J. Math. Crypt. 2 (2008), 327-341

© de Gruyter 2008 DOI 10.1515 / JMC.2008.015

Identification and signatures based on NP-hard problems of indefinite quadratic forms

Rupert J. Hartung and Claus-Peter Schnorr

Communicated by Igor Shparlinski

Abstract. We prove **NP**-hardness of equivalence and representation problems of quadratic forms under probabilistic reductions, in particular for indefinite, ternary quadratic forms with integer coefficients. We present identifications and signatures based on these hard problems. The bit complexity of signature generation and verification is quadratic using integers of bit length 150.

Keywords. Identification, signature, indefinite quadratic forms, **NP**-hardness, proof of knowledge, anisotropic form, equivalence class.

AMS classification. 11E20, 94A60, 68D15, 11D09, 11R29.

1 Introduction

The arithmetic theory of quadratic forms goes back to Fermat, Legendre, Lagrange, and Gauss. Algorithmic problems for lattices and quadratic forms have been promoted by the LLL-algorithm [14]. Recently definite forms or lattices gave rise to cryptographic protocols related to the **NP**-hard problems of finding a shortest or a closest lattice vector; see [15], [20] for hardness results and [1], [8], [11], [12] for applications. Cryptographic protocols based on **NP**-hard problems seem to withstand attacks by quantum computers. However, lattice cryptography requires lattices of high dimension. This yields long cryptographic keys and slow protocols.

By contrast, we present identification and signatures based on hard problems of quadratic forms in dimension three and four. Bounded solutions of equivalence and representation problems for indefinite ternary forms are shown to be **NP**-hard for probabilistic reductions. This follows from Adleman and Manders [18] who proved **NP**-completeness of deciding solvability of inhomogeneous binary quadratic equations over the integers. Signature generation and verification have quadratic bit complexity for integers of bit length 150. Note that RSA has cubic bit complexity for much longer integers.

Outline. Section 2 introduces computational problems of quadratic forms. Section 3 presents an identification scheme that proves knowledge of an equivalence transform for ternary anisotropic forms. It performs one LLL-reduction and a few arithmetic steps per round. Section 4 extends the identification to long challenges and signatures. Section 5 gives NP-hardness proofs. Section 6 presents polynomial time solutions for problems of isotropic forms of odd squarefree determinant. Section 7 characterizes anisotropic forms.

2 The equivalence problem of quadratic forms

Quadratic forms. An *n*-ary quadratic form (or simply form) f over \mathbb{Z} is a homogeneous quadratic polynomial $f = f_A = \sum_{i,j=1}^n a_{i,j} x_i x_j$ with coefficients $a_{i,j} = a_{j,i} \in \frac{1}{2}\mathbb{Z}$ for $i \neq j$, $a_{i,i} \in \mathbb{Z}$, $A = (a_{i,j}) \in \mathbb{Z}^{n \times n}$. Note that f takes integer values for $x_1, \ldots, x_n \in \mathbb{Z}$. By definition det $f = \det A$, dim f = n.

Equivalence classes. Let $f = f_A$ be an *n*-ary form. For $T \in \mathbb{Z}^{n \times n}$ let $f_A T$ denote the form f_{T^tAT} . The forms f, fT are called *equivalent* if $T \in GL_n(\mathbb{Z})$, i.e., $|\det T| = 1$. Obviously $\det(fT) = (\det T)^2 \det f = \det f$. We call the equivalence class of f simply the *class* of f. Let $\mathcal{O}(f) = \{T : fT = f, |\det T| = 1\}$ denote the group of *automorphisms* of f. The textbook by Cassels [3] surveys the structure of classes.

Relevant properties of forms are: f is *regular* if det $f \neq 0$; f is *indefinite* if f takes both positive and negative values. Otherwise f is either *positive* or *negative*, positive forms correspond to the Gram matrices $A = B^t B \in \mathbb{R}^{n \times n}$ of lattice bases $B \in \mathbb{R}^{n \times n}$. $f = f_A$ is *primitive* if $gcd(a_{ij} | 1 \le i, j \le n) = 1$; f is *isotropic* if $f(\mathbf{u}) = 0$ holds for some nonzero $\mathbf{u} \in \mathbb{Z}^n$, otherwise f is *anisotropic*. Every regular isotropic form is necessarily indefinite. The forms $f = \sum_{i=1}^n a_i x_i^2$ are called *diagonal*.

We study the equivalence problem of forms $f \in Q$ for various sets of forms Q.

Computational equivalence problem, CEP(Q)

GIVEN: equivalent forms $f, g \in Q$. *FIND*: $T \in GL_n(\mathbb{Z})$ such that g = fT.

Representations. An *n*-ary form *f* represents the integer *m* if there exists $\mathbf{u} \in \mathbb{Z}^n \setminus \{0\}$ such that $f(\mathbf{u}) = m$. The representation \mathbf{u} is primitive if $gcd(u_1, \ldots, u_n) = 1$. Let $CR(\mathcal{Q})$ denote the problem to find for given $f \in \mathcal{Q}$ and $m \in \mathbb{Z}$ a primitive $\mathbf{u} \in \mathbb{Z}^n$ such that $f(\mathbf{u}) = m$ whenever such \mathbf{u} exists.

We show in section 5 that bounded solutions of **CEP** and **CR** are **NP**-hard to find for indefinite forms f of dim f = 3 and for definite forms f of dim f = 5.

LLL-reduction [14] extends from lattice bases and definite forms to indefinite forms. LLL-forms f_A , $A = (a_{i,j})$ satisfy $a_{1,1}^2 \leq 2^{n/2} (\det A)^{2/n}$. There is a poly-time algorithm that transforms f into an LLL-form fT with $T \in GL_n(\mathbb{Z})$, see [13], [25], [27].

3 Identification based on the equivalence problem

We present efficient proofs of knowledge of an equivalence transform for indefinite forms. We use anisotropic forms for keys because there exist poly-time (unbounded) solutions of **CEP** and **CR** for isotropic forms f given the factorization of det f, see section 6. No relevant poly-time solutions are known for **CEP** and **CR** and anisotropic forms. Let $k \in \mathbb{N}$ be a security parameter.

Key generation. Pick an anisotropic form $f_1 = ax^2 + by^2 - cz^2$ with $a, b, c \in_R [1, 2^k]$. Using the factorization of det f verify that f is anisotropic, see section 7. Generate T and f_1T by $\mathbf{CT}(f_1)$ and set S := T, $f_0 := f_1S$.

The public key is f_0, f_1 , the private key is S.

The goal of the following procedure $\mathbf{CT}(f)$ is to generate within $(\mathcal{P}, \mathcal{V})_1$ forms $f_b S^b T$ for b = 0, 1 via $\mathbf{CT}(f_b S^b)$ with (practically) indistinguishable distributions.

