

# 1 Effects of Function-Based Models in Biologically 2 Inspired Design

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10

11 **Abstract** Function-Based (FB) representations of complex systems play an important role in Biologically Inspired  
12 Design (BID) by easing the knowledge interchange among biologists, engineers and designers. Many representations  
13 have been proposed by scholars over the years, but none of them has ever become a clear favorite. As a matter of fact,  
14 each model represents the system from a distinctive perspective. This paper explores the effects of these different  
15 representations as creative stimuli for students in order to obtain recommendations for fostering innovation in  
16 education and training practices. After introducing a selection of FB models for BID, the paper describes an  
17 experiment designed to allow a quantitative comparison of the outcomes of a BID design challenge among  
18 undergraduate students attending a course on methods and tools for conceptual design. An analysis of the results of  
19 the experiment is followed by the authors' reflection on directions for educational development.

20 **Keywords:** Biologically Inspired Design; Knowledge representation; Ideation; Design creativity

## 21 1. Introduction

22 Biologically Inspired Design (BID) belongs to the family of what are called Design by Analogy methods  
23 (Helms et al., 2009; Fu et al., 2014; Kennedy, 2017). In particular, BID approaches rely on knowledge  
24 gained from Mother Nature to stimulate and supplement engineering design (Vandevenne et al., 2016).  
25 Notwithstanding the practical results and the in-depth scientific investigations in this field, there are still  
26 frequent debates on the reliability, efficacy and efficiency of BID in generating valid and creative alternative  
27 engineering solutions.

28 Among the several approaches that have been proposed, for example, Wanieck et al. (2016) identified  
29 43 different “tools which facilitate the process of biomimetics.” Our investigation focused on the methods  
30 relying on approaches based on Function-Based (FB) models of biological systems, since they constitute  
31 the great majority of the 43 “tools” identified by Wanieck et al. (2016). Furthermore, it is well known that  
32 the efficacy of systematic conceptual design methods is strongly affected by the design representation  
33 adopted, as confirmed by Cascini et al. (2018) among others. Therefore, in order to shed some light on these

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34 aspects, the overall purpose of this project was to study how BID design representations affect the actual  
35 ability of young engineers to generate design solutions inspired by Mother Nature.

36 To explore the effects of these models on undergraduate students<sup>1</sup> who attended courses on Methods  
37 and Tools for Innovation, we decided to perform a three-round experimental study. The first round was a  
38 free (i.e., without any supporting material) brainstorming session aimed at comparing the spontaneous  
39 ideation ability of the involved subjects. In the second and third rounds, the subjects were divided into six  
40 groups subjected to different treatments: BID models were provided to five groups, while one group (the  
41 control group) did not receive any additional information. The design task in the third round presented  
42 increased complexity, as it involved two functional requirements that required the students to connect  
43 multiple biological effects.

44 In turn, the lesson learned from the outcome of this experiment could be used to tailor the proper delivery  
45 of BID content in engineering education and industrial training, which is considered effective in enhancing  
46 multi-disciplinary collaboration and complex problem solving (Nagel et al., 2015) and, as such, in line with  
47 the research and education agenda embracing transdisciplinary thinking (Madni, 2007).

48 This paper is focused only on the first stage of the study, i.e., the comparison of different BID design  
49 representations in terms of efficacy in stimulating the ideation of design solutions.

50 The paper is therefore organized as follows: Section 2 briefly reviews the selected FB models. Section  
51 3 explains the organization of the design experiment to compare the performance of the selected FB models  
52 in supporting BID ideation tasks. Section 4 introduces the metric used to analyze the experiment's results.  
53 Section 5 presents, analyzes and discusses the test outcomes. Finally, Section 6 concludes the whole paper  
54 by highlighting the main findings.

## 55 **2. Function-based biological knowledge representations**

56 The following tools have been selected for the experiment: Design by Analogy to Nature Engine  
57 (DANE); the State change, Action, Part, Phenomenon, Input, oRgan, Effect (SAPPhIRE) model; AskNature;  
58 Multi Biological Effects (MBE) and a model based on the UNified Ontology for Biologically Inspired  
59 Design (UNO-BID). AskNature was selected as it is the largest free online database of biologically inspired  
60 solutions and ideas; SAPPhIRE and DANE were chosen since they are the two FB models most widely  
61 discussed in the literature (Baldussu et al., 2012). UNOBID was the first model to integrate SAPPhIRE and  
62 DANE (Rosa et al., 2015), and MBE was the first attempt to represent Multiple Effects in a FB model (Wei  
63 et al., 2015), and thus are nominally more suitable for design tasks featuring several functional requirements.  
64 This section summarizes the selected FB-BID models and refers to their main elements.

### 65 **2.1. AskNature**

66 AskNature is a freely accessible on-line database created and maintained by the Biomimicry Institute  
67 (Shu et al., 2014). It contains more than two thousand biological ideation stimuli and is still under  
68 development (Deldin & Schuknecht, 2014); as such, it has become one of the most popular knowledge  
69 sources for BID. Its capability of enhancing novelty in engineering design has been demonstrated in several  
70 case studies (Vandevenne et al., 2016).

71 The current AskNature database consists of four pieces of information: biological strategies, or  
72 biological prototypes used for inspiring innovations; inspired ideas, or exemplary practical implementations  
73 of biological strategies; collections, or sets of biological strategies to meet a certain functional requirement;  
74 and resources, or sets of relevant documents such as journal articles with detailed information on biological  
75 strategies.

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<sup>1</sup> The subjects of this experiment were undergraduate students in mechanical engineering in the same class in their fourth year at Hebei University of Technology (China). These students had all passed the “Innovative Design” and “Modern Design Methodology” courses. There were no major differences between the groups in terms of age, gender, academic backgrounds or previous knowledge of BID. Further details are reported in section 3.

76 Biological strategies are the core of the ideation stimuli and are sorted into different categories according  
77 to the biomimicry taxonomy, a three-layer taxonomy indicating functional characteristics (Vandevenne et  
78 al., 2016). A biological strategy involves several pieces of information:

- 79 ○ The biomimicry taxonomy indicates the functional characteristics of biological strategies.
- 80 ○ The biological strategy consists of pictures, short paragraphs and videos explaining how the  
81 biological prototype works.
- 82 ○ The reference mainly contains additional information in the form of links to relevant articles or  
83 books describing the biological phenomenon in depth.

## 84 **2.2. DANE**

85 DANE was conceived as an interactive knowledge-based method by adapting the Structure-Behavior-  
86 Function (SBF) model to represent the functional characteristics of a biological system (Vattam et al., 2010).  
87 Structure, behavior and function, therefore, constitute the main body of the DANE model. The structure  
88 mainly represents substances and components of the system and the behavior describes the change of states  
89 in the biological system, while the function explains the purpose of the behavior.

90 Valuable features of DANE are (Rosa et al., 2015; Baldussu et al., 2012):

- 91 ○ The representation of the changes occurring on inputs produce the outputs through a certain process.
- 92 ○ A structure representation allows an explicit description of the structural relationships among these  
93 parts.

94 These features make it highly effective in revealing the internal features of a system and its “internal  
95 functioning” (Rosa et al., 2015).

## 96 **2.3. SAPPhIRE**

97 SAPPhIRE was first introduced as a behavioral language in IDE-INSPIRE software (Chakrabarti et al.,  
98 2005; Sarkar et al., 2008). Later, it evolved into an independent model able to represent causality in both  
99 natural and artificial systems (Srinivasan et al., 2013). After several years of developments (Srinivasan and  
100 Chakrabarti, 2007; Srinivasan & Chakrabarti, 2010; Srinivasan et al., 2013), the SAPPhIRE model has  
101 evolved into a sophisticated technique for representing biological knowledge.

