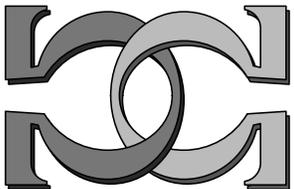
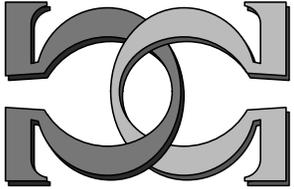
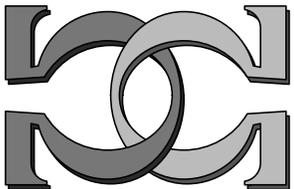


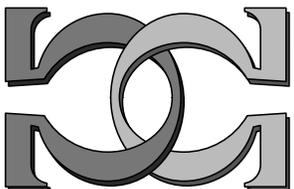
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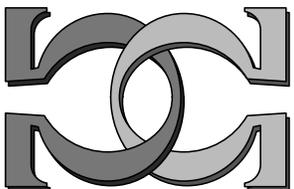
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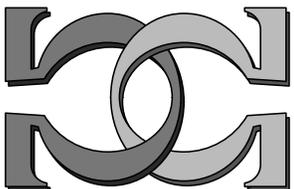
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# How to acknowledge hypercomputation?

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## Abstract

We discuss the question of how to operationally validate whether or not a “hypercomputer” performs better than the known discrete computational models.

## 1 Introduction

It is widely acknowledged [1, 2] that every physical system corresponds to a computational process, and that every computational process, if applicable, has to be physically and operationally feasible in some concrete realization. In this sense, the physical and computational capacities should match; because if one is lagging behind the other, there is a lack in the formalism and its potential scientific and ultimately technological applicability. Therefore, the exact correspondence of the mathematical formalism on the one hand, and the particular physical system which is represented by that formalism on the other hand, demands careful attention.

If one insists on operationalizability [3], one needs not go very far in the history of mathematics to encounter suspicious mathematical objects. Surely enough,

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the number  $\pi$  can be defined and effectively computed as the ratio of the circumference to the diameter of a “perfect (platonic)” circle. Likewise, the numbers  $\sqrt{2}$  and  $\sqrt{3}$  can be interpreted as the ratio between the length of the diagonal to the side length of any square and cube. But it is not totally unjustified to ask whether or not these numbers have any operational meaning in a strict physical sense; i.e., whether such numbers could, at least in principle, be constructed and measured with arbitrary or even with absolute precision.

At the heart of most of the problems seems to lie the ancient issue of the “very large/small” or even potential infinite versus the actual infinite. Whereas the mathematical formalism postulates the existence of actual infinite constructions and methods, such as the summation of a (convergent) geometric series, or diagonalization, the physical processes, methods and techniques are never infinite.

Suppose, as an example, one would attempt the operationalization of  $\pi$ . Any construction of a “real” circle and one of its diameters, and a subsequent measurement thereof, would find its natural scale bound from below by the atomistic structure of matter upon which any such circle is based. Long before those molecular or atomic scales, the physical geometry might turn out to be not as straightforward as it appears from larger scales; e.g., the object might turn out to be a fractal.

Chaitin’s omega number [4], which is interpretable as the halting probability of a universal computer, can be “computed in the limit” (without any computable radius of convergence) by a finite-size program in infinite time and with infinite space. Just as for pi — the difference being the absence of any computable radius of convergence — the first digits of omega are well known [5], yet omega is provable algorithmically incompressible and thus random. Nevertheless, presently, for all practical purposes, the statement that “the  $10^{10^{10}}$  th digit in a decimal expansion of pi is 5” is as unverifiable as a similar statement for omega. Omega encodes all decision problems which can be algorithmically interpreted. For instance, for a particular universal computer, Goldbach’s conjecture and Riemann’s hypothesis could be decided with programs of size 3484 and 7780 bits, respectively [6]. Yet, omega appears to have two features which are normally considered contradictory: it is one of the most informative mathematical numbers imaginable. Yet at the same time this information is so compressed that it cannot be deciphered; thus omega appears to be totally structureless and random. In this sense, for omega, total information and total randomness seem to be “two sides of the same coin.” On a more pragmatic level, it seems impossible here to differentiate between order and chaos, between knowledge and chance. This gives a taste of what can be ex-

pected from any “hypercomputation” beyond universal computability as defined by Turing.