- **CT**(*f*): Computes a randomized $T = (t_{i,j}) \in \text{GL}_3(\mathbb{Z})$ and an LLL-form f := fT. **1.** Pick $t_{i,j} \in_R] 2^k, 2^k [$ at random for $j \neq 1$. Compute the $t_{i,1}^{\text{adj}}$ of $(t_{i,j}^{\text{adj}}) = T^{-1} \det T$:
- $(t_{1,1}^{\text{adj}}, t_{2,1}^{\text{adj}}, t_{3,1}^{\text{adj}}) := (t_{2,2}t_{3,3} t_{2,3}t_{3,2}, t_{1,2}t_{3,3} t_{1,3}t_{3,2}, t_{1,2}t_{3,3} t_{1,3}t_{3,2}).$ 2. Compute the $t_{i,1}$ by solving $\sum_{i=1}^{3} t_{i,1} t_{i,1}^{\text{adj}} = \gcd(t_{1,1}^{\text{adj}}, t_{3,1}^{\text{adj}}) =: \gcd$ for $t_{i,1} \in \mathbb{Z}$ by the extended gcd-algorithm. If $\gcd \neq 1$ then repeat with some new $t_{i,j}, j \neq 1$.
- **3.** LLL-reduce fT to fTT', replace T := TT', and denote CT(f) := fT.

Step 3 balances the initially large $|t_{i,1}| \leq \max_i |t_{i,1}^{\text{adj}}| < 2^{2k+2}$ with the smaller $t_{i,j}$, $j \neq 1$. The random initial $t_{i,j}$, $j \neq 1$ randomize the leading and the least significant k bits of the final $t_{i,j}$

Identification $(\mathcal{P}, \mathcal{V})_1$

The prover \mathcal{P} proves to the verifier \mathcal{V} knowledge of S.

1. \mathcal{P} sends as commitment the LLL-form $\overline{f} := f_0 T := \mathbf{CT}(f_0)$,

2. \mathcal{V} sends a random one-bit challenge $b \in_R \{0, 1\}$,

3. \mathcal{P} sends the reply $R_b := S^b T$, and \mathcal{V} checks that $f_b R_b = \overline{f}$ and $|\det R_b| = 1$. Obviously, the honest prover \mathcal{P} withstands the test $f_b R_b = \overline{f}$.

Proof of knowledge. Consider a fraudulent $\widetilde{\mathcal{P}}$ that sends arbitrary $\overline{f}, \overline{R}_b$. The trivial $\widetilde{\mathcal{P}}$ guesses b in step 1 with probability $\frac{1}{2}$, sends the LLL-form $\overline{f} := f_b T := \mathbf{CT}(f_b)$ and replies $\bar{R}_b := T$. Then $\tilde{\mathcal{P}}$ withstands the verification with probability $\frac{1}{2}$. The probability $\frac{1}{2}$ cannot be increased. If an arbitrary \tilde{P} withstands the verification for the same \bar{f} and both challenges b = 0, 1 then $f_0\bar{R}_0 = \bar{f} = f_1\bar{R}_1$, and thus $f_1\bar{R}_1\bar{R}_0^{-1} = f_0$. This yields an alternative private key $\bar{S} = \bar{R}_1\bar{R}_0^{-1} \in \mathcal{O}(f_1)S$ in time proportional to $|(\widetilde{\mathcal{P}}, \mathcal{V})_1|$ (which denotes the number of steps of $(\widetilde{\mathcal{P}}, \mathcal{V})_1$):

Theorem 3.1. An arbitrary fraudulent prover \widetilde{P} that succeeds in $(\widetilde{P}, \mathcal{V})_1$ with probability $\varepsilon > \frac{1}{2}$ finds some $\overline{S} \in \mathcal{O}(f_1) S$ in expected time $|(\widetilde{\mathcal{P}}, \mathcal{V})_1|/(\varepsilon - \frac{1}{2})$.

Secret key protection. We give heuristic arguments that the protocol $(\mathcal{P}, \mathcal{V})_1$ is secure against a fraudulent verifier $\widetilde{\mathcal{V}}$, in particular that the information R_b released to $\widetilde{\mathcal{V}}$ does not help to compute some $\bar{S} \in \mathcal{O}(f_1)S$.

Only \mathcal{P} 's reply $R_1 = ST$ depends on S whereas $R_0 = T$ is independent of S. However, f_1 and \bar{f} define R_1 uniquely up to automorphisms of f_1 by the equation $f_1R_1 = \bar{f}$. Note that f_1 and \bar{f} should be nearly independent of S since $f_0 = f_1 S$ and $\bar{f} = f_1 S T$ are generated by CT using independent random bits. Therefore, nearly all information that ST contains about S should depend on the choice of automorphisms of f_1 , and these automorphisms must be sufficiently small. There provably exist polynomial size $A \in \mathcal{O}(f_1)$ [6] but their known bounds are extremely large having polynomial degree larger than 500.

The protocol $(\mathcal{P}, \mathcal{V})_1$ would be *statistical zeroknowledge* if the distributions of T and ST differ negligibly. We did not yet check this out experimentally.

For further protection we can let \mathcal{P} randomize $R_1 = A_1ST$ by choosing $A_1 \in \mathcal{O}(f_1)$ according to some random distribution; to this end it suffices to precompute generators of $\mathcal{O}(f_1)$ once and for all. If the resulting distributions of R_1 are close for varying ST, the R_1 will practically only depend on \overline{f} and f_1 . We conjecture that this additional measure of protection is not necessary:

Conjecture. \mathcal{P} does not reveal relevant information about S.

This extends to independent sequential executions of $(\mathcal{P}, \mathcal{V})_1$, since \mathcal{P} generates the corresponding $\overline{f} := f_0 T := \mathbf{CT}(f_0)$ using independent random bits. Since $(\mathcal{P}, \mathcal{V})_1$ is restricted to one-bit challenges, a security level 2^{100} requires 100 independent executions of $(\mathcal{P}, \mathcal{V})_1$ and 300 rounds.

4 Three round identification and signatures

Our signatures correspond to identification in three rounds with long challenges, where the verifier is simulated using a hash function. This identification releases some information about the private key which we argue to be irrelevant. This information cannot be combined over several identifications, but it reduces **CEP** to (n - 1)-ary subforms of f_0, f_1 . To make the reduced **CEP** hard we increase dim f_1 to n = 4.

Private key $S \in GL_4(\mathbb{Z})$, Public key anisotropic forms $f_0 = f_1 S$, $f_1 = \sum_{i=1}^4 a_i x_i^2$ with $(-1)^i a_i \in [1, 2^k]$.

Key generation. Apply a public hash function to a public / private random 100-bit seed to generate the pseudo-random bits for the construction of S via $\mathbf{CT}(f_1)$ and the pseudo-random entries $|a_i| \in [1, 2^k[$ of f_1 (make sure that $p^{2k}|d$, $p^{2k+1} \nmid d$ holds for a small prime p and $d := a_1a_2a_3a_4$ and that $\prod_{i < j}(a_i, a_j)_p = (-1)^{p \mod 2}$ holds as required by Theorem 7.2). This way the public / private keys have bit lengths 10k+100 and 100, respectively. Note that \mathbf{CT} , extended to n = 4 performs arithmetic steps on 3k-bit integers. The forms f_0, f_1 are indefinite and so are all ternary subforms of f_0, f_1 .

