort* if $\forall x, y \in \eta, (x < y \rightarrow (\exists n)(\forall z)(x < z \leq y \rightarrow \neg \lim_n(z)))$.

Clearly any ultrashort model is short.

Theorem 1. $\langle \eta, \langle \rangle$ is an ultrashort model of $\text{Th}(\langle \omega^\omega, \langle \rangle)$ iff \exists for each $n \in \omega$ a model $\langle \eta_n, \langle \rangle \models \text{Th}(\langle \omega^n, \langle \rangle)$ such that $\eta = \sum_{n \in \omega} \eta_n$.

Theorem 2. $\langle \eta, \langle \rangle$ is an ultrashort model of $\text{Th}(\langle \omega^{\omega^*+\omega}, \langle \rangle)$ iff

\exists 1) for each $n \in \omega$ a $\mu_n \in \mathfrak{n}_0$ such that infinitely many $\mu_n \neq 0$,

2) for each $y \in \mu_n$ a model $\langle \eta_{n,y}, \langle \rangle \models \text{Th}(\langle \omega^n, \langle \rangle)$,

3) a η' an ultrashort model of $\text{Th}(\langle \omega^\omega, \langle \rangle)$ such that $\eta = \sum_{n \in \omega}^* \sum_{y \in \mu_n} \eta_{n,y} + \eta'$.

Theorem 3. $\langle \eta, \langle \rangle$ is a model of $\text{Th}(\langle \omega^\omega, \langle \rangle)$ iff

\exists 1) linearly ordered set μ (possibly empty),

2) for each $y \in \mu$, an ultrashort model $\langle \eta_y, \langle \rangle$ of $\text{Th}(\langle \omega^\omega, \langle \rangle)$ or of $\text{Th}(\langle \omega^{\omega^*+\omega}, \langle \rangle)$,

3) an ultrashort model $\langle \eta', \langle \rangle$ of $\text{Th}(\langle \omega^\omega, \langle \rangle)$ such that $\eta = \eta' + \sum_{y \in \mu} \eta_y$.

The proofs of these three results will be by a sequence of lemmas. We first consider the \rightarrow directions.

Lemma 1. $\langle \omega^\omega, \langle \rangle$ and hence any model of $\text{Th}(\langle \omega^\omega, \langle \rangle)$ satisfies the following sentences:

a) $(\exists x)(\forall y)(y \geq x)$

b) $(\forall x)(\exists y)(y > x \wedge \lim_n(y) \wedge \neg(\exists z)(x < z < y \wedge \lim_n(z)))$

- c) $(\forall x)(\forall y)((y > x \wedge \lim_n(y) \wedge \neg(\exists z)(x < z < y \wedge \lim_n(z))) \rightarrow \varphi^{x \leq x_0 < y})$ for every $\varphi \in \text{Th}(\langle \omega^n, \triangleleft \rangle)$
 d) $(\forall x)(\exists y)(y \leq x \wedge \lim_n(y) \wedge (\forall z)(y < z \leq x \rightarrow \neg \lim_n(z)))$
 e) $(\forall x)(\forall y)((x < y \wedge \lim_n(x) \wedge \lim_n(y) \wedge \neg(\exists z)(x < z \leq y \wedge \lim_{n+1}(z))) \rightarrow \varphi^{x \leq x_0 < y \wedge \lim_n(x_0)})$ for every $\varphi \in \text{Th}(\mathfrak{n})$.

Lemma 2. $\langle \omega^{\omega^{*+\omega}}, \triangleleft \rangle$ and hence any model of $\text{Th}(\langle \omega^{\omega^{*+\omega}}, \triangleleft \rangle)$ satisfies the following sentences:

- a) $(\forall x)(\exists y)(y < x)$,
 b)-e) of Lemma 1.

Proofs: Routine.

Lemma 3. \rightarrow of Theorem 1.

Proof: Let $\langle \eta, \triangleleft \rangle$ be an ultrashort model of $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$. Let $x_0 = 0$. By induction define $x_{n+1} = \text{least } \lim_n > x_n$. Such exist by 1b). By 1c), $\langle [x_i, x_{i+1}), \triangleleft \rangle \models \text{Th}(\langle \omega^i, \triangleleft \rangle)$. By the definition of ultrashort, $\eta = \sum_{i \in \omega} [x_i, x_{i+1})$.

Lemma 4. \rightarrow of Theorem 2.