It should be always kept in mind that all our sense perceptions are derived from elementary discrete events, such as clicks in photon or particle detectors, even if they appear to be analog: the apparently smooth behavior has a discrete fine structure. Among other issues, such as finiteness of system resources, this discreteness seems to prohibit the “physical realization” of any actual infinities.

What is the physical meaning of infinite concepts, such as space-time singularities, point particles, or infinite precision? For instance, are infinity machines with geometrically squeezed time cycles, such as the ones envisioned by Weyl [7] and others [8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18] physically feasible? Motivated by recent proposals to utilize quantum computation for trespassing the Turing barrier [19, 20, 21, 22], these accelerating Turing machines have been intensively discussed [23] among other forms of hypercomputation [24, 25, 26].

Certainly, the almost ruthless and consequential application of seemingly mind-boggling theories such as quantum mechanics, as far as finitistic methods are concerned, has yielded one victory after another. But it should be kept in mind that the use of actual transfinite concepts and methods remains highly conjectural.

A priori, while it may appear rash to exclude the transfinite in general, and transfinite set theory in particular from physics proper, one should be aware of its counterintuitive consequences, such as for instance the Banach-Tarski paradox, and be careful in claiming its physical applicability. Recall the old phrase attributed to Einstein and Infeld (Ref. [27], p.31), “*Physical concepts are free creations of the human mind, and are not, however it may seem, uniquely determined by the external world.*”

To this point, we are not aware of any test, let alone any application, of the actual transfinite in Nature. While general contemplations about hypercomputations and the applicability of transfinite concepts for physics may appear philosophically interesting, our main concern will be operational *testability*: if presented with claims that hypercomputers exist, how could we possibly falsify, or even verify and test such propositions [28]?

In what follows, hypercomputation will be conceptualized in terms of a black box with its input/output behavior. Several tests and their rather limited scope will be evaluated. Already in 1958, Davis [29, p. 11] sets the stage of the following discussion by pointing out “... *how can we ever exclude the possibility of our being presented, some day (perhaps by some extraterrestrial visitors), with a (perhaps extremely complex) device or “oracle” that “computes” a non-computable function?*” While this may have been a remote, amusing issue in the days writ-

ten, the advancement of physical theory in the past decades has made necessary a careful evaluation of the possibilities and options for verification and falsification of certain claims that a concrete physical system “computes” a non-computable function.

## 2 On black boxes which are hypercomputers

In what follows we shall consider a device, an agent, or an oracle which one knows nothing about, has no rational understanding (in the traditional algorithmic sense) of the intrinsic working. This device may, for the sake of the further discussion, be presented to us as an alleged “hypercomputer.”

The following notation is introduced. Let  $B$  be a subset of  $X: X_1 \times \dots \times X_m$ . The  $i$ -th projection of  $B$  (for  $i = 1, \dots, m$ ), written as  $B_i$ , is defined by:

$$B_i = \{x \mid x \in X_i, (\exists y \in X_1 \times \dots \times X_{i-1})(\exists z \in X_{i+1} \times \dots \times X_m) \\ (y, x, z) \in X\}.$$

For any  $x \in N^m$  we define

$$|x| = \max\{x_i \mid i \in \{1, \dots, m\}\}.$$

Then, a hypercomputer can be defined via its input/output behavior of black boxes as follows.

**Definition 1 (black box)** *Let  $X, Y$  be sets and  $\mathbb{N}$  be the set of natural numbers. A subset  $B$  of  $X \times Y \times \mathbb{N}$  is called a black box if  $B_1 = X$ .  $X$  is called the input set and  $Y$  the output set.*

Note that the condition  $B_1 = X$  models a computing device which is total, i.e. there exists always an output.

**Definition 2** *Let  $B$  be a black box. We define*

$$f_B = \{(x, y) \mid (\exists z)(x, y, z) \in B\}, \\ t_B = \{(x, z) \mid (\exists y)(x, y, z) \in B\}.$$

*$f_B$  is called the input-output relation of  $B$  and  $t_B$  the computing time of  $B$ . If  $f_B$  and  $t_B$  are functions then  $B$  is called deterministic.*

Every halting deterministic Turing machine defines a black box. Indeed, let  $M$  be a Turing machine (computing a total function),  $f_M: X \rightarrow Y$  be the function computed by  $M$  and  $t_M$  be the computing time of  $M$ . Then

$$\{(x, f_M(x), t_M(x)) \mid x \in X\}$$

is a (deterministic) black box. Similarly all halting non-deterministic Turing machines define black boxes.