Three round identification $(\mathcal{P}, \mathcal{V})_2$

Prover \mathcal{P} solves problems posed by the verifier \mathcal{V} that are hard without knowledge of S**1.** \mathcal{P} sends the LLL-form $\overline{f} := f_0 \overline{T} := \mathbf{CT}(f_0)$ to \mathcal{V} ,

- **2.** \mathcal{V} computes the LLL-form $f' := \overline{f}T' := \mathbf{CT}(\overline{f})$ and sends T' to \mathcal{P} ,
- **3.** \mathcal{P} sends $\mathbf{e}'_b := S^b \bar{T} T' \mathbf{e}_b$ for b = 0, 1 and $\mathbf{e}_1 := (1, 0, 0, 0)^t$, $\mathbf{e}_0 := (0, 0, 0, 1)^t$, \mathcal{V} checks that $f_b \mathbf{e}'_b = \bar{f} T' \mathbf{e}_b$ for b = 0, 1. (we abbreviate $T := \bar{T} T'$)

Note that \mathcal{P} randomizes \overline{T} to protect against a fraudulent $\widetilde{\mathcal{V}}$ and \mathcal{V} randomizes T' against a fraudulent $\widetilde{\mathcal{P}}$. Importantly $\widetilde{\mathcal{V}}$ does not know $T = \overline{T}T'$ but only T'.

Security against a fraudulent $\widetilde{\mathcal{P}}$. A successful $\widetilde{\mathcal{P}}$ must find solutions \mathbf{e}'_b of $f_b(\mathbf{e}'_b) = m$ for both b = 0, 1, where the randomized $m := \overline{f}T'\mathbf{e}_b$ is chosen by the verifier. The problem to find a small solution \mathbf{e}'_b without the private key is **NP**-hard by Theorem 5.1. The fastest known method for solving $f_b\mathbf{e}'_b = \overline{f}T'\mathbf{e}_b$ for \mathbf{e}'_b is by reconstructing S.

0 1	C	· 1 / C /
()madrafic	torm	identification
Quadratic	ronn	lucillitudion

By revealing $\mathbf{e}'_1 = (\sum_{i=1}^4 s_{j,i} t_{i,1} | j = 1, \dots, 4)^t$ the honest \mathcal{P} proves bounds on $\sum_i |s_{j,i}|$ for $j = 1, \dots, 4$, $S = (s_{i,j})$. \mathcal{P} comes close to prove a bound on $|s_{1,1}|$ as is required for the **NP**-hardness results of section 5.

Security against a fraudulent $\tilde{\mathcal{V}}$. Note that \mathcal{P} reveals S if \mathcal{P} replies to various challenges T' for the same \bar{f} , it suffices that $\tilde{\mathcal{V}}$ generates challenges by cyclically permuting the columns of some T'. Randomization of \bar{f} via **CT** protects against this attack.

The novelty compared to $(\mathcal{P}, \mathcal{V})_1$ is that step 3 sends information about $S^b \overline{T}T'$ for both b = 0, 1 by sending $\mathbf{e}'_b = S^b \overline{T}T' \mathbf{e}_b$ for b = 0, 1. $S^b \overline{T}T'$ releases for a single b no relevant information about S as has been explained in section 3.

Next, we consider the following attacks that combine both replies e'_b :

- 1. recovering direct information about S from $\mathbf{e}'_0, \mathbf{e}'_1$,
- 2. reconstruct ST from \mathbf{e}'_1 and T from \mathbf{e}'_0 . This would reveal S.

1. The small, orthogonal vectors \mathbf{e}_0 , \mathbf{e}_1 are chosen so that $\overline{T}T'\mathbf{e}_b$ for b = 0, 1 are nearly statistically independent for random $T = \overline{T}T'$. This protects against the following attack. If $\mathbf{e}_1 \in \text{span}(\mathbf{e}_0)$ then $T\mathbf{e}_1 \in \text{span}(T\mathbf{e}_0)$ and thus $\mathbf{e}'_1 \in \text{span}(S\mathbf{e}'_0)$. This would completely disclose S by a few identifications. This attack can be extended to the case that $\mathbf{e}_0, \mathbf{e}_1$ are nearly parallel.

It is crucial that $T\mathbf{e}_0$, $T\mathbf{e}_1$ are for $T = \overline{T}T'$ nearly statistically independent (which holds for small, orthogonal $\mathbf{e}_0, \mathbf{e}_1$). This makes the information released by the combination of $\mathbf{e}'_0, \mathbf{e}'_1$ to $\widetilde{\mathcal{V}}$ practically negligible, and completely foils the described attack. Moreover, the released information cannot be combined for several identifications as the T are generated by independent random bits.

2. Hardness of recovering ST from \mathbf{e}'_1 . Let $f_1 = \sum_{i=1}^4 a_i x_i^2 = f_{A_1}$, $f' = f_{A'} = f_1 ST$. Given $\mathbf{e}'_1 = ST\mathbf{e}_1$ we can transform f' into $f'' = f_{A''} := f'T''$ such that $a_1 = a''_{1,1}$, and thus

$$\begin{pmatrix} 1 & \mathbf{0}^t \\ \mathbf{u} & Q^t \end{pmatrix} A_1 \begin{pmatrix} 1 & \mathbf{u}^t \\ \mathbf{0} & Q \end{pmatrix} = A'' =: \begin{pmatrix} a_1 & a_1 \mathbf{u}^t \\ a_1 \mathbf{u} & A''_+ \end{pmatrix}$$
(5)

holds for some $\mathbf{u} \in \mathbb{Z}^3$, $Q \in GL_3(\mathbb{Z})$, $A''_+ \in \mathbb{Z}^{3 \times 3}$. We rewrite (5) as

$$Q^{t}A_{+}Q = A''_{\mathbf{u}}$$
 for $A''_{\mathbf{u}} := A''_{+} + a_{1}\mathbf{u}\mathbf{u}^{t}$

where A_+ is the diagonal submatrix of A_1 with diagonal (a_2, a_3, a_4) . Solving equation (5) for $Q \in GL_3(\mathbb{Z})$ requires an unbounded solution of **CEP** for $f_{A_+}, f_{A''_u}$. Thus, releasing \mathbf{e}'_1 reduces **CEP** to ternary anisotropic forms and the recovering of \mathbf{u} .

Hardness of recovering **u**. Equation (5) implies that det $A''_{+} = \det A''_{\mathbf{u}}$, and this equation can be written as det $A''_{+} = f_D(\mathbf{u}) + a_2a_3a_4$ for the indefinite, diagonal matrix D with diagonal $a_1(a_3a_4, a_2a_4, a_2a_3)$ and $a_1, a_3 < 0 < a_2, a_4$. Hence the construction of **u** from \mathbf{e}'_1 requires to solve $f_D(\mathbf{u}) = \det A''_{+} - a_2a_3a_4$. This problem can be made hard by ensuring that f_D is *anisotropic*, see sections 6, 7. Then no isotropic vector can help to recover **u**.

The best known attack. In order to solve **CEP** for $f = f_{A_+}$ and $f' = f_{A''_{u}}$ it is promising to exhaust the reduced forms f_A in the class of f, f' by simple transforms of $GL_3(\mathbb{Z})$: permute two rows and columns of A and transform the result into an reduced form.

