# Challenging the Boundaries of Speech Recognition: The MALACH Corpus

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## Abstract

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There has been huge progress in speech recognition over the last several years. Tasks once thought extremely difficult, such as SWITCHBOARD, now approach levels of human performance. The MALACH corpus (LDC catalog LDC2012S05), a 375-Hour subset of a large archive of Holocaust testimonies collected by the Survivors of the Shoah Visual History Foundation, presents significant challenges to the speech community. The collection consists of unconstrained, natural speech filled with disfluencies, heavy accents, age-related coarticulations, un-cued speaker and language switching, and emotional speech - all still open problems for speech recognition systems. Transcription is challenging even for skilled human annotators. This paper proposes that the community place focus on the MALACH corpus to develop speech recognition systems that are more robust with respect to accents, disfluencies and emotional speech. To reduce the barrier for entry, a lexicon and training and testing setups have been created and baseline results using current deep learning technologies are presented. The metadata has just been released by LDC (LDC2019S11). It is hoped that this resource will enable the community to build on top of these baselines so that the extremely important information in these and related oral histories becomes accessible to a wider audience.

Index Terms: Accented Speech, Disfluent Speech

## 1. Introduction

There has been huge progress in speech recognition over the last several years. Tasks previously considered merely hard, such as open vocabulary voice search and voice messaging, are now in wide deployment across popular consumer devices such as smartphones [3] and smart speakers [4]. Tasks once thought extremely difficult, such as SWITCHBOARD, have now approached levels of human performance [5, 6]. The casual public now believes speech recognition is a solved problem. It is a fair question to ask what problems remain unsolved in the speech recognition area, and what research is there left to perform.

In [7] it is argued that there are many areas in which speech recognition systems still lack robustness, especially when compared to levels of human performance. Some of these areas include accented speech, highly disfluent speech, and emotional speech. A major difficulty lies in the lack of appropriate publicly available speech recognition corpora. The community evaluates on SWITCHBOARD, Wall Street Journal, and Librispeech [8, 9] because the data is easy to obtain (i.e., relatively minor or no cost to access); they have few or no usage restrictions (e.g. effectively limited to educational institutions or evaluation participants); and there are well documented and defined setups of training and test data accompanied by easyto-duplicate speech recognition baselines.

There do exist public corpora that exhibit one or more of

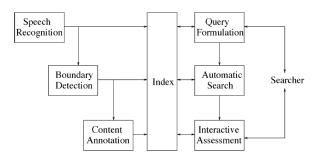


Figure 1: Large Spoken Archive Search System Architecture proposed in MALACH [14]

these various phenomena. For example, there are disfluencies in SWITCHBOARD, accented speech in the Mozilla corpus [10], and very informal speech in the AMI corpus [11]. However, very few corpora demonstrate all these phenomena - SWITCH-BOARD is relatively accent free, the Mozilla Corpus is read speech, etc. The 1996/1997 LDC releases of the Broadcast News corpus [12] classified the data across a variety of acoustic conditions, including non-native speech, but the total amount of such speech was quite small. There are certainly other very diverse corpora, such as the corpus that comprised the MGB [13] challenge, but the usage license was accompanied by a number of restrictions. Of course, large industrial organizations have huge labelled databases but these are not available in any fashion to the community.

The goal of the NSF-Sponsored MALACH project [14] was to develop techniques to automate searching of large spoken archives. The underlying spoken archive, the Visual History Archive® was created by Steven Spielberg's The Survivors of the Shoah Visual History Foundation (VHF) [15]. It was founded to preserve the stories of survivors and witnesses of the Holocaust. It had created what still remains to be the largest collection of digitized oral history interviews on a single subject: almost 55,000 interviews in 42 languages, a total of 115,000 hours of audio and video.

In order to automate the creation of a large spoken searchable archive, a high-level architecture was proposed [14] and is shown in Figure 1. It can be seen that accurate speech recognition is the linchpin of such a system. Without accurate speech recognition, concepts of interest cannot be located, annotated, and searched, and all but the simplest types of queries will fail.

