# **PAPER**

# Teachability of a Subclass of Simple Deterministic Languages

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SUMMARY We show teachability of a subclass of simple deterministic languages. The subclass we define is called stack uniform simple deterministic languages. Teachability is derived by showing the query learning algorithm for this language class. Our learning algorithm uses membership, equivalence and superset queries. Then, it terminates in polynomial time. It is already known that simple deterministic languages are polynomial time query learnable by context-free grammars. In contrast, our algorithm guesses a hypothesis by a stack uniform simple deterministic grammar, thus our result is strict teachability of the subclass of simple deterministic languages. In addition, we discuss parameters of the polynomial for teachability. The "thickness" is an important parameter for parsing and it should be one of parameters to evaluate the time complexity.

**key words:** teachability, learning via query, polynomial time learning, simple deterministic language

### 1. Introduction

Teachability is one of machine learning problems. In this problem, the learner finds out a correct hypothesis via a special set of examples. There are some different models of teachability [2], [4], [9]. In Goldman and Mathias's setting [2], the teacher makes a set of examples called teaching set which is helpful for the learner. Then, adversary adds some examples to the teaching set with the aim of confusing the learner. If the learner can guess a correct hypothesis from the given examples, the language class is called teachable. Especially, if the teacher can make a teaching set in polynomial time and the learner can also guess a correct hypothesis in polynomial time, then such language is called polynomially T/L-teachable. If only the learner can guess a correct hypothesis in polynomial time, then such language is called semi-poly T/L-teachable. In this setting, it is known that a language class is semi-poly T/L-teachable if there exists a polynomial time learning algorithm which uses example based queries.

"Identification in the limit from polynomial time and data" is defined by de la Higuera [4]. This setting adds one more consistency condition to Goldman and Mathias's teachability, i.e. if the learner can construct a consistent hypothesis for any examples then de la Higuera's setting and Goldman and Mathias's setting are equivalent, that is semipoly T/L-teachable. The polynomial in de la Higuera's setting only consists of the size of the target representation

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a) E-mail: tajima@cse.oka-pu.ac.jp DOI: 10.1587/transinf.E96.D.2733 and the size of teaching set. We think it is too strong because any grammar class which contains  $G_t = (\{A_i \mid i = 1, 2, \dots, n\}, \{a, b\}, P, A_1)$  where

$$P = \{ A_i \to aA_{i+1}A_{i+1} \ (i = 1, 2, \dots, n-1), A_n \to b \}$$

can not be identifiable in the limit from polynomial time and data. Thus, we use the length of the shortest examples for every nonterminal as a parameter of the polynomial.

In this paper, we show a polynomial time query learning algorithm for a subclass of simple deterministic languages. This algorithm uses membership, equivalence and superset queries. Then, it guesses a correct hypothesis in time of polynomial of the size of the grammar which generates the target language and the longest length of counterexamples. It implies that this language class is semi-poly T/L-teachable on our parameters of the polynomial.

It is shown by de la Higuera [4] that if a grammar class whose equivalence problem is undecidable then such class is not identifiable in the limit from polynomial time and data. It implies that such class of languages is not query learnable in polynomial time. For both context-free languages and linear languages, the equivalence problems are undecidable [3]. Thus, these languages are not identifiable in the limit from polynomial time and data. It implies that these languages can not be query learnable in polynomial time. For simple deterministic languages, the equivalence problem is decidable [12]. Ishizaka [7] has shown the polynomial time learning algorithm via membership and equivalence queries. Thus, simple deterministic languages are semi-poly T/L-teachable. But, the representation in Ishizaka's algorithm is a context-free grammar. It is unsolvable that the equivalence problem between a context-free grammar and a simple deterministic grammar [11]. Then, the result of Ishizaka's algorithm can not be compared to a simple deterministic grammar. We should say that simple deterministic languages are semi-poly T/L-teachable with a context-free grammar.

In contrast, the representation of our learning algorithm is the restricted simple deterministic grammar which can express all languages in the target class. This brings some advantages. At first, an equivalence check between the learning result and any other simple deterministic grammar can be solvable in polynomial time. The second advantage is that we can parse any word in linear time, since the hypothesis of Ishizaka's algorithm needs CYK like algorithm whose complexity is at least  $O(l^2)$  for the l length word. Dupont

et al. [6] have applied a grammatical inference algorithm to investigate software behavior. When we apply some learning algorithm to such a practical problem, the representation of the learner is important. The equivalence check is useful to check compatibility between two results of learning or teaching. Fast parsing is also useful to apply the result to some practical systems. At last, we show that the time complexity of our learning algorithm is not slower than Ishizaka's algorithm.

### 2. Preliminaries

A context-free grammar (CFG for short) is denoted by  $G = (N, \Sigma, P, S)$  where N is a finite set of nonterminals,  $\Sigma$  is a finite set of terminals, P is a finite set of production rules and  $S \in N$  is the start symbol. We call  $w \in \Sigma^*$  a word and the 0-length word is denoted by  $\varepsilon$ . The length of a word  $w \in \Sigma^*$  is denoted by |w|, and the cardinality of a set B is also denoted by |B|.

A CFG G is a simple deterministic grammar (SDG for short) if G is in Greibach normal form and  $\varepsilon$ -free and G satisfies the following conditions.

• If  $A \to a\beta \in P$  where  $A \in N$ ,  $a \in \Sigma$  and  $\beta \in N^*$ , then  $A \to a\gamma \notin P$  for any  $\gamma \in N^*$  such that  $\gamma \neq \beta$ .

We denote a derivation by  $\gamma A \gamma' \underset{G}{\Rightarrow} \gamma a \beta \gamma'$  where  $\gamma, \gamma' \in (N \cup \Sigma)^*$  and  $A \to a\beta \in P$ . The reflexive and transitive closure of derivations is denoted by  $\underset{G}{\overset{*}{\Rightarrow}}$  or  $\overset{*}{\Rightarrow}$  when G is obvious. The simple deterministic language (SDL for short) generated by an SDG G is  $L(G) = \{w \in \Sigma^* \mid S \underset{G}{\overset{*}{\Rightarrow}} w\}$ . For  $A \in N$ , we define  $L_G(A) = \{w \in \Sigma^* \mid A \underset{G}{\overset{*}{\Rightarrow}} w\}$ . If there exists a derivation  $S \underset{G}{\overset{*}{\Rightarrow}} \alpha A \beta$  for  $A \in N$  where  $\alpha, \beta \in (N \cup \Sigma)^*$ , then A is reachable. In addition, if there exists a derivation  $A \underset{G}{\overset{*}{\Rightarrow}} w$  for some  $w \in \Sigma^*$  then A is live.

Let  $G = (N, \Sigma, P, S)$  be an SDG. A rooted ordered tree  $t_w$  is a derivation tree for  $w \in L(G)$  if the followings hold.

- 1. The root of  $t_w$  is labeled by S.
- 2. Let  $A \in N$  and  $B_1, B_2, \dots, B_n \in N \cup \Sigma$ . If an internal node labeled by A has child nodes labeled by  $B_1, B_2, \dots, B_n$ , respectively, then  $A \to B_1 B_2 \cdots B_n$  is in P.
- 3. The yield of  $t_w$  is w.

A skeleton sk(t) for a derivation tree t is a tree whose all internal nodes are labeled by a special symbol  $\sigma$  such that  $\sigma \notin N \cup \Sigma$ .

