

# The Complexity of Induced Tree Reconfiguration Problems

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**SUMMARY** Given two feasible solutions  $A$  and  $B$ , a *reconfiguration problem* asks whether there exists a *reconfiguration sequence* ( $A_0 = A, A_1, \dots, A_\ell = B$ ) such that (i)  $A_0, \dots, A_\ell$  are feasible solutions and (ii) we can obtain  $A_i$  from  $A_{i-1}$  under the prescribed rule (the *reconfiguration rule*) for each  $i \in \{1, \dots, \ell\}$ . In this paper, we address the reconfiguration problem for induced trees, where an induced tree is a connected and acyclic induced subgraph of an input graph. We consider the following two rules as the prescribed rules: **TOKEN JUMPING**: removing  $u$  from an induced tree and adding  $v$  to the tree, and **TOKEN SLIDING**: removing  $u$  from an induced tree and adding  $v$  adjacent to  $u$  to the tree, where  $u$  and  $v$  are vertices of an input graph. As the main results, we show that (I) the reconfiguration problem is PSPACE-complete even if the input graph is of bounded maximum degree, (II) the reconfiguration problem is W[1]-hard when parameterized by both the size of induced trees and the length of the reconfiguration sequence, and (III) there exists an FPT algorithm when the problem is parameterized by both the size of induced trees and the maximum degree of an input graph under **TOKEN JUMPING** and **TOKEN SLIDING**.

**key words:** reconfiguration problem, induced trees, PSPACE-complete, W[1]-hard, FPT

## 1. Introduction

A *reconfiguration problem* can be expressed as follows: given two feasible solutions  $A$  and  $B$  for a search problem  $\mathcal{P}$ , ask whether there exists a *reconfiguration sequence* ( $A_0 = A, A_1, \dots, A_\ell = B$ ) such that (i)  $A_0, \dots, A_\ell$  are feasible solutions for  $\mathcal{P}$  and (ii) we can obtain  $A_i$  from  $A_{i-1}$  under the prescribed rule (*reconfiguration rule*) for each  $i \in \{1, \dots, \ell\}$ . In this decade, the reconfiguration variations of many NP-complete problems have been shown to be PSPACE-complete [9], [11], [13], [15]. However, interestingly, we cannot determine the computational complexity of the reconfiguration version of  $\mathcal{P}$  based on the complexity of  $\mathcal{P}$ . For example, the 3-coloring problem for a general graph is NP-complete, but it is known that the 3-coloring reconfiguration problem [2] is in P. By contrast, the shortest path problem is in P, but the shortest path reconfiguration

problem [1] is PSPACE-complete.

There are some notable results in terms of the complexity of reconfiguration problems. Gopalan *et al.* [6] investigated the st-connectivity problem of 3SAT and proposed a dichotomy theorem for the problem. This problem asks, the following question: given two feasible solutions  $s$  and  $t$  of a Boolean formula, by repeatedly flipping the value of a variable, can  $t$  be obtained from  $s$ ? Mouawad *et al.* [16] proposed the trichotomy theorem for the *shortest* st-reconfiguration problem of 3SAT, asking whether there exists a reconfiguration sequence with length less than a given value. From the view point of combinatorial games, Hearn and Demaine [8] showed that sliding-block puzzles such as Klotski puzzles are PSPACE-complete. They solved the problem put forth by Martin Gardner [5], which had remained since 1964.

For reconfiguration problems of vertex-subset problems on graphs, in which feasible solutions are subsets of the vertex set of input graphs, the following three reconfiguration rules are usually considered. For the current feasible solution  $F$  and two vertices  $u \in F$  and  $v \notin F$ , (1) **TOKEN SLIDING (TS [8])**: Removing  $u$  from  $F$  and adding  $v$  adjacent to  $u$  to  $F$ . (2) **TOKEN JUMPING (TJ [15])**: Removing  $u$  from  $F$  and adding  $v$  to  $F$ . (3) **TOKEN ADDITION / REMOVAL (TAR [10])**: Removing  $u$  from  $F$  or adding  $v$  to  $F$  Satisfying a constraint on the size of  $F$ . Kamiński *et al.* [14] showed that the problem of finding the shortest reconfiguration sequence for the shortest path is NP-hard even when the sequence is known to have polynomial length under TJ. Mouawad *et al.* [17] proposed a meta-theorem for the hardness of reconfiguration problems associated with graphs having hereditary properties under TAR. In addition, reconfiguration problems for independent sets [15], cliques [13], dominating sets [7], list  $L(2, 1)$ -labelings [12], subset sums [9], list edge-colorings [11], and swapping labeled tokens [20] have been studied. However, there are few results for reconfiguration problems for graphs having a *connected* hereditary property.

