# A Fast Stochastic Contact Model for Planar Pushing and Grasping: Theory and Experimental Validation

Jiaji Zhou, J. Andrew Bagnell and Matthew T. Mason The Robotics Institute, Carnegie Mellon University {jiajiz, dbagnell, matt.mason}@cs.cmu.edu

Abstract—Based on the convex force-motion polynomial model for quasi-static sliding, we derive the kinematic contact model to determine the contact modes and instantaneous object motion on a supporting surface given a position controlled manipulator. The inherently stochastic object-to-surface friction distribution is modelled by sampling physically consistent parameters from appropriate distributions, with only one parameter to control the amount of noise. Thanks to the high fidelity and smoothness of convex polynomial models, the mechanics of patch contact is captured while being computationally efficient without mode selection at support points. The motion equations for both single and multiple frictional contacts are given. Simulation based on the model is validated with robotic pushing and grasping experiments. <sup>1</sup>

#### I. Introduction

Uncertainty from robot perception and motion inaccuracy is ubiquitous. Planning and control without explicit reasoning about uncertainty can lead to undesirable results. For example, grasp planning [17, 5] is often prone to uncertainty: the object moves while the fingers close and ends up in a final relative pose that differs from planned. Consider the process of closing a parallel jaw gripper shown in Fig. 1, the object will slide when the first finger engages contact and pushes the object before the other one touches the object. If the object does not end up slipping out, it can be jammed at an undesired position or grasped at an unexpected position. A high fidelity and easily identifiable model with minimum adjustable parameters capturing all these possible outcomes would enable synthesis of robust manipulation strategy.

Although we can reduce uncertainty by carefully controlling the robot's environment, as in most factory automation scenarios, such approach is both expensive and inflexible. Effective robotic manipulation requires an understanding of the underlying physical processes. Mason [15] explored using pushing as a sensorless mechanical funnel to reduce uncertainty. Whitney [23] analyzed the mechanics of wedging and jamming during peg-in-hole insertion and designed the Remote Center Compliance device that significantly increases the success of the operation under motion uncertainty. With a well defined generalized damper model, Lozano-Perez et al. [9] and Erdmann [4] developed strategies to chain a sequence of operations, each with a certain funnel, to guarantee operation success despite uncertainty. These successes stem from robustness analysis using simple physics models.

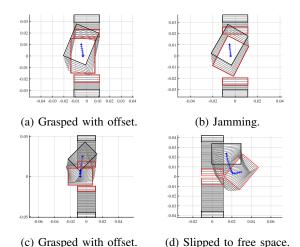


Fig. 1: Simulation results using the proposed contact model illustrating the process of a parallel jaw gripper squeezing along the y axis when the object is placed at different initial poses. The initial, final and intermediate gripper configurations and object poses are in black, red and grey respectively. Blue plus signs trace out the center of mass trajectory of the object.

A large class of manipulation problems involve finite planar sliding motion. In this paper, we propose a quasi-static kinematic contact model for such a class. We model the inherent stochasticity in frictional sliding by sampling the physics parameters from proper distributions. We validate the model by comparing simulation with large scale experimental data on robotic pushing and grasping tasks. The model serves as good basis for both open loop planning and feedback control.

The proposed contact model is a direct extension of [27], which presents a dual mapping between an applied wrench and a resultant object twist. In this paper, we map a given position controlled input (which is common in most standard industrial manipulators) to the resultant twist including the nomotion case for jamming and grasping. The applied wrench is solved as an intermediate output without needing to control it. The rest of the paper is organized as follows:

- Section II describes the previous work.
- Section III reviews the convex polynomial representation of the limit surface [27] and the mechanics of pushing.
- Section IV-A develops the contact model of unilateral frictional contact for both slipping and sticking. Section IV-B develops the model for multiple frictional contacts.

<sup>&</sup>lt;sup>1</sup>Our open-source simulation software and data are available at: https://github.com/robinzhoucmu/Pushing

- Section V develops sampling strategies of physically consistent model parameters that captures the inherent frictional stochasticity.
- Section VI demonstrates experimental evaluation of both pushing and grasping simulation using the proposed contact model.

We assume quasi-static rigid body planar mechanics [14] where inertia forces and out-of-plane moments are negligible.

### II. RELATED WORK

The mechanics of pushing and grasping involving finite object motion with frictional support was first studied in [15]. A notable result is the voting theorem which dictates the sense of rotation given a push action and the center of pressure regardless of the uncertain pressure distribution. Brost [1] used this result to construct the operational space for planning squeezing and push-grasping actions under uncertainty. However, many unrealistic assumptions are made in order to reduce the state space and create finite discrete transitions, including infinitely long fingers approaching the object from infinitely far away. Additionally, how far to push the object in the pushgrasp action is not addressed. Peshkin and Sanderson [19] provided an analysis on the slowest speed of rotation given a single point push. They [20] used this result to design fences for parts feeding. Lynch and Mason [11] derived conditions for stable edge pushing such that the object will remain attached to the pusher without slipping or breaking contact. All of these results do not assume knowledge of the pressure distribution except the location of center of pressure. They can be classified as worse case guarantees without looking into the details of sliding motion. Despite being agnostic to pressure distribution, these methods tend to be overly conservative.