Proof: Let $\langle \eta, \triangleleft \rangle$ be an ultrashort model of $\text{Th}(\langle \omega^{\omega^{*+\omega}}, \triangleleft \rangle)$. Let $y_0 = z_0 \in \eta$. By induction define $y_{n+1} = \text{greatest } \lim_{n+1} \leq y_n$. Such exist by 2d). By induction define $x_{n+1} = \text{least } \lim_n > z_n$. Such exist by 2b). By 2a) infinitely many of y_i are distinct. Let $\mu_n = \{a \mid y_{n+1} \leq a < y_n \wedge \lim_n(a)\}$. So infinitely many $\mu_n \neq \emptyset$ and by 2e), $\mu_n \in \mathfrak{n}_0$. For each $y \in \mu_n$, let $\eta_{n,y} = [y, y')$ where y' is least $\lim_n > y$. By 2c) $\langle \eta_{n,y}, \triangleleft \rangle \models \text{Th}(\langle \omega^n, \triangleleft \rangle)$. Also $[y_{n+1}, y_n) = \sum_{y \in \mu_n} \eta_{n,y}$. And $(0, y_0) = \sum_{n \in \omega}^* [y_{n+1}, y_n) = \sum_{n \in \omega}^* \sum_{y \in \mu_n} \eta_{n,y}$. Let $\eta_n = [z_n, z_{n+1})$. By 2c), $\langle \eta_n, \triangleleft \rangle \models \text{Th}(\langle \omega^n, \triangleleft \rangle)$. And $[z_0, \infty) = \sum_{n \in \omega} \eta_n$. So by Theorem 1, $\eta' = [z_0, \infty)$ is an ultrashort model of $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$. Now $\eta = (0, y_0) + [z_0, \infty) = \sum_{n \in \omega}^* \sum_{y \in \mu_n} \eta_{n,y} + \eta'$.

Lemma 5. \rightarrow of Theorem 3.

Proof: Let $\langle \eta, \triangleleft \rangle$ be a model of $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$. On η define $a \approx b$ if $(\exists n)(\exists x)(a < x \leq b \rightarrow \neg \lim_n(x))$ for $a < b$. If $a > b$, define $a \approx b$ if $b \approx a$. And define $a \approx a$. So \approx is an equivalence relation.

By 1a), $\tilde{\eta}$ has a least element $\tilde{0}$. Let $\mu = \tilde{\eta} - \{\tilde{0}\}$. As in Lemma 3, $\tilde{0} = \sum_{i \in \omega} \eta_i$ where $\langle \eta_i, \triangleleft \rangle \models \text{Th}(\langle \omega^i, \triangleleft \rangle)$ and hence is an ultrashort model of $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$ by Theorem 1. If $x \in \mu$ then either x realizes \mathfrak{t} or not. If so arguing similarly to Lemma 3 we find $x = \sum_{i \in \omega} \eta_{i,x}$ where $\langle \eta_{i,x}, \triangleleft \rangle \models \text{Th}(\langle \omega^i, \triangleleft \rangle)$ and hence x is ultrashort model of $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$. On the other hand if x does not realize \mathfrak{t} , x has no least element and arguing similarly to Lemma 4 we find $x = \sum_{n \in \omega}^* \sum_{y \in \mu_{n,x}} \eta_{n,x,y} + \eta'$ where $\mu_{n,x} \in \mathfrak{n}_0$, infinitely many are $\neq \emptyset$, $\langle \eta_{n,x,y}, \triangleleft \rangle \models \text{Th}(\langle \omega^n, \triangleleft \rangle)$, $\langle \eta', \triangleleft \rangle$ is ultrashort model of $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$. And hence x is ultrashort model of $\text{Th}(\omega^{\omega^{*+\omega}}, \triangleleft \rangle)$ by Theorem 2. As $\eta = \tilde{0} + \sum_{x \in \mu} x$, we are done.

Lemmas 1-5 may be viewed as giving a means of partitioning models of these theories. The theorems assert any model which can be partitioned in such a manner is a model of the theory in question.

Lemma 6. If player II has a winning strategy in $G_n(\langle \alpha_x, \langle \rangle, \langle \beta_x, \langle \rangle \rangle)$ for every $x \in \gamma$, then II has a winning strategy in $G_n(\langle \sum_{x \in \gamma} \alpha_x, \langle \rangle, \langle \sum_{x \in \gamma} \beta_x, \langle \rangle \rangle)$.

Proof. Player II's winning strategy is: If on some move player I chooses a point in α_x (or β_x), then player II uses his winning strategy in $G_n(\langle \alpha_x, \langle \rangle, \langle \beta_x, \langle \rangle \rangle)$ to give his move.