102 The main elements of SAPPhIRE are: State, which represents the attributes or properties in a given  
103 system that are involved in an interaction (Srinivasan & Chakrabarti, 2007; Srinivasan et al., 2013); Action,  
104 which is an abstract description of system changes of state (Chakrabarti et al., 2005; Srinivasan et al., 2013);  
105 Parts, which are the physical components constituting the system (Chakrabarti et al., 2005); Physical  
106 phenomenon, which is a set of potential changes associated with a given physical phenomenon in an Organ  
107 (Srinivasan & Chakrabarti, 2007); Effects, which are the laws enabling functions and/or interactions  
108 (Srinivasan & Chakrabarti, 2007; Chakrabarti, 2009) and which are always described in forms of physical  
109 principles and/or mathematical equations; Input, which expresses the flows of energy, information or  
110 material that facilitate the change of state (Srinivasan & Chakrabarti, 2007); and Organ, which works as a  
111 necessary carrier for the given physical effects and provides the material basis for biological function  
112 (Chakrabarti et al., 2005; Srinivasan & Chakrabarti, 2007).

113 According to Baldussu et al. (2012), SAPPhIRE seems to be more suitable for describing complex  
114 systems as a whole and their interaction with the environment without describing in detail the internal  
115 “behavior” of the system, while highlighting the causality relationships among the system’s main elements.

## 116 **2.4. UNO-BID**

117 UNO-BID ontology has been realized by integrating the DANE and SAPPhIRE models, relying on the  
118 complementarity of the information content of these two models (Rosa et al., 2015) with the final purpose  
119 of realizing a “universal” model for the BID practitioners. Although the UNO-BID modeling technique is  
120 still under development, preliminary investigations (Fayemi et al., 2017) have shown that:

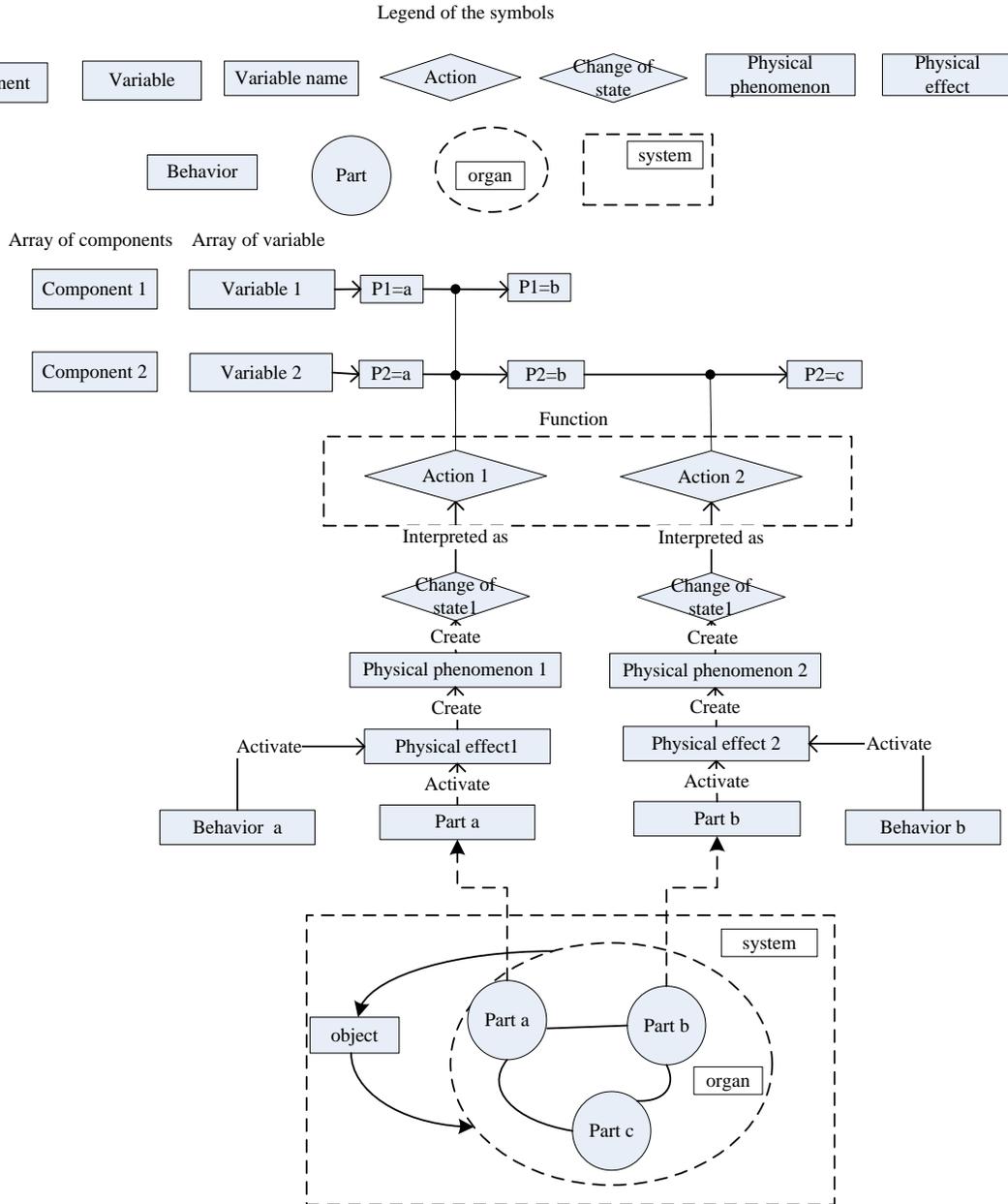
- 121 ○ UNO-BID seems to achieve the advantages of both the SAPPPhIRE representation and DANE, with
- 122 the downside of being difficult to handle and requiring time for implementation.
- 123 ○ UNO-BID seems to be more useful during the steps of the design process in which technical and
- 124 natural systems are abstracted.

125 The models depicted in Figure 1 and in Appendix B represent, respectively, the archetype and an

126 example of the model based on UNO-BID ontology that was adopted for this test. This model includes all

127 the information that the underlying ontology can account for.

128



129

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**Figure 1: UNO-BID model diagram. Appendix B shows an example. A complete description of elements can be found in Rosa et al. (2015).**

132 Briefly, the elements representing system structure are based on DANE, in which organs are represented

133 as combinations of parts, while the causal relations among the system components are derived from

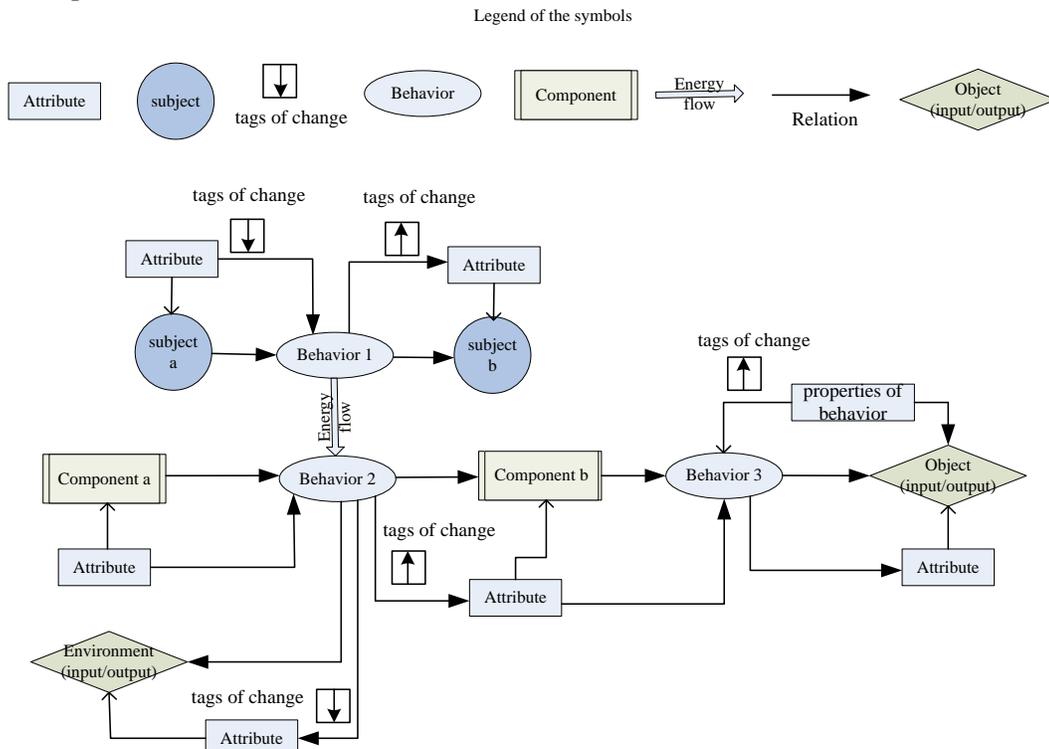
134 SAPPPhIRE. Changes of state are described in DANE function definition and directly linked to the  
 135 corresponding causal representation based on SAPPPhIRE. The complete list of the elements with their  
 136 specific definitions can be found in Rosa et al. (2015).