Another line of research is to identify the necessary physical parameters. Common approaches [10, 25] discretize the contact patch into grids and optimize for approximate criteria of force balancing. These methods naturally suffer from the downside of coarse discrete approximation of distributions or curse of dimensionality if fine discretization is adopted. Additionally, the instantaneous center of rotation of the object can coincide with one of the support points, rendering the kinematic solution computationally hard due to combinatorial sliding/sticking mode assignment for each support point.

Goyal et al. [7] noted that all the possible static and sliding frictional wrenches, regardless of the pressure distribution, form a convex set whose boundary is called as limit surface. The limit surface can be constructed from Minkowsky sum of frictional limit curves at individual support points without a convenient explicit form. Howe and Cutkosky [8] presented an experimental method to identify an ellipsoidal approximation given known pressure. Dogar and Srinivasa [3] used the ellipsoidal approximation and integrated with motion planners to plan push-grasp actions for dexterous hands. Closely related to our work, Lynch et al. [12] derived the kinematics of single point pushing with centered and axis aligned ellipsoid approximation. Zhou et al. [27] proposed a framework of representing

planar sliding force-motion models using homogeneous evendegree sos-convex polynomials, which can be identified by solving a semi-definite programming. The set of applied friction wrenches is the 1-sublevel set of a convex polynomial whose gradient directions correspond to incurred sliding body twist. In this paper, we extend the convex polynomial model to associate a commanded rigid position-controlled end effector motion to the instantaneous resultant object motion. We show that single contact with convex quadratic limit surface model has a unique analytical linear solution which extends [12]. The case for a high order convex polynomial model is reduced to solving a sequence of such subproblems. For multiple contacts (e.g., pushing with multiple points or grasping) we need to add linear complementarity constraints [22] at the pusher points, and the entire problem is a standard linear complementarity problem (LCP).

## III. NOTATIONS AND BACKGROUND

We first introduce the following notations:

- *O*: the object center of mass used as the origin of the body frame. We assume vector quantities are with respect to body frame unless specially noted.
- R: the region between the object and the supporting surface.
- $\mathbf{f_s}(\mathbf{r})$ : the friction force distribution function that maps a point  $\mathbf{r}$  in the contact area R to its friction force the object applies on the supporting surface. For isotropic point Coulomb friction law, when the velocity at  $\mathbf{r}$  is nonzero,  $\mathbf{f_s}(\mathbf{r})$  is in the same direction of the velocity. Its magnitude equals the pressure force multiplied by the coefficient of friction between the object and the supporting surface. When the object is static,  $\mathbf{f_s}(\mathbf{r})$  is indeterminate and dependent on the externally applied force by the manipulator.
- $\mathbf{V} = [V_x; V_y; \boldsymbol{\omega}]$ : the body twist (generalized velocity).
- $\mathbf{F} = [F_x; F_y; \tau]$ : the applied body wrench by the manipulator that quasi-statically balances the friction wrench from the surface.
- **p**<sub>i</sub>: each contact point between the manipulator end effector and object in the body frame.
- v<sub>pi</sub>: applied velocities by the manipulator end effector at each contact point in the body frame.
- $\mathbf{n}_{p_i}$ : the inward normal at contact point  $\mathbf{p}_i$  on the object.
- $\mu_c$ : coefficient of friction between the object and the manipulator end effector.

# A. Force-Motion Model

In this section we review the basics of force-motion models for planar sliding and the mechanics of pushing. We refer the readers to [6, 15, 27] for more details. Given a body twist  $\mathbf{V}$ , the components of the friction wrench  $\mathbf{F}$  are given by integrations over R:

$$[F_x; F_y] = \int_R \mathbf{f}_s(\mathbf{r}) dr, \quad \tau = \int_R \mathbf{r} \times \mathbf{f}_s(\mathbf{r}) dr.$$
 (1)

We can compute F for each V and form the set of all possible friction wrenches. Goyal et al. [6] defined the set boundary as

limit surface. It is shown that the friction wrench set is convex and points on the limit surface correspond to friction wrenches when the object slides. Additionally, the normal for a point (wrench) on the limit surface is parallel to the corresponding twist. Zhou et al [27] showed that level sets of homogeneous even degree convex polynomials can approximate the limit surface geometry sufficiently well. Denote by  $H(\mathbf{F})$  the convex polynomial function, the twist V for a given friction wrench **F** is parallel to the gradient  $\nabla H(\mathbf{F})$ :

$$\mathbf{V} = k\nabla H(\mathbf{F}) \qquad k > 0. \tag{2}$$

Additionally the inverse mapping can be efficiently computed. Given the twist V, optimizing a least-squares objective with the Gauss-Newton algorithm gives the unique solution that corresponds to the wrench F.

With a position-controlled manipulator, we are given contact points **p** with inward normals  $\mathbf{n}_p$ , pushing velocities  $\mathbf{v}_p$  and coefficient of friction  $\mu_c$  between the pusher and the object. The task is to resolve the incurred body twist V and consistent contact modes (sticking, slipping, breaking contact) to maintain wrench balance.