Corollary. If $\alpha_x \equiv \beta_x, \forall x \in \gamma$, then $\sum_{x \in \gamma} \alpha_x \equiv \sum_{x \in \gamma} \beta_x$.

Lemma 7. If player II has a winning strategy in $G_n(\langle \gamma, \langle \rangle, \langle \delta, \langle \rangle \rangle)$ and if player II has a winning strategy in $G_n(\langle \alpha_x, \langle \rangle, \langle \beta_y, \langle \rangle \rangle)$ for every $x \in \gamma, y \in \delta$, then II has a winning strategy in

$$G_n(\langle \sum_{x \in \gamma} \alpha_x, \langle \rangle, \langle \sum_{y \in \delta} \beta_y, \langle \rangle \rangle).$$

Proof. Player II's winning strategy is: If on some move player I chooses a point $y \in \alpha_x$, then player II uses his winning strategy in $G_n(\langle \gamma, \langle \rangle, \langle \delta, \langle \rangle \rangle)$ assuming a move by I of x to give a point $x' \in \delta$ and his winning strategy in $G_n(\langle \alpha_x, \langle \rangle, \langle \beta_{x'}, \langle \rangle \rangle)$ assuming a move by I of y to give a point $y' \in \beta_{x'}$. II then plays as his move y' .

Similarly if player I chooses a point $y \in \beta_x$, then player II selects a point $x' \in \gamma$ and then a point $y' \in \alpha_{x'}$. II's move then will be y' .

Corollary. If $\gamma \equiv \delta, \alpha_x \equiv \beta_y, \forall x \in \gamma \forall y \in \delta$, then $\sum_{x \in \gamma} \alpha_x \equiv \sum_{y \in \delta} \beta_y$.

Corollary. If $\gamma \equiv \delta, \alpha \equiv \beta$, then $\alpha \times \gamma \equiv \beta \times \delta$.

Lemma 8. If player II has a winning strategy in $G_n(\langle \alpha_i, \langle \rangle, \langle \beta_i, \langle \rangle \rangle)$ for $i = 1, 2$, then II has a winning strategy in $G_{n+1}(\langle \alpha_1 + 1 + \alpha_2, \langle \rangle, \langle \beta_1 + 1 + \beta_2, \langle \rangle \rangle)$ after the initial move $0 \leftrightarrow 0$. (Note $1 = \{0\}$.)

Proof: Player II's winning strategy is on each segment to use his given winning strategies. I.e., if I chooses a point in an α , player II responds in other α using winning strategy in $G_n(\langle \alpha_1, \langle \rangle, \langle \alpha_2, \langle \rangle \rangle)$. And similarly for β .

Lemma 9. Player II has a winning strategy in $G_n(\langle \alpha, \langle \rangle, \langle \beta, \langle \rangle \rangle)$ if $\alpha, \beta \in n, \alpha, \beta \geq 2^n - 1$.

Proof: By induction on n . $n = 1$ is trivial. Assume the result for $n = k$. Let $\alpha, \beta \in n, \alpha, \beta \geq 2^{k+1} - 1$. We give player II's winning strategy for $G_{k+1}(\langle \alpha, \langle \rangle, \langle \beta, \langle \rangle \rangle)$. Without loss of generality player I's first move is in α . Say it is x_0 .

Case 1: $x_0 < 2^k - 1$. By induction, as $\alpha - x_0, \beta - x_0 \geq 2^k - 1$, player II has winning strategy in $G_k(\langle \alpha - x_0, \langle \rangle, \langle \beta - x_0, \langle \rangle \rangle)$. Also II has winning strategy in $G_k(\langle x_0, \langle \rangle, \langle y_0, \langle \rangle \rangle)$. So by Lemma 8 if II responds with x_0 in β , then II has winning strategy in $G_{k+1}(\langle \alpha, \langle \rangle, \langle \beta, \langle \rangle \rangle)$.

Case 2: $\alpha - x_0 < 2^k - 1$. Player II responds with $\beta - (\alpha - x_0)$, i.e., with the point $\alpha - x_0 \leq$ the last element of β . This case is similar to 1.

Case 3: Neither Case 1 nor Case 2. Player II responds with $2^k - 1$ (or any other element $y_0 \in \beta$ such that $y_0 \geq 2^k - 1, \beta - y_0 \geq 2^k - 1$). By induction player II has winning strategies in $G_k(\langle x_0, \langle \rangle, \langle y_0, \langle \rangle \rangle)$ and $G_k(\langle \alpha - x_0, \langle \rangle, \langle \beta - y_0, \langle \rangle \rangle)$. So by Lemma 8 we are done.

