

Topological Controllability of Undirected Networks of Diffusively-Coupled Agents

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Abstract—This paper presents conditions for establishing topological controllability in undirected networks of diffusively-coupled agents. Specifically, controllability is considered based on the signs of the edges (negative, positive or zero). Our approach differs from well-known structural controllability conditions for linear systems or consensus networks, where controllability conditions are based on edge connectivity (i.e., zero or non-zero edges). Our results first provide a process for merging controllable graphs into a larger controllable graph. Then, based on this process, we provide a graph decomposition process for evaluating the topological controllability of a given network.

Index Terms—Diffusive networks, Topological controllability, Structural controllability, Merging process, Decomposition process

I. INTRODUCTION

This paper studies the controllability of a class of network systems using only knowledge of the sign-connectivity between nodes, without relying upon knowledge of the magnitude of the connections. By *sign-connectivity*, we mean that the knowledge of signs of edges can be known; but the magnitude of the edges are not known. Since the magnitude of the edges weights are not used, it is not an algebraic approach, but rather we call it a topological approach. We call such a topological analysis of the controllability of a network *topological controllability*.

Topological controllability has also been studied under the name of *structural controllability* [1], although they do not consider the signs of the edges. In traditional controllability of a network or in linear systems theory [2], both the topology and coupling strengths (i.e., magnitude of the connections) between nodes are taken into account. Thus, in traditional approaches, it is a topological and algebraic solution. However, in certain networks, it may be hard to know the coupling strengths between nodes or there may be uncertainties in the measure or identification of the coupling strengths. Consequently, if the controllability of a network can be evaluated only using the topology or structure, it will be beneficial in some applications, including control of digital and electric

circuits [3], [4], power electronics [5], large scale networks [6], complex networks [7], [8], and brain networks [9].

From a review of the literature, we could find many interesting analyses and concepts related to structural controllability or topological controllability. The pioneering analysis was conducted in [1], which introduced the concepts of stem, dilations, bud, origin, cactus, and accessibility. These concepts were used for merging basic controllable graphs into a bigger graph. Since [1], there has been a lot of research on the controllability of network systems. In [10], the concept of maximum matching was introduced to find matched nodes from inputs. The matched nodes are elements of paths. Then, it was argued that unmatched nodes need to be controlled directly by control inputs. In [11], a minimum control structure, i.e., the minimum number of independent control inputs, was further examined on the basis of number of source nodes, and external/internal dilation nodes. Under Laplacian dynamics, input symmetry was characterized for making a network uncontrollable in [12]. We also note that there have been many studies on structural controllability for specific types of dynamic systems, including switching networks [13], high-order dynamic systems [14], random networks [15], and descriptor systems [16].

However, in most of the literature, only the connectivity between nodes are considered. That is, in most of literature, a value of an edge is given as zero or non-zero. But, in many network systems the signs of edge (i.e., positive or negative) are quite critical for evaluating the convergence or stabilization of the overall network. For example, in diffusive coupling networks, the positive edge means a cooperative coupling, while a negative edge means a negative coupling. In some systems (e.g., social networks) there can exist edges with different signs, as some agents are cooperative and others are antagonistic. For such systems it is critical to know the signs of the edge values. Motivated from this observation, in this paper, we assume that edges of a network are classified as negative, positive, or zero and with only this knowledge we provide conditions for the topological controllability of the network system (for more motivations and advantage of using signs of edges, see *Remark 3*). To deliver our ideas in a simple way, we consider the specific case of diffusively-coupled agents connected as an undirected network, although the techniques developed in this paper can be extended to directed and general networks.

In the sequel there are two main results. First, we interpret the results of [17] in the sense of a graph. We then present some conditions for merging subgraphs under the condition of topological controllability. Then, by the merging

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rules, we can gradually enlarge the network while keeping the topological controllability. However, this merging process does not provide a direct method for evaluating a topological controllability of a given network. Thus, as the second goal of this paper, we present some ideas to decompose a graph into subgraphs, which are path graphs. Then, starting again from the decomposed subgraphs, we gradually again add the edges to merge the subgraphs under the topological controllability condition. By this way, we can find a largest subgraph, which can be called a subgraph induced by the controllability. This allows us to develop an algorithm for testing the topological controllability of a given network.

The paper consists of as follows. In Section II, some preliminaries are given and the topological controllability problems are formulated. In Section III, certain conditions for topological controllability are presented, and in Section IV, two algorithms are provided to examine the topological controllability of a given network. Examples and conclusions are presented in Section V and Section VI respectively.

II. PRELIMINARIES AND PROBLEM FORMULATIONS

Let an undirected network of diffusively-coupled agents x_i with direct nodes inputs u_i be given by:

$$\dot{x}_i = - \sum_{j \in \mathcal{N}_i} a_{ij}(x_i - x_j) + b_i u_i \quad (1)$$

where \mathcal{N}_i is the set of neighboring nodes of i , and a_{ij} are diffusive couplings and b_i are input couplings. We define the network $T = [L, B]$ concisely as the Laplacian dynamics:

$$\dot{x} = Lx + Bu \quad (2)$$

where $x = (x_1, \dots, x_n)^T$, $u = (u_1, \dots, u_m)^T$, $L \in \mathbb{R}^{n \times n}$ is a Laplacian matrix with possible negative edges, and $B \in \mathbb{R}^{n \times m}$ is the input coupling matrix. The Laplacian matrix L is a matrix defined by the interactions of n state nodes and the matrix B defines input couplings from m input nodes to state nodes. So, there are $n + m$ nodes in the network. The interactions among state nodes are undirected (thus L is a symmetric row- and column-stochastic matrix) while the interactions from the input nodes to state nodes are directed. It is also assumed that each input node is connected to only one state node by one-to-one mapping (injective).

Definition 1. *Controllability:* An undirected network $T = [L, B]$ of diffusively-coupled agents with directed input nodes given by 2 is said to be controllable if there exists an input vector $u(t)$ such that $x(t) \rightarrow x^*$ for any desired vector x^* .

Definition 2. *Topological controllability:* A controllable undirected network $T = [L, B]$ of diffusively-coupled agents with directed input nodes given by 2 is said to be topologically controllable if all other undirected networks $\bar{T} = [\bar{L}, \bar{B}]$ whose edges have the same signs (positive, negative, or zero) as $T = [L, B]$ are also controllable.

