# Unifying Multi-Modal Uncertainty Modeling and Semantic Alignment for Text-to-Image Person Re-identifcation

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#### Abstract

Text-to-Image person re-identifcation (TI-ReID) aims to retrieve the images of target identity according to the given textual description. The existing methods in TI-ReID focus on aligning the visual and textual modalities through contrastive feature alignment or reconstructive masked language modeling (MLM). However, these methods parameterize the image/text instances as deterministic embeddings and do not explicitly consider the inherent uncertainty in pedestrian images and their textual descriptions, leading to limited imagetext relationship expression and semantic alignment. To address the above problem, in this paper, we propose a novel method that unifes multi-modal uncertainty modeling and semantic alignment for TI-ReID. Specifcally, we model the image and textual feature vectors of pedestrian as Gaussian distributions, where the multi-granularity uncertainty of the distribution is estimated by incorporating batch-level and identity-level feature variances for each modality. The multimodal uncertainty modeling acts as a feature augmentation and provides richer image-text semantic relationship. Then we present a bi-directional cross-modal circle loss to more effectively align the probabilistic features between image and text in a self-paced manner. To further promote more comprehensive image-text semantic alignment, we design a task that complements the masked language modeling, focusing on the cross-modality semantic recovery of global masked token after cross-modal interaction. Extensive experiments conducted on three TI-ReID datasets highlight the effectiveness and superiority of our method over state-of-the-arts.

#### Introduction

Text-to-Image person re-identifcation (TI-ReID) is a subtask of person re-identifcation (Ye et al. 2022), aiming to retrieve the most matching pedestrian images from an image gallery based on given textual descriptions. Leveraging the ease of obtaining textual descriptions of the query compared to actual images, this technology offers a more versatile and user-friendly person search manner. Given its practical applicability in the domain of public safety, the TI-ReID has gained increasing attention in recent years.

Compared to general image-text retrieval, the TI-ReID is more challenging. It requires a fne-grained understanding



Figure 1: (a) The inherent uncertainty for pedestrian images and text descriptions in TI-ReID. (b) Current TI-ReID methods do not explicitly depict the uncertainty and parameterize visual-textual data as deterministic embeddings. (c) We model image/text embeddings as distributions and estimate the multi-granularity distribution uncertainty to express more reasonable and richer image-text relationships.

of the complex semantic concepts of pedestrians across the image and text modalities, as well as the establishment of cross-modal correspondences to bridge the inherent modality gap. Existing TI-ReID methods mainly revolve around aligning the image and text description of pedestrian into a shared space. They can be classifed into cross-modal interaction-free (Zhang and Lu 2018; Han et al. 2021; Sarafanos, Xu, and Kakadiaris 2019; Wang et al. 2020) and crossmodal interaction-based (Li et al. 2017; Niu et al. 2020; Gao et al. 2021; Jiang and Ye 2023) methods. The former primarily utilized contrastive alignment (Zhang and Lu 2018; Han et al. 2021) to embed image-text features into shared space. In contrast, the latter employed the cross-attention mechanism (Niu et al. 2020; Farooq et al. 2022) and masked language modeling (Jiang and Ye 2023) to build fne-grained correlation between image regions and textual entities.

While successful to some extent, these methods have not explicitly considered the inherent uncertainty between pedestrian images and textual descriptions. As shown in Fig. 1 (a), uncertainty in pedestrian images arises from factors like viewpoint variations, lighting changes, while in tex-

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tual descriptions, it stems from word synonymy and granularity of annotations. Furthermore, the intra-modal uncertainty results in the same identity being associated with multiple perspectives of textual descriptions. Actually, this uncertainty refects a reasonable range of semantic variation for image and text. Neglecting such uncertainty limits the semantic understanding and alignment capabilities for complex image-text relationships. This motivates us to explicitly model and utilize the uncertainty inherent in visual-textual data. In view of this, in this paper, we propose a novel approach that unifes multi-modal uncertainty modeling and semantic alignment for text-to-image person Re-ID.

Specifcally, we frst propose the multi-modal uncertainty modeling (MUM) for TI-ReID that characterizes the global features of pedestrian images and textual descriptions as Gaussian distributions. For each modality, the MUM estimates the multi-granularity uncertainty of distribution by combining batch-level and identity-level feature variance. The batch-level variance generally provides a coarsegrained refection of modality-level uncertainty, while the identity-level variance captures the scope of fne-grained semantic variation. Random sampling from these probabilistic distributions acts as a multi-modal feature augmentation, which effectively enhances the diversity of image-text features and enriches more reasonable and meaningful imagetext semantic relationships during training phase.

After utilizing the multi-modal uncertainty modeling to convey more comprehensive semantic relationships, it is essential to further strengthen the capability of cross-modal semantic alignment. We frst develop a bi-directional crossmodal circle loss (cm-Circle) to more effectively align the probabilistic image and text features sampled from the distributions. Our cm-Circle loss is built upon the circle loss (Sun et al. 2020) in image retrieval and focuses on optimizing the similarity of cross-modal pairs from text-to-image and image-to-text with a self-paced manner. It can adaptively strengthen the alignment for under-optimized imagetext pairs and well preserve the intra-modality structures. In addition, considering the current MLM-based methods (Jiang and Ye 2023) only focusing on utilizing visual context to recover the vocabulary semantics of masked *local* text tokens, we devise a task to recover the cross-modal semantic of masked *global* text token after the cross-modal interaction. This task (termed cm-GSR) employs cross-modal contrastive reconstruction as a supervisory signal, complementing the MLM and promoting comprehensive image-text semantic alignment and interaction. The multi-modal uncertainty modeling and semantic alignment objectives are integrated into a unifed framework for end-to-end optimization.