For k-bit coefficients of f, f' we have that $d := \det f = O(2^{3k})$ and on average there are $\Theta(d)$ reduced forms in the class of f. (A simple counting argument shows that there are $\Theta(2^{6k})$ ternary, indefinite forms with coefficients of size $O(2^k)$ that are reduced in the sense of Gauss [7, art. 272], see also Lagarias [16, sect. 4 D]. These distribute over $\Theta(2^{3k})$ determinants d which gives on average $\Theta(2^{3k})$ reduced forms per d.) This can solve **CEP** for f, f' in average time $O(2^{3k})$.

Conclusion. The problem to recover Q, \mathbf{u} and thus ST from $\mathbf{e}'_1 = ST\mathbf{e}_1$ requires $\Omega(2^{3k})$ arithmetic steps for all known algorithms. We are not aware of any better method for solving $f_b \mathbf{e}'_b = \bar{f}T'\mathbf{e}_b$ for \mathbf{e}'_b . Therefore, k = 50 seems to provide sufficient security.

Most instances of the recovering problem are subexponential for n = 3. For indefinite, binary A_+, D , the multiplicative structure of the Gaussian cycle [7, art. 183ff] of reduced forms containing f_{A_+}, f_D , yields subexponential algorithms see [2, chap. 11].

Signatures. Extend the keys of $(\mathcal{P}, \mathcal{V})_2$ by random seeds $s', s^* \in_R \{0, 1\}^{100}$, s' public and s^* private. To generate a signature for message m follow $(\mathcal{P}, \mathcal{V})_2$ but simulate \mathcal{V} randomized by H(m, s') for a public hash function H.

Signature generation. Compute \overline{T} , $\overline{f} := f_0 \overline{T}$ by $\mathbf{CT}(f_0)$ randomized by $H(m, s^*)$, keep \overline{T} secret. Compute $\overline{f}T', T'$ by $\mathbf{CT}(\overline{f})$ randomized by H(m, s'), set

$$\mathbf{e}_b' := S^b \bar{T} T' \mathbf{e}_b.$$

The resulting signature $(\bar{f}, \mathbf{e}'_0, \mathbf{e}'_1)$ consists of 18 k-bit integers, the entries of $\mathbf{e}'_0, \mathbf{e}'_1, \bar{f}$.

Verification computes T' from m, s' and checks that $f_b \mathbf{e}'_b = \bar{f} T' \mathbf{e}_b$ for b = 0, 1.

The bit complexity of signature generation and verification is quadratic $O(k^2)$, its main work is LLL-reduction within **CT**. LLL-reduction using orthogonalisation in floating point arithmetic has quadratic bit complexity using school multiplication [21], [25].

5 NP-hardness

5.1 The results

Recall that a set $S \subset \mathbb{Z}^*$, consisting of sequences of integers, is in **NP** if S is decidable in nondeterministic poly-time. We prove probabilistic **NP**-hardness of decisional variants of **CEP**(Q) and **CR**(Q) that ask for a solution **x** with a given bound. We first present the results and thereafter the proofs.

Decisional Bounded Representation Problem, DBR(Q)

GIVEN: $f \in Q, m \in \mathbb{N}, c \in \mathbb{N}$, the factorization of det f. *DECIDE*: whether \exists primitive $\mathbf{x} \in \mathbb{Z}^n$: $f(\mathbf{x}) = m$ and $|x_1| \leq c$.

The given factorization of det f does not decrease the worst-case complexity.

Quadratic	form	identification
Quadratic	rorm	lucilititution

Recall that the class **RP** of random polynomial time consists of all sets $S \subset \mathbb{Z}^*$ for which there is a probabilistic, poly-time algorithm which accepts every $s \in S$ with probability $\geq \frac{1}{2}$ and rejects every $s \in \mathbb{Z}^* \setminus S$ with probability 1.

Let Q_{ind} consist of all indefinite, primitive forms f of dim f = 3.

Theorem 5.1. DBR(Q_{ind}) *is probabilistic* **NP***-hard, i.e.*, **NP** \subseteq **RP**^{**DBR**(Q_{ind}).}

Therefore, if **DBR**(Q_{ind}) is in **RP** then so is every **NP**-set. The proof of Theorem 5.1 reduces a Boolean form Φ in 3-CNF to a form $f = 2x^2 + 2byz$, where $\frac{1}{2} \det f \in \mathbb{Z}$ is odd. Theorem 5.1 follows essentially from [18].

Dimension 3 is minimal for this hardness result. In fact, an algorithm of Gauß computes small representations for binary forms in subexponential time if the class number is small (see [7, art. 183–221], [5, sec. 5.2 and 5.6]).

For isotropic ternary forms of odd squarefree determinant unbounded solutions of **CEP** and **CR** can be found in poly-time given an isotropic vector. For anisotropic forms, by contrast, **CEP**, **CR** seem to be hard on average.

Theorem 5.2 shows that deciding whether a lattice of dimension 5 has a vector of given length is **NP**-hard.

Theorem 5.2. Let Q_{df} consist of all positive definite forms f of dim f = 5. Then $DBR(Q_{df})$ is NP-complete.

Representation problems for definite forms are by Theorem 5.2 harder than equivalence problems. In fact, equivalence transformations of definite forms can be efficiently computed in constant dimension by computing shortest lattice vectors [22], [23].

Incomplete forms. If some coefficients $a_{i,j}$ of A are undefined, $a_{i,j} = *$, and if det A does not depend on the undefined $a_{i,j}$ then we call f_A *incomplete*. For example the form $f = ax^2 + bxy + 2a_{1,3}xz + z^2$ is incomplete for $a_{1,3} = *$. A completion of f_A defines the undefined $a_{i,j}$ of A.

Decisional Bounded Equivalence Problem, DBE(Q)

GIVEN: $f, g \in Q, c \in \mathbb{N}$, the factorization of det f. *DECIDE:* whether $\exists T \in GL_n(\mathbb{Z})$: $f T = g, |t_{1,1}| \leq c$.

DBE(Q) for given *n*-ary incomplete forms $f, g \in Q$ asks for the existence of $T \in GL_n(\mathbb{Z})$ and completions $\overline{f}, \overline{g}$ of f, g such that $\overline{f}T = \overline{g}, |T_{1,1}| \leq c$. Let Q_{inin} extend the set Q_{ind} by all incomplete, indefinite forms f of dim f = 3.

Theorem 5.3. $DBE(Q_{inin})$ is probabilistic NP-hard.

Extensions. 1. The NP-hardness of Theorem 5.1 extends to all dimensions $n \ge 3$. **2.** The proof of Theorem 5.1 can be extended from isotropic to anisotropic forms (that are used for identification and signatures). However, this requires a considerable amount of number theory and the Cohen-Lenstra heuristics for class numbers of real quadratic fields, see [10], [9]. The main problem is to show that all values $x^2 + by$ are covered by the anisotropic forms $x^2 + b(y^2 + z^2)$ for a few b.

3. At the end of this section we sketch how extend Theorem 5.3 from Q_{inin} to Q_{ind} . **4.** The problem **DBR**(Q_{df}) is **NP**-complete in dimension ≥ 5 even if the condition that $|x_1| \leq c$ is removed. This holds because the problem to decide whether $ax^2 + by = c$ has a solution $x, y \in \mathbb{N}$ is **NP**-hard [18], [9].

5.2 From SAT to squares

The following problem on binary Diophantine equations will be used as an intermediary problem for reductions.