In the present paper, we address the induced tree reconfiguration problem **ITRECONF** under various settings. An *induced tree* is a connected and acyclic induced subgraph in an undirected graph (see Fig. 1), and, it is well known as a vertex-subset with a connected hereditary property. An informal description of **ITRECONF** is as follows: Suppose that we are given two distinct induced trees  $S$  and  $T$  of an input graph, and each vertex of  $S$  has a token. The task is to obtain  $T$  from  $S$  by changing the positions of a few tokens of

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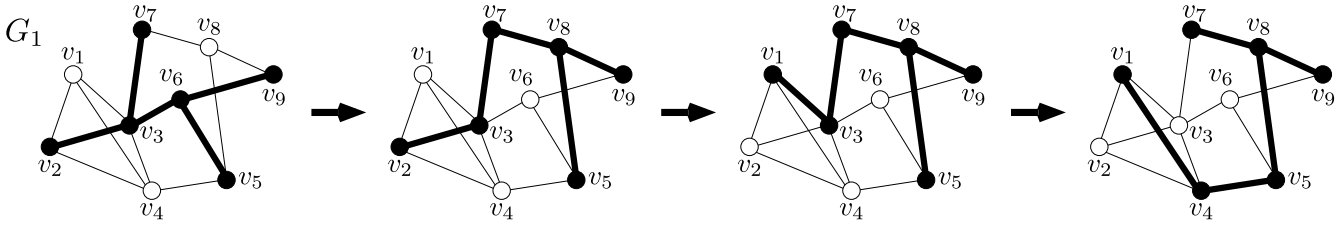
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**Fig. 1** Example of a reconfiguration sequence for the induced tree reconfiguration problem. The above figure shows that there exists a reconfiguration sequence  $\pi(S_1, T_1) = (S_1, S_2, S_3, S_4 = T_1)$  between two induced trees  $S_1 = \{v_2, v_3, v_5, v_6, v_7, v_9\}$  and  $T_1 = \{v_1, v_4, v_5, v_7, v_8, v_9\}$  on an input graph  $G_1$ , where  $S_2 = f(S_1, v_6, v_8)$ ,  $S_3 = f(S_2, v_2, v_1)$ , and  $S_4 = f(S_3, v_3, v_4)$ .

$S$  according to the given reconfiguration rule. In the present paper, we first show that  $\text{ITRECONF}$  is PSPACE-complete under TS and TJ even if the input graph is bounded maximum degree. This is the first hardness result for the induced tree reconfiguration problem. Next, we investigate the problem from the viewpoint of the parameterized complexity. We prove that  $\text{ITRECONF}$  is  $\text{W}[1]$ -hard when parameterized by both the size of the induced trees and the length of the reconfiguration sequences under TJ and TS, and it is fixed parameter tractable when parameterized by both the size of the induced trees and the maximum degree of an input graph under TS and TJ.

## 2. Preliminaries

An undirected graph  $G = (V(G), E(G))$  is a pair of a vertex set  $V(G)$  and an edge set  $E(G) \subseteq V(G)^2$ . In the present study, we assume that  $G$  is simple and finite. For any two vertices  $u$  and  $v$  in  $V(G)$ ,  $u$  and  $v$  are adjacent in  $G$  if  $(u, v) \in E(G)$ .  $N_G(u) = \{v \in V(G) \mid (u, v) \in E(G)\}$  denotes the set of vertices adjacent to  $u$  in  $G$ . We define the degree  $d_G(u)$  of  $u \in V(G)$  as the number of vertices adjacent to  $u$ . For any vertex subset  $S \subseteq V(G)$ ,  $N_G(S) = (\bigcup_{u \in S} N_G(u)) \setminus S$ . In what follows, we omit subscript  $G$  if it is clear from the context.

Suppose that, for any two vertices  $u$  and  $v$  in  $V(G)$ ,  $\pi(u, v) = (v_1 = u, \dots, v_j = v)$  is a sequence of vertices.  $\pi(u, v)$  is a path from  $u$  to  $v$  if the vertices of  $\pi(u, v)$  are distinct and for every  $i = 1, \dots, j-1$ ,  $(v_i, v_{i+1}) \in E(G)$ . The length  $|\pi(u, v)|$  of a path  $\pi(u, v)$  is the number of edges in  $\pi(u, v)$ . The distance between  $u$  and  $v$  is defined by the shortest length of a path between  $u$  and  $v$ .  $\pi(u, v)$  is called a cycle if  $|\pi(u, v)| \geq 3$ ,  $u = v$ , vertices of  $\pi(u, v)$  are distinct other than  $u$  and  $v$ , and  $(v_i, v_{i+1}) \in E(G)$  for every  $i \in \{1, \dots, j-1\}$ . We say that  $G$  is acyclic if  $G$  has no cycle.  $G$  is connected if for any pair of vertices of  $G$ , there exists a path between them.

