

## A Collision Attack on a Double－Block－Length Compression Function Instantiated with 8－／9－Round AES－256

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# A Collision Attack on a Double-Block-Length Compression Function Instantiated with 8-/9-Round AES-256* 

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SUMMARY This paper presents the first non-trivial collision attack on the double-block-length compression function presented at FSE 2006 instantiated with round-reduced AES-256: $f_{0}\left(h_{0} \| h_{1}, M\right) \| f_{1}\left(h_{0} \| h_{1}, M\right)$ such that

$$
\begin{aligned}
& f_{0}\left(h_{0} \| h_{1}, M\right)=E_{h_{1} \| M}\left(h_{0}\right) \oplus h_{0} \\
& f_{1}\left(h_{0} \| h_{1}, M\right)=E_{h_{1} \| M}\left(h_{0} \oplus c\right) \oplus h_{0} \oplus c
\end{aligned}
$$

where $|\mid$ represents concatenation, $E$ is AES-256 and $c$ is a 16 -byte nonzero constant. The proposed attack is a free-start collision attack using the rebound attack proposed by Mendel et al. The success of the proposed attack largely depends on the configuration of the constant $c$ : the number of its non-zero bytes and their positions. For the instantiation with AES256 reduced from 14 rounds to 8 rounds, it is effective if the constant $c$ has at most four non-zero bytes at some specific positions, and the time complexity is $2^{64}$ or $2^{96}$. For the instantiation with AES-256 reduced to 9 rounds, it is effective if the constant $c$ has four non-zero bytes at some specific positions, and the time complexity is $2^{120}$. The space complexity is negligible in both cases.
key words: double-block-length compression function, free-start collision attack, rebound attack, AES-256

## 1. Introduction

## (1) Background.

Cryptographic hash functions are very important primitives and used in almost all cryptographic protocols. They are often called hash functions, and we follow this convention.

There are several design strategies of hash functions, and the most popular ones are block-cipher-based and permutation-based. The block-cipher-based approach is

[^0]much more classical than the permutation-based approach. The permutation-based approach is fairly new, and the SHA3 Keccak [4] is designed with the approach. Well-known hash functions such as MD5 [36], SHA-1 and SHA-2 [14] can be regarded as being designed with the block-cipherbased approach using dedicated block ciphers. Hash functions MDC-2 and MDC-4 [8] using DES predate them.

How to construct secure hash functions using a block cipher has been an important research topic [6], [33]. When using existing block ciphers such as AES, one should adopt double-block-length construction [8], [17], [18], [24], [31] for sufficient level of collision-resistance. Hash functions using AES [11], [15] may be an option for high-end CPUs with AES-NI and low-end microcontrollers.
(2) Our Contribution.

This paper presents a non-trivial collision attack on the double-block-length (DBL) compression function [18] instantiated with round-reduced AES-256. As far as the authors know, this is the first collision attack on the DBL compression function instantiated with AES-256. The DBL compression function is defined as $f_{0}\left(h_{0} \| h_{1}, M\right) \| f_{1}\left(h_{0} \| h_{1}, M\right)$ such that

$$
\begin{aligned}
& f_{0}\left(h_{0} \| h_{1}, M\right)=E_{h_{1} \| M}\left(h_{0}\right) \oplus h_{0} \\
& f_{1}\left(h_{0} \| h_{1}, M\right)=E_{h_{1} \| M}\left(h_{0} \oplus c\right) \oplus h_{0} \oplus c
\end{aligned}
$$

where $\|$ represents concatenation, $E$ is AES-256 and $c$ is a non-zero constant. The proposed collision attack assumes that the final round of round-reduced AES-256 does not have the MixColumns operation.

The proposed collision attack makes use of the following fact [9]: if $\left(h_{0} \| h_{1}, M\right)$ and $\left(\left(h_{0} \oplus c\right) \| h_{1}, M\right)$ are a colliding pair for $f_{0}$, then they are also a colliding pair for $f_{1}$. The rebound attack [25] is used to find such a colliding pair for $f_{0}$. Thus, its success largely depends on the configuration of the 16-byte constant $c$ : the number of its non-zero bytes and their positions. For the instantiation with 8-round AES-256, the attack finds a colliding pair of inputs with time complexity $2^{64}$ or $2^{96}$ if the constant $c$ has at most four non-zero bytes at some specific positions. For the instantiation with 9 round AES-256, it finds a colliding pair of inputs with time complexity $2^{120}$ if the constant $c$ has four non-zero bytes at some specific positions. The space complexity is negligible in both cases.

