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# A multilevel synergy Thompson sampling hyper-heuristic for solving Max-SAT

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**Abstract.** Hyper-heuristics are high-level methods, used to solve various optimization problems. Some of them are capable of learning and adapting their behavior throughout the solving process. Selection hyper-heuristics evaluate low-level heuristics and determine which of them to be applied at a given point in the search process. However, it has been shown that the additive learning process becomes inefficient in hard problems where the probability of fitness improvement is less than  $\frac{1}{2}$ . Other alternative learning mechanisms have been proposed however they don't take into account the synergy between the low-level heuristics. Moreover they haven't been tested on large NP-hard problems. In this work, we propose a new hyper-heuristic which we called *the Multilevel Synergy Thompson Sampling Hyper-Heuristic*. The proposed method includes both the probabilistic learning mechanism and the multilevel paradigm. The latter refers to the process of creating hierarchically smaller sub-problems from large problem instances. The proposed hyper-heuristic is applied on very large industrial Max-SAT instances from the latest Max-SAT competition. The numerical results are promising and demonstrate the benefits of our method. The proposed method outperforms the other four types of hyper-heuristics: Random, Choice Function, Stochastic Choice Function and the simple Thompson Sampling Hyper-Heuristics.

**Keywords:** Selection hyper-heuristic, Max-SAT, Thompson sampling, choice-function, random, multilevel paradigm

## 1. Introduction

In practice, several real world optimization problems are difficult to solve and most of them are “NP-hard”. Due to their exponential nature, exact algorithms fail to solve them efficiently. In this case, another category of methods is used: inexact algorithms which include heuristics, metaheuristics and hyper-heuristics. Hyper-heuristics are problem independent high level methods that create a collaboration between different search methods in order to fill the weaknesses of each other. The term hyper-heuristic was first introduced by Cowling et al. [1].

Given a set of low-level heuristics, a selection hyper-heuristic tries to predict which heuristic is the most suitable to apply at a given point during the search

process. Reinforcement learning is a general machine learning technique based on a system of reward and punishment. A reinforcement learning algorithm learns by interacting with its environment and aims to maximize its reward and to minimize its penalty by performing correctly.

A reinforcement learning hyper-heuristic needs to gather information about the performances of low-level heuristics to learn their behavior then to predict which one will be the most efficient in the next iteration. The most common hyper-heuristics use simple reinforcement learning mechanisms such as random gradient, greedy, and most importantly, the additive reinforcement learning mechanism such as the well-known choice function.

An additive learning hyper-heuristic, attributes a weight to each low-level heuristic, then increases the weight of the selected heuristic if its application led to an improvement in the candidate solution with respect to a given fitness function, or decreases the weight

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otherwise. Recently, authors in [2] have presented the first theoretical study evaluating the performance of the reinforcement learning mechanisms and compared them to a uniform random selection hyper-heuristic. This study has shown the limits of the additive reinforcement learning mechanism, then proposed to use Thompson sampling mechanism as an alternative.

In this paper, we discuss how the Thompson sampling mechanism do not take into account the synergy between the low-level heuristics, which is an important feature of any hyper-heuristics. On the other hand, we are interested in solving very large industrial instances from the latest Max-SAT competition. We propose then, an algorithm that integrates the multilevel paradigm with an adaptive learning selection hyper-heuristic which we called the multilevel Synergy Thompson Sampling Hyper-Heuristic. The multilevel paradigm is an interesting technique that has been used to deal with large instances of different problems. It involves recursive coarsening to create a hierarchy of approximations to the original problem. In the case of Max-SAT, the coarsening phase consists in merging variables together into clusters to create smaller samples from the original instance for each level. At the coarsest level, an initial solution is computed, and then iteratively refined at each level, coarsest to finest, using a search algorithm [3].

In the rest of the paper, we summarize in Section 2, the maximum satisfiability problem (Max-SAT), the hyper-heuristics, the proposition of Alanazi [2] regarding the limits of additive learning hyper-heuristics, the simple Thompson Sampling Hyper-Heuristic and the multilevel paradigm. In Section 3 we explain the components of our approach. Section 4 exposes and discusses the experimental results. Finally, we conclude the work in Section 5 and give some research perspectives.

## 2. State of the art

The maximum satisfiability problem (Max-SAT) has a central importance in various areas of computer science, including theoretical computer science, artificial intelligence, optimization, hardware design and verification.

### 2.1. Max-SAT

An instance of the satisfiability problem (SAT) is a propositional formula in a conjunctive normal form

(CNF). Given a set of  $N$  Boolean variables, A CNF formula  $F$  is the conjunction of  $M$  clauses. Each clause is a disjunction of  $r$  literals representing its length. A literal is a Boolean variable that occurs in its positive or negative form.

$$F = \bigwedge_{i=1}^M C_i \text{ where } C_i = \bigvee_{j=1}^r l_j, l_j = \begin{cases} x_j, & j = 1..N \\ \neg x_j, & j = 1..N \end{cases}$$

The SAT problem is to decide whether an assignment of truth values to the  $N$  variables, such that all the clauses of  $F$  are simultaneously satisfied exists or not. It is known in complexity theory that SAT is the canonical NP-complete problem [4]. The maximum satisfiability problem (Max-SAT) is an optimization variant of SAT. It requires a variable truth assignment that maximizes the number of satisfied clauses of  $F$ . It has been proven to be a NP-hard problem, even when each clause has no more than two literals, while SAT with two literals per clause can be solved in polynomial time. Various exact and non-exact methods have been developed to address the Max-SAT problem.

Because of their exponential complexity, the exact methods can be applied only on small instances. Among the well-known methods for SAT, the method SATO [5], the solver Satz [6], the method Chaff [7] that are all SAT solvers based on the Davis Putnam method [8]. There are also exact methods such as the Branch and Bound algorithms [9,10], the Max-Solver [11], and the method MiniMaxSat [12] that are used to solve the optimization variant Max-SAT

On the other hand, approximation methods (or non-exact methods) make a local exploration in the search space. They can tackle large Max-SAT instances and could find good solutions in a reasonable time. Among them, we can find the local search and metaheuristic methods such as: the CCLS: an efficient local search algorithm [13], the GSAT procedure [14], the simulated annealing [15], the WALKSAT method [16], the scatter search algorithm [17], the genetic algorithm [17–19], the GASAT algorithm [20], the novelty method [21], the adapt novelty method [22], the guided local search method [23], the tabu search algorithm [24,25], the G2wSAT method [26], the memetic algorithm [27,28], and the variable neighborhood search (VNS) based Genetic algorithm [29].

A hyper-heuristic can be viewed as a non-exact method that makes several metaheuristics and/or specific heuristic algorithms interact with each other. To our knowledge, hyper-heuristics have not been tested on the large industrial Max-SAT instances.

## 2.2. Hyper-heuristics

A hyper-heuristic is a problem independent search method and a learning mechanism for selecting or generating heuristics to solve computational search problems [30]. During the search process, the hyper-heuristic selects the (meta) heuristic that should be applied to improve the fitness function and avoid local optima. These (meta) heuristics are called low-level heuristics. In other words, hyper-heuristics perform the search over the space of the low-level heuristics, and not directly on the problem search space [30–32].

Hyper-heuristics have been used in many optimization problems, such as, the frequency assignment problem in cellular networks [33,34], the winner determination problem [35], the problem of examination timetabling problem [32,36–41], the planning problem [1], the flow shop problem [42] and so on.