Our main contributions can be summarized as follows:

- We present multi-modal uncertainty modeling for textto-image person Re-ID, which uses Gaussian distributions to depict image/text features and estimates the multi-granularity uncertainty. It acts as feature augmentation and conveys richer image-text relationship.
- To enhance comprehensive image-text semantic alignment, we present a bi-directional cross-modal circle loss to align probabilistic image and text features more effec-

tively, and propose to recover cross-modal semantic of masked global text token after cross-modal interaction.

• We unify the multi-modal uncertainty modeling and semantic alignment into a joint learning framework. Extensive experiments on three text-to-image person Re-ID datasets show the effectiveness and superiority of our approach against the state-of-the-arts.

# Related Work

# Text-to-Image Person Re-identifcation

Current TI-ReID methods can be roughly classifed into cross-modal interaction-based and interaction-free methods. The interaction-based methods (Li et al. 2017; Niu et al. 2020; Wang et al. 2020; Farooq et al. 2022; Yan et al. 2022; Jiang and Ye 2023) utilize attention mechanisms to build fne-grained cross-modal correspondences between image regions and textual entities. Niu *et al.* (Niu et al. 2020) leveraged cross-attention to conduct relation-guided alignment between image regions and textual phrases, sentences. Gao *et al.* (Gao et al. 2021) proposed a contextual non-local attention mechanism to align full-scale image and textual features. Jiang *et al.* (Jiang and Ye 2023) further designed the cross-modal interaction transformer and used the masked language modeling (MLM) task to achieve implicit fnegrained alignment. The cross-modal interaction-free methods (Zheng et al. 2020; Zhang and Lu 2018; Han et al. 2021; Wang et al. 2020) focus on upgrading model structures and designing contrastive-style loss functions to extract and align image-text representations. Benefting from the advancements of vision-language pretraining (VLP) (Radford et al. 2021), the encoders for image and text modalities in TI-ReID have undergone upgrades, transitioning from ResNet (He et al. 2016) and BERT (Devlin et al. 2018) to CLIPbased encoders (Radford et al. 2021). The representative loss functions in TI-ReID include cross-modal projection matching (CMPM) loss (Zhang and Lu 2018), cross-modality contrastive loss (Han et al. 2021), similarity distribution matching (SDM) loss (Jiang and Ye 2023). Nevertheless, the above approaches fail to consider the inherent uncertainty in pedestrian images and their corresponding textual descriptions, leading to limited image-text understanding and alignment capability. Furthermore, the MLM-based method (Jiang and Ye 2023) solely focus on semantic recovery for masked local text tokens, disregarding the global masked token. Additionally, the contrastive-style losses overlook the varying learning diffculty among different cross-modal samples. In this work, we explicitly model the mutli-modal uncertainty and promote more effective semantic alignment for TI-ReID.

# Uncertainty Modeling in Computer Vision

Uncertainty modeling, which aims to capture the intrinsic "randomness" in the data, has been receiving increasing attention in computer vision. In face recognition and person Re-ID, the DUL (Chang et al. 2020) and DistributionNet (Yu et al. 2019) employed Gaussian distributions to model face/person embeddings and used a learnable sub-network to estimate uncertainty, refecting the quality of facial/person features. In domain generalization, the DSU (Li et al. 2022)



Figure 2: The overview framework of our proposed method for TI-ReID. Given the image and text inputs, we frst present multimodal uncertainty modeling to represent them as Gaussian distributions and estimate multi-granularity distribution uncertainty by jointly utilizing batch-level and identity-level feature variances. Subsequently, for further enhancing cross-modal semantic alignment, we propose the cross-modal circle loss (cm-Circle) to more effectively align the probabilistic image-text features in self-paced manner and present the cm-GSR task to promote more comprehensive image-text interaction and alignment.

modeled the uncertainty of feature statistics to generate diverse domain shifts. In cross-modality retrieval, the PCME (Chun et al. 2021) presented the probabilistic cross-modal embedding and predicted the mean and variance with learnable sub-networks. In vision-language pretraining, the MAP method (Ji et al. 2023) modeled image-text features as probabilistic distributions and utilizes learnable multi-head selfattention module to estimate uncertainty. In this paper, we present multi-modal uncertainty modeling for the frst time in the text-to-image person Re-ID. We estimate the distribution uncertainty for each image/text instance with multigranularities by jointly using batch-level and identity-level feature variances, which is more suitable for TI-ReID and expresses richer image-text semantic relationships.

#### Method

In this section, we present the joint multi-modal uncertainty modeling and semantic alignment method. An overview of the framework is illustrated in Figure 2 and we delve into its specifc details in the following subsections.

#### Image-Text Dual Encoder

The inputs consist of image-text pairs, represented as  $\{v_i, t_i, y_i\}_{i=1}^B$ , where  $v_i, t_i$ , and  $y_i$  refer to the image, text, and identity label, respectively.  $B$  is the batch-size.