Let  $S$  be a subset of  $V(G)$ .  $G[S] = (S, E[S])$  denotes the graph induced by  $S$ , where  $E[S] = \{(u, v) \in E(G) \mid u, v \in S\}$ . We call  $G[S]$  the induced subgraph of  $G$ . Because  $G[S]$  is uniquely determined by  $S$ , we identify  $S$  with  $G[S]$  if no confusion is possible. We say that  $S$  is an induced tree if  $S$  is connected and acyclic. Moreover, an induced tree  $S$  is maximal if there exists no induced tree  $S'$  such that  $S \subsetneq S'$  (see Fig. 1). A connected component is a maximal connected induced subgraph of  $G$ .

## 2.1 Reconfiguration Problem

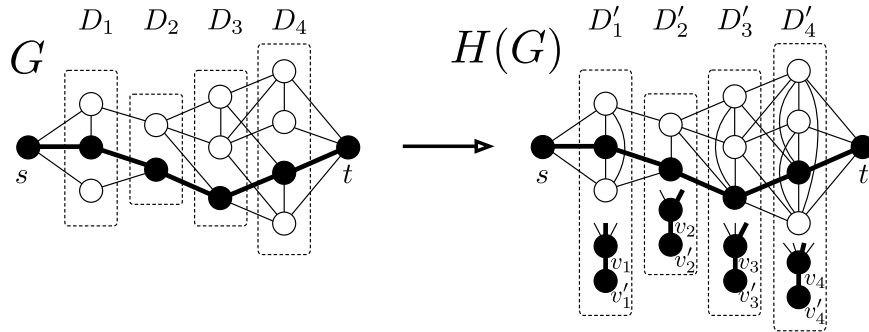
In this subsection, we give the definition of our reconfiguration problem. To define reconfiguration sequences, we first consider the adjacency of induced trees. Let  $f : 2^{V(G)} \times V(G) \times V(G) \rightarrow 2^{V(G)}$  be a function defined as follows:  $f(S, u, v) = (S \setminus \{u\}) \cup \{v\}$ , where  $u \in S$  and  $v \notin S$ . For any two induced trees  $S$  and  $T$  in  $G$ ,  $S$  and  $T$  are adjacent to each other if there exist two vertices  $u$  and  $v$  satisfying  $f(S, u, v) = T$ . That is, for any induced tree  $S$ ,  $T$  is an induced tree adjacent to  $S$  if we can obtain  $T$  by removing  $u \in S$  from  $S$  and adding  $v \notin S$  to  $S$ . We refer to  $f$  as TJ and  $f$  is one of the reconfiguration rules. Another reconfiguration rule, called TS, is defined as follows:  $f(S, u, v) = (S \setminus \{u\}) \cup \{v\}$  such that  $u \in S$ ,  $v \notin S$ , and  $v \in N(u)$ . Next, we construct a reconfiguration graph under the reconfiguration rule  $f$ . Let  $\mathcal{IT}(G)$  be the collection of induced trees of  $G$ . A reconfiguration graph  $R_{\text{IT}}(G) = (\mathcal{IT}(G), \mathcal{E}(G, f))$  is a pair of the set  $\mathcal{IT}(G)$  and the set  $\mathcal{E}(G, f)$ . Here,  $\mathcal{E}(G, f) = \{(S, T) \in \mathcal{IT}(G)^2 \mid \exists u \in S, \exists v \notin S (f(S, u, v) = T)\}$ , that is, each edge in  $\mathcal{E}(G, f)$  is a pair of two adjacent induced trees under  $f$ . For any two induced trees  $S$  and  $T$ , a reconfiguration sequence from  $S$  to  $T$  is a path  $\pi(S, T)$  on  $R_{\text{IT}}(G)$ . Figure 1 shows an example of a reconfiguration sequence from  $S_1$  to  $T_1$  on  $G_1$ . Now, we define the induced tree reconfiguration problem  $\text{ITRECONF}$ .

**Problem 1** (Induced tree reconfiguration problem). Given a graph  $G$  and two induced trees  $S$  and  $T$  in  $G$ ,  $\text{ITRECONF}(G, S, T)$  asks whether there exists a reconfiguration sequence from  $S$  to  $T$  on  $R_{\text{IT}}(G)$  under a reconfiguration rule  $f$ .

## 3. PSPACE-Completeness

In this section, we show that  $\text{ITRECONF}$  is PSPACE-complete. To prove this completeness, we reduce the s-t shortest path reconfiguration problem, denoted by  $\text{stSPR}$ , to  $\text{ITRECONF}$  in polynomial time.