Let v be a node of a derivation tree or a skeleton t, and the yield of t be w. The yield of the subtree rooted at v is denoted by word(v). We define

$$pre(v) = \begin{cases} \varepsilon \dots \text{if } v \text{ is the root} \\ pre(u) \cdot word(a_1) \dots word(a_i) \dots \text{ otherwise} \end{cases}$$

here we assume that u is the parent of v and u has child nodes

 $a_1, \dots, a_i, v, b_1, \dots b_j$ , respectively. In other words, pre(v) is the prefix word of w which is the yield before the depth-first search of t reaches at v. In addition, post(v) is the suffix word of w such that  $pre(v) \cdot word(v) \cdot post(v) = w$ .

We define corresponding nodes for two trees  $t_1, t_2$  as follows.

- The root of t<sub>1</sub> and the root of t<sub>2</sub> are corresponding nodes.
- If a node v<sub>1</sub> of t<sub>1</sub> and a node v<sub>2</sub> of t<sub>2</sub> are corresponding nodes, and both of v<sub>1</sub> and v<sub>2</sub> have the same number of children, then x<sub>i</sub> and y<sub>i</sub> are corresponding nodes where x<sub>i</sub> is the i-th child node of v<sub>1</sub> and y<sub>i</sub> is the i-th child node of v<sub>2</sub>.

Let  $V_1$  be the set of nodes of  $t_1$  and  $V_2$  be the set of nodes of  $t_2$ . If  $v_1 \in V_1$  and  $v_2 \in V_2$  are corresponding nodes then  $f(v_1) = v_2$ . Two trees  $t_1$  and  $t_2$  are isomorphic iff the mapping  $f: V_1 \to V_2$  is a one-to-one mapping.

We denote a tree t by the followings.

- If t has only one node whose label is  $a \in \Sigma$ , then t = a.
- Let A be the label of root and  $v_i$  be the *i*-th child node of the root  $(i = 1, \dots, m)$ . Then,  $t = A(t_1, t_2, \dots, t_m)$  where  $t_i$  is the subtree rooted at  $v_i$  for  $i = 1, \dots, m$ .

Let  $L_t$  be the learning target language. Let  $G_t = (N_t, \Sigma, P_t, S_t)$  be a grammar such that  $L(G_t) = L_t$  and every  $A \in N_t$  be reachable and live. A set of word  $w \in \Sigma^*$  and its membership  $(w \in L_t \text{ or not})$  is called an example.

## 3. Stack Uniform Simple Deterministic Languages

**Definition 1:** An SDG  $G = (N, \Sigma, P, S)$  is a stack uniform SDG (suSDG for short) if the following holds.

• If  $A \to a\beta \in P$  for  $A \in N$ ,  $a \in \Sigma$ ,  $\beta \in N^*$ , then  $|\gamma| = |\beta|$  holds for any rule  $B \to a\gamma \in P$ .

A stack uniform SDL (suSDL for short) is the language generated by an suSDG *G*.

A pushdown automaton which accepts an suSDL moves its stack height in "uniform" according to the input symbol. The equivalence problem on stack uniform deterministic pushdown automata (DPDAs) is solved [8] before the equivalence problem between DPDAs is solved. Let  $M = (\Sigma, \Gamma, Q, F, \Delta, c_s)$  be a DPDA, where  $\Sigma, \Gamma$ , and Q are finite sets of input symbols, stack symbols, and states, respectively,  $F \subseteq Q \times (\{Q\} \cup \Gamma)$  is the set of accepting modes (here Q is a special empty stack symbol),  $\Delta$  is a finite set of transition rules and  $c_s = (s_0, Z_0) \in Q \times \Gamma$  is the initial configuration. A DPDA M is stack uniform iff

- M has no  $\varepsilon$ -rules, and
- for any  $s_1, s_2, s'_1, s'_2 \in Q$ ,  $A, A' \in \Gamma$ ,  $a \in \Sigma$  and  $w, w' \in \Gamma^*$ , if  $(s_1, A) \to^a (s_2, w)$  and  $(s'_1, A') \to^a (s'_2, w')$  are in  $\Delta$ , then it holds that |w| = |w'|.

An SDL can be represented by a DPDA such that |Q| = 1. Then, it is trivial that an suSDG is equivalent to a stack uniform DPDA with |Q| = 1.

**Definition 2:** Let  $G = (N, \Sigma, P, S)$  be an suSDG,  $a \in \Sigma$  and  $A \to a\beta \in P$ . We define  $n_{(a,G)} = |\beta|$ . If the grammar G is obvious,  $n_a$  also denotes  $n_{(a,G)}$ . If there are no rules in P of the form  $B \to c\gamma$  for any  $B \in N$  and  $\gamma \in N^*$ , then let  $n_{(c,G)} = 1$  for  $c \in \Sigma$ . This is because we can assume  $B \to cZ$  is in P for such  $c \in \Sigma$  where Z is not live.

Without loss of generality, we assume an order on  $\Sigma$ 

and let 
$$\Sigma = \{a_1, a_2, \cdots, a_j\}$$
. We call  $\vec{n_G} = \begin{pmatrix} n_{(a_1, G)} \\ n_{(a_2, G)} \\ \vdots \\ n_{(a_j, G)} \end{pmatrix}$  the

parameter vector of G.

We define

$$m_{(x,a)} = |\{u \cdot a | u, v \in \Sigma^*, x = uav\}|$$

for  $x \in \Sigma^*$  and  $a \in \Sigma$ . In other words,  $m_{(x,a)}$  is the number that a appears in x.

**Definition 3:** For a finite set of words  $X = \{x_1, x_2, \dots, x_k\}$   $\subset \Sigma^+$ , we define

$$M_X = \begin{pmatrix} m_{(x_1,a_1)} & m_{(x_1,a_2)} & \cdots & m_{(x_1,a_j)} \\ m_{(x_2,a_1)} & m_{(x_2,a_2)} & \cdots & m_{(x_2,a_j)} \\ & & & \vdots \\ m_{(x_k,a_1)} & m_{(x_k,a_2)} & \cdots & m_{(x_k,a_j)} \end{pmatrix}$$

where  $i = |\Sigma|$  and k = |X|.

**Lemma 4:** For an suSDG  $G = (N, \Sigma, P, S)$  and  $x \in L(G)$ , it holds that

$$\sum_{a \in \Sigma, m_{(x,a)} \neq 0} m_{(x,a)} (n_{(a,G)} - 1) = -1 \tag{1}$$

for  $x \in \Sigma^+$ .

**Proof:** Suppose  $A \to a\beta$  is in P and there is a derivation  $\gamma_1 A \gamma_2 \underset{G}{\Rightarrow} \gamma_1 a \gamma_3$ . Then,  $|A| + |\gamma_2| + n_{(a,G)} = |a| + |\gamma_3|$  holds.

Adding this equation for  $S \stackrel{*}{\underset{G}{\rightleftharpoons}} x$ , it holds that

$$|S| + \sum_{a \in \Sigma, m_{(x,a)} \neq 0} m_{(x,a)} n_{(a,G)} = |x|$$

$$1 + \sum_{a \in \Sigma, m_{(x,a)} \neq 0} m_{(x,a)} n_{(a,G)} = |x|$$

$$\left(\sum_{a \in \Sigma, m_{(x,a)} \neq 0} m_{(x,a)} n_{(a,G)}\right) - |x| = -1$$

$$\sum_{a \in \Sigma, m_{(x,a)} \neq 0} m_{(x,a)} (n_{(a,G)} - 1) = -1.$$

Thus Eq. (1) holds.

From this lemma, if  $x' \in \Sigma^*$  does not satisfy Eq. (1) then it holds that  $x' \notin L(G)$ .