## IV. CONTACT MODELLING

## A. Single point pusher

Let the COM be the point of origin of the local body frame a level set representation of limit surface  $H(\mathbf{F})$ . We introduce the concept of motion cone first proposed in [15]. Let  $J_p = \begin{bmatrix} 1 & 0 & -p_y \\ 0 & 1 & p_x \end{bmatrix}$ , and denote by  $\mathbf{F}_l = J_p^T \mathbf{f}_l$  and  $\mathbf{F}_r = J_p^T \mathbf{f}_r$ the left and right edges of the applied wrench cone with corresponding resultant twist directions  $\mathbf{V}_l = \nabla H(\mathbf{F}_l)$  and  $\mathbf{V}_r = \nabla H(\mathbf{F}_r)$  respectively. The left edge of the motion cone is  $\mathbf{v}_l = J_p \mathbf{V}_l$  and the right edge of the motion cone is  $\mathbf{v}_r = J_p \mathbf{V}_r$ . If the contact point pushing velocity  $\mathbf{v}_{\mathbf{p}}$  is inside the motion cone, i.e.,  $\mathbf{v}_p \in \mathbf{K}(\mathbf{v}_l, \mathbf{v}_r)$ , the contact sticks. When  $\mathbf{v}_p$  is outside the motion cone, sliding occurs. If  $\mathbf{v}_p$  is to the left of  $\mathbf{v}_l$ , then the pusher slides left with respect to the object. Otherwise if  $\mathbf{v}_p$  is to the right of  $\mathbf{v}_r$ , then the pusher slides right as shown in Fig. 2a.

The following constraints hold assuming sticking contact:

$$v_{px} = V_x - \omega p_y$$

$$v_{py} = V_y + \omega p_x$$

$$\mathbf{V} = k \cdot \nabla H(\mathbf{F}), \quad k > 0$$
(3)

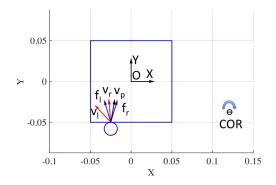
$$v_{py} = V_y + \omega p_x \tag{4}$$

$$\mathbf{V} = k \cdot \nabla H(\mathbf{F}), \qquad k > 0 \tag{5}$$

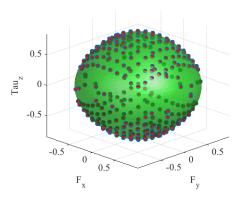
$$\tau = -p_{\nu}F_{x} + p_{x}F_{\nu} \tag{6}$$

In the case of ellipsoidal (convex quadratic) representation, i.e.,  $H(\mathbf{F}) = \mathbf{F}^T A \mathbf{F}$  where A is a positive definite matrix, the problem is a full rank linear system with a unique solution. Lynch et al. [12] gives an analytical solution when A is diagonal. We show that a unique analytical solution exists for any positive definite symmetric matrix A. Let  $\mathbf{t} = [-p_{v}, p_{x}, -1]^{T}$ ,  $D = [J_p^T, A^{-1}\mathbf{t}]^T$  and  $\mathbf{V}_p = [v_p^T, 0]^T$ , equations 3-6 can then be combined as one linear equation:

$$\mathbf{V} = D^{-1}\mathbf{V}_n \tag{7}$$



(a) The square has a uniform pressure distribution over 100 support grid points sharing the same coefficient of friction. The finger's pushing velocity is to the right of the motion cone and hence the finger will slide to the right. The instantaneous clockwise center of rotation is marked as a circle with a negative sign.



(b) Corresponding convex fourth order polynomial level set representation identified [27] from two hundred random wrench twist pairs.

Fig. 2: Mechanics of single point pushing and a fourth order representation of the limit surface.

Theorem 1: Pushing with single sticking contact and the convex quadratic representation of limit surface (abbreviated as P.1) has a unique solution from a linear system.

*Proof:* It is obvious that we only need to prove D is invertible. 1) The row vectors of  $J_p$  are linearly independent and span a plane. 2)  $J_p \mathbf{t} = 0$  implies  $\mathbf{t}$  is orthogonal to the spanned plane. 3) If D is not full rank, then  $A^{-1}\mathbf{t}$  must lie in the spanned plane and is therefore orthogonal to t. This contradicts with the fact that  $\langle \mathbf{t}, A^{-1}\mathbf{t} \rangle > 0$  for positive definite matrix  $A^{-1}$  and nonzero vector **t**.

Corollary 1: Pushing with single sticking contact and the general convex polynomial limit surface representation is reducible to solving a sequence of sub-problems P.1.