137 **2.5. Multi-Biological Effects**

138 Multi-Biological Effects (MBE) is an extended version of the notion of effect in the Theory of Inventive  
 139 Problem Solving (TRIZ) (Altshuller, 1999; Cascini, 2012).

140 It attempts to apply biological knowledge to creatively solve engineering design problems (Wei et al.,  
 141 2015). MBE is a combination of the Functional Model of the Systematic Design approach (Pahl et al., 2007)  
 142 and the Substance-Field Analysis (SFA) of TRIZ (Altshuller, 1999).

143 The elements in MBE include subjects, attributes, behavior, components, functional flows, inputs and  
 144 outputs, properties of behaviors, tags of change, interactions and environment. Their specific definitions  
 145 can be found in Wei et al. (2015). Figure 2 illustrates the archetype of the MBE model, while Appendix C  
 146 shows an example.



147 **Figure 2: The archetype of the MBE model**

148 **2.6. Experimental tests on FB-BID models**

151 All these models have been validated by means of experimental tests, i.e., by analyzing the outcomes of  
 152 experienced and/or novice designers when supported by one or more of these models. The analyses of these  
 153 experimental results have been conducted with several approaches, depending on the aims of the tests, and  
 154 all of them demonstrate that the proposed model can improve one or more aspects of the design process.

155 For example, Srinivasan et al. (2010) and Keshwani et al. (2017) investigated the effect of adopting  
 156 SAPPPhIRE on the novelty of design process outcomes, while Siddharth et al. (2018) experimentally  
 157 examined novelty and requirement-satisfaction (two major indicators of creativity) of the resulting design  
 158 solutions. Helms et al. (2010) used an experimental approach to determine “what external representations,  
 159 such as text, diagrams, or structured knowledge representations, best help biologists and engineers develop

160 an adequate understanding of biological systems to support biologically inspired engineering design.” It is  
 161 also worth to notice that the DANE research group also used tests with students to define the DANE model  
 162 itself and then continued to use experimental tests to advance the development (Goel et al., 2010; Hmelo-  
 163 Silver et al., 2010).

164 On the other hand, very few scholars have compared different models. One of the more recent and broad  
 165 experimental analyses of this type was described and discussed by Fayemi et al. (2017). They identified 22  
 166 tools and studied their use and effects in a complete design process, with the aim of helping designers select  
 167 the most appropriate tool for each phase of the design process.

168 The original contribution of this paper is to extend the experimental approach to the comparison of  
 169 several FB modeling techniques: first, it proposes a benchmark of 4 FB modeling techniques against the  
 170 most common open-access online BID database, namely AskNature; second, it goes beyond other reviews  
 171 available in the literature, such as the above-mentioned Fayemi et al. (2017), by introducing quantitative  
 172 metrics for the comparison of the analyzed BID techniques.  
 173

**Table 1: The Outline of the Experiment**

Task	Time	Group	1	2	3	4	5	6
-	START	ALL	Instruction of experiment					
1	30 min.	Task	Design an individual alarm					
		Model	None					
2	2 min.	ALL	Provide and pass out the BID material					
	28 min.	Model	None	AskNature	DANE	SAPPhIRE	UNO-BID	MBE
		Task	Design a device capable of adhering to a smooth surface					
3	2 min.	ALL	Provide and pass out the BID material					
	28 min.	Model	None	AskNature	DANE	SAPPhIRE	UNO-BID	MBE
		Task	Design a device to grab objects for wheelchair users					
-	END	ALL	Collect the results					

### 174 3. Organization of the experiment

175 According to the goal of this study, a three-round design experiment was conceived to compare the  
 176 performance of FB models in supporting students when they are asked to conceive new technical solutions  
 177 based on biological knowledge. Table 1 summarizes the overall structure of the experiment.

178 The 30 participants were randomly divided into 6 groups with 5 persons in each. Twenty-five students  
 179 were male, while the other five students were female; six were 22 years old, 20 students were 23 years old  
 180 and the other four students were 24 years old. Group 1 was the control group; no BID model was therefore  
 181 provided to this group during the whole experiment. The other five groups were provided with models  
 182 representing some biological systems relevant to addressing the design problem. Each subject worked  
 183 autonomously.

184 The first design task was aimed at confirming that the subjects had equivalent aptitude and skills in  
 185 addressing design ideation tasks.

186 Rounds 2 and 3 were designed to compare the five approaches selected. The difference between Task 2  
 187 and Task 3 is the complexity of the proposed challenge: students were requested to fulfill only one  
 188 functional requirement in Round 2, while Round 3’s design task consisted of 2 functional requirements.  
 189 The 2 functional requirement design task was included as a first attempt to evaluate the impact of the degree  
 190 of complexity of the design task. The experimental tests of BID models available in the literature mentioned  
 191 in the previous section were carried out with subjects addressing simple design tasks featuring a single  
 192 functional requirement. This paper goes beyond the common practice by comparing the outcome of BID  
 193 models with different degrees of design task complexity.

### 194 **3.1. Description of the Experiment's Rounds**

195 In the first round, the subjects were asked to design a personal alarm, a problem derived from a previous  
196 experimental study (Durand et al., 2015). In the second round, the subjects were asked to develop concepts  
197 for a device capable of adhering to smooth surfaces such as glass. The third design required the development  
198 of a device allowing wheelchair users to pick up objects from high shelves. Appendix D contains the text  
199 of these design problems.

200 In all three rounds, the subjects were provided with a brief description of the functional requirements  
201 and the main customers' needs in the design problem, with a representation of some relevant biological  
202 systems.

203 Students were asked to represent their solutions with sketches and to add a brief explanation of the  
204 solution. Appendix E and Appendix F show a couple of the solutions conceived by the students. In order to  
205 encourage the students to work hard, the participants were informed that the university would fully fund  
206 the patent application of any original and valuable ideas produced in the test.

### 207 **3.2. BID Stimuli**

208 As shown in Table 1, each subject (except those in Group 1) was provided with the representation of  
209 several biological strategies created using the approach associated with the student's group. Specifically,  
210 participants belonging to group 2 used AskNature pages, while group 3, 4, 5 and 6 students were supplied  
211 with DANE, SAPPhIRE, UNO-BID, and MBE models, respectively.

212 These models were printed in color and distributed to participants according to the timing in Table 1.

### 213 **3.3. Timeline**

214 First, the instructors in charge of handling the experiment explained the organization, rules<sup>2</sup> and  
215 expected outcomes of the experiment. The participants then had 30 minutes to complete each design round.  
216 During the test, students could freely ask for clarifications about the provided material. All the design ideas  
217 generated by the participants were collected at the end of each round of the experiment.

## 218 **4. Test Evaluation Metrics**

219 The design creativity metric proposed by Shah et al. (2000) was adopted to assess the results of the  
220 design experiment. This method is widely used in estimating the effectiveness of design methods (Cascini  
221 et al., 2018; Nelson et al., 2009; Wilson et al., 2010; Kim et al., 2014; Vandevenne et al., 2016). This  
222 approach relies on four dimensions to assess the ideas generated: quantity, quality, novelty and variety.

### 223 **4.1. Quantity**

224 Quantity evaluation was based directly on the number of ideas generated during a design round  
225 (Vandevenne et al., 2016; Shah et al., 2000). It is an important indicator of the workability of idea generation  
226 methods (Shah et al., 2003). To determine the value of the quantity indicator, the evaluators need to identify  
227 the unique ideas and discard the duplicated ones. The identification of duplicate solutions (i.e., based on  
228 the same idea) and of non-acceptable solutions (i.e., that do not meet the design requirement and/or were  
229 not completed) was done on the basis of the criteria presented by Linsey et al. (2005) and by Vandevenne  
230 et al. (2016).