### 2.2.1. Classification of hyper-heuristics

Hyper-heuristics can be classified using several criteria describing the nature of the hyper-heuristic, the nature of the low-level heuristics and the use or not of a learning mechanism.

*Selective or generative hyper-heuristics.* Generative hyper-heuristics combine several components to generate themselves the low-level heuristics. The most known generative techniques are based on genetic programming [43–46]. Selective hyper-heuristics aim to choose the right (meta) heuristics to be executed in the search process. The set of low-level heuristics should include methods with different strategies that allow a better exploration of the problem search space. Selective hyper-heuristics attempt to combine these methods to compensate the weaknesses of some heuristics by the strength of some other one's [47,48].

*Constructive or perturbative low-level heuristics.* A constructive low-level heuristic starts with an empty solution and tries to complete it at each step. On the other hand, a perturbative low-level heuristic starts with a complete initial solution and tries to find better ones by improving it during the search process.

*Hyper-heuristics with or without a learning mechanism.* Hyper-heuristics that do not use learning mechanism can be random or exhaustive [30]. In this case the selection mechanism does not benefit from the feedback that can be collected during the search phase. To improve the performances of hyper-heuristics, two types of learning mechanisms can be used: on-line or off-line learning. In the on-line case, the hyper-

heuristic uses feedback information to learn while solving the problem. In the off-line learning case, it trains first to get information from the considered problem (under resolution) that could be used to solve unseen instances of the problem.

For example, we can cite the work given in [42] which is based on a multi-agent system where a hyper-heuristic agent manages the low-level heuristic agents by using a reinforcement learning mechanism. The system was applied on the flow shop problem. In [49], authors propose a method based on Q-learning to automatically design the high-level heuristic of a hyper-heuristic model. In [50], a deterministic learning selection strategy based on the Multi-Armed Bandit problem is used. It has been implemented using the HyFlex framework.

In our work, we are interested in selective-perturbative hyper-heuristics with an on-line learning mechanism.

### 2.2.2. The architecture of a selective-perturbative hyper-heuristic

A selective hyper-heuristic is composed of two modules: a selection module and a move acceptance module. The selection module chooses which low-level heuristic will be called in the next iteration. Such selection could be done randomly or by using a learning mechanism. The acceptance module decides whether the current solution will be accepted or not. This decision can be deterministic (all moves will be accepted [1], only improvement moves are accepted [35, 51]) or non-deterministic (Monte Carlo move acceptance [36] simulated annealing [52], ...). For more details about the selection strategies and move acceptance module, the reader could refer to [30].

The hyper-heuristic process works as follows: given an instance of a problem, the selection module picks an adequate low-level heuristic according to a given strategy at each iteration. Then, the acceptance module decides whether to accept or reject the solution returned by the low-level heuristic. The process continues until the termination criterion is met. The hyper-heuristic process is depicted in Fig. 1. One of the well-known selection strategies is the Choice-Function method.

## 2.3. The choice function selection strategy

The Choice function is a score based selection strategy that uses on-line learning to decide which low-level heuristic to be called for the next execution. It measures the effectiveness of the low-level heuristics

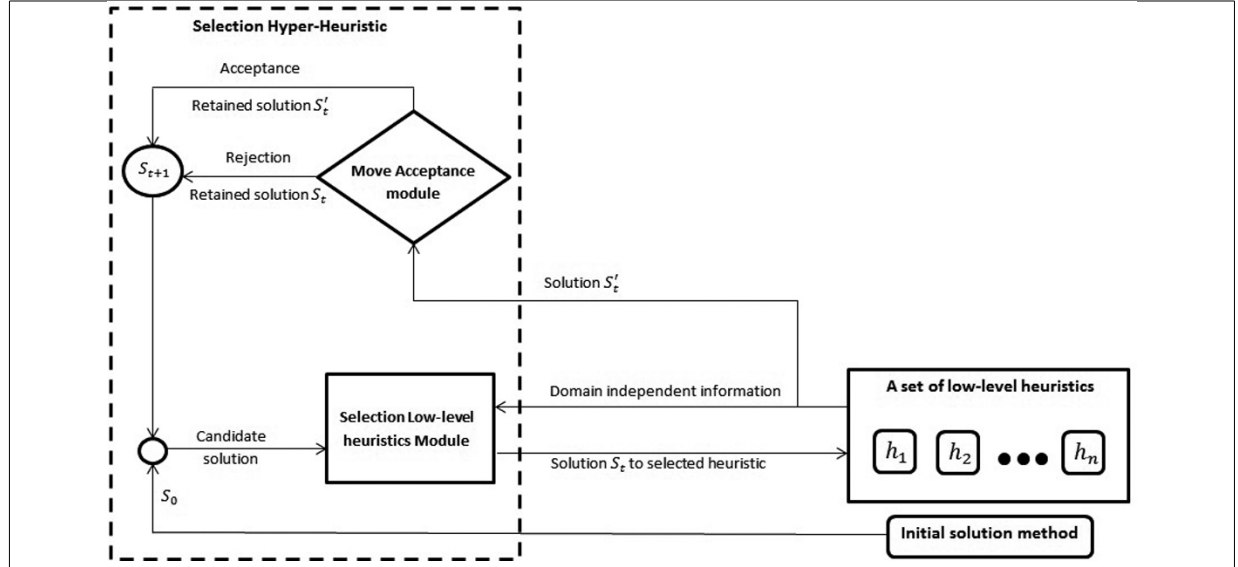


Fig. 1. The architecture of a hyper-heuristic [36].

based on their performances. It assigns a weight to each low-level heuristic according to three parameters, which are: the CPU time consumed by a heuristic during the search process, the quality of the solution and the elapsed time since the heuristic has been called. The modified score based choice-function is described in [53] as follows:

$$\begin{aligned}
 \forall i, g_1(h_i) &= \sum_n \phi^{n-1} \frac{I_n(h_i)}{T_n(h_i)} \\
 \forall i, g_2(h_{ID}, h_i) &= \sum_n \phi^{n-1} \frac{I_n(h_{ID}, h_i)}{T_n(h_{ID}, h_i)} \\
 \forall i, g_3(h_i) &= \text{elapsed Time}(h_i) \\
 \forall i, \text{score}(h_i) &= \phi g_1(h_i) + \phi g_2(h_{ID}, h_i) \\
 &\quad + \delta g_3(h_i)
 \end{aligned}$$

where  $h_i$  is a low-level heuristic and  $h_{ID}$  is the last low-level heuristic recently launched.  $I_n(h_i)$  (respectively,  $I_n(h_{ID}, h_i)$ ) represents the change in the evaluation function after the  $n^{\text{th}}$  execution of the heuristic  $h_i$  (respectively,  $n^{\text{th}}$  execution of the heuristic  $h_i$  after  $h_{ID}$ ).  $T_n(h_i)$  (respectively,  $T_n(h_{ID}, h_i)$ ) represents the execution time of the heuristic  $h_i$  after his  $n^{\text{th}}$  call (respectively, the execution time of the  $n^{\text{th}}$  call of  $h_i$  following  $h_{ID}$ ). The value of  $\phi$  depends on the performances of the low-level heuristics during the search process. If there is an improvement, then  $\phi$  receives it's maximum value (0.99), otherwise, it takes the maximum between  $\phi - 0.01$  and 0.01.  $\delta$  is closely related to  $\phi$ , its value is defined by the following equation:

$$\phi_t = \begin{cases} 0.99 & \text{if improvement} \\ \max\{\phi_{t-1} - 0.01, 0.01\} & \text{otherwise} \end{cases}$$

and  $\delta_t = 1 - \phi_t$ .