For general convex polynomial representation  $H(\mathbf{F})$ , the following optimization is equivalent to equation 3-6:

minimize 
$$\|J_p \nabla H(\mathbf{F}) - \mathbf{v_p}\|$$
 (8) subject to  $\mathbf{t}^T F = 0$  (9)

subject to 
$$\mathbf{t}^T F = 0 \tag{9}$$

When  $H(\mathbf{F})$  is of convex quadratic (ellipsoidal) form, the analytical minimizer is  $\mathbf{F} = A^{-1}D^{-1}\mathbf{V}_p$ . In the case of high order convex homogeneous polynomials, we can resort to an iterative solution where we use the Hessian matrix as a local ellipsoidal approximation, i.e., set  $A_t = \nabla^2 H(\mathbf{F_t})$  and compute  $\mathbf{F_{t+1}} = A_t^{-1}D^{-1}\mathbf{V}_p$  until convergence.

When  $\mathbf{v}_p$  is outside of the motion cone, assuming right sliding occurs without loss of generality, the wrench applied by the finger equals  $\mathbf{F}_r$ . The resultant object twist  $\mathbf{V}$  follows the same direction as  $\mathbf{V}_r$  with proper magnitude such that the contact is maintained:

$$\mathbf{V} = s\mathbf{V}_r \tag{10}$$

$$s = \frac{\mathbf{n_p}^T \mathbf{v}_p}{\mathbf{n_p}^T \mathbf{v}_l} \tag{11}$$

### B. Multi-contacts

Mode enumeration is tedious for multiple contacts. The linear complementarity formulation for frictional contacts ([22]) provides a convenient representation. Denote by m the total number of contacts, the quasi-static force-motion equation is given by:

$$\mathbf{V} = k\nabla H(\mathbf{F}),\tag{12}$$

where the total applied wrench is the sum of normal and frictional wrenches over all applied contacts:

$$\mathbf{F} = \sum_{i=1}^{m} J_{p_i}^T (f_{n_i} \mathbf{n}_{\mathbf{p_i}} + D_{\mathbf{p_i}} \mathbf{f}_{t_i}). \tag{13}$$

 $f_{n_i}$  is the normal force magnitude along the normal  $\mathbf{n_i}$ , and  $\mathbf{f}_{t_i}$  is the vector of tangential friction force magnitudes along the column vector basis of  $D_{\mathbf{p_i}} = [\mathbf{t}_{p_i}, -\mathbf{t}_{p_i}]$ . The velocity at contact point  $\mathbf{p_i}$  on the object is given by  $J_{p_i}\mathbf{V}$ . The first order complementarity constraints on the normal force magnitude and the relative velocity are given by:

$$0 \le f_{n_i} \perp (\mathbf{n}_{p_i}^T (J_{p_i} \mathbf{V} - \mathbf{v}_p)) \ge 0. \tag{14}$$

The complementarity constraints for Coulomb friction are given by:

$$0 \le \mathbf{f}_{t_i} \perp (D_{\mathbf{p}_i}^T (J_{p_i} \mathbf{V} - \mathbf{v}_p) + \mathbf{e} \lambda_i) \ge 0, \tag{15}$$

$$0 \le \lambda_i \perp (\mu_i f_{n_i} - \mathbf{e}^T \mathbf{f}_{t_i}) \ge 0, \tag{16}$$

where  $\mu_i$  is the coefficient of friction at  $\mathbf{p_i}$  and  $\mathbf{e} = [1;1]$ . In the case of ellipsoid (convex quadratic) representation, i.e.,  $H(\mathbf{F}) = \mathbf{F}^T A \mathbf{F}$  where A is a positive definite matrix, equations 12 to 16 can be written in matrix form:

$$\begin{bmatrix} 0 \\ \alpha \\ \beta \\ \gamma \end{bmatrix} = \begin{bmatrix} A^{-1}/k & -N^T & -L^T & 0 \\ N & 0 & 0 & 0 \\ L & 0 & 0 & E \\ 0 & \mu & -E^T & 0 \end{bmatrix} \begin{bmatrix} \mathbf{V} \\ \mathbf{f}_n \\ \mathbf{f}_t \\ \lambda \end{bmatrix} + \begin{bmatrix} 0 \\ \mathbf{a} \\ \mathbf{b} \\ 0 \end{bmatrix}, \quad (17)$$

$$0 \le \begin{bmatrix} \alpha \\ \beta \\ \gamma \end{bmatrix} \perp \begin{bmatrix} \mathbf{f}_n \\ \mathbf{f}_t \\ \lambda \end{bmatrix} \ge 0,$$

where the binary matrix  $E \in R^{2m \times m}$  equals  $\begin{bmatrix} \mathbf{e} & & \\ & \ddots & \\ & & \mathbf{e} \end{bmatrix}$ 

 $\mu = [\mu_1, \dots, \mu_m]^T$ , the stacking matrix  $N \in R^{m \times 3}$  equals  $[\mathbf{n}_{p_1}^T J_{p_1}; \dots; \mathbf{n}_{p_m}^T J_{p_m}]$ , the stacking matrix  $L \in R^{2m \times 3}$  equals  $[D_{p_1}^T J_{p_1}; \dots; D_{p_m}^T J_{p_m}]$ , the stacking vector  $\mathbf{s_a} \in R^m$  equals  $[-\mathbf{n}_{p_1}^T \mathbf{v}_{p_1}, \dots, -\mathbf{n}_{p_m}^T \mathbf{v}_{p_m}]^T$  and vector  $\mathbf{s_b} \in R^{2m}$  equals  $[-D_{p_1}^T \mathbf{v}_{p_1}, \dots, -D_{p_m}^T \mathbf{v}_{p_m}]^T$ .