To characterize the topological controllability of an undirected network of diffusively-coupled agents, we borrow the analysis given in [17]. Thus, this paper is a kind of an

interpretation of the analysis of [17]. Let the network can be re-defined as a *graph*, denoted

$$\mathcal{G}(T) = (\mathcal{V}, \mathcal{E}) \quad (3)$$

where $T = [L, B]$, the set of vertices \mathcal{V} is the set of indices of nodes as $\mathcal{V} = \{ \underbrace{1, \dots, n}_{\text{state nodes}=\mathcal{V}^S}, \underbrace{n+1, \dots, n+m}_{\text{input nodes}=\mathcal{V}^I} \}$, and the set

of edges \mathcal{E} is determined from the interaction characteristics between nodes. Fig. 1 depicts a network and a graph. It is necessary to distinguish the concepts of *network* and *graph*. The network is a relationship of physical interactions among nodes, while the graph is a representation of the network as a set of vertices and edges. To illustrate, consider a network depicted in Fig. 1(a). With some edge weightings, for example, let the Laplacian matrix corresponding to the network in Fig. 1(a) be given as:

$$L = \begin{bmatrix} -2 & 2 & 1 & 0 & -1 \\ 2 & -3 & 1 & 1 & -1 \\ 1 & 1 & -3 & 1 & 0 \\ 0 & 1 & 1 & -5 & 3 \\ -1 & -1 & 0 & 3 & -1 \end{bmatrix} \quad (4)$$

and the input coupling matrix B be given as:

$$B = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \quad (5)$$

Then, the interaction characteristics of a graph, which is a representation of a network, are decided by the matrices L and B . That is, given $T = [t_{ij}] = [L, B]$, if $t_{ij} \neq 0$, then there exists an edge (i, j) , which is the directed edge from i to j . For undirected edges (i.e., when $i, j \in \{1, \dots, n\}$), if there exists (i, j) in \mathcal{E} , then there also exists (j, i) . If $t_{ii} \neq 0$, $i \in \{1, \dots, n\}$, then there exists a self-loop at node i . We assume there is no edge between the input nodes. In the graph, there are edges from \mathcal{V}^S to \mathcal{V}^I as $(i, j) \in \mathcal{E}$ where $i \in \mathcal{V}^S$ and $j \in \mathcal{V}^I$. The edge (i, j) from a state node to an input node in the graph implies that the node i is influenced by j . For a node i , if there exists an edge (i, j) , then j is a neighboring node (the set of neighboring nodes of node i is denoted as \mathcal{N}_i) in the graph \mathcal{G} , i.e., $j \in \mathcal{N}_i$. Fig. 1(b) depicts a graph, which is a representation of the network in Fig. 1(a). The edge directions in the graph and the network are reversed. It is shown that $\mathcal{V}^S = \{1, 2, 3, 4, 5\}$ and $\mathcal{V}^I = \{6, 7, 8\}$, and the edges from \mathcal{V}^S to \mathcal{V}^I are $(3, 6)$, $(4, 7)$, $(5, 8)$. For a set α , which is a subset of \mathcal{V} (i.e., $\alpha \subseteq \mathcal{V}$), the set of neighboring nodes of the set α is defined as $\mathcal{N}(\alpha) = \cup \mathcal{N}_i, \forall i \in \alpha$.

The graph \mathcal{G} can be decomposed as $\mathcal{G} = \mathcal{G}^S \cup \mathcal{G}^I$, where \mathcal{G}^S is the induced subgraph by \mathcal{V}^S , and \mathcal{G}^I is the interaction graph between the set of vertices \mathcal{V}^S and set of vertices \mathcal{V}^I . Thus, $\mathcal{G}^S = (\mathcal{V}^S, \mathcal{E}^S)$ and $\mathcal{G}^I = (\mathcal{V}, \vec{\mathcal{E}}^I)$, where $\vec{\mathcal{E}}^I$ is the set of directed edges. Note that $\mathcal{E} = \mathcal{E}^S \cup \vec{\mathcal{E}}^I$. For Fig. 1, the matrix T is a $5 \times (5+3)$ matrix, i.e., $T \in \mathbb{R}^{5 \times (5+3)}$.

Next, we say that any matrix with the same sign as T is contained in the set of sign pattern matrices $Q(T)$. So, any matrix $T' \in Q(T)$ has the same sign as T in an elementwise

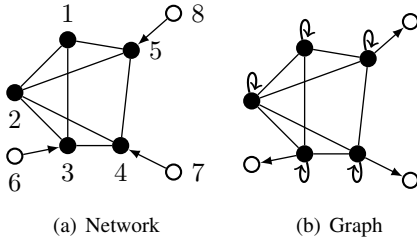


Fig. 1. (a) A network with five state nodes and three input nodes. (b) Graph representation of the network.

fashion. We also say that if the row vectors of T' , $\forall T' \in Q(T)$ are linearly independent, then the matrix T is called an L -matrix. From the perspective of control system design, since the matrix B can be designed, we assume that the input coupling matrix B is fixed, while the Laplacian matrix is a sign pattern matrix. Thus, $Q(T)$ is defined as

$$Q(T) := [Q(L), B] \quad (6)$$

The matrix $T = [L, B]$ is called nominal graph matrix and $Q(T)$ is called a family of sign pattern matrices. It is certain that $\text{rank}(T) = n$ if and only if the row vectors are linearly independent. The following assumptions are necessary for simplicity.

Assumption 1. The values of off-diagonal elements of L may change; but their signs do not change (i.e., sign fixed). The diagonal elements, $l_{ii} = -\sum_{j \in \mathcal{N}_i} a_{ij}$ where a_{ij} are edge weights of the network, of L are non-zero and also sign fixed.

This assumption means that the sign of the summation of incident edge weights does not vary, even though each edge weight does vary under the same sign.

Assumption 2. Given a nominal graph matrix $T = [L, B]$, the Laplacian dynamics (2) is controllable.

Assumption 3. For any $T' \in Q(T)$, the row vectors of T' are linearly independent.

It is clear that these assumptions are necessary conditions for ensuring controllability for all $T' \in Q(T)$. In [17], Assumption 2 is required to ensure accessibility¹ of the graph \mathcal{G} . If there is no path connecting an input node to a state node, the state is not controllable. The Assumption 3 means that the matrix $T = [L, B]$ is an L -matrix. Assumption 2 and Assumption 3 are basic requirements for ensuring the topological controllability of a graph.

Remark 1. To guarantee the L -matrixness of T , one idea is to design the matrix B . For example, from the relationship:

$$\begin{aligned} \text{rank}[T] &= \text{rank}[L, B] \\ &= \text{rank}(L) + \text{rank}(B) \quad \text{if } \mathbf{R}(L) \cap \mathbf{R}(B) = \emptyset \end{aligned} \quad (7)$$

where $\mathbf{R}(\cdot)$ is the range of the matrix \cdot , if $\text{rank}(L) = n - d$, it is required to design B such that $\text{rank}(B) = d$ with the property $\mathbf{R}(L) \cap \mathbf{R}(B) = \emptyset$.

¹ Accessibility means that for any $i \in \mathcal{V}^S$, there is a path from i to $j \in \mathcal{V}^I$ in the graph \mathcal{G} .

With the above assumptions, the following theorem for the topological controllability of a graph is given in [17] as a sufficient condition.

Theorem 1. [17] Let us suppose that Assumption 1, Assumption 2, and Assumption 3 are satisfied. Then, for all $\alpha \subseteq \mathcal{V}^S$ satisfying $\alpha \subset \mathcal{N}(\alpha)$ in \mathcal{G} , if there exists at least one $j \in \mathcal{N}(\alpha) \setminus \alpha$ and there exists exactly one $i \in \alpha$ such that $(i, j) \in \mathcal{E}$ exists, then the graph $\mathcal{G}(T)$ determined from $T = [L, B]$ is topologically controllable.