Although half of the collection is in English, the testimonies were collected from survivors whose native language was not English. The collection consists of unconstrained, natural speech filled with disfluencies, heavy accents, age-related coarticulations, un-cued speaker and language switching, and emotional speech. Although the recordings consist of relatively high-quality audio, transcription is challenging even for skilled human annotators. The speech recognition challenge is therefore obvious. When first proposed as a research project, the speech recognition task was thought to be nearly impossible. As will be discussed, accurate recognition still remains a challenge even to today's highly sophisticated systems.

Several hundred hours of English testimonies were provided to the members of the project team to build speech recognition systems and to also serve as a basis for experiments in search technologies. In 2012, approximately 375 hours of English testimonies were released to the Linguistic Data Consortium (LDC) [1] along with human transcripts for approximately 200 hours of data so that researchers could use this data to study phenomena ranging from speech recognition performance to socio-linguistic phenomena. (A similar Czech corpus was released in 2014 [16]). Unfortunately, training and testing setups were not defined, limiting uptake by the broader speech community.

This paper proposes that the MALACH corpus be utilized to study ways of making speech recognition systems more robust with respect to accents, disfluencies and emotional speech. Training and testing setups, a lexicon, and a scoring file are described (and just released by LDC [2]). Baseline results using current deep learning technologies are also presented. The hope is that this will enable the community to more easily pick up the work to make advances in speech recognition so that the extremely important information in these and related oral histories becomes easily accessible.

The rest of the paper is broken up as follows. Section 2 reviews earlier speech recognition results on MALACH, Section 3 describes basic training and test setups. Section 4 presents details of the baseline systems, Section 5 describes some additional modeling improvements, and Section 6 suggests future work.

# 2. Prior Speech Recognition Results

The MALACH project ran from 2001-2006. As that time, the dominant speech recognition technology was speaker-adaptive processing [17], sometimes combined with more sophisticated techniques such as MMI/MPE training [18] or (by the end of the project) fMPE processing [19]. Most of these technologies have now been subsumed by deep learning variants. It is still useful to review some of these early recognition results on this corpus for comparison with what will hopefully be improved results due to more recent speech recognition technology developments.

Measured perplexities on the task range from 72-180 depending upon how much speech was used (65/200 hours) and what and how other language model (LM) sources were interpolated (SWITCHBOARD/Broadcast news) [20].

Recognition performance numbers ranged from 43.8% for a SAT model trained on 65 hours of speech and an interpolated language model with SWB and Broadcast News data [20] to 38.3% when 200 hours of manual transcriptions are utilized [21]. Even better results (32.3%) were reported in [21] but 600 hours of unlabelled data were included and this additional data is not available in the LDC corpus.

# 3. Proposed Training and Testing Partitions

The MALACH corpus previously released through the LDC consists of selections from 784 interviews ranging from approximately 15 minutes to 30 minutes in length. There were many

ways in which the 784 interviews could have been divided into training and test data. In the interest of continuity with prior work [20, 14, 21] and to allow us to leverage the data preparation that was originally performed, we decided to use as many of the transcribed portions of the conversations that were originally used for training and testing in older work and were actually released as part of [1]. This yielded 674 conversations from the original 693 interviews used for training and 8 from the original 10 interviews used for testing. Informal experiments comparing error rates on the abbreviated test data relative to the original test data did not reveal any appreciable change in overall WER. This leaves 102 additional conversations that can be used for a broader test set to evaluate aspects of disfluencies, emotional speech, etc., or rolled into the training data.

Using the above split, we defined a basic training and test set. The basic training data set consists of 176 hours of manually transcribed speech and the test data consists of 3.1 hours. The basic training data as extracted from the supplied transcripts consists of 1.3M tokens. In these 1.3M tokens, approximately 44K tokens were marked as filled pauses and 15K tokens were marked as partial words (disfluencies). Note that sections of the interview containing highly emotional speech were tagged by the transcriber and marked as such in the interview. We found in the 674 training interviews 522 explicitly tagged emotional events. The test set did not contain any such events, but the unused (transcribed) interviews contain 65 such events (and might be useful for some simple experiments in emotional tagging). The proposed test set contains 26K tokens. Of these tokens, 93.3% are covered by the tokens in the training set.