Notation: If $\alpha, \beta \in \mathbf{n}_0$, we write $\alpha \stackrel{n}{=} \beta$ to denote $\alpha = \beta$ or $\alpha, \beta \geq 2^n - 1$.

Lemma 10: *Player II has a winning strategy in*

$$\mathbf{G}_n(\langle \sum_{x \in \alpha} \alpha_x, \langle \rangle, \langle \sum_{x \in \beta} \beta_x, \langle \rangle \rangle)$$

where

- i) $\alpha, \beta \in \mathbf{n}, \alpha \stackrel{n}{=} \beta$,
- ii) $\langle \alpha_x, \langle \rangle, \langle \beta_y, \langle \rangle \rangle \models \text{Th}(\langle \omega^m, \langle \rangle \rangle), \forall x \in \alpha, y \in \beta$.

Proof: By Lemmas 7 and 9.

Remark: By combining the techniques of Lemma 8 and Theorem 12 in [2], one can, in fact, obtain:

Lemma 11. *Player II has a winning strategy in*

$$\mathbf{G}_n(\langle \sum_{x \in \alpha} \alpha_x, \langle \rangle, \langle \sum_{x \in \beta} \beta_x, \langle \rangle \rangle)$$

where

- i) $m < n$,
- ii) $\alpha, \beta \in \mathbf{n}, \alpha \stackrel{n-m}{=} \beta$,
- iii) $\langle \alpha_x, \langle \rangle, \langle \beta_y, \langle \rangle \rangle \models \text{Th}(\langle \omega^m, \langle \rangle \rangle), \forall x \in \alpha, y \in \beta$.

Lemma 12. *Player II has a winning strategy in*

$$\mathbf{G}_n(\sum_{0 \leq i \leq k}^* \omega^i \cdot n_i, \sum_{0 \leq i \leq k}^* \sum_{x \in \mu_i} \eta_{x,i})$$

where

- i) $k < n$,
- ii) $\mu_i \in \mathbf{n}_0, n_i \in \omega$,
- iii) $\langle \eta_{x,i}, \langle \rangle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle \rangle)$,
- iv) $n_i \stackrel{n}{=} \mu_i$ ($n_i \stackrel{n-i}{=} \mu_i$ with Lemma 11).

Proof: By Lemmas 6 and 10.

Lemma 13. *Player II has a winning strategy in*

$$\mathbf{G}_n(\sum_{0 \leq i \leq k}^* \omega^i \cdot n_i, \sum_{0 \leq i \leq k}^* \sum_{x \in \mu_i} \eta_{x,i})$$

where

- i) $k, k' \in \omega, k, k' \geq n$.
- ii) $n_i \in \omega, \mu_i \in \mathbf{n}_0, n_k \neq 0, \mu_{k'} \neq 0$,
- iii) $\langle \eta_{x,i}, \langle \rangle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle \rangle)$,
- iv) $\forall i < n, n_i \stackrel{n}{=} \mu_i$.

Proof: By induction on n .

Case 1: $n = 1$ trivial.

Case 2: Let $n \geq 2$. Assume the result for $n - 1$. Let k, k' , etc. be as above. Let

$$\alpha = \sum_{0 \leq i \leq k}^* \omega^i \cdot n_i, \beta = \sum_{0 \leq i \leq k'}^* \sum_{x \in \mu_i} \eta_{x,i}$$

Case a: On move 1 player I chooses an element $a \in \alpha$.

Case ai: $a < \omega^n$. Say

$$a = \sum_{0 \leq i \leq l}^* \omega^i \cdot k_i \text{ where } l < n, k_i < \omega, k_l \neq 0.$$

As $\langle \eta_{0,k'}, \langle \rangle \models \text{Th}(\langle \omega^{k'}, \langle \rangle)$,

$$\exists b \in \eta_{0,k'} \text{ such that } \langle a, \langle \rangle \equiv \langle b, \langle \rangle.$$

$$\text{Also } \langle \omega^k - (a + 1), \langle \rangle \equiv \langle \omega^k, \langle \rangle \text{ and } \langle \eta_{0,k'} - (b + 1), \langle \rangle \equiv \langle \omega^{k'}, \langle \rangle.$$

Let b be II's move. Then $\langle a - (a + 1), \langle \rangle$ and $\langle \beta - (b + 1), \langle \rangle$ meet the conditions of the lemma for $n - 1$. So by Lemma 8, II has winning strategy in $\mathbf{G}_n(\langle a, \langle \rangle, \langle \beta, \langle \rangle)$.