## (3) Related Work.

The rebound attack was proposed by Mendel et al. [30], and was applied to the hash functions Whirlpool [34] and Grøstl [21], which have similar structure to AES. The rebound attack on Whirlpool was further improved by Lamberger et al. [25]. The rebound attack was also applied to a few other SHA-3 finalists [12], [20], [35].

There is some work on cryptanalyses of single-blocklength hashing modes of AES. Biryukov, Khovratovich and Nikolić [5] presented a $q$-multicollision attack on the Davies-Meyer (DM) compression function instantiated with full-round AES-256. It is very powerful and its time complexity is $q \cdot 2^{67}$. Mendel et al. [29] presented a collision attack on the DM compression function instantiated with 5round AES-128 with time complexity $2^{56}$. The collision attack on 5.5-round Whirlpool [25] can easily be extended to a collision attack on the DM, Matyas-Meyer-Oseas (MMO), Miyaguchi-Preneel (MP) compression functions instantiated with 6-round AES-128 or the DM compression function instantiated with 6-round AES-192/256. Its time complexity is $2^{56}$. Jean, Naya-Plasencia and Peyrin [19] presented a collision attack on the DM compression function instantiated with 6-round AES-128 with time complexity $2^{32}$. Sasaki presented preimage and second-preimage attacks on DM, MMO and MP modes of 7-round AES [37].

There is little work on cryptanalyses of instantiations of DBL hashing modes. Ferguson [13] presented a few generic attacks on H-PRESENT-128 [7]. Kobayashi and Hirose [22] presented a collision attack on H-PRESENT-128 instantiated with 10 -round PRESENT with time complexity $2^{60}$. Wei et al. [38] presented collision and preimage attacks on various hashing modes instantiated with the block cipher IDEA [23]. They concluded that IDEA should not be used for hashing. The hashing modes include the DBL modes such as Abreast-DM, Tandem-DM [24], the mode by Hirose [18], the mode by Peyrin et al. [32] and MJH [27]. Our proposed collision attack is unlikely to be applied to them except for the Hirose mode.

The collision resistance and the preimage resistance were provided proofs in the ideal cipher model for AbreastDM [3], [16], [26], Tandem-DM [3], [28], the Hirose compression function [3], [18]. In particular, Abreast-DM and the Hirose compression function were shown to be optimally collision-resistant in the ideal cipher model.

Chang [9] pointed out that, for the Hirose compression function, the constant $c$ can be used as a backdoor by first constructing a collision for $f_{0}$ such that $f_{0}\left(h_{0} \| h_{1}, M\right)=$ $f_{0}\left(h_{0}^{\prime} \| h_{1}, M\right)$ with complexity of $2^{64}$ and then choosing $c=$ $h_{0} \oplus h_{0}^{\prime}$. Attacks in the similar setting have recently been proposed for SHA-1 [1] and GOST [2].
(4) Organization.

A brief description of AES is given in Sect. 2. An overview of the proposed collision attack on the DBL compression function is described in Sect. 3. The collision attacks on the compression function instantiated with AES-256 of 8
rounds and 9 rounds are detailed in Sect. 4 and Sect. 5, respectively. A concluding remark is given in Sect. 6.

## 2. Preliminaries

### 2.1 AES

This section gives a description of AES [11], [15] together with some properties of its components necessary for the discussions later.

AES is a block cipher with 128 -bit block length and $128 / 192 / 256$-bit key length. AES with $\kappa$-bit key length is often denoted by AES-к.

The transformations of AES are performed on a $(4 \times$ 4)-byte array called the state. Each byte is regarded as an element in $\mathrm{GF}\left(2^{8}\right)$. Multiplication is performed modulo $\mathrm{x}^{8}+$ $x^{4}+x^{3}+x+1$. The state is initially a plaintext.

The encryption of AES consists of four transformations: SubBytes, ShiftRows, MixColumns and AddRoundKey. It starts with the AddRoundKey transformation followed by iteration of a round function. The round function applies SubBytes, ShiftRows, MixColumns and AddRoundKey transformations in this order to the state. The final round does not have the MixColumns transformation. AES-128/192/256 have 10/12/14 rounds, respectively.

The SubBytes transformation is byte-wise application of the nonlinear S-box function. For the S-box $S$, an input $x$ satisfying the equation $\mathrm{S}(x) \oplus \mathrm{S}(x \oplus \Delta I)=\Delta O$ is called an admissible input for the pair of an input difference $\Delta I$ and an output difference $\Delta O$. We will say that an input difference and an output difference are compatible with each other if there exist admissible inputs for the pair.