To further allow for comparison to previously reported results on MALACH, a minitest of 1.5 hours was created. It is identical to the test data reported on in previous MALACH work [14] except for the two conversations (four speakers) that were not released publicly. All results presented in this paper are on this minitest, as the full test set is still undergoing verification. The training vocabulary covered 98.1% of the tokens in the minitest.

## 4. Baseline Results

As described in Section 2, the original MALACH results were based on an older generation of speech recognition technology. Since then, due to the success of deep learning, there has been a major revolution in speech recognition. Systems today are almost unrecognizable from those of 10-15 years ago, and technology changes on almost a daily basis.

To better situate results in a compact historical perspective, a set of increasingly complex systems were built ranging from basic context-dependent state-based hidden Markov models all the way through to LSTM-based hybrid models.

#### 4.1. Acoustic Processing

The interviews in the standard distribution are provided as twochannel MP2 files. Although two separate microphones were used to collect data from the interviewer and the interviewee, placement was sometimes arbitrary, channel failures occured sporadically, and the microphones were sometimes switched in the middle of an interview. In most cases, the transcriber indicated for which channel the interviewee dominated, and that was the channel chosen for downstream processing. For the test data, the best channels for both the interviewee and the interviewer were chosen manually.

In this study, the manual segmentations determined by the

transcribers were used for both training purposes (these segment boundaries are included in the MALACH distribution). For testing, the segmentations went through an additional pass of manual verification. The average segment length in the test data was 6.2 seconds.

The interviews were provided at a sampling rate of 44.1 KHz. The channels were separated and downsampled to 16 KHz. The input feature space consisted of 40-dimensional logmel or PLP features after first applying global cepstral mean and variance normalization followed by utterance-based cepstral mean normalization.

#### 4.2. Lexical and Language Modeling

The text used for training the acoustic model (Section 4.3) was taken verbatim from the MALACH interview transcriptions in the original LDC distribution. The transcriptions indicated disfluencies and various types of noises. In these experiments, the noises were eliminated from the transcripts with the assumption that during training they would be incorporated into the silence model automatically (which seemed to be the case), but partial words and filled pauses were left as lexical entries in the training text.

One of the most challenging aspects of MALACH is the large number of named entities, particularly of a foreign (non-US) nature. While common words exist in any number of easily available pronunciation lexicons (e.g., CMUDICT [22]), names, foreign words, and partial words are not present. To create the MALACH lexicon, a grapheme-to-phoneme system [23] followed by manual correction was utilized for those words not found in standard lexicons.

The language model was a 4-gram model created from the acoustic model training text using modified Kneser-Ney smoothing [24]. Disfluencies were stripped out (informal experiments suggested that blindly treating them as lexical entries hurt more than helped for recognition). Although earlier MALACH work [20] had reported gains from interpolation with other text sources, no such process was performed in this work. The perplexity of the minitest was 92.

#### 4.3. Acoustic Modeling

A set of acoustic models were built ranging from a basic context-dependent hidden Markov model trained using a maximum likelihood criterion up to a bi-directional LSTM model employing multistream features and trained with a sMBR [25] (state-level minimum Bayes risk) criterion. All training was done utilizing the IBM Attila toolkit [26] version 2.7 except for the LSTM model. The LSTM model was trained using PyTorch [27]. Neither data augmentation nor non-MALACH data was employed.

The Attila training recipes for context-dependent hidden Markov models, vocal tract length normalization, feature space adaptation, and feature space and model space MMI training are all described in [26] and will not be reproduced here. The only important thing to note is that the final decision tree consisted of 5000 context dependent states; no attempt was made to optimize this number for best recognition performance.