Note that the positive scalar k will not affect the solution value of  $\mathbf{V}$  since  $\mathbf{f}_n$  and  $\mathbf{f}_t$  will scale accordingly. Hence, we can drop the scalar k and further substitute  $\mathbf{V} = A(N^T\mathbf{f}_n + L^T\mathbf{f}_t)$  into equation 17 and reach the standard linear complementarity form as follows:

$$\begin{bmatrix} \alpha \\ \beta \\ \gamma \end{bmatrix} = \begin{bmatrix} NAN^T & NAL^T & 0 \\ LAN^T & LAL^T & E \\ \mu & -E^T & 0 \end{bmatrix} \begin{bmatrix} \mathbf{f}_n \\ \mathbf{f}_t \\ \lambda \end{bmatrix} + \begin{bmatrix} \mathbf{s_a} \\ \mathbf{s_b} \\ 0 \end{bmatrix}, \quad (18)$$
$$0 \le \begin{bmatrix} \alpha \\ \beta \\ \gamma \end{bmatrix} \perp \begin{bmatrix} \mathbf{f}_n \\ \mathbf{f}_t \\ \lambda \end{bmatrix} \ge 0.$$

Similarly, for the case of high order convex homogeneous polynomials, we can iterate between taking the linear Hessian approximation and solving the LCP problem in equation 18 until convergence.

Lemma 1: The object is quasi-statically jammed or grasped if the LCP problem (equation 18) yields no solution.

Fig. 3 provides a graphical proof. When equation 18 yields no solution, either there is no feasible kinematic motion of the object without penetration or all the friction loads associated with the feasible instantaneous twists cannot balance against any element from the set of possible applied wrenches. In this case, the object is quasi-statically jammed or grasped between the fingers. Neither the object nor the end effector can move.

# V. STOCHASTICITY

Uncertainty is inherent in frictional interaction. Two major sources contribute to the uncertainty in planar motion: 1) indeterminancy of the supporting friction distribution  $f_s(r)$  due to changing pressure distribution and coefficients of friction between the object and support surface; 2) the coefficient of friction  $\mu_c$  between the object and the robot end effector. We sample  $\mu_c$  uniformly from a given range. To model the effect of changing support friction distribution, for a even degree-d strictly convex polynomial (except at the point of origin)  $H(\mathbf{F};a) = \sum_{i=1}^m a_i F_x^{i_1} F_y^{i_2} F_z^{d-i_1-i_2}$  with m monomial terms [27], we sample the polynomial coefficient parameters a in from a distribution that satisfies:

- Samples from the distribution should result in a even degree homogeneous convex polynomial to represent the limit surface.
- 2) The mean can be set as a prior estimate and the amount of variance controlled by one parameter.

The Wishart distribution  $S \sim W(\hat{S}, n_{df})$  [24] with mean  $n_{df}S_{est}$  and variance  $Var(S_{ij}) = n_{df}(\hat{S}_{ij}^2 + \hat{S}_{ii}\hat{S}_{jj})$  is defined over symmetric positive semidefinite random matrices as a generalization of the chi-squared distribution to multi-dimensions.

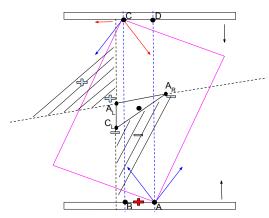


Fig. 3: Using moment labeling ([16]), the center of rotation (COR) has positive sign (counter-clockwise) and can only lie in the band between the two blue contact normal lines. Further, the COR must lie on segment AB (contact point A sticks) or segment CD (contact point C sticks) since otherwise both contacts will slip, but the total wrench from the two left edges of the friction cones has negative moment which cannot cause counter-clockwise rotation. Without loss of generality, we can assume COR (red plus) lies on segment AB, leading to sticking contact at A and left sliding at C. Following a similar analysis using the force dual graphical approach ([2]), each single friction force can be mapped to its instantaneous resultant signed COR whose convex combination forms the set of all possible CORs under the composite friction forces. The COR can either be of positive sign in the upper left hatched region or negative sign in the lower right hatched region which contradicts with the proposed AB segment. Hence jamming occurs and neither the gripper nor the object can move. This corresponds exactly to the no solution case of equation 18.