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<sup>2</sup> The subjects had to perform the ideation activity by themselves: mutual communication, smartphones, tablets and computers were not permitted in order to avoid any external information sources aside from the intended biological stimuli.

## 231 4.2. Quality

232 Quality is related to the feasibility of a proposed solution as well as to its relevance to the design  
 233 requirements. The evaluators adopted the criteria presented by Dean et al. (2006), by Verhaegen and Duflou  
 234 (2013) and by Linsey (2007).

235 **Table 2: Evaluation Scale for Quality**

Score	Guidance
9	Perfect: Solution has high relevance and workability and clarifies the descriptions found in both pictures and text.
7	Good: Solution has high relevance and good workability illustrated by the picture and text description.
5	Medium: Solution is moderately relevant to the design task and has adequate feasibility with a simple description.
3	Relatively poor: Solution is relevant to design requirements but has limited practicality in terms of its pictures and text descriptions.
1	Very poor: Relevant concept has a very poor description, or the ideas are obviously irrelevant.

236  
 237 In brief, the quality of design concepts was evaluated using a five-level scale. If the idea was judged  
 238 technically unfeasible, its quality scored zero; on the opposite end, an idea that appeared to be very easily  
 239 feasible was given a score of nine. Table 2 describes this scale in detail. Deeper and more detailed analyses  
 240 were not judged appropriate since the subjects of this experiment were undergraduate students with very  
 241 limited practical experience.

242 If the design problem had more than one functional requirement, its global quality score was evaluated  
 243 as a weighted average of the quality score of each functional requirement, according to Equation 1:  
 244

$$V_q = \sum_{i=1}^n \omega_i \cdot m_i; \quad (1)$$

$$\sum_{i=1}^n \omega_i = 1$$

245  
 246 where  $V_q$  is the global quality score;  $m_i$  is the quality score for each functional requirement, and  $\omega_i$  is the  
 247 weight of the  $i^{th}$  functional requirement defined based on the importance of the functional requirement itself.  
 248 The sum of all the weights  $\omega_i$  must be equal to 1.

## 249 4.3. Novelty

250 Novelty reflects how unusual and unique a design concept is with respect to all the other ideas generated  
 251 during the design challenge (Glier et al., 2014). This parameter can be also adopted as an indicator to  
 252 estimate the strength of what is called confirmation bias (CB) (Hallihan & Shu, 2013). A higher novelty  
 253 score means a lower CB.

254 It is worth noting that the decision to evaluate novelty only with respect to the solutions generated by  
 255 the students mainly rested on their limited experience in the specific field and the fact that they could not  
 256 perform an internet search for existing solutions. In other words, it was assumed that those who generated  
 257 more original solutions were triggered by the BID stimuli rather than by previous professional experiences  
 258 or external sources of information.

259 By adopting the approach based on the Genealogy Tree (Shah et al., 2003), the novelty score of each  
 260 concept can be calculated using Equation 2:

$$M_1 = \sum_{j=1}^m f_j \sum_{k=1}^n S_{1jk} \cdot P_k \quad (2)$$

261 where  $M_1$  is the overall novelty score of the concept involving  $m$  functional requirements,  $n$  is the total  
 262 number of abstract levels in the Genealogy Tree,  $f_i$  and  $P_k$  are the weights of the functional requirements  
 263 and abstract levels, respectively, and  $S_{1jk}$  is the novelty score for ideas on the different abstract level obtained  
 264 by using Equation 3:

$$S_{1jk} = 10 \times \frac{T_{jk} - C_{jk}}{T_{jk}} \quad (3)$$

265 where  $T_{jk}$  expresses the overall number of ideas that meet the  $j^{\text{th}}$  functional requirement on the  $k^{\text{th}}$  level  
 266 of abstraction, while  $C_{jk}$  is the number of solutions originating from common knowledge in the field,  
 267 according to the procedure described by Shah et al. (2003).  
 268

#### 269 4.4. Variety

270 Variety measures the diversity among groups of solutions based on their distances on the Genealogy  
 271 Tree (Shah et al., 2003).

272 The variety score for the concepts generated by a participant was determined using Equation 4 (Nelson  
 273 et al., 2009), which is an improvement on the original method described by Shah et al. (2003):  
 274

$$V = \sum_{j=1}^m f_j \left( S_1 (b_1 - 1) + \sum_{k=2}^4 S_k \sum_{l=1}^{b_{k-1}} d_l \right) \quad (4)$$

275 where  $V$  expresses the final variety value,  $f_i$  is the weight value of the  $j^{\text{th}}$  functional requirement,  $m$  is  
 276 the total number of functions,  $S_k$  is the weight at the  $k^{\text{th}}$  level;  $b_i$  is the number of branches on the  $i^{\text{th}}$  level  
 277 and  $d_l$  is the number of differentiations at node  $l^{\text{th}}$ . A detailed description is available in Nelson et al. (2009)  
 278 and Shah et al. (2003).

## 279 5. Results of the experiment

280 Before presenting and discussing the results of the experiment, it is worth noting that two different  
 281 evaluators were recruited to assess the quantity and quality metrics in order to limit the effects of prejudice  
 282 in idea assessment (Montag-Smit et al., 2017). The Pearson Correlation Coefficient analysis was used to  
 283 evaluate whether there was a significant discrepancy between the evaluators' assessments. This analysis  
 284 demonstrated that evaluators' marks were in close agreement: the Pearson Correlation Coefficient ranged  
 285 from 0.829 (quality) to 1.0 (quantity) (Robson et al., 2002). Therefore, it seems acceptable to use the  
 286 average of the two evaluators' evaluations for the statistical analyses.

### 287 5.1. Analysis of the first design task

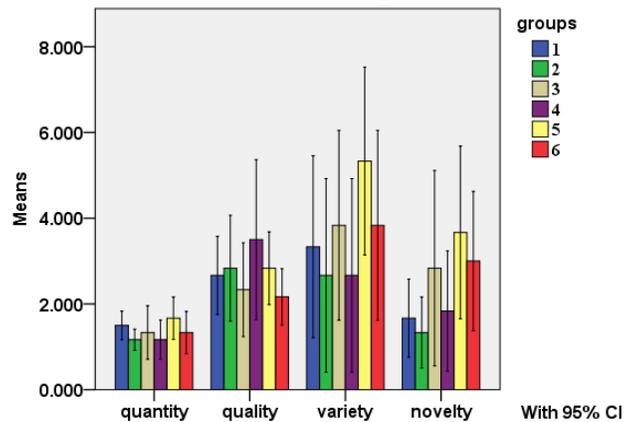
288 This subsection presents the participants' performance during the first design round. Figure 3 shows the  
 289 mean scores of the four dimensions with the 95% confidence interval (95% CL).

290 First, a test of homogeneity of variance (Levene's test) was performed. The result of this statistical test  
 291 was 0.301, which, being larger than the threshold (0.05), indicates that the differences obtained in sample  
 292 variances are compatible with random sampling from a population with equal variances.

293 The ANOVA test was then used to analyze the outcomes of this first design round. The results of this  
 294 test (Figure 3 and Tables 3 and 4) show that there is not a significant difference between the groups, since

295 the confidence bands of all groups overlap for all the four dimensions, and the statistical significance is  
 296 always higher than the threshold value. Novelty, however, reveals a certain difference between groups 1  
 297 and groups 5 and 6. Based on Levene's test, we assumed that this apparent discrepancy does not affect the  
 298 results obtained during the other two rounds of the test and their analyses (Seltman, 2012; Schmidt, 1996).

299 Furthermore, according to the ANOVA test, the strength of correlation ( $r$ ) among the results of three  
 300 design rounds ranged from -0.293 to 0.447, which indicates that there is no evident correlation among their  
 301 outcomes. In other words, better performance in the baseline test does not necessarily imply good  
 302 performance in the second and/or in the third design rounds. Therefore, it can be concluded that the  
 303 outcomes of each round should and could be analyzed independently.