Image Encoder. We use a CLIP pre-trained Vision Transformer (ViT) to obtain the image embedding from an input image  $v_i \in \mathbb{R}^{H \times W \times C}$ . The image is split into a sequence of  $\tilde{N} = H \times W/P^2$  patches, with P denoting the patch

size. A trainable linear projection is applied to map these patches to 1D tokens  $\{\hat{f}_n^v\}_{n=1}^N$ . The positional embeddings and [CLS] token are added to the token sequence. The resulting sequence of tokens is then processed through multiple layer transformer blocks to model relations between each patch and obtain the sequence of contextual image embeddings  $\{f_{cls}^v, f_1^v, \cdots, f_N^v\}$ , where the  $f_{cls}^v$  is served as the global image representation  $g_i^v \in \mathbb{R}^{512}$ .

**Text Encoder.** For input text  $t_i$ , the CLIP text encoder is used to extract text representation. The text description is tokenized and enclosed with [SOS] and [EOS] tokens to indicate the sequence's beginning and end. Following recent methods (Shu et al. 2023; Wei et al. 2023), we randomly mask the word tokens of the input text  $t_i$  with a probability (usually 15% or 30%) and replace them with the special token [MASK] during training. The masked text sequence then fed into the transformer to obtain sequence of contextual text embedding  $\{f_{sos}^t, f_1^t, \dots, f_{eos}^t\}$ , where the transformer uses masked self-attention to capture correlations among tokens. Finally, the embedding at the [EOS] token,  $f_{eos}^t$  is treated as the global text feature  $g_i^t \in \mathbb{R}^{512}$ .

#### Multi-Modal Uncertainty Modeling

The inherent uncertainty of pedestrian images and textual descriptions refects a reasonable range of semantic variation. This motivates us to explicitly model and utilize the uncertainty in visual-textual data. By employing this uncertainty for feature augmentation of visual-textual instance, it can effectively express more reasonable image-text semantic relationships and contribute diverse semantic alignment. We suggest that by incorporating potential uncertainties, the global features of each pedestrian image and textual description, conform to specifc Gaussian distributions. Therefore, the key lies in efficiently and comprehensively estimating the uncertainty of distributions for pedestrian images and texts. We propose multi-modal uncertainty modeling (MUM), which estimates the uncertainty of distribution for image and text modalities by considering both the batchlevel and identity-level feature variance. We believe that for each modality, the variance of feature embeddings within mini-batch primarily provides a coarse-grained perspective of image/text uncertainty and can be calculated by Eq. (1),

$$
\Sigma_{\text{batch}}^2(\mathcal{V}) = \frac{1}{B} \sum_{i=1}^B (\boldsymbol{g}_i^{\boldsymbol{v}} - \mathbb{E}_b[\boldsymbol{g}^{\boldsymbol{v}}])^2,
$$
  

$$
\Sigma_{\text{batch}}^2(\mathcal{T}) = \frac{1}{B} \sum_{i=1}^B (\boldsymbol{g}_i^{\boldsymbol{t}} - \mathbb{E}_b[\boldsymbol{g}^{\boldsymbol{t}}])^2,
$$
 (1)

where  $\Sigma_{batch}(\mathcal{V}), \Sigma_{batch}(\mathcal{T}) \in \mathbb{R}^{512}$  represent the coarsegrained uncertainty for image/text modalities, respectively.

However, solely estimating modality-level coarse-grained uncertainty is insufficient for fine-grained TI-ReID task, we proceed to depict the important fne-grained uncertainty by considering the identity label. For visual and textual modalities, the identity-level feature variances are calculated to capture the local scope of semantic variations specifc to the individuals. Given the diffculty of estimating identitylevel variances through randomly sampled mini-batch, we employ two memory banks  $\mathcal{M}^V$  and  $\mathcal{M}^T$ , composed of the frst-in-frst-out dynamic queues, to respectively record a signifcant amount of global visual and text features from image-text pairs in past and current iterations. Specifcally, the  $\mathcal{M}^V = \{\mathbf{h^v_i}\}_{i=1}^{|\mathcal{M}|}$  and the  $\mathcal{M}^T = \{\mathbf{h^t_i}\}_{i=1}^{|\mathcal{M}|}$ . The  $\mathbf{h^v_i}$  and  $h_i^t$  are the global visual and textual features recorded in the memorys. The  $|M|$  denotes the size of memory bank, and is set to 65536. Then the identity-level feature variances for each identity in image and text modalities can be derived by

$$
\Sigma_{\text{ID}}^2(\mathcal{V}_y) = \frac{1}{|\mathcal{M}_y^V|} \sum_{i=1}^{|\mathcal{M}|} \mathbb{1}(y_i = y) * (\mathbf{h}_i^v - \mathbb{E}_m[\mathbf{h}_y^v])^2,
$$
\n
$$
\Sigma_{\text{ID}}^2(\mathcal{T}_y) = \frac{1}{|\mathcal{M}_y^T|} \sum_{i=1}^{|\mathcal{M}|} \mathbb{1}(y_i = y) * (\mathbf{h}_i^t - \mathbb{E}_m[\mathbf{h}_y^t])^2,
$$
\n(2)

where  $\Sigma_{\text{ID}}(\mathcal{V}_y), \Sigma_{\text{ID}}(\mathcal{T}_y) \in \mathbb{R}^{512}$  indicate the fine-grained uncertainty of the y-th identity in vision and text modality, respectively.  $\mathbb{1}(y_i = y)$  is the indicator function,  $|\mathcal{M}_y^V|$ is the sample number of y-th identity in memory. We then unify the coarse-grained and fne-grained uncertainty through weighted coupling to estimate multi-granularity uncertainty  $\Sigma_{\text{unify}}(\mathcal{V}_y)$  and  $\Sigma_{\text{unify}}(\mathcal{T}_y)$  for each image/text instance by the Eq. (3), where the  $\omega \in (0,1)$  is the coupling factor and the s is the scale factor.