III. TOPOLOGICALLY CONTROLLABLE GRAPHS

This section is dedicated to an elaboration of the condition of Theorem 1. The condition of Theorem 1 can be modified from an algorithm perspective as:

Corollary 1. Under the same conditions as Theorem 1, $\forall \alpha \subseteq \mathcal{V}^S$ satisfying $\alpha \subset \mathcal{N}(\alpha)$, if there exists $i \in \alpha$ such that $\mathcal{N}_i \cap (\mathcal{N}(\alpha) \setminus \alpha) \neq \emptyset$ and $\{\mathcal{N}_i \cap (\mathcal{N}(\alpha) \setminus \alpha)\} \setminus \{\mathcal{N}_j \cap (\mathcal{N}(\alpha) \setminus \alpha)\} \neq \emptyset$, $\forall j \in \alpha \setminus \{i\}$, then the graph $\mathcal{G}(T)$ determined from $T = [L, B]$ is topologically controllable.

It is relatively easy to check the statement of Corollary 1, since we examine $i \in \alpha$ rather than $j \in \mathcal{N}(\alpha) \setminus \alpha$. It means that if there exists $i \in \alpha$, which is connected to $j \in \mathcal{N}(\alpha) \setminus \alpha$ and j is not connected to other nodes in α , the statement of Corollary 1 is satisfied. Such a node, i.e., node j , is called a dedicated node to i . Consequently, for any $i \in \alpha$ (at least one i , i.e., $\exists i \in \alpha$), if there exists a dedicated node $j \in \mathcal{N}(\alpha) \setminus \alpha$, then the grouping α is considered to satisfy the statement. We call a graph topologically controllable if the condition of Corollary 1 is satisfied.

Remark 2. A sufficient condition for satisfying the condition of Corollary 1 is that there exists $i \in \alpha$ such that $\mathcal{N}_i \cap (\mathcal{N}(\alpha) \setminus \alpha) \neq \emptyset$ and $\{\mathcal{N}_i \cap (\mathcal{N}(\alpha) \setminus \alpha)\} \cap \{\mathcal{N}_j \cap (\mathcal{N}(\alpha) \setminus \alpha)\} = \emptyset$, $\forall j \in \alpha \setminus \{i\}$.

Lemma 1. Let us suppose that any diagonal element of L is not identically zero. Then, under the undirected interactions in \mathcal{V}^S and directed interactions between \mathcal{V}^S and \mathcal{V}^I , for any choice α , it is true that $\alpha \subset \mathcal{N}(\alpha)$.

Proof. For any $L', L' \in Q(L)$, since the diagonal elements are non-zero, all the state nodes have self-loops. Thus, each state node has at least two neighboring nodes including itself, if the underlying graph is connected. Also, when $\alpha = \mathcal{V}^S$, the neighboring set $\mathcal{N}(\alpha)$ includes all the nodes in \mathcal{V}^S and at least one node in \mathcal{V}^I . Thus, $\alpha \subset \mathcal{N}(\alpha)$. \square

The above lemma shows that we need to check whether each α , for all $\alpha \subseteq \mathcal{V}^S$, would satisfy the condition of Corollary 1. For example, let \mathcal{G}^S be a path graph, or a tree graph, with an input at terminal node. Fig. 2(a) shows a path graph. In this case, whatever taking α , it is clear that $\{\mathcal{N}_i \cap (\mathcal{N}(\alpha) \setminus \alpha)\} \cap \{\mathcal{N}_j \cap (\mathcal{N}(\alpha) \setminus \alpha)\} = \emptyset$ for any $i, j \in \alpha$. That is, $\exists i \in \alpha$ and $j, \forall j \in \alpha \setminus \{i\}$ such that $\mathcal{N}_i \cap (\mathcal{N}(\alpha) \setminus \alpha) \neq \emptyset$. Consequently, a path graph is topologically controllable, which is coincident with the result in [10]. Fig. 2(b) shows a tree graph. The node 3 is divided into two paths, i.e., $3 \leftrightarrow 1$ and $3 \leftrightarrow 2$, where the symbol \leftrightarrow is used to denote the connection in the undirected

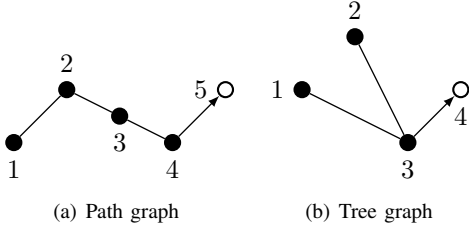


Fig. 2. Graphs without cycle (for a simplicity, the self-loops are omitted in the figure).

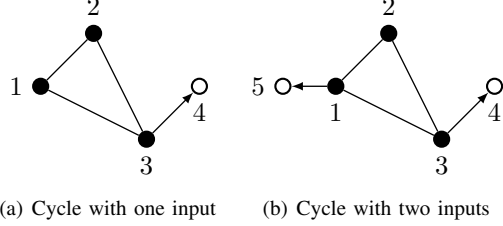


Fig. 3. Graphs with three state nodes (cycle) and one input node (Left), or two input nodes (Right).

path. In this tree, if we take $\alpha = \{1, 2\}$, then the nodes 1 and 2 share a common neighboring node 3, and they do not have any dedicated node. Thus, in general, a tree graph with a single input node is not topologically controllable.

Let \mathcal{G} be a undirected cycle graph. Then, the condition is also not satisfied, without properly located input nodes. The graphs depicted in Fig. 3 include an undirected cycle. For Fig. 3(a), when choosing $\alpha = \{1, 2\}$, the nodes 1 and 2 share 3 as the common node in $\mathcal{N}(\alpha) \setminus \alpha$. So, it does not satisfy the condition. For Fig. 3(b), we have two input nodes. When choosing $\alpha = \{1, 2\}$, the nodes 1 and 2 share 3 as the common node in $\mathcal{N}(\alpha) \setminus \alpha$; but the node 1 has a dedicated node 5. In more detail, when choosing $\alpha = \{1, 2\}$, we obtain $\mathcal{N}(\alpha) \setminus \alpha = \{3, 5\}$. For $i = 1$ and $j = 2$, we obtain $\mathcal{N}_i = \{2, 3, 5\}$ and $\mathcal{N}_j = \{1, 3\}$. Then, it follows that $\mathcal{N}_i \cap (\mathcal{N}(\alpha) \setminus \alpha) \neq \emptyset$ and $\{\mathcal{N}_i \cap (\mathcal{N}(\alpha) \setminus \alpha)\} \setminus \{\mathcal{N}_j \cap (\mathcal{N}(\alpha) \setminus \alpha)\}, \forall j \in \alpha \setminus \{i\} = \{5\} \neq \emptyset$. Likewise, we can see that, for $\alpha = \{1\}$, $\alpha = \{2\}$, $\alpha = \{3\}$, $\alpha = \{2, 3\}$, $\alpha = \{1, 3\}$, and $\alpha = \{1, 2, 3\}$, there is at least one dedicated node. Thus, the graph in Fig. 3(b) satisfies the condition. However, when a node is added between the nodes 1 and 3, as shown in Fig. 4, the graph does not satisfy the condition, i.e., if we choose $\alpha = \{2, 6\}$, then the nodes 2 and 6 share 1 as a common node and 3 also as a common node, i.e., there is no dedicated node for 2 or for 6. It is remarkable that a directed cycle, with the same directions, satisfies the controllability condition since whatever choosing α , there is a dedicated node for at least one $i \in \alpha$ (such a directed cycle is called bud in [1]).