It is clear that the class of suSDGs is included in the class of SDGs because of Definition 1.

**Theorem 5:** The class of suSDLs is a proper subclass of SDLs.

**Proof:** Let  $G = (\{S, A, B\}, \{a, b\}, P, S)$  with

$$P = \{S \rightarrow aA, A \rightarrow aAB, A \rightarrow b, B \rightarrow b\}$$

be an SDG, then  $L(G) = \{a^i b^i \mid i \ge 1\}$ . Suppose  $X = \{x_1 = ab, x_2 = aabb\} \subset L(G)$ , then  $m_{(x_1,a)} = 1, m_{(x_1,b)} = 1, m_{(x_2,a)} = 2, m_{(x_2,b)} = 2$ . There is no solution  $\vec{n_G} = (n_a, n_b)$  which satisfies

$$m_{(x_1,a)}(n_a-1) + m_{(x_1,b)}(n_b-1) = -1$$

and

$$m_{(x_2,a)}(n_a-1)+m_{(x_2,b)}(n_b-1)=-1.$$

Thus, L(G) is not an suSDG.

**Definition 6:** We define a regular language L with an end marker as follows.

- L is regular.
- $\forall w \in L$ , it holds that w = u# for  $u \in (\Sigma \{\#\})^*$  and  $\# \in \Sigma$

In other words, every word in L is ended by # and the end marker # must not appear in middle of any words.

**Theorem 7:** The class of regular languages with an end marker is proper contained in the class of suSDLs.

**Proof:** Without loss of generality, any regular language with an end marker can be represented by an suSDG  $G = (N, \Sigma, P, S)$  which has the production rules of the form  $A \to aB$  or  $A \to \#$  where  $A, B \in N$ ,  $a \in \Sigma$  and  $\# \in \Sigma$  is the end marker.

On the other hand, let  $G = (\{S, T\}, \{a, b\}, P, S)$  be an suSDG such that

$$P = \{S \rightarrow aST, S \rightarrow b, T \rightarrow b\}$$

then  $L(G) = \{a^i b^{i+1} \mid i \ge 0\}$  and this is not a regular language. Thus, this theorem holds.

The class of suSDGs has the following property.

**Lemma 8:** Let  $G = (N, \Sigma, P, S)$  be an suSDG. For any  $w \in L(G)$ , we can construct the skeleton  $sk(t_w)$  from the parameter vector  $\overrightarrow{n_G}$  and  $\Sigma$ , where  $t_w$  is the derivation tree of w on G. The time complexity to construct  $sk(t_w)$  is O(|w|).

**Proof:** Let  $A \in N$  and  $w = a_1 a_2 \cdots a_n \in \Sigma^*$  for  $a_i \in \Sigma$   $(i = 1, 2, \dots, n)$ . Reading w from left to right,  $sk(t_w)$  can be recursively constructed as follows.

- 1. Make root node and let it the current node.
- 2. Read one terminal symbol from w and make child nodes according to  $\vec{n_G}$ .
- 3. Change the current node based on the depth first search and back to the previous step.

Formally, we can show the algorithm in Fig. 1.

The output skeleton *sk* satisfies followings.

• The yield of sk is w.

• The first child of every internal node is labeled by  $a_i \in \Sigma$   $(i = 1, \dots, n)$ .

```
Procedure make_skeleton
INPUT: w = a_1 a_2 \cdots a_n \in \Sigma^+, parameter vector \vec{n_G}
OUTPUT: skeleton sk and u \in \Sigma^* of w's suffix
begin
   if (|w| = 1) then output sk = \sigma(a_1) and u = \varepsilon, and exit
   if (n_{(a_1,G)} = 0) then output sk = \sigma(a_1) and u = a_2 \cdots a_n, and exit
    for i = 1, 2, \dots, n_{(a_1, G)} do
       if (i == 1) then
           call make_skeleton with INPUT: a_1 a_2 \cdots a_n, \vec{n_G}
           (let OUTPUT: sk_1, u'_1)
           call make_skeleton with INPUT: u'_{i-1}, \vec{n_G}
           (let OUTPUT: sk_i, u'_i)
   end
   Let sk = \sigma(a_1, sk_1, sk_2, \dots, sk_{n_{(a_1,G)}})
   Let u = u'_{n_{(a_1,G)}}
   output sk and u
```

Fig. 1 The skeleton construction algorithm.

- The root of sk and the root of tw are corresponding nodes
- If a node v of  $t_w$  and a node u of sk are corresponding nodes, then both v and u have  $n_{(a,G)} + 1$  child nodes where  $a \in \Sigma$  is the label of the first child of u.

Thus, sk is isomorphic to  $t_w$ .

When this procedure is called recursively, the input word will be decreased. Thus, the number of recursive call is at most |w|, it implies that the time complexity of this procedure is O(|w|).

**Example 9:** We show an example run of Fig. 1. Assume an suSDG  $G = (\{S, T\}, \{a, b\}, \{S \rightarrow aST, S \rightarrow b, T \rightarrow b\}, S)$  and try to make the skeleton for  $w = aabbb \in L(G)$ . The parameter vector of G is

$$\vec{n_G} = \begin{pmatrix} n_{(a,G)} \\ n_{(b,G)} \end{pmatrix} = \begin{pmatrix} 2 \\ 0 \end{pmatrix}$$

and we start the procedure "make\_skeleton(INPUT: aabbb, Since  $n_{(a,G)} = 2$ , it makes two times re- $\vec{n_G}$ )." cursive calls of make\_skeleton(). The first call is "make\_skeleton(INPUT: abbb,  $\vec{n_G}$ )." This second level procedure also makes two times recursive calls, and the first call is "make\_skeleton(INPUT: bbb,  $\vec{n_G}$ )." The third level procedure returns  $\sigma(b)$  and bb. The second level procedure calls "make\_skeleton(INPUT: bb,  $\vec{n_G}$ )" and obtains  $\sigma(b)$  and b. Now, the second level procedure returns  $\sigma(a, \sigma(b), \sigma(b))$  and b. The first level procedure calls "make\_skeleton(INPUT: b,  $\vec{n_G}$ )" and obtains  $\sigma(b)$  and  $\varepsilon$ . Finally, the first level procedure returns  $\sigma(a, \sigma(a, \sigma(b), \sigma(b)), \sigma(b))$  and  $\varepsilon$ . This is the skeleton of the derivation tree. The time complexity is O(|w|).

**Lemma 10:** Let  $X \subset \Sigma^+$  hold that

$$\{a \in \Sigma \mid u, w \in \Sigma^*, uaw \in X\} = \Sigma.$$

In other words, every  $a \in \Sigma$  appears in some  $x \in X$ . Then it holds that

$$|\{\vec{n_G} \mid X \subseteq L(G), G \text{ is an suSDG}\}| = O(l^{|\Sigma|})$$

where  $l = \max\{|x| \mid x \in X\}$ , i.e. the number of possible parameter vectors is finite and  $O(l^{|\Sigma|})$ .