Modular Square Problem, MS

GIVEN: $a, b, c \in \mathbb{N}$ such that $b = p^{m+1}b'$; a, p prime, b' squarefree, $p \ge 5$. *DECIDE:* $\exists x \in \mathbb{Z}$: $x^2 \equiv a \mod b$ and $|x| \le c$.

Let \preccurlyeq_r denote that there is a probabilistic, poly-time Karp reduction, and let 3SAT be the problem to decide satisfiability of Boolean forms in 3-CNF. Proposition 5.4 is similar to a result of Adleman and Manders [18].

Proposition 5.4. $3SAT \preccurlyeq_r MS$.

Proof. We transform a given Boolean form Φ in 3-CNF into an instance (a, b, c) of **MS** that is solvable if and only if Φ is satisfiable. Let Φ contain each clause at most once, and let no clause of Φ contain a variable both complemented and uncomplemented. Let ℓ be the number of variables in Φ . Choose an enumeration $\sigma_1, \ldots, \sigma_m$ of all such clauses in the variables x_1, \ldots, x_ℓ with exactly three literals such that the bijection $i \mapsto \sigma_i$ and its inverse are poly-time. We write $\sigma \in \Phi$ if σ occurs in Φ , and $x_j \in \sigma$ ($\bar{x}_j \in \sigma$) if the *j*-th variable occurs uncomplemented (complemented) in clause σ . Let $n = 2m + \ell$. Let $r = (r_1, \ldots, r_\ell) \in \{0, 1\}^\ell$ denote Boolean values for x_1, \ldots, x_ℓ , where 1 corresponds to **true** and 0 corresponds to **false**. For a clause σ and $r \in \{0, 1\}^\ell$ define

$$W(\sigma, r) = \sum_{i:x_i \in \sigma} r_i + \sum_{i:\bar{x}_i \in \sigma} (1 - r_i)$$

Introducing new variables y_1, \ldots, y_m we set for $k = 1, \ldots, m$

$$R_k := \begin{cases} y_k - W(\sigma_k, r) + 1 & \text{if } \sigma_k \in \Phi, \\ y_k - W(\sigma_k, r) & \text{if } \sigma_k \notin \Phi, \end{cases}$$

Since Φ is in 3-CNF, we have $W(\sigma_k, r) = 0$ if assignment r does not satisfy clause σ_k , and $1 \leq W(\sigma_k, r) \leq 3$ otherwise. Hence the equations $R_1 = \cdots = R_m = 0$ have a solution $r \in \{0, 1\}^\ell$, $y \in \{0, 1, 2, 3\}^m$ if and only if Φ is satisfiable.

0 1	C	· 1 / C /
()madrafic	torm	identification
Quadratic	IOIIII	lucilititudion

We select a prime $p \ge 5$. As $-3 \le R_k \le 4$ for all choices of $y_k \in \{0, 1, 2, 3\}$, $r_i \in \{0, 1\}$, the equations $R_1 = \cdots = R_m = 0$ holds if and only if $\sum_{k=1}^m R_k p^k = 0$. The latter equation is equivalent to

$$\sum_{k=1}^{m} (2R_k) p^k \equiv 0 \mod p^{m+1}.$$
 (5.1)

335

since $\left|\sum_{k=1}^{m} R_k p^k\right| \le 4 \sum_{k=1}^{m} p^k < p^{m+1}$ holds for $p \ge 5$ and p is odd. We now add $\alpha_0 + 1$ to the left hand side of (5.1), where α_0 is considered a variable

We now add $\alpha_0 + 1$ to the left hand side of (5.1), where α_0 is considered a variable taking values in $\{1, -1\}$. Hence (5.1) is solvable if and only if

$$\alpha_0 + 1 + \sum_{k=1}^m (2R_k) p^k \equiv 0 \mod p^{m+1}.$$
(5.2)

for y_k, r_i, α_0 ranging over their respective domains. Moreover, if (5.2) is solvable, then necessarily $\alpha_0 = -1$ by reduction modulo p.

We express y_1, \ldots, y_m and r_1, \ldots, r_ℓ in (5.2) by new variables $\alpha_1, \ldots, \alpha_n, n = 2m + \ell$ ranging over $\{1, -1\}$, we set

$$y_k := \frac{1}{2}((1 - \alpha_{2k-1}) + 2(1 - \alpha_{2k})), \quad r_i := \frac{1}{2}(1 - \alpha_{2m+i}).$$
(5.3)

Exactly all combinations of $y_k \in \{0, 1, 2, 3\}, r_i \in \{0, 1\}$ are covered by $\alpha_1, \ldots, \alpha_n$. We can rewrite (5.2) via (5.3) into

$$\sum_{j=0}^{n} c_j \alpha_j \equiv \tau \mod p^{m+1} \tag{5.4}$$

for some $c_j, \tau \in \mathbb{Z}$. We see that Φ is satisfiable if and only if (5.4) is solvable for $\alpha \in \{-1, 1\}^{n+1}$. Note that $p \not| \tau$, as the constant part of (5.2) equals 1.

We select a prime $p_0 > 4 \cdot p^{m+1}(n+1)$ and primes $p_0 < p_1 < \ldots < p_n$ that are of polynomial size in p^m . For $j = 1, \ldots, n$ compute θ_j via the Chinese Remainder Theorem to be the smallest positive integer satisfying

$$\theta_j \begin{cases} \equiv c_j \mod p^{m+1}, \\ \equiv 0 \mod \prod_{i \neq j} p_i, \\ \neq 0 \mod p_j. \end{cases}$$

We see that Φ is satisfiable if and only if

$$\sum_{j=0}^{n} \theta_j \alpha_j \equiv \tau \mod p^{m+1} \tag{5.5}$$

is solvable for $\alpha \in \{\pm 1\}^{n+1}$.

Lemma 5.5. Let $K := \prod_{j=0}^{n} p_j$ and $c := \sum_{j=0}^{n} \theta_j$. For $x \in \mathbb{Z}$, $|x| \le c$ the equation $c^2 \equiv x^2 \mod K$ holds if and only if $x = \sum_{j=0}^{n} \alpha_j \theta_j$ for some $\alpha \in \{\pm 1\}^{n+1}$.

Proof. Since $\theta_j \theta_{j'} \equiv 0 \mod K$ for $j \neq j'$ each

$$x = \sum_{j=0}^{n} \alpha_j \theta_j$$

satisfies $c^2 \equiv x^2 \mod K$. Conversely, $c^2 \equiv x^2 \mod K$ implies

$$0 \equiv (c-x)(c+x) \mod K$$

and thus either $p_j|c - x$ or $p_j|c + x$ holds for every j = 0, ..., n. This disjunction is exclusive: if $p_j|c - x$ and $p_j|c + x$ then $p_j|2c$, and thus $p_j|c$. This contradicts the fact that $c \equiv \theta_j \neq 0 \mod p_j$.

Since p_j divides exactly one of $c \pm x$ we define $\alpha_j \in \{\pm 1\}$ such that $p_j | c - \alpha_j x$, hence $x \equiv \alpha_j c \mod p_j$. Setting $x' := \sum_{i=0}^n \alpha_i \theta_i$ yields $x \equiv x' \mod p_j$ for all j, and thus $x \equiv x' \mod K$.