As analyzed in the above examples, it is hard to generate a general rule for the topological controllability. It is observed that the graph in Fig. 3(b) is a merged graph of two paths $5 \leftarrow 1 \leftrightarrow 2$ and $4 \leftarrow 3$ where the symbol \leftarrow is used to denote a connection in directed connection in a path. Also, the graph in Fig. 4 is a merged graph of two paths $5 \leftarrow 1 \leftrightarrow 2$ and $4 \leftarrow 3 \leftrightarrow 6$. The graph in Fig. 3(b) is topologically

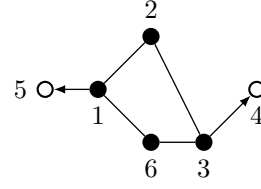


Fig. 4. A graph with four state nodes (cycle) and two input node.

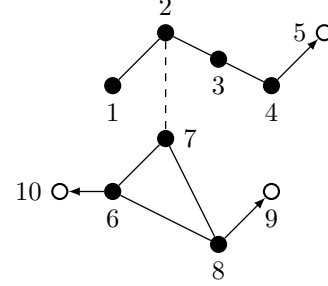


Fig. 5. A graph merged by two topologically controllable graphs.

controllable, while the graph in Fig. 4 is not topologically controllable. If we can generate a graph by merging simple graphs, we may obtain some general rules.

Lemma 2. *Let there be two disconnected topologically controllable graphs \mathcal{G}_1 and \mathcal{G}_2 . If a state node i in \mathcal{G}_1 and a state node j in \mathcal{G}_2 are connected by an undirected edge, then the merged graph $\mathcal{G} = \mathcal{G}_1 \cup \mathcal{G}_2$ is topologically controllable.*

Proof. When $\alpha = \{i, j\}$, $i \in \mathcal{G}_1$ and $j \in \mathcal{G}_2$, it is true that $\{\mathcal{N}_i \cap (\mathcal{N}(\alpha) \setminus \alpha)\} \cap \{\mathcal{N}_j \cap (\mathcal{N}(\alpha) \setminus \alpha)\} = \emptyset$ since they do not share a common neighbor. Also all the nodes other than i in \mathcal{G}_1 and all the nodes other than j in \mathcal{G}_2 do not have a common neighbor node (for example, as shown in Fig. 5, the nodes 2 and 7 do not have a common neighbor node).

Let us choose arbitrary $\alpha \subseteq \mathcal{G}$, where $\alpha = \alpha_1 \cup \alpha_2$, and $\alpha_1 \subseteq \mathcal{G}_1$ and $\alpha_2 \subseteq \mathcal{G}_2$. When we choose $\alpha = \alpha_1$ or $\alpha = \alpha_2$, for any $i \in \alpha$, there is at least one dedicated node $j \in \mathcal{N}(\alpha) \setminus \alpha$ since \mathcal{G}_1 and \mathcal{G}_2 are topologically controllable. In the case there exist i and j such that $i, j \in \alpha$, and $i \in \alpha_1$ and $j \in \alpha_2$, there is still no chance of having $\{\mathcal{N}_i \cap (\mathcal{N}(\alpha) \setminus \alpha)\} \setminus \{\mathcal{N}_j \cap (\mathcal{N}(\alpha) \setminus \alpha)\}, \forall i, j = \emptyset$. Moreover, for all $\alpha_1 \subset \alpha$, and for all $\alpha_2 \subset \alpha$, it is certain that either in α_1 or in α_2 , there is a node that has a dedicated node in $\mathcal{N}(\alpha_1) \setminus \alpha_1$ or in $\mathcal{N}(\alpha_2) \setminus \alpha_2$, respectively. Thus, the merged graph \mathcal{G} is topologically controllable. \square

With the above lemma, the following corollary is directly obtained.

Corollary 2. *Let there be two disconnected path graphs \mathcal{G}_1 and \mathcal{G}_2 . If a state node i in \mathcal{G}_1 and a state node j in \mathcal{G}_2 are connected by an undirected edge, then the merged graph $\mathcal{G} = \mathcal{G}_1 \cup \mathcal{G}_2$ is topologically controllable.*

Remark 3. *In structural controllability, a path with an input node (called stem) and directed cycle with the same direction with an input node (called bud) are basic controllable elements [1]. In maximum matching process [10], the key issue is to*

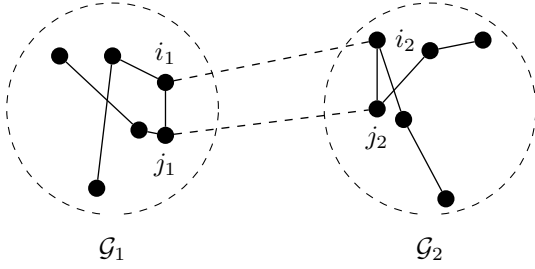


Fig. 6. A topologically controllable graph merged by two topologically controllable graphs with two edges.

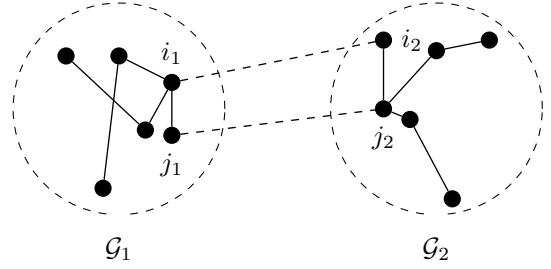


Fig. 7. Not topologically controllable graph when merged by two topologically controllable graphs with two edges.

find paths that are controllable. Similarly to the structural controllability, in topological controllability, the paths are key elements for enlarging the network. However, in our approach, i.e., topological controllability, we are not limited to the paths. The path graph is a special case for controllable graphs. That is, although the path graphs are important for enlarging a graph (in Corollary 2 and in Algorithm 1 and Algorithm 2), as far as the condition of Corollary 1 is satisfied, any graph can be used as a basic element for controllable graph or for enlarging the network. This superiority, in fact, can be used for merging two controllable graphs in a much general way than the cases in structural controllability, as stated in Corollary 3.

The Lemma 2 may provide an intuition for a more general case for merging two graphs. Next, let us consider a case of merging by connecting two edges.

Lemma 3. Let us consider two disconnected topologically controllable graphs \mathcal{G}_1 and \mathcal{G}_2 . Let the state nodes i_1, j_1 in \mathcal{G}_1 and state nodes i_2, j_2 in \mathcal{G}_2 be connected by undirected edges as (i_1, i_2) and (j_1, j_2) . Then the merged graph $\mathcal{G} = \mathcal{G}_1 \cup \mathcal{G}_2$ is topologically controllable, if $\alpha, \forall \alpha \subseteq \{i_1, i_2, j_1, j_2\}$, has at least one dedicated node in $\mathcal{N}(\alpha) \setminus \alpha$.