Case aii: $a - a < \omega^n$. Say

$$a - a = \sum_{0 \leq i \leq l}^* \omega^i \cdot k_i \text{ where } l < n, k_i < \omega.$$

By the definition of a , $k_l \leq n_l$, $k_i = n_i$ if $i < l$. Let

$$a' = a - \left(\sum_{l < i \leq k}^* \omega^i \cdot n_i + \omega^l \cdot (n_l - k_l) \right).$$

So $a' < \omega^l$. Say

$$a' = \sum_{0 \leq i < l}^* \omega^i \cdot j_i.$$

Let

$$k'_l \in \mu_l \text{ be defined by } k'_l = \begin{cases} n_l - k_l & \text{if } n_l - k_l < 2^n - 1, \\ \mu_l - k_l & \text{if } k_l < 2^n - 1, \\ 2^n - 1 & \text{otherwise.} \end{cases}$$

Let

$$b = \sum_{l < i \leq k}^* \sum_{x \in \mu_i} \eta_{x,i} + \sum_{x < k'_l} \eta_{x,l} + \sum_{0 \leq i < l}^* \sum_{x < j_i} \mu_{i,x}$$

where $\langle \mu_{i,x}, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle)$ and $\sum_{0 \leq i < l}^* \sum_{x < j_i} \mu_{i,x}$ is an initial segment of $\eta_{k'_l, l}$.

Then $\langle a, \langle \rangle, \langle b, \langle \rangle$ satisfy the conditions of the lemma for $n - 1$, and $\langle a - (a + 1), \langle \rangle, \langle \beta - (b + 1), \langle \rangle$ satisfy the conditions of Lemma 12 for $n - 1$. So by Lemma 8, II has winning strategy in $\mathbf{G}_n(\langle a, \langle \rangle, \langle \beta, \langle \rangle)$.

Case aiii: Neither case ai nor aii. Say

$$a = \sum_{0 \leq i \leq l}^* \omega^i \cdot k_i \text{ where } k_l \neq 0.$$

So $l \geq n$. Let

$$b = \sum_{x < 2^{n-1}} \mu_{n-1,x} + \sum_{i < n-1}^* \sum_{x < k_i} \mu_{i,x}$$

where b is initial segment of β , $\langle \mu_{i,x}, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle)$ for $i < n$. Then $\langle a, \langle \rangle, \langle b, \langle \rangle$ satisfy the conditions of the lemma for $n - 1$, and $\langle a - (a + 1), \langle \rangle, \langle \beta - (b + 1), \langle \rangle$ satisfy the conditions of the lemma for $n - 1$. So by Lemma 8, II has winning strategy in $\mathbf{G}_n(\langle a, \langle \rangle, \langle \beta, \langle \rangle)$.

Case b: On move 1 player I chooses an element $b \in \beta$.

Case bi: There is no $\lim_n \leq b$. Say

$$b = \sum_{0 \leq i \leq l}^* \sum_{x \in \mu_i} \eta_{x,i} \text{ where } \langle \eta_{x,i}, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle), l < n.$$

Let

$$n_i = \begin{cases} \mu_i & \text{if } \mu_i < 2^n - 1, \\ 2^n - 1 & \text{otherwise.} \end{cases}$$

Let $a = \sum_{0 \leq i \leq l}^* \omega^i \cdot n_i$. Then if a is II's move, II has winning strategy in $\mathbf{G}_n(\langle \alpha, \langle \rangle, \langle \beta, \langle \rangle \rangle)$ by induction (as $\langle \alpha, \langle \rangle, \langle b, \langle \rangle \rangle$ satisfy conditions of Lemma 12 for $n - 1$ and $\langle \alpha - (a + 1), \langle \rangle, \langle \beta - (b + 1), \langle \rangle$ satisfy conditions of lemma for $n - 1$).