Let  $G$  be a graph, and  $s$  and  $t$  be two vertices of  $G$ . The task of  $\text{stSPR}$  is as follows: given two shortest paths  $P$  and  $P'$  from  $s$  to  $t$ , decide whether there exists a reconfiguration sequence  $(P = P_1, P_2, \dots, P_\ell = P')$  such that any  $P_i$  where  $i \in \{1, \dots, \ell\}$  on the sequence is a shortest path between  $s$



**Fig. 2** Example of  $H(G)$ . On the left side, the bold edges are the edges of a shortest path between  $s$  and  $t$ . On the right side, the bold edges are the edges of an induced tree. For simplicity, the edges between  $v_i$  and vertices of in  $D_i$  are omitted.

and  $t$ , and each  $P_i$  is obtained by changing one vertex of  $P_{i-1}$ . We assume that all vertices of  $G$  are on at least one of the shortest paths between  $s$  and  $t$ . Otherwise, we can remove such vertices without affecting the solutions of  $\text{stSPR}$ . It is known that  $\text{stSPR}$  is PSPACE-complete [19]. Let  $D_i(G, s)$  be the set of vertices that are distance  $i$  from  $s$  in  $G$ . Notably,  $D_0(G, s) = \{s\}$ ,  $D_1(G, s) = N(s)$ , and  $D_{\text{dist}(s,t)}(G, s) = \{t\}$ . In what follows, we fix  $G$ ,  $s$ , and  $t$  and simply write  $D_i$  instead of  $D_i(G, s)$ . Now, we construct  $H(G)$  from  $G$  by adding two new vertices  $v_i$  and  $v'_i$  to  $D_i$ , adding an edge between  $v_i$  and  $v'_i$ , and making  $D_i \cup \{v_i\}$  a clique for each  $i \in \{1, \dots, \text{dist}(s, t) - 1\}$  (see Fig. 2). Let  $D'_i = D_i \cup \{v_i, v'_i\}$  and  $T(P) = V(P) \cup \bigcup_{i=1, \dots, \text{dist}(s,t)-1} \{v_i, v'_i\}$  for a shortest path  $P$  between  $s$  and  $t$ .

**Theorem 1.** *ITRECONF is PSPACE-complete under TJ and TS even if an input graph is bounded maximum degree.*

*Proof.* We first show that ITRECONF is in NPSpace, because, according to Savitch's theorem [18], a problem in NPSpace is also in PSPACE. Now, we can find all adjacent induced trees in polynomial time nondeterministically because the number of adjacent induced trees of an induced tree is polynomial in the size of the input. In addition, the number of induced trees is at most  $2^n$  and hence the length of a longest reconfiguration sequence of ITRECONF is at most  $2^n$  if such a sequence exists. Thus, by repeatedly finding adjacent induced trees  $2^n$  times, we can find all induced trees that are in the same component of the reconfiguration graph of  $G$  with one of the input induced trees. Hence, we can decide whether there exists a reconfiguration sequence between two input induced trees. On the basis of the above discussion, we can easily obtain an NPSpace algorithm for ITRECONF, and thus, ITRECONF is in PSPACE. Next, we describe a polynomial-time reduction from  $\text{stSPR}$  to ITRECONF under TJ. Let  $G$  be a graph and  $s$  and  $t$  be two vertices of  $G$ . First, given  $G$  and two shortest paths  $P$  and  $P'$  between  $s$  and  $t$ , we can construct  $H(G)$ ,  $T(P)$ , and  $T(P')$  in polynomial time. Suppose that  $(G, P, P')$  is a yes instance of  $\text{stSPR}$ . Let  $Q$  be a shortest path on a reconfiguration sequence for the instance. Note that  $|V(Q) \cap D_i| = 1$  for each  $i$ . Let  $u_i$  be the vertex in  $D_i$  and  $V(Q)$ . Now,  $v'_i$  is adjacent only to  $v_i$  and  $v_i$  has only one adjacent vertex  $u_i$  in  $Q$ . Thus,  $T(Q)$