The training for DNN and CNN hybrid models was also performed from a native implementation in the IBM Attila toolkit. The inputs to the DNN were nine-frame 40-dimensional PLP features after VTLN, LDA, and feature-space normalization (FSA). The inputs to the CNN were eleven-frame 40dimension logmel features with deltas and delta-deltas after VTLN is applied. Neural network configurations for both the DNN and CNN are described in [28]. For the cross entropy (XE) training criterion, layerwise pre-training was followed by fine-tuning for 15 epochs on the entire network. The networks were optimized using simple stochastic gradient descent (SGD) with no particular bells or whistles. For the sequence training criterion, the Hessian-free (HF) optimization process described in [29] was used starting from the fully trained cross-entropy network.

The LSTM had the following configuration. The inputs were logmel features (as above) augmented by delta and deltadelta features. The network consisted of four bidirectional layers of 512 units each, followed by a 256-unit linear projection layer into the 5000 context-dependent unit output layer. Training for the cross-entropy criterion was done using Nesterovbased momentum with gradient clipping and a droput factor of .25. The input was divided into minibatches of 256 21-element non-overlapping sequences each and trained on a single GPU.

#### 4.4. Basic Speech Recognition Performance

All recognition results were obtained using the IBM Attila toolkit [26]. Table 1 displays Word Error Rate (WER) results on the mini-devset. All scoring was performed using the NIST Scoring package SCTK-2.4.10 [30]. A global mapping (GLM) file (see SCTK documentation) was used to normalize spelling variants. Disfluencies were marked as optional for scoring (no penalty if deleted).

System	MALACH	50-Hour
	minitest	Broadcast News
Context-Dependent	40.8	26.5
VTLN+FSA+MLLR	33.4	20.8
fMMI+BMMI+MLLR	29.8	15.5
DNN+XE	29.2	17.2
CNN+XE	28.7	15.9
DNN+HF	27.2	14.8
CNN+HF	26.8	13.7
LSTM	25.9	13.5
+splicing	25.4	
+sMBR	23.9	
+LSTM-LM	21.7	

Table 1: Word error rates as a function of Acoustic Model for MALACH data and Broadcast News data.

Performance using simpler acoustic models is roughly similar to what was obtained during the time of the original MALACH project when these sorts of models were considered state-of-the-art. Speaker adaptation helps as well as discriminative training in the form of fMMI and BMMI. Deep learning-based models produce further performance improvements. CNNs perform better than DNNs, and the sequence training criterion produces better results than cross-entropy. The LSTM model produces the overall best results (even without sequence training).

For comparison, results on the DEV-04f component using a 50-hour training subset of Broadcast News (BN) data described in [31] are included. The trends on BN are similar to MALACH but the performance is appreciably better. This is no surprise insofar as the speakers are largely professional announcers who are native speakers of American English, but illustrates the challenge that speech recognition still faces when presented with disfluent, emotional speech from non-native English speakers.

## 5. Additional Recognition Results

In [5] one of the simpler but more successful techniques to produce acoustic model improvements was the application of feature fusion - specifically, combining logmel features with feature-space adapted features. To obtain better complementarity, a 64-dimensional filter bank was created and used to extract logmel, delta, and delta-delta parameters. Both sets of features were spliced into a 232-dimensional input vector. The system was then trained identically to that of the LSTM system and obtained a WER of 25.4% (vs 25.9%) (Table 1).

The models were then trained with the state-level sMBR criterion using synchronous stochastic gradient with momentum. The numerator statistics for the sMBR training came from a precomputed forced alignment of the training data, while the denominator statistics came from lattices that are generated on demand. To speed up training, parallel workers were used to compute the gradients. A large number of utterances per batch were used to ensure that reliable gradients are obtained, and because the gradients for different batches are computed from a differing number of frames, gradients are normalized by the number of frames prior to performing parameter updates. The trainer is implemented using the PyTorch distributed module. For the training runs in this paper, 12 workers are used, 480-utterance batches, a learning rate of 1.0, and a momentum of 0.9. Only one epoch of training is performed because additional epochs do not improve test performance of the acoustic model. The resultant final word error rate was 23.9% (Table 1), a significant gain over the 25.4% reported for the LSTM on spliced parameters alone.