Table 1 shows the numbers of the pairs of input and output differences which have the specified numbers of admissible inputs. The probability that there exist any admissible inputs for a pair of input and output differences $(\Delta I, \Delta O)$ chosen uniformly at random is about $1 / 2$. An admissible input of the SubBytes transformation is defined similarly.

The ShiftRows transformation is byte-wise cyclic transposition of each row. It shifts the $i$-th row by $i$-bytes cyclically to left for $0 \leq i \leq 3$.

The MixColumns transformation is linear transformation of each column. It can be represented with a matrix. For a 4-byte column $b$ of a state, it is represented by $T b$, where

$$
T=\left(\begin{array}{llll}
02 & 03 & 01 & 01 \\
01 & 02 & 03 & 01 \\
01 & 01 & 02 & 03 \\
03 & 01 & 01 & 02
\end{array}\right) \text { and }
$$

Table 1 Correspondence between the number of input-/outputdifference pairs and the number of their admissible inputs for the AES S-box.

| No. of admissible inputs | 0 | 2 | 4 | 256 |
| :--- | :---: | :---: | :---: | :---: |
| No. of difference pairs | 33150 | 32130 | 255 | 1 |

$$
T^{-1}=\left(\begin{array}{cccc}
0 \mathrm{e} & 0 \mathrm{~b} & 0 \mathrm{~d} & 09 \\
09 & 0 \mathrm{e} & 0 \mathrm{~b} & 0 \mathrm{~d} \\
0 \mathrm{~d} & 09 & 0 \mathrm{e} & 0 \mathrm{~b} \\
0 \mathrm{~b} & 0 \mathrm{~d} & 09 & 0 \mathrm{e}
\end{array}\right) .
$$

The AddRoundKey transformation is bitwise XOR of a round key to a state. The round keys are generated by a key expansion algorithm. The round keys of AES-256 are generated in the following way. Let $(4 \times 4)$-byte array $K_{r}$ be the round key of the $r$-th round for $r \geq 0$, where $K_{0}$ is for the initial AddRoundKey transformation. The 256-bit key input is given to $K_{0}$ and $K_{1}$. Let $K_{r}[j]$ be the $j$-th column of $K_{r}$ for $0 \leq j \leq 3$. For $r \geq 2$, if $r$ is even, then

$$
\begin{aligned}
& K_{r}[0]=K_{r-2}[0] \oplus \operatorname{SW}\left(K_{r-1}[3]^{\uparrow}\right) \oplus C_{r}, \\
& K_{r}[j]=K_{r-2}[j] \oplus K_{r}[j-1] \quad \text { for } 1 \leq j \leq 3,
\end{aligned}
$$

where SW represents byte-wise application of the AES Sbox, $K_{r-1}[3]^{\uparrow}$ represents cyclic 1-byte shift of $K_{r-1}[3]$ to the top, and $C_{r}$ is a specified constant. If $r$ is odd, then

$$
\begin{aligned}
& K_{r}[0]=K_{r-2}[0] \oplus \operatorname{SW}\left(K_{r-1}[3]\right), \\
& K_{r}[j]=K_{r-2}[j] \oplus K_{r}[j-1] \quad \text { for } 1 \leq j \leq 3
\end{aligned}
$$

For simplicity, the transformations SubBytes, ShiftRows, MixColumns and AddRoundKey are denoted by SB, SR, MC and AK, respectively.

The state in the $r$-th round is denoted by $S_{r} . S_{r}^{\mathrm{SB}}$, $S_{r}^{\mathrm{SR}}, S_{r}^{\mathrm{MC}}$ and $S_{r}^{\mathrm{AK}}$ represent the state $S_{r}$ just after SB, SR, MC and AK transformations, respectively. $S_{-1}$ represents a plaintext input.

For $0 \leq i \leq 3$ and $0 \leq j \leq 3, S_{r}[i][j]$ represents the byte of $S_{r}$ in the $i$-th row and the $j$-th column. $S_{r}[j]$ represents the $j$-th column of $S_{r}$.

## 3. Overview

This section gives an overview of the proposed free-start collision attack on a DBL compression function [18] instantiated with round-reduced AES-256. The target DBL compression function

$$
v_{0}\left\|v_{1}=F\left(h_{0} \| h_{1}, M\right)=f_{0}\left(h_{0} \| h_{1}, M\right)\right\| f_{1}\left(h_{0} \| h_{1}, M\right)
$$

is defined by

$$
\begin{aligned}
& f_{0}\left(h_{0} \| h_{1}, M\right)=E_{h_{1} \| M}\left(h_{0}\right) \oplus h_{0} \\
& f_{1}\left(h_{0} \| h_{1}, M\right)=E_{h_{1} \| M}\left(h_{0} \oplus c\right) \oplus h_{0} \oplus c
\end{aligned}
$$

where $\|$ represents concatenation, $E$ is a block cipher and $c$ is a non-zero constant. $F$ is depicted in Fig. 1.