#### 2.4. Additive reinforcement learning hyper-heuristics behavior and Thompson sampling

The reinforcement learning mechanisms iteratively choose the appropriate heuristic by trial and error interactions with the search space. Each low-level heuristic is associated with a weight, initially the same. The adaptation module, determine how the weights should be updated. The additive weights adaptation scheme is the most frequently used one. If the selected low-level heuristic improves the solution, its weight is increased by a certain value; otherwise, the weight is decreased. An example is the choice-function mechanism described above. Among recent works using choice function mechanism we can cite: [54] where a choice function hyper-heuristic has been applied on the allocation of maintenance tasks problem in Danish railways. In [55,56] an artificial bee colony algorithm is combined with a modified choice function for the traveling salesman problem. In [57] a hyper-heuristic with a parameter free choice function strategy has been applied on pairwise test generation. In [58] a modified choice function heuristic selection strategy has been proposed for the multidimensional knapsack problem. In [59], a Choice Function-based Constructive Hyper-Heuristic is used for generating personalized healthy menu recommendations.

In [2], the limitations of learning in additive reinforcement learning hyper-heuristics are shown. They have proven theoretically that if the success probabilities of the low-level heuristics are less than  $\frac{1}{2}$ , then these hyper-heuristics will have the same performance as a simple random mechanism. In their experimental analysis, an additive learning mechanism on the HyFlex framework has been implemented then applied on the bin-packing problem and the permutation flow-shop problem. The results show that the estimated success probabilities of the low-level heuristics are in fact much smaller than a half, and consequently, both the additive reinforcement learning hyper-heuristic and the simple random hyper-heuristic have asymptotically the same behavior. This shows that additive reinforcement learning mechanisms are not necessarily capable of distinguishing between the performances of the heuristics, in other words, they don't adapt themselves to cope with the dynamic change in the success probabilities of low-level heuristics.

Since the additive learning mechanism is not efficient in these cases, [60] propose using a probabilistic selection approach called Thompson sampling.

### 2.5. The Thompson sampling hyper-heuristic

In 1933, Thompson introduced a reinforcement learning mechanism for the multi-armed bandit problem referred to as Thompson sampling [61].

Despite the fact that it was absent from the artificial intelligence literature, recently it has attracted considerable interest. Several studies have empirically demonstrated the efficiency of Thompson sampling [62–65]. It has also been successfully applied to several real-world problems [66–68].

As shown in Algorithm 1, Thompson sampling is a reinforcement learning mechanism that uses probabilities to predict the most suitable heuristic to be called. It also uses a sliding time window to adapt its behavior according to recent observations about the performance of the low-level heuristics. This enables to discard past and potentially irrelevant observations. In this case, the low-level heuristics are divided into two sets: MU (mutation heuristics) and LS (simple local search heuristics). The hyper-heuristic chooses a heuristic from the MU set then chooses a heuristic from LS set at each iteration. To each low-level heuristic  $i$ , we attribute a beta distribution with two parameters  $\alpha_i^{(t)}$  and  $\beta_i^{(t)}$  which represent the number of successes and failures observed within a time window at the  $t^{\text{th}}$  iteration. These parameters are initialized to one and

**Algorithm 1** The thompson sampling hyper-heuristic.

**Require:** a Max-SAT instance, a set  $H$  of  $m$  low-level heuristics (a subset “MU” of mutational heuristics and another subset “LS” of local search heuristics), the *maxiter* parameter, the sliding window  $w \in \mathbb{N}$ .  
**Ensure:** a solution  $S$ .  
1: Generate an initial random solution  $S$  having a quality  $F$ .  
2: Evaluate the quality of the solution  $S$ .  
3:  $S' := S$ ;  $F' := F$ ;  $F'$  is the quality of the best solution  $S'$  found  
4:  $t := 0$ ;  
5:  $\forall i \in [m]$ , set the parameters  $\alpha_i^{(0)} := 1$ , and  $\beta_i^{(0)} := 1$   
6:  $\forall i \in [m]$ , let  $U_i^{(0)}$  the utility score of the low-level heuristic  $i$   
7: **while** ( $t < \text{maxiter}$ ) **do**  
8: //heuristic selection Method  
9:  $\forall i \in [m]$ , Sample  $U_i^{(t)}$  from  $\text{Beta}(\alpha_i^{(t)}, \beta_i^{(t)})$   
10:  $h_i :=$  a mutational heuristic with the maximum utility score  $U_i^{(t)}$  from MU  
11:  $h_j :=$  a local search heuristic with the maximum utility score  $U_j^{(t)}$  from LS  
12: Apply the heuristic  $h_i$  on  $S$  to obtain new solution  $S'$  with a quality  $F'$   
13: Apply the heuristic  $h_j$  on  $S'$  to obtain new solution  $S''$  with a quality  $F''$   
14: **if**  $F'' > F$  **then**  
15:  $\alpha_i^{(t+1)} := \alpha_i^{(t)} + 1$   
16:  $\alpha_j^{(t+1)} := \alpha_j^{(t)} + 1$   
17: **else**  
18:  $\beta_i^{(t+1)} := \beta_i^{(t)} + 1$   
19:  $\beta_j^{(t+1)} := \beta_j^{(t)} + 1$   
20: **end if**  
21: **if**  $t \geq w$  **then**  
22:  $\forall i \in [m]$  if at iteration  $(t - w)$ ,  $h_i$  has been called then improved the solution, then  
 $\alpha_i^{(t+1)} := \alpha_i^{(t+1)} - 1$   
23:  $\forall i \in [m]$  if at iteration  $(t - w)$ ,  $h_i$  has been called then not improved the solution, then  
 $\beta_i^{(t+1)} := \beta_i^{(t+1)} - 1$   
24: **end if**  
25: //the acceptance method.  
26: **if** ( $f(S'') \geq f(S)$ ) **then**  
27:  $S := S''$ ;  $F := F''$ ;  
28: **end if**  
29: **end while**  
30: **return** the best solution found.

updated during the search process. In the case of success, meaning the low-level heuristic has improved the best solution found with respect to the objective function,  $\alpha_i^{(t)}$  is incremented,  $\beta_i^{(t)}$  is incremented otherwise. In order to select the next low-level heuristic to be called, a random variable  $U_i^{(t)}$  called utility score is drawn from the beta distribution of each low-level heuristic. The one with the maximum utility score is then selected. The hyper-heuristic only keeps the observations about the low-level heuristics in the last  $w$  iterations.

The Thompson Sampling Hyper-Heuristic focuses on choosing, at each iteration, the low-level heuristic



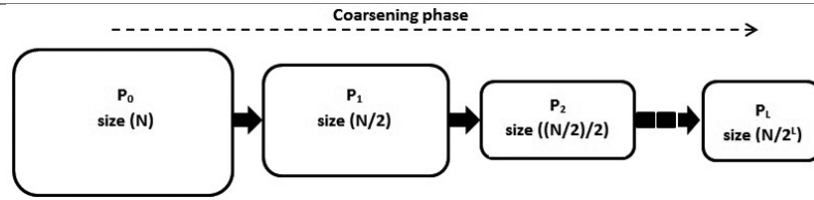


Fig. 3. The coarsening phase.