We see from $|x'| \leq \sum_{j=0}^{n} \theta_j = c$ and $|x| \leq c$ that $|x - x'| \leq 2c$. The choice of p_j guarantees that $\frac{2 \cdot p^{m+1}}{p_j} \leq \frac{1}{2(n+1)}$, and thus

$$\theta_j < 2 \cdot p^{m+1} \prod_{i=0 \atop i \neq j}^n p_i = \frac{2 \cdot p^{m+1} K}{p_j} \le \frac{K}{2(n+1)}.$$

Hence $c = \sum_{j=0}^{n} \theta_j < K/2$. We see from $|x - x'| \le 2c$ that |x - x'| < K, and from $x \equiv x' \mod K$ that x and x' coincide. This proves the lemma.

Combining (5.5) with Lemma 5.5 we see that Φ is satisfiable if and only if there exists $x \in \mathbb{Z}$, $|x| \leq c$ such that

$$c^2 \equiv x^2 \mod K, \ x \equiv \tau \mod p^{m+1}$$

These equations are equivalent to each of the following equations

$$\begin{split} c^2 &\equiv x^2 \bmod K, \ \ \tau^2 \equiv x^2 \bmod p^{m+1} \ \ (\mathbb{Z}_{p^{m+1}}^* \text{ is cyclic}), \\ p^{m+1}(c^2 - x^2) + K(\tau^2 - x^2) \equiv 0 \bmod p^{m+1}K, \\ (p^{m+1} + K) x^2 &\equiv K\tau^2 + p^{m+1}c^2 \bmod p^{m+1}K. \end{split}$$

Since $p \not| K$ the latter equation can be written as

$$x^2 \equiv a \bmod b \tag{5.6}$$

for
$$b = p^{m+1}K$$
 and $a \equiv (p^{m+1} + K)^{-1}(K\tau^2 + p^{m+1}c^2) \mod p^{m+1}K$. (5.7)

Then (5.6) has a solution $x \in \mathbb{Z}$ with $|x| \leq c$ if and only if Φ is satisfiable. We can select a prime *a* in the arithmetic progression (5.7) in random poly-time. Clearly *K* is odd and squarefree, and the prime *a* is coprime to *b*.

Then (a, b, c) is a solvable instance of **MS** if and only if Φ is satisfiable.

Quadratic form identification

5.3 **Proofs of Theorems**

Proof of Theorem 5.1. Let Φ be a boolean formula in 3-CNF. The proof of Prop. 5.4 transforms Φ into an instance (a, b, c) of **MS**, ab odd, such that there exist $x, y \in \mathbb{Z}$ satisfying $x^2 + by = a$, $|x| \leq c$ if and only if Φ is satisfiable. We see that the form $f = 2x^2 + 2byz$ yields a solvable instance f(x, y, z) = 2a of **DBR**(Q_{ind}) if and only if Φ is satisfiable. For a primitive solution (x, y, z) choose z = 1. Note that f is primitive since b is odd. Moreover, f is indefinite, isotropic and det $f = -2b^2$. This proves the claim.

Proof of Theorem 5.2. As in the proof of Prop. 5.4 we transform Φ into an instance (a, b, c) of **MS**. Here we choose a that satisfies equation (5.7) such that $a > c^2$. Then all integer solutions x, y of $x^2 + by = a$, $|x| \le c$ satisfy y > 0. Lagrange's Four Square Theorem shows that $y \in \mathbb{N}$ can be represented as a sum of four squares. Therefore, we have transformed Φ into $(a, b, c) \in \mathbb{N}^3$ such that $x_1^2 + b(x_2^2 + \cdots + x_5^2) = a$, $|x_1| \le c$ is solvable for $(x_1, \ldots, x_5) \in \mathbb{N}^5$ if and only if Φ is satisfiable. This proves the theorem.

Proof of Theorem 5.3. We transform instances (a, b, c) of **MS** constructed in the proof of Prop. 5.4 into instances of **DBE**(Q_{inin}), preserving solvability. We have that

$$\begin{pmatrix} a & b/2 & x \\ b/2 & 0 & 0 \\ x & 0 & 1 \end{pmatrix} = \begin{pmatrix} x & y & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & b/2 \\ 0 & b/2 & 0 \end{pmatrix} \begin{pmatrix} x & 0 & 1 \\ y & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

for $a = x^2 + by$. Let $f = x^2 + byz$ and $f' = ax^2 + bxy + \gamma xz + z^2$ with $\gamma = *$, then f = f'T is solvable with $|t_{1,1}| \le c$ if and only if $a = x^2 + by$, $|x| \le c$ is solvable. \Box

NP-hardness of **DBE**(Q_{ind}). We sketch how to extend the proof of Theorem 5.3 to reduce to complete forms in Q_{ind} , for more details see [9]. We construct for $f = x^2 + byz$ a list of forms f_1, \ldots, f_N such that every solution (x, y, z) of $a = x^2 + byz$, $|x| \le c$ provides a first column of some $S \in GL_3(\mathbb{Z})$ satisfying $fS = f_j$ for some f_j . Hence (a, b, c) is solvable for **MS** if and only if (f, f_j, c) is for some $1 \le j \le N$ solvable for **DBE**. This proves the desired reduction.

We construct the f_j to represent all *orbits* of primitive representations of a by f under $\mathcal{O}(f)$. Zhuravlev [28, sec. 1.2] classifies these orbits in terms of modular matrix equations. We construct the f_j by solving these equations. First we determine the genus of f_j , i.e., the f_j up to simultaneous equivalence over the reals \mathbb{R} and over all rings of p-adic integers. Then we construct the actual f_j by a constructive version of the classical proof of the existence of genera [3, sec. 9.5]. According to **MS**, the integer a is prime, and this ensures that N = O(1). Moreover, the construction is poly-time per entry f_j , given the factorization of ab.

6 Solving quadratic equations using an isotropic vector

Isotropic forms f_A are *universal* over any field \mathbb{F} , i.e., for $A \in \mathbb{F}^{n \times n}$ the equation $f_A(\mathbf{x}) = m$ is solvable for all $m \in \mathbb{F}$ if it is solvable for $m = 0, \mathbf{x} \neq 0$, [3, chap. 2.2]. We show that $f_A(\mathbf{x}) = m$ can easily be solved for all $m \in \mathbb{Z}$ over the integers if an *isotropic* vector is given and det A is odd and squarefree. Theorem 6.1 transforms an isotropic form f_A with odd, squarefree determinant $d = \det A$ into the form $2xy - dz^2$ and Theorem 6.2 solves the equation $2xy - dz^2 = m$. Importantly, Simon [26], [27] has shown that an isotropic vector can be found in poly-time for any isotropic form fgiven the factorization of det f.

Theorem 6.1. Let f_A be an isotropic, ternary form and let $d := \det A$ be odd and squarefree. Given an isotropic vector (x', y', z') the form f_A can be transformed in poly-time into the equivalent form $2xy - dz^2$.