A free-start collision attack on a compression function is successful if it simply finds a colliding pair of inputs of the compression function, that is, a pair of distinct inputs mapped to the same output.

To find a collision of $F$, the proposed attack uses the following fact [9]:

Fact 1: Suppose that a collision for $f_{0}$ is caused by


Fig. 1 The target DBL compression function. $c$ is a non-zero constant and $E$ is a block cipher.
$\left(h_{0} \| h_{1}, M\right)$ and $\left(\left(h_{0} \oplus \Delta h_{0}\right) \| h_{1}, M\right)$, that is, $f_{0}\left(h_{0} \| h_{1}, M\right)=$ $f_{0}\left(\left(h_{0} \oplus \Delta h_{0}\right) \| h_{1}, M\right)$ and that $\Delta h_{0}=c$. Then, a collision for $f_{1}$ is also caused by $\left(h_{0} \| h_{1}, M\right)$ and $\left(\left(h_{0} \oplus \Delta h_{0}\right) \| h_{1}, M\right)$.

The algorithm of the collision attack on $F$ is given below:

1. Find a colliding pair of inputs $\left(h_{0} \| h_{1}, M\right)$ and $\left(\left(h_{0} \oplus\right.\right.$ $\left.\left.\Delta h_{0}\right) \| h_{1}, M\right)$ for $f_{0}$.
2. Output $\left(h_{0} \| h_{1}, M\right)$ and $\left(\left(h_{0} \oplus \Delta h_{0}\right) \| h_{1}, M\right)$ if $\Delta h_{0}=c$. Otherwise, return to Step 1.

The first step returns a colliding pair of inputs for $f_{0}$ such that the non-zero bytes of $\Delta h_{0}$ are located at the same positions as the non-zero bytes of the constant $c$. Sections 4 and 5 present how the collision attack is applied to $F$ instantiated with AES-256 of 8 and 9 rounds, respectively.

## 4. Collision Attack on Instantiation with 8-Round AES-256

This section first presents a free-start collision attack on $f_{0}$ instantiated with 8 -round AES-256. It returns a pair of colliding inputs with difference $\Delta h_{0}$ whose bytes are zero except for the first byte. The time complexity is $2^{56}$, and the space complexity is negligible. The probability that $\Delta h_{0}=c$ is $2^{-8}$ if $c$ has a single non-zero byte at the same position as the non-zero byte of $\Delta h_{0}$. Thus, the total time complexity of the collision attack on $F$ instantiated with 8-round AES-256 is $2^{64}$.

This section also shows by an exhaustive search that the attack mentioned above can be extended to apply to other constants $c$ with at most four non-zero bytes at some specific positions.

### 4.1 Collision Attack on $f_{0}$

The collision attack on $f_{0}$ is based on the rebound attack on the 7.5 -round Whirlpool compression function by Lamberger et al. [25]. The goal of the attack is to find a pair of inputs, $\left(h_{0} \| h_{1}, M\right)$ and $\left(h_{0}^{\prime} \| h_{1}, M\right)$, which follow the differential path given in Fig. 2.

The proposed attack uses two inbound phases: the first one is in the second and the third rounds, and the second one is in the fifth and the sixth rounds. The algorithm of the attack is described below. It first selects the values of differences of the two inbounds (Steps 1 and 2) and those between


Fig. 2 The differential path used by the collision attack on the compression function $f_{0}$ instantiated with 8 -round AES-256. Colored bytes are non-zero differences.
the two inbounds (Step 3). Then, for each pair of an admissible input of SB in the third round and that of SB in the sixth round, it connects them with the round keys (Steps 4 a to 4 c ), and extends the state transformation to the outbounds to check if a colliding pair of inputs are obtained (Steps 4d to 4 f ).

1. This step looks for a pair of compatible input/output differences of SubBytes of the third round in the following way:
a. Select the non-zero differences in $\Delta S_{2}^{S R}$ and $\Delta S_{3}^{\mathrm{AK}}=\Delta S_{3}^{\mathrm{MC}}$ uniformly at random. Then, compute

$$
\begin{aligned}
& \Delta S_{2}^{\mathrm{AK}}=\Delta S_{2}^{\mathrm{MC}}=\mathrm{MC}\left(\Delta S_{2}^{\mathrm{SR}}\right) \\
& \Delta S_{3}^{\mathrm{SB}}=\operatorname{SR}^{-1}\left(\mathrm{MC}^{-1}\left(\Delta S_{3}^{\mathrm{MC}}\right)\right)
\end{aligned}
$$

For linear transformations, output differences are uniquely determined only by the input differences.
b. If there are no admissible inputs for the pair of $\Delta S_{2}^{\mathrm{AK}}$ and $\Delta S_{3}^{\mathrm{SB}}$, then return to Step 1a.