Lastly, an LSTM based NN language model (NNLM) was trained. Similar to the count models, the NNLM was trained on the acoustic transcription only (1.3M running words). However, 10% of the sentences were selected for cross-validation (CV) to control the learning rate schedule. The NNLM has a word embedding layer with a size of 256, and three unidirectional LSTM layers, each with 512 nodes. Before the softmax-based estimation of the 24k-dimensional posterior vector, the feature space was reduced to 128 by a linear bottleneck layer. The model has 15M parameters. In the field of small-scale language modeling, it is a well known phenomenon that the best performing model has an order of magnitude more parameters than the number of available observations [32]. In order to avoid over-fitting and co-adaptation of nodes, various dropout techniques were used [33, 34]. In each LSTM layer, DropConnect with a 30% ratio was applied on the hidden-to-hidden transformation matrix. In addition, 30% of the outputs were also dropped out. These two dropout parameters were set to 20% in the embedding layer. The initial learning rate was set to 0.01, and Nesterov momentum of 0.9 was also used. After 30 epochs of training, the learning rate was annealed by a factor of  $1/\sqrt{2}$  over 10 steps. The final model has a perplexity of 70.8 on the CV set. The lattices from the best LSTM acoustic model were generated using the 4-gram LM and rescored with this LSTM language model. The final error rate was 21.7% (Table 1), a significant drop in error rate relative to the sMBR number of 23.9%.

# 6. Discussion

As can be seen from the above results, although recent technology advances have made significant inroads in performance, MALACH remains a challenging speech recognition task. To put things in perspective, the error rate on the popular Librispeech read speech corpus when trained using the 100-hour clean subset of the training data using a DNN with p-norm and a heavily pruned language model is 9.19% [35] (compared to the DNN-HF on MALACH of 27.2%); Broadcast News trained comparably (above) is at 13.5% (vs. 25.7% for MALACH), and one of the most difficult public corpora containing relatively clean acoustic data, the close-talking microphone component of the spontaneous multi-person AMI corpus [11] is at 19.2% [36].

Much additional work needs to be done on this data to establish a true state-of-the-art baseline for this task. All of the above results represents a "pure play" on MALACH - no additional data is being utilized. Early work on MALACH [20] suggests that interpolation of MALACH text data with other sources, such as Broadcast News and SWITCHBOARD, improves performance. It is also reasonable to believe that acoustic adaptation from a much larger well-trained system might also produce better results than just starting from scratch from the limited amount of MALACH training data. Careful inspection of the test results revealed that a number of issues still remained with respect to the accuracy of the manual segmentation. Multiple additional verification passes are needed to really obtain a "gold standard" reference script even for the minitest. Last, both the minitest and the full test set contain many sentence fragments making it more difficult for a long-span language model such as an LSTM to really "kick in" to improve peformance; a complete resegmentation (and expansion) of the test data is really needed.

# 7. Summary

The MALACH corpus is re-introduced as an important corpus because of its societal interest and to challenge the speech recognition community in areas such as modeling of accents, disfluencies, and emotional speech. A range of systems were built spanning traditional HMMs all the way to hybrid LSTMbased acoustic and language models. The best system (trained purely on 176 hours of manually transcribed speech and associated transcripts) presents a 21.7% WER, compared to the best results published during the original MALACH program of 32.1% using 600 hours of transcribed and untranscribed data and a language model interpolated with SWITCHBOARD and Broadcast News data. This demonstrates that while enormous strides in speech recognition have been made, today's systems still have some distance to go before being able to accurately transcribe difficult data such as MALACH. To enable the community to continue research on this important corpus, the training and test set definition, a reference lexicon, the GLM file, and other data useful for building and testing speech recognition systems is now available from LDC [2].

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