$$
\Sigma_{\text{unify}}(\mathcal{V}_y) = s * (\omega * \Sigma_{\text{batch}}(\mathcal{V}) + (1 - \omega) * \Sigma_{\text{ID}}(\mathcal{V}_y)),
$$
  

$$
\Sigma_{\text{unify}}(\mathcal{T}_y) = s * (\omega * \Sigma_{\text{batch}}(\mathcal{T}) + (1 - \omega) * \Sigma_{\text{ID}}(\mathcal{T}_y)).
$$
 (3)

The multi-granularity uncertainty  $\Sigma_{\text{unify}}(\mathcal{V}_y)/\Sigma_{\text{unify}}(\mathcal{T}_y)$  not only captures modality-related coarse-grained global uncertainty patterns, but also encompasses fne-grained identityrelated variations. With such multi-modal uncertainty modeling, it expands the reasonable and meaningful semantic distribution range for each visual/textual feature. Each visual/textual feature is established as Gaussian distribution with the uncertainty, and denoted as  $p_i^v \sim \mathcal{N}(g_i^v, \Sigma_{\text{unify}}^2(\mathcal{V}_{y_i}))$ and  $p_i^t \sim \mathcal{N}(\boldsymbol{g_i^t}, \Sigma^2_{\text{unify}}(\mathcal{T}_{y_i}))$ , respectively. Then the probabilistic features can be randomly drawn from the above distributions with the re-parameterization trick by as follows:

$$
\begin{aligned} \mathbf{p}_i^v &= \mathbf{g}_i^v + \epsilon_i^v * \Sigma_{\text{unify}}(\mathcal{V}_{y_i}), \quad \epsilon_i^v \sim \mathcal{N}(\mathbf{0}, \mathbf{I}), \\ \mathbf{p}_i^t &= \mathbf{g}_i^t + \epsilon_i^t * \Sigma_{\text{unify}}(\mathcal{T}_{y_i}), \quad \epsilon_i^t \sim \mathcal{N}(\mathbf{0}, \mathbf{I}), \end{aligned} \tag{4}
$$

where the  $\epsilon_i^v$  and  $\epsilon_i^t$  are individually sampled from standard normal distributions. By randomly sampling from the above distributions for each image/text instance, it can generate more reasonable features with different directions and intensities and express richer image-text semantic relationship.

#### Cross-Modal Semantic Alignment

After conveying richer visual-textual semantic relationships through the proposed multi-modal uncertainty modeling, we need to enhance the visual-textual semantic alignment to adapt more diverse features. We frst employ the commonly used similarity distribution matching (SDM) loss (Jiang and Ye 2023) in TI-ReID to initially align the probabilistic image-text features. It minimizes the KL divergence between the distributions of image-text similarity  $\pi_{i,j}$  and the normalized distributions of matching labels  $q_{i,j}$  as follows:

$$
\mathcal{L}_{\text{SDM}}^{t2v} = \frac{1}{B} \sum_{i=1}^{B} \sum_{j=1}^{B} \left( \pi_{i,j} \cdot \log \frac{\pi_{i,j}}{q_{i,j} + \delta} \right), \quad (5)
$$

$$
\pi_{i,j} = \frac{\exp\left(\boldsymbol{p_i^t} \cdot \boldsymbol{p_j^v}/\tau\right)}{\sum_{k=1}^B \exp\left(\boldsymbol{p_i^t} \cdot \boldsymbol{p_k^v}/\tau\right)}, q_{i,j} = \frac{l_{i,j}}{\sum_{k=1}^B l_{i,k}}, \quad (6)
$$

where  $\tau$  is temperature coefficient, the  $p_i^t \cdot p_v^j$  is the cosine similarity.  $l_{i,j} = 1$  means that  $(t_i, v_j)$  is positive pair with same identity, while  $l_{i,j} = 0$  indicates negative pair, the  $\delta$ is a small number to avoid the numerical issues. The total SDM loss  $\mathcal{L}_{\text{SDM}} = \mathcal{L}_{\text{SDM}}^{t2v} + \mathcal{L}_{\text{SDM}}^{v2t}$ .

To further enhance the semantic alignment between probabilistic global images and text features more efficiently, we present a bi-directional cross-modal circle loss (termed cm-Circle) for the TI-ReID, inspired by the circle loss (Sun et al. 2020) in image retrieval task. The designed cm-circle loss aims to further align the global semantic of probabilistic features for positive and negative image-text pairs in a selfpaced manner. Specifcally, the text-to-image cm-circle loss  $\mathcal{L}^{t2v}_{\text{cmcir}}$  is formulated as Eq. (7), where the  $p_i^t p_k^v$  and  $p_i^t p_j^v$ denote the cosine similarity of positive and negative imagetext pair in probabilistic feature space, respectively. The  $\alpha_p^k$ and  $\alpha_n^j$  respectively represent the non-negative re-weighting for each positive and negative image-text pair.