is an induced tree and  $(H(G), T(P), T(P'))$  is a yes instance of ITRECONF. Suppose that  $(H(G), T(P), T(P'))$  is a yes instance of ITRECONF under TJ. Because  $D'_i \setminus \{v'_i\}$  induces a clique, any induced tree adjacent to  $T(P)$  does not contain three or more vertices of  $D'_i \setminus \{v'_i\}$ . This implies that we cannot move  $v'_i$  at all. Because any induced tree is connected, we cannot remove  $v_i$  from  $T(P)$  to obtain its adjacent induced trees. Thus,  $\bigcup_i \{v_i, v'_i\} \subseteq T(P) \cap T'$  for any induced tree  $T'$  that is adjacent to  $T(P)$ . Now, both  $v_i$  and  $v'_i$  are only adjacent to vertices of  $D'_i$ . Thus, if  $u \in T(P) \setminus T'$  is in  $D'_i$ ,  $u' \in T' \setminus T(P)$  is also in  $D'_i$  because  $T'$  is connected. Therefore,  $T' \setminus \bigcup_i \{v_i, v'_i\}$  forms a shortest path  $P^*$  between  $s$  and  $t$  under TJ, and  $P^*$  is adjacent to  $P$ . We can proceed with the same discussion for any two consecutive induced trees on a reconfiguration sequence for the given instance. Thus, ITRECONF is PSPACE-complete under TJ. Note that  $D'_i \setminus \{v'_i\}$  induces a clique. Therefore, ITRECONF is also PSPACE-complete under TS. Moreover, it is known that  $\text{stSPR}$  is PSPACE-complete even if each  $D_i$  has a constant size [19]. Hence, the statement holds.  $\square$

Thus far, we demonstrated that ITRECONF is PSPACE-complete. Now, we show that the maximal induced tree version MITRECONF remains PSPACE-complete.  $R_{\text{MIT}}(G)$  denotes the reconfiguration graph of the maximal induced trees of  $G$ . The maximal induced tree reconfiguration problem is defined as follows:

**Problem 2** (Maximal induced tree reconfiguration problem). *Let  $G$  be a graph and  $S$  and  $T$  be two maximal induced trees of  $G$ . MITRECONF( $G, S, T$ ) asks whether there exists a reconfiguration sequence from  $S$  to  $T$  on  $R_{\text{MIT}}(G)$ .*

As shown in the proof of Theorem 1, for any induced tree  $T$  on a reconfiguration sequence of ITRECONF,  $T \cap D'_i = \{v_i, v'_i, w_i\}$  for some  $w_i \in D_i$ . Moreover, for any vertex  $w'_i \in D'_i \setminus \{v_i, v'_i, w_i\}$ ,  $\{v_i, w_i, w'_i\}$  induces a cycle because  $D'_i \setminus \{v'_i\}$  induces a clique. Thus, we can obtain the following theorem.

**Theorem 2.** *MITRECONF is PSPACE-complete under TS and TJ even if the input graph is bounded maximum degree.*

#### 4. W[1]-Hardness

In this section, we show that  $\text{ITRECONF}$  is  $W[1]$ -hard under TJ and TS when parameterized by  $k + \ell$ , where  $k$  is the size of the induced trees and  $\ell$  is the number of steps in the reconfiguration sequences. To demonstrate the hardness, we use the following result [4]: Let  $G = (V(G), E(G))$  be a graph and  $k$  be a positive integer. The following question is  $W[1]$ -complete: does there exist a vertex subset  $S \subseteq V$  such that  $S$  is an independent set of  $G$  and  $|S| = k$ ? We refer to the problem as  $\kappa$ -IS.

##### 4.1 Token Jumping Case

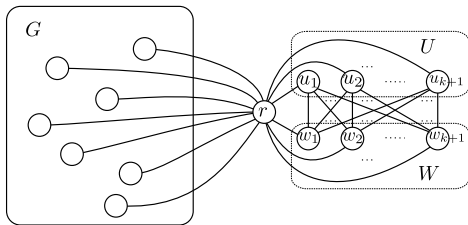
In this subsection, we consider an FPT-reduction from  $\kappa$ -IS to  $\text{ITRECONF}$  under TJ. To demonstrate this FPT-reduction, we first construct the graph  $I(G) = (V(I(G)), E(I(G)))$  from an instance  $G = (V(G), E(G))$  of  $\kappa$ -IS as follows:

$$\begin{aligned} V(I(G)) &= V(G) \cup \{r\} \cup U \cup W \text{ and} \\ E(I(G)) &= E(G) \cup \{(r, v) \mid v \in V(I(G)) \setminus \{r\}\} \\ &\quad \cup \{(u_i, w_j) \mid i, j = 1, \dots, k+1\}, \end{aligned}$$

where  $U = \{u_1, \dots, u_{k+1}\}$  and  $W = \{w_1, \dots, w_{k+1}\}$ . Note that  $U \cup W$  forms a complete bipartite graph in  $I(G)$ . In addition,  $T_U = \{r\} \cup U$  and  $T_W = \{r\} \cup W$  form induced trees (see Fig. 3). The following theorem shows that  $\text{ITRECONF}$  is  $W[1]$ -hard when parameterized by  $k + \ell$ .