Case bii: There is no $\text{lim}_n \geq b$. Say

$$\beta - b = \sum_{0 \leq i \leq l}^* \sum_{x \in \mu'_i} \eta_{x,i} \text{ where } l < n.$$

By definition of β , $\mu'_i = \mu_i$ if $i < l$, $\mu'_l \leq \mu_l$. Let

$$b' = b - \left(\sum_{l < i \leq k}^* \sum_{x \in \mu_i} \eta_{x,i} + \sum_{x \in \mu_l - \mu'_l} \eta_{x,l} \right).$$

So b' has no lim_l . Say

$$b' = \sum_{0 \leq i < l}^* \sum_{x \in \tilde{\mu}_i} \tilde{\eta}_{x,i} \text{ where } \tilde{\mu}_i \in \mathfrak{n}_0, \langle \tilde{\eta}_{x,i}, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle).$$

Let

$$k_l \leq n_l \text{ be defined by } k_l = \begin{cases} \mu_l - \mu'_l & \text{if } \mu_l - \mu'_l < 2^n - 1, \\ n_l - \mu'_l & \text{if } \mu'_l < 2^n - 1, \\ 2^n - 1 & \text{otherwise.} \end{cases}$$

Let

$$a = \sum_{l < i \leq k}^* \omega^i \cdot n_i + \omega^l \cdot k_l + \sum_{0 \leq i < l}^* \omega^i \cdot j_i$$

$$\text{where } j_i = \begin{cases} \tilde{\mu}_i & \text{if } \tilde{\mu}_i < 2^n - 1. \\ 2^n - 1 & \text{otherwise.} \end{cases}$$

Then $\langle a, \langle \rangle, \langle b, \langle \rangle \rangle$ satisfy the conditions of the lemma for $n - 1$, and $\langle \alpha - (a + 1), \langle \rangle, \langle \beta - (b + 1), \langle \rangle$ satisfy the conditions of Lemma 12 for $n - 1$. So by Lemma 8, II has winning strategy in $\mathbf{G}_n(\langle \alpha, \langle \rangle, \langle \beta, \langle \rangle \rangle)$.

Case biii: Neither case bi nor bii. Say

$$b = \sum_{0 \leq i \leq l}^* \sum_{x \in \tilde{\mu}_i} \tilde{\eta}_{i,x} \text{ where } \tilde{\mu}_i \in \mathfrak{n}_0, \mu_l \neq 0, \langle \tilde{\eta}_{i,x}, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle).$$

So $l \geq n$. Let

$$k_i = \begin{cases} \tilde{\mu}_i & \text{if } \tilde{\mu}_i < 2^n - 1 \\ 2^n - 1 & \text{otherwise} \end{cases} \text{ for } i < n - 1.$$

Let

$$a = \omega^{n-1} \cdot (2^{n-1} - 1) + \sum_{0 \leq i < n-1}^* \omega^i \cdot k_i.$$

Then $\langle a, \langle \rangle, \langle b, \langle \rangle \rangle$ satisfy the conditions of the lemma for $n - 1$ and $\langle \alpha - (a + 1), \langle \rangle, \langle \beta - (b + 1), \langle \rangle$ satisfy them for $n - 1$. So as usual, II has winning strategy in $\mathbf{G}_n(\langle \alpha, \langle \rangle, \langle \beta, \langle \rangle \rangle)$.

Proof of Theorem 1: \leftarrow Clearly η is an ultrashort model. We will, thus, be done when we show by induction on n :

Lemma 14. *Player II has a winning strategy in*

$$\mathbf{G}_n(\langle \omega^\omega, \triangleleft \rangle, \langle \sum_{n \in \omega} \eta_n, \triangleleft \rangle).$$

Proof:

Case 1: $n = 1$ is trivial.

Case 2: Let $n \geq 2$. Assume the result for $n - 1$. Let

$$\alpha = \omega^\omega, \beta = \sum_{n \in \omega} \eta_n.$$

Case a: On move 1 player I chooses an element $a \in \alpha$. Say

$$a = \sum_{0 \leq i \leq l}^* \omega^i \cdot k_i \text{ where } k_i < \omega, k_l \neq 0.$$

Let

$$b = \eta_l + \sum_{y < k_{l-1}} \eta_{l,y} + \sum_{0 \leq i < l}^* \sum_{y < k_i} \eta_{i,y} \text{ where } \langle \eta_{i,y}, \triangleleft \rangle \models \text{Th}(\langle \omega^i, \triangleleft \rangle),$$

$$\sum_{y < k_{l-1}} \eta_{l,y} + \sum_{0 \leq i < l}^* \sum_{y < k_i} \eta_{i,y} \text{ is initial segment of } \eta_{l+1}.$$

Let b be II's move. Then by Lemma 12 or 13, player II has winning strategy in $\mathbf{G}_{n-1}(\langle a, \triangleleft \rangle, \langle b, \triangleleft \rangle)$. $\langle \alpha - (a + 1), \triangleleft \rangle, \langle \beta - (b + 1), \triangleleft \rangle$ satisfy the induction hypotheses for $n - 1$. So by the lemma, we are done in this case.