Proof. Let us choose arbitrary $\alpha \subseteq \mathcal{G}$, where $\alpha = \alpha_1 \cup \alpha_2$, and $\alpha_1 \subseteq \mathcal{G}_1$ and $\alpha_2 \subseteq \mathcal{G}_2$. When we choose $\alpha = \alpha_1$ or $\alpha = \alpha_2$, for any $i \in \alpha$, there is at least one dedicated node $j \in \mathcal{N}(\alpha) \setminus \alpha$ in \mathcal{G}_1 or in \mathcal{G}_2 .

In the case there exist i and j such that $i, j \in \alpha \subseteq \mathcal{G} \setminus \{i_1, i_2, j_1, j_2\}$, and $i \in \alpha_1 \subset \alpha$ and $j \in \alpha_2 \subset \alpha$, there is still no chance of having $\{\mathcal{N}_i \cap (\mathcal{N}(\alpha) \setminus \alpha)\} \cap \{\mathcal{N}_j \cap (\mathcal{N}(\alpha) \setminus \alpha), \forall i, j\} \neq \emptyset$. Moreover, for all $\alpha_1 \subset \alpha$, and for all $\alpha_2 \subset \alpha$, it is certain that either in α_1 or in α_2 , there is a node that has a dedicated node in $\mathcal{N}(\alpha_1) \setminus \alpha_1$ or in $\mathcal{N}(\alpha_2) \setminus \alpha_2$, respectively. Next, let $\alpha = \alpha' \cup \alpha''$, where $\alpha' \subseteq \mathcal{G} \setminus \{i_1, i_2, j_1, j_2\}$ and $\alpha'' \subseteq \{i_1, i_2, j_1, j_2\}$, and $\alpha'' \neq \emptyset$. If $\alpha' \neq \emptyset$, it is clear that α has at least one dedicated node. Otherwise, if $\alpha' = \emptyset$, then it is required that whatever we choose α'' , where $\alpha'' \subseteq \{i_1, i_2, j_1, j_2\}$, it needs to have at least one dedicated node, which completes the proof. \square

Fig. 6 depicts a topologically controllable graph produced by merging two topologically controllable graphs with two edges. Whatever $\alpha \subseteq \{i_1, i_2, j_1, j_2\}$, it has at least one dedicated node. However, in the case of Fig. 7, when we choose $\alpha = \{j_1, i_2\}$, these nodes have i_1, j_2 as the common neighbor nodes. Thus, they do not have any dedicated node.

Now, with the above lemmas, by induction, we can make the following theorem.

Theorem 2. Let two graphs \mathcal{G}_1 and \mathcal{G}_2 be topologically controllable, respectively. Let q nodes from \mathcal{G}_1 (i.e., let them be denoted as i_1, i_2, \dots, i_q) and another q nodes from \mathcal{G}_2 (i.e., let them be denoted as j_1, j_2, \dots, j_q) be connected one by one. Then, the merged graph $\mathcal{G} = \mathcal{G}_1 \cup \mathcal{G}_2$ is topologically controllable² if and only if $\alpha, \forall \alpha \subseteq \{i_1, \dots, i_q, j_1, \dots, j_q\}$, has at least one dedicated node in $\mathcal{N}(\alpha) \setminus \alpha$.

Proof. The if condition can be proved by an induction of the proof of Lemma 3. For the only if condition, let there exist $\alpha, \alpha \subseteq \{i_1, \dots, i_q, j_1, \dots, j_q\}$, that does not have a dedicated node. Then, there exists at least one $\alpha \subset \mathcal{G}$, which does not satisfy the condition of Corollary 1. \square

The above theorem can be further generalized as:

Corollary 3. Let two graphs \mathcal{G}_1 and \mathcal{G}_2 be topologically controllable, respectively. Let q nodes from \mathcal{G}_1 (i.e., let them be denoted as i_1, i_2, \dots, i_q) and p nodes from \mathcal{G}_2 (i.e., let them be denoted as j_1, j_2, \dots, j_p), where $p \neq q$, be connected. Then, the merged graph $\mathcal{G} = \mathcal{G}_1 \cup \mathcal{G}_2$ is topologically controllable if and only if $\alpha, \forall \alpha \subseteq \{i_1, \dots, i_q, j_1, \dots, j_p\}$, has at least one dedicated node in $\mathcal{N}(\alpha) \setminus \alpha$.

IV. TOPOLOGICALLY CONTROLLABILITY OF A GRAPH

In the previous section, we have developed conditions for the topologically controllability when merging two graphs. So, starting from a nominally controllable graph (ex, a path graph), we can enlarge the graph gradually to make a bigger controllable graph. However, the conditions given in the previous section are not applicable for checking the topological controllability of a given network. This section provides algorithms for examining the topological controllability of a graph. That is, given a big size graph \mathcal{G} , we would like to examine the topological controllability of the graph. It is not computationally feasible to check all $\alpha \subseteq \mathcal{G}$ whether each α would satisfy the condition of Corollary 1. We propose an algorithm to solve this issue. Let there be n state nodes as x_1, \dots, x_n , and m input nodes as u_1, \dots, u_m . Assume that each input node u_i is solely connected to one state node by one-to-one (injective) mapping. Without loss of generality, let

²Here, achieving the topological controllability is equivalent to satisfying the condition of Corollary 1.

u_i be connected to x_i . Then the algorithm starts from the state nodes x_i . The key idea is to assign a set of some state nodes to one of input nodes such that the assigned state nodes to a specific input node could be connected by a path, without any cycle. Then, the assigned state nodes with an input node can be considered as a topologically controllable graph (i.e., since it is a path). This process is called *decomposition process*. After that, we would like to examine whether two path graphs can be merged as a topologically controllable graph with the connected edges between two path graphs. For a notational purpose, the following formal definition is necessary.

Definition 3. Consider an undirected path $x_i \leftrightarrow x_i^{1,j_1} \leftrightarrow x_i^{2,j_2} \dots \leftrightarrow x_i^{k,j_k}$, where $x_i, x_i^{1,j_1}, x_i^{2,j_2}, \dots, x_i^{k,j_k}$ are nodes connected to the root state node x_i in the graph \mathcal{G} .

- It is the length k path, and denoted as $\mathbf{p}[1 : k]$.
- The node x_i^{p,j_p} is called a descendant node of x_i^{q,j_q} when $p > q$; otherwise if $q > p$, it is called a ancestor node. An immediate descendant node is a child node, and an immediate ancestor node is a parent node.
- The node x_i is called the starting (root) node and the node x_i^{k,j_k} is the terminal node.
- When a child node x_j is added to $\mathbf{p}[1 : k]$, the addition is denoted as $\mathbf{p}[1 : k] + x_j$ and it becomes a length $k + 1$ path $\mathbf{p}[1 : k + 1]$.