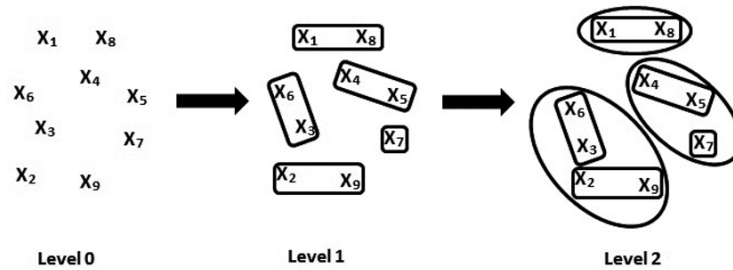


Fig. 4. Illustration of the coarsening phase.

### 2.6.3. The extension and refinement phase

This phase is an iterated combination of two steps:

- *The extension step:* The extension algorithm is the unversed process of the coarsening algorithm. It extends the solution found at the previous level to give an initial solution to the problem at the current level.
- *The refinement step:* builds a better solution from the initial one at each level. It can be a simple local search or a more sophisticated heuristic or metaheuristic. In this paper, we have used a hyper-heuristic.

## 3. The multilevel synergy thompson sampling hyper-heuristic

In the following, we introduce our method called the Multilevel Synergy Thompson Sampling Hyper-Heuristic (ML-SyTS-HH). We first describe the multilevel (ML) framework. Then we detail the Synergy Thompson Sampling Hyper-Heuristic (SyTS-HH) components.

### 3.1. The multilevel framework

The multilevel framework has four basic components described as follows:

#### 3.1.1. The coarsening process

In the coarsening phase, the algorithm reduces the problem size recursively until reaching a desired threshold. In the case of a SAT problem instance, the

algorithm merges pairs of variables chosen randomly to create what we call clusters, which reduces the size of the problem by half at each iteration, as illustrated in Fig. 3. In this case, the complexity of the coarsening algorithm is  $\log_2 N$ ,  $N$  being the number of variables. These clusters are then used to define a coarser and smaller sample of the problem in the next iteration as shown in Fig. 4. The remaining variables that have not been merged are simply copied to the next level. Merging more than two variables at once, results in a too fast coarsening and lower quality samples. This coarsening technique is exact: indeed, at any stage after initialization the current solution could simply be extended to form a legitimate solution of the original problem, with the same cost (see the extension and refinement phase of Fig. 7).

#### 3.1.2. The initialization process

The search process starts by computing an initial solution at the coarsest level. The truth/false values will be assigned randomly to the clusters. As a cluster represents one variable, to compute the cost of a solution, all the variables that compose the cluster will be assigned the same value.

#### 3.1.3. The extension process

When going from one level to another, the extension strategy should guarantee that the number of satisfied clauses by the solution will remain the same before and after the extension. The extension algorithm being the reverse procedure of the coarsening, it splits



## Initialization phase

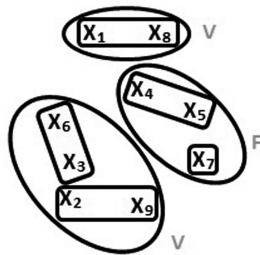


Fig. 5. Illustration of the initialization phase.

up each cluster into the clusters that compose it and assigns them the same value as the original cluster.

## 3.1.4. The refinement process

At each generated level, after the extension process, the refinement algorithm is applied to search the best solution for the problem sample corresponding to the current level. In this paper, we have used the Synergy Thompson Sampling that we describe later (for the refinement). In the coarse levels, the hyper-heuristic works on smaller and easier versions of the initial problems until reaching level 0. We had to make a few adjustments to the hyper-heuristic to make it deal with the clusters directly instead of the variables. Algorithm 2 shows how SyTS-HH is integrated in the multilevel framework. The main benefit of the multilevel paradigm is that, when going through the lower levels, it guides the search to a very promising area. When the original level (level 0) is reached, the initial solution is one of very good quality. In this case, in order to keep this advantage, the intensification/diversification mechanisms are managed by the low-level heuristics.

**Algorithm 2** The ML-SyTS-HH.

**Require:** a problem  $P_0$ , a maximum of level  $L$ .

```

1: Level = 0;
2: //Coarsening Phase
3: while (Level < L) do
4:   Plevel+1 = Coarsen (Plevel);
5:   Level = Level + 1;
6: end while
7: //Initialization Phase
8: SL = Initial Solution (PL);
9: //Extension and refinement Phase
10: while (Level > 0) do
11:   //Extend problem and project previous level's solution
12:   Sstart (PLevel-1) = Extend (Sfinal, PLevel);
13:   //Refine the initial solution (call the hyper-heuristic platform)
14:   Sfinal (PLevel-1) = STS-HH (Sstart, PLevel-1);
15:   Level = Level - 1;
16: end while

```

## 3.2. The components of the SyTS-HH

The proposed hyper-heuristic uses the method of *solution acceptance* based on the *all moves acceptance* strategy. In the following we explain how a solution is represented, how the fitness is calculated, then discuss the low-level heuristics that we use and finally describe the Synergy Thompson Sampling process.

## 3.2.1. The solution representation

A solution is represented as a vector  $X$  with size  $n$ . Each element  $X_i$  receives the value 0 (False) or 1 (True).  $X$  represents an assignment of truth values to the  $n$  Boolean variables of the Max-SAT instance.

## 3.2.2. The objective function

The quality of a solution (fitness) is measured by using an objective function. In the Max-SAT problem, it consists in maximizing the number of satisfied clauses of the considered instance. The goal of a search method is to find an assignment to the variables that maximizes the number of satisfied clauses. Given a solution  $X$ , the objective function  $f$  that we want to maximize is expressed as follows:

$$f(X) = \sum_{i=0}^n C_i, \text{ Where the clause } C_i = \begin{cases} 1 & \text{when } C_i \text{ is satisfied} \\ 0 & \text{otherwise.} \end{cases}$$

## 3.2.3. The low-level heuristics for Max-SAT

Six perturbative low-level heuristics are used in this paper. We have chosen some of the best state of the art Max-SAT search methods. After each execution, the selected low-level heuristic returns the solution found to the hyper-heuristic. We give in the following a concise description of each of the considered low-level heuristics.

- The heuristic  $h_1$ : GSAT [14].

This method chooses to flip the variable with the highest net gain (the number of satisfied clauses minus the number of unsatisfied clauses if the variable will be flipped). In the case of having many variables with the highest net gain, we choose one randomly.

- The heuristic  $h_2$ : HSAT [78].

This algorithm is similar to GSAT. However, in the case of having many variables with the highest net gain, we choose the oldest one (the variable's age represents the number of iterations spent since the last time it was flipped).

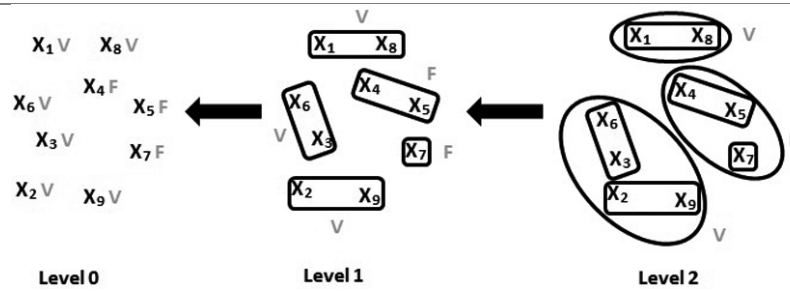


Fig. 6. An example of the extend/refine phase.