**Definition 3 (hypercomputer)** *A strong hypercomputer is a black box  $B$  where  $f_B$  is not Turing-computable.*

**Definition 4** *Let  $C$  be a class of computable monotone functions  $\mathbb{N} \rightarrow \mathbb{N}$  containing the polynomials (over  $\mathbb{N}$  with non-negative coefficients). Then  $C$  is called a bound class.*

**Definition 5** *A weak hypercomputer is a black box  $B$  with the following property: There exists a bound class  $C$  s.t.*

- $t_M(x) > g(|x|)$  a.e. for all  $g \in C$  and for all Turing machines  $M$  with  $f_M = f_B$ .
- There exists an  $h \in C$  s.t.  $t_B(x) \leq h(|x|)$  for all  $x \in B_1$ .

A strong hypercomputer computes either a non-computable function or decides an undecidable problem. A weak hypercomputation outperforms all Turing machines. A possible scenario for a weak hypercomputer  $B$  is the following:  $f_B$  is an **EXPTIME**-complete problem, therefore there exists no polynomial  $p$  and no Turing machine  $M$  computing  $f_B$  with  $t_M(x) \leq p(|x|)$  for all  $x \in X$ , but  $t_B(x) \leq p(|x|)$  for all  $x \in X$  and for a polynomial  $p$ .

For non-deterministic hypercomputers we may distinguish between the following cases:

- $f_B$  is not a function,
- $f_B$  is a function, but  $t_B$  is not.

For stochastic hypercomputers, either  $t_B$  or both  $f_B$  and  $t_B$  are random variables, and the requirements on the computation have to be specified.

## 3 Tests

Having set the stage for a general investigation into hypercomputers which are presented to us as black boxes, we shall consider a few cases and tests. These test methods will be essentially heuristic and present no way of systematically addressing the issue of falsifying or even verifying hypercomputation.

### 3.1 NP-complete cases

It may be conjectured that, by operational means, it is not possible to go beyond tests of hyper-NP-completeness. Even for an NP-complete problem as for instance SAT, it is not trivial to verify that a hypercomputer solves the problem in polynomial time. Without insight into the internal structure of the hypercomputer we cannot obtain a proof of polynomial time computation, which is an asymptotic result. Even here we rely on experiments to test a “large” number of problems. A central problem consists in the right selection of problem sequences. If the selection is based on random generators we merely obtain results on average complexity, which would not be significant.

Furthermore, we need at least some information about the polynomial in question (e.g., its maximum degree). Otherwise it remains impossible to decide by finite means whether some behavior is polynomially or not.

### 3.2 Harder cases with tractable verification

Do there exist (decision) problems which are harder than the known NP-complete cases, possibly having no recursively enumerable solution and proof methods, whose results nevertheless are tractable verifiable? For example, the problem of *graph non-isomorphism* (GNI) is one that is not known to be in NP, not even in  $NP \cup BPP$ . Nevertheless, it is possible to “efficiently verify” whether a “prover” solves this problem correctly.

If the prover claims that two graphs  $G_1$  and  $G_2$  are isomorphic, he can convince us by providing a graph isomorphism. That can be checked in polynomial time, which also means that  $GNI \in coNP$ . If, on the other hand, the prover claims that  $G_1$  and  $G_2$  are non-isomorphic, we can verify this by the following *interactive proof*:

1. Choose one of the graphs  $G_1$  and  $G_2$  with equal probability.
2. Apply an arbitrary permutation to its vertices; this yields graph  $H$ .

3. The prover must decide whether  $H$  is equivalent to  $G_1$  or  $G_2$ .
4. Repeat for  $N$  rounds.

If the initial answer was wrong and the graphs  $G_1$  and  $G_2$  are actually isomorphic, the prover can in step 3 only *guess* which graph was chosen in step 1 (since now  $H$  could have been derived from *either*). Hence, after  $N$  rounds we can be sure with probability  $1 - 2^{-N}$  that the graphs  $G_1$  and  $G_2$  are non-isomorphic.

By denoting the class of interactive proofs by IP, we have shown that  $\text{GNI} \in \text{IP}$ . Interactive proofs further exist for *every* language in PSPACE (which is assumed to be *much* larger than NP). In fact, it can be shown [30] that IP equals PSPACE. This means, in particular, that IP is closed under complement.