$$
\mathcal{L}_{\text{cmcir}}^{t2v} = \log \left[ 1 + \sum_{j}^{y_i \neq y_j} e^{\gamma \alpha_n^j \left( \mathbf{p}_i^t \mathbf{p}_j^v - \Delta_n \right)} \sum_{k}^{y_i = y_k} e^{-\gamma \alpha_p^k \left( \mathbf{p}_i^t \mathbf{p}_k^v - \Delta_p \right)} \right]
$$
\n(7)

Similarly, the image-to-text cm-circle loss  $\mathcal{L}^{v2t}_{\text{cmcir}}$  can be expressed as Eq. (8) with a symmetric manner.

$$
\mathcal{L}_{\text{emcir}}^{v2t} = \log \left[ 1 + \sum_{j}^{y_i \neq y_j} e^{\gamma \beta_n^j \left( \mathbf{p}_i^v \mathbf{p}_j^t - \Delta_n \right)} \sum_{k}^{y_i = y_k} e^{-\gamma \beta_p^k \left( \mathbf{p}_i^v \mathbf{p}_k^t - \Delta_p \right)} \right]
$$
\n(8)

The re-weighting factors  $\alpha_p^k, \alpha_n^j$  and  $\beta_p^k, \beta_n^j$  are calculated as Eq. (9), where  $O_p$  and  $O_n$  are optimums of the similarity score for the positive and negative image-text pair, respectively. The hyper-parameter  $O_p = 1 + m$ ,  $O_n = -m$ ,  $\overline{\Delta}_p = 1 - m, \Delta_n = m, m$  is the margin,  $[\cdot]_+ = \max{\{\cdot, 0\}}$ .

$$
\begin{cases}\n\alpha_p^k = \left[O_p - p_i^t p_k^v\right]_+, & \beta_p^k = \left[O_p - p_i^v p_k^t\right]_+, \\
\alpha_n^j = \left[p_i^t p_j^v - O_n\right]_+, & \beta_n^j = \left[p_i^v p_j^t - O_n\right]_+.\n\end{cases} \tag{9}
$$

Finally, the bi-directional cm-circle loss is calculated as Eq. (10), and it brings two benefts to TI-ReID. First, it focuses solely on optimizing similarity of cross-modal positive and negative text-image pairs, preserving intra-modality structures. Secondly, it dynamically adjusts the weights of cross-modal pairs based on alignment difficulty, enhancing optimization for under-optimized image-text pairs.

$$
\mathcal{L}_{\text{cm-Circle}} = \mathcal{L}_{\text{cmcir}}^{t2v} + \mathcal{L}_{\text{cmcir}}^{v2t}.
$$
 (10)

The above proposed cross-modal circle loss only offers coarse-grained semantic alignment between vision and text. Following recent MLM-based method IRRA (Jiang and Ye 2023), as shown in Fig. 2, we use a multi-modal interaction encoder (MIE) consisting of several cross-attention and self-attention layers to model the interactions between the sequence of contextual image embeddings  $f(v_i)$  =  $\{f_{cls}^v, \overline{f_1^v}, \dots, f_N^v\}$  and the sequence of contextual text embeddings  $f(t_i) = \{f_{sos}^t, f_1^t, \dots, f_{eos}^t\}$  of masked text. The  ${r_{i,k}^t}_{k=1}$  denote the recovered textual token embeddings after cross-modal interaction,  $L$  is the length of text tokens.

$$
\left\{r_{i,k}^t\right\}_{k=1}^L = \text{MIE}(f(t_i), f(v_i)).\tag{11}
$$

Then, the masked language modeling predicts the correct vocabulary ID for masked word tokens with contextual image embeddings and textual embeddings, by minimizing the negative log-likelihood as Eq.  $(12)$ . M<sub>indexes</sub> is the indexes of masked positions,  $w_{i,k}$  is the true vocabulary ID of word.

$$
\mathcal{L}_{\text{MLM}} = -\mathbb{E}_{i,k \in \mathbb{M}_{\text{indexes}}} \log \ p(w_{i,k} \mid r_{i,k}^t). \tag{12}
$$

However, we observe that above-mentioned masked language modeling task solely focus on recovering the vocabulary semantic for masked *local* text tokens, while ignoring the key *global* masked text embedding. Actually, the  $r_{i, eos}$ represents the recovered *global* embedding of masked text after the cross-modality interaction (via Eq. (11)). We encourage the  $r_{i,eos}^t$  should encompass complete cross-modal semantic, as achieving this objective necessitates a more comprehensive cross-modal interaction between  $f(v_i)$  and  $f(t_i)$ . In view of this, we design a task (termed cm-GSR) to recover the cross-modal semantic of masked global text token after the cross-modal interaction, which leveraging the

cross-modal contrastive reconstruction as supervisory signal. We apply the cross-modal Info-NCE loss between the  $r_{i,eos}^t$  and the complete image embedding  $g_i^v$  to achieve the cm-GSR task, and can be expressed as Eq. (15),

$$
\mathcal{L}_{\text{NCE}}^{t2v} = -\mathbb{E}_i[\log \frac{\exp(<\boldsymbol{r}_{i,eos}^t, \boldsymbol{g}_i^v> / \tau)}{\sum_{j=1}^B \exp <\boldsymbol{r}_{i,eos}^t, \boldsymbol{g}_j^v> / \tau)}], \quad (13)
$$