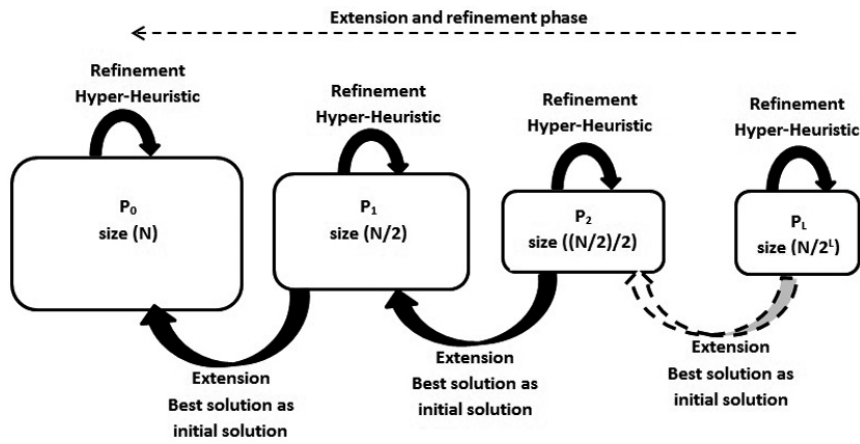


Fig. 7. The extension and refinement phase.

- *The heuristic  $h_3$* : SLS [17]  
it is the stochastic local search method, which explores the neighborhood of a candidate solution with three strategies managed by two walking probabilities.
- *The heuristic  $h_4$* : WalkSat [16]  
It's an algorithm that starts by choosing randomly an unsatisfied clause. If there is a variable with negative gain equals 0 in the selected clause, then this variable is flipped. The negative gain of a variable is the number of clauses that will be broken if the variable is flipped. It means that the said clause can be satisfied without breaking another clause. If no such variable exists, then depending on the walk probability, the variable with the minimum negative gain is selected or a variable is simply picked randomly from this clause.
- *The heuristic  $h_5$* : Novelty [21]  
In Novelty, after choosing a broken clause, the variable to be flipped is selected as follow. If the variable with the highest net gain does not have the minimum age among the other variables within the selected clause, it is always selected.

Otherwise, it is only selected with the probability  $1 - p$ , else the variable with the next lower net gain is selected.

- *The heuristic  $h_6$* : VNS [79]

The variable neighborhood search (VNS) is a metaheuristic that explores several neighborhoods for better diversification, and uses a local search for the intensification. The VNS starts by defining a set of neighborhood structures  $N_1, N_2, \dots, N_k$  that will be explored during the search. Starting with an initial solution, VNS calls a local search method to explore the first neighborhood  $N_1$  of the said solution. If the solution is improved, then the same neighborhood is further explored with the local search method, otherwise, VNS switches to the next neighborhood  $N_2$ , and so on. In VNS, a solution is only accepted if there is an improvement in the fitness function.

### 3.2.4. The synergy thompson sampling hyper-heuristic

The SyTS-HH has a probabilistic learning approach to handle the set of perturbative heuristics described

above, to solve the considered Max-SAT problem. It uses the historical performances of the low-level heuristics to update its learning mechanism based on the Beta probability law. The Beta probability law is usually used to model the uncertainty about the probability of success of an experiment. It is a continuous probability distribution defined on the interval  $[0, 1]$ , and has two positive parameters  $\alpha$  and  $\beta$  that control the shape of the distribution. For example, to predict the success of an experiment, we sample a random variable  $x$  from its beta distribution where the parameter  $\alpha$  represents the number of successes from previous experiments and  $\beta$  represents the number of failures. In this case, the Beta distribution is used to understand the synergy between two low-level heuristics  $i$  and  $j$ , by learning the behavior of the sequence within a certain window throughout the execution. In order to do that, to each combination of heuristics  $(i, j)$ , we will assign a beta distribution  $\text{Beta}(\alpha_{ij}^{(t)}, \beta_{ij}^{(t)})$ . At iteration  $(t)$ , the  $\alpha_{ij}^{(t)}$  parameter is increased in the case of success. Otherwise, the  $\beta_{ij}^{(t)}$  parameter is increased. By success we mean an improvement of the fitness function after the execution of the sequence heuristic  $i$  then heuristic  $j$ . By doing that, the beta distribution will capture (approximately) the behavior of the sequence  $(i, j)$ . In this case, knowing that in the current iteration the heuristic  $i$  was called, we can predict the success of the heuristic  $j$  by sampling a random variable from the distribution called the utility score  $U_{ij}$ . We can say that the utility score represents the potential score of success of the sequence  $(i, j)$ , when the heuristic  $i$  was previously called. The couples  $(\alpha_{ij}, \beta_{ij})$  are stored in a matrix  $(L \times L)$ , where  $L$  is the number of the low-level heuristics. In our case, we do not need to split the low-level heuristics into two sets as it has been done in algorithm 1, since we will be using well known state of the art Max-SAT metaheuristics instead of simple mutation and local search methods.

As shown in Algorithm 3, first, a heuristic  $i$  is chosen randomly. Then, to select the low-level heuristic that will be used in the next execution, utility scores are sampled from the beta distributions of  $(i, j)$ ,  $\forall j \in [1, L]$ . The heuristic  $j$  with the maximum utility score is then selected. After the call of heuristic  $j$ , the  $\alpha_{ij}^{(t+1)}$  and  $\beta_{ij}^{(t+1)}$  are updated: when the selected heuristic improves the quality of the candidate solution, the Alpha of its associated Beta distribution is increased, otherwise, the Beta parameter is increased. After that, the heuristic  $j$  becomes the heuristic  $i$  of the next iteration and so on. This allows to implicitly aim for the best sequence of all the low-level heuristics

at each stage of the search. In our case, the *all moves acceptance strategy* is used. The Thompson sampling mechanisms are used with a *sliding time-window* to only keep recent and potentially relevant observations about the behaviors of the low-level heuristics. The sliding time-window has a size  $w$  iterations and respects the First in/First out principle. The size  $w$  is a parameter of the algorithm and it should be tuned correctly. If  $w$  is too large it may include irrelevant information, however if it is too small, the observations kept may not be sufficient to capture the behavior of the low-level heuristics. In the algorithm, at each iteration, the beta distributions changes need to be kept in order to update the beta distributions when it is sliding.

---

**Algorithm 3** The SyTS-HH.

---

**Require:** a Max-SAT instance, a set  $H$  of  $m$  low-level heuristics, the *maxiter* parameter, the sliding window  $w \in \mathbb{N}$ .