The protocol in the example above has the property that in each round a constant number of messages is sent. In a generic interactive proof system for PSPACE this is *not* necessarily true; but at any instance the number of messages depends polynomially on the input length.

In the literature, specific classes of interactive proof systems are investigated as well, e.g. the *Arthur-Merlin class* [31] and the *GMR class* [32]. The former uses public coin tosses, with the intention to accommodate certain languages in as low complexity classes as possible. The latter uses private coin tosses, with the intention to cover the widest possible class of efficiently verifiable languages; additionally, it has the feature of providing *zero-knowledge proofs*, which is of great significance in cryptography. (The protocol presented above does not have the zero-knowledge property – unless  $\text{GNI} \in \text{BPP}$  –, but can be modified to have.) For further information on interactive proof systems see [33, 34].

### 3.3 Interference of problems

One may confront the hypercomputer with the problem of comparing the solutions of multiple tasks. Such a comparison needs not necessarily involve the separate computation of the solutions of these multiple tasks.

As an analogy, consider Deutsch's problem as one of the first problems which quantum computers could solve effectively. Consider a function that takes a single (classical) bit into a single (classical) bit. There are four such functions  $f_1, \dots, f_4$ , corresponding to all variations. One can specify or "prepare" a function bitwise, or alternatively, one may specify it by requiring that, for instance, such a function acquires different values on different inputs, such as  $f(0) \neq f(1)$ . Thereby, we may, even in principle, learn nothing about the individual functional values alone.

### 3.4 Generation of random sequences

By implementation of Chaitin’s “algorithm” to compute Chaitin’s  $\Omega$  [35] or variants thereof [36], it would in principle be possible to “compute” the first bits of random sequences. Such random sequences could in principle be subject to the usual tests of stochasticity [37, 38].

Note that in quantum mechanics, the randomness of certain microphysical events, such as the spontaneous decay of excited quantum states [39, 40], or the quantum coin toss experiments in complete context mismatches [37] is postulated as an axiom. This postulate is then used as the basis for the production of quantum randomness oracles such as the commercially available *Quantis*<sup>TM</sup> interface [41].

## 4 Impossibility of unsolvable problems whose “solution” is polynomially verifiable

Let  $\Sigma_0$  be a finite (non-empty) alphabet and  $X \subset \Sigma_0^*$  be a semi-decidable, but not decidable set. That means there exists a Turing machine which accepts the language  $X$ , but does not terminate on all  $x \in \Sigma_0^*$ . The concept of *acceptable by Turing machines* is equivalent to *derivable by inference systems* or *producible by grammars*. We choose the approach of a *universal proof system*, i.e. of a system which simulates every Turing machine.

Let  $P$  be such a proof system. Let  $V$  be an infinite set of variables (over strings in  $\Sigma^*$ ). A *meta-string* is an object  $x_1 \dots x_n$  where  $x_i \in \Sigma$  or  $x_i \in V$ . If  $X$  is a meta-string and  $\theta$  is a substitution (i.e. a mapping  $V \rightarrow (V \cup \Sigma)^*$ ) then  $X\theta$  is called an instance of  $X$ . If  $X\theta \in \Sigma^*$  we call  $X\theta$  a *ground instance* of  $X$ .

We may define  $P = (\mathcal{Y}, \mathcal{X}, \Sigma, \Sigma_0)$  where  $\mathcal{Y}$  is a finite sets of meta-strings (the axioms) and  $\mathcal{X}$  is a finite set of *rules*, i.e. expressions of the form:

$$\frac{X_1 \dots X_n}{X}$$

where  $X_1, \dots, X_n, X$  are meta-strings s.t. the set of variables in  $X$  is contained in the set of variables in  $X_1, \dots, X_n$ .

$\Sigma_0$  is a (non-empty) subset of  $\Sigma$  (defining the strings of the theory to be generated).

A *derivation*  $\varphi$  in  $P$  is a tree s.t. all nodes are labelled by strings in  $\Sigma^*$ . In particular:

- the leaves of  $\varphi$  are labelled by ground instances of axioms.
- Let  $N$  be a node in  $\varphi$  which is not a leaf and  $(N, N_1), \dots, (N, N_k)$  be the nodes from  $N$  then

$$\frac{N_1 \dots N_k}{N}$$

is a ground instance of a rule in  $\mathcal{X}$ .