$$
\mathcal{L}_{\text{NCE}}^{v2t} = -\mathbb{E}_i\left[\log \frac{\exp(<(\boldsymbol{g_i^v}, \boldsymbol{r_{i,eos}^t}>)/\tau)}{\sum_{j=1}^B \exp(<\boldsymbol{g_i^v}, \boldsymbol{r_{j,eos}^t}>)/\tau)}\right], \quad (14)
$$

$$
\mathcal{L}_{\text{cm-GSR}}(\boldsymbol{r}_{i,eos}^t, \boldsymbol{g}_i^v) = 0.5(\mathcal{L}_{\text{NCE}}^{t2v} + \mathcal{L}_{\text{NCE}}^{v2t}),\qquad(15)
$$

where  $\langle r_{i,eos}^t, g_i^v \rangle$  denotes the cosine similarity between  $r_{i,eos}^t$  and  $g_i^v$ . The cm-GSR task effectively complements the masked language modeling and promotes more comprehensive image-text interaction and semantic alignment.

#### Joint Optimization

We unify multi-modal uncertainty modeling and crossmodal semantic alignment into an end-to-end framework, and minimize overall optimization loss  $\mathcal{L}_{\text{overall}}$  for training.

$$
\mathcal{L}_{\text{overall}} = \mathcal{L}_{\text{SDM}} + \mathcal{L}_{\text{MLM}} + \lambda_1 \mathcal{L}_{\text{cm-Circle}} + \lambda_2 \mathcal{L}_{\text{cm-GSR}}.
$$
 (16)

### Experiments

# Experimental Setup

CUHK-PEDES (Li et al. 2017) has 40,206 images and 80,412 textual descriptions associated with 13,003 identities. The training set has 11,003 identities with 34,054 images and 68,108 textual descriptions. The validation and test set comprise 3,078 and 3,074 images, along with 6,158 and 6,156 textual descriptions, respectively. Both the val/test subsets have 1,000 identities.

RSTPReid (Zhu et al. 2021) comprises 20,505 images, showcasing 4,101 unique identities. Each identity is represented by fve images from different cameras, with every image being paired with two textual descriptions. The dataset utilizes 3,701, 200 and 200 identities for training, validation, and testing, respectively.

ICFG-PEDES (Ding et al. 2021) is a identity-centric TI-ReID dataset, featuring 54,522 images across 4,102 unique identities. Each image corresponds to a single textual description. The dataset is divided into a training set with 34,674 images from 3,102 identities and a test set containing 19,848 images representing 1,000 identities.

Evaluation Protocol. Similar to most works in TI-ReID, we report the Rank-k accuracy  $(k=1,5,10)$  and the mean Average Precision (mAP) metric.

Implementation Details. Our approach is implemented using the PyTorch framework on a single NVIDIA RTX-3090 GPU(24G). Similar to the IRRA method (Jiang and Ye 2023), our model comprises a pre-trained image encoder (CLIP-ViT-B/16), a pre-trained text encoder (CLIP text Transformer), and a randomly initialized multimodal interaction encoder. During training, all input images are resized to  $384 \times 128$ , the patch and stride size are set to 16. We apply the random horizontal fipping, RandAugment (Cubuk The Thirty-Eighth AAAI Conference on Artificial Intelligence (AAAI-24)

Method	Venue	Image Encoder	<b>Text Encoder</b>	R1	R5	R <sub>10</sub>	mAP
GNA-RNN (Li et al. 2017)	CVPR17	VGG	<b>LSTM</b>	19.05	$\overline{\phantom{0}}$	53.64	
Dual-path (Zheng et al. 2020)	TOMM20	<b>RN50</b>	<b>RN50</b>	44.40	66.26	75.07	
CMPM/C (Zhang and Lu 2018)	ECCV <sub>18</sub>	<b>RN50</b>	<b>LSTM</b>	49.37	$\overline{\phantom{a}}$	79.27	
$MIA$ (Niu et al. 2020)	TIP20	<b>RN50</b>	<b>GRU</b>	53.10	75.00	82.90	
$PMA$ (Jing et al. 2020)	AAAI2020	<b>RN50</b>	<b>LSTM</b>	53.81	73.54	81.23	
ViTAA (Wang et al. 2020)	ECCV <sub>20</sub>	<b>RN50</b>	<b>LSTM</b>	54.92	75.18	82.90	51.60
NAFS (Gao et al. 2021)	arXiv21	<b>RN50</b>	<b>BERT</b>	59.36	79.13	86.00	54.07
$DSSL$ (Zhu et al. 2021)	MM21	<b>RN50</b>	<b>BERT</b>	59.98	80.41	87.56	
SSAN (Ding et al. 2021)	arXiv21	<b>RN50</b>	<b>LSTM</b>	61.37	80.15	86.73	
LapsCore (Wu et al. 2021)	ICCV <sub>21</sub>	<b>RN50</b>	<b>BERT</b>	63.40	$\overline{\phantom{a}}$	87.80	
TextReID (Han et al. 2021)	BMVC21	CLIP-RN101	CLIP-Xformer	64.08	81.73	88.19	60.08
TIPCB (Chen et al. 2022)	Neuro22	<b>RN50</b>	<b>BERT</b>	64.26	83.19	89.10	
CAIBC (Wang et al. 2022a)	MM22	<b>RN50</b>	<b>BERT</b>	64.43	82.87	88.37	
AXM-Net (Farooq et al. 2022)	AAAI22	<b>RN50</b>	<b>BERT</b>	64.44	80.52	86.77	58.73
LGUR (Shao et al. 2022)	MM22	DeiT-Small	<b>BERT</b>	65.25	83.12	89.00	
IVT (Shu et al. $2023$ )	ECCVW22	ViT-Base	<b>BERT</b>	65.59	83.11	89.21	
CFine (Yan et al. 2022)	arXiv22	<b>CLIP-VIT</b>	<b>BERT</b>	69.57	85.93	91.15	
MCM (Wei et al. 2023)	arXiv23	<b>CLIP-ViT</b>	CLIP-Xformer	69.61	86.01	90.90	
IRRA (Jiang and Ye 2023)	<b>CVPR2023</b>	<b>CLIP-ViT</b>	CLIP-Xformer	73.38	89.93	93.71	66.13
baseline (CLIP-ViT-B/16)		<b>CLIP-ViT</b>	CLIP-Xformer	72.98	89.39	93.22	65.64
Ours		<b>CLIP-ViT</b>	CLIP-Xformer	74.25	89.83	93.58	66.15