Case b): On move 1 player I chooses an element $b \in \beta$. Say

$$b = \eta_l + \sum_{0 \leq i \leq l}^* \sum_{y < \mu_i} \eta_{i,y} \text{ where } \mu_i \in \mathbf{n}_0, \langle \eta_{i,y}, \triangleleft \rangle \models \text{Th}(\langle \omega^i, \triangleleft \rangle).$$

Let

$$k_l = \begin{cases} 2^n - 1 & \text{if } \mu_l > 2^n - 2, \\ \mu_l + 1 & \text{otherwise;} \end{cases}$$

$$k_i = \begin{cases} 2^n - 1 & \text{if } \mu_i > 2^n - 1 \\ \mu_i & \text{otherwise} \end{cases} \text{ for } i < n.$$

Let

$$a = \sum_{0 \leq i \leq l}^* \omega^i \cdot k_i.$$

Then by Lemma 12 or 13, player II has winning strategy in $\mathbf{G}_{n-1}(\langle a, \triangleleft \rangle, \langle b, \triangleleft \rangle)$. $\langle \alpha - (a + 1), \triangleleft \rangle, \langle \beta - (b + 1), \triangleleft \rangle$ satisfy the induction hypothesis for $n - 1$. So as usual this case is done.

Lemma 15: *Player II has a winning strategy in*

$$\mathbf{G}_n(\langle \sum_{i \in \omega}^* \omega^i \cdot n_i, \triangleleft \rangle, \langle \sum_{i \in \omega}^* \sum_{x \in \mu_i} \eta_{x,i}, \triangleleft \rangle)$$

where

- i) $n_i \in \omega$,
- ii) $\exists m$ such that $\forall i \geq m, n_i = 1$,
- iii) $\forall i < n, \mu_i \bar{n} n_i$,
- iv) $\mu_i \in \mathbf{n}_0$,
- v) *infinitely many* $\mu_i \neq 0$,
- vi) $\langle \eta_{x,i}, \triangleleft \rangle \models \text{Th}(\langle \omega^i, \triangleleft \rangle)$.

Proof: Similar to Lemma 13.

Lemma 16. *Player II has winning strategy in*

$$\mathbf{G}_n \left(\langle \omega^{\omega^{*\omega}}, \langle \rangle, \langle \sum_{i \in \omega}^* \sum_{x \in \mu_i} \eta_{x,i} + \sum_{i \in \omega} \eta_i, \langle \rangle \rangle \right)$$

where

- i) $\mu_i \in \mathfrak{n}_0$,
- ii) *infinitely many* $\mu_i \neq 0$,
- iii) $\langle \eta_{x,i}, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle)$,
- iv) $\langle \eta_i, \langle \rangle \models \text{Th}(\langle \omega^i, \langle \rangle)$.

Proof: Similar to Lemma 14. It uses primarily Lemmas 14 and 15 and Theorem 1.

The proof of Theorem 2 now follows immediately from Lemma 16 as $\sum_{i \in \omega}^* \sum_{x \in \mu_i} \eta_{x,i} + \sum_{i \in \omega} \eta_i$ is clearly ultrashort.

Lemma 17. $\langle \eta' + \sum_{x \in \mu} \eta_x, \langle \rangle \equiv \langle \omega^\omega + \sum_{x \in \mu} \mu_x, \langle \rangle$ where

- i) $\langle \eta', \langle \rangle$ is ultrashort model of $\text{Th}(\langle \omega^\omega, \langle \rangle)$,
- ii) $\langle \eta_x, \langle \rangle$ is ultrashort model of $\text{Th}(\langle \omega^\omega, \langle \rangle)$ or $\text{Th}(\langle \omega^{\omega^{*\omega}}, \langle \rangle)$,
- iii) $\mu_x = \begin{cases} \omega^\omega & \text{if } \langle \eta_x, \langle \rangle \models \text{Th}(\langle \omega^\omega, \langle \rangle), \\ \omega^{\omega^{*\omega}} & \text{if } \langle \eta_x, \langle \rangle \models \text{Th}(\langle \omega^{\omega^{*\omega}}, \langle \rangle). \end{cases}$

Proof: By Lemma 6.