When we seek a path, newly added nodes can be considered as child nodes. But, to be a child node, we need to have a rule. Let $i = 1$. Then, starting from x_i , we search neighbor nodes of x_i , i.e., \mathcal{N}_{x_i} , which are children nodes of x_i . Then from the nodes $x_i^{1,j_1} \in \mathcal{N}_{x_i}$, we also choose neighbor nodes of x_i^{1,j_1} as $x_i^{2,j_2} \in \mathcal{N}_{x_i^{1,j_1}}$. If x_i^{2,j_2} is not connected to x_i , then it is considered as a child. Similarly, from a child node x_i^{k,j_k} , we also search neighbor nodes as $x_i^{k+1,j_{k+1}}$. If $x_i^{k+1,j_{k+1}}$ is not connected to any of $\{x_1, \dots, x_m\} \cup \{x_i^{1,j_1}, \dots, x_i^{k-1,j_{k-1}}\}$, then it is considered as a child. By this way, we would find a path for node i , which is denoted as $\bar{\mathbf{p}}_i$. After obtaining the final path $\bar{\mathbf{p}}_i$ for x_i , we update i as $i \leftarrow i + 1$. When $i \geq 2$, we repeat the above process; but $x_i^{k+1,j_{k+1}}$ should not be connected to any of $\{x_1, \dots, x_m\} \cup \{x_i^{1,j_1}, \dots, x_i^{k-1,j_{k-1}}\}$ and any nodes in the previously searched paths $\bar{\mathbf{p}}_1, \dots, \bar{\mathbf{p}}_{i-1}$.

Definition 4. (Children nodes) Suppose that we have obtained the final path $\bar{\mathbf{p}}_1$ with the starting node x_1, \dots , the final path $\bar{\mathbf{p}}_{i-1}$ with the starting node x_{i-1} . Then, for x_i , from a node j , search all neighbor nodes. The neighbor nodes, which are not connected directly to (i) ancestor nodes of j , (ii) $x_i, i = 1, \dots, m$, and (iii) any nodes in the previously searched paths $\bar{\mathbf{p}}_1, \dots, \bar{\mathbf{p}}_{i-1}$, are called children nodes (denoted as \mathcal{C}_i).

Definition 5. (Path update) Let a length k path $\mathbf{p}[1 : k]$ be given with the terminal node x_k . The terminal node x_k has a set of children nodes \mathcal{C}_{x_k} . Then, the path $\mathbf{p}[1 : k]$ is updated to a set of length $k + 1$ paths as $\mathbf{p}[1 : k + 1] \in \mathcal{P}[1 : k + 1] \triangleq \{\mathbf{p}[1 : k] + x_j, \forall x_j \in \mathcal{C}_{x_k}\}$. Thus, if the cardinality of the set \mathcal{C}_{x_k} is β , i.e., $|\mathcal{C}_{x_k}| = \beta$, then the cardinality of the set $\mathcal{P}[1 : k + 1]$ is also β . The set of paths $\mathcal{P}[1 : k + 1]$ is called updated path set of the path $\mathbf{p}[1 : k]$.

From the above definition, when a path is given as $\mathbf{p}[1 : k]$, the updated path set exists if and only if the terminal node of the path $\mathbf{p}[1 : k]$ has children nodes. Given a path set $\mathcal{P}[1 : k]$, let the paths $\mathbf{p}[1 : k] \in \mathcal{P}[1 : k]$ be denoted as $\mathbf{p}_1, \dots, \mathbf{p}_f$, where $f = |\mathcal{P}[1 : k]|$. The terminal node of each path $\mathbf{p}_j, j \in \{1, \dots, f\}$ is denoted as $\bar{x}_{j,k}$. With the above definitions, the path search algorithm can be produced as in *Algorithm 1*.

Algorithm 1 Path search algorithm (decomposition process)

```

1: procedure
2:   Obtain  $x_i, \forall i = 1, \dots, m$  from  $u_i$ 
3:    $i = 0$ 
4:   path:
5:     for  $i = i + 1$  do
6:        $k = 0$ 
7:       Select children nodes of  $x_i$ 
8:       Generate the path set  $\mathcal{P}[1 : 1]$ 
9:       for  $k = k + 1$  do
10:        Let  $|\mathcal{P}[1 : k]| = f$ 
11:         $j = 1; \mathcal{P}[1 : k + 1] = \emptyset; \mathcal{C} = \emptyset$ 
12:        while  $j \neq f + 1$  do
13:          Select  $\mathbf{p}_j \in \mathcal{P}[1 : k]$ 
14:          Select the terminal node  $\bar{x}_{j,k}$  of  $\mathbf{p}_j$ 
15:          Select children nodes of  $\bar{x}_{j,k}$  and denote
the set of children nodes as  $\mathcal{C}_{\bar{x}_{j,k}}$ 
16:           $\mathcal{C} = \mathcal{C} \cup \mathcal{C}_{\bar{x}_{j,k}}$ 
17:          Make updated path set  $\mathcal{P}^j[1 : k + 1]$  of  $\mathbf{p}_j$ 
18:           $\mathcal{P}[1 : k + 1] = \mathcal{P}[1 : k + 1] \cup \mathcal{P}^j[1 : k + 1]$ 
19:           $j \leftarrow j + 1$ 
20:        end while
21:        if  $\mathcal{C} = \emptyset$  then
22:          Select the longest path from the paths in
 $\mathcal{P}[1 : k + 1]$  and denote it as  $\bar{\mathbf{p}}_i$ 
23:          goto path
24:        end if
25:      end for ▷ End for  $k$ 
26:    end for ▷ End for  $i$ 
27:    output  $\bar{\mathbf{p}}_i$  for all  $i = 1, \dots, m$ 
28: end procedure

```

The outputs of *Algorithm 1* are the paths $\bar{\mathbf{p}}_i$ for all $i = 1, \dots, m$. Let these path graphs be denoted as $\mathcal{G}_1 = (\mathcal{V}_1, \mathcal{E}_1), \dots, \mathcal{G}_m = (\mathcal{V}_m, \mathcal{E}_m)$. They can be merged by *Corollary 3*. We first merge \mathcal{G}_1 and \mathcal{G}_2 . For this, we need to find all the edges connecting two graphs \mathcal{G}_1 and \mathcal{G}_2 . If these edges satisfy the condition of *Corollary 3*, then two graphs are merged for a single graph which is also topologically controllable. Otherwise, we need to choose maximum edges that connect two graphs under the topologically controllable condition. When the graphs $\mathcal{G}_1, \mathcal{G}_2, \dots, \mathcal{G}_k$ are merged as a single graph, it is written as $\mathcal{G}[1 : k]$. The *Algorithm 2* outlines the graph merging process. To the algorithm, we first make a reverse version of *Corollary 3* as:

Definition 6. (Largest edge merging) Let two graphs \mathcal{G}_1 and \mathcal{G}_2 be connected by a set of edges $\mathcal{E}' = \{(i', j'), i' \in \mathcal{G}_1, j' \in \mathcal{G}_2\}$. The largest subset of \mathcal{E}' , which makes the merged graph

topologically controllable, is

$$\mathcal{E}'' = \arg_{\mathcal{E}^*} \max\{|\mathcal{E}^*|\} \quad (8)$$

if $\alpha, \forall \alpha \subseteq \{i^*, j^* : (i^*, j^*) \in \mathcal{E}^*\}$, has at least one dedicated node in $\mathcal{N}(\alpha) \setminus \alpha$.