**Ensure:** a solution  $S$ .

```

1: Generate an initial random solution  $S$  having a quality  $F$ .
2:  $t := 0$ ;
3:  $\forall i, j \in [m]$ , set the parameters  $\alpha_{ij}^{(0)} := 1$ , and  $\beta_{ij}^{(0)} := 1$ 
4:  $\forall i, j \in [m]$ , let  $U_{ij}^{(0)}$  the utility score of the sequence of the
   low-level heuristics  $i, j$ 
5:  $h_i :=$  a random low-level heuristic from  $H$ 
6: Apply the heuristic  $h_i$  on  $S$ , and update the candidate solution
   ( $S'$ ) with quality ( $F'$ ).
7: while ( $t < \text{maxiter}$ ) do
8:   //heuristic selection Method
9:    $\forall j \in [m]$ , Sample  $U_{ij}^{(t)}$  from  $\text{Beta}(\alpha_{ij}^{(t)}, \beta_{ij}^{(t)})$ 
10:   $h_j :=$  a low-level heuristic with the maximum utility score
      $U_{ij}^{(t)}$ 
11:  Apply the heuristic  $h_j$  on  $S'$  to obtain new solution  $S''$  with
     a quality  $F''$ 
12:  if  $F'' > F$  then
13:     $\alpha_{ij}^{(t+1)} := \alpha_{ij}^{(t)} + 1$ 
14:  else
15:     $\beta_{ij}^{(t+1)} := \beta_{ij}^{(t)} + 1$ 
16:  end if
17:  if  $t \geq w$  then
18:     $\forall j \in [m]$  if at iteration  $(t - w)$ ,  $h_j$  has been called
      after the heuristic  $h_i$  and improved the solution, then
       $\alpha_{ij}^{(t+1)} := \alpha_{ij}^{(t+1)} - 1$ 
19:     $\forall i \in [m]$  if at iteration  $(t - w)$ ,  $h_i$  has been called af-
      ter the heuristic  $h_i$  and not improved the solution, then
       $\beta_{ij}^{(t+1)} := \beta_{ij}^{(t+1)} - 1$ 
20:  end if
21:  //the acceptance method: All moves accepted.
22:   $S := S'$ ;  $F := F'$ ;  $S' := S''$ ;  $F' := F''$ ;
23:  if ( $F'' \geq F_{\text{best}}$ ) then
24:     $S_{\text{best}} := S''$ ;  $F_{\text{best}} := F''$ ;
25:  end if
26:   $i := j$ 
27: end while
28: return  $S_{\text{best}}$ .

```

---

Table 1  
ML-TS-HH vs TS-HH

Benchmark	Variables	Clauses	ML-TS HH	TS-HH
c2_DD_s3_f1_e2_v1-bug-fourvec-gate-0.dimacs.seq	400085	1121810	2553	5754
i2c-problem.dimacs_25	521672	1581471	2091	4726
rsdecoder1_blackbox_KESblock-problem.dimacs_30	707330	1106376	2323	5123
mrisc_mem2wire-problem.dimacs_29	844900	2905976	3951	6978
mem_ctrl1.dimacs	1128648	4422185	1982	4145
rsdecoder-problem.dimacs_36	1220616	3938467	7737	10642
sudoku-debug.dimacs	1304121	1554820	967	3023
rsdecoder-problem.dimacs_37	1513544	4909231	4060	7321
mem_ctrl2_blackbox_mc_dp-problem.dimacs_28	1974822	6795573	3052	8740
mem_ctrl-problem.dimacs_27	4426323	15983633	28805	39654

#### 4. The experiments

All experiments were run on an Intel Core (TM) i7 2 GHz with 8 GB of RAM under Linux operating system. The source code is written in the C language.

We have implemented five variants of the hyper-heuristics for the Max-SAT problem corresponding to five different selection strategies:

- *Random (R-HH)*: corresponding to the simple random strategy.
- *Choice-function (CF-HH)*: corresponding to the choice function described above.
- *Stochastic choice-function (SCF-HH)*: is a combination between the random strategy and the choice function strategy [35,80].
- *Tompson Sampling (TS-HH)*: corresponding to the original method described above.
- *Synergy Thompson Sampling (SyTS-HH)*: corresponding to our method.

Due to the non-deterministic nature of the proposed methods, 10 runs have been considered for each instance and for each method. Also, an empirical study has been conducted to fix the parameters values. The coarsening phase of the multilevel paradigm will stop when reaching a level with 500 clusters.

- For the TS-HH and the SyTS-HH there is only one parameter which is the size of the sliding window  $w$ . It has been fixed to 30.
- For the CF-HH, there are two parameters:  $\phi$  starts with the value 0.99, and it changes according to the search performance, whilst  $\delta$ , its value depends on  $\phi$ , as described in Section 2.3.
- For the SCF-HH, in addition to the  $\phi$  and  $\delta$  parameters, it has also a walk probability ( $wp$ ) that is fixed to 0.3.

There are other parameters which concerns the low-level heuristics:

- *Walksat*: The walk probability  $W = 0.3$ ,

- *SLS*: The walk probabilities of the low-level heuristic *SLS* are:  $WALK1 = 0.3$  and  $WALK2 = 0.6$ .
- *VNS*: The number of neighborhoods  $k$  is fixed to 10,
- *Novelty*: The walk probability  $W = 0.4$ .

##### 4.1. The obtained results

In the following, we give the numerical results found by the implemented methods.

##### 4.1.1. TS-HH VS ML-TS-HH

The effectiveness of the multilevel paradigm has been proven several times as previously discussed in Section 2.6. To further investigate the impact of the multilevel paradigm, we have selected The TS-HH and we have chosen the largest ten instances from the Max-SAT competition industrial benchmarks. The results in Table 1 indicate that the ML-TS-HH is more robust than the simple TS-HH. The results reported in Table 1 represent the number of not satisfied clauses. From Fig. 8, we can see that the larger the instance, the bigger the difference. This can be explained by the fact that the multilevel approach successively approximates the problem with smaller, and hence easier to solve, versions. The coarsening algorithm filters the solution space by placing restrictions on solutions which the refinement algorithm can visit. Flipping the value of one cluster in a coarsened space is equivalent to changing the values of several variables in the original solution space. This allows exploring efficiently the search space with a good balance between diversification, by visiting different regions, and intensification, by exploiting the solutions from previous levels in order to reach better solutions. When reaching the level 0 (the original instance), the search starts with an initial solution of a good quality, which usually helps the search method to get closer to the global optimum.

Table 2  
The results of the four methods on some Max-SAT 2016 benchmarks (a)