Proof. Make (x', y', z') primitive by dividing it by gcd(x', y', z'). Compute some $S \in$

 $\begin{array}{l} \operatorname{GL}_3(\mathbb{Z}) \text{ with first column vector } (x', y', z')^t = S(1,0,0)^t \text{ as follows:} \\ \operatorname{Compute} g := \gcd(y', z') \text{ and } S_1, S_2 \in \operatorname{GL}_3(\mathbb{Z}) \text{ such that } S_1(x', y', z')^t = (x', g, 0)^t, \\ S_2(x', g, 0)^t = (1,0,0)^t. \text{ Set } S^{-1} := S_2S_1 \text{ since } S_2S_1(x', y', z')^t = S_2(x', g, 0)^t = \\ \end{array}$ $(1,0,0)^t$. To compute S_1 solve y'a + z'b = g by the extended gcd-algorithm. Then $S'_1 := \begin{pmatrix} a & b \\ z'/g & -y'/g \end{pmatrix} \in \operatorname{GL}_2(\mathbb{Z}) \text{ satisfies } S'_1(y',z')^t = (g,0)^t.$ Extend S'_1 to $S_1 \in \operatorname{SL}_2(\mathbb{Z})$ $GL_3(\mathbb{Z})$ by adding the first row (1,0,0) and first column $(1,0,0)^t$. Hence $S_1(x',y',z')^t$ $= (x', g, 0)^t$. Compute S_2 accordingly by solving x'a + gb = 1 = gcd(x', g).

Given S set $A' = (a'_{i,j})_{1 \le i,j \le 3} := S^t AS$. Then $a'_{1,1} = 0$ as (x', y', z') is isotropic.

Compute via the extended gcd-algorithm some $V \in GL_2(\mathbb{Z})$ such that $(a'_{1,2}, a'_{1,3})V =$ (r, 0) holds for $r := \text{gcd}(a'_{1,2}, a'_{1,3})$. This yields integers g, t, h such that

$$\left(\begin{array}{cc}1\\&V^{t}\end{array}\right)A'\left(\begin{array}{cc}1\\&V\end{array}\right)=\left(\begin{array}{cc}0&r&0\\r&g&t\\0&t&h\end{array}\right).$$

As $d = -r^2h$ and d is squarefree we have $r = \pm 1$. So let r = 1, h = -d, and thus

$$\begin{pmatrix} 1 & & \\ -\lfloor g/2 \rfloor & 1 & \\ -t & & 1 \end{pmatrix} \begin{pmatrix} 1 & & \\ 1 & g & t \\ & t & h \end{pmatrix} \begin{pmatrix} 1 & -\lfloor g/2 \rfloor & -t \\ & 1 & & \\ & & 1 \end{pmatrix} = \begin{pmatrix} 1 & & \\ 1 & e & 0 \\ & 0 & -d \end{pmatrix},$$

where $e = g - 2\lfloor g/2 \rfloor \in \{0, 1\}$. So far we have transformed f_A into $2xy + ey^2 - dz^2$. We are finished if e = 0.

Case e = 1. We transform $2xy + y^2 - dz^2$ into $2\overline{x}y - d\overline{z}^2$. As d is odd let -d = 1 + 2k. Transforming $2xy + y^2 - dz^2$ via $x := \bar{x} + ky - dz$ yields

 $2\bar{x}y + 2ky^2 + y^2 - 2dyz - dz^2 = 2\bar{x}y - dy^2 - 2dyz - dz^2 = 2\bar{x}y - d(y+z)^2.$ Setting $\bar{z} := y + z$ yields $2\bar{x}y - d\bar{z}^2$.

Quadratic form identification

Theorem 6.2. Let $d \in \mathbb{Z}$ be odd. Then the equation $2xy - dz^2 = m$ is solved by any z satisfying $z = m \mod 2$ and $x := (m + dz^2)/(2y)$ where y is an arbitrary integer divisor of $(m + dz^2)/2$.

Proof. For odd d we have that $m + dz^2$ is even if and only if $z = m \mod 2$. Hence $(m + dz^2)/2$ is integer and the claimed (x, y, z) is a solution.

Extensions. Ternary isotropic forms f_A of odd, squarefree det A are equivalent if and only if they coincide in det A. Moreover, **CEP** for such f_A can be solved in poly-time by Theorem 6.1. In particular, such f_A is equivalent to $2xy - \det A z^2$ by Theorem 6.1. However, f_A can be inequivalent to $2xy - \det A z^2$ for even det A. For example, the forms $ax^2 + by^2 - cz^2$ and $2xy + abcz^2$ can be inequivalent for even abc because only the second form is a multiple of 2 unless a, b, c are all even.

The equation $2xy - dz^2 = m$ is unsolvable for even d and odd m. However, all solvable instances of $f_A(\mathbf{x}) = m$ for even, squarefree $d = \det A$ can easily be solved given an isotropic vector.

Even when $d = \det A$ is not squarefree the equation $f_A(\mathbf{x}) = m$ can in practice be solved by the method of Theorems 6.1, 6.2. It is unlikely that a large squarefactor $r^2 \neq 1$ shows up in the algorithm of Theorem 6.1.

The set of all solutions. We get all solutions $(x, y, z) \in \mathbb{Z}^3$ of $2xy - dz^2 = m$ by extending the solutions of Theorem 6.2 in that we allow to permute x and y and to change the signs of x, y, z. In fact, we easily get all solutions of $2xy - dz^2 = 0$ given the factorization of d.

Moreover, when we replace \mathbb{Z} by a finite field, a finite ring or the field of real numbers then solving the equation $f_A(\mathbf{x}) = m$ is relatively easy for $m \neq 0$. Solutions over the ring \mathbb{Z}_N , N composite, can be found using Pollard's algorithm [24].

7 Characterization of isotropic and anisotropic indefinite forms

For fixed *n*, every *n*-ary form *f* over \mathbb{Z} can be transformed in poly-time into a diagonal form $fT = \frac{1}{a_0}f' = \frac{1}{a_0}\sum_{i=1}^n a_i x_i^2$, where $a_0, \ldots, a_n \in \mathbb{Z}$ and $T \in \mathbb{Q}^{n \times n}$, det T = 1. Then *f* is isotropic if and only if *f'* is isotropic. Next we characterize isotropic diagonal forms. The form $ax^2 + by^2 - cz^2$ is isotropic if and only if the Legendre equation

$$ax^2 + by^2 = cz^2 (7.1)$$

is solvable. The equation (7.1) is in *normal form* if $a, b, c \in \mathbb{N}$ are positive, squarefree and pairwise coprime. Let QR_a denote the set of quadratic residues modulo a.

Theorem 7.1 (see [17], [4]). In normal form the equation (7.1) has a non-zero solution if and only if $bc \in QR_a$, $ac \in QR_b$ and $-ab \in QR_c$, and solving (7.1) for given a, b, c is poly-time equivalent to each of the following problems :

1. solve $\alpha^2 = bc \mod a$, $\beta^2 = ac \mod b$ and $\gamma^2 = -ab \mod c$,

2. solve equation (7.1) for a non-zero $(x, y, z) \in \mathbb{Z}^3$ such that $x^2 + y^2 + z^2 \leq 2abc$.