The expected number of repetitions of this step is $2^{16}$. The number of admissible inputs obtained for $S_{2}^{\text {AK }}$ with this step is $2^{16}$. Actually, this step can be made more efficient since the trials can be done column by column. However, this speed-up does not change the time complexity of the overall algorithm.
2. This step looks for a pair of compatible input/output differences of SubBytes of the sixth round in the same way as the step 1. $2^{16}$ admissible inputs are obtained for $S_{5}^{\mathrm{AK}}$ with this step.
3. Select $\Delta S_{4}^{\mathrm{SB}}$ compatible with $\Delta S_{3}^{\mathrm{AK}}$ uniformly at random until $\Delta S_{4}^{\mathrm{AK}}=\Delta S_{4}^{\mathrm{MC}}=\mathrm{MC}\left(\operatorname{SR}\left(\Delta S_{4}^{\mathrm{SB}}\right)\right)$ is compatible with $\Delta S_{5}^{S B}$. The expected number of repetitions of this step is $2^{4}$.
4. Perform the following procedure:
a. Select a new pair among the $2^{32}$ pairs of $S_{2}^{\mathrm{AK}}$ and $S_{5}^{\mathrm{AK}}$. If there exists no new pair, then return to Step 1.
b. Compute $S_{3}^{\mathrm{SB}}=\mathrm{SB}\left(S_{2}^{\mathrm{AK}}\right)$. Then, run the algorithm for connecting two inbound phases, which is given in Sect. 4.2, and obtain the round keys $K_{3}, K_{4}$ and $K_{5}$.
c. Compute the round keys $K_{0}, K_{1}, K_{2}, K_{6}$ and $K_{7}$.
d. Compute the corresponding input $S_{-1}$ to AES and the difference $\Delta S_{-1}$ from $S_{2}^{\mathrm{AK}}$ and $\Delta S_{2}^{\mathrm{AK}}$. If any byte of $\Delta S_{-1}$ other than $\Delta S_{-1}[0][0]$ is non-zero, then return to Step 4a.
e. Compute the corresponding output $S_{8}^{\mathrm{AK}}$ from AES and the difference $\Delta S_{8}^{\mathrm{AK}}$ from $S_{5}^{\mathrm{AK}}$ and $\Delta S_{5}^{\mathrm{AK}}$. If any byte of $\Delta S_{8}^{\mathrm{AK}}$ other than $\Delta S_{8}^{\mathrm{AK}}[0][0]$ is non-zero, then return to Step 4a.
f. If $\Delta S_{-1}=\Delta S_{8}^{\mathrm{AK}}$, then proceed to Step 5. Otherwise, return to Step 4a.
5. Output the pair of inputs $\left(K, S_{-1}\right)$ and $\left(K, S_{-1} \oplus \Delta S_{-1}\right)$, which are mapped to the same hash value by $f_{0}$ instantiated with 8 -round AES-256, where $K=K_{0} \| K_{1}$.

For Step 4 d in the algorithm above, the probability that only $\Delta S_{-1}[0][0]$ is non-zero (the transition from $\Delta S_{1}^{\mathrm{MC}}$ to $\Delta S_{1}^{\mathrm{SR}}$ is successful) is $2^{-24}$. Similarly, for Step 4 e , the probability that only $\Delta S_{8}^{\mathrm{AK}}[0][0]$ is non-zero is $2^{-24}$. For Step 4 f , the probability that $\Delta S_{-1}=\Delta S_{8}^{\mathrm{AK}}$ is $2^{-8}$. Thus, the estimated time complexity of the algorithm above is $2^{24 \times 2+8}=2^{56}$.

For Step 1, the number of the pairs of compatible differences $\left(\Delta S_{2}^{\mathrm{AK}}, \Delta S_{3}^{\mathrm{SB}}\right)$ is $255^{4} \times 255^{4} \times 2^{-16} \approx 2^{48}$. For Step 2, the number of the pairs of compatible differences $\left(\Delta S_{5}^{\mathrm{AK}}, \Delta S_{6}^{\mathrm{SB}}\right.$ ) is also $2^{48}$. For each pair of compatible differences $\left(\Delta S_{2}^{\mathrm{AK}}, \Delta S_{3}^{\mathrm{SB}}\right)$ and $\left(\Delta S_{5}^{\mathrm{AK}}, \Delta S_{6}^{\mathrm{SB}}\right)$, the number of the pairs of admissible inputs $S_{2}^{\mathrm{AK}}$ and $S_{5}^{\mathrm{AK}}$ is $2^{32}$ as mentioned in Step 4a. Thus, we have in total about $2^{48+48+32}=2^{128}$ candidates for the outbound phase.