For ellipsoidal (convex quadratic)  $H(\mathbf{F};A) = A^T \mathbf{F} A$ , we can directly sample A from  $\frac{1}{n_{df}} W(A_{est},n)$  with mean  $A_{est}$  and variance  $Var(A_{ij}) = \frac{(\hat{A_{est}}_{ij}^2 + \hat{A_{est}}_{ii} + \hat{A_{est}}_{ij})}{n_{df}}$  where  $A_{est}$  is some estimated value from data or fitted for a particular pressure distribution. Sampling from general convex polynomials is hard. Fortunately, we find that sampling from the sos-convex [18, 13] polynomials subset is not. The key is the coefficient vector a of a sos-convex polynomial  $H(\mathbf{F};a)$  has a unique one-to-one mapping to a positive definite matrix Q so that we can first sample  $\tilde{Q}$  from  $\frac{1}{n_{df}} W(Q_{est}, n_{df})^2$  and then map back to  $\tilde{a}$ . Given a sos-convex polynomial representation of  $H(\mathbf{F};a)$ , the Hessian matrix  $\nabla^2 H(\mathbf{F};a)$  at  $\mathbf{F}$  is positive definite, i.e., for any non-zero vector  $\mathbf{z} \in \mathbb{R}^3$ , there exists a positive-definite matrix Q such that

$$\mathbf{z}^{T}\nabla^{2}H(\mathbf{F};a)\mathbf{z} = y(\mathbf{F},\mathbf{z})^{T}Qy(\mathbf{F},\mathbf{z}) > 0.$$
 (19)

In the case of fourth order polynomial we have  $y(\mathbf{F}, \mathbf{z}) = [z_1F_x, z_1F_y, z_1F_z, z_2F_x, z_2F_y, z_2F_z, z_3F_x, z_3F_y, z_3F_z]^T$ . Q and a are

related through a set of linear equalities: equation (19) can be written as a set of K sparse linear constraints on Q and a.

$$\operatorname{Tr}(C_k Q) = b_k^T a, \quad k \in \{1 \dots K\}$$
 (20)

where  $C_k$  and  $b_k$  are the constant sparse element indicator matrix and vector that only depend on the polynomial degree d. Hence we can map each sampled  $\tilde{Q}$  back to  $\tilde{a}$ . The degree of freedom parameter  $n_{df}$  determines the sampling variance. The smaller  $n_{df}$  is, the noisier the system will be.

## VI. EXPERIMENTAL EVALUATION

# A. Evaluation of Deterministic Pushing Model

We evaluate our deterministic model on the large scale MIT pushing dataset [26] and a smaller dataset [27] that has discrete pressure distributions. For the MIT pushing dataset, we use 10mm/s velocity data logs for 10 objects<sup>3</sup> on 3 hard surfaces including delrin, abs and plywood. The force torque signal is first filtered with a low pass filter and 5 wrench-twist pairs evenly spaced in time are extracted from each push action json log file. 10 random train-test splits (20 percent of the logs for training, 10 percent for validation and the rest for testing) are conducted for each object-surface scenario. On average, around 600 wrench-twist pairs are used for identification.

Given two poses  $q_1 = [x_1, y_1, \theta_1]$  and  $q_2 = [x_2, y_2, \theta_2]$ , we define the deviation metric  $d(q_1, q_2)$  which combines both the displacement and angular offset as  $d(q_1, q_2) = \sqrt{(x_1 - x_2)^2 + (y_1 - y_2)^2} + \rho \cdot \min(|\theta_1 - \theta_2|, 2\pi - |\theta_1 - \theta_2|)$ , where  $\rho$  is the characteristic length of the object (e.g., radius of gyration or radius of minimum circumscribed circle). A one dimensional coarse grid search over the coefficient of friction  $\mu_c$  between the pusher and object is chosen to minimize average deviation of the predicted final pose and ground truth final pose on training data. Table I shows the average metric with a 95% percent confidence interval. Interestingly, we find that using more training data does not improve the performance much. This is likely due to the inherent stochasticity (variance) and changing surface conditions as reported in [26].

The objects in the MIT pushing dataset are closer to uniform pressure. We also evaluate on a smaller dataset [27] that has discrete pressure distributions, and in particular three points support whose pressure can be derived exactly as ground truth. We use 400 wrench twists sampled from the ideal limit surface for training. The coefficient of friction between the object and pusher is determined by a grid search over 40 percent of the logs to determine. We use the remaining 60 percent to evaluate simulation accuracy. Note that in both evaluations, the accuracy of deterministic models are upper bounded by the system variance.

## B. Pushing with stochasticity

The experiment in [26] demonstrates that the same 2000 pushes in a highly controlled setting result in a distribution

 $<sup>^2\</sup>mathrm{We}$  have noted that adding a small constant on the diagonal elements of  $\tilde{Q}$  improves numerical stability.

<sup>&</sup>lt;sup>3</sup>Despite having the same experimental set up and similar geometry and friction property to the other two triangular shapes, the results for object Tr2 is about 1.5 -2 times worse. Due to time constraint, we have not ruled out the possibility that the data for object Tr2 is corrupted.