Algorithm 2 Graph merging algorithm

```

1: procedure
2:    $\bar{\mathbf{p}}_i \rightarrow \mathcal{G}_i, \forall i = 1, \dots, m$ 
3:    $i = 0$ 
4: merge:
5:   for  $i = i + 1$  do
6:     Given two graphs  $\mathcal{G}[1 : i]$  and  $\mathcal{G}_{i+1}$ , find  $\forall(i, j)$ 
       where  $i \in \mathcal{G}[1 : i]$  and  $j \in \mathcal{G}_{i+1}$ 
7:     Choose the largest edge set  $\mathcal{E}''$  that satisfies
       Corollary 6
8:     Merge two graphs  $\mathcal{G}[1 : i]$  and  $\mathcal{G}_{i+1}$  as a single
       graph  $\mathcal{G}[1 : i + 1]$  with the edge set  $\mathcal{E}''$ 
9:     if  $i < m$  then
10:      goto merge
11:     else
12:      goto end
13:     end if
14:   end for ▷ End for  $i$ 
15: end:
16:   output  $\mathcal{G}[1 : m]$ 
17: end procedure

```

With *Algorithm 2*, let us suppose that we have obtained $\mathcal{G}[1 : m] = \mathcal{G}^\dagger(T^\dagger) = (\mathcal{V}^\dagger, \mathcal{E}^\dagger)$. Then, the graph \mathcal{G}^\dagger is topologically controllable, and the nodes $\bar{\mathcal{V}} = \mathcal{V} \setminus \mathcal{V}^\dagger$ are not ensured to be topologically controllable. On the other hand, if $\mathcal{V}_1 \cup \mathcal{V}_2 \cup \dots \cup \mathcal{V}_m = \mathcal{V}$, then the edges $\bar{\mathcal{E}} = \mathcal{E} \setminus \mathcal{E}^\dagger$ are harmful for a topological controllability of the nominal network \mathcal{G} , and need to be removed for topological controllability. In this sense, we can claim the following conclusion:

Theorem 3. If $\mathcal{V} = \mathcal{V}^\dagger$ and $\mathcal{E} = \mathcal{E}^\dagger$, then the nominal graph \mathcal{G} is topologically controllable.

The overall procedure to examine the topological controllability of a network can be summarized as follows. Given a network, it is required to transform the network to a graph $\mathcal{G}(T) = (\mathcal{V}, \mathcal{E})$. Then, by *Algorithm 1*, for all inputs u_i , we search for the paths $\bar{\mathbf{p}}_i$. Then, by *Algorithm 2*, by way of finding the largest edge set \mathcal{E}'' , we gradually merge the paths to have a topological controllable graph $\mathcal{G}[1 : m] = \mathcal{G}^\dagger$.

All the results of this section and previous section were developed under the *Assumption 3*. Thus, it may be necessary to check whether *Assumption 3* is satisfied or not. For this, we may need to check whether the graph \mathcal{G}^\dagger is L -matrix or not. For this, from \mathcal{G}^\dagger , we obtain T^\dagger as the inverse of $\mathcal{G}^\dagger(T^\dagger)$. If T^\dagger is a L -matrix, then the network corresponding to the graph \mathcal{G}^\dagger is concluded as topologically controllable. The L -matrixness of a matrix T can be examined using some existing results; for example, refer to [18].

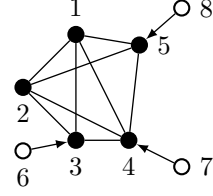


Fig. 8. A possibly non-topological controllable network.

V. EXAMPLES

Let us consider the network depicted in Fig. 1(a). To use *Algorithm 1* for the path search, the labels of nodes should be changed as $x_6 = u_1$, $x_7 = u_2$, and $x_8 = u_3$. Then, the state nodes x_1, \dots, x_5 are searched with new-labels, as per the *Algorithm 1*. By the algorithm, we can obtain three paths $\mathcal{G}_1 = \bar{\mathbf{p}}_1 : u_1(= x_6) \rightarrow x_3 \rightarrow x_2$, $\mathcal{G}_2 = \bar{\mathbf{p}}_2 : u_2(= x_7) \rightarrow x_4$, $\mathcal{G}_3 = \bar{\mathbf{p}}_3 : u_3(= x_8) \rightarrow x_5 \rightarrow x_1$. Now, it is required to apply *Algorithm 2* for merging these path graphs. There are two edges connecting \mathcal{G}_1 and \mathcal{G}_2 , i.e., $(3, 4)$ and $(2, 4)$. These edges are in \mathcal{E}'' . Thus, the merged graph $\mathcal{G}[1 : 2]$ is a topological controllable graph. Then, there are two graphs $\mathcal{G}[1 : 2]$ and \mathcal{G}_3 , which needs to be merged. There are four edges between them, i.e., $(1, 2)$, $(1, 3)$, $(2, 5)$, $(5, 4)$. It is also easy to check that their edges are also in \mathcal{E}'' . Consequently, we can conclude that the original network (depicted in Fig. 1(a)) or its corresponding graph (depicted in Fig. 1(b)) is topologically controllable. This conclusion is confirmed from a number of numerical random tests, with random values in the elements of L , by checking the rank of the following controllability Gramian matrix:

$$\mathbf{C}_L = [B, LB, L^2B, L^3B, L^4B]$$

For all random tests, and for any specific cases (with all edge values being 1 or -1), the rank was 5.

Next, let us consider another network depicted in Fig. 8. It is a network, which is same to Fig. 1(a), but with one more edge $(1, 4)$. As same to the case of Fig. 1(a), we can have three path graphs $\mathcal{G}_1 = \bar{\mathbf{p}}_1 : u_1(= x_6) \leftarrow x_3 \leftrightarrow x_2$, $\mathcal{G}_2 = \bar{\mathbf{p}}_2 : u_2(= x_7) \leftarrow x_4$, $\mathcal{G}_3 = \bar{\mathbf{p}}_3 : u_3(= x_8) \leftarrow x_5 \leftrightarrow x_1$. When merging graphs $\mathcal{G}[1 : 2]$ and \mathcal{G}_3 , unlikely Fig. 1(a), there are five edges $(1, 2)$, $(1, 3)$, $(1, 4)$, $(2, 5)$, $(5, 4)$. Then, when we choose $\alpha = \{1, 2\}$, the nodes 1 and 2 share nodes 3, 4, and 5 as the common neighboring nodes; hence in this case, nodes 1 and 2 do not have any dedicated node. Thus, the condition for the topological controllability is not satisfied (i.e., as per *Theorem 3*, we have $\mathcal{V} = \mathcal{V}^\dagger$; but $\mathcal{E} \setminus \mathcal{E}^\dagger \neq \emptyset$). From a number of random tests, with random values in the elements of L , the rank of \mathbf{C}_L was still 5. But, with some specific values, for examples, edge values being 1 or -1 , or with integer values, the rank of \mathbf{C}_L was not full. For example, Fig. 9 shows the results of random tests. The left plot shows the rank of \mathbf{C}_L , when $(1, 4) \neq 0$. But, when $(1, 4)$ switches to zero, the rank becomes 5, as shown in the right plots. Consequently, we can see that the edge $(1, 4)$ is harmful for the topological controllability. To check the controllability under the same signs, let the signs of edges be given as $\text{sign}(a_{12}) = +$, $\text{sign}(a_{13}) = -$, $\text{sign}(a_{14}) = -$, $\text{sign}(a_{15}) = -$, $\text{sign}(a_{23}) =$