304 **Figure 3: Mean scores in the baseline task.**

305 **1: Control group, 2: AskNature, 3: DANE, 4: SAPPHIRE, 5: UNO-BID, 6: MBE**

306

## 308 5.2. Influence of BID stimulus

309 This section aims to investigate the influence of BID stimuli on students' ideation performance.

### 310 5.2.1. General comparison

311 In this subsection, we first evaluate the differences between the control group and all the other groups  
 312 together, in order to evaluate if and how a generic BID stimulus can enhance the ideation performance of  
 313 the subjects.

314 Figure 4 illustrates this comparison with the 95% CLs. In the second round, there was a quite evident  
 315 improvement in variety and novelty. The results of the ANOVA test, however, indicate that only the novelty  
 316 score improvement is statistically significant. On the other hand, higher average values for quality and  
 317 novelty can be observed in the third design round, but the ANOVA test indicates that only the novelty  
 318 improvement has statistical significance ( $p < 0.05$ ).

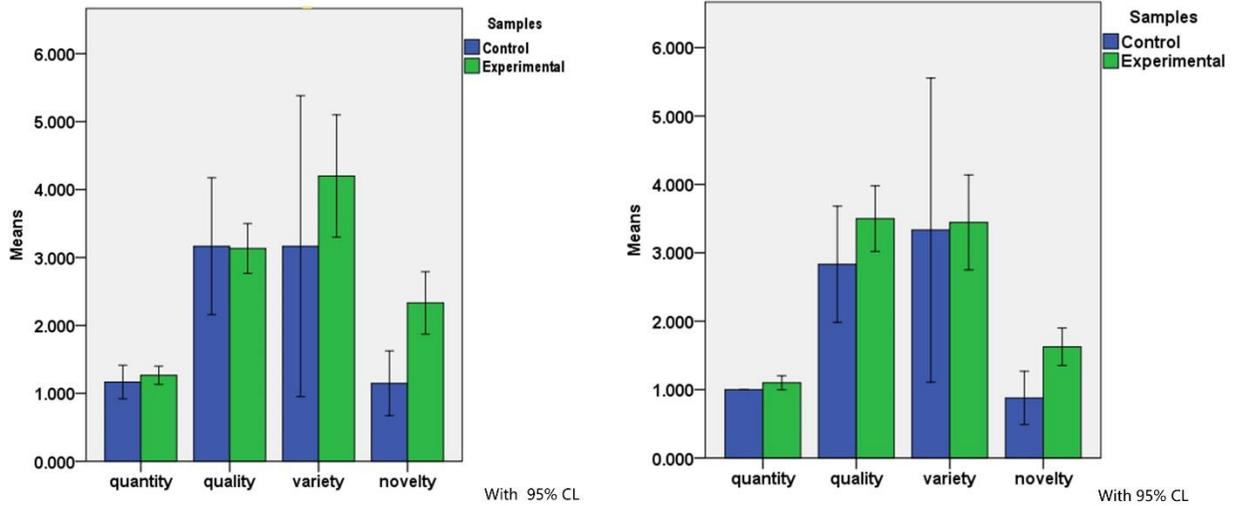
319 As found in previous studies (Vandevenne et al., 2016; Chakrabarti, 2009), it can therefore be inferred  
 320 that introducing biological knowledge to the engineering design process can increase the novelty of the  
 321 designs.

322 Before discussing these results in more detail, it is worth remembering that the outcomes of each round  
 323 should and could be analyzed independently.

### 324 5.2.2. Group by group comparison

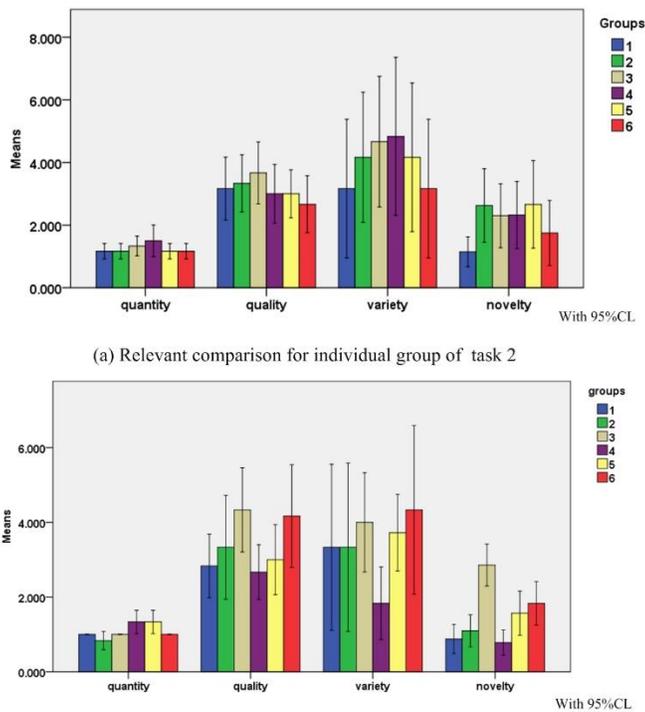
325 In this section, we analyze the experimental results in more detail by comparing the results of each group  
 326 (from 2 to 6, i.e., the groups with a specific BID stimulus) to the control group (1).

327



(a) Mean score of metrics for condition of stimulus in task 2 (b) Mean score of metrics for condition of stimulus in task 3  
**Figure 4: Comparison of the performance of the experimental group against the control**

Figure 5 summarizes the outcomes of this comparison for the second (single function design problem) and third design rounds (two-function design problem).



(a) Relevant comparison for individual group of task 2

(b) Relevant comparison for individual group of task 3

**Figure 5: Comparison of performance from the individual group**  
**1: Control group, 2: AskNature, 3: DANE, 4: SAPPhIRE, 5: UNO-BID, 6: MBE**

Table 3 summarizes the results of the ANOVA test results by comparing the control group with all the other participants, while Table 4 summarizes these results: the up arrow identifies the situations where the specific FB model improved designer performance in some way.

Considering only the differences that were statistically significant according to ANOVA, the more evident result of the analysis of the second round is the higher novelty score that can be observed in all groups. Specifically, while the novelty increments in group 2 (users of AskNature) and group 5 (users of

343 UNO-BID) satisfy the statistical significance requirement, DANE and SAPPhIRE users barely satisfy this  
 344 requirement. MBE influence on novelty in this second round does not seem to be very evident.  
 345  
 346

**Table 3: Mean scores and ANOVA test results for all the metrics of individual groups**  
**1: Control group, 2: AskNature, 3: DANE, 4: SAPPhIRE, 5: UNO-BID and 6: MBE**  
**Single underlined p-values are less than or equal to 0.105; double underlined p-values are less than 0.05.**

Tasks	Group	Quantity		Quality		Variety		Novelty	
		Mean	p-value	Mean	p-value	Mean	p-value	Mean	p-value
2	1 (Control)	1.167	-	3.167	-	3.167	-	1.148	-
	2 (AskNature)	1.167	1.000	3.333	0.780	4.167	0.492	2.626	<u>0.035</u>
	3 (DANE)	1.333	0.415	3.667	0.403	4.667	0.304	2.300	<u>0.098</u>
	4 (SAPPhIRE)	1.500	<u>0.105</u>	3.000	0.780	4.833	0.254	2.322	<u>0.092</u>
	5 (UNO-BID)	1.167	1.000	3.000	0.780	4.167	0.492	2.662	<u>0.03</u>
	6 (MBE)	1.167	1.000	2.667	0.403	3.167	1.000	1.748	0.385
3	1 (Control)	1.000	-	2.833	-	3.333	-	0.879	-
	2 (AskNature)	0.833	0.214	3.333	0.481	3.333	1.000	1.097	0.495
	3 (DANE)	1.000	1.000	4.333	<u>0.037</u>	4.000	0.561	2.857	<u>0.000</u>
	4 (SAPPhIRE)	1.333	<u>0.015</u>	2.667	0.814	1.833	0.193	0.780	0.756
	5 (UNO-BID)	1.333	<u>0.015</u>	3.000	0.814	3.733	0.734	1.567	<u>0.034</u>
	6 (MBE)	1.000	1.000	4.167	<u>0.063</u>	4.333	0.383	1.832	<u>0.004</u>

347 Coming to the third round, and still focusing on the differences that are statistically significant according  
 348 to ANOVA, it can be observed that:  
 349 

- UNO-BID and SAPPhIRE positively influenced quantity.
- The quality of DANE users' ideas obtained a better ranking.
- The novelty of the concepts generated by DANE, UNO-BID and MBE users was significantly

  
 352 improved.