**Theorem 3.**  *$\text{ITRECONF}$  is  $W[1]$ -hard when parameterized by  $k + \ell$  under TJ, where  $k$  is the size of the induced trees and  $\ell$  is the length of the reconfiguration sequences.*

*Proof.* Let  $G = (V(G), E(G))$  be a graph and  $k$  be a positive integer. We show that the following (I) and (II) are equivalent: (I)  $G$  has an independent set of size  $k$  and (II) There exists a reconfiguration sequence from  $T_U$  to  $T_W$  such that the number of steps in the sequence is  $2k + 1$ . (I)  $\rightarrow$  (II): Let  $S$  be an independent set of size  $k$  of  $G$ . For any two vertices  $u$  and  $v$  in  $S$ ,  $(u, v) \notin E(I(G))$  from the definition of an independent set. Thus,  $I(G)[S \cup \{r\}]$  is an induced tree because  $(u, r) \in E(I(G))$  for any vertex  $u$  in  $S$ . Hence, we can actually construct a reconfiguration sequence of length  $2k + 1$  from  $T_U$  to  $T_W$  as follows: First, we move  $u_1, \dots, u_k$  to  $S$  one by one. Second, we move  $u_{k+1}$  to  $w_{k+1}$ . Finally, we



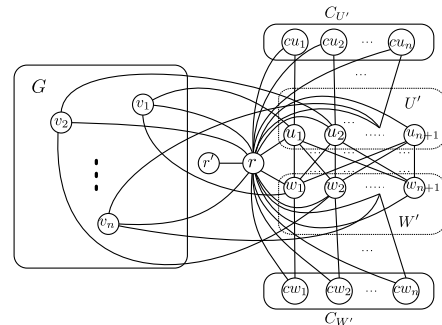
**Fig. 3** Example of  $I(G)$ . The edges in  $G$  are omitted. The vertex  $r$  is adjacent to all vertices. The induced subgraph  $I(G)[U \cup W]$  forms a biclique  $K_{k+1, k+1}$ .

move the vertices of  $S$  to  $W$  one by one. (II)  $\rightarrow$  (I): We assume that there exists a reconfiguration sequence from  $T_U$  to  $T_W$  of length  $2k + 1$ . Note the following two facts: (a) for any distinct four vertices  $u, u' \in U$  and  $w, w' \in W$ ,  $\{u, u', w, w'\}$  forms a cycle in  $I(G)$ , and (b) for any two vertices  $u \in U$  and  $w \in W$ ,  $\{r, u, w\}$  forms a cycle in  $I(G)$ . That is, for any two vertices  $u \in U$  and  $w \in W$ ,  $(T_U \setminus \{u\}) \cup \{w\}$  has a cycle. By contrast, for any vertex  $w \in W$ ,  $(T_U \setminus \{r\}) \cup \{w\}$  is an induced tree. However, for any two vertices  $u \in U$  and  $w' \in W$ ,  $(T_U \setminus \{r, u\}) \cup \{w, w'\}$  has a cycle. Thus, to reconfigure from  $T_U$  to  $T_W$ , first we have to move  $k$  vertices in  $U \cap T_U$  to  $S \subseteq V(G)$ . Let  $u$  be the remaining vertex in  $U \cap T_U$ . This process is completed in  $k$  steps. Thereafter, the intersection of  $T' = (T_U \setminus (U \setminus \{u\})) \cup S$  and  $T_W$  consists of a singleton  $\{r\}$ . Then, we move the  $k + 1$  vertices  $T' \setminus \{r\}$  to  $W$  starting from  $u$ . On the basis of the above discussion, all  $(2k + 1)$ -step reconfiguration sequences can be obtained from the above steps. Moreover,  $S$  must be an independent set with  $k$  vertices since all induced trees on a reconfiguration sequence contain  $r$ . Hence,  $G$  is a yes instance of  $\kappa$ -IS iff  $(I(G), T_U, T_W)$  is a yes-instance of  $\text{ITRECONF}$ . Thus, by [4], the theorem holds.  $\square$

##### 4.2 Token Sliding Case

In this subsection, we show that  $\text{ITRECONF}$  is  $W[1]$ -hard when parameterized by  $k + \ell$  under TS. To show hardness, we must slightly modify  $I(G)$ . We define  $I'(G) = (V(I'(G)), E(I'(G)))$  as follows (see Fig. 4):

$$\begin{aligned} V(I'(G)) &= V(G) \cup \{r, r'\} \cup U' \cup W' \cup C_{U'} \cup C_{W'} \text{ and} \\ E(I'(G)) &= E(G) \cup \{(r, v) \mid v \in V(I'(G)) \setminus \{r\}\} \\ &\quad \cup \{(u_i, w_j) \mid i, j \in \{1, \dots, n+1\}\} \\ &\quad \cup \{(v_i, u_i) \mid i \in \{1, \dots, n\}\} \\ &\quad \cup \{(v_i, w_i) \mid i \in \{1, \dots, n\}\} \\ &\quad \cup \{(u_i, cu_i) \mid i \in \{1, \dots, n\}\} \\ &\quad \cup \{(cu_i, cu_j) \mid i, j \in \{1, \dots, n\} \text{ and } i < j\} \\ &\quad \cup \{(w_i, cw_i) \mid i \in \{1, \dots, n\}\} \\ &\quad \cup \{(cw_i, cw_j) \mid i, j \in \{1, \dots, n\} \text{ and } i < j\}, \end{aligned}$$