### 4.2 Algorithm to Connect Two Inbound Phases

An algorithm to connect two inbound phases is described in this section. It gives a pair of sequences of state values between SB in the third round and SB in the sixth round whose differences follow the differential path in Fig. 2. The initial and final state values of the sequences are given to the algorithm as input as well as the values of the differences. The algorithm outputs the round keys ( $K_{3}, K_{4}$ and $K_{5}$ ) which connect these values. The algorithm pays specific attention
to the bytes of states with black circles in Fig. 2. They are given priority simply because they are bytes with non-zero differences.
Input: $S_{3}^{\mathrm{SB}}, S_{5}^{\mathrm{AK}}$, and $\Delta S_{3}^{\mathrm{SB}}, \Delta S_{4}^{\mathrm{SB}}, \Delta S_{5}^{\mathrm{AK}}$.
Output: Round keys $K_{3}, K_{4}$ and $K_{5}$.
Procedure:

1. Compute $\Delta S_{3}^{\mathrm{AK}}, \Delta S_{4}^{\mathrm{AK}}$ and $\Delta S_{5}^{\mathrm{SB}}$ :

$$
\begin{aligned}
\Delta S_{3}^{\mathrm{AK}} & =\Delta S_{3}^{\mathrm{MC}}=\mathrm{MC}\left(\operatorname{SR}\left(\Delta S_{3}^{\mathrm{SB}}\right)\right) \\
\Delta S_{4}^{\mathrm{AK}} & =\Delta S_{4}^{\mathrm{MC}}=\operatorname{MC}\left(\operatorname{SR}\left(\Delta S_{4}^{\mathrm{SB}}\right)\right) \\
\Delta S_{5}^{\mathrm{SB}} & =\mathrm{SR}^{-1}\left(\mathrm{MC}^{-1}\left(\Delta S_{5}^{\mathrm{MC}}\right)\right),
\end{aligned}
$$

where $\Delta S_{5}^{\mathrm{MC}}=\Delta S_{5}^{\mathrm{AK}}$.
2. Select admissible inputs of the S-boxes with non-zero differences of SB in the fourth round: $S_{3}^{\mathrm{AK}}[0][3]$, $S_{3}^{\mathrm{AK}}[1][0], S_{3}^{\mathrm{AK}}[2][1]$ and $S_{3}^{\mathrm{AK}}[3][2]$.
3. Compute $K_{3}[0][3], K_{3}[1][0], K_{3}[2][1]$ and $K_{3}[3][2]$ from the corresponding bytes of $S_{3}^{\mathrm{MC}}=\mathrm{MC}\left(\mathrm{SR}\left(S_{3}^{\mathrm{SB}}\right)\right)$ and $S_{3}^{\mathrm{AK}}$.
4. Select admissible inputs of the S-boxes with non-zero differences of SB in the fifth round: $S_{4}^{\mathrm{AK}}[3]$. Then, compute $S_{5}^{\mathrm{SB}}[3]$.
5. $K_{4}[3]=S_{4}^{\mathrm{MC}}[3] \oplus S_{4}^{\mathrm{AK}}[3]$, where $S_{4}^{\mathrm{MC}}[3]$ can be computed from the corresponding bytes of $S_{3}^{\mathrm{AK}}$.
6. Compute the round key $K_{5}$ satisfying the conditions obtained so far. They can be expressed by 8 linear equations on the bytes of $K_{5}$, which form an underdetermined and consistent system of linear equations. They are given in Sect. 4.3.
7. Compute the remaining bytes of $K_{3}$ from $K_{5}$ and $K_{4}$ [3].
8. Compute $S_{4}^{\mathrm{MC}}$ and $S_{4}^{\mathrm{AK}}$ from $S_{3}^{\mathrm{SB}}$ with $K_{3}$ and from $S_{5}^{\mathrm{AK}}$ with $K_{5}$, respectively. Then, compute $K_{4}[j]=S_{4}^{\mathrm{MC}}[j] \oplus$ $S_{4}^{\mathrm{AK}}[j]$ for $0 \leq j \leq 2$.