Table 1: Performance comparisons with state-of-the-art methods on CUHK-PEDES dataset. R1, R5, R10 denote the Rank-1, Rank-5, Rank-10 accuracies  $(\%)$ , mAP is the mean average precision  $(\%)$ .

Method	R <sub>1</sub>	R5	R <sub>10</sub>	mAP
DSSL(Zhu et al. 2021)	39.05	62.60	73.95	
SSAN(Ding et al. 2021)	43.50	67.80	77.15	
LBUL(Wang et al. 2022b)	45.55	68.20	77.85	
TIPCB (Chen et al. 2022)	46.60	71.70	81.00	36.18
IVT(Shu et al. $2023$ )	46.70	70.00	78.80	
ACSA (Ji et al. 2022)	48.40	71.85	81.45	
CFine (Yan et al. 2022)	50.55	72.50	81.60	
MCM(Wei et al. 2023)	55.35	77.30	84.25	
IRRA (Jiang and Ye 2023)	60.25	81.30	88.20	47.52
baseline (CLIP-ViT-B/16)	59.80	81.50	88.30	47.42
Ours	63.40	83.30	90.30	49.28

Table 2: Performance comparisons with state-of-the-art methods on RSTPReid dataset.

et al. 2020), and random erasing (Zhong et al. 2020) for image augmentation. The batchsize is set to 64. The maximum length of the textual token sequence is 77. Our model is trained using Adam optimizer (Kingma and Ba 2014) for 60 epochs, with a learning rate initialized at  $1 \times 10^{-5}$  and cosine learning rate decay. The learning rate is gradually increased from  $1 \times 10^{-6}$  to  $1 \times 10^{-5}$  over the 5 warm-up epochs. For the MUM module, both the coupling factor  $\omega$ and the scale factor s are set to 0.25. The MUM module is only applied during training phase for feature augmentation. During testing phase, we do not use this module. The mask rate of input text token during training phase is set to 30% for CUHK-PEDES and ICFG-PEDES, and 15% for RSTPReid. During the testing phase, the input texts is not masked. The hyper-parameters  $\gamma$  and m in the cm-Circle loss are empirically set to 64 and 0.35. The weight  $\lambda_1$  of cm-Circle loss is set to 2.0 for ICFG-PEDES and RSTPReid, and 0.25 for CUHK-PEDES. The weight  $\lambda_2$  of cm-GSR loss is set to 0.5.

Method	R1	R5	R <sub>10</sub>	mAP
MIA (Niu et al. 2020)	46.49	67.14	75.18	
ViTAA (Wang et al. 2020)	50.98	68.79	75.78	
SSAN (Ding et al. 2021)	54.23	72.63	79.53	
TIPCB (Chen et al. 2022)	54.23	72.63	79.53	
IVT (Shu et al. $2023$ )	56.04	73.60	80.22	
CFine (Yan et al. 2022)	60.83	76.55	82.42	
MCM (Wei et al. 2023)	62.29	77.15	82.52	
IRRA (Jiang and Ye 2023)	63.46	80.25	85.82	38.06
baseline (CLIP-ViT-B/16)	63.34	80.21	85.73	37.88
)nrs	65.62	80.54	85.83	38.78

Table 3: Performance comparisons with state-of-the-art methods on ICFG-PEDES dataset.

#### Comparison with State-of-the-Art Methods

Results on CUHK-PEDES dataset. As shown in the Table 1, our method surpasses all current state-of-the-art methods, achieving a Rank-1 accuracy of 74.25% and an mAP of 66.15%. Compared to the methods CFine (Yan et al. 2022) and IRRA (Jiang and Ye 2023), which also employ CLIP pre-trained model as image-text encoders, our method surpasses them by +4.68% and +0.87% in terms of Rank-1, respectively. It is noteworthy that our approach primarily relies on global matching and does not use complex local imagetext matching such as (Niu et al. 2020; Yan et al. 2022). Additionally, we also do not leverage external knowledge such as semantic mask (Wang et al. 2020), human pose (Jing et al. 2020), and hierarchical textual parsing (Niu et al. 2020).