Benchmark	Variables	Clauses	ML-SyTS-HH	ML-TS-HH	ML-SCF-HH	ML-CF-HH	ML-R-HH
c1_DD_s3_f1_e2_v1-bug-fourvec-gate-0.dimacs.seq.filtred	391897	989885	6	33	10	75	14
c2_DD_s3_f1_e2_v1-bug-fourvec-gate-0.dimacs.seq.filtred	400085	1121810	1375	2553	4789	5627	5351
c4_DD_s3_f1_e1_v1-bug-gate-0.dimacs.seq.filtred	797728	2011216	512	597	663	795	1338
c4_DD_s3_f1_e2_v1-bug-fourvec-gate-0.dimacs.seq.filtred	448465	1130672	233	235	462	302	271
c5_DD_s3_f1_e1_v1-bug-gate-0.dimacs.seq.filtred	200944	540984	4	8	8	8	8
c5_DD_s3_f1_e1_v2-bug-gate-0.dimacs.seq.filtred	200944	540984	8	8	8	10	8
c6_DD_s3_f1_e1_v1-bug-gate-0.dimacs.seq.filtred	298058	795900	1391	1432	1406	1461	1471
mem_ctrl1.dimacs.filtred	1128648	4422185	1526	1982	1634	2662	4144
mem_ctrl2_blackbox_mc_dp-problem.dimacs_28.filtred	1974822	6795573	2148	3052	4753	4991	8518
mem_ctrl-problem.dimacs_27.filtred	4426323	15983633	10368	28805	22291	23565	533343
misc_mem2wire-problem.dimacs_29.filtred	844900	2905976	1265	3951	1462	1920	3323
divider-problem.dimacs_11.filtred	215964	709377	1799	1991	2049	3689	2841
divider-problem.dimacs_2.filtred	228874	750705	1800	1807	2043	2106	2211
divider-problem.dimacs_5.filtred	228874	750705	1163	2265	2756	3866	5021
divider-problem.dimacs_8.filtred	246943	810105	1852	1967	1939	2814	2937
dividers10.dimacs.filtred	45552	162874	252	285	317	386	395
dividers_multivec1.dimacs.filtred	106128	397650	1402	1562	1589	1614	1772
i2c-problem.dimacs_25.filtred	521672	1581471	1018	2091	1726	1764	1743
i2c-problem.dimacs_26.filtred	397668	1205454	1123	1167	1185	1360	1472
SM_AS_TOP_buggy1.dimacs.filtred	145900	694438	905	1262	2954	1065	1129
SM_MAIN_MEM_buggy1.dimacs.filtred	870975	3812147	6922	8196	8988	7653	8497
SM_RX_TOP.dimacs.filtred	235456	934091	766	822	913	961	943
fpu_multivec1-problem.dimacs_14.filtred	257168	928310	1948	2541	2334	4516	3619
rsdecoder-debug.dimacs	847501	2223029	3015	4135	10637	10570	12282
sudoku-debug.dimacs	1304121	1554820	756	967	1010	2547	809
wb-debug.dimacs	399591	621323	166	498	300	226	378

Table 3  
The results of the four methods on some other Max-SAT 2016 benchmarks (b)

Benchmark	Variables	Clauses	ML-SyTS-HH	ML-TS-HH	ML-SCF-HH	ML-CF-HH	ML-R-HH
rsdecoder1_blackbox_CSEEBlock-problem.dimacs_32.filtred	277950	806460	188	2728	1242	2295	2358
rsdecoder1_blackbox_KESBlock-problem.dimacs_30.filtred	707330	1106376	1347	2323	2296	2389	2428
rsdecoder2.dimacs.filtred	415480	1632526	150	475	390	302	320
rsdecoder4.dimacs.filtred	237783	933978	51	65	147	152	172
rsdecoder5.dimacs.filtred	238290	936006	47	55	113	183	174
rsdecoder6.dimacs.filtred	238290	936006	55	219	187	199	111
rsdecoder_fsm2.dimacs.filtred	238290	936006	50	56	122	110	98
rsdecoder_multivec1.dimacs.filtred	394446	1626312	105	1438	877	932	826
rsdecoder_multivec1-problem.dimacs_33.filtred	627993	2125620	305	2757	361	485	664
rsdecoder-problem.dimacs_31.filtred	1197376	3863287	705	804	1196	1161	3148
rsdecoder-problem.dimacs_36.filtred	1220616	3938467	711	7737	1170	1219	3884
rsdecoder-problem.dimacs_37.filtred	1513544	4909231	1014	4060	1239	4142	1543
rsdecoder-problem.dimacs_38.filtred	1198012	3865513	764	5090	1162	1137	1063
rsdecoder-problem.dimacs_39.filtred	1199602	3868693	825	1068	1262	1286	1350
rsdecoder-problem.dimacs_40.filtred	1220616	3938467	827	1259	1141	1240	1132
rsdecoder-problem.dimacs_41.filtred	1186710	3829036	755	24310	1155	1190	1170
wb1.dimacs.filtred	49525	140091	342	379	382	363	370
wb2.dimacs.filtred	49490	140056	725	780	773	773	758
wb_4m8s1.dimacs.filtred	463080	1759150	1465	2040	1512	1591	1579
wb_4m8s3.dimacs.filtred	463080	1759150	1514	1990	1671	1658	1632
wb_4m8s4.dimacs.filtred	463080	1759150	1431	1591	1527	1592	1537
wb_4m8s-problem.dimacs_47.filtred	2691648	8517027	12720	18180	16466	17736	17723
wb_4m8s-problem.dimacs_48.filtred	2766036	8774655	15297	26489	26297	19836	19615
wb_4m8s-problem.dimacs_49.filtred	2785108	8812799	15676	29677	17444	20081	25707
wb-problem.dimacs_45.filtred	309491	806440	149	289	157	155	145
wb-problem.dimacs_46.filtred	300846	789283	638	769	691	677	679

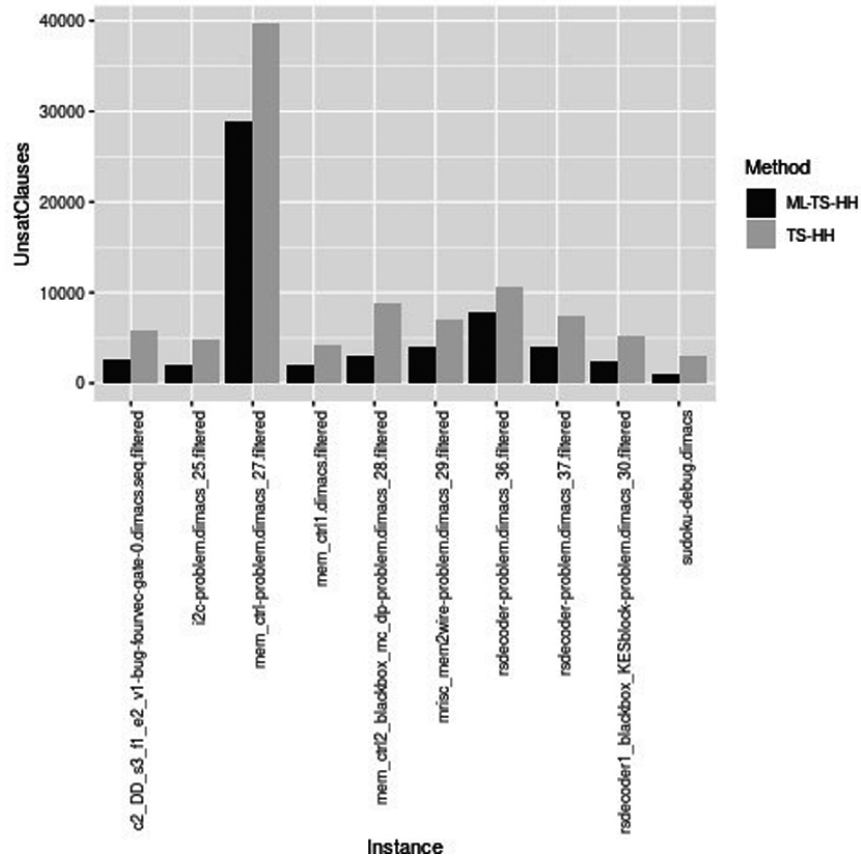


Fig. 8. ML-TS-HH vs TS-HH.