$-, \text{sign}(a_{24}) = -, \text{sign}(a_{25}) = -, \text{sign}(a_{34}) = -, \text{and} \text{sign}(a_{45}) = +$. Then, we randomly assign absolute magnitude of edges in integer values 1, 2, 3, 4, 5. Fig. 10 shows the random test results. When $(1, 4) \neq 0$, the rank is reduced; but when it switches to zero, the rank becomes full. But, surprisingly, when the sign of a_{14} changes to $\text{sign}(a_{14}) = +$, or the sign of a_{13} changes to $\text{sign}(a_{13}) = +$, the rank becomes full again. Fig. 11 shows the rank tests with different signs. Thus, from numerical random tests, we can see that the topological controllability is dependent on the sign of edges.

VI. CONCLUSION

This paper has presented conditions to establish the controllability of an undirected networks of diffusively-coupled agents using only the knowledge of the signs of edges, motivated by and based on results in [17]. Because the resulting conditions are computationally-hard, we developed a merging process for creating an enlarged network starting from a basic controllable graph. The merging process was then used to develop a decomposition process for evaluating the topological controllability of a given network. Through numerical simulations, we could verify the effectiveness of the proposed algorithms. However, there are still many open problems. For example, if we could find basic path graphs in the decomposition process in an optimal way (i.e., minimizing the number of nodes that are not included in the final paths), then we may be able to find a more bigger subgraph induced by the controllability³. In this paper, we have focused on undirected diffusive-coupled networks, but we believe we can easily extend the results to the directed case. These extensions will be studied in our future research.

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³It appears that the process for finding the paths in an optimal way looks similar to the maximum matching process proposed in [10]. However, it seems that the merging and decomposition algorithms proposed here are more efficient and general. Also, we do not claim that the path graphs are only basic controllable subgraphs, although our algorithms were developed from path graphs. Thus, in our future efforts, we would like to develop new decomposition and merging algorithms from more general basic controllable subgraphs.

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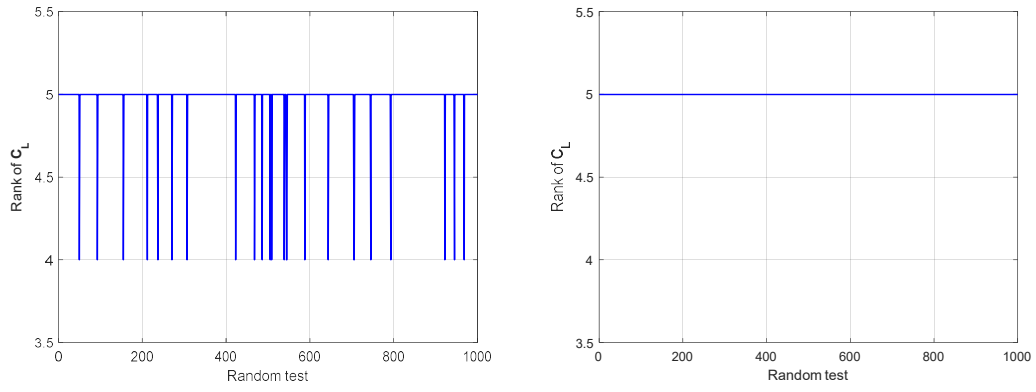


Fig. 9. Random tests for chekcing the rank of C_L . Left: $(1, 4) \neq 0$. Right: $(1, 4) = 0$

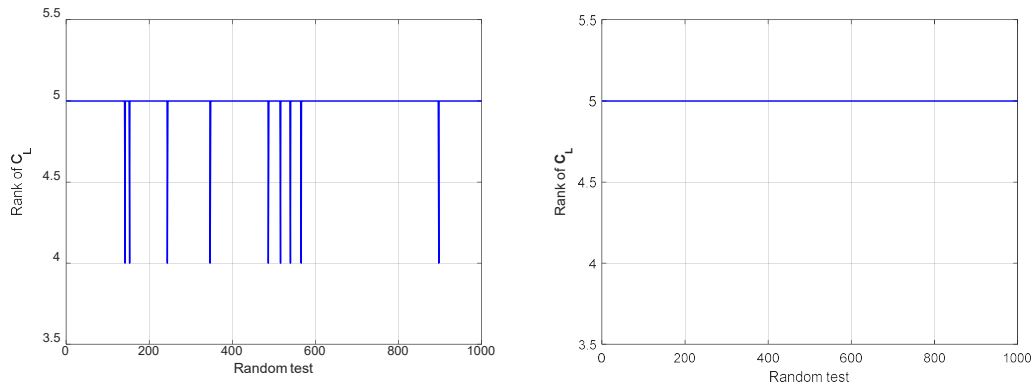


Fig. 10. Random tests for chekcing the rank of C_L under the same signs. Left: $(1, 4) \neq 0$. Right: $(1, 4) = 0$

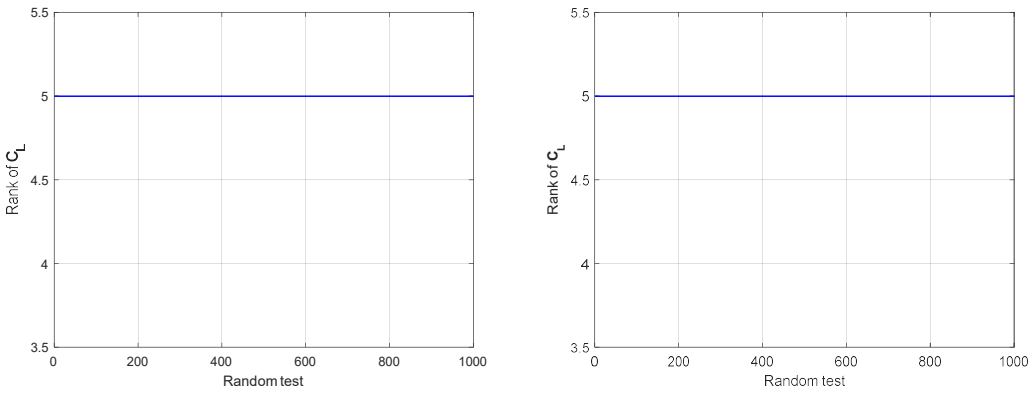


Fig. 11. Random tests for chekcing the rank of C_L under the same signs. Left: $(1, 4) \neq 0$; but $\text{sign}(a_{14}) = +$. Right: $(1, 4) \neq 0$, $\text{sign}(a_{14}) = -$ and $\text{sign}(a_{13}) = +$.