Theorem 7.2 ([3, chap. 4.1, 4.2, lem. 2.6]). An indefinite form $f = \sum_{i=1}^{4} a_i x_i^2$ with $a_i \in \mathbb{Z}$ is anisotropic if and only if there exist a prime p and $k \in \mathbb{N}$ such that $p^{2k}|d$, $p^{2k+1} \not|d$ for $d := a_1 a_2 a_3 a_4$ and $\prod_{i < j} (a_i, a_j)_p = (-1)^{p \mod 2}$.

The Hilbert Norm Residue Symbol $(a_i, a_j)_p \in \{\pm 1\}$ equals 1 if and only if $a_i x^2 + a_j y^2 - z^2$ is isotropic over \mathbb{Q}_p , the field of *p*-adic numbers. In particular $(a_i, a_j)_p$ is poly-time, and anisotropy of *f* is poly-time given the factorization of det *f* [3, chap. 3.2]. For $n \ge 5$ every indefinite *n*-ary form *f* is isotropic [19]. Anisotropic quaternary forms *f* have a square-factor dividing det *f*.

References

- M. Ajtai and Cynthia Dwork, A public-key cryptosystem with worst-case/average-case equivalence. Proceedings STOC 1997, pp. 284–293, Association for Computing Machinery, New York, 1997.
- [2] J. Buchmann and U. Vollmer, *Binary quadratic forms. An algorithmic approach*, Algorithms and Computation in Mathematics 20. Springer-Verlag, Berlin, 2007.
- [3] J. W. S. Cassels, *Rational quadratic forms*, L.M.S. Monographs 13. Academic Press, New York, 1978.
- [4] T. Cochrane and P. Mitchell, Small solutions of the Legendre equation, Journal of Number Theory 70 (1998), pp. 62–66.
- [5] H. Cohen, A course in computational algebraic number theory, Graduate Texts in Mathematics 138. Springer-Verlag, Berlin, 1993.
- [6] R. Dietmann, Small solutions of quadratic Diophantine equations, Proceedings of the London Mathematical Society, III. Ser. 86 (2003), pp. 545–582.
- [7] C. F. Gauß, Untersuchungen über höhere Arithmetik (Disquisitiones Arithmeticae). Springer-Verlag, Berlin, 1889, second edition: Chelsea Publ. New York, 1965, reprinted 1981.
- [8] O. Goldreich, Shafi Goldwasser, and S. Halevi, Public-key cryptosystems from lattice reduction problems. Proceedings Advances in Cryptology - CRYPTO '97, Lecture Notes in Computer Science 1294, pp. 112–131. Springer-Verlag, Berlin, 1997.
- [9] R. J. Hartung, Computational Problems of Quadratic Forms: Complexity and Cryptographic Perspectives, Ph.D. thesis, Goethe-Universität Frankfurt a. M., 2008, http://publikationen.ub.uni-frankfurt.de/volltexte/2008/5444/ pdf/HartungRupert.pdf.
- [10] R. J. Hartung and C.-P. Schnorr, Public key identification based on the equivalence of quadratic forms. Proceedings MFCS 2007, Lecture Notes in Computer Science 4708, pp. 333–345. Springer-Verlag, Berlin, 2007, http://www.mi.informatik.uni-frankfurt.de.
- [11] J. Hoffstein, N. Howgrave-Graham, J. Pipher, J. H. Silverman, and W. Whyte, *NTRUSign: Digital signatures using the NTRU lattice*. Proceedings Topics in cryptology CT-RSA 2003, Lecture Notes in Computer Science 2612, pp. 122–140. Springer-Verlag, Berlin, 2003.
- [12] J. Hoffstein, J. Pipher, and J. H. Silverman, NTRU: A ring-based public key cryptosystem. Proceedings 3rd international symposium ANTS-III, 1998, Lecture Notes in Computer Science 1423, pp. 267–288. Springer-Verlag, Berlin, 1998.
- [13] G. Ivanyos and Á. Szánto, Lattice basis reduction for indefinite forms and an application, Journal on Discrete Mathematics 153 (1996), pp. 177–188.

()	1100	Irotic.	torm	1dan	t1 t1/	notion
U	uau	nauc	form	IUCII	um	Lauon

341

- [14] H. W. Lenstra jun., A. K. Lenstra, and L. Lovász, Factoring polynomials with rational coefficients, Mathematische Annalen 261 (1982), pp. 515–534.
- [15] S. Khot, Hardness of approximating the shortest vector problem in lattices, Journal of the ACM 52 (2005), pp. 789–808.
- [16] J. C. Lagarias, Worst-case complexity bounds for algorithms in the theory of integral quadratic forms, Journal of Algorithms 1 (1980), pp. 142–186.
- [17] A. M. Legendre, *Recherche d'analyse indéterminée*, Histoire de l'Académie Royale des Sciences 1788 (1785), pp. 465–559.
- [18] K. L. Manders and L. M. Adleman, NP-complete decision problems for binary quadratics, Journal of Computer and System Sciences 16 (1978), pp. 168–184.
- [19] A. Meyer, Zur Theorie der indefiniten ternären quadratischen Formen, Journal f
 ür Mathematik 108 (1891), pp. 125–139.
- [20] D. Micciancio and Shafi Goldwasser, *Complexity of lattice problems: A cryptographic perspective*, The Kluwer International Series in Engineering and Computer Science 671. Kluwer, Boston, 2002.
- [21] P. Q. Nguyen and D. Stehlé, *Floating-point LLL revisited*. Proceedings Advances in Cryptology - EUROCRYPT 2005, Lecture Notes in Computer Science 3494, pp. 215–233. Springer-Verlag, Berlin, 2005.
- [22] W. Plesken and M. Pohst, Constructing integral lattices with prescribed minimum. I, Mathematics of Computation 45 (1985), pp. 209–221.
- [23] W. Plesken and B. Souvignier, *Computing isometries of lattices*, Mathematics of Computation 45 (1985), pp. 209–221.
- [24] J. M. Pollard and C.-P. Schnorr, An efficient solution of the congruence $x^2 + ky^2 = m(mod n)$, IEEE Transactions on Information Theory 33 (1987), pp. 702–709.
- [25] C.-P. Schnorr, Progress on LLL and lattice reduction. Proceedings LLL+25, Caen, France, June 29–July 1, 2007, final version to appear; http://www.mi.informatik. uni-frankfurt.de.
- [26] D. Simon, *Quadratic equations in dimensions 4, 5 and more*, 2005, preprint Uni. Caen: http://www.math.unicaen.fr/~simon/.
- [27] _____, Solving quadratic equations using reduced unimodular quadratic forms, Mathematics of Computation 74 (2005), pp. 1531–1543.
- [28] V. G. Zhuravlev, Representation of a Form by the Genus of Quadratic Forms, St. Petersburg Mathematical Journal 8 (1997), pp. 15–84.

Received 13 December, 2007; revised 29 September, 2008

Author information

Rupert J. Hartung, Johann Wolfgang Goethe Universität Frankfurt a. M., Postfach 11 1932, Fach 238, 60054 Frankfurt a. M., Germany. Email: rupert.hartung@web.de

Claus-Peter Schnorr, Johann Wolfgang Goethe Universität Frankfurt a. M., Postfach 11 19 32, Fach 238, 60054 Frankfurt a. M., Germany. Email: schnorr@mi.informatik.uni-frankfurt.de