**Proof:** Every suSDG G such that  $X \subseteq L(G)$  holds Eq. (1) for any  $x \in X$ . Thus,  $|\{\vec{n_G} \mid X \subseteq L(G), G \text{ is an suSDG}\}|$  is bounded by the number of solutions of

$$M_X(\vec{n_G} + \vec{-1}) = \vec{-1}$$
 (2)

with

$$n_{a_i} \geq 0$$

for  $i = 1, 2, \dots, |\Sigma|$ . Here,

$$\vec{n_G} = \begin{pmatrix} n_{a_1} \\ n_{a_2} \\ \vdots \\ n_{a_{l\Sigma_1}} \end{pmatrix}, \quad \vec{-1} = \begin{pmatrix} -1 \\ -1 \\ \vdots \\ -1 \end{pmatrix} |\Sigma|.$$

Let k = |X|. For any  $a_i$ , there exists  $1 \le h_i \le k$  such that  $m_{(x_{h_i},a_i)} > 0$ . In addition, it holds that  $0 \le n_{a_i} < l$  for any i  $(1 \le i \le |\Sigma|)$  because of the following. If there exists i such that  $n_{a_i} \ge l$  then

$$\sum_{i'=1}^{|\Sigma|} m_{(x_{h_i}, a_{i'})}(n_{a_{i'}} - 1)$$

$$= m_{(x_{h_i}, a_i)}(n_{a_i} - 1) + \sum_{i'=1}^{|\Sigma|} \sum_{(i' \neq i)}^{m_{(x_{h_i}, a_{i'})}} (n_{a_{i'}} - 1)$$

$$\geq 1(l-1) + (l-1) \cdot \min_{i' \neq i}^{m_{i'}} (n_{a_{i'}} - 1)$$

$$\geq (l-1) + (l-1)(-1) = 0 > -1.$$

This is a contradiction to Eq. (1).

Thus, the number of solutions of (2) is bounded by  $l^{|\Sigma|}$ .

For an suSDG G and its parameter vector  $\vec{n_G}$ , we define the following suSDG  $G_u$ .

$$\begin{split} G_u &= (\{S\}, \mathcal{\Sigma}, P_u, S) \\ P_u &= \{S \rightarrow a_i \underbrace{S \cdots S}_{n_{(a_i, G)}} | a_i \in \Sigma\} \end{split}$$

We call this a Universal suSDG (USDG for short). Then, the followings hold.

- Both G and  $G_u$  have the same parameter vector, i.e.  $\vec{n_G} = \vec{n_{G_u}}$ .
- $L(G) \subseteq L(G_u)$ .

Similar definition and universal grammars are used in the learning algorithm for linear languages [10].

# 4. Learning Algorithm When the Parameter Vector is

We define the following queries. Here,  $L_t$  is the target suSDL.

[Membership query: Member]

INPUT:  $w \in \Sigma^*$ 

OUTPUT:  $yes \text{ if } w \in L_t$ ,  $no \text{ if } w \notin L_t$ 

[Equivalence query: Equiv]

INPUT: a hypothesis suSDG  $G_h$ 

OUTPUT:  $yes \text{ if } L(G_h) = L_t$ ,

*no* and  $w \in \Sigma^*$  if  $L(G_h) \neq L_t$ 

here  $w \in \Sigma^*$  is a counterexample such that  $w \in (L_t - L(G_h)) \cup (L(G_h) - L_t)$ .

In the following of this paper, let  $G_t = (N_t, \Sigma, P_t, S_t)$  be an suSDG such that  $L(G_t) = L_t$ . With these queries and a parameter vector  $\vec{n}_{G_t}$ , we can show a polynomial time learning algorithm. This learning algorithm is an application of Angluin [1]'s algorithm to suSDGs.

From Lemma 8, we can make a skeleton sk for  $w \in L_t$  if  $n_{G_t}^2$  is known. In the following of this paper, we denotes the skeleton for  $w \in L_t$  by sk(w). Let V be the set of all nodes of sk(w). Since every SDG is unambiguous and sk(w) is isomorphic to the derivation tree  $t_w$ , we can use a word pre(v) for  $v \in V$  as a representation of a nonterminal. In addition, let  $x \in \Sigma^+$  be the shortest suffix of post(v) such that

- x'x = post(v) for some  $x' \in \Sigma^*$ ,
- $pre(v) \cdot word(v) \cdot x \in L_t$ .

Such shortest suffix x is denoted by sspost(v). We can find sspost(v) for any node v by |post(v)| times calling of membership queries. Let v be a node of sk(w), u be the node of  $t_w$  such that v and u are corresponding nodes. Suppose that  $S_t \stackrel{*}{\Rightarrow} pre(u) \cdot A \cdot \beta \stackrel{*}{\Rightarrow} pre(u) \cdot word(u) \cdot \beta \stackrel{*}{\Rightarrow} pre(u) \cdot word(u) \cdot post(u)$ , then it also holds that  $S_t \stackrel{*}{\Rightarrow} pre(u) \cdot A \cdot \beta \stackrel{*}{\Rightarrow} pre(u) \cdot word(u) \cdot sspost(u)$ . The following lemma holds.

**Lemma 11** (Ishizaka [7] Lemma 8): Let v and u are corresponding nodes where v is a node of  $t_y$  and u is a node of sk(y) for  $y \in \Sigma^*$ . In addition, let  $A \in N_t$  be the label of v. It holds that

$$L_{G_t}(A) = \{w \in \Sigma^+ \mid Member(pre(u) \cdot w \cdot sspost(u)) = yes, \\ \forall w' \in \Sigma^+, \forall w'' \in \Sigma^+, w'w'' = w, \\ Member(pre(u) \cdot w' \cdot sspost(u)) = no\}.$$

**Proof:** Since v and u are corresponding nodes, it holds that pre(u) = pre(v) and sspost(u) = sspost(v). From  $t_y$  is a derivation tree, it holds that

$$L_{G_t}(A) = \{w \in \Sigma^+ \mid Member(pre(u) \cdot w \cdot sspost(u)) = yes, \\ \forall w' \in \Sigma^+, \forall w'' \in \Sigma^+, w'w'' = w, \\ Member(pre(u) \cdot w' \cdot sspost(u)) = no\}.$$

Let V be the set of internal nodes of sk(w). In our algorithm,  $\{(pre(v), sspost(v))|v \in V\}$  is a set of nonterminal

candidates of sk(w). These candidates are added to  $N_0$  for all positive counterexamples.

Let  $W \subset \Sigma^+$ . For a set of nonterminal candidates  $N_0$  and  $(u,v) \in N_0$ , we define  $L_{(u,v)} = \{w \in W | Member(u \cdot w \cdot v) = yes, \forall w' \in \Sigma^+, \forall w'' \in \Sigma^+, w'w'' = w, Member(u \cdot w' \cdot v) = no\}$ . In addition, for  $(u_1,v_1), (u_2,v_2) \in N_0$ , we define  $(u_1,v_1)\stackrel{W}{=}(u_2,v_2)$  iff  $L_{(u_1,v_1)} = L_{(u_2,v_2)}$ . An equivalence class on  $N_0$  by  $\stackrel{W}{=}$  is a nonterminal of a hypothesis grammar and  $N_h$  denotes the set of nonterminals. We denote the equivalence class  $A \in N_h$  which contains  $(p,q) \in N_0$  by A(p,q). The root of sk(w) corresponds to  $(\varepsilon,\varepsilon) \in N_0$ . The root of sk(w') also corresponds to  $(\varepsilon,\varepsilon) \in N_0$  for any  $w' \in \Sigma^+$  such that  $w' \neq w$ . Thus, all root nodes are in the same equivalence class at every hypothesis guessed by our algorithm.

Let sk(w) be a skeleton obtained from a positive counterexample  $w \in L_t$  and  $v, u_1, u_2, \cdots, u_n$  are nodes of sk(w) such that v has child nodes  $u_1, \cdots, u_n$ , respectively. From Lemma 8, the first child node of every internal node in sk(w) is labeled by a terminal in  $\Sigma$  and the other child nodes are internal node if exist. Now, let the label of  $u_1$  be  $a \in \Sigma$ . In addition, let  $A(v), A(u_2), \cdots, A(u_n) \in N_h$  be nonterminals which correspond to  $v, u_2, \cdots, u_n$ , respectively. Then, a rule  $A(v) \to aA(u_2) \cdots A(u_n)$  is added to  $P_h$  in our algorithm. If both of  $A \to a\beta$  and  $A \to a\gamma$  are in  $P_h$  then  $|\beta| = |\gamma|$  should hold.