### 4.3 Conditions on the Round Key $K_{5}$

The following four conditions are led from the key expansion algorithm:

$$
\begin{aligned}
& K_{5}[1][0]=K_{3}[1][0] \oplus \mathrm{S}\left(K_{4}[1][3]\right) \\
& K_{5}[2][0] \oplus K_{5}[2][1]=K_{3}[2][1] \\
& K_{5}[3][1] \oplus K_{5}[3][2]=K_{3}[3][2] \\
& K_{5}[0][2] \oplus K_{5}[0][3]=K_{3}[0][3]
\end{aligned}
$$

Notice that all the bytes of $K_{3}$ and $K_{4}$ on the right side are already fixed by the algorithm.

The other condition comes from the fixed bytes of $S_{5}^{\mathrm{SB}}[3]$ :

$$
\mathrm{SR}\left(S_{5}^{\mathrm{SB}}[3]\right)=\mathrm{MC}^{-1}\left(S_{5}^{\mathrm{AK}}\right)[3] \oplus \mathrm{MC}^{-1}\left(K_{5}\right)[3]
$$

Notice that $S_{5}^{\mathrm{AK}}$ is given to the algorithm as input. They can be expanded to the following four equations:
$(\mathrm{Ob}, \mathrm{Od}, 09,0 \mathrm{e}) K_{5}[0]=S_{5}^{\mathrm{SR}}[3][0] \oplus(\mathrm{Ob}, \mathrm{Od}, 09,0 \mathrm{e}) S_{5}^{\mathrm{AK}}[0]$
$(0 \mathrm{~d}, 09,0 \mathrm{e}, 0 \mathrm{~b}) K_{5}[1]=S_{5}^{\mathrm{SR}}[2][1] \oplus(0 \mathrm{~d}, 09,0 \mathrm{e}, 0 \mathrm{~b}) S_{5}^{\mathrm{AK}}[1]$
$(09,0 \mathrm{e}, \mathrm{Ob}, 0 \mathrm{~d}) K_{5}[2]=S_{5}^{\mathrm{SR}}[1][2] \oplus(09,0 \mathrm{e}, \mathrm{Ob}, 0 \mathrm{~d}) S_{5}^{\mathrm{AK}}[2]$
$(0 \mathrm{e}, 0 \mathrm{~b}, 0 \mathrm{~d}, 09) K_{5}[3]=S_{5}^{\mathrm{SR}}[0][3] \oplus(0 \mathrm{e}, 0 \mathrm{~b}, 0 \mathrm{~d}, 09) S_{5}^{\mathrm{AK}}[3]$.

### 4.4 Effectiveness of the Attack

We exhaustively checked the values of constant $c$ against which the proposed attack is effective. The attack is effective if and only if its time complexity is smaller than that of the birthday attack: $2^{128}$ in the current case. We will call the constant $c$ vulnerable if the attack is effective against it.

Vulnerable constants turned out to have at most four non-zero bytes. Non-zero bytes can have any value from 01 to ff . Figures 3, 4, 5 and 6 give all the vulnerable constants with one, two, three and four non-zero bytes, respectively.


Fig. 3 Vulnerable constants with one non-zero byte. Colored bytes are non-zero.


Fig. 4 Vulnerable constants with two non-zero bytes. Colored bytes are non-zero.


Fig. 5 Vulnerable constants with three non-zero bytes. Colored bytes are non-zero.


Fig. 6 Vulnerable constants with four non-zero bytes. Colored bytes are non-zero.

The total number of vulnerable constants is

$$
16 \times 255+40 \times 255^{2}+32 \times 255^{3}+8 \times 255^{4} \approx 2^{35}
$$

All the constants with one non-zero byte are vulnerable. Non-zero bytes of the vulnerable constants with two non-zero bytes are

- $c[i][j]$ and $c[i+2][j+2]$,
- $c[i][j]$ and $c[i+1][j+1]$, or
- $c[i][j]$ and $c[i+1][j-1]$
for $0 \leq i \leq 3$ and $0 \leq j \leq 3$. Non-zero bytes of the vulnerable constants with three non-zero bytes are
- $c[i][j], c[i+1][j+1]$ and $c[i+2][j+2]$, or
- $c[i][j], c[i+1][j-1]$ and $c[i+2][j-2]$
for $0 \leq i \leq 3$ and $0 \leq j \leq 3$. Non-zero bytes of the vulnerable constants with four non-zero bytes are
- $c[0][j], c[1][j+1], c[2][j+2]$ and $c[3][j+3]$, or
- $c[0][j], c[1][j-1], c[2][j-2]$ and $c[3][j-3]$
for $0 \leq j \leq 3$. All the additions above are modulo 4 .
The estimated time complexity of the collision attack on the compression function with any constant
- in Figs. 3 and 4(a) is $2^{64}$.
- in Figs. 4(b), 5 and 6 is $2^{96}$.