Lemma 18. *Player II has a winning strategy in*

$$\mathbf{G}_n \left(\langle \omega^n + \sum_{l < n}^* \omega^l \cdot m_l, \langle \rangle, \langle \omega^\omega + \sum_{x \in \mu} \mu_x + \sum_{l \leq n}^* \omega^l \cdot r_l + \sum_{l < n}^* \omega^l \cdot n_l, \langle \rangle \rangle \right)$$

where

- i) $m_l \equiv_n n_l$, if $l < n$, $m_l, n_l \in \omega$,
- ii) $\mu_x = \omega^\omega$ or $\omega^{\omega^{*\omega}}$, $\forall x \in \mu$,
- iii) μ is arbitrary linear order (possibly empty),
- iv) $r_l \in \omega$, $l \geq n$,
- v) $\exists m$ such that $\forall i \geq m$, $r_i = 0$ or $\forall i \geq m$, $r_i = 1$.

Proof: Similar to Lemma 13.

Lemma 19. *Player II has a winning strategy in*

$$\mathbf{G}_n \left(\langle \omega^\omega, \langle \rangle, \langle \omega^\omega + \sum_{x \in \mu} \mu_x, \langle \rangle \rangle \right)$$

where

- i) $\mu_x = \omega^\omega$ or $\omega^{\omega^{*\omega}}$,
- ii) μ is arbitrary linear order (possibly empty).

Proof: Similar to Lemma 14. It uses primarily Lemma 18.

The proof of Theorem 3 now follows immediately from Lemmas 17 and 19.

3 Short models. By techniques similar to those in section 2 one can prove:

Theorem 4. $\langle \eta, \langle \rangle$ is a short model of $\text{Th}(\langle \omega^\omega, \langle \rangle)$ iff

- $\exists 1)$ an ultrashort model $\langle \eta', \triangleleft \rangle$ of $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$,
 2) a linear order μ possibly empty,
 3) for each $x \in \mu$, an ultrashort model $\langle \eta_x, \triangleleft \rangle$ of $\text{Th}(\langle \omega^{*\omega}, \triangleleft \rangle)$ such that
 $\eta = \eta' + \sum_{x \in \mu} \eta_x$.

Theorem 5. $\langle \eta, \triangleleft \rangle$ is a short model of $\text{Th}(\langle \omega^{*\omega}, \triangleleft \rangle)$ iff

- $\exists 1)$ a linear order μ ,
 2) for each $x \in \mu$, an ultrashort model η_x of $\text{Th}(\langle \omega^{*\omega}, \triangleleft \rangle)$ such that $\eta = \sum_{x \in \mu} \eta_x$.

Theorem 6. $\langle \eta, \triangleleft \rangle \models \text{Th}(\langle \omega^\omega, \triangleleft \rangle)$ iff

- $\exists 1)$ a short model $\langle \eta', \triangleleft \rangle$ of $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$,
 2) a linear order μ possibly empty,
 3) for each $x \in \mu$, a short model $\langle \eta_x, \triangleleft \rangle$ of $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$ or of $\text{Th}(\langle \omega^{*\omega}, \triangleleft \rangle)$
 (the latter occurring only if x does not have an immediate predecessor)
 such that $\eta = \eta' + \sum_{x \in \mu} \eta_x$.

4 Other Results. Using the lemmas of section 2 and similar results one can obtain Ehrenfeucht's classification of the completions of the theory of well-ordered sets. Using the result and the fact that $\langle \alpha, a_1 \dots a_n \triangleleft \rangle \equiv \langle \beta, b_1 \dots b_n \triangleleft \rangle$ iff $\langle a_{i+1} - a_i, \triangleleft \rangle \equiv \langle b_{i+1} - b_i, \triangleleft \rangle, \forall i \leq n + 1$ if $a_1 < \dots < a_n, b_1 < \dots < b_n$ and $a_0 = b_0 = 0, a_{n+1} = \alpha, b_{n+1} = \beta$ one can then classify the element types of $\text{Th}(\langle \omega^\omega, \triangleleft \rangle)$, or any other completion of the theory of well-ordering.

In particular the following are the distinct completions of the theory of well-ordering:

$$\left\{ \text{Th}(\langle \omega^n, m + \sum_{i < n}^* \omega^i \cdot n_i, \triangleleft \rangle) \mid n \in \omega, m \in \omega, m \neq 0, n_i \in \omega \cup \{\omega + \omega^* + \omega\} \right\} \\ \cup \left\{ \text{Th}(\langle \omega^\omega + \sum_{i < n}^* \omega^i \cdot n_i, \triangleleft \rangle) \mid n_i \in \omega \cup \{\omega + \omega^* + \omega\} \right\}.$$

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