A proof of an  $x$  in  $\Sigma_0^*$  in  $P$  is a derivation in  $P$  with the root node labelled by  $x$ .  $x$  is called *provable* in  $P$  if there exists a proof of  $x$  in  $P$ .

**fact:** as  $\Sigma$  is finite there are only finitely many derivations of length  $\leq k$  for any natural number  $k$ , where *length* is the number of symbol occurrences. Let  $P[k]$  be the set of all derivations of length  $\leq k$ .

We prove now:

There is no recursive function  $g$  s.t. for all  $x \in X$ :

(\*)  $x$  is provable in  $P$  iff there exists a proof  $\varphi$  of  $x$  with  $|\varphi| \leq g(|x|)$ .

**proof:**

assume that there exists a recursive  $g$  s.t. (\*) holds. We construct a decision procedure of  $X$ :

input:  $x \in X$ .

- compute  $g(|x|)$ .
- construct  $P[g(|x|)]$ .
- if  $P[g(|x|)]$  contains a proof of  $x$  then  $x \in X$   
else  $x \notin X$ .

But we assumed  $X$  to be undecidable, thus we arrive at a complete contradiction. Q.E.D.

It follows as a corollary that there exists no proof system which generates an undecidable problem  $X$  and  $X$  is polynomially verifiable.

The result above illustrates one of the problems in acknowledging hypercomputation. Even if we have a strong hypercomputer solving, let us say, the halting problem, the verification of its correctness is ultimately unfeasible. Due to the absence of recursive bounds we cannot expect to obtain a full proof of the corresponding property (halting or non-halting) from the hypercomputer itself.

When we consider the halting problem and the property of non-halting, this can only be verified by a proof (and not by simulating a Turing machine). By the

undecidability of the problem there is no complete (recursive) proof system doing the job. So when we obtain a verification from the hypercomputer concerning non-halting, the form of this verification lies outside computational proof systems.

However we might think about the following test procedure for hypercomputers: humans create a test set of problems for an undecidable problem  $X$ , i.e. a finite set  $Y$  with  $Y \cap X \neq \emptyset$  and  $Y \cap X^c \neq \emptyset$ . The humans are in possession of the solutions, preferably of proofs  $\phi_y$  of  $y \in X$  or of  $y \notin X$  for any  $y \in Y$ . This finite set may at least serve the purpose of *falsifying* hypercomputation (provided the hypercomputer is not stochastic and wrong answers are admitted). Beyond the possibility of falsification we might consider the following scenario: the hypercomputer answers all questions concerning the test set  $Y$  correctly, and its computing time is independent of the complexity of the proofs  $\phi_y$ . Such a phenomenon would, of course, not yield a verification of the hypercomputer but at least indicate a behavior structurally differing from computable proof systems.

But the ultimate barrier of verifying a hypercomputer is that of verifying a black box, characterized by the attempt to induce a property of infinitely many input-output pairs by a finite test set.

## 5 Discussion and summary

The considerations presented here may be viewed as special cases of a very general black box identification problem: is it possible to deduce certain features of a black box, without screwing the box open and without knowing the intrinsic working of the black box, from its input/output behavior alone? Several issues of this general problem have already been discussed. For instance, in an effort to formalize the uncertainty principle, Moore [42] considered initial state identification problems of (given) deterministic finite automata. Gold [43, 44, 45, 46, 47] considered a question related to induction: if one restricts black boxes to computable functions, then the rule inference problem, i.e., the problem to find out which function is implemented by the black box, is in general unsolvable. The halting problem [48, 49, 50] can be translated into a black box problem: given a black box with known partial recursive function, then its future behavior is generally unpredictable. Even the problem to determine whether or not a black box system is polynomial in computation space and time appears to be far from being trivial.

So, if presented with a hypercomputer or oracle, we could only assert heuristic information, nothing more. We have to accept the fact that more general as-

sertions, or even proofs for computational capacities beyond very limited finite computational capacities remain impossible, and will possibly remain so forever.

The situation is not dissimilar from claims of absolute indeterminism and randomness on a microphysical scale [37], where a few, albeit subtle tests of time series [38] generated by quantum randomness oracles such as *Quantis*<sup>™</sup> [41] can be compared against advanced algorithmic random number generators such as the Rule30CA Wolfram rule 30 generator implemented by *Mathematica*<sup>®</sup>. Beyond heuristic testing, any general statement about quantum randomness remains conjectural.

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