If  $\beta \neq \gamma$  then the following is processed. Let  $\beta = A(p_1, p'_1) \cdots A(p_n, p'_n)$  and  $\gamma = A(q_1, q'_1) \cdots A(q_n, q'_n)$  where  $(p_1, p'_1), \cdots, (p_n, p'_n), (q_1, q'_1), \cdots, (q_n, q'_n) \in N_0$ . If there are no  $w \in W$  and  $1 \leq i \leq n$  such that  $w \in (L_{(p_i, p'_i)} - L_{(q_i, q'_i)}) \cup (L_{(q_i, q'_i)} - L_{(p_i, p'_i)})$ , we call the pair  $A \to a\beta$  and  $A \to a\gamma$  a consistent pair. We call  $P_h$  is consistent if every pair of the form  $A \to a\beta$  and  $A \to a\gamma$  in  $P_h$  is a consistent pair. If  $P_h$  is consistent then delete one of  $A \to a\beta$  or  $A \to a\gamma$ , arbitrarily.

If  $P_h$  is not consistent, i.e. there exist  $w \in W$  and i such that  $w \in (L_{(p_i,p_i')} - L_{(q_i,q_i')}) \cup (L_{(q_i,q_i')} - L_{(p_i,p_i')})$  then

$$W := W \cup \{a \cdot s_1 \cdots s_{i-1} \cdot w \cdot s_{i+1} \cdots s_n\}$$
 (3)

where  $s_j \in \Sigma^+$   $(1 \le j \le n)$  is the shortest word in W such that  $Member(p_j \cdot s_j \cdot p'_j) = yes$ . Now,  $L_{(p_i,p'_i)} \ne L_{(q_i,q'_i)}$  holds. Thus, we must remake  $N_h$  from  $N_0$  by the new W. Applying this process to  $P_h$  repeatedly, we can obtain a consistent  $P_h$ .

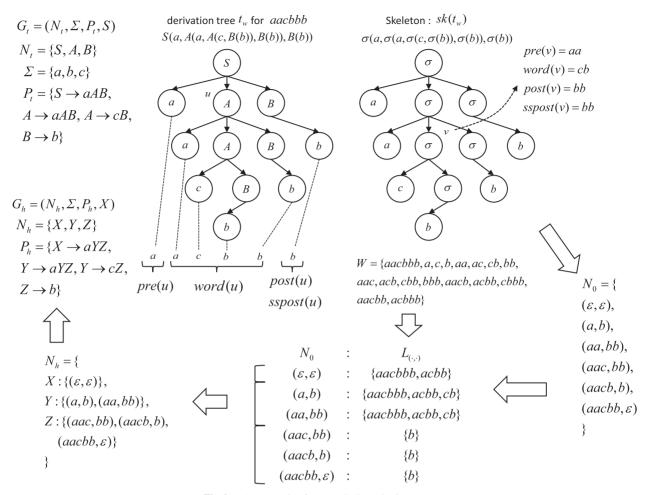
From these process, we can obtain a hypothesis grammar (Fig. 2). The learning algorithm is shown in  $A_1$  (Fig. 3).

**Lemma 12:** In the algorithm  $A_1$ , it holds that  $|N_h| \le |N_t|$ . **Proof:** For every  $(x, y) \in N_0$ , there exists a node v of  $sk \in SK$  such that pre(v) = x, sspost(v) = y. From Lemma 11,

$$\begin{split} L_{G_t}(A) &= \{w \in \Sigma^+ | Member(x \cdot w \cdot y) = yes, \\ \forall w' \in \Sigma^+, \forall w'' \in \Sigma^+, w'w'' = w, \\ Member(x \cdot w' \cdot y) &= no \} \end{split}$$

for some  $A \in N_t$ . In addition,

$$\begin{split} L_{G_t}(A) &= \{w \in \Sigma^+ | Member(u \cdot w \cdot v) = yes, \\ \forall w' \in \Sigma^+, \forall w'' \in \Sigma^+, w'w'' = w, \\ Member(u \cdot w' \cdot v) &= no \} \end{split}$$



**Fig. 2** An example of trees and a hypothesis grammar.

holds for any  $(u, v) \in N_0$  such that  $L_{(x,y)} = L_{(u,v)}$ . It implies that every  $B \in N_h$  corresponds to some  $A \in N_t$ . Thus,  $|N_h| \le |N_t|$  holds.

**Lemma 13:** Suppose  $(x, y) \in N_0$  and  $A \in N_h$  such that A(x, y) = A and  $Member(x \cdot w \cdot y) = no$  for  $w \in W$ . It holds that  $w \notin L_{G_h}(A)$ .

**Proof:** We denote the derivation tree on  $G_t$  which is isomorphic to  $sk \in SK$  by  $t_{sk}$ . Let u be a node of sk.  $v_u$  denotes the node of  $t_{sk}$  such that u and  $v_u$  are corresponding nodes. In addition,  $B(v_u) \in N_t$  denotes the nonterminal by whom  $v_u$  is labeled.

Suppose  $w = a \in \Sigma$ . For any node u of any  $sk \in SK$  such that A(pre(u), sspost(u)) = A, then it holds that  $a \notin L_{G_t}(B(v_u))$  from the assumption that  $Member(x \cdot a \cdot y) = no$ . It implies that  $B(v_u) \to a$  is not in  $P_t$ . Every  $sk \in SK$  is a skeleton of a derivation tree of  $G_t$ , then  $A \to a$  is not in  $P_h$ .

Suppose that this lemma holds for any |w| = n and |aw| = n + 1 where  $a \in \Sigma$ . For any node u of any  $sk \in SK$  such that A(pre(u), sspost(u)) = A, it also holds that  $aw \notin L_{G_t}(B(v_u))$  from the assumption. It implies that

- $B(v_u) \to a\beta$  is not in  $P_t$  for any  $\beta \in N_t^+$ , or
- $B(v_u) \to aC_1 \cdots C_m$  is in  $P_t$  for  $m \le n$ , but there exists  $1 \le j \le m$  such that  $w_j \notin L_{G_i}(C_j)$  for any separation of

$$w = w_1 w_2 \cdots w_m \ (w_i \in \Sigma^+, i = 1, \cdots, m).$$

If the former case holds then  $aw \notin L_{G_h}(A)$ . If the later case holds, there are two cases.

- u has child nodes  $u_0, u_1, \dots, u_m$  of sk such that the label of  $u_0$  is a and  $B(v_{u_i}) = C_i$   $(i = 1, \dots, m)$ .
- u has only one child node whose label is a.

If the later case holds then  $aw \notin L_{G_h}(A)$ . If the former case holds then  $Member(pre(u_j) \cdot w_j \cdot sspost(u_j)) = no$  from  $w_j \notin L_{G_t}(C_j)$ . Now,  $|w_j| \le n$  thus  $w_j \notin L_{G_h}(D_j)$  where  $D_j \in N_h$  and  $D(pre(u_j), sspost(u_j)) = D_j$ . It implies that  $aw \notin L_{G_h}(A)$ .