	rect1	rect2	rect3	tri 1	tri3	ellip1	ellip2	ellip3	hex	butter
poly4-delrin	8.28±0.29	5.37±0.23	6.10±0.21	9.71±0.33	$7.54\pm0.23$	$7.68\pm0.51$	8.90±1.40	$7.35\pm0.38$	$6.38 \pm 0.28$	4.83±0.27
quad-delrin	8.60±0.35	5.92±0.14	8.20±0.16	9.90±0.41	$8.18\pm0.15$	$6.85 \pm 0.25$	6.29±0.24	8.08±0.51	$6.42\pm0.12$	5.97±0.23
delrin	35.48	40.53	35.98	36.91	34.66	32.18	38.05	33.37	33.55	34.09
poly4-abs	$5.86 \pm 0.11$	$7.48\pm0.80$	$3.59\pm0.12$	$7.13\pm0.26$	$5.17\pm0.38$	8.45±1.13	9.18±1.26	5.93±0.19	$7.56\pm0.39$	3.94±0.11
quad-abs	6.07±0.16	6.74±0.27	6.19±0.18	8.00±0.37	$7.17\pm0.37$	$6.66 \pm 0.28$	$7.69\pm0.27$	5.78±0.21	$8.19\pm0.21$	5.39±0.15
abs	34.14	39.74	33.98	35.43	32.37	32.68	33.53	32.45	33.23	33.53
poly4-plywood	6.86±0.71	$6.86 \pm 0.13$	5.93±0.33	4.61±0.13	$7.21\pm0.47$	4.39±0.16	4.99±0.31	5.72±0.31	$8.41\pm0.24$	4.72±0.17
quad-plywood	6.20±0.20	$7.22\pm0.18$	$6.88 \pm 0.18$	5.96±0.19	9.43±0.56	$4.42\pm0.12$	$5.84\pm0.20$	6.46±0.26	$8.85 \pm 0.17$	6.05±0.22
plywood	31.86	33.22	32.94	32.81	33.78	27.24	28.23	33.29	32.77	34.10

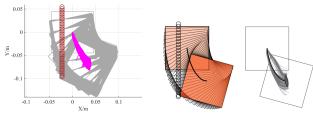
TABLE I: Average deviation (in mm) that combines both displacement and angular offset) between the simulated final pose and actual final pose with 95 percent confidence interval. The 3rd, 6th and 9th rows are the deviation from the ground truth initial pose and final pose to indicate how much the object is moved due to the push. In most cases, the fourth order convex (poly4) polynomial has better accuracy. The average normalized percentage error for poly4 is 20.05% and for quadratic is 21.39%. However, the accuracy of a fixed deterministic model is bounded by the inherent variance of the system.

	2 . 1	2 . 2	2 . 2	2 . 1	
	3pts1	3pts2	3pts3	3pts4	
poly4-hardboard	3.52±0.21	2.75±0.25	2.92±0.27	$2.80\pm0.23$	
quad-hardboard	$3.82\pm0.24$	3.63±0.27	3.35±0.23	3.96±0.28	
hardboard	16.63	13.86	14.83	15.15	
poly4-plywood	$3.78\pm0.11$	$2.80\pm0.15$	$2.84\pm0.16$	$3.26\pm0.11$	
quad-plywood	4.24±0.15	3.56±0.17	$3.28\pm0.08$	4.12±0.13	
plywood	16.56	13.81	15.27	14.20	

TABLE II: Average deviation (in mm) that combines both displacement and angular offset) between the simulated final pose and actual final pose with 95 percent confidence interval for 3-point support. The wrench-twist pairs used for training the model are generated from the ideal limit surface. The average normalized error for poly4 is 20.48% and for quadratic is 24.97%.

of final poses. We perform simulations using the same object and pusher geometry and push distance. The 2000 resultant trajectories and histogram plot of pose changes are shown in Fig. 4 and 5 respectively. We note that although the mean and variance pose changes are similar to the experiments with abs material in [26], the distribution resemble a single Gaussian distribution which differs from the multiple modes distribution in Figure 10 of [26]. We conjecture this is due to a time varying stochastic process where coefficients of friction between surfaces drift due to wear.

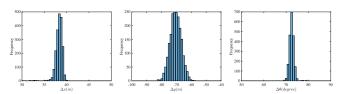
We also evaluate the effects of uncertainty reduction with 2 point fingers under the stochastic contact model. The circular object has radius of 5.25cm. The two fingers separated by 10cm perform a straight line push of 26.25cm. The desired goal is to have the object centered with respect to the two fingers. Fig. 6a and 6b compare the resultant trajectories under different amount of system noise. We find that despite larger noise in the resultant trajectories, the convergent region of the stable goal pose differs by less than 5% and the difference is mostly around the uncertainty boundary. A kernel density plot of the convergence region is shown in in Fig. 6c for  $n_{df} = 10$ . We conclude that multiple active constraints induce a large region of attraction.



(a) Simulation results.

(b) Figure 9 of [26], reprinted with permission.

Fig. 4: Stochastic modelling of single point pushing with fourth order sos-convex polynomial representation of the limit surface using wrench twist pairs generated from 64 grids with uniform pressure. The degree of freedom in the sampling distribution equals 20. The contact coefficient of friction between the pusher and the object is uniformly sampled from 0.15 to 0.35. The trajectories are qualitatively similar to the experimental results in Figure 9 of [26].

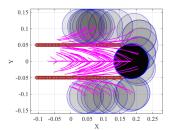


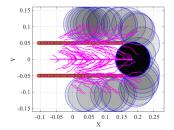
(a) Histogram of  $\Delta x$  (b) Histogram of  $\Delta y$  (c) Histogram of  $\Delta \theta$ 

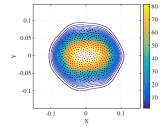
Fig. 5: Histogram of final poses for the 2000 pushing trajectories. The object is initially at (0,0,0). Note the curve resembles a Gaussian distribution.