Results on RSTPReid dataset. Note that RSTPReid dataset presents complex indoor and outdoor scene variations, making it more challenging. The comparative results on the RST-PReid dataset are shown in Table 2. It is evident that our approach demonstrates a more notable advantage compared

No.	Component			<b>RSTPReid</b>			
		cm-Circle	$cm$ -GSR	R1	R5	R <sub>10</sub>	
0				59.80	81.50	88.30	
				62.35	82.80	89.05	
$\overline{2}$				61.50	82.30	88.50	
3				61.25	82.20	88.85	
4				62.45	82.50	88.95	
5				62.80	82.95	89.50	
6				63.40	83.30	90.30	

Table 4: Ablation study for each proposed component of our method on RSTPReid dataset, No.0 corresponds to baseline.



Table 5: The detailed analysis of the multi-modal uncertainty modeling (MUM) and cross-modal circle loss (cm-Circle).

to other methods. We achieve a Rank-1 accuracy of 63.40% and an mAP of 49.28%, signifcantly surpassing the current SOTA IRRA method by approximately +3.15% Rank-1.

Results on ICFG-PEDES dataset. The comparative results on the ICFG-PEDES dataset are presented in Table 3. It is noteworthy that the textual descriptions in the ICFG-PEDES dataset are more focused on individual identities and offer fner granularity. On the ICFG-PEDES dataset, our method still surpasses all existing state-of-the-art methods. We achieve a Rank-1 accuracy of 65.62%, outperforming the IRRA method by +2.16% in Rank-1 accuracy.

# Ablation Study

In this paper, we adopt the CLIP-ViT-B/16 model fne-tuned with the combination of SDM loss  $\mathcal{L}_{SDM}$  and MLM loss  $\mathcal{L}_{MLM}$  as our baseline. The extensive ablation experiments are conducted on top of this baseline to demonstrate the effectiveness of each of our proposed components. Firstly, from the Table 1, 2 and 3, we can observe that our holistic approach consistently yields signifcant performance improvements over the baseline on three datasets. Compared to the baseline, our method achieves relative improvements of +3.6%, +2.16%, and +1.27% in Rank-1 on RSTPReid, ICFG-PEDES, and CUHK-PEDES, respectively. This validates the effectiveness of our method for TI-ReID.

Effectiveness of the multi-modal uncertainty modeling (MUM). Our MUM module serve as feature augmentation to express richer image-text semantic relationships. The effectiveness of MUM is demonstrated through experimental results involving comparisons between No.0 and No.1, No.2 and No.5, and No.4 and No.6 in the Table 4. For instance, by comparing No.0 and No.1, we observe that solely applying the MUM module leads to a 2.55% Rank-1 improvement for the baseline on RSTPReid. Furthermore, in

the frst four rows of Table 5, we experimentally validate the advantage of MUM's coupling of batch-level and identitylevel feature variances for multi-granularity uncertainty estimation. We can frst see that utilizing either the coarsegrained batch-level uncertainty or fne-grained identity-level uncertainty can enhance the baseline performance. More importantly, when coupling  $\Sigma_{batch}$  and  $\Sigma_{ID}$  to derive multigranularity uncertainty  $\Sigma_{\text{unify}}$  and thus capture more comprehensive and reasonable potential variations, the performance is further improved. This clearly shows the benefts of multi-granularity uncertainty estimation for TI-ReID.

Effectiveness of the cross-modal circle loss (cm-Circle). Our introduced cross-modal circle loss aims to align the global semantic features for positive and negative crossmodal image-text pairs in a self-paced manner. The effectiveness of the cm-Circle loss is demonstrated by comparing results from Table 4 between pair of lines such as No.0 and No.2, No.3 and No.4, and No.1 and No.5. By comparing No.0 and No.2, we can see that optimizing the additional cm-Circle loss results in an 1.7% Rank-1 improvement to the baseline. We attribute this enhancement primarily to the dynamic adjustment of cross-modal pair weights in the cm-Circle loss and it can enhance the alignment intensity for hard image-text pairs. Furthermore, in the last two rows of Table 5, we compared the cm-Circle loss with conventional circle loss for TI-ReID. We can observe that the cm-Circle loss achieves better performance. This is because cm-Circle loss focuses exclusively on cross-modal pairs and does not optimize negative pairs within text modality. It preserves the intra-modality structure and offers benefts for TI-ReID.

Effectiveness of cross-modal global semantic recovery (cm-GSR). The cm-GSR task is designed to recover the cross-modal semantic of masked *global* text token after the cross-modal interaction, based on the masked language modeling. We verify its effectiveness by conducting comparisons in Table 4 across pairs of rows, including No.0 and No.3, No.2 and No.4, and No.5 and No.6. As we can see, incorporating the cm-GSR task alone results in a Rank-1 improvement of 1.45% over baseline. In addition, applying it on top of the MUM module and cm-Circle loss further amplifes semantic alignment capability, resulting in better performance. These results confrm the necessity of cm-GSR task and its potential on promoting a more comprehensive image-text semantic alignment for TI-ReID.

#### Conclusion

This paper presents a novel method that unifes multi-modal uncertainty modeling and semantic alignment for text-toimage person Re-ID. We explicitly model the uncertainty in pedestrian images and textual descriptions, using Gaussian distributions to depict image/text features and estimates multi-granularity uncertainty by jointly using batchlevel and identity-level variances. We further propose bidirectional cross-modal circle loss to more effectively align probabilistic image and text features. Moreover, we develop cm-GSR task to promote more comprehensive image-text alignment. Extensive experiments on TI-ReID benchmarks show the effectiveness and superiority of our method.

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