### 353 5.3. Comments from Test Participants

354 In order to collect more direct feedback from the users, a questionnaire (in Appendix A) was given to  
 355 all participants.

356 The questionnaire contained four questions:

- 357 (1) Test participants were first asked to evaluate the ease of using the model on a scale from -2 (very  
 358 easy) to 2 (very difficult) and to explain this evaluation. Question 1 answers define the Difficulty  
 359 of Handling (DOH) index.
- 360 (2) Secondly, they were asked to rate the usefulness of the model for the design task and to rate it on a  
 361 scale ranging from -2 (useless) to 2 (very useful), and to explain this evaluation. The results of the  
 362 second question were used to determine the Score of Usefulness (SOU).
- 363 (3) The third question asked the subjects to point out the most useful or useless parts in the BID model  
 364 that were assigned to them for the ideation tasks.

365 (4) Finally, question 4 was included to collect suggestions on how to improve the BID models assigned.  
366

367 The answers to this questionnaire were analyzed from two different perspectives. First, a statistical  
368 analysis was performed to investigate whether there was an evident correlation between DOH and/or SOU  
369 and any dimension of the evaluation metric. Second, the answers to the open questions were qualitatively  
370 evaluated.

371 The statistical analysis did not reveal any evident correlation between DOH and/or SOU and any  
372 dimension of the evaluation metric. In other words, the measured quantity, quality, variety and novelty  
373 seem to be statistically unrelated to the users' opinions on the FB model usability and/or utility. On the  
374 other hand, the users' comments make it clear that one of the major difficulties for users is understanding  
375 how the biological system works.

376 Among the subjects in groups 3, 4, 5 and 6, many asked for a picture of the biological system and/or for  
377 a "qualitative" description of it, while a couple of subjects working with the AskNature model asked for a  
378 more detailed description of the features of the biological entity, while appreciating the usefulness of the  
379 "descriptive modeling." Furthermore, several subjects provided with the DANE, UNO-BID and MBE  
380 models complained that these models contained too many details and/or relationships among elements,  
381 making the representation difficult to understand.

## 382 **6. Discussion**

383 The statistical analyses of the experiment have shown that the influence of FB models on design  
384 outcomes is quite complex. The same piece of biological knowledge seems to have a different effect on  
385 students' ideation process depending on how it is coded and transferred to the students.

### 386 **6.1. FB models and novelty**

387 According to previous studies (see, for example, Vandevienne et al., 2016, for AskNature), the results of  
388 these tests have demonstrated that a BID stimulus mostly influences the novelty of the conceived solutions,  
389 but the results of this study shed more light on this finding.

390 This influence, in fact, seems also to depend on the number of functional requirements in the design  
391 problem. Specifically, it can be observed that for the students who participated in the test:

- 392 ○ AskNature and SAPPPhIRE seem to be more effective for novelty in single-function design  
393 problems (the type of problem subjects faced in Vandevienne et al., 2016).
- 394 ○ MBE significantly enhanced novelty only for the two-function problem; this can be ascribed to the  
395 greater complexity of the way information is represented in this model, which makes it less  
396 effective on simpler tasks but provides advantages when dealing with more complex ones.
- 397 ○ UNO-BID and DANE increased novelty in both situations in a statistically significant manner.

398 An aspect that deserves some reflection is that SAPPPhIRE and AskNature users do not achieve this  
399 result when they are asked to tackle a two-function design problem. Although the two approaches are  
400 profoundly different in nature, the former being rigorously structured to represent causal relationships, the  
401 second being purely narrative, they turn out to behave similarly when the complexity of the design task  
402 increases. This seems to be related to the difficulty users have in properly handling all the information  
403 provided by the two techniques. On the other hand, UNO-BID and DANE provide a representation where  
404 some essential information becomes prominent, thus becoming more usable when complexity increases.

### 405 **6.2. Influence of FB models on quality and quantity**

406 Table 3 shows that some correlations have a p-value slightly higher than the usual statistical significance  
407 threshold (0.05), while all the others exhibit a much higher p-value. It was, therefore, decided to ascribe a  
408 weak significance to the correlations that have p-values up to 0.105.

409 Regarding the other dimensions of the evaluation metric, it can be noted that none of the BID models  
410 seems to have had a strictly statistically significant influence on quantity, quality and variety during the

second (single function) design round. On the contrary, during the third (two-function) design round, DANE and MBE seemed to improve quality, while SAPPhIRE and UNO-BID seemed to increase the quantity of the solutions generated by the students. No effect was noticeable on variety in this round.

Apropos of quantity, it seems that if the problem is simple (i.e., only containing a single functional requirement), the students did not benefit from the structured information, while the FB models that more clearly describe the causal relationships among input and effect (SAPPhIRE and UNO-BID; see Sections 2.3 and 2.4) seemed to positively influence students' results in the two-function design round.

On the other hand, the common and relevant trait of the two models that enhance design quality (DANE and MBE) in the two-function design round is their provision of a clearer description of the system's structure (see Sections 2.2 and 2.5). A deeper understanding of system organization and function, in fact, may have helped students in better organizing the concepts of their solutions, and thus in obtaining better quality.

This observation is partially in contrast with the fact that system structure is also described in UNO-BID. A possible explanation for this discrepancy might be that in UNO-BID the correlation between system elements and change of state is not direct (as in DANE and MBE) but passes through a SAPPhIRE diagram.

426  
427

**Table 4: Statistically significant effects of FB Models.**  
**Bold arrows indicate statistically significant correlations in p-values < 0.05.**  
**Non-bold arrows indicate weak correlations (0.05 < P-Value ≤ 0.105).**

	Fun. Req. No.	FB Model				
		AskNature 2	DANE 3	SAPPhIRE 4	UNO-BID 5	MBE 6
Novelty	1	↑	↑	↑	↑	-
	2	-	↑	-	↑	↑
Quality	1	-	-	-	-	-
	2	-	↑	-	-	↑
Quantity	1	-	-	-	-	-
	2	-	-	↑	↑	-
Variety	1	-	-	-	-	-
	2	-	-	-	-	-

## 428 7. Conclusions

429 This paper describes and discusses a three-round design test aimed at comparing the effects of some  
 430 BID modeling approaches on undergraduate students when they are asked to conceive new solutions for a  
 431 design problem.

432 The analysis of the outcomes of these tests confirmed the findings obtained in previous similar studies  
 433 (Durand et al., 2015) and also shed some light on how FB-BID models enhance the ideation performance  
 434 of undergraduate students.

435 First of all, besides confirming their influence on novelty, the outcomes of the experiment showed that  
 436 this positive influence is also related to design task complexity, i.e., the number of functional requirements:  
 437 only the DANE, UNO-BID and MBE models improved the novelty score of students in the third round of  
 438 the test. A possible explanation for this outcome is that engineering students benefit more from models that  
 439 clearly represent the sequence of state changes occurring in a biological phenomenon when they are asked  
 440 to concatenate more functions. This result may be somehow related to the students' limited experience in  
 441 handling more complex systems. Clearly, the results might be radically different if the subjects were  
 442 industrial designers, who might have more difficulty in dealing with state-change models, while they might  
 443 have more appreciation for the narrative representation of the BID information.

444 With regard to quantity and quality, it seems that students do not benefit from any FB-BID model in the  
 445 single function design task, while some of the FB-BID models have some positive influence on these two  
 446 parameters in the two-function design task.