Table 4  
Statistical summary on Max-SAT 2016 'benchmarks (a-b)

Methods	Min	1st. Qu	Median	Mean	3rd Qu	Max
ML-SyTS-HH	99.4823%	99.8506%	99.9353%	99.8987%	99.9802%	99.9993%
ML-TS-HH	99.3651%	99.785%	99.8693%	99.8378%	99.9641%	99.9985%
ML-SCF-HH	99.448%	99.7924%	99.9128%	99.8543%	99.9699%	99.9989%
ML-CF-HH	99.448%	99.7739%	99.9076%	99.8369%	99.9690%	99.9985%
ML-R-HH	96.6631%	99.7574%	99.9002%	99.7218%	99.9687%	99.9985%

Table 5  
ANOVA test for the five hyper-heuristics

Hyper-heuristic methods	df	SS	MS	F-value	P-value
ML-R-HH Vs ML-CF-HH	1	9.61e+10	9.61e+10	27.07	3.52e-6
ML-R-HH Vs ML-SCF-HH	1	8.157e+10	8.157e+10	21.27	2.71e-5
ML-R-HH Vs ML-TS-HH	1	7.566e+10	7.566e+10	19.15	5.99e-5
ML-R-HH Vs ML-SyTS-HH	1	4.225e+10	4.225e+10	9.174	3.85e-3
ML-CF-HH Vs ML-SCF-HH	1	1.406e+9	1.406e+9	975.06	< 2.0e-16
ML-CF-HH Vs ML-TS-HH	1	1.074e+9	1.074e+9	134.80	6.21e-16
ML-CF-HH Vs ML-SyTS-HH	1	1.316e+9	1.316e+9	409.00	< 2.0e-16
ML-SCF-HH Vs ML-TS-HH	1	1.137e+9	1.137e+9	127.10	1.84e-15
ML-SCF-HH Vs ML-SyTS-HH	1	1.428e+9	1.428e+9	442.70	< 2.0e-16
ML-TS-HH Vs ML-SyTS-HH	1	2.028e+9	2.028e+9	138.30	3.85e-16

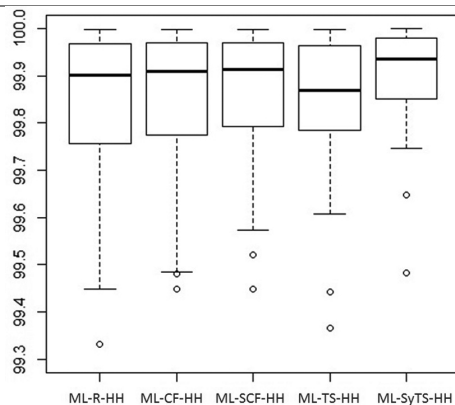


Fig. 9. Box-plot of the four methods on Max-SAT 2016 benchmarks.

#### 4.1.2. Comparison between the five hyper-heuristics

Since we are interested in very large industrial Max-SAT benchmarks, we applied the multilevel paradigm on all of them to boost the search. The obtained results on the industrial benchmarks are given in Tables 2 and 3, where the best results obtained for each instance are in bold font. Once again, the results are expressed by the number of the remaining unsatisfied clauses. To better expose the results, Table 4 gives some statistical measures calculated from the percentages of satisfaction of clauses (number of satisfied clauses/total number of clauses in the instance). For each method, we give the minimum (*Min*), the maximum (*Max*), the average (*Mean*), the midway (*Median*), the first quartile (*1st Qu*) and the third quartile (*3rd Qu*). Also, the box-plot diagram is given in Fig. 9 to better visualize the distribution of values of the rate of satisfied clauses given by the different considered methods.

As shown in the box-plot depicted in Fig. 9, we can clearly see that the ML-CF-HH is almost similar (slightly better) than the ML-R-HH. This indicates that the additive learning mechanism of the choice function stagnates especially when approaching the global optima, and thus the probability of improving a candidate solution becomes low. However we can see a serious improvement concerning the ML-SCF-HH. This can be explained by the fact that the randomness helps changing the values of the additive weights of the choice function, in a way that improves its performance. This conclusion is further confirmed when seeing the results of the ML-TS-HH.

The ML-TS-HH performed better than the ML-CF-HH. This shows that the probabilistic selection strategy outperforms the additive learning mechanism. However, the ML-SCF-HH is better than the ML-TS-HH.

We can say in this case, that the stochastic mechanism really improves the additive learning selection strategy, that takes into account the synergy between the low-level heuristics. On the other hand the Thompson sampling selection method is based on the individual performances of the low-level heuristics.

Finally, the experimental results indicate that the ML-SyTS-HH is the most robust among all five experimented hyper-heuristics. In our opinion, this is mainly due to the fact of having an adaptive probabilistic selection strategy and on the other hand to the fact of taking into account the synergy between the low-level heuristics. This confirms the fact that cooperation can allow the weaknesses of one low-level heuristic to be compensated by the strengths of another.

#### 4.2. ANOVA statistical analysis

To show statistically the significance of our results, we used the ANOVA (Analysis of variance) statistical tool. Table 5 presents the results of the ten ANOVA tests where the column *df* represents the degree of freedom, the column *SS* represents the Sum of squares, the column *MS* represents the mean square, the *F*-value represents the *F*-statistic, and the *P*-value in bold font expresses the interpretation and result analysis. The *P*-value is lower than 0.05 in all of the ten tests. This indicates that the values produced by the five methods are highly significantly different one from another. This means that our proposed hyper heuristic is statistically better than the other methods and confirms the conclusions drawn from Table 4.

#### 5. Conclusion

In this paper, we proposed a new hyper-heuristic that combines the multilevel paradigm and a modified Thompson Sampling selection strategy that takes into account the synergy between the different low-level heuristics. This method is called the Multilevel Synergy Thompson Sampling Hyper-Heuristic (ML-SyTS-HH) and it has been applied to solve the Max-SAT problem. The set of perturbative low-level heuristics used in this work, contains some of the best state-of-the-art Max-Sat heuristics such as: GSAT, Walksat, HSAT, SLS, VNS and Novelty methods.

The work presented in [2] has shown the limitations of the additive learning mechanism such as choice function, especially when the probability of success is less than  $\frac{1}{2}$ . The Thompson Sampling Hyper-Heuristic has been proposed as an alternative and has been tested



on personnel Scheduling, Permutation Flow-shop, and the Traveling Salesman problem. Thompson Sampling Hyper-Heuristic assesses the individual performances of the low-level heuristics and tries to learn it by using the beta probability distribution and its two shaping parameters Alpha and Beta. The Alpha parameter represents the number of successes and the Beta parameter represents the number of failures. The kept values of alpha and beta are within a certain sliding window that insures keeping only relevant information with respect to the current phase of the search. However, this selection strategy does not take into consideration the synergy between the low-level heuristics. Since different low-level heuristics have different strengths and weaknesses, we believe that cooperation can allow the weaknesses of one low-level heuristic to be compensated by the strengths of another.

On the other hand we integrated in the proposed method the multilevel paradigm that has shown its efficiency when dealing with large instances of a problem. It coarsens the initial instance into smaller ones that are generally easier to solve. This is done by putting variables into clusters, then using the solution of the current level as an initial solution to the next level. At any level, the current solution can be extended to the original problem instance.

For the experimental study, we implemented the proposed method and four other hyper-heuristics that are the Random Hyper-Heuristic, the Choice Function Hyper-Heuristic, the Stochastic Choice Function Hyper-Heuristic and the original Thompson Sampling Hyper-Heuristic. All of these methods have been combined with the Multilevel framework, and have been evaluated on very large instances representing the industrial benchmarks of the latest Max-sat competitions. The obtained results show that the new proposed method outperforms all other experimented hyper-heuristics.

In the future, we will try other coarsening methods that will merge the variables in a more intelligent way by taking into account the instance structure. We will also focus on finding other probabilistic learning mechanisms that will take into account other feedback information and that will capture better the low-level heuristics behaviors.

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