**Lemma 14:** Let  $G_h^{(t)} = (N_h^{(t)}, \Sigma, P_h^{(t)}, S_h)$  be the hypothesis grammar such that the t-th equivalence query is guessed by  $A_1$ . When a negative counterexample is given and the next hypothesis  $G_h^{(t+1)} = (N_h^{(t+1)}, \Sigma, P_h^{(t+1)}, S_h)$  is guessed, it holds that  $|N_h^{(t)}| < |N_h^{(t+1)}|$ .

**Proof:** No skeleton is added to SK since the counterexample is negative. In addition,  $N_0$  is not modified, too. Suppose that this lemma does not hold. For any pair of  $(x_1, y_1) \in N_0$  and  $(x_2, y_2) \in N_0$  such that  $A(x_1, y_1), A(x_2, y_2) \in N_h^{(t)}$  and  $A(x_1, y_1) \neq A(x_2, y_2)$ , it holds that  $C(x_1, y_1) \neq C(x_2, y_2)$ 

```
Learning algorithm A_1
INPUT: a parameter vector \vec{n} = \{n_{a_1}, n_{a_2}, \dots, n_{a_{|\Sigma|}}\}
OUTPUT: a hypothesis suSDG G_h = (N_h, \Sigma, P_h, S \in N_h)
        such that L(G_h) = L_t
    N_0 := \{(\varepsilon, \varepsilon)\}, W := \emptyset, SK := \emptyset
    N_h := \{S\}, P_h := \emptyset
    if (Member(\varepsilon) == yes) then P_h := \{S \to \varepsilon\}
    G_h:=(N_h,\Sigma,P_h,S)
    while (Equiv(G_h) == no) begin
        let w be the counterexample
         W := W \cup \{ y \in \Sigma^+ | xyz = w, x, z \in \Sigma^* \}
        if (w \in L_t) then
             SK := SK \cup \{sk(w)\}
             let V be the set of all nodes of sk(w)
             find sspost(v) for all v \in V
             N_0 := N_0 \cup \{(pre(v), sspost(v)) | v \in V\}
             make N_h and P_h from SK, N_0 and W
        until (P_h is consistent)
        let S_h \in N_h be the equivalence class which contains (\varepsilon, \varepsilon) \in N_0
        G_h = (N_h, \Sigma, P_h, S_h)
        if (Member(\varepsilon) == yes) then
             N_h := N_h \cup \{S_{\varepsilon}\}
             \begin{array}{l} P_h := P_h \cup \{S_\varepsilon \to \alpha | S_h \to \alpha \in P_h\} \cup \{S_\varepsilon \to \varepsilon\} \\ G_h = (N_h, \Sigma, P_h, S_\varepsilon) \end{array}
    end
end.
```

**Fig. 3** The learning algorithm  $A_1$ .

where  $C(x_1,y_1), C(x_2,y_2) \in N_h^{(t+1)}$ . Thus,  $N_h^{(t)} = N_h^{(t+1)}$  w.r.t.  $|N_h|$  is monotone increasing. It holds that  $P_h^{(t)} = P_h^{(t+1)}$  from SK is not modified. It implies that  $G_h^{(t)} = G_h^{(t+1)}$ . The counterexample holds  $w \notin L_t$ . Thus,  $Member(\varepsilon \cdot w \cdot \varepsilon) = no$ . From Lemma 13, it implies that  $w \notin L_{G_h^{(t+1)}} = L_{G_h^{(t)}}$ . This is contradiction.

**Lemma 15:** Let  $G_h^{(t)} = (N_h^{(t)}, \Sigma, P_h^{(t)}, S)$  be the hypothesis grammar such that the *t*-th equivalence query is guessed by  $A_1$ . When a positive counterexample is given and the next hypothesis  $G_h^{(t+1)} = (N_h^{(t+1)}, \Sigma, P_h^{(t+1)}, S)$  is guessed, it holds that

• 
$$|N_h^{(t)}| < |N_h^{(t+1)}|$$
, or  
•  $|P_h^{(t)}| < |P_h^{(t+1)}|$ .

**Proof:** Obviously,  $|N_h|$  and  $|P_h|$  are monotone increasing. Thus, if this lemma does not hold then  $G_h^{(t)} = G_h^{(t+1)}$ . Let w be the positive counterexample and  $t_w$  be its derivation tree on  $G_t$ . From  $w \notin L_{G_h^{(t)}}$ , there is no derivation  $S_h \stackrel{*}{\Longrightarrow} w$ . There

is also no derivation  $S_h \overset{*}{\underset{G_h^{(i+1)}}{\Rightarrow}} w$  from the assumption. On the

other hand, sk(w) is in SK when  $G_h^{(t+1)}$  is guessed. For every node v in sk(w) and its child nodes  $v_1, v_2, \cdots, v_m$ , it holds that  $A(v) \to aA(v_2) \cdots A(v_m)$  is in  $P_h^{(t+1)}$ . Thus, it holds that  $S_h \stackrel{*}{\underset{G^{(t+1)}}{\longrightarrow}} w$ . This is contradiction.

**Theorem 16:** An suSDL is polynomial time learnable from membership queries, equivalence queries and the pa-

rameter vector  $\vec{n}$  of  $G_t$ .

**Proof:** It is clear that if the algorithm  $A_1$  terminates then  $Equiv(G_h) = yes$ . Thus it confirm the correctness of  $A_1$ .

From Lemma 14 and Lemma 12, negative counterexamples are given at most  $|N_t|$  times. From Lemma 15 and Lemma 12, positive counterexamples are given at most  $|N_t| + |P_t|$  times. Thus, equivalence queries are guessed at most  $2|N_t| + |P_t|$  times.

Let l be the maximum length of counterexamples. The increase of |W| by all counterexamples is bounded by  $\frac{1}{2}l(l-1)(2|N_t|+|P_t|)$ . If  $P_h$  is not consistent then W is modified by (3) and  $|N_h|$  is increased. From Lemma 12, it happens at most  $|N_t|$  times that  $P_h$  is not consistent. The increase of |W| by (3) is also at most  $|N_t|$ . Thus, |W| is bounded by  $O(l^2(|N_t|+|P_t|))$  when  $A_1$  is terminated.

Let  $k = \max\{|w| \mid w \in W\}$ . When (3) is applied, the length of the string added to W is at most l + k. Especially, at the first time that (3) is applied, the length of the string added to W is at most 2l. Thus, k is bounded by  $l(|N_t| + 1)$  when  $A_1$  is terminated.

To find not consistent pair of rules takes at most  $O((|N_h||\Sigma|)^2|W|)$  time. This is also bounded by a polynomial of  $|N_t|$ ,  $|\Sigma|$ ,  $|P_t|$ , |I|. Thus, this theorem holds.

**Example 17:** We show an example run of  $A_1$ . Let  $G_t = (N_t, \Sigma, P_t, S)$  be  $N_t = \{S, A, B, D, E\}, \Sigma = \{a, b, c\}, P_t = \{S \rightarrow aAB, S \rightarrow cD, A \rightarrow aDA, A \rightarrow b, B \rightarrow aEB, B \rightarrow b, D \rightarrow cE, D \rightarrow b, E \rightarrow b\}$  and  $L_t = L(G_t)$ . The parameter vector of  $G_t$  is  ${}^t(2, 0, 1)$ .