447 In particular, the FB-BID models that ease the understanding of the causal relationships between inputs  
448 and effects seem to be more effective in increasing the quantity of concepts, while the models capable of  
449 making systems structure available seem to have some influence on the quality of concepts. UNO-BID is  
450 an exception to this consideration, possibly because the link between structure and function is mediated by  
451 a SAPPPhIRE representation of the behavior.

452 Finally, it seems that FB-BID models do not have any influence on variety in either design task.

453 The above considerations, combined with the feedback provided by the students through the responses  
454 to the questionnaire, suggest the following possible improvements to FB-BID models:

455 ○ Represent more clearly and explicitly the relationships between the parts of the system and the  
456 change of states they undergo.

457 ○ Add a qualitative description of the system to the FB-BID models.

458 Finally, it should be remembered that the entire study was performed by observing the behavior of  
459 mechanical engineering students in their 4<sup>th</sup> year in the bachelor's degree study program. This clearly is a  
460 serious limitation since expert practitioners might show significantly different responses in the same  
461 situations. Nevertheless, we hope that these findings can provide some useful hints to the scholars who are  
462 planning experimental tests in this field.

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618 Capacity Building in Higher Education). He has authored more than 140 papers presented at international  
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620 **Rhnhua Tan**, born in 1958, is currently a professor, a PhD candidate supervisor, and the president of  
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622 primary research interests include product design, innovative design and inventive problem solving.

623

624 **A. Questionnaire**

625

626 Q1: Assess the ease of use of the proposed BID models? Explain your choice.

627  -2: Very easy628  -1: Easy629  0: Medium630  1: Slightly difficult631  2: Very difficult

632

633 Q2: Assess the degree of inspiration stimulated by the proposed BID models? Explain your choice.

634  -2: Useless635  -1: Limited usage636  0: Neutral637  1: Useful638  2: Very useful

639

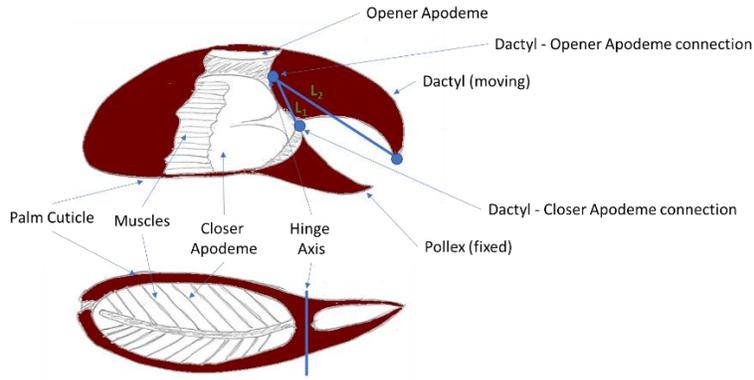
640 Q3: Which part of the BID model was the most useful or useless? Explain your choice.

641

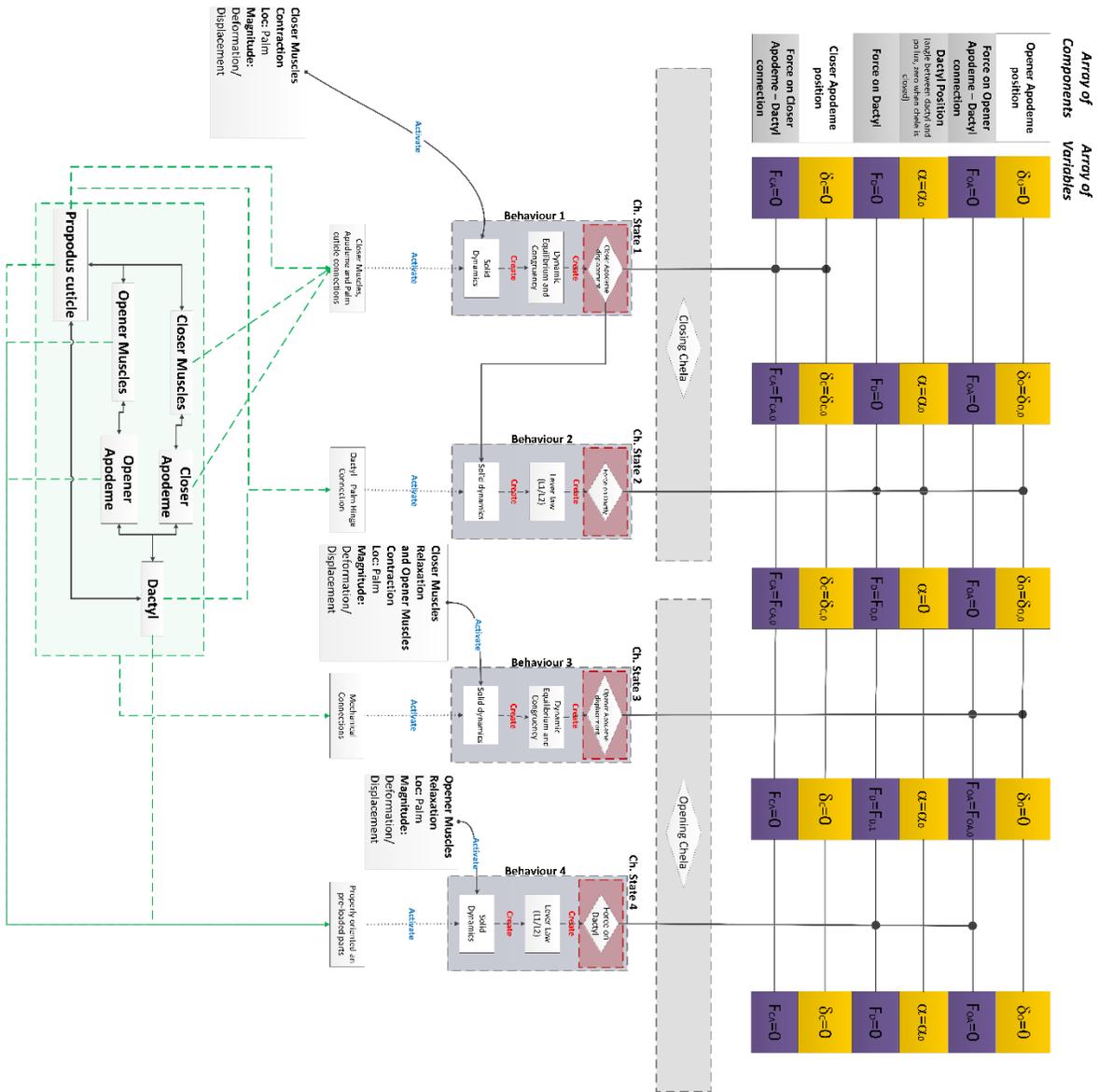
642 Q4: Please provide your suggestions to improve the proposed BID model.