# C. Grasping under uncertainty

We conduct robotic experiments to evaluate our contact model for grasping. Fig. 7a shows two rectangular objects with the same geometry but different pressure distributions. Another experiment is conducted for a butterfly shaped object shown in Fig. 8a. We use the Robotiq hand [21] and represent it as a planar parallel-jaw gripper with rectanglular fingers as shown in Fig. 7b and Fig. 8b. Convex quadratic limit surface parameterizations  $H(\mathbf{F})$  are trained from wrench-twist pairs from a uniform friction distribution along the object bound-







(a) 100 pushed trajectories of different initial poses using ellipsoid representation of  $H(\mathbf{F})$  with  $n_{df} = 200$ .

(b) 100 pushed trajectories of different initial poses using ellipsoid representation of  $H(\mathbf{F})$  with  $n_{df} = 10$ .

(c) Kernel density plot of the convergence region for  $n_{df} = 10$ . Convergent initial poses are in red, and the rest are in black.

Fig. 6: Simulation results using the proposed contact model illustrating the process of two point fingers pushing a circle to reduce uncertainty. A total of 500 initial object center positions are uniformly sampled from a circle of radius 7.88cm.

ary. The sampling degree of freedom  $n_{df}$  equals 250 with contact friction coefficient  $\mu_c$  sampled uniformly from [0.015, 0.02]. The simulated results with the stochastic contact model match well with the rectangles for both pressure distribution. However, the model fails to capture the stability of grasps and the deformation of objects. In the case of a butterflyshaped object, many unstable grasps and jamming equilbria exist, but as the fingers increase the gripping force the object will "fly" away as the stored elastic energy turns into large accelerations which violates the quasistatic assumptions of our model, as revealed in the scattered post-grasp distribution in Fig. 8e. We also compare the cases where dynamics do not play a major role: Fig. 8g shows the zoomed in plots to compare with simulation results in Fig. 8c. We can see that the model simulation deviates more compared with the case for rectangular geometry. Comparing the histogram plot in Fig. 8d and Fig. 8h, we can see that the simulation returns more jamming and grasping final states as illustrated by the spikes in  $\theta$ .

# VII. CONCLUSIONS AND FUTURE WORK

We extend the convex polynomial force-motion model in [27] which gives the dual mapping between friction wrench and twist to the kinematic level where the applied controls are velocity input (single and multiple) contacts. Additionally, we derive methods that enable sampling from the family of sosconvex polynomials to model the inherent uncertainty in frictional mechanics. The stochastic contact models are validated with large scale robotic pushing and grasping experiments. We also see the limitation of a first order quasistatic model in the butterfly shaped object grasping experiment. Much work remains to be done. On the simulator end: 1) how to increase the accuracy without losing convergence speed for high order polynomial based representation of  $H(\mathbf{F})$  and 2) how to handle penetration properly when the integration step is large. On the application side: 1) how to quickly identify both the mean and variance of the sampling distribution to match with experimental data and 2) how to plan a robust sequence of grasp and push actions for uncertainty reduction using the stochastic contact model.

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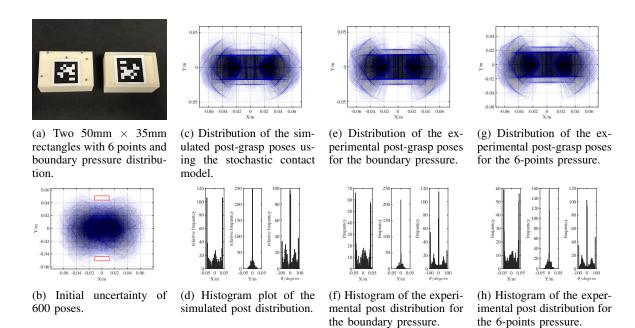


Fig. 7: Experiments on the rectangular objects with different pressure distributions. 600 initial poses are sampled whose centers are uniformly distributed in a circle of radius of 20mm and angles are uniformly distributed from -90 to 90 degrees.

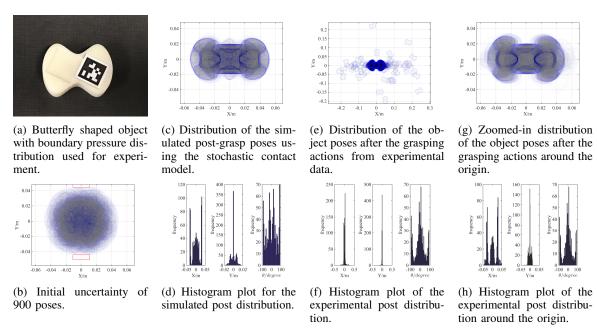


Fig. 8: Experiments on the butterfly object. The longer diameter from the convex curves is 39mm and the shorter diameter from the concave curves is 28.6mm. 900 initial poses are sampled where the centers lie uniformly in a circle of radius 30mm and the frame angles are uniformly distributed in -90 to 90 degrees.

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