At first, an equivalence query for  $G_h = (\{S\}, \Sigma, \emptyset, S)$  is guessed and suppose the counterexample aabbabb. Then,  $W = \{a, b, aa, ab, bb, ba, aab, abb, bba, bab, aabb, aabba, bbab, aabba, abbab, aabbab, aabbab, aabbab, aabbab, aabbab, aabbab, abbabb, aabbabb \}. The skeleton <math>sk_{aabbabb}$  is  $\sigma(a, \sigma(a, \sigma(b), \sigma(b)), \sigma(a, \sigma(b), \sigma(b)))$  and this skeleton is added to SK. Let names of internal nodes of  $sk_{aabbabb}$  be  $v_1, v_2, \cdots, v_7$  and place them on  $v_1(a, v_2(a, v_3(b), v_4(b)), v_5(a, v_6(b), v_7(b)))$ . Then,  $sspost(v_1) = \varepsilon$ ,  $sspost(v_2) = b$ ,  $sspost(v_3) = bb$ ,  $sspost(v_4) = b$ ,  $sspost(v_5) = \varepsilon$ ,  $sspost(v_6) = b$  and  $sspost(v_7) = \varepsilon$ . The set of nonterminal candidates is  $N_0 = \{(\varepsilon, \varepsilon), (a, b), (aa, bb), (aab, b), (aabba, \varepsilon), (aabba, b), (aabbab, \varepsilon)\}$ .

The learner asks membership queries and finds  $L_{(\varepsilon,\varepsilon)} = \{abb, aabbabb\}$ ,  $L_{(a,b)} = L_{(aab,b)} = L_{(aabb,\varepsilon)} = L_{(aabba,\varepsilon)} = \{b, abb\}$ ,  $L_{(aa,bb)} = L_{(aabba,b)} = \{b\}$ . Then, the learner obtains the equivalence class such that  $N_h = \{X: \{(\varepsilon,\varepsilon)\}, Y: \{(a,b), (aab,b), (aabb,\varepsilon), (aabbab,\varepsilon)\}, Z: \{(aa,bb), (aabba,b)\}$  }. The hypothesis grammar is  $G_h = (N_h, \Sigma, P_h, X)$ , here  $P_h = \{X \rightarrow aYY, Y \rightarrow aZY, Y \rightarrow b, Z \rightarrow b\}$  and an equivalence query is asked with  $G_h$ .

Suppose the next counterexample is ccb.  $W := W \cup \{c, cc, cb, ccb\}$ . The constructed skeleton  $sk_{ccb}$  is  $\sigma(c, \sigma(c, \sigma(b)))$  and the learner adds this skeleton to SK. Suppose that names of nodes of  $sk_{ccb}$  is  $u_1, u_2, u_3$  and place them on  $u_1(c, u_2(c, u_3(b)))$ . Then,  $sspost(u_1) = \varepsilon$ ,  $sspost(u_2) = \varepsilon$  and  $sspost(u_3) = \varepsilon$ . The set of nonterminal candidates is  $N_0 = \{(\varepsilon, \varepsilon), (a, b), (aa, bb), (aab, b), (aabb, \varepsilon), (aabba, b),$ 

 $(aabbab, \varepsilon)$ ,  $(c, \varepsilon)$ ,  $(cc, \varepsilon)$  }. Here, both of  $u_1$  and  $v_1$  correspond to  $(\varepsilon, \varepsilon)$ .

The learner distinguishes (aa,bb) and (aabba,b) by  $L_{(aa,bb)} = \{b,cb\}$  but  $L_{(aabba,b)} = \{b\}$ . Then,  $N_h = \{X : \{(\varepsilon,\varepsilon)\}, Y : \{(a,b),(aab,b),(aabb,\varepsilon),(aabbab,\varepsilon)\},$   $Z : \{(aa,bb),(c,\varepsilon)\}, T : \{(aabba,b),(cc,\varepsilon)\} \}$  and  $P_h = X \rightarrow aYY, X \rightarrow cZ, Y \rightarrow aZY, Y \rightarrow aTY, Y \rightarrow b, Z \rightarrow cT, Z \rightarrow b, T \rightarrow b \}$ . Now, the pair of  $Y \rightarrow aZY$  and  $Y \rightarrow aTY$  is not consistent pair. The learner adds  $a \cdot cb \cdot b$  to W, then  $L_{(a,b)} = L_{(aab,b)} = \{b,aab,acbb\}$  and  $L_{(aabb,\varepsilon)} = L_{(aabbab,\varepsilon)} = \{b,abb\}$ . Remaking  $N_h$ , the learner obtains  $N_h = \{X : \{(\varepsilon,\varepsilon)\}, Y : \{(a,b),(aab,b)\}, Q : \{(aabb,\varepsilon),(aabbab,\varepsilon)\}, Z : \{(aa,bb),(c,\varepsilon)\}, T : \{(aabba,b),(cc,\varepsilon)\} \}$ . The set of hypothesis rules is  $P_h = \{X \rightarrow aYQ, X \rightarrow cZ, Y \rightarrow aZY, Y \rightarrow b, Q \rightarrow aTQ, Q \rightarrow b, Z \rightarrow cT, Z \rightarrow b, T \rightarrow b \}$ . The hypothesis grammar  $G_h = (N_h, \Sigma, P_h, S)$  is equivalent to  $G_t$ .

# 5. Learning via Example Based Queries

From Lemma 10, if the learner takes a positive counterexample w whose length is |w|=l, the number of skeletons whose yields are w is bounded by  $O(l^{|\Sigma|})$ . Thus, if we can run the algorithm  $A_1$  with  $O(l^{|\Sigma|})$  times, we can construct the learning algorithm for suSDLs via membership and equivalence queries. But, this is not a polynomial time learning algorithm.

We define the following superset query and show a polynomial time learning algorithm via membership, equivalence and superset queries.

```
[Superset query: Super]

INPUT: a hypothesis suSDG G_h

OUTPUT: yes if L(G_h) \supseteq L_t,

no and w \in \Sigma^* if L(G_h) \not\supseteq L_t

where w \in \Sigma^* is a positive counterexample such that w \in (L_t - L(G_h)).
```

With superset queries and USDGs (universal suSDGs), we can identify the parameter vector  $\vec{n_t}$  of  $G_t$  (Fig. 4).

```
For any X = \{x_1, x_2, \dots, x_k\} \subseteq L_t, a solution of Eq. (2),

M_X(\vec{n} + \vec{-1}) = \vec{-1},
```

is a candidate of the parameter vector of  $L_t$ .

```
Learning algorithm A_2 INPUT: none OUTPUT: a hypothesis suSDG G_h = (N_h, \Sigma, P_h, S \in N_h) such that L(G_h) = L_t begin X := \emptyset Let G_h = (\{S_h\}, \Sigma, \emptyset, S_h) while (Super(G_h) == no) begin let w be the positive counterexample X := X \cup \{w\} solve M_X(\vec{n} + -\vec{1}) = -\vec{1} for \vec{n} make a USDG for \vec{n}, then let it G_h end call A_1 with \vec{n}
```

**Fig. 4** Algorithm  $A_2$  (learning from example based queries).

For  $X \subseteq L_t$ , it holds that  $1 \le rank(M_X) \le |\Sigma|$  because  $M_X$  has  $|\Sigma|$  columns. Let  $r = \max\{rank(M_X)|X \subseteq L_t\}$ . Obviously, r is monotone increasing and if  $|X| \ge |\Sigma|$  then  $rank(M_X) = r$ . Now, we can show the following lemma.