**Fig. 4** Example of  $I'(G)$ . The edges in  $G$ ,  $I'(G)[C_{U'}]$ , and  $I'(G)[C_{W'}]$  are omitted.  $I'(G)[C_{U'}]$  and  $I'(G)[C_{W'}]$  form cliques  $K_n$ , and  $I'(G)[U' \cup W']$  forms a biclique  $K_{n+1, n+1}$ .



where  $U' = \{u_1, \dots, u_{n+1}\}$ ,  $W' = \{w_1, \dots, w_{n+1}\}$ ,  $C_{U'} = \{cu_1, \dots, cu_n\}$ , and  $C_{W'} = \{cw_1, \dots, cw_n\}$ . In the graph  $I'(G)$ ,  $U' \cup W'$  forms a complete bipartite graph, and  $C_{U'}$  and  $C_{W'}$  are cliques. In the following, two input induced trees of ITRECONF under TS to  $I'(G)$ ,  $T_{U'} = \{r, r', u_1, \dots, u_k, u_{n+1}\}$ , and  $T_{W'} = \{r, r', w_1, \dots, w_k, w_{n+1}\}$ , respectively. From the construction of  $I'(G)$ , we have the following three lemmas.

**Lemma 1.** *If there exists a reconfiguration sequence from  $T_{U'}$  to  $T_{W'}$ , every induced tree in the sequence includes both  $r$  and  $r'$ .*

*Proof.* Let  $T$  be an induced tree including both  $r$  and  $r'$ . Under TS, we cannot remove them from  $T$  because removing  $r$  disconnects  $T$  and  $r'$  is only adjacent to  $r$ .  $\square$

**Lemma 2.** *Suppose an induced subgraph  $S$  of  $I'(G)$  includes  $r$ . If  $S \cap U' \neq \emptyset$  and  $S \cap W' \neq \emptyset$ ,  $S$  has a cycle.*

*Proof.* Vertices  $u \in S \cap U'$ ,  $w \in S \cap W'$ , and  $r$  induce  $K_3$ .  $\square$

**Lemma 3.** *Suppose an induced subgraph  $S$  of  $I'(G)$ . If  $S$  includes  $r$  and two distinct vertices  $u$  and  $v$  in  $C_{U'}$ ,  $S$  has a cycle. The same claim holds for  $C_{W'}$ .*

*Proof.*  $\{r, u, v\}$  induces a cycle.  $\square$

**Theorem 4.** *ITRECONF is  $W[1]$ -hard when parameterized by  $k + \ell$  under TS, where  $k$  is the size of the induced trees and  $\ell$  is the length of the reconfiguration sequences.*

*Proof.* Let  $G = (V(G), E(G))$  be a graph and  $k$  be a positive integer. We prove the statement in the same fashion as in the case of Theorem 3. We show that (I) and (II) are equivalent: (I)  $G$  has an independent set of size  $k$ . (II) There exists a reconfiguration sequence from  $T_{U'}$  to  $T_{W'}$  such that the number of steps in the sequence is at most  $8k + 1$ . (I) $\rightarrow$ (II): Suppose that  $I = \{s_1, \dots, s_k\}$  is an independent set of  $G$ . Now, we consider how to obtain  $T_{W'}$  from  $T_{U'}$  in  $I'(G)$ . For each  $1 \leq i \leq k$ , let  $T_{U'}^i = \{r, r', u_{idx(s_1)}, \dots, u_{idx(s_i)}, u_{i+1}, \dots, u_k, u_{n+1}\}$  and  $T_{U'}^0 = T_{U'}$ , where  $idx(v)$  is the index of a vertex  $v$  in  $G$ . If  $i = 1$ , we can obtain  $T_{U'}^1$  from  $T_{U'}^0$  by (1) removing  $u_1$  and adding  $cu_1$ , (2) removing  $cu_1$  and adding  $cu_{idx(s_1)}$ , and then (3) removing  $cu_{idx(s_1)}$  and adding  $u_{idx(s_1)}$ . By applying these three operations to  $T_{U'}^i$ , repeatedly, we can finally obtain  $T_{U'}^k$  from  $T_{U'}^i$  in  $3k$  steps at most. This reconfiguration always exists from Lemmas 1 to 3. Next, we obtain the induced tree  $T_S = \{r, r', s_1, \dots, s_k, u_{n+1}\}$  from  $T_{U'}^k$  in  $k$  steps.  $T_S$  is actually an induced tree of  $I'(G)$  because  $\{s_1, \dots, s_k\}$  is an independent of  $I'(G)$ . Next, we obtain the induced tree  $T'_S = \{r, r', s_1, \dots, s_k, w_{n+1}\}$  in one step. Next, we obtain the induced tree  $T'' = \{r, r', w_{idx(s_1)}, \dots, w_{idx(s_k)}, w_{n+1}\}$  in  $k$  steps. Finally, we obtain the induced tree  $T_{W'}$  from  $T''$  in  $3k$  steps in the same fashion as we obtained  $T_{U'}^k$  from  $T_{U'}$ . Hence, if  $G$  has an independent set with  $k$  vertices, we can obtain  $T_{W'}$  from  $T_{U'}$  in  $8k + 1$  steps at most. (II) $\rightarrow$ (I): We show that, if a reconfiguration sequence  $RS$  from  $T_{U'}$  to  $T_{W'}$  exists,  $RS$  always includes an induced tree  $T_S$ , in which