Two examples of differential paths for vulnerable constants with two non-zero bytes are given in Fig. 7. It is not difficult to construct differential paths for the other vulnerable constants.

For the differential path in Fig. 7(b), the probability of the transition from $\Delta S_{1}^{\mathrm{MC}}$ to $\Delta S_{1}^{\mathrm{SR}}$ is $2^{-16}$. The probability of the transition from $\Delta S_{7}^{\mathrm{SR}}$ to $\Delta S_{7}^{\mathrm{MC}}$ is $2^{-48}$. The probability that $\Delta S_{-1}=\Delta S_{8}^{\mathrm{AK}}$ is $2^{-16}$. The probability that $\Delta S_{-1}=c$ is also $2^{-16}$. Thus, the estimated time complexity of the attack is $2^{16+48+16+16}=2^{96}$.

## 5. Collision Attack on Instantiation with 9-Round AES-256

The collision attack on $f_{0}$ instantiated with 8 -round AES256 can be extended to the collision attack on $f_{0}$ instantiated with 9-round AES-256 for different choice of the constant c. The differential path used by this attack is presented in Fig. 8. $\Delta h_{0}=\Delta S_{-1}$ has four non-zero bytes on its diagonal. Notice that the differential path from the third round to the sixth round is identical to the differential path from the second round to the fifth round in Fig. 2.

The first inbound phase of the attack is in the third and the fourth rounds, and the second one is in the sixth and the seventh rounds. The algorithm shown in Sect. 4.2 can be


Fig. 7 Examples of differential paths used by the collision attack on the compression function with vulnerable constants with two non-zero bytes. Colored bytes are non-zero differences. The differential paths from the second round to the fifth round are omitted since they are identical to the differential path from the second round to the fifth round in Fig. 2.
used to connect these inbound phases and obtain $K_{4}, K_{5}$ and $K_{6}$.

In the outbound phase of the attack, $S_{-1}$ and $\Delta S_{-1}$ are computed from $S_{3}^{\mathrm{AK}}$ and $\Delta S_{3}^{\mathrm{AK}}$, and $S_{9}^{\mathrm{AK}}$ and $\Delta S_{9}^{\mathrm{AK}}$ are computed from $S_{6}^{\mathrm{AK}}$ and $\Delta S_{6}^{\mathrm{AK}}$. For these computations,

- the success probability of the transition from $\Delta S_{2}^{\mathrm{MC}}$ to $\Delta S_{2}^{\mathrm{SR}}$ is $2^{-24}$,
- the success probability of the transition from $\Delta S_{8}^{\mathrm{SR}}$ to $\Delta S_{8}^{\mathrm{MC}}$ is $2^{-32}$, and
- the probability that $\Delta S_{-1}=\Delta S_{9}^{\mathrm{AK}}$ is $2^{-32}$.

Thus, the estimated time complexity of the attack is $2^{24+32+32}=2^{88}$. Though it is beyond the complexity of the birthday attack for $f_{0}$, it is effective for our purpose.

Due to the symmetry of AES, the attack also works with the same kind of the differential paths with $\Delta S_{-1}$ such that the four non-zero bytes of $\Delta S_{-1}$ are

- $\Delta S_{-1}[0][1], \Delta S_{-1}[1][2], \Delta S_{-1}[2][3], \Delta S_{-1}[3][0]$,
- $\Delta S_{-1}[0][2], \Delta S_{-1}[1][3], \Delta S_{-1}[2][0], \Delta S_{-1}[3][1]$, or
- $\Delta S_{-1}[0][3], \Delta S_{-1}[1][0], \Delta S_{-1}[2][1], \Delta S_{-1}[3][2]$.

The total time complexity of the collision attack on $F$ is $2^{88+32}=2^{120}$ since the probability that $\Delta h_{0}=c$ is $2^{-32}$ for constant $c$ which has four non-zero bytes at the same positions as the non-zero bytes of $\Delta h_{0}$. The total number of vulnerable constants is $4 \times 255^{4} \approx 2^{33.98}$.


Fig. 8 The differential path used by the collision attack on the compression function $f_{0}$ instantiated with 9 -round AES-256. Colored bytes are non-zero differences. The differential path from the third round to the sixth round is identical to the differential path from the second round to the fifth round in Fig. 2.

## 6. Conclusion

This paper has presented a free-start collision attack on the DBL compression function [18] instantiated with roundreduced AES-256. A drawback of the attack is that it is effective against restricted constants. It is interesting if the restriction is reduced. It is also interesting to apply the attack to instantiations with other block ciphers.

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