**Lemma 18:** Let  $r = \max\{rank(M_X)|X \subseteq L_t\}$  and  $X^{(t)}$  be the X in  $A_2$  at t-th superset query is guessed. Suppose  $1 \le |X^{(t)}| < |\Sigma|$  and  $m \le r$  be the rank of  $M_{X^{(t)}}$ . If the next superset query guessed by  $A_2$  and a positive counterexample  $w \in L_t$  is given, i.e.  $X^{(t+1)} = X^{(t)} \cup \{w\}$ , then it holds that

•  $rank(M_{X^{(t+1)}}) = m + 1 \text{ if } m < r.$ 

**Proof:** If |X| = 1 then  $M_X$  is a row vector and  $M_X \neq \vec{0}$ , thus m = 1. Suppose if this lemma does not hold, then  $rank(M_{X^{(t+1)}}) = m$ . It implies that all solutions of  $M_{X^{(t)}}(\vec{n} + \vec{-1}) = \vec{-1}$  are also solutions of  $M_{X^{(t+1)}}(\vec{n} + \vec{-1}) = \vec{-1}$  and the number of both solutions are the same. Thus,  $M_{X^{(t)}}(\vec{n} + \vec{-1}) = \vec{-1}$  and  $M_{X^{(t+1)}}(\vec{n} + \vec{-1}) = \vec{-1}$  have same solutions. It implies that  $G_h^{(t)}$  by whom t-th superset query is guessed is also consistent with  $X^{(t+1)}$ , i.e.  $X^{(t+1)} \subseteq L(G_h^{(t)})$ . This is contradiction.

**Theorem 19:** An suSDL is polynomial time learnable from membership, equivalence and superset queries.

**Proof:** From Lemma 18, at most  $|\Sigma|$  times superset queries are guessed by the learning algorithm  $A_2$ , and the parameter vector will be fixed. Thus, from Theorem 16, we can find the correct hypothesis by the algorithm  $A_1$ . The time complexity to solve Eq. (2) is bounded by a polynomial of |X| and  $|\Sigma|$ . Thus, this theorem holds.

The time complexity of Ishizaka [7]'s algorithm is, at least, larger than  $O(|N_t|^3 l^6)$ . On the other hand, the time complexity of our algorithm is bounded by  $O(|N_t|^3 l^2 |\Sigma|^4)$ . Usually,  $|\Sigma| < l$  holds, then the time complexity of our algorithm is less than that of Ishizaka's algorithm.

### 6. Teachability

Teachability is one of a variation of machine learning problems. There are some different settings [2], [4], [9]. In Goldman and Mathias's setting [2], at first, the teacher makes a teaching set T which is a set of examples for the learner. Then, the adversary adds a set of examples A to T arbitrarily. The learner is given  $E = A \cup T$  and try to identify the target language. The learner can not distinguish T from E when E is given. If the teacher can make T in polynomial time of the size of the representation  $G_t$  for the target language  $L(G_t)$  and the learner can identify in polynomial time of the size of  $G_t$  and the total length of E, then such the representation class is polynomially T/L-teachable. If the teacher's complexity is not bounded by polynomial time then we call such the representation class is semi-poly T/L-teachable.

It is known that learnability via queries leads teachability.

**Theorem 20** (Goldman and Mathias [2]): A representation class which is polynomial time learnable via example based

queries is semi-poly T/L-teachable.

Here, all of membership, equivalence and superset queries are example based queries. From Theorem 19, an suSDL is polynomial time learnable via example based queries, but the polynomial consists of the size of  $G_t$  and the maximum length of counterexamples. On the other hand, in Theorem 20, the polynomial only consists of the size of  $G_t$ .

Let T be a finite set of examples of the target language. A consistency-easy class is a representation class which can express any T and we can find such a representation in polynomial time of the size and the total length of T. It is trivial that SDGs or CFGs are consistency-easy class. In addition, suSDGs are also consistency-easy because we can make an suSDG which only generates T by the following process.

- 1. Find a parameter vector by a solution of Eq. (2).
- 2. Make all skeletons of *T* from the algorithm in Lemma 8.
- 3. Let all internal nodes of skeletons be different nonterminals and make rules from parent-child relations.

**Definition 21** (de la Higuera [4]): A representation class R is identifiable in the limit from polynomial time and data iff there exist two polynomials p() and q() and an algorithm A such that:

- 1. Given any examples *S* of size *m*, *A* returns a representation  $r \in R$  compatible with *S* in O(p(m)) time.
- 2. For each representation r of size n, there exists a characteristic sample CS of size less than q(n) for which, if  $CS \subseteq S$ , A returns a representation r' equivalent with r.

In this setting, some positive identifiability has been shown [5]. Then, following theorems hold.

**Theorem 22** (de la Higuera [4]): A consistency-easy class is identifiable in the limit from polynomial time and data iff it is semi-poly T/L-teachable. □

From this theorem, following negative results are known.

**Theorem 23** (de la Higuera [4]): A language class whose equivalence is undecidable is not identifiable in the limit from polynomial time and data. □

Equivalence problem of both of linear grammars and context-free grammars are undecidable. Thus, these class of languages are not semi-poly T/L-teachable.

**Theorem 24** (de la Higuera [4]): The class of SDGs is not identifiable in the limit from polynomial time and data.

**Proof:** Suppose  $G_t = (N_t = \{A_i \mid i = 1, 2, \dots, n\}, \{a, b\}, P, A_1)$  where

$$P = \{ A_i \to aA_{i+1}A_{i+1} \ (i = 1, 2, \dots, n-1), A_n \to b \}$$

then  $L(G_t)$  contains just one word and the length is  $2^n - 1$ . If the teacher makes a teaching set T, T must contain the positive example, but the size of T is not bounded by a polynomial of  $|N_t| = n$ .

This  $G_t$  is also an suSDG. Thus, the class of suSDGs is also not identifiable in the limit from polynomial time and data.

On the other hand, the length of a counterexample is an important parameter for polynomial time query learning. We define new teachability which concerns the length of counterexamples.

**Definition 25:** Let  $G_t = (N_t, \Sigma, P_t, S_t)$  be a CFG. The thickness of  $A \in N_t$  is the length of the shortest word which is generated from A, and is denoted by tck(A). The thickness of  $A \to \beta \in P_t$  is the length of the shortest word which is generated from  $\beta$ , and is denoted by  $tck(A \to \beta)$ . The thickness of  $G_t$  is  $\max(\{tck(A) \mid A \in N_t\} \cup \{tck(A \to \beta) \mid A \to \beta \in P_t\})$ , and denoted by  $tck(G_t)$ .

If a subclass of CFGs is T/L-teachable in polynomial of the size of  $G_t$  and  $tck(G_t)$ , then we call the subclass of CFGs *teachable in polynomial examples*.

We can claim immediately that the class of suSDGs is teachable in polynomial examples from Theorem 19.

**Theorem 26:** The class of suSDGs is teachable in polynomial examples. □

#### 7. Conclusions

We have shown the learning algorithm via membership, equivalence and superset queries for suSDLs. It implies that suSDLs are teachable in polynomial examples. It is equivalent that suSDLs are semi-poly T/L-teachable if the thickness is a parameter of the polynomial. Eventhough, it is still open problems for SDLs that teachability in polynomial examples and polynomial time query learnability.

The thickness is a parameter of the time complexity of teaching in polynomial examples. This parameter is also important in the time complexity of the parsing and the equivalence problem of SDLs. An inclusion problem between two SDGs is undecidable, but it is solvable between suSDGs [13]. In the future, if we find another parameter which is important to solve some example based queries, then it should also be important to clear the relation between the parameter and teachability or learnability.

Suppose a CFG which generates an suSDL. If we can solve the equivalence and the inclusion problems between such the CFG and an suSDG in polynomial time, then we can claim that there exists a conversion algorithm from the CFG to the equivalent suSDG. Because, let the CFG be the target of our learning algorithm, then we can obtain the suSDG which is equivalent to the CFG.

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