$|T_S \cap V(G)| \geq k$  holds. Suppose that there exists a reconfiguration sequence  $RS'$  from  $T_{U'}$  to  $T_{W'}$  that does not include such  $T_S$ . For any induced tree  $T$  on  $RS'$ , the number of vertices in  $T$  is  $k + 3$ ,  $|T \cap V(G)| < k$ . Thus, from Lemma 1,  $|T \cap (V(I'(G)) \setminus (V(G)) \cup \{r, r'\})| \geq 2$ . This implies that, if such  $RS'$  exists, there exists  $T'$  on  $RS'$  such that  $T' \cap U' \neq \emptyset$  and  $T' \cap W' \neq \emptyset$  because there is no edge between  $C_{U'}$  and  $C_{W'}$ ,  $C_{U'}$  and  $W'$ , and  $C_{W'}$  and  $U'$ . However, according to Lemma 2, such  $T'$  has a cycle and this contradicts the definition of a reconfiguration sequence. Thus, the statement holds.  $\square$

## 5. Fixed Parameter Tractability

In this section, we show that ITRECONF is fixed parameter tractable when parameterized by the size of the induced trees and the maximum degree of an input graph.

**Theorem 5.** *ITRECONF is fixed parameter tractable when parameterized by  $k + \Delta$  under TJ and TS, where  $k$  is the size of the induced trees and  $\Delta$  is the maximum degree of an input graph.*

*Proof.* Let  $G = (V(G), E(G))$  be an input graph. For any vertex  $v$  in  $G$ , the number of vertices whose distance from  $v$  is at most  $k$  is fewer than  $N = \frac{\Delta}{\Delta-2}(\Delta-1)^k$  [3], and the number of induced trees including  $v$  is at most  $\binom{N}{k}$ . This implies that the size of  $\mathcal{IT}(G)$  is  $O(|V(G)|\binom{N}{k})$ , which is linear in the number of vertices. Here,  $\mathcal{IT}(G)$  is the set of induced trees in  $G$ . Therefore, we can solve ITRECONF in polynomial time because the reachability of the graph can be solved in polynomial time.  $\square$

The above theorem also holds for MITRECONF.

**Corollary 1.** *MITRECONF is fixed parameter tractable when parameterized by  $k + \Delta$  under TJ and TS, where  $k$  is the size of the induced trees and  $\Delta$  is the maximum degree of an input graph.*

There exist some variations of ITRECONF, such as SHORTESTITRECONF, which outputs the shortest reconfiguration sequence between two induced trees, and CONITRECONF, which answers whether the reconfiguration graph is connected. From Theorem 5, the size of a reconfiguration graph is linear in the number of vertices of an input graph when  $k + \Delta$  is constant. Hence, not only ITRECONF and MITRECONF but also SHORTESTITRECONF and CONITRECONF are fixed parameter tractable when parameterized by  $k + \Delta$ .

## 6. Conclusion

In this paper, we addressed the reconfiguration problem for induced trees. We summarized our results in Table 1. Future work will include the consideration of whether ITRECONF can be solved in polynomial time when input graphs are restricted.

**Table 1** Summary of the main results of this study. Here,  $\Delta$  is the maximum degree of an input graph,  $k$  is the size of the induced trees, and  $\ell$  is the length of a reconfiguration sequence. Reconfiguration rules are TJ and TS.

Problem	Hardness
ITR <sub>RECONF</sub> / MITR <sub>RECONF</sub>	PSPACE-complete even if $\Delta$ is constant
	FPT parameterized by $k + \Delta$
ITR <sub>RECONF</sub>	W[1]-hard parameterized by $k + \ell$

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