643

644 **B. UNO-BID model of a chela of a crab**



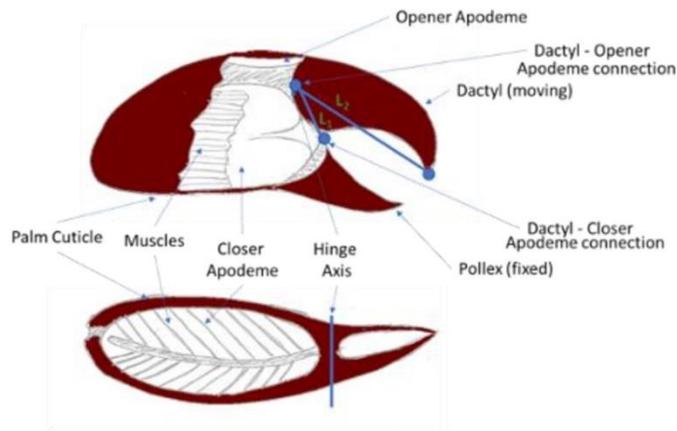
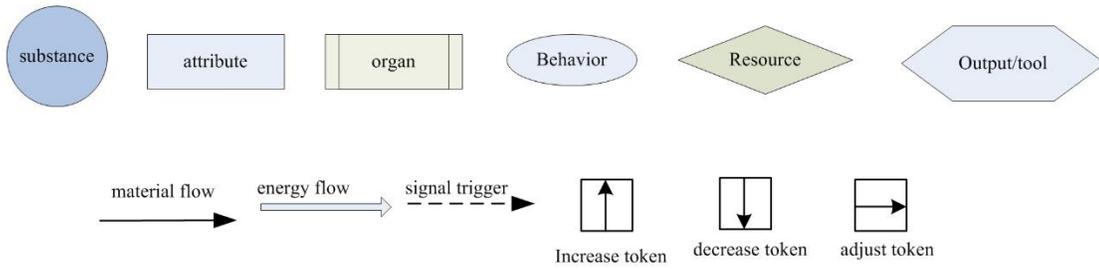
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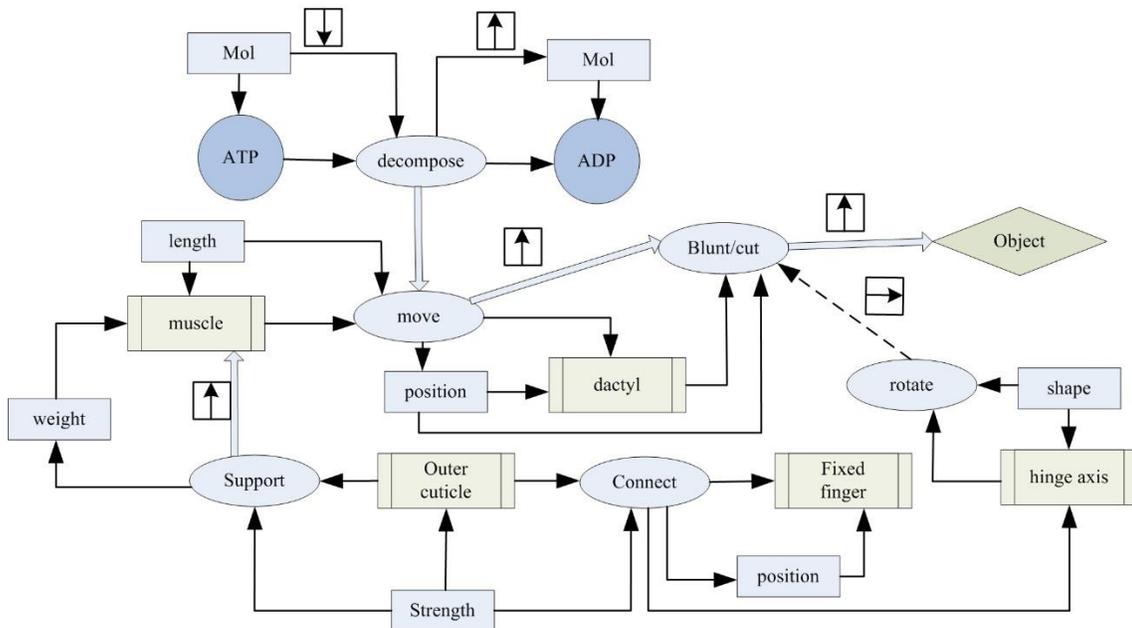
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647 C. MBE model of a chela of a crab

Legend of modeling method



MBE model



649 **D. Text of the design problems in Rounds 2 and 3**

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651 **Round 2**

652 **Title:** A support device can adhere to a smooth surface

653 **Description:** A new supporting device is required to adhere to smooth surfaces such as glass or  
654 ceramic tiles. This device can sustain a certain load when it is used to support objects.

655 **Main functional requirements:** Adhere to the smooth surface with enough strength to support  
656 objects.

657 **Specific design parameters:** The device:

- 658 1) Can adhere to smooth surfaces
- 659 2) Can sustain a load of up to 500 g or pull up to 10 N
- 660 3) Should be waterproof and work properly in moist conditions
- 661 4) Must be low in cost (a prototype should cost less than \$100)

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664 **Round 3**

665 **Title:** Grabbing device for the disabled

666 **Description of background:** A grabbing device may be very helpful for the disabled, especially if  
667 they use wheelchairs. The required device must be able to grab objects of different shapes, textures  
668 and consistencies, from solid metal to soft rubber.

669 **Main function requirement:** Grab and move objects of different shapes and textures within a certain  
670 range of size.

671 **Specific design parameters:**

- 672 1) The device must grab objects of different shapes within a certain range of size.
- 673 2) The device's working distance has to be adjustable.
- 674 3) The device must be easy to use and low in cost (a prototype should cost less than \$300).

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676 E. Example of students' work - Design Task no. 2

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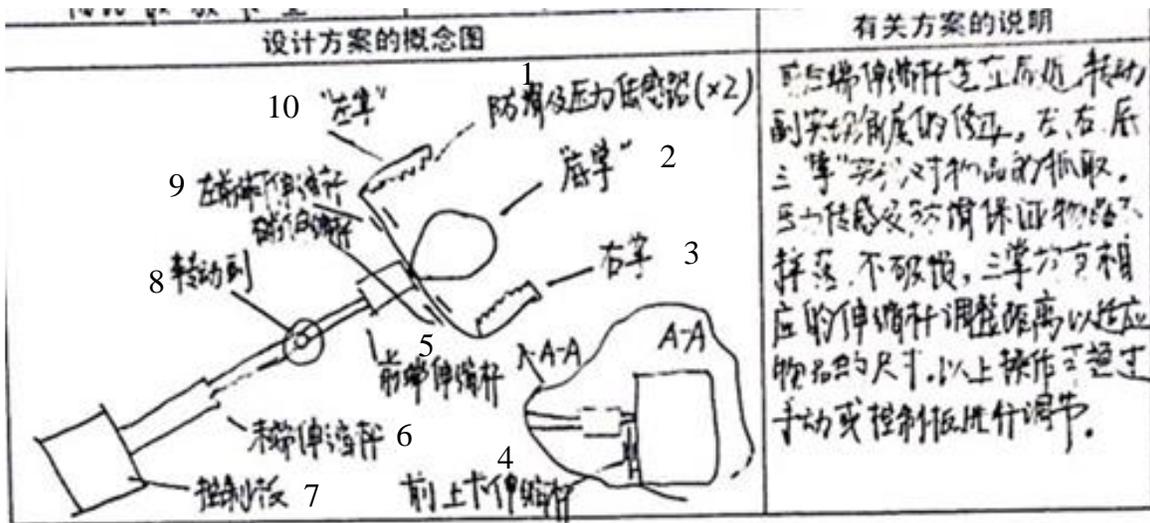
设计方案的概念图	有关方案的说明
	<p>使用方法: 将吸盘按在玻璃板上, 利用拉动柄拉动隔离板至沟槽高度, 向右旋转, 使拉动柄落入凹槽内以固定, 此时隔离板与玻璃板之间的圆筒空隙为真空, 利用大气压把吸盘附</p>

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**Description:** Press the device on the glass, then pull the handle until the piston reaches the groove. Then rotate the handle clockwise and make the handle fall into the fix hole. At this time, the gap between the piston and the glass will generate a certain vacuum that is used to obtain the adhesion.

- 1. Groove
- 2. Groove
- 3. Handle
- 4. Device
- 5. Glass
- 6. Piston
- 7. Cylinder

## 696 F. Example of students' work - Design Task no. 3

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**Description:** The front and rear telescopic rods are adjustable to accommodate different distances to the objects to be grabbed, the rotational joint is used to adjust the grabbing angles and the three jaws (or pads) (one on the left, one on right and one at the bottom) are used to hold objects. The pressure sensor and the anti-slip structure ensure that the objects will not fall. There are corresponding telescopic rods on the three palms to adjust their working ranges to suit the size of the items. The above operations can be adjusted by manual or automatic control.

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- 703 1. Pressure sensor and anti-slip structure
- 704 2. Bottom jaw
- 705 3. Right jaw
- 706 4. Telescopic rods of palms
- 707 5. Front telescopic rods
- 708 6. End telescopic rods
- 709 7. Control panel
- 710 8. Rotational joint
- 711 9. Telescopic rods of jaws
- 